

# 基础协议

## OT/OLE

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- 1 OT/OLE 定义
- 2 OT/OLE 基本构造
- 3 OT 预处理
- 4 OT 扩展
- 5 参考文献

# 1 OT/OLE 定义

## 2 OT/OLE 基本构造

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# OT/OLE 定义

不经意传输 (Oblivious Transfer, OT)[Rab05] 是一个重要的两方协议, 在各种 MPC 协议中均有应用。不经意线性函数求值 (Oblivious Linear-function Evaluation, OLE) 作为 OT 在算数域上的推广, 同样有着诸多应用:

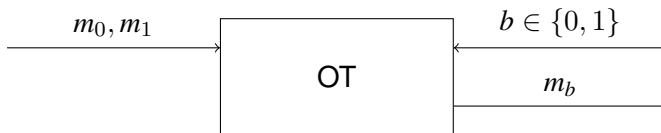
- Yao's GC
- GMW
- IT-MAC
- PSO
- ...

# OT 定义

发送方



接收方



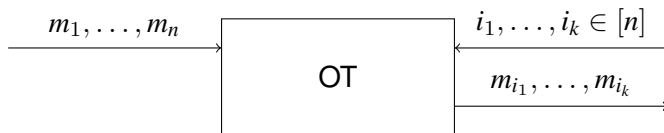
$$m_b = m_0 \oplus b(m_1 \oplus m_0)$$

# OT 定义

发送方



接收方



# OLE 定义

发送方



接收方



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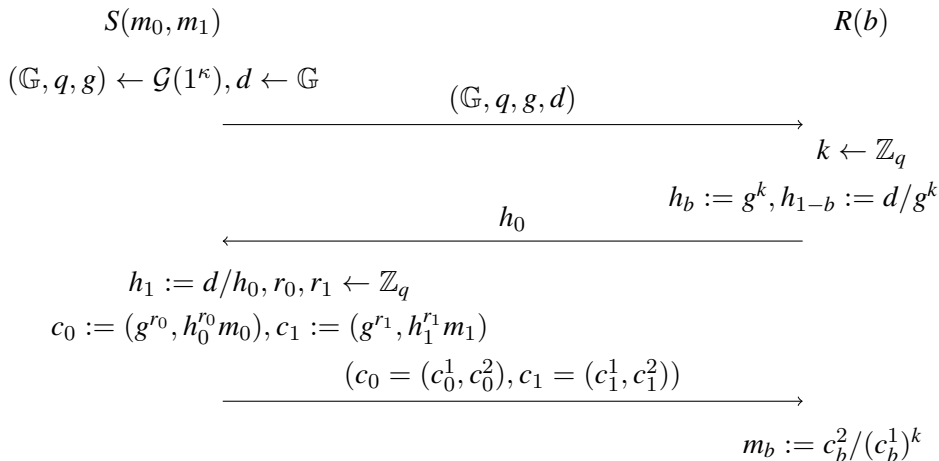


# OT 构造

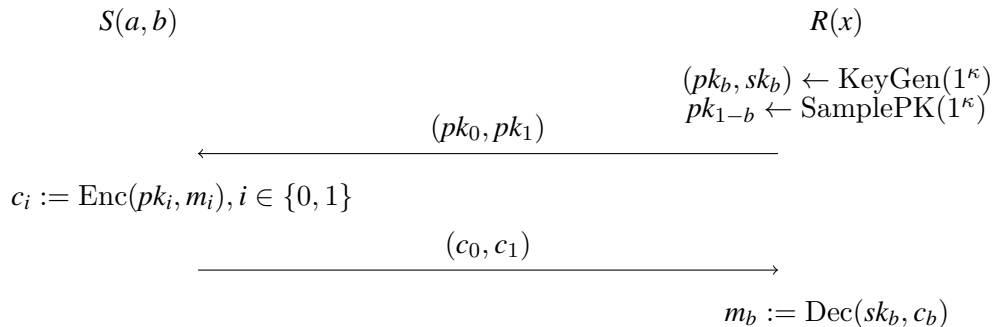
OT 可由诸多假设构造:

- DDH: [PVW08; MR19; MRR20; CSW20; MRR21]
- CDH: [NP01; CO15; Döt+20; MRR21]
- LWE: [PVW08; MR19; Bra+19; DD20; Qua20]
- LPN: [Döt+20]
- CSIDH: [LGSG21]

