Carnegie Mellon University

Vatabase Systems Query Execution I



ADMINISTRIVIA

Project #2 is due Sunday Oct 27th @ 11:59pm → **Saturday Office Hours** on Oct 26th @ 3:00-5:00pm

Homework #4 is due Sunday Nov 3rd @ 11:59pm

Mid-term exam grades will be posted tomorrow.



UPCOMING DATABASE TALKS

Spice.ai (DB Seminar)

- → Monday Oct 21st @ 4:30pm
- \rightarrow Zoom

Exon (DB Seminar)

- → Monday Oct 28th @ 4:30pm
- \rightarrow Zoom

Synnada (DB Seminar)

- → Monday Nov 4th @ 4:30pm
- \rightarrow Zoom









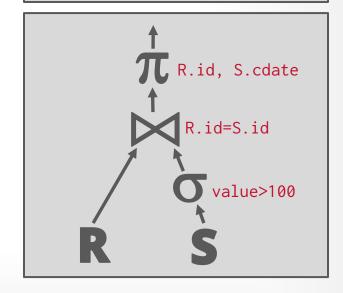
QUERY EXECUTION

A query plan is a DAG of operators.

A <u>pipeline</u> is a sequence of operators where tuples continuously flow between them without intermediate storage.

A <u>pipeline breaker</u> is an operator that cannot finish until all its children emit all their tuples.

→ Joins (Build Side), Subqueries, Order By





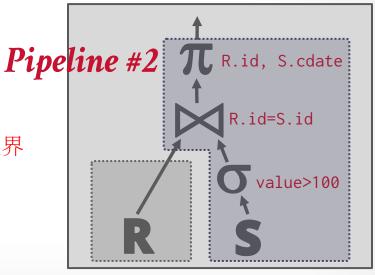
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Pipeline #1



TODAY'S AGENDA

Processing Models

Access Methods

Modification Queries

Expression Evaluation



PROCESSING MODEL

A DBMS's **processing model** defines how the system executes a query plan and moves data from one operator to the next.

→ Different trade-offs for workloads (OLTP vs. OLAP).

Each processing model is comprised of two types of execution paths:

- \rightarrow **Control Flow:** How the DBMS invokes an operator.
- → **Data Flow:** How an operator sends its results.

The output of an operator can be either whole tuples (NSM) or subsets of columns (DSM).



PROCESSING MODEL

Approach #1: Iterator Model — Most Common

Approach #2: Materialization Model Rare

Approach #3: Vectorized / Batch Model — Common



Each query plan <u>operator</u> implements a **Next()** function.

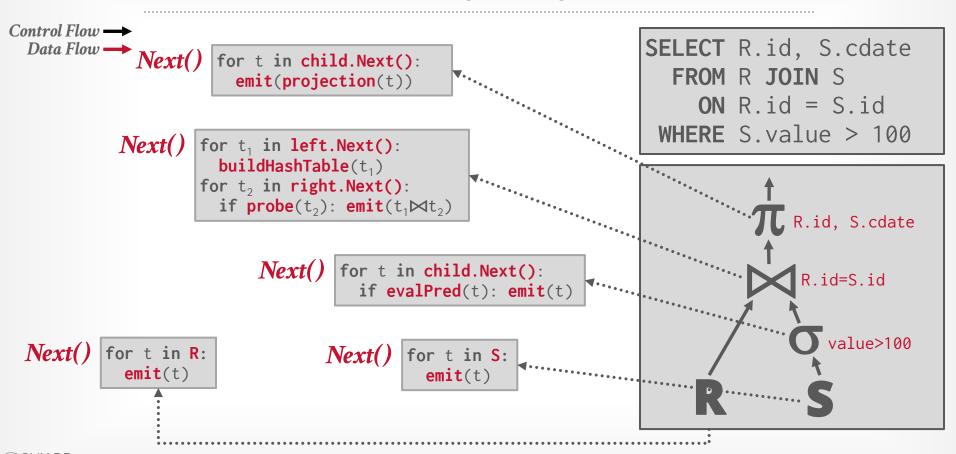
- → On each invocation, the operator returns either a single tuple or a EOF marker if there are no more tuples.
- → The operator implements a loop that calls **Next()** on its children to retrieve their tuples and then process them.

