# Computations and Applications of Determinants

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## Last Lecture, We Discuss...

#### Four parts in last lecture:

- Overview to Next Half Semester determinant; eigenvalues and eigenvectors; positive definiteness
- Orthonormal Vectors and Orthogonal Matrices orthonormal vectors, orthogonal matrices, convenience of orthogonal matrices
- Gram-Schmidt and QR Decomposition analysis of 2-D case, 3-D case, and deduction of QR decomposition
- Introduction and Properties of Determinant geometrical view and part of the properties and computation of determinant

## Gram-Schmidt

#### **Algorithm Summary:**

#### Gram's Part:

• Accept **a** to the orthogonal vector set.

$$\mathbf{A} = \mathbf{a}$$

Subtract A component from b and add to the orthogonal vector set.

$$\mathbf{B} = \mathbf{b} - \frac{\mathbf{b}^T \mathbf{A}}{\mathbf{A}^T \mathbf{A}} \mathbf{A}$$

#### Schmidt's Part:

Normalize the vectors in orthogonal vector set.

$$\mathbf{A} = \frac{\mathbf{A}}{||\mathbf{A}||}, \mathbf{B} = \frac{\mathbf{B}}{||\mathbf{B}||}$$

## QR Decomposition

Experts in Linear Algebra will not stop here, they will go ahead to find the connection between Q and A. That is the same with LU decomposition, we find the matrix L after we know how to find U. Now, we are going to find the connection R.

$$A = QR = (QQ^{T}) A \Rightarrow R = Q^{T}A$$

$$\begin{bmatrix} | & | & | \\ a & b & c \\ | & | & | \end{bmatrix} = \begin{bmatrix} | & | & | \\ q_{1} & q_{2} & q_{3} \\ | & | & | \end{bmatrix} \begin{bmatrix} q_{1}^{T}a & q_{1}^{T}b & q_{1}^{T}c \\ q_{2}^{T}a & q_{2}^{T}b & q_{2}^{T}c \\ q_{3}^{T}a & q_{3}^{T}b & q_{3}^{T}c \end{bmatrix}$$

In the Gram-Schmidt process, we can guarantee that  $q_2^T a = 0$ , why?

$$\begin{bmatrix} | & | & | \\ a & b & c \\ | & | & | \end{bmatrix} = \begin{bmatrix} | & | & | \\ q_1 & q_2 & q_3 \\ | & | & | \end{bmatrix} \begin{bmatrix} q_1^T a & q_1^T b & q_1^T c \\ 0 & q_2^T b & q_2^T c \\ 0 & 0 & q_3^T c \end{bmatrix}$$

R is upper triangular! QR decomposition complete. A little bit complex...

## Properties of Determinant

Now, let's consider the following properties for determinants geometrically.

$$ullet egin{array}{c|c} 1 & 0 \ 0 & 1 \ \end{array} = 1, egin{array}{c|c} 0 & 1 \ 1 & 0 \ \end{array} = -1$$

$$\bullet \begin{vmatrix} ta & b \\ tc & d \end{vmatrix} = t \begin{vmatrix} a & b \\ c & d \end{vmatrix}$$

• Linearly dependent columns make the determinant 0

• 
$$\det U = \begin{vmatrix} d_1 & * & * & \cdots & * \\ 0 & d_2 & * & \cdots & * \\ 0 & 0 & d_3 & \cdots & * \\ \vdots & \vdots & \vdots & \ddots & * \\ 0 & 0 & 0 & 0 & d_n \end{vmatrix} = d_1 d_2 d_3 \cdots d_n$$

•  $\det AB = (\det A) (\det B)$ 

We know all of them without any kinds of computations!

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## Properties of Determinants

The order of these properties come from MIT 18.06 (Gilbert).