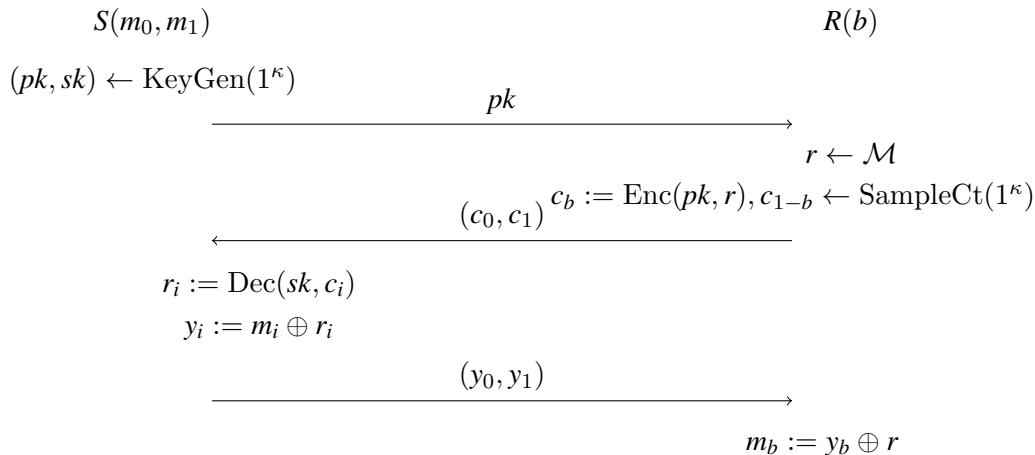
## OT from DDH



# OT from Type-I PKE



# OT from Type-II PKE



# OLE from AHE

 $S(a, b)$  $R(x)$  $(pk, sk) \leftarrow \text{KeyGen}(1^\kappa)$   
 $c := \text{Enc}(pk, x)$  $pk, c$  $\longleftarrow$  $\text{Enc}(pk, y) := a\text{Enc}(pk, x) + \text{Enc}(pk, b)$  $\text{Enc}(pk, y)$  $\longrightarrow$  $y := \text{Dec}(sk, \text{Enc}(pk, y))$

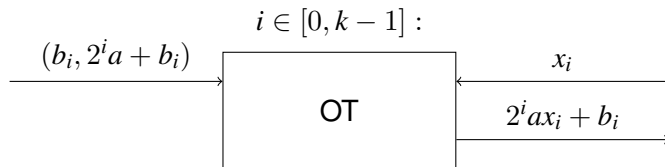
## OLE from OT

$$S(a, b)$$

$$R(x)$$

$$b := b_0 + \dots + b_{k-1}$$

$$x := (x_0, \dots, x_{k-1}) \in \{0, 1\}^k$$



$$y := \sum_{i \in [0, k-1]} 2^i a x_i + b_i$$

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# 几种 OT 变体

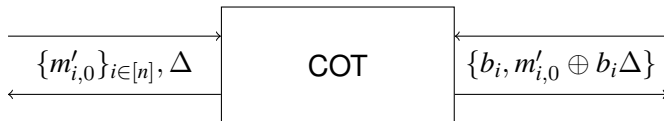
- 标准 OT:  $\mathcal{F}_{OT}(\{m_{i,0}, m_{i,1}\}_{i \in [n]}, b \in \{0, 1\}^n) \rightarrow (\perp, \{m_{i,b_i}\}_{i \in [n]})$
- 随机 OT:  $\mathcal{F}_{ROT}(\perp, \perp) \rightarrow (\{m_{i,0}, m_{i,1}\}_{i \in [n]}, \{b_i, m_{i,b_i}\}_{i \in [n]})$
- 相关 OT:  $\mathcal{F}_{COT}(\perp, \perp) \rightarrow ((\{m_{i,0}\}_{i \in [n]}, \Delta), \{b_i, m_{i,0} \oplus b_i \Delta\}_{i \in [n]})$

OT 预处理:  $COT \implies ROT \implies OT$



# OT 预处理

COT  $\implies$  ROT: 令  $H : \{0, 1\}^\kappa \rightarrow \{0, 1\}^\kappa$  是相关鲁棒哈希函数 (Correlated Robust Hash Function, CRHF).