Each operator implementation also has **Open()** and **Close()** functions.

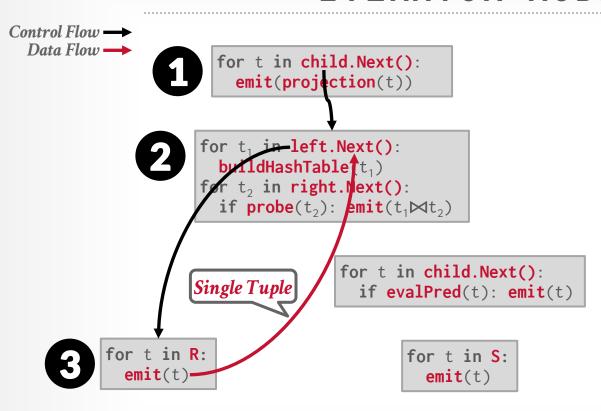
→ Analogous to constructors/destructors, but for operators.

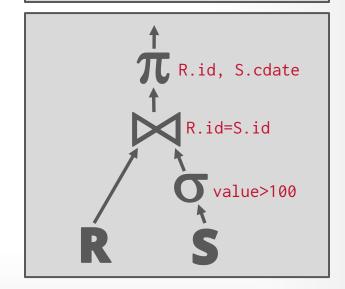
Also called **Volcano** or **Pipeline** Model.



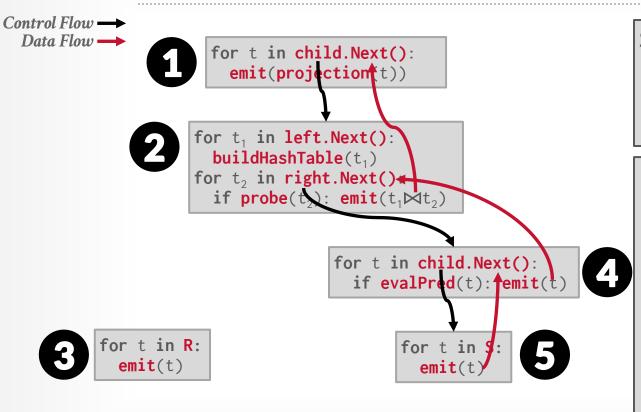


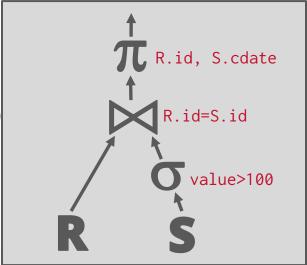
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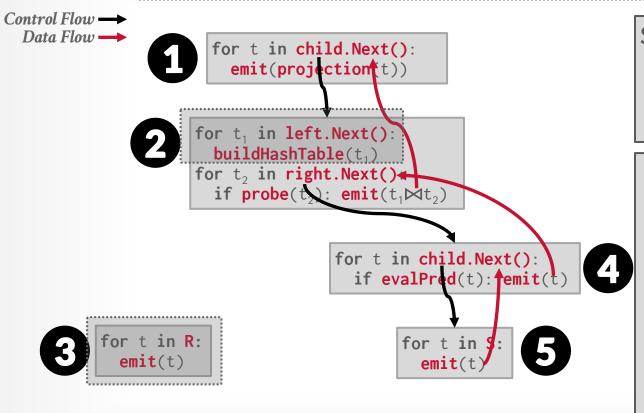


