# VisionTransformer\_Visualizing\_Embeddings\_TCA

April 4, 2022

### 1 Building Neuron Tensors for ViT

 $Resources\ that\ have\ been\ useful:\ https://huggingface.co/docs/transformers/model\_doc/vit\ https://huggingface.co/docs/transformers/v4.17.0/en/main\_classes/output\\ Resources\ on\ Transformers\ /\ ViT:\ *\ video\ tutorials:\ *\ https://www.youtube.com/watch?v=aButdUV0dxI&list=PLvOO0btloRntpSWSxFbwPIjIum3Ub4GSCButgUtansformers/v4.17.0/en/main\_classes/output\\ Resources\ on\ Transformers\ /\ ViT:\ *\ video\ tutorials:\ *\ https://www.youtube.com/watch?v=aButdUV0dxI&list=PLvOO0btloRntpSWSxFbwPIjIum3Ub4GSCBButgUtansformers/v4.17.0/en/main\_classes/output\\ Resources\ on\ Transformers\ /\ ViT:\ *\ video\ tutorials:\ *\ https://www.youtube.com/watch?v=aButdUV0dxI&list=PLvOO0btloRntpSWSxFbwPIjIum3Ub4GSCBButgUtansformers/v4.17.0/en/main\_classes/output\\ Resources\ on\ Transformers\ /\ ViT:\ *\ video\ tutorials:\ *\ https://www.youtube.com/watch?v=aButdUV0dxI&list=PLvOO0btloRntpSWSxFbwPIjIum3Ub4GSCBButgUtansformers/v4.17.0/en/main\_classes/output$ 

- connection to convolution:
  - https://epfml.github.io/attention-cnn/

\* https://www.youtube.com/watch?v=TrdevFK am4

- https://arxiv.org/pdf/1911.03584.pdf
- https://openreview.net/forum?id=Gl8FHfMVTZu
- https://arxiv.org/pdf/2111.01353.pdf
- tutorial:
  - $-\ https://uvadlc-notebooks.readthedocs.io/en/latest/tutorial\_notebooks/tutorial15/Vision\_Transformer (a) the context of the$
  - https://jalammar.github.io/illustrated-transformer/
  - https://lilianweng.github.io/posts/2018-06-24-attention/
- explainability:
  - $-\ https://jacobgil.github.io/deeplearning/vision-transformer-explainability\#how-do-the-attention-activations-look-like-for-the-class-token-throughout-the-network-like-for-the-class-token-throughout-througho$
  - https://colab.research.google.com/github/hila-chefer/Transformer-Explainability/blob/main/Transformer\_explainability.ipynb#scrollTo=UtHosD9lCgAA (https://github.com/hila-chefer/Transformer-Explainability)
  - visualize attention map: https://github.com/jeonsworld/ViT-pytorch/blob/main/visualize attention map.ipynb
- visualizing attention maps!!
  - https://viso.ai/deep-learning/vision-transformer-vit/ https://arxiv.org/pdf/2106.01548.pdf
  - dino visualization:https://github.com/facebookresearch/dino
  - extremely useful visualization: https://colab.research.google.com/github/hirotomusiker/schwert\_colab
  - also extremely useful in matching the dimensions: https://programmer.group/613ada5f581ff.html

Figure 1. Vision Transformer inference pipeline.

1. Split Image into Patches

The input image is split into 14 x 14 vectors with dimension of 768 by Conv2d (k=16x16) with stride=(16, 16). 2. Add Position Embeddings

Learnable position embedding vectors are added to the patch embedding vectors and fed to the

transformer encoder. 3. Transformer Encoder

The embedding vectors are encoded by the transformer encoder. The dimension of input and output vectors are the same. Details of the encoder are depicted in Fig. 2. 4. MLP (Classification) Head

The 0th output from the encoder is fed to the MLP head for classification to output the final classification results.

#### Transformer Encoder

Figure 2. Detailed schematic of Transformer Encoder. - N (=197) embedded vectors are fed to the L (=12) series encoders. - The vectors are divided into query, key and value after expanded by an fc layer. - q, k and v are further divided into H (=12) and fed to the parallel attention heads. - Outputs from attention heads are concatenated to form the vectors whose shape is the same as the encoder input. - The vectors go through an fc, a layer norm and an MLP block that has two fc layers.

The Vision Transformer employs the Transformer Encoder that was proposed in the attention is all you need paper.

Implementation Reference:

- tensorflow implementation
- pytorch implementation (timm)

```
[]: | !pip install timm
```

```
[]: import os
  import matplotlib.pyplot as plt
  import numpy as np
  import PIL

import torch
  import torch.nn.functional as F
  import torchvision
  import torchvision.transforms as T
from timm import create_model
```

```
[]: import tensorflow as tf
  import numpy as np
  import matplotlib.pyplot as plt
  from tensorflow.python.keras.layers import InputLayer, Input
  from keras.models import Model
  from keras.layers import Dense
  from keras.layers import Flatten
  from tensorflow.python.keras import backend as K
  import math
  import pathlib
```

```
import datetime
     from scipy.io import savemat
     from scipy.io import loadmat
     from sklearn.cluster import KMeans
     from sklearn.decomposition import PCA
     import time
[]: import numpy as np
     import torch
     import random
     import os
     default_seed = 4142
     def seed_everything(seed = 1234):
       random.seed(seed)
       tseed = random.randint(1,1E6)
       tcseed = random.randint(1,1E6)
       npseed = random.randint(1,1E6)
       ospyseed = random.randint(1,1E6)
       torch.manual_seed(tseed)
       torch.cuda.manual_seed_all(tcseed)
      np.random.seed(npseed)
       os.environ['PYTHONHASHSEED'] = str(ospyseed)
     seed_everything(default_seed)
[]: |pip install git+https://github.com/ahwillia/tensortools
     import tensortools as tt
     from scipy.ndimage import gaussian_filter
[]: from google.colab import drive
     drive.mount('/content/drive/')
     %cd '/content/drive/My Drive/Embeddings/code'
[]: # Define transforms for test
     IMG_SIZE = (224, 224)
     NORMALIZE\_MEAN = (0.5, 0.5, 0.5)
     NORMALIZE\_STD = (0.5, 0.5, 0.5)
     transforms = [
                   T.Resize(IMG_SIZE),
                   T.ToTensor(),
                   T.Normalize(NORMALIZE_MEAN, NORMALIZE_STD),
                   ٦
```

transforms = T.Compose(transforms)