- $oldsymbol{4}$  2 equal rows ightarrow 0 determinant, easily proved by property 2
- **6** Subtract k times row m from row n will not change the determinant
- **6** Zero row  $\rightarrow$  0 determinant

## Properties of Determinants

The order of these properties come from MIT 18.06 (Gilbert).

- 8 Zero det means singular, nonzero det means invertible

Permutations can be classified to odd and even! That is the same as multiplying a permutation matrix, and permutation matrices have -1 or 1 determinant. Odd row exchanges reverse the sign, while even row exchanges do not change the sign.

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## Big Formula

Up to now, we haven't introduce any of the computing formula for determinant. Can we find a general formula for all the determinants?

Again, start from  $2 \times 2$  matrix. You all know that the formula for  $2 \times 2$  determinant is like the following, but why?

$$\begin{vmatrix} a & b \\ c & d \end{vmatrix} = ad - bc$$

Using linearity by row:

$$\begin{vmatrix} a & b \\ c & d \end{vmatrix} = \begin{vmatrix} a & 0 \\ c & d \end{vmatrix} + \begin{vmatrix} 0 & b \\ c & d \end{vmatrix} = \begin{vmatrix} a & 0 \\ c & 0 \end{vmatrix} + \begin{vmatrix} 0 & b \\ c & 0 \end{vmatrix} + \begin{vmatrix} a & 0 \\ 0 & d \end{vmatrix} + \begin{vmatrix} 0 & b \\ 0 & d \end{vmatrix}$$

What we have done: take an entry in each row, and only the determinants without the zero column are nonzero.

**Inspiration:** Choose entries from each row and column, only consider the sun of those determinants, the others are all zero if we take 2 entries from a single row or column.

# Big Formula

Consider  $3 \times 3$  case:

- Taking a, then we can take e, i or f, h.
- Taking b, then we can take d, i or f, g.
- Taking c, then we can take d, h or e, g.

 $2 \times 2$  determinants have 2 terms,  $3 \times 3$  determinants have 6 terms, what about  $n \times n$  determinants?

Taking an entry from each row: for the first row, you have n choices, for the second row, you have n-1 choices (because you can't take the entry from the same column),...

So,  $n \times n$  determinants have n! terms.

## Big Formula

#### **BIG FORMULA:**

$$\det A = \sum_{\mathit{all \; combinations}} \left(\det P \right) \mathsf{a}_{1lpha} \mathsf{a}_{2eta} \cdots \mathsf{a}_{n\omega}$$

while P is the permutation matrix that have determinant 1 or -1 (determined by the order of chosen entries).

Another simplified expression:  $P = (\alpha, \beta, \dots, \omega)$ .

Example: Using Big Formula to show that

$$\det U = \begin{vmatrix} d_1 & * & * & \cdots & * \\ 0 & d_2 & * & \cdots & * \\ 0 & 0 & d_3 & \cdots & * \\ \vdots & \vdots & \vdots & \ddots & * \\ 0 & 0 & 0 & 0 & d_n \end{vmatrix} = d_1 d_2 d_3 \cdots d_n$$

#### Cofactor Formula

Consider  $3 \times 3$  case:

$$\begin{vmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{vmatrix} = a_{11} \begin{vmatrix} a_{22} & a_{23} \\ a_{32} & a_{33} \end{vmatrix} - a_{12} \begin{vmatrix} a_{21} & a_{23} \\ a_{31} & a_{33} \end{vmatrix} + a_{13} \begin{vmatrix} a_{21} & a_{22} \\ a_{31} & a_{32} \end{vmatrix}$$

#### **COFACTOR FORMULA:**

$$\det A = a_{11}C_{11} + a_{12}C_{12} + \cdots + a_{1n}C_{1n}$$

Cofactors are the determinants that eliminates a row and a column, multiplying a coefficient of 1 or -1, determined by the sum of i, j.