 $S$  $R$ 

$$m_{i,0} := H(m'_{i,0}), m_{i,1} := H(m'_{i,0} \oplus \Delta)$$

$$m_{i,b_i} := H(m'_{i,0} \oplus b_i \Delta)$$

## 相关鲁棒哈希函数

### 定义 (Correlated Robust Hash Function, CRHF)

令  $H: \{0, 1\}^\kappa \rightarrow \{0, 1\}^\kappa$  是一个函数, 令  $\mathcal{R}$  是一个  $\{0, 1\}^\kappa$  上的分布,  $R \in \{0, 1\}^\kappa$ , 定义  $\mathcal{O}_R^{cr}(x) := H(x \oplus R)$ 。对一个区分器  $D$ , 定义:

$$\text{Adv}_{H, \mathcal{R}}^{cr} := |\Pr_{R \leftarrow \mathcal{R}}[D^{\mathcal{O}_R^{cr}(\cdot)} = 1] - \Pr_{f \leftarrow F_k}[D^f(\cdot) = 1]|$$

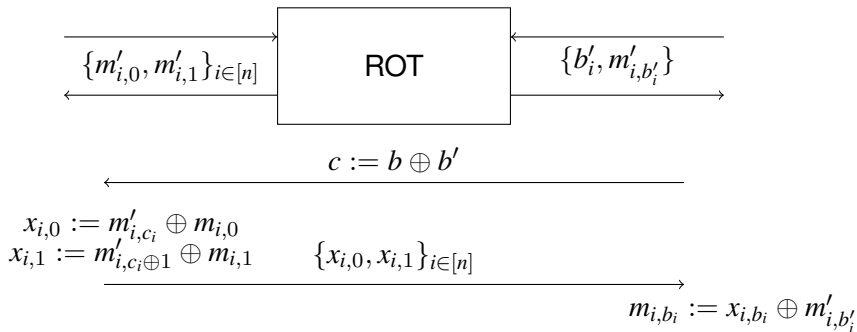
称  $H$  是  $(t, q, \rho, \epsilon)$ -相关鲁棒哈希函数, 如果对所有运行时间最多为  $t$ , 询问  $\mathcal{O}_R^{cr}(\cdot)$  次数最多为  $q$  的  $D$ , 所有具有最小熵  $\rho$  的  $\mathcal{R}$ , 有  $\text{Adv}_{H, \mathcal{R}}^{cr}(D) \leq \epsilon$ 。

# OT 预处理

ROT  $\Rightarrow$  OT:

$$S(\{m_{i,0}, m_{i,1}\}_{i \in [n]})$$

$$R(b)$$





# OT 扩展主要方法

由于使用公钥操作生成 OT 实例开销很大, Beaver[Bea96] 首先提出 OT 扩展 (OT extension, OTe) 的概念, OTe 是指用少量 OT 实例加上一些对称操作生成大量 OT 实例的方法。OTe 极大地缩减了生成 OT 所需的开销, 使得很多 MPC 协议效率得到了提升。目前 OTe 的主要方法:

- Beaver 的 GC 方法 [Bea96].
  - 需要非黑盒求值 PRG 电路
  - 理论构造
- IKNP 框架 [Ish+03; Ash+13; Ash+15; KOS15; OOS17; Roy22].
  - 计算开销低
  - 每个 COT 实例通信  $\kappa$  比特
- Silent OT/PCG 框架 [Boy+19a; Boy+19b; Sch+19; Yan+20; Boy+20; Wen+21; CRR21; Guo+22]
  - 计算开销高.
  - 通信亚线性: 生成  $n$  个 COT 实例只需  $O(\log n)$  通信.

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- PCG 框架

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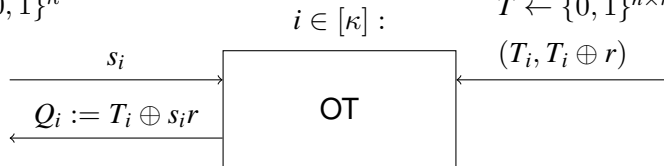
## IKNP 框架

$$S(\{m_{i,0}, m_{i,1}\}_{i \in [n]})$$

$$s \leftarrow \{0, 1\}^\kappa$$

$$R(r \in \{0, 1\}^n)$$

$$T \leftarrow \{0, 1\}^{n \times \kappa}, T = [T_1 | \dots | T_\kappa]$$



$$Q := [Q_1 | \dots | Q_\kappa] = [q_1 | \dots | q_n]^T, q_j = t_j \oplus r_j s$$