2 Load Vision Transformer model and get outputs from the 12 layers:

```
[]: pip install -q git+https://github.com/huggingface/transformers.git
[]: model_id = 'google/vit-base-patch16-224'
[]: from transformers import ViTForImageClassification
     import torch
     device = torch.device('cuda' if torch.cuda.is_available() else 'cpu')
     model = ViTForImageClassification.from_pretrained(model_id,__
     →output_hidden_states=True, output_attentions=True)
     model.eval()
     model.to(device)
[]: !pip install huggingface
[]: !pip install datasets
[]: from transformers import ViTFeatureExtractor, ViTModel
     import torch
     from datasets import load_dataset
     dataset = load_dataset("huggingface/cats-image")
     image = dataset["test"]["image"][0]
     # with torch.no_grad():
           outputs = model(**inputs)
[]: plt.imshow(image)
[]: from PIL import Image
     import requests
     url = 'http://images.cocodataset.org/val2017/000000039769.jpg'
     im = Image.open(requests.get(url, stream=True).raw)
     im
[]: ## predict the class of the cat image just now
     feature_extractor = ViTFeatureExtractor.from_pretrained(model_id)
     encoding = feature_extractor(images=image, return_tensors="pt")
     pixel_values = encoding['pixel_values'].to(device)
     outputs = model(pixel_values)
     logits = outputs.logits
```

```
logits.shape

prediction = logits.argmax(-1)
print("Predicted class:", model.config.id2label[prediction.item()])
```

[]: pixel\_values.shape

## 3 Get attentions and hidden states:

hidden\_states (tuple(torch.FloatTensor), optional, returned when output\_hidden\_states=True is passed or when config.output\_hidden\_states=True) — Tuple of torch.FloatTensor (one for the output of the embeddings + one for the output of each layer) of shape (batch\_size, sequence\_length, hidden\_size).

Hidden-states of the model at the output of each layer plus the initial embedding outputs.

attentions (tuple(torch.FloatTensor), optional, returned when output\_attentions=True is passed or when config.output\_attentions=True) — Tuple of torch.FloatTensor (one for each layer) of shape (batch\_size, num\_heads, sequence\_length, sequence\_length).

Attentions weights after the attention softmax, used to compute the weighted average in the selfattention heads.

```
[]: type(image)
[]: pixel_values
[]: hidden_states = outputs.hidden_states
    attentions = outputs.attentions
[]: len(attentions)
[]: len(hidden states)
     ## output of the embeddings + 12 outputs for the 12 layers
[]: print(attentions[0].shape)
    attentions[0]
[]: # the output of the embeddings of shape (batch_size, sequence_length,_
     →hidden size)
    print(hidden_states[0].shape)
    hidden_states[0]
[]: # the output of the first layer, of shape (batch size, sequence length,
     →hidden size)
    print(hidden_states[1].shape)
    hidden_states[1]
```

```
[]: # Visualize attention matrix
     # There are 12 encoder layers, each layer has 12 attention heads
     # i is layer index, j is the index for the attention head
     for i in range(12):
       attention_heads_layer_i = attentions[i]
      n_attention_heads = attention_heads_layer_i.shape[1]
      print(n_attention_heads)
      for j in range(n_attention_heads):
         attention_head_j = attention_heads_layer_i[0,j,:,:]
         plt.imshow(attention_head_j.cpu().data)
         # ax.set(xticks = [], yticks = [])
         plt.show()
[]: # Visualize attention map
     fig = plt.figure(figsize=(16, 8))
     fig.suptitle("Visualization of Attention Heatmap", fontsize=24)
     fig.add_axes()
     i = 5
     attention_heads_layer_i = attentions[i]
     for j in range(12): # visualize the 100th rows of attention matrices in the
     \rightarrow 0-7th heads
         attention_head_j = attention_heads_layer_i[0,j,:,:] # (197 by 197)
         attention_head_j = attention_head_j.detach().cpu().numpy()
         attn_heatmap = attention_head_j[120, 1:].reshape((14, 14))
         ax = fig.add_subplot(4, 4, j+1)
         ax.imshow(attn_heatmap)
[]: activation = {}
     def get_activation(name):
         def hook(model, input, output):
           activation[name] = output.detach()
           handle.remove()
         return hook
     # register the forward hook
     handle = model.vit.encoder.layer[0].output.
      →register_forward_hook(get_activation('encoder_queried_layer'))
     # pass some data through the model
     output = model(pixel_values)
     activation['encoder_queried_layer']
     print(activation['encoder_queried_layer'].shape)
     ## for intermediate layer in the first block, out_features=768
```

```
## image size is 224x224 \rightarrow (224*224)/(16*16) patches + 1 positional embedding
     ⇒= 197
     ## shape (n_images = 1, n_patches + 1 pos embedding = 197, n_out_features)
    activation['encoder gueried layer']
    handle.remove()
[]: handle.remove()
[]: n_neurons = 197*768
    n neurons
[]: hidden_states[1].shape
[]: plt.matshow(hidden_states[0].squeeze(dim=0).cpu().data)
[]: x = hidden_states[0].squeeze(dim=0).cpu().data[1:,391]
[]: plt.imshow(x.reshape(14,14))
[]: plt.matshow(hidden_states[1].squeeze(dim=0).cpu().data)
[]: x = hidden_states[1].squeeze(dim=0).cpu().data[1:,391]
    plt.imshow(x.reshape(14,14))
[]: plt.matshow(hidden_states[11].squeeze(dim=0).cpu().data)
[]: x = hidden_states[11].squeeze(dim=0).cpu().data[1:,391]
    plt.imshow(x.reshape(14,14))
    4 Get images:
[]: def imshow(img):
         img = img.cpu().data
         img = img / (img.max()-img.min()) # unnormalize
```

```
img = img.cpu().data
    img = img / (img.max()-img.min()) # unnormalize
    npimg = img.numpy()
    plt.imshow(np.transpose(npimg, (1, 2, 0)))
    plt.show()

[]: !python3 -m pip install --upgrade pip
    !python3 -m pip install --upgrade Pillow
    from PIL import Image, ImageTk
    img_array_starfish = np.load('imgnet_starfish.npy')
    img_array_strawberry = np.load('imgnet_strawberry.npy')
    img_array_husky = np.load('imgnet_husky.npy')
    img_array_guitar = np.load('imgnet_guitar.npy')
```

```
def get_images_selected_classes(num_images, n_classes):
  images_selected_classes = []
 MAX_SIZE = 224
 for i in range(int(num_images/n_classes)):
    im = Image.fromarray(img_array_starfish[i,:,:,:].astype(np.uint8))
    im.thumbnail((MAX_SIZE, MAX_SIZE), Image.ANTIALIAS)
    images selected classes.append(np.array(im))
 for i in range(int(num_images/n_classes)):
    im = Image.fromarray(img array strawberry[i,:,:,:].astype(np.uint8))
    im.thumbnail((MAX SIZE, MAX SIZE), Image.ANTIALIAS)
    images selected classes.append(np.array(im))
 for i in range(int(num_images/n_classes)):
    im = Image.fromarray(img_array_husky[i,:,:,:].astype(np.uint8))
    im.thumbnail((MAX_SIZE, MAX_SIZE), Image.ANTIALIAS)
    images_selected_classes.append(np.array(im))
 for i in range(int(num_images/n_classes)):
    im = Image.fromarray(img_array_guitar[i,:,:,:].astype(np.uint8))
    im.thumbnail((MAX_SIZE, MAX_SIZE), Image.ANTIALIAS)
    images_selected_classes.append(np.array(im))
  ## (#images, #nrow, #ncol, #channels)
  images_selected_classes = np.array(images_selected_classes)
 return images_selected_classes
num images = 20
n classes = 4
images_selected_classes = get_images_selected_classes(num_images, n_classes)
images_selected_classes = images_selected_classes.transpose((0,3,1,2))
# images_selected_classes = torch.cuda.FloatTensor(images_selected_classes)
# # Define transforms for test
\# NORMALIZE\_MEAN = (0.5, 0.5, 0.5)
# NORMALIZE_STD = (0.5, 0.5, 0.5)
# transforms = [
#
                T. Normalize (NORMALIZE MEAN, NORMALIZE STD),
# transforms = T.Compose(transforms)
# images selected classes = transforms(images selected classes)
# for i in range(num images):
   imshow(images_selected_classes[i])
```

