

## Homework 4

**Problem 1.** Give a model for the sentence

$$\begin{aligned}\phi_{\text{lt}} = & \forall x [R_1(x, x)] \\ & \wedge \forall x, y [R_1(x, y) \leftrightarrow R_1(y, x)] \\ & \wedge \forall x, y, z [(R_1(x, y) \wedge R_1(y, z)) \rightarrow R_1(x, z)] \\ & \wedge \forall x, y [R_1(x, y) \rightarrow \neg R_2(x, y)] \\ & \wedge \forall x, y [\neg R_1(x, y) \rightarrow (R_2(x, y) \oplus R_2(y, x))] \\ & \wedge \forall x, y, z [(R_2(x, y) \wedge R_2(y, z)) \rightarrow R_2(x, z)] \\ & \wedge \forall x \exists y [R_2(x, y)].\end{aligned}$$

**Solution.** Consider the model  $\mathcal{U}$  as such:

1. Universe  $U = \mathbb{Z}$ ;
2. Relation  $R_1 = \{(x, y) | x = y\}$ ;
3. Relation  $R_2 = \{(x, y) | x < y\}$ .

Then the first three lines of the condition are satisfied by the reflexive, symmetric and transitive properties of " $=$ ". The fourth and fifth lines are satisfied by the trichotomy of the strict total order " $<$ ". The sixth line is the transitive property of " $<$ ". The seventh line is satisfied by the fact that there is no biggest integer.

**Problem 2.** Prove that the Halting problem with empty input

$$\text{HALT}_\varepsilon = \{\langle M \rangle \mid M \text{ halts on empty input.}\}$$

is undecidable.

**Solution.** Suppose that  $\text{HALT}_\varepsilon$  is decidable, and TM  $H$  is the always-halting Turing Machine that recognize  $\text{HALT}_\varepsilon$

Construct another TM  $B$ , which, on any input:

1. Obtain its own code  $\langle B \rangle$ ;
2. Run  $H(\langle B \rangle)$ ;

- (a) if  $H(\langle B \rangle)$  accepts, loop;
- (b) if  $H(\langle B \rangle)$  rejects, halt.

Because  $H$  always halts we can always get the answer of  $H(\langle B \rangle)$  in finite time, So the behavior of  $B$  is well-defined.

Then we can consider the question of whether  $B$  halts on empty input. If  $B$  halts, then  $\langle B \rangle \in \text{HALT}_\varepsilon$ , so  $H(\langle B \rangle)$  should accept. This lead  $B(\varepsilon)$  to case 2.(a), and so  $B(\varepsilon)$  should loop, which is a contradiction.

If  $B$  loops on empty input, then  $H(\langle B \rangle)$  should reject, and  $B(\varepsilon)$  should go into case 2.(b) and halt, where contradiction also occurs.

Thus we can conclude that such always-halting  $H$  does not exist, and  $\text{HALT}_\varepsilon$  is undecidable.

**Problem 3.** Show that any infinite subset of  $\text{MIN}_{\text{TM}}$  is not Turing-recognizable where  $\text{MIN}_{\text{TM}}$  is a language defined in the class.

**Solution.** Suppose  $S \subset \text{MIN}_{\text{TM}}$  is an infinite TM-recognizable subset, and TM  $R$  is the machine that can recognize strings in  $S$ . Now we will construct an enumerator  $E$  that enumerates all TMs in  $S$ . Because the set of all TMs are countable (since they are all finite-length strings), we can denote them as  $M_1, M_2, M_3, \dots$ . The enumerator  $E$  can be constructed as follows:

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**Algorithm 1:** Enumerator for  $S$

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1 for  $i = 1, 2, 3, \dots$  do
2   | Run  $R$  on  $M_1, M_2, \dots, M_i$  for  $i$  steps;
3   | Print all  $M_j$  that  $R$  accepts within  $i$  steps and hasn't been printed
   | before.
4 end
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As all strings in  $S$  can be accepted by  $R$  in finite steps, say  $M_k \in S$  is accepted by  $R$  in  $m$  steps, then  $M_k$  will be enumerated by  $E$  in the max  $m, k$ -th iteration. Thus all TMs in  $S$  will be enumerated by  $E$  in finite steps.

Based on the enumerator  $E$ , we can construct a TM  $C$  as such:

On input  $w$ :

1. obtain its own code  $\langle C \rangle$ ;
2. run  $E$  until a machine  $D$  appears such that  $|\langle D \rangle| > |\langle C \rangle|$ ;
3. simulate  $D$  on  $w$ .

The TM  $D$  with a longer description is can always be found in finite steps by the enumerator because  $S$  is infinite. If the description length of TMs in  $S$  has an upper bound then the number of elements in  $S$  will be limited. So we can ensure the TM  $C$  is well-defined.

Meanwhile we can see that TM  $C$  is equivalent to  $D$  and has a shorter description, which means that  $D$  isn't the minimal machine that conduct what it does. This raises a contradiction to  $D \in S \subset \text{MIN}_{\text{TM}}$ . Thus we can conclude that  $S$  is not Turing-recognizable.

#### Problem 4.

- (a) Prove a special case of the S-m-n theorem, the Currying technique for Turing machines. That is, show that there is a computable function  $S_1^1 : \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$  mapping the description of Turing machine  $T$  and input  $x$  to the description of a Turing machine  $S$  such that (1)  $S$  on input  $y$  computes the same output as  $T$  on input  $\langle x, y \rangle$  if  $T$  halts; and (2)  $S$  loops on input  $y$  if  $T$  loops on input  $\langle x, y \rangle$ .
- (b) Prove Kleene's recursion theorem by item (a) and Roger's fixed-point theorem.

#### Solution.

- (a) Consider TM  $M$  as such:  
On input  $\langle \langle T \rangle, x \rangle$ :
  - (a) Construct TM  $S$ : "On input  $y$ , simulate  $T$  on input  $\langle x, y \rangle$ ";
  - (b) Print  $\langle S \rangle$ .
- (b) We want to prove that for any computable function  $t : \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$ , there exists a TM  $R$  for computable function  $r : \Sigma^* \rightarrow \Sigma^*$ ,  $r(w) = t(\langle R \rangle, w)$ . For any such  $t$ , by item (a) we have TM  $S_1^1$  s.t.  $S_1^1(\langle T \rangle, x) = S$  where  $S(y) = T(x, y)$ . Since  $S_1^1$  itself is computable we can construct, by item (a), another TM  $S_T$  s.t.  $S_T(w) = S_1^1(\langle T \rangle, w)$ .  
By the Roger's fixed-point theorem, there exists TM  $R$  such that  $S_T(\langle R \rangle)$  describes a TM equivalent to  $R$ , which means  $R$  is equivalent to  $S_T(\langle R \rangle) = S_1^1(\langle T \rangle, \langle R \rangle) = S$  where  $S(y) = T(\langle R \rangle, y)$ . So for any input  $w$ ,  $R(w) = S(w) = T(\langle R \rangle, w)$ , which is the desired result.