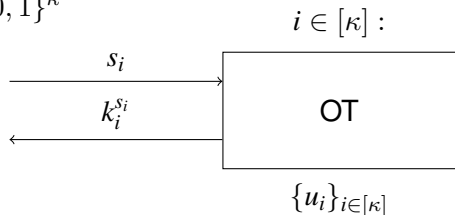
$$\begin{aligned} y_{j,0} &:= m_{j,0} \oplus H(q_j) \\ y_{j,1} &:= m_{j,1} \oplus H(q_j \oplus s) \end{aligned} \quad \{y_{j,0}, y_{j,1}\}_{j \in [n]}$$

$$m_{j,r_j} := y_{j,r_j} \oplus H(t_j)$$

## [Ash+13] 改进

$$S(\{m_{i,0}, m_{i,1}\}_{i \in [n]})$$

$$s \leftarrow \{0, 1\}^\kappa$$



$$R(r \in \{0, 1\}^n)$$

$$k_i^0, k_i^1 \leftarrow \{0, 1\}^\kappa, i \in [\kappa]$$

$$(k_i^0, k_i^1)$$

$$T_i := G(k_i^0), T := [T_1 | \dots | T_\kappa] \in \{0, 1\}^{n \times \kappa}$$

$$u_i := T_i \oplus G(k_i^1) \oplus r, i \in [\kappa]$$

$$Q_i := (s_i \cdot u_i) \oplus G(k_i^{s_i}) = s_i r \oplus T_i, i \in [\kappa]$$

$$Q := [Q_1 | \dots | Q_\kappa] = [q_1 | \dots | q_n]^T$$

...



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# PCG 框架

## 定义 ([Boy+19a], 相关生成器, Correlation Generator)

一个 PPT 算法  $\mathcal{C}$  称为相关生成器, 如果  $\mathcal{C}$  输入安全参数  $1^\kappa$ , 输出一对  $\{0, 1\}^n \times \{0, 1\}^n$  上的元素, 其中  $n \in \text{poly}(\kappa)$ .

## 定义 (可逆采样相关生成器, Reverse-sampleable Correlation Generator)

令  $\mathcal{C}$  是相关生成器, 我们称  $\mathcal{C}$  是可逆采样的, 如果存在 PPT 算法  $\text{RSample}$ , 对  $\sigma \in \{0, 1\}$ , 有如下分布计算不可区分:

$$\{(R'_0, R'_1) | (R_0, R_1) \leftarrow \mathcal{C}(1^\kappa), R_\sigma := R'_\sigma, R'_{1-\sigma} := \text{RSample}(\sigma, R_\sigma)\} \approx \{(R_0, R_1) | (R_0, R_1) \leftarrow \mathcal{C}(1^\kappa)\}$$

# PCG 框架

伪随机相关生成器 (Pseudorandom Correlation Generator, PCG)[Boy+19a] 指的是两个算法 (Gen, Expand):

- $\text{Gen}(1^\kappa)$ : 输入安全参数  $1^\kappa$ , 输出一对种子  $(k_0, k_1)$ .
- $\text{Expand}(\sigma, k_\sigma)$ : 输入一个比特  $\sigma \in \{0, 1\}$  和一个种子  $k_\sigma$ , 输出比特串  $R_\sigma \in \{0, 1\}^n$ .

正确性. 如下分布计算不可区分:

$$\{(R_0, R_1) | (k_0, k_1) \leftarrow \text{Gen}(1^\kappa), R_\sigma := \text{Expand}(\sigma, k_\sigma), \sigma \in \{0, 1\}\} \approx \{(R_0, R_1) | (R_0, R_1) \leftarrow \mathcal{C}(1^\kappa)\}$$

安全性. 对  $\sigma \in \{0, 1\}$ , 如下分布计算不可区分:

$$\{(k_{1-\sigma}, R_\sigma) | (k_0, k_1) \leftarrow \text{Gen}(1^\kappa), R_\sigma := \text{Expand}(\sigma, k_\sigma)\} \approx \{(k_{1-\sigma}, R_\sigma) | (k_0, k_1) \leftarrow \text{Gen}(1^\kappa), R_{1-\sigma} := \text{Expand}(1 - \sigma, k_{1-\sigma}), R_\sigma \leftarrow \text{RSample}(1 - \sigma, R_{1-\sigma})\}$$