### 5 Create 3D neuron tensor:

[]: def apply\_all\_shifts(im, shift\_step):

```
arg(s):
             DEBUG NOTE: PYTORCH IS (#channels, rows, cols)!!
             im, an image of shape (3, im_size, im_size)
         return:
             im_all_shifts, a list of all shifted images from the input image
             n_shifts, number of shifted images
         # im is of shape (3, 224, 224)
         ## vertical size might not be the same as the horizontal, note that the
      → channel for tf and pytorch are in different dimension
         im_size_vertical = im.shape[1]
         im_size_horizontal = im.shape[2]
         n_shifts_vertical = int(math.ceil(im_size_vertical/ shift_step))
         n_shifts_horizontal = int(math.ceil(im_size_horizontal/ shift_step))
         n_shifts = n_shifts_vertical * n_shifts_horizontal
         im all shifts = []
         # start with the unshifted im
         im shift = im
         # im_all_shifts.append(np.expand_dims(im_shift,axis=0))
         for i in range(n_shifts_vertical):
             ## for pytorch axis = 1, for tensorflow axis = 0
             im_shift = np.roll(im_shift, shift = shift_step, axis=1)
             im_all_shifts.append(np.expand_dims(im_shift,axis=0))
             for j in range(n_shifts_horizontal):
                 ## for pytorch axis = 2, for tensorflow axis = 1
                 im_shift = np.roll(im_shift, shift = shift_step, axis=2)
                 im_all_shifts.append(np.expand_dims(im_shift,axis=0))
         im_all_shifts = np.vstack(im_all_shifts)
         im_all_shifts = torch.cuda.FloatTensor(im_all_shifts)
         return im_all_shifts, n_shifts
    Note: output size = [(W-K+2P)/S]+1 = (128-3)/3+1 = ~43
    e.g. conv layer W is the input volume - 128 K is the Kernel size - 3 P is the padding - 0 S is the
    stride - 3
    edge neuron = floor(filter size /stride) = floor(3/3) = 1
[]: def compute_neuron_output(model, layer_index, im_all_shifts, max_indices = __
      →None, n_max_feature_maps = 500, plot_activity = False):
```

```
111
   arq(s):
       layer names: list of strings indicating the names of the layers we want \sqcup
\rightarrow to take neuron outputs from
       im all shifts: all shifts of one particular image in the for loop
   return:
   print("im_all_shifts shape: ")
   print(im_all_shifts.shape)
   n_shifts = im_all_shifts.shape[0]
   neuron_output_highest = []
   im_all_shifts = torch.cuda.FloatTensor(im_all_shifts)
   layer = model.vit.encoder.layer[layer_index]
   neuron_output = []
   # go through all the batches in the dataset
   for i in range(n shifts):
     # forward pass -- getting the outputs
     im = im all shifts[i]
     activation = {}
     def get_activation(name):
         def hook(model, input, output):
           activation[name] = output.detach()
           handle.remove()
         return hook
     # register the forward hook
     handle = model.vit.encoder.layer[layer_index].output.
→register_forward_hook(get_activation('encoder_queried_layer'))
     # pass some data through the model
     output = model(im.unsqueeze(dim=0))
     ## shape (n_shifts, n_patches + 1 cls token = 197, n_out_features)
     layer_out = activation['encoder_queried_layer']
     handle.remove()
     relu = torch.nn.ReLU()
     layer_out = relu(layer_out)
     layer_out = layer_out.cpu().data
     layer_out = layer_out[:,1:,:]
     neuron_output.append(layer_out)
     \# out = model(im)
     # # collect the activations in the correct list
```

```
# # +1 is because hidden states [0] stores the conv2d embeddings before
→ the encoder layers
     # layer_out = hidden_states[layer_index + 1].cpu().data
     # print(layer out.shape)
     # ## force the entries to be nonnegative
     # relu = torch.nn.ReLU()
     # layer out = relu(layer out)
     # ## layer_out is of shape (1,197,768) 197=1 cls + 14*14 neurons
     # layer_out = layer_out[:,1:,:]
     # neuron_output.append(layer_out)
   neuron_output = np.vstack(neuron_output)
   print("neuron_output shape: ")
   print(neuron_output.shape)
   ## ----- IF NEED TO REMOVE THE NEURONS AT THE EDGES -----
   # filter_size = 3
   # shift step = 3
   # edge_neuron = math.floor(filter_size / shift_step)
   \# edge neuron = int(0.1 * n row)
   # neuron_output = neuron_output[:, edge_neuron:(n_row - edge_neuron),__
\rightarrow edge neuron: (n col - edge neuron),:]
   # n_shifts, n_row, n_col, n_feature_maps = neuron_output.shape[:]
   # neuron_output = neuron_output.reshape((n_shifts, n_row * n_col,__
\rightarrow n_feature_maps))
   # ## number of neurons for each feature map is nrow * ncol
   \# n\_neurons = n\_row * n\_col
   ## ----- END -----
   ## ----- IF NEED TO PLOT THE EDGE NEURONS -----
   # neuron_index = np.empty((n_row,n_col),dtype=int)
   # index = 0
   # for i in range(n_row):
        for j in range(n_{col}):
             neuron\_index[i, j] = index
             index += 1
   # ## obtain the index of the neurons at the edges
   # neuron edge index = np.hstack((neuron index[[0,1,n row-2,n row-1],:].
\rightarrow reshape((4*32,1)), neuron_index[:,[0,1,n_col-2,n_col-1]].reshape((4*32,1))))
   # neuron_edge_index = neuron_edge_index.reshape((256,1))
   # ## re-label the neurons at the edge with a different color
   # neuron_labels = []
   # for i in range(10):
        neuron_labels = np.hstack((neuron_labels, [i] * n_neurons))
        neuron_labels = np.array(neuron_labels)
        neuron_labels[n_neurons * i + neuron_edge_index-1] = 15
```

```
# neuron_output = neuron_output.reshape((n_shifts, n_neurons,_
\rightarrow n_feature_maps))
   ## ----- END -----
   n_shifts, n_neurons, n_features = neuron_output.shape
   ## transpose to organize by feature maps
   ## the shape of neuron_output_by_fm is (n_shifts, n_feature_maps, #neurons)
   neuron_output_by_fm = neuron_output.transpose(0, 2, 1)