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## Computation of Inverses

Cofactor matrix:

$$C = \begin{bmatrix} C_{11} & C_{12} & \cdots & C_{1n} \\ C_{21} & C_{22} & \cdots & C_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ C_{n1} & C_{n2} & \cdots & C_{nn} \end{bmatrix}$$

A formula for all square matrices (no matter singular or not):

$$AC^T = \det A \cdot I$$

Noteworthy that  $A^*$  is the same as  $C^T$ , called the adjoint matrix.

You'd better know how it comes... Referring to MIT 18.06 please! https://www.bilibili.com/video/BV1zx411g7gq?p=20 07:41 If matrix A is invertible. the inverse:

$$A^{-1} = \frac{1}{\det A} A^*$$

## Cramer's Rule

Consider a system of linear equations Ax = b:

$$\begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_n \end{bmatrix}$$

Cramer gives

$$x_j = \frac{\det B_j}{\det A}$$

where

$$B_{j} = \begin{bmatrix} a_{11} & a_{12} & \cdots & b_{1} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & b_{2} & \cdots & a_{2n} \\ \vdots & \vdots & & \vdots & & \vdots \\ a_{n1} & a_{n2} & \cdots & b_{n} & \cdots & a_{nn} \end{bmatrix}$$

For  $10 \times 10$  matrix, you need to find eleven  $10 \times 10$  determinants to find the solution. Please use Gaussian Elimination to solve linear equations.

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# Type 1: Tri-diagonal Matrix

(2019 Fall Final, 12 marks) For each natural number  $n \ge 3$ , find the determinant:

$$D_n = \begin{vmatrix} 2 & -1 & & & & \\ -1 & 2 & -1 & & & & \\ & -1 & 2 & \ddots & & \\ & & \ddots & \ddots & -1 \\ & & & -1 & 2 \end{vmatrix}_{n \times n}$$

**Solution:** cofactor expansion and find the recursion formula.

For this example,  $D_n = 2D_{n-1} - D_{n-2}$ .

The first few terms:  $D_1 = 2, D_2 = 3, D_3 = 4$ .

So, the answer is:  $D_n = n - 1$ .

# Type 1: Tri-diagonal Matrix

#### Find the determinant:

For this example,  $D_n = D_{n-1} + D_{n-2}$ .

The first few terms:  $D_1 = 1, D_2 = 2$ .

Fibonacci series, 1, 2, 3, 5, 8, 13, so the answer is  $D_6 = 13$ .

# Type 2: Arrow Form Matrix

Find the determinant:

$$\begin{vmatrix} 1 & 1 & 1 & 1 \\ 1 & 2 & 0 & 0 \\ 1 & 0 & 3 & 0 \\ 1 & 0 & 0 & 4 \end{vmatrix}$$

**Solution:** eliminate the first row by the diagonal entries, simplify to triangular matrix.

$$\begin{vmatrix} 1 & 1 & 1 & 1 \\ 1 & 2 & 0 & 0 \\ 1 & 0 & 3 & 0 \\ 1 & 0 & 0 & 4 \end{vmatrix} = \begin{vmatrix} 1 - \frac{1}{2} - \frac{1}{3} - \frac{1}{4} & 0 & 0 & 0 \\ 1 & 2 & 0 & 0 \\ 1 & 0 & 3 & 0 \\ 1 & 0 & 0 & 4 \end{vmatrix} = -2$$

# Type 2: Arrow Form Matrix

#### Find the determinant:

$$D_{n} = \begin{vmatrix} a_{1} & a_{2} & a_{3} & \cdots & a_{n} \\ b_{2} & 1 & 0 & \cdots & 0 \\ b_{3} & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ b_{n} & 0 & 0 & \cdots & 1 \end{vmatrix}$$

$$D_{n} \begin{vmatrix} a_{1} & a_{2} & a_{3} & \cdots & a_{n} \\ b_{2} & 1 & 0 & \cdots & 0 \\ b_{3} & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ b_{n} & 0 & 0 & \cdots & 1 \end{vmatrix} = \begin{vmatrix} a_{1} - a_{2}b_{2} - a_{3}b_{3} - \cdots & 0 & 0 & \cdots & 0 \\ b_{2} & 1 & 0 & \cdots & 0 \\ b_{3} & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ b_{n} & 0 & 0 & \cdots & 1 \end{vmatrix}$$

So, the answer is  $D_n = a_1 - \sum_{i=2}^n a_n b_n$ .