# PCG 框架 OTe

使用 PCG 框架生成 COT:

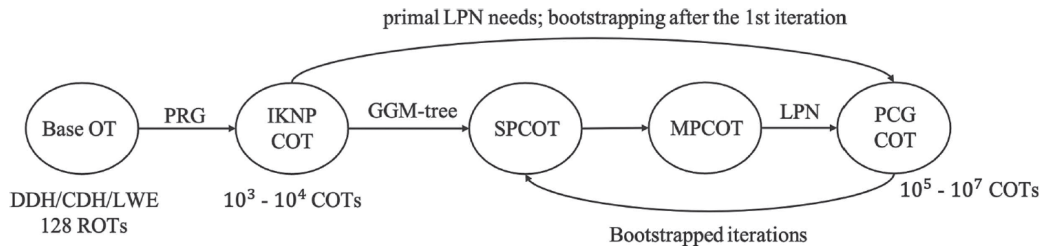
- $R_0 = (\{m_{i,0}\}_{i \in [n]}, \Delta), R_1 = (b, \{m_{i,0} \oplus b_i \Delta\}_{i \in [n]}).$
- 双方执行协议, 该协议计算 Gen 算法, 将  $k_0$  发给  $P_0$ , 将  $k_1$  发给  $P_1$ .
- 双方根据协议的输出本地计算  $R_\sigma \leftarrow \text{Expand}(\sigma, k_\sigma).$

# PCG 框架 OTe 路线

涉及到以下功能：

- COT:  $\mathcal{F}_{COT}(\Delta \in \mathbb{F}_{2^\kappa}, \perp) \rightarrow (\vec{v} \in \mathbb{F}_{2^\kappa}^n, (\vec{u} \in \mathbb{F}_2^n, \vec{w} \in \mathbb{F}_{2^\kappa}^n)). \vec{w} = \vec{v} + \vec{u}\Delta.$
- 单点 COT(Single-Point COT, SPCOT):  
 $\mathcal{F}_{SPCOT}(\Delta \in \mathbb{F}_{2^\kappa}, \alpha \in [n]) \rightarrow (\vec{v} \in \mathbb{F}_{2^\kappa}^n, (\vec{u} \in \mathbb{F}_2^n, \vec{w} \in \mathbb{F}_{2^\kappa}^n)), \vec{u} = \mathcal{I}(n, \alpha)$
- 多点 COT(Multi-Point COT, MPCOT):  $\mathcal{F}_{MPCOT}(\Delta \in \mathbb{F}_{2^\kappa}, Q \subset [n]) \rightarrow (\vec{v} \in \mathbb{F}_{2^\kappa}^n, (\vec{u} \in \mathbb{F}_2^n, \vec{w} \in \mathbb{F}_{2^\kappa}^n)), \vec{u} = \mathcal{I}(n, Q), Q = \{\alpha_0, \dots, \alpha_{t-1}\}$

# PCG 框架 OTe 路线



## SPCOT

$$G : \{0, 1\}^\kappa \rightarrow \{0, 1\}^{2\kappa}, h = \log n.$$

$$S(\Delta)$$

$$s_0^0 \leftarrow \{0, 1\}^\kappa, (s_{2j}^i, s_{2j+1}^i) \leftarrow G(s_j^{i-1}), i \in [h], j \in [2^{i-1}]$$

$$K_0^i := \bigoplus_{j \in [2^{i-1}]} s_{2j}^i, K_1^i := \bigoplus_{j \in [2^{i-1}]} s_{2j+1}^i, i \in [h]$$