   ## compute avg neuron firing rate in each feature map
   ## fm_avq is of shape (n_shifts, n_feature_maps)
   fm_avg = neuron_output_by_fm.sum(axis=2) / neuron_output_by_fm.shape[2]
   # if plot_activity == True:
       print("Indices of FM with highest average firing rate in response to⊔
\rightarrow each image: ")
   \# max_fm_ind = np.argmax(fm_avg, axis = 1)
       print(max_fm_ind)
        print("#neurons in the FM with highest average firing rate: " +u
\hookrightarrow str(n_neurons))
   # # for f_i in range(n_features):
       # plt.plot(fm_avg[:,f_i]/max(fm_avg[:,f_i]))
   # plt.plot(fm_avg[:,0]/max(fm_avg[:,0]))
   # plt.show()
        print('----\n')
   # neuron_output_highest = neuron_output_by_fm.reshape((n_shifts, n_features_
\rightarrow * n_neurons))
   neuron_output_highest = np.empty((n_shifts, n_max_feature_maps * n_neurons))
   feature_map_side = int(np.sqrt(n_neurons))
   for i in range(n shifts):
       if max indices is None:
          max indices = np.argsort(-1*fm avg[i], axis = 0)[:
→n_max_feature_maps]
      temp = neuron_output_by_fm[i, max_indices, :]
      neuron_output_highest[i] = neuron_output_by_fm[i, max_indices, :].
→reshape((1, n_max_feature_maps * n_neurons))
      for f_i in range(n_max_feature_maps):
         normalizing_constant = temp[f_i, :].max()
         if normalizing constant == 0:
           neuron_output_highest[i, (f_i*feature_map_side**2):
\hookrightarrow ((f i+1)*feature map side**2)] = 0
         else:
```

```
neuron_output_highest[i, (f_i*feature_map_side**2):
      →((f_i+1)*feature_map_side**2)] /= normalizing_constant
         if plot activity == True:
             neuron_output_highest_by_fm = neuron_output_highest.reshape((n_shifts,_
      →n max feature maps, n neurons))
             fm_avg = neuron_output_highest_by_fm.sum(axis=2) /__
      →neuron_output_highest_by_fm.shape[2]
             print("Indices of FM with highest average firing rate in response to⊔
      →each image: " )
             max_fm_ind = np.argmax(fm_avg,axis = 1)
             print(max_fm_ind)
             print("#neurons in the FM with highest average firing rate: " +_{\sqcup}
      ⇒str(n_neurons))
             for f_i in range(n_max_feature_maps):
               plt.plot(fm_avg[:,f_i])
             plt.show()
             print('----
         neuron_labels = []
         for i in range(n_max_feature_maps):
           neuron_labels += [i] * n_neurons
         neuron_labels = np.array(neuron_labels)
         return neuron_output_highest, fm_avg, neuron_labels, max_indices, n_neurons
[]: def show_stimuli_3D(model, layer_index, images_selected_classes, shifts,_
      →max_indices, n_images_selected_classes = 20, shift_step = 11,
      →n_max_feature_maps = 500, plot_activity = False):
         111
         arg(s):
             layer_indices, interested layers
             images_selected_classes, all the selected images
             shifts = True/False
         return:
             neuron_output_shifts_avg, (n_images, n_neurons)
         if shifts is False:
             neuron_output_highest_without_shifts = []
             for i in range(n_images_selected_classes):
                 im = np.expand_dims(images_selected_classes[i], axis=0)
                 ## neuron_output_highest is of shape (n_shifts = 1, n_neurons *_
      \rightarrow n_max_feature_maps)
```

```
neuron_output_highest, fm_avg, neuron_labels, max_indices,__
      →n_neurons = compute_neuron_output(
                     model, layer_index, np.expand_dims(im, axis=0), max_indices,__
      →n max feature maps, plot activity)
                 neuron_output_highest_without_shifts.append(neuron_output_highest)
             neuron_output_highest_without_shifts = np.
      →array(neuron_output_highest_without_shifts)
             neuron_output_highest_final = neuron_output_highest_without_shifts
         else:
             # generate shifts for each selected image and then stack:
             neuron_output_highest_with_shifts = []
             for i in range(n_images_selected_classes):
                 im = images_selected_classes[i]
                 im_all_shifts, n_shifts = apply_all_shifts(im, shift_step)
                 ## neuron_output_highest is of shape (n_shifts, n_neurons *_
      \rightarrow n_max_feature_maps)
                 neuron_output_highest, fm_avg, neuron_labels, max_indices,__
      →n_neurons = compute_neuron_output(
                     model, layer_index, im_all_shifts, max_indices,_
      →n_max_feature_maps, plot_activity)
                 ## instead of taking average, we create a dimension for all shifts_{\sqcup}
      \rightarrow (analogous to the time dimension)
                 neuron_output_highest_with_shifts.append(neuron_output_highest)
             ## out of for loop!
             ## neuron_output_highest_with_shifts is of shape_
      \rightarrow (n_images_selected_classes, n_shifts, n_neurons * n_max_feature_maps)
             neuron_output_highest_with_shifts = np.
      →array(neuron output highest with shifts)
             neuron_output_highest_final = neuron_output_highest_with_shifts
         return neuron_output highest_final, fm_avg, neuron_labels, max_indices
[]: neuron_output_highest_with_shifts, fm_avg, neuron_labels, max_indices = __
      →show_stimuli_3D(
         model, 0, images_selected_classes, shifts = True, max_indices = None, __
      →n_images_selected_classes = num_images, n_max_feature_maps=20, plot_activity_
      →= True)
[]: neuron_output_highest_with_shifts.shape
[]: neuron_output_highest_with_shifts.min()
```

```
[]: data = np.transpose(neuron_output_highest_with_shifts, (2, 0, 1))
    from scipy.io import savemat
    mdic = {"neuron_output_3D": data}
    mdic
    savemat("neuron_output_3D_vit_layer1_shifts.mat", mdic)

[]: data.shape
[]: fm_avg.shape
    # for last image: shifts by n_fm

[]: for f_i in range(768):
    plt.plot(fm_avg[:,f_i]/max(fm_avg[:,f_i]))
    plt.show()

[]: plt.plot(ffm_avg[:,0]/max(fm_avg[:,0]))
```