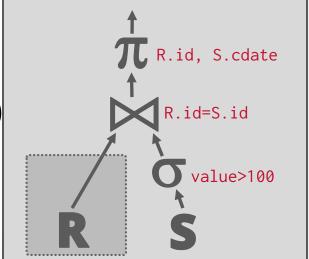




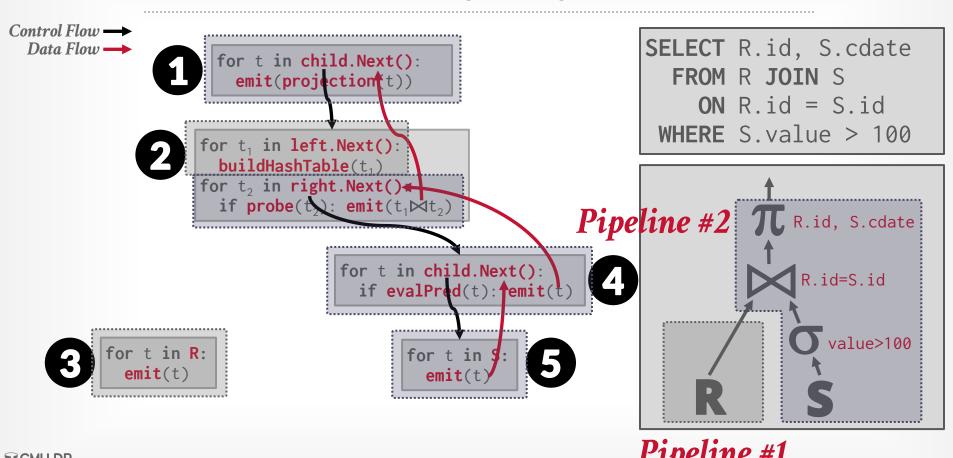








Pipeline #1



The Iterator model is used in almost every DBMS.

- \rightarrow Easy to implement / debug.
- → Output control works easily with this approach.

Allows for **pipelining** where the DBMS tries to process each tuple through as many operators as possible before retrieving the next tuple.

















CouchDB

















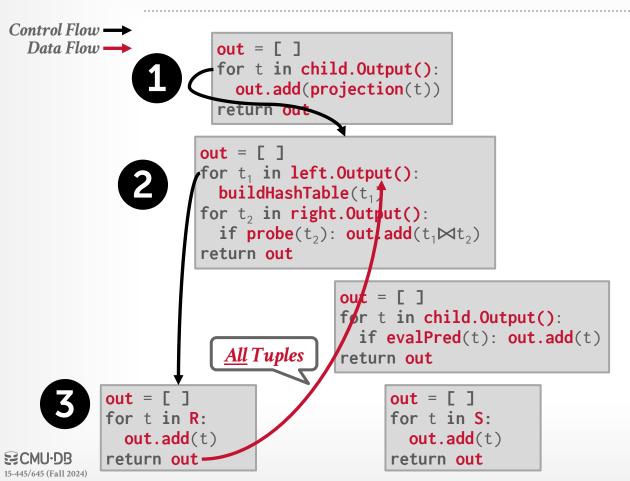
Each operator processes its input all at once and then emits its output all at once.

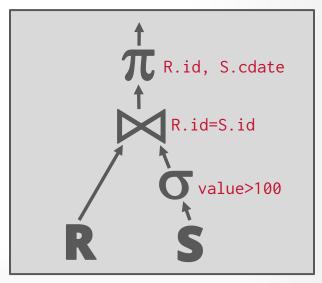
- \rightarrow The operator "materializes" its output as a single result.
- → The DBMS can push down hints (e.g., **LIMIT**) to avoid scanning too many tuples.
- \rightarrow Can send either a materialized row or a single column.

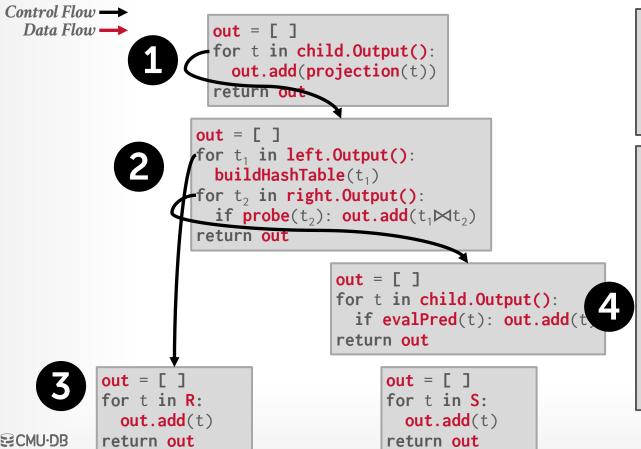
The output can be either whole tuples (NSM) or subsets of columns (DSM).