$$(K_0^i, K_1^i)$$

$$\vec{v} := (s_0^h, \dots, s_{n-1}^h) \in \mathbb{F}_{2^\kappa}^n$$

$$c := \Delta + \sum_{i \in [n]} \vec{v}[i]$$

OT

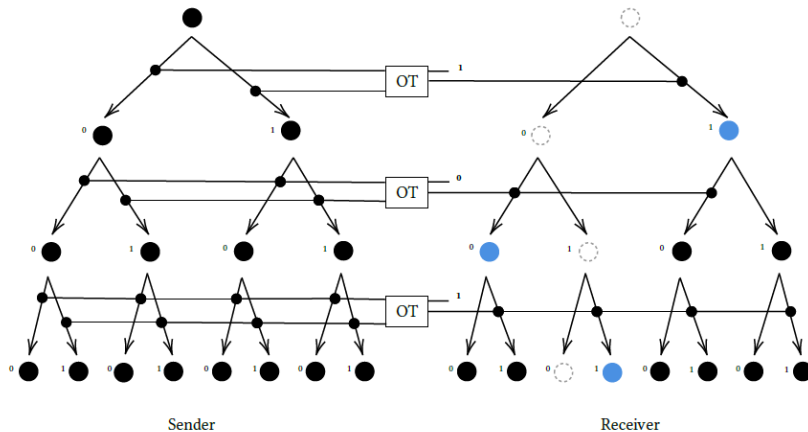
$$\bar{\alpha}_i$$

$$K_{\bar{\alpha}_i}^i$$

计算  $\vec{w}[i], i \in [n] \setminus \{\alpha\}$ 

$$\vec{w}[\alpha] := c + \sum_{i \in [n] \setminus \{\alpha\}} \vec{w}[i]$$

# SPCOT





## MPCOT-uniform noise

$$S(\Delta)$$

$$\mathcal{B} \leftarrow \text{SimpleH}_{h_1, h_2, h_3}^m([n])$$

$$R(Q = \{\alpha_0, \dots, \alpha_{t-1}\})$$

$$T \leftarrow \text{CuckooH}_{h_1, h_2, h_3}^m(Q), \mathcal{B} \leftarrow \text{SimpleH}_{h_1, h_2, h_3}^m([n])$$

$$\text{pos}_j : \mathcal{B}_j \rightarrow [|\mathcal{B}_j|]$$

$$p_j = \begin{cases} |\mathcal{B}_j| + 1 & T[j] = \perp; \\ \text{pos}_j(T[j]) & \text{else} \end{cases}$$

$$j \in [m] :$$



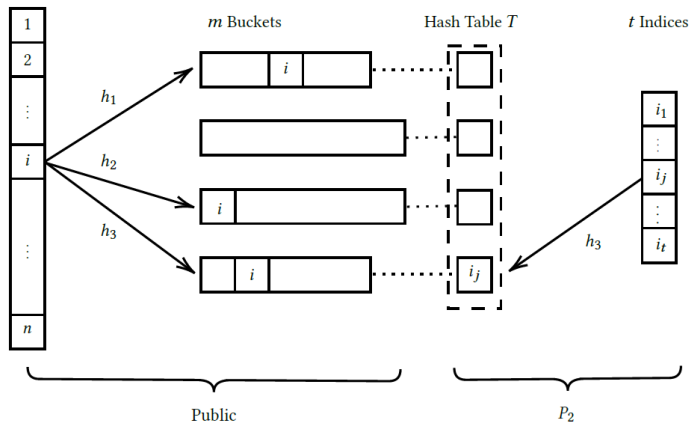
$$x \in [n] :$$

$$\vec{v}[x] := \sum_{i \in [3]} \tilde{v}_{h_i(x)}[\text{pos}_{h_i(x)}(x)] \in \mathbb{F}_{2^\kappa}$$

$$x \in [n] :$$

$$\vec{w}[x] := \sum_{i \in [3]} \tilde{w}_{h_i(x)}[\text{pos}_{h_i(x)}(x)] \in \mathbb{F}_{2^\kappa}$$

# MPCOT- uniform noise

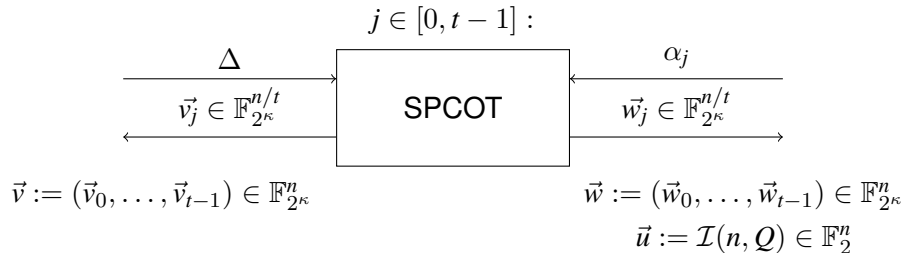


# MPCOT-regular noise

假设  $Q$  的  $t$  个位置均匀分布在每个  $n/t$  长的块, 即  $\alpha_i \in [in/t, (i+1)n/t]$ .