#### Vandermonde Determinant:

$$\begin{vmatrix} 1 & 1 & 1 & \cdots & 1 \\ x_1 & x_2 & x_3 & \cdots & x_n \\ x_1^2 & x_2^2 & x_3^2 & \cdots & x_n^2 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ x_1^{n-1} & x_2^{n-1} & x_3^{n-1} & \cdots & x_n^{n-1} \end{vmatrix} = \prod_{1 \le j < i \le n} (x_i - x_j)$$

Proof omitted. Please refer to baidu or other search engines.

An example:

$$\begin{vmatrix} 1 & 1 & 1 & 1 \\ 1 & 2 & 3 & 4 \\ 1 & 4 & 9 & 16 \\ 1 & 8 & 27 & 64 \end{vmatrix} = (2-1)(3-2)(3-1)(4-3)(4-2)(4-1) = 12$$

Variation 1: First row lost.

$$\begin{vmatrix} x_1 & x_2 & x_3 & \cdots & x_n \\ x_1^2 & x_2^2 & x_3^2 & \cdots & x_n^2 \\ x_1^3 & x_2^3 & x_3^3 & \cdots & x_n^3 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ x_1^n & x_2^n & x_3^n & \cdots & x_n^n \end{vmatrix}$$

**Solution:** extract  $x_i$  from each column and it becomes the original.

$$\begin{vmatrix} x_1 & x_2 & x_3 & \cdots & x_n \\ x_1^2 & x_2^2 & x_3^2 & \cdots & x_n^2 \\ x_1^3 & x_2^3 & x_3^3 & \cdots & x_n^3 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ x_1^n & x_2^n & x_3^n & \cdots & x_n^n \end{vmatrix} = x_1 x_2 \cdots x_n \prod_{2 \le j < i \le n} (x_i - x_j)$$

Variation 2: Other row lost.

$$\begin{vmatrix} 1 & 1 & 1 & 1 \\ a^2 & b^2 & c^2 & d^2 \\ a^3 & b^3 & c^3 & d^3 \\ a^4 & b^4 & c^4 & d^4 \end{vmatrix}$$

Solution: construct complete Vandermonde and compare coefficient.

Construct complete Vandermonde matrix A:

$$\det A = \begin{vmatrix} 1 & 1 & 1 & 1 \\ a & b & c & d & x \\ a^2 & b^2 & c^2 & d^2 & x^2 \\ a^3 & b^3 & c^3 & d^3 & x^3 \\ a^4 & b^4 & c^4 & d^4 & x^4 \end{vmatrix}$$

Now, we want to find the minor  $M_{25}$  of matrix A.

$$\det A = \begin{vmatrix} 1 & 1 & 1 & 1 \\ a & b & c & d & x \\ a^2 & b^2 & c^2 & d^2 & x^2 \\ a^3 & b^3 & c^3 & d^3 & x^3 \\ a^4 & b^4 & c^4 & d^4 & x^4 \end{vmatrix}$$

Define constant S = (d-c)(d-b)(d-a)(c-b)(c-a)(b-a).

Calculate the Vandermonde determinant and cofactor expansion by column n:

$$|A| = S(x-d)(x-c)(x-b)(x-a) = C_{15} + C_{25}x + C_{35}x^2 + C_{45}x^3 + C_{55}x^4$$

Compare the coefficient of x:  $C_{25} = (-abc - abd - acd - bcd) S$ .

So, the original determinant is  $M_{25}=-C_{25}=\left(abc+abd+acd+bcd\right)S$ .