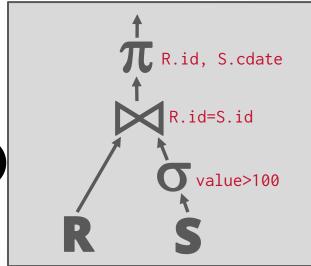
→ Originally developed by MonetDB in the 1990s to process entire columns at a time instead of single tuples.

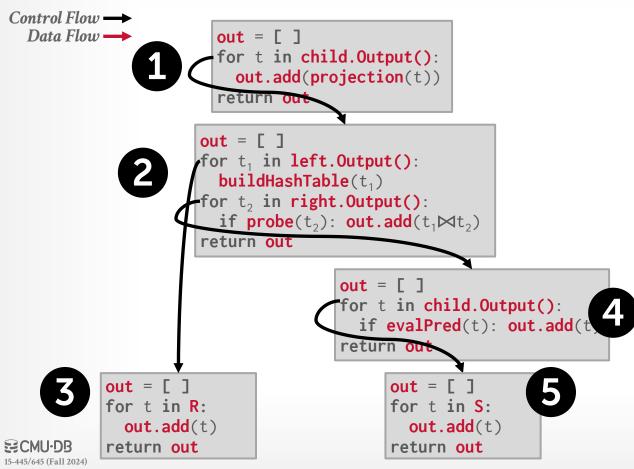


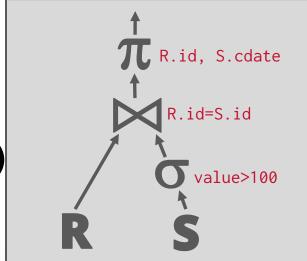


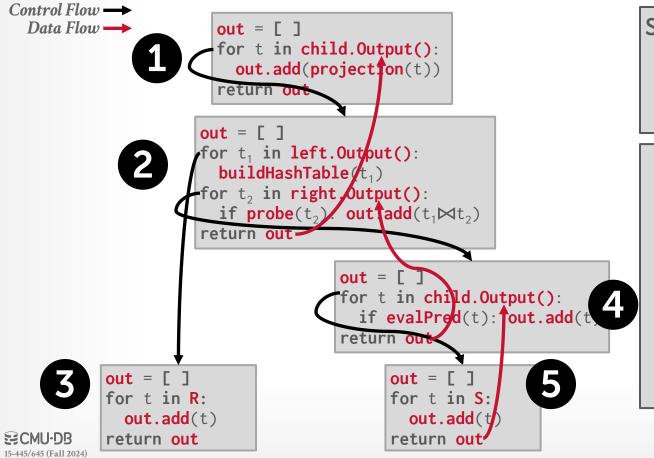


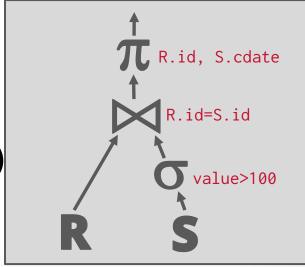


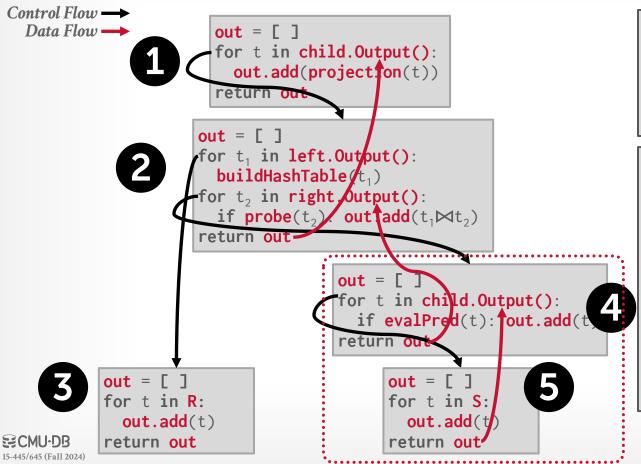


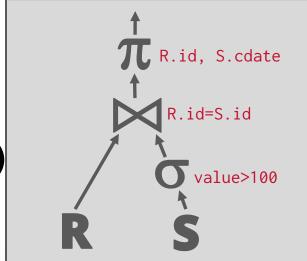


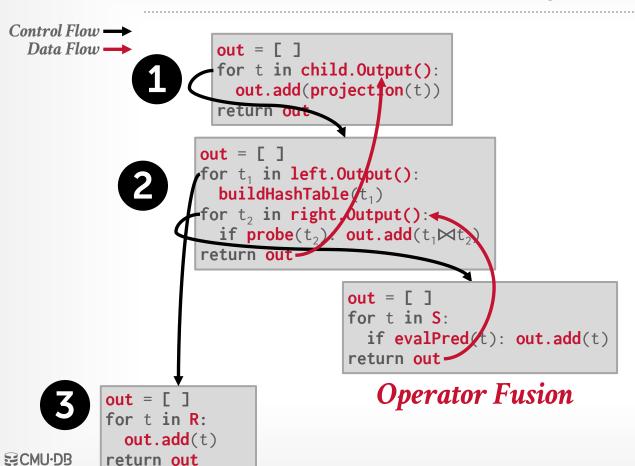


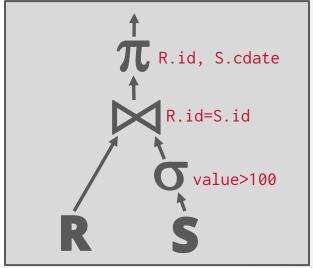












Better for OLTP workloads because queries only access a small number of tuples at a time.

- → Lower execution / coordination overhead.