### 6 Create 2D neuron tensor:

```
[]: def show_stimuli_2D(model, layer_index, images_selected_classes, shifts,_
      →max_indices, n_images_selected_classes, shift_step = 11, n_max_feature_maps_
      →= 5, plot_activity = False):
         111
         arg(s):
             layer indices, interested layers
             images_selected_classes, all the selected images
             shifts = True/False
         return:
             neuron_output_shifts_avg, (n_images, n_neurons)
         if shifts is False:
             neuron_output_highest_final, fm_avg_all_layers, neuron_labels,_
     →max_indices, n_neurons = compute_neuron_output(
                 model, layer_index, images_selected_classes, max_indices,_
      →n_max_feature_maps, plot_activity)
         else:
             # generate shifts for each selected image and then stack:
            neuron_output_highest_with_shifts = []
             for i in range(n_images_selected_classes):
                 im = images_selected_classes[i]
                 im_all_shifts, n_shifts = apply_all_shifts(im, shift_step)
                 # im_all_shifts = torch.Tensor(im_all_shifts)
```

```
## neuron_output_highest is of shape (n_shifts, n_neurons *_
      \hookrightarrow n_{max_feature_maps}
                 neuron_output_highest, fm_avg_all_layers, neuron_labels,_
      →max_indices, n_neurons = compute_neuron_output(
                     model, layer_index, im_all_shifts, max_indices,__
      →n_max_feature_maps, plot_activity)
                 ## take the average over all shifts of im
                 neuron_output_highest_shifts_avg = neuron_output_highest.
      →sum(axis=0) / neuron_output_highest.shape[0]
                 neuron_output_highest_shifts_avg = neuron_output_highest_shifts_avg.
      →reshape((1, neuron_output_highest_shifts_avg.shape[0]))
                 fm_shifts_avg = fm_avg_all_layers.sum(axis=0) / fm_avg_all_layers.
      \rightarrowshape [0]
                 fm_shifts_avg = fm_shifts_avg.reshape((1,fm_shifts_avg.shape[0]))
                 if i == 0:
                   neuron_output_highest_with_shifts =__
      →neuron_output_highest_shifts_avg
                   fm avg all layers with shifts = fm shifts avg
                 else:
                   neuron_output_highest_with_shifts = np.
      yvstack((neuron_output_highest_with_shifts, neuron_output_highest_shifts_avg))
                   fm_avg_all_layers_with_shifts = np.
      →vstack((fm_avg_all_layers_with_shifts, fm_shifts_avg))
             ## out of for loop!
             ## neuron_output_highest_with_shifts is of shape_
      → (n_images_selected_classes, n_neurons * n_max_feature_maps)
             neuron output highest with shifts = np.
      →array(neuron_output_highest_with_shifts)
             neuron_output_highest_final = neuron_output_highest_with_shifts
             fm_avg_all_layers = fm_avg_all_layers_with_shifts
         return neuron_output highest final, fm_avg_all_layers, neuron_labels,_
      →max indices
[]: neuron output highest with shifts, fm avg all layers with shifts,
      →neuron_labels, max_indices = show_stimuli_2D(
         model, 11, images_selected_classes, shifts = True, max_indices = None, __
      →n_images_selected_classes = num_images, n_max_feature_maps=20, plot_activity_
      →= False)
```

```
[]: neuron_output_highest_with_shifts.shape

[]: data = np.transpose(neuron_output_highest_with_shifts, (1,0))
    from scipy.io import savemat
    mdic = {"neuron_output_2D": data}
    mdic
    savemat("neuron_output_2D_vit_layer12_shifts.mat", mdic)

[]: data.shape

[]: data.min()
```