Find the determinant:

$$A = \begin{vmatrix} 1+a & 1 & 1 & 1 \\ 1 & 1+a & 1 & 1 \\ 1 & 1 & 1+a & 1 \\ 1 & 1 & 1 & 1+a \end{vmatrix}$$

Add all rows to the first row, and use row of all 1s to simplify...

Other methods?

Find the determinant:

$$A = \begin{vmatrix} 1+a_1 & 1 & 1 & 1 \\ 1 & 1+a_2 & 1 & 1 \\ 1 & 1 & 1+a_3 & 1 \\ 1 & 1 & 1 & 1+a_4 \end{vmatrix}$$

**Solution:** add a row or column to eliminate repeated terms.

Back to Type 2. The answer is  $\left(1 + \frac{1}{a_1} + \frac{1}{a_2} + \frac{1}{a_3} + \frac{1}{a_4}\right) a_1 a_2 a_3 a_4$ .

Find the determinant:

$$\det A = \begin{vmatrix} 1+a_1 & a_1 & a_1 & a_1 \\ a_2 & 1+a_2 & a_2 & a_2 \\ a_3 & a_3 & 1+a_3 & a_3 \\ a_4 & a_4 & a_4 & 1+a_4 \end{vmatrix}$$

$$\det A = \begin{vmatrix} 1 & 0 & 0 & 0 & 0 \\ a_1 & 1 + a_1 & a_1 & a_1 & a_1 \\ a_2 & a_2 & 1 + a_2 & a_2 & a_2 \\ a_3 & a_3 & a_3 & 1 + a_3 & a_3 \\ a_4 & a_4 & a_4 & a_4 & 1 + a_4 \end{vmatrix} = \begin{vmatrix} 1 & -1 & -1 & -1 & -1 \\ a_1 & 1 & & & & \\ a_2 & & 1 & & & \\ a_3 & & & 1 & & \\ a_4 & & & & 1 \end{vmatrix}$$

Back to Type 2. The answer is  $a_1 + a_2 + a_3 + a_4 + 1$ .

Find the determinant:

$$\det A = \begin{vmatrix} a_1 & b & b & \cdots & b \\ b & a_2 & b & \cdots & b \\ b & b & a_3 & \cdots & b \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ b & b & b & \cdots & a_n \end{vmatrix}$$

$$\begin{vmatrix} 1 & 0 & 0 & 0 & \cdots & 0 \\ b & a_1 & b & b & \cdots & b \\ b & b & a_2 & b & \cdots & b \\ b & b & b & a_3 & \cdots & b \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ b & b & b & b & \cdots & a_n \end{vmatrix} = \begin{vmatrix} 1 & -1 & -1 & -1 & \cdots & -1 \\ b & a_1 - b \\ b & & & a_2 - b \\ b & & & & a_3 - b \end{vmatrix}$$

Back to Type 2. The answer is  $\left[1+b\sum_{i=1}^n\frac{1}{a_i-b}\right](a_1-b)\cdots(a_n-b)$ .

Find the determinant:

$$\det A = \begin{vmatrix} a + x_1 & a + x_2 & a + x_3 \\ a^2 + x_1^2 & a^2 + x_2^2 & a^2 + x_3^2 \\ a^3 + x_1^3 & a^3 + x_2^3 & a^2 + x_3^3 \end{vmatrix}$$