- \rightarrow Fewer function calls.

Not ideal for OLAP queries with large intermediate results because DBMS must allocate buffers.

与 ITERATOR MODEL 的区别: Next() 函数返回 a single tuple or all tuples.

















Like the Iterator Model where each operator implements a **Next()** function, but...

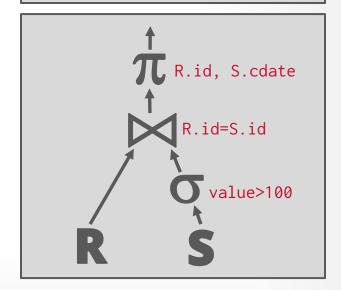
Each operator emits a **batch** of tuples instead of a single tuple.

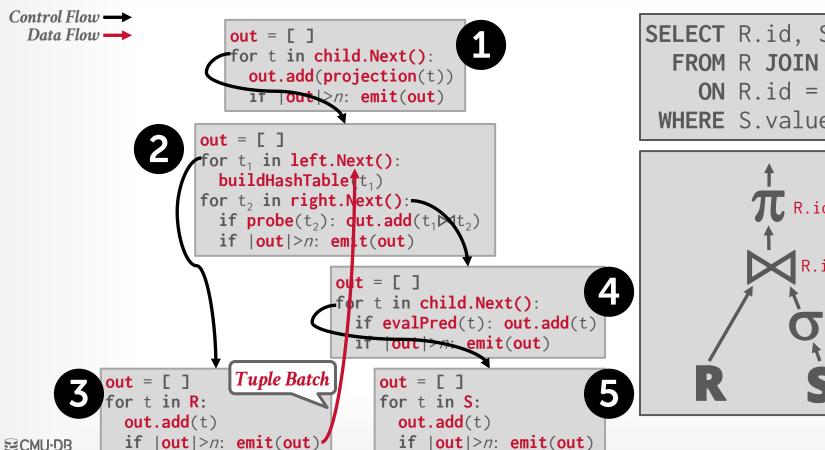
- → The operator's internal loop processes multiple tuples at a time.
- → The size of the batch can vary based on hardware or query properties.
- → Each batch will contain one or more columns each their own null bitmaps.