$$S(\Delta)$$

$$R(Q = \{\alpha_0, \dots, \alpha_{t-1}\})$$



# Learning Parity with Noise

## 定义 (Primal LPN)

令  $\mathcal{D}(\mathcal{R}) = \{\mathcal{D}_{k,n}\}$  表示环  $\mathcal{R}$  上的一族分布, 使得对任意  $k, n \in \mathbb{N}$ ,  $\text{Im}(\mathcal{D}_{k,n}(\mathcal{R})) \subset \mathcal{R}^n$ 。令  $C$  是一个概率编码生成算法, 使得  $C(k, n, \mathcal{R})$  输出一个矩阵  $A \in \mathcal{R}^{k \times n}$ 。对于维度  $k = k(\kappa)$ , 采样数  $n = n(\kappa)$ , 环  $\mathcal{R} = \mathcal{R}(\kappa)$ ,  $(\mathcal{D}, C, \mathcal{R})$ -LPN( $k, n$ ) 假设是说:

$$\{(A, b) | A \leftarrow C(k, n, \mathcal{R}), e \leftarrow \mathcal{D}_{k,n}(\mathcal{R}), u \leftarrow \mathcal{R}^k, b \leftarrow u \cdot A + e\} \approx \{(A, b) | A \leftarrow C(k, n, \mathcal{R}), b \leftarrow \mathcal{R}^n\}$$

## 定义 (Dual LPN/Regular Syndrome Decoding, RSD)

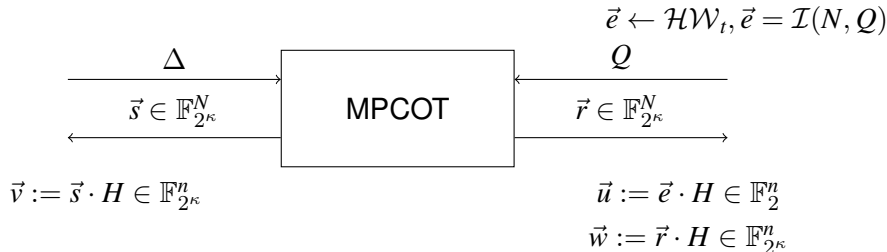
令  $\mathcal{D}(\mathcal{R})$  和  $C$  和之前相同,  $N, n \in \mathbb{N}, N > n$ , 定义

$C^\perp(N, n, \mathcal{R}) = \{H \in \mathcal{R}^{N \times n} : A \times H = 0, A \in C(N - n, N, \mathcal{R}), \text{rank}(B) = n\}$ 。对  $n = n(\kappa), N = N(\kappa), \mathcal{R} = \mathcal{R}(\kappa)$ ,  $(\mathcal{D}, C, \mathcal{R})$ -dual-LPN 假设是说:

$$\{(H, b) | H \leftarrow C^\perp(N, n, \mathcal{R}), e \leftarrow \mathcal{D}_{N-n, N}(\mathcal{R}), b \leftarrow e \cdot H\} \approx \{(H, b) | H \leftarrow C^\perp(N, n, \mathcal{R}), b \leftarrow \mathcal{R}^n\}$$