$$\det A = \begin{vmatrix} 1 & 0 & 0 & 0 \\ a & a + x_1 & a + x_2 & a + x_3 \\ a^2 & a^2 + x_1^2 & a^2 + x_2^2 & a^2 + x_3^2 \\ a^3 & a^3 + x_1^3 & a^3 + x_2^3 & a^3 + x_3^3 \end{vmatrix} = \begin{vmatrix} 1 & -1 & -1 & -1 \\ a & x_1 & x_2 & x_3 \\ a^2 & x_1^2 & x_2^2 & x_3^2 \\ a^3 & x_1^3 & x_2^3 & x_3^3 \end{vmatrix}$$
$$= - \begin{vmatrix} 1 & 1 & 1 & 1 \\ a & x_1 & x_2 & x_3 \\ a^2 & x_1^2 & x_2^2 & x_3^2 \\ a^3 & x_1^3 & x_2^3 & x_3^3 \end{vmatrix} + \begin{vmatrix} 2 & 0 & 0 & 0 \\ a & x_1 & x_2 & x_3 \\ a^2 & x_1^2 & x_2^2 & x_3^2 \\ a^3 & x_1^3 & x_2^3 & x_3^3 \end{vmatrix}$$

Back to Type 3.

Find the determinant:

$$\det A = \begin{vmatrix} 0 & b & 0 & a \\ a & 0 & b & 0 \\ 0 & a & 0 & b \\ b & 0 & a & 0 \end{vmatrix}$$

We can have cofactor expansion on column 1:

$$\det A = -a \begin{vmatrix} b & 0 & a \\ a & 0 & b \\ 0 & a & 0 \end{vmatrix} - b \begin{vmatrix} b & 0 & a \\ 0 & b & 0 \\ a & 0 & b \end{vmatrix} = (a^2 - b^2) \begin{vmatrix} b & 0 & a \\ 0 & 1 & 0 \\ a & 0 & b \end{vmatrix} = -(a^2 - b^2)^2$$

This problem is solved, but it is not a general method for Type 5.

Find the determinant:

$$\det A = \begin{vmatrix} x & y & z & w \\ y & x & w & z \\ z & w & x & y \\ w & z & y & x \end{vmatrix}$$

Solution: factor extraction.

$$\det A = \begin{vmatrix} x & y & z & w \\ y & x & w & z \\ z & w & x & y \\ w & z & y & x \end{vmatrix} = (x + y + z + w) \begin{vmatrix} 1 & 1 & 1 & 1 \\ y & x & w & z \\ z & w & x & y \\ w & z & y & x \end{vmatrix}$$

$$\det A = \begin{vmatrix} x & y & z & w \\ y & x & w & z \\ z & w & x & y \\ w & z & y & x \end{vmatrix} = (x + y - z - w) \begin{vmatrix} 1 & 1 & -1 & -1 \\ y & x & w & z \\ z & w & x & y \\ w & z & y & x \end{vmatrix}$$

$$\det A = \begin{vmatrix} x & y & z & w \\ y & x & w & z \\ z & w & x & y \\ w & z & y & x \end{vmatrix} = (x + z - y - w) \begin{vmatrix} 1 & -1 & 1 & -1 \\ y & x & w & z \\ z & w & x & y \\ w & z & y & x \end{vmatrix}$$

$$\det A = \begin{vmatrix} x & y & z & w \\ y & x & w & z \\ z & w & x & y \\ w & z & y & x \end{vmatrix} = (x + w - y - z) \begin{vmatrix} 1 & -1 & -1 & 1 \\ y & x & w & z \\ z & w & x & y \\ w & z & y & x \end{vmatrix}$$

So, the determinant must satisfy

$$\det A = k(x + y + z + w)(x + y - z - w)(x + z - y - w)(x + w - y - z)$$

Check coefficient of  $x^4$  (1):

$$\det A = (x + y + z + w)(x + y - z - w)(x + z - y - w)(x + w - y - z)$$

Back to the first example:

$$\det A = \begin{vmatrix} 0 & b & 0 & a \\ a & 0 & b & 0 \\ 0 & a & 0 & b \\ b & 0 & a & 0 \end{vmatrix}$$

By factor extraction:

$$\det A = k(a+b)(a-b)(a+b)(a-b)$$

Check coefficient of  $a^4$  (-1):

$$\det A = -(a+b)(a-b)(a+b)(a-b) = -(a^2-b^2)^2$$