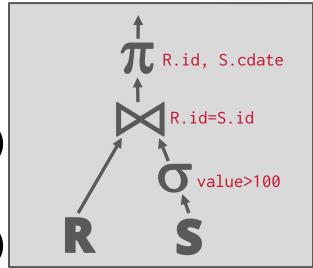


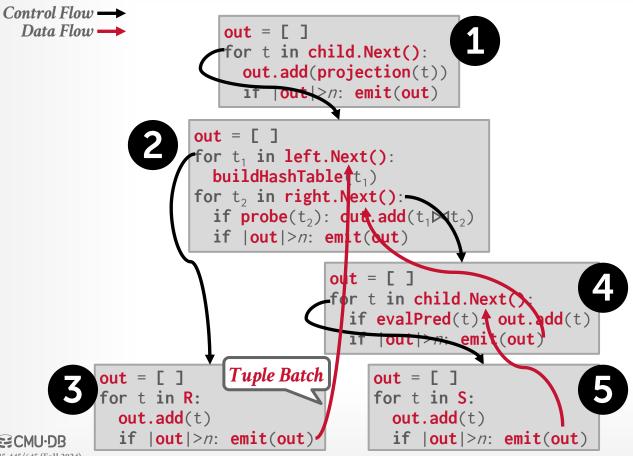
```
Control Flow -
  Data Flow
                            out = \Gamma 1
                            for t in child.Next():
                              out.add(projection(t))
                              if |out|>n: emit(out)
                        for t<sub>1</sub> in left.Next():
                           buildHashTable t<sub>1</sub>)
                        for t<sub>2</sub> in right.Next():
                          if probe(t_2): \operatorname{dut.add}(t_1 \bowtie t_2)
                           if |out|>n: em!t(out)
                                          for t in child.Next():
                                            if evalPred(t): out.add(t)
                                            if |out|>n: emit(out)
                            Tuple Batch
            out = []
                                               out = \Gamma 1
                                               for t in S:
            for t in R:
              out.add(t)
                                                  out.add(t)
               if |out|>n: emit(out)
                                                  if |out|>n: emit(out)
```

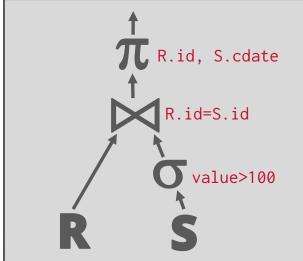
```
SELECT R.id, S.cdate
FROM R JOIN S
ON R.id = S.id
WHERE S.value > 100
```











Ideal for OLAP queries because it greatly reduces the number of invocations per operator.

Allows an out-of-order CPU to efficiently execute operators over batches of tuples.

- → Operators perform work in tight for-loops over arrays, which compilers know how to optimize / vectorize.
- \rightarrow No data or control dependencies.
- \rightarrow Hot instruction cache.













































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OBSERVATION

In the previous examples, the DBMS starts executing a query by invoking **Next()** at the root of the query plan and *pulling* data up from leaf operators.

This is the how most DBMSs implement their execution engine.



PLAN PROCESSING DIRECTION

Approach #1: Top-to-Bottom (Pull)

- → Start with the root and "pull" data up from its children.
- → Tuples are always passed between operators using function calls (unless it's a pipeline breaker).

Approach #2: Bottom-to-Top (Push)

- → Start with leaf nodes and "push" data to their parents.
- → Can "fuse" operators together within a for-loop to minimize intermediate result staging. operator fusion







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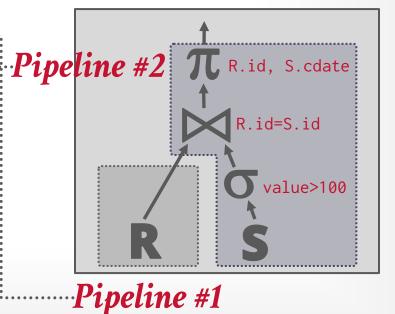
PUSH-BASED ITERATOR MODEL