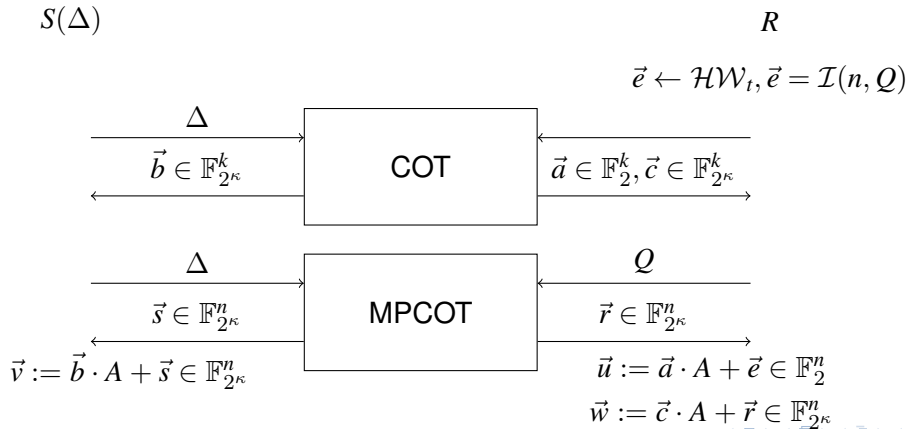
# COT from Dual LPN

参数:  $(\mathcal{HW}_t, C, \mathbb{F}_2)$ -dual-LPN,  $N = cn, c > 1$  (e.g.  $c = 2, 4$ ),  $H \in \mathbb{F}_2^{N \times n}$ .

 $S(\Delta)$ 
 $R$ 


# COT from Primal LPN

参数:  $(\mathcal{HW}_t, C, \mathbb{F}_2)$ -LPN,  $A \in \mathbb{F}_2^{k \times n}$ .



# 开销分析

一次  $n$  长 SPCOT:

- 需要  $\log n$  次 COT.

一次  $n$  长 MPCOT:

- Uniform: 需要  $m$  次  $|\mathcal{B}_j| + 1$  长 SPCOT, 即  $m \log |\mathcal{B}_j| + 1$  次 COT。其中  $m \approx 1.5t, |\mathcal{B}_j| \approx 3n/m$ , 因此总共需要  $1.5t \log 2n/t$  次 COT。
- Regular: 需要  $t$  次  $n/t$  长 SPCOT, 总共需要  $t \log n/t$  次 COT。

一次  $n$  长 COT:

- Dual LPN: 需要一次  $N$  长 MPCOT, 其中  $N = cn, c > 1$ 。即  $M = O(t \log n/t)$  次 COT。
- Primal LPN: 需要一次  $n$  长 MPCOT, 一次  $k$  长 COT。即  $M = k + O(t \log n/t)$  次 COT。

# 参数设置

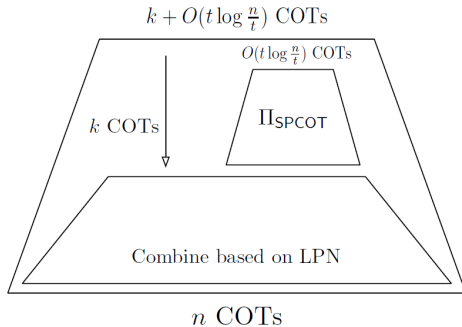
由于需要的基础 COT 数量  $M$  也比较大, [Yan+20] 提出, 可以先将  $M$  设置成第一阶段生成的 COT 数量 (即  $n_0 = M$ ), 以此确定一组更小的 LPN 参数  $(t_0, k_0, n_0)$ , 此时, 为了生成  $n_0$  个 COT, 需要  $M_0 = k_0 + \log n_0 / t_0$  个基础 COT。再用 IKNP 框架生成这  $M_0$  个基础 COT。

为了只做一次基础 COT, 在第一次做 OT 扩展时, 生成  $n + M$  个 COT, 保留  $M$  个留作下一次调用的基础 COT, 剩下  $n$  个作为这一次的 COT 输出。

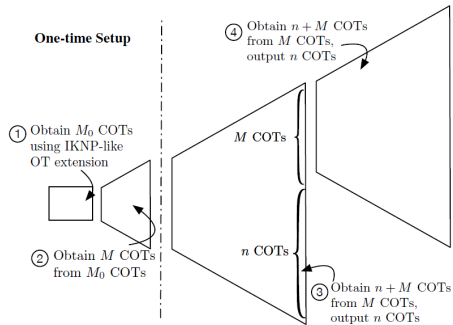
Protocol	One-time setup				Main iteration (output $10^7$ COTs)			
	splen <sub>0</sub>	$k_0$	$n_0$	$t_0$	splen	$k$	$n$	$t$
Ferret-Uni	$2^{10}$	37,248	616,092	1,254	$2^{14}$	588,160	10,616,092	1,324
Ferret-Reg	$2^9$	36,288	609,728	1,269	$2^{13}$	589,760	10,805,248	1,319



# PCG 框架



(a) Structure of the COT amplifier.



(b) COT iterations with a one-time setup.

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5 参考文献

## 主要参考文献

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*Thanks!*