#### 7 Streamline tensor factorization:

```
[]: def get_tensor_factors(N, dim = 3, ranks = [10, 20, 30], reps = 1):
     ## note that for 2D tensor (ie a matrix), rank + nullity = num columns => rank,
      →<= num columns
       if dim == 3:
         N_filtered = N
         # N_filtered = np.empty(N.shape)
         # for i in range(N.shape[0]):
             for j in range(N.shape[1]):
               filtered = gaussian_filter(N[i,j,:].reshape((n_vertical_shifts,_
      \rightarrown_vertical_shifts)), sigma=1).reshape((n_vertical_shifts *_\_
      \rightarrow n_vertical_shifts,))
               N_filtered[i, j, :] = filtered[:]
         #
       else:
         N_filtered = N.reshape((N.shape[0], N.shape[1],1))
       # Fit ensembles of tensor decompositions:
       methods = (
         'ncp_hals', # fits nonnegative tensor decomposition.
       )
```

```
ensembles = {}
for m in methods:
    ensembles[m] = tt.Ensemble(fit_method=m, fit_options=dict(tol=1e-5))
    ensembles[m].fit(N_filtered, ranks=ranks, replicates=reps)
    ## replicates: int, number of models to fit at each rank
## plot objective, similarity, factors:
Customized plotting routines for CP decompositions
# Plotting options for the unconstrained and nonnegative models.
plot_options = {
  'ncp_hals': {
    'line kw': {
      'color': 'blue',
      'alpha': 1,
      'label': 'ncp_hals',
    },
    'scatter_kw': {
      'color': 'blue',
      'alpha': 1,
     's': 1,
   },
 },
}
def plot_objective(ensemble, partition='train', ax=None, jitter=0.1,
                  scatter_kw=dict(), line_kw=dict()):
    """Plots objective function as a function of model rank.
    Parameters
    ensemble : Ensemble object
        holds optimization results across a range of model ranks
    partition : string, one of: {'train', 'test'}
        specifies whether to plot the objective function on the training
        data or the held-out test set.
    ax : matplotlib axis (optional)
        axis to plot on (defaults to current axis object)
    jitter : float (optional)
        amount of horizontal jitter added to scatterpoints (default=0.1)
    scatter_kw : dict (optional)
        keyword arguments for styling the scatterpoints
    line_kw : dict (optional)
        keyword arguments for styling the line
    11 11 11
```

```
if ax is None:
        ax = plt.gca()
    if partition == 'train':
        pass
    elif partition == 'test':
        raise NotImplementedError('Cross-validation is on the TODO list.')
    else:
        raise ValueError("partition must be 'train' or 'test'.")
    # compile statistics for plotting
   x, obj, min_obj = [], [], []
    for rank in sorted(ensemble.results):
        # reconstruction errors for rank-r models
        o = ensemble.objectives(rank)
        obj.extend(o)
        x.extend(np.full(len(o), rank))
        min_obj.append(min(o))
   print(o)
   print(obj)
   print(x)
   # add horizontal jitter
   ux = np.unique(x)
   x = np.array(x) + (np.random.rand(len(x))-0.5)*jitter
    # make plot
    # customized: plot objectives for all iterations
    ax.scatter(x, obj, **scatter_kw)
    ax.plot(ux, min_obj, **line_kw)
    ax.set_xlabel('model rank')
    ax.set_ylabel('objective')
   return ax
def plot_similarity(ensemble, ax=None, jitter=0.1,
                    scatter_kw=dict(), line_kw=dict()):
    """Plots similarity across optimization runs as a function of model rank.
    Parameters
    _____
    ensemble : Ensemble object
        holds optimization results across a range of model ranks
    ax : matplotlib axis (optional)
        axis to plot on (defaults to current axis object)
    jitter : float (optional)
        amount of horizontal jitter added to scatterpoints (default=0.1)
```

```
scatter_kw : dict (optional)
        keyword arguments for styling the scatterpoints
    line_kw : dict (optional)
        keyword arguments for styling the line
    References
    Ulrike von Luxburg (2010). Clustering Stability: An Overview.
    Foundations and Trends in Machine Learning.
    https://arxiv.org/abs/1007.1075
    if ax is None:
        ax = plt.gca()
    # compile statistics for plotting
    x, sim, mean_sim = [], [], []
    for rank in sorted(ensemble.results):
        # reconstruction errors for rank-r models
        s = ensemble.similarities(rank)[1:]
        sim.extend(s)
        x.extend(np.full(len(s), rank))
        mean_sim.append(np.mean(s))
    # add horizontal jitter
    ux = np.unique(x)
    x = np.array(x) + (np.random.rand(len(x))-0.5)*jitter
    # make plot
    # customized: plot similarities for all iterations
    ax.scatter(x, sim, **scatter_kw)
    ax.plot(ux, mean_sim, **line_kw)
    ax.set_xlabel('model rank')
    ax.set_ylabel('model similarity')
    ax.set_ylim([0, 1.1])
    return ax
# Plot similarity and error plots.
plt.figure()
for m in methods:
    plot_objective(ensembles[m], **plot_options[m])
plt.legend()
# plt.figure()
# for m in methods:
      plot_similarity(ensembles[m], **plot_options[m])
```

```
# plt.legend()
plt.show()
return ensembles ## A LIST!
```

```
[]: def get_embeddings(tensor_factors_best_rank,neuron_labels,n_dim_PCA = 10):
      neuron_factor = tensor_factors_best_rank[0]
       # PCA on tensor factors
      pca = PCA(n_dim_PCA)
      neuron_output_highest_with_shifts_PCA = pca.fit_transform(neuron_factor)
      vectors, lambdas = pca.components_, pca.explained_variance_
      plt.plot(pca.explained_variance_ratio_)
      plt.show()
       # plot embeddings:
       import plotly.graph_objects as go
       import plotly.express as px
      fig = go.Figure()
       traces = []
       colors_palette = px.colors.qualitative.Dark24
       data = neuron_output_highest_with_shifts_PCA
       print(data.shape)
       for i, label in enumerate(set(neuron_labels)):
           mask = (neuron_labels == label)
           print(mask.shape)
           print(label, sum(mask))
           traces.append(go.Scatter3d(
               x=data[mask,0],
               y=data[mask,1],
               z=data[mask,2],
               mode='markers',
               marker=dict(
                   size=4,
                   color=colors_palette[int(label)],
                   opacity=1,
                   #showscale= True,
               )))
       for trace in traces:
           fig.add_trace(trace)
       fig.update_layout(
         width=700,
         margin=dict(r=20, l=10, b=10, t=10))
```

```
[]: def get_embeddings_2D(tensor_factors_best_rank,neuron_labels,n_dim_PCA = 10):
       neuron_factor = tensor_factors_best_rank[0]
       # PCA on tensor factors
      pca = PCA(n_dim_PCA)
      neuron_output_highest_with_shifts_PCA = pca.fit_transform(neuron_factor)
      neuron_output_highest_with_shifts_PCA.shape
       vectors, lambdas = pca.components_, pca.explained_variance_
      plt.plot(pca.explained_variance_ratio_)
      plt.show()
       # plot embeddings:
       import plotly.graph_objects as go
       import plotly.express as px
       fig = go.Figure()
       traces = []
       colors_palette = px.colors.qualitative.Dark24
       data = neuron_output_highest_with_shifts_PCA
       print(data.shape)
       for i, label in enumerate(set(neuron_labels)):
           mask = (neuron labels == label)
           print(mask.shape)
           print(label, sum(mask))
           traces.append(go.Scatter(
               x=data[mask,0],
               y=data[mask,1],
               mode='markers',
               marker=dict(
                   size=4.
                   color=colors_palette[int(label)],
                   opacity=1,
                   #showscale= True,
               )))
       for trace in traces:
           fig.add_trace(trace)
       fig.update_layout(
```

```
[]: def colorFromUnivariateData(Z1, cmap1 = plt.cm.Reds):
         # Rescale values to fit into colormap range (0->255)
         Z1 \text{ plot} = \text{np.array}(255*(Z1-Z1.min())/(Z1.max()-Z1.min()), dtype=np.int)
         Z1 color = cmap1(Z1 plot)
         # Color for each point
         Z_color = np.array(Z1_color[:,0:3])
         return Z_color
     ## ## https://stackoverflow.com/questions/49871436/
     \rightarrow scatterplot-with-continuous-bivariate-color-palette-in-python
     def colorFromBivariateData(Z1,Z2,cmap1 = plt.cm.Blues, cmap2 = plt.cm.Reds):
         # Rescale values to fit into colormap range (0->255)
         Z1_plot = np.array(255*(Z1-Z1.min())/(Z1.max()-Z1.min()), dtype=np.int)
         Z2_plot = np.array(255*(Z2-Z2.min())/(Z2.max()-Z2.min()), dtype=np.int)
         Z1_color = cmap1(Z1_plot)
         Z2_color = cmap2(Z2_plot)
         # Color for each point
         Z_{color} = np.sum([Z1_{color}, Z2_{color}], axis=0)/2.0
         Z_color = np.array(Z_color[:,0:3])
         return Z_color
     def get_spatial_order_plot(cluster_index,__
      →neuron_output_highest_with_shifts_PCA, neuron_labels, n_max_feature_maps =
      →5):
       n_neurons = int(neuron_labels.shape[0]/n_max_feature_maps)
      feature_map_side = int(np.sqrt(n_neurons))
      neuron_cluster = neuron_output_highest_with_shifts_PCA[cluster_index *_
      →n_neurons : (cluster_index + 1) * n_neurons]
       neuron_labels_cluster = neuron_labels[cluster_index * n_neurons :__

→ (cluster_index + 1) * n_neurons]
```

```
xs, ys = np.mgrid[0:feature_map_side,0:feature_map_side]
xs = xs.reshape((n_neurons,))
ys = ys.reshape((n_neurons,))
import plotly.graph_objects as go
import plotly.express as px
fig = go.Figure()
traces = []
colors_palette = px.colors.qualitative.Dark24
data = neuron_cluster
for i, label in enumerate(set(neuron_labels)):
    mask = (neuron_labels_cluster == label)
    print(label, sum(mask))
    traces.append(go.Scatter3d(
        x=data[mask,0],
        y=data[mask,1],
        z=data[mask,2],
        mode='markers',
        marker=dict(
            size=4.
            color=colors_palette[int(label)],
            opacity=1,
            #showscale= True,
        )))
for trace in traces:
    fig.add_trace(trace)
fig.update_layout(margin=dict(l=0, r=0, b=0, t=0), showlegend=True,)
fig.show()
fig = plt.figure(figsize=(12, 12))
ax = fig.add_subplot(projection='3d')
data = neuron_cluster
ax.scatter(
        data[:,0],
        data[:,1],
        data[:,2],
        c = colorFromUnivariateData(xs, cmap1 = plt.cm.Blues),
        s = 100,
        alpha= 1
        )
plt.show()
fig = plt.figure(figsize=(12, 12))
ax = fig.add_subplot(projection='3d')
data = neuron_cluster
```

```
[]: def get_spatial_order_plot_2D(cluster_index,__
      →neuron_output_highest_with_shifts_PCA, neuron_labels, n_max_feature_maps=10):
       n neurons = int(neuron labels.shape[0]/n max feature maps)
       neuron_cluster = neuron_output_highest_with_shifts_PCA[cluster_index *_
      →n_neurons : (cluster_index + 1) * n_neurons]
      neuron_labels_cluster = neuron_labels[cluster_index * n_neurons :__

→(cluster_index + 1) * n_neurons]
      feature_map_side = int(np.sqrt(n_neurons))