FROM R JOIN S
ON R.id = S.id
WHERE S.value > 100

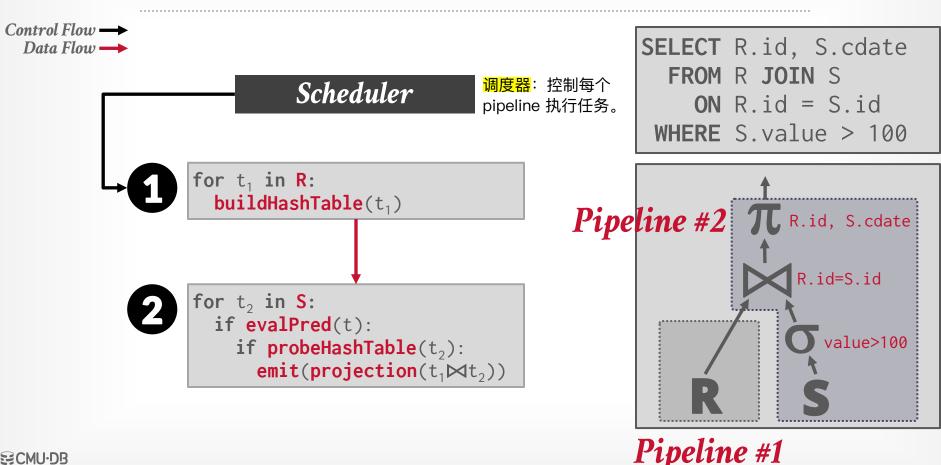
- for t₁ in R:
 buildHashTable(t₁)
- for t₂ in S: if evalPred(t): if probeHashTable(t₂): emit(projection(t₁⋈t₂))

Operator Fusion

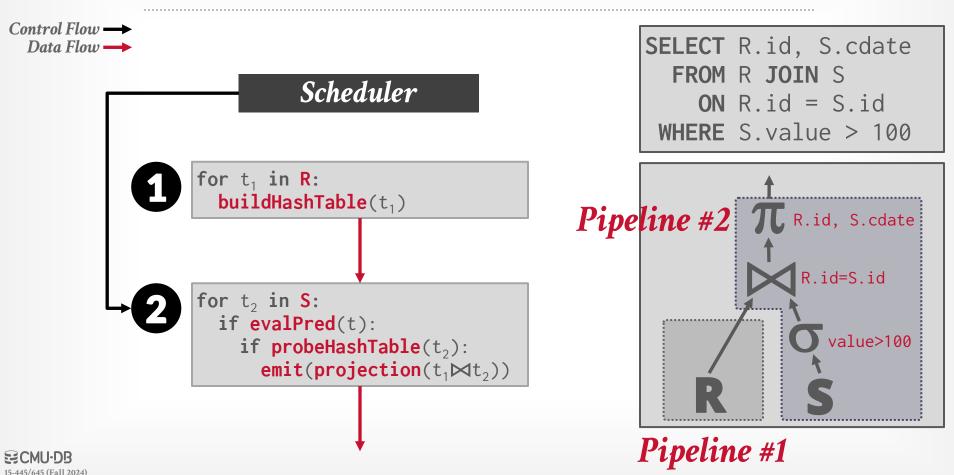




PUSH-BASED ITERATOR MODEL



PUSH-BASED ITERATOR MODEL



PLAN PROCESSING DIRECTION

Approach #1: Top-to-Bottom (Pull) — Most Common

- \rightarrow Easy to control output via **LIMIT**.
- → Parent operator blocks until its child returns with a tuple.
- → Additional overhead because operators' **Next()** functions are implemented as virtual functions.
- → Branching costs on each **Next()** invocation.

Approach #2: Bottom-to-Top (Push) Rare

- → Allows for tighter control of caches/registers in pipelines.
- → May not have exact control of intermediate result sizes.
- → Difficult to implement some operators (Sort-Merge Join).



ACCESS METHODS

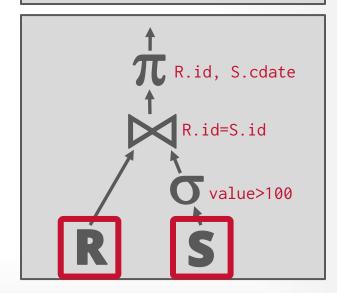
An <u>access method</u> is the way that the DBMS accesses the data stored in a table.