      xs, ys = np.mgrid[0:feature_map_side,0:feature_map_side]
      xs = xs.reshape((n_neurons,))
       ys = ys.reshape((n_neurons,))
       import plotly.graph_objects as go
       import plotly.express as px
       fig = go.Figure()
       traces = []
       colors_palette = px.colors.qualitative.Dark24
       data = neuron_cluster
       for i, label in enumerate(set(neuron_labels)):
           mask = (neuron labels cluster == label)
           print(label, sum(mask))
           traces.append(go.Scatter(
               x=data[mask,0],
               y=data[mask,1],
               mode='markers',
               marker=dict(
                   size=4,
                   color=colors_palette[int(label)],
                   opacity=1,
                   #showscale= True,
               )))
       for trace in traces:
           fig.add_trace(trace)
       fig.update_layout(margin=dict(l=0, r=0, b=0, t=0), showlegend=True,)
       fig.show()
```

```
fig = plt.figure(figsize=(12, 12))
ax = fig.add_subplot(projection='3d')
data = neuron_cluster
ax.scatter(
        data[:,0],
        data[:,1],
        c = colorFromUnivariateData(xs, cmap1 = plt.cm.Blues),
        s = 100,
        alpha= 1
plt.show()
fig = plt.figure(figsize=(12, 12))
ax = fig.add_subplot(projection='3d')
data = neuron_cluster
ax.scatter(
        data[:,0],
        data[:,1],
        c = colorFromUnivariateData(ys, cmap1 = plt.cm.Reds),
        s = 100,
        alpha= 1
plt.show()
```

```
[]: def get_tensor_factors_plot(tensor_factors_best_rank, best_rank, u
     →n_max_feature_maps):
       ## neuron factor shape: (#neuron, #factors=best rank)
      neuron_factor = tensor_factors_best_rank[0]
       ## neuron_factor shape: (#shifts, #factors=best_rank)
      time_factor = tensor_factors_best_rank[2]
       # neuron_factor_first = neuron_factor[:,0]
       plt.plot(neuron_factor)
      plt.show()
       # time_factor_first = time_factor[:,0]
      plt.plot(time_factor)
      plt.show()
      n_neurons = neuron_factor.shape[0]
       feature_map_side = int(np.sqrt(n_neurons/n_max_feature_maps))
      print(feature_map_side)
      for i in range(best_rank):
         neuron_factor_i_th = neuron_factor[:,i]
         vmin = neuron_factor_i_th.min()
         vmax = neuron_factor_i_th.max()
```

```
f_i, axes = plt.subplots(1,n_max_feature_maps,figsize=(10,1))
         for f_i, ax in enumerate(axes):
           feature_map_matrix = neuron_factor_i_th[(f_i * feature_map_side ** 2):u
      →((f_i+1) * feature_map_side ** 2)].reshape((feature_map_side,__
      →feature_map_side))
           ax.imshow(feature map matrix, vmin = vmin, vmax = vmax)
           ax.set(xticks = [], yticks = [])
         plt.show()
    ##3D:
[]: N_vit_3D_layer1_shifts.shape
[]: im ind = 0
    n_max_feature_maps = 20
     feature_map_side = 14
     # f_i, axes = plt.subplots(1, n max_feature_maps, fiqsize=(10,1))
     neuron_matrix = N_vit_3D_layer1_shifts[:,im_ind,:]
[]: for f_i in range(n_max_feature_maps):
      neuron_matrix_fi = neuron_matrix[(f_i * feature_map_side ** 2): ((f_i+1) *_
      →feature_map_side ** 2)]
      N_frames = 484
      feature_map_side = 14
      for t in range(N_frames):
         neuron_matrix_fi_t = neuron_matrix_fi[:, t].reshape((feature_map_side,__
      →feature_map_side))
         vmin = neuron_matrix_fi_t.min()
         vmax = neuron matrix fi t.max()
         plt.imshow(neuron_matrix_fi_t, vmin = vmin, vmax = vmax)
         # plt.set(xticks = [], yticks = [])
         plt.show()
[]: ensembles_3D = get_tensor_factors(N_vit_3D_layer1_shifts, dim =3,__
      \rightarrowranks=[20,25,30])
[]: rep = 0
     ranks = [25]
     tensor factors = []
     for rank in ranks:
       tensor_factors.append(ensembles_3D['ncp_hals'].results[rank][rep].factors)
     tensor_factors_best_rank = tensor_factors[0]
     get_tensor_factors_plot(tensor_factors_best_rank, best_rank=25,_
     →n_max_feature_maps=20)
     neuron_output_highest_with_shifts_PCA =_
      ⇒get_embeddings(tensor_factors_best_rank, neuron_labels, n_dim_PCA = 10)
```

```
get_spatial_order_plot(1, neuron_output_highest_with_shifts_PCA,_
     →neuron_labels,n_max_feature_maps=20)
    7.1 2D:
[]: N_vit_2D_layer1_shifts.shape
[]: 3920/20
[]: for f_i in range(5):
      plt.matshow(N_vit_2D_layer1_shifts[196*f_i : 196*(f_i + 1), :].
     \rightarrowreshape(196,20).T)
[]: ensembles_2D = get_tensor_factors(N_vit_2D_layer1_shifts, dim =2,_u
     \rightarrowranks=[1,2,3,4,5])
[]: rep = 0
    ranks = [3]
    tensor factors = []
    for rank in ranks:
      tensor_factors.append(ensembles_2D['ncp_hals'].results[rank][rep].factors)
    tensor_factors_best_rank = tensor_factors[0]
    get_tensor_factors_plot(tensor_factors_best_rank, best_rank=3,__
     →n_max_feature_maps=20)
    neuron_output_highest_with_shifts_PCA =_
     get_spatial_order_plot(1, neuron_output_highest_with_shifts_PCA,__
     →neuron_labels,n_max_feature_maps=20)
[]: ensembles_2D = get_tensor_factors(N_vit_2D_layer12_shifts, dim =2,__
     \rightarrowranks=[1,2,3,4,5])
[]: rep = 0
    ranks = [3]
    tensor factors = []
    for rank in ranks:
      tensor factors.append(ensembles 2D['ncp hals'].results[rank][rep].factors)
    tensor_factors_best_rank = tensor_factors[0]
    get_tensor_factors_plot(tensor_factors_best_rank, best_rank=3,_
     →n_max_feature_maps=20)
    neuron_output_highest_with_shifts_PCA =_
     get_spatial_order_plot(1, neuron_output_highest_with_shifts_PCA,_
     →neuron_labels,n_max_feature_maps=20)
```

[]:[