→ Not defined in relational algebra.

Three basic approaches:

- → Sequential Scan.
- → Index Scan (many variants).
- → Multi-Index Scan.

SELECT R.id, S.cdate
FROM R JOIN S
ON R.id = S.id
WHERE S.value > 100





SEQUENTIAL SCAN

For each page in the table:

- → Retrieve it from the buffer pool.
- → Iterate over each tuple and check whether to include it.

The DBMS maintains an internal cursor that tracks the last page / slot it examined.

```
for page in table.pages:
   for t in page.tuples:
     if evalPred(t):
        // Do Something!
```



SEQUENTIAL SCAN OPTIMIZATIONS

- Lecture #5 Data Encoding / Compression
 Lecture #06 Prefetching / Scan Sharing / Buffer Bypass
 Lecture #14 Task Parallelization / Multi-threading
 Lecture #08 Clustering / Sorting
 Lecture #12 Late Materialization
 Materialized Views / Result Caching
- Lecture #14 Data Parallelization / Vectorization Code Specialization / Compilation

Data Skipping



DATA SKIPPING

Approach #1: Approximate Queries (Lossy)

- → Execute queries on a sampled subset of the entire table to produce approximate results.
- → **Examples**: <u>BlinkDB</u>, <u>Redshift</u>, <u>ComputeDB</u>, <u>XDB</u>, <u>Oracle</u>, <u>Snowflake</u>, <u>Google BigQuery</u>, <u>DataBricks</u>

Approach #2: Zone Maps (Lossless)

- → Pre-compute columnar aggregations per page that allow the DBMS to check whether queries need to access it.
- → Trade-off between page size vs. filter efficacy.
- → **Examples**: Oracle, Vertica, SingleStore, Netezza, Snowflake, Google BigQuery



ZONE MAPS

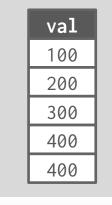
Pre-computed aggregates for the attribute values in a page. DBMS checks the zone map first to decide whether it wants to access the page. 预先计算页中属性值的聚合信息

SELECT * FROM table WHERE val > 600





Original Data



Zone Map

type	val
MIN	100
MAX	400
AVG	280
SUM	1400
COUNT	5



ZONE MA

Pre-computed aggregates for t a page. DBMS checks the zone whether it wants to access the

Original Data

SELECT * FROM table WHERE val > 600





Small Materialized Aggregates: A Light Weight Index Structure for Data Warehousing

Guido Moerkotte moer@pi3.informatik.uni-mannheim.de

Lehrstuhl für praktische Informatik III, Universität Mannheim, Germany

Abstract

Small Materialized Aggregates (SMAs for short) are considered a highly flexible and versatile alternative for materialized data cubes. The basic idea is to compute many aggregate values for small to medium-sized buckets of tuples. These aggregates are then used to speed up query processing. We present the general idea and present an application of SMAs to the TPC-D benchmark. We show that exploiting SMAs for TPC-D Query I results in a speed up of two orders of magnitude. Then, we investigate the problem of query processing in the presence of SMAs. Last, we briefly discuss some further tuning possibilities for SMAs.

1 Introduction

Among the predominant demands put on data warehouse management systems (DWMSs) is performance, i.e., the highly efficient evaluation of complex analytical queries. A very successful means to speed up query processing is the exploitation of index structures. Several index structures have been applied to data warehouse management systems (for an overview see [2, 17]). Among them are traditional index structures [1, 3, 6], bitmaps [15], and R-tree-like structures [9].

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Proceedings of the 24th VLDB Conference New York, USA, 1998

Since most of the queries against data warehouses incorporate grouping and aggregation, it seems to be a good idea to materialize according views. The most popular of these approaches is the materialized data cube where for a set of dimensions, for all their possible grouping combinations, the aggregates of interest are materialized. Then, query processing against a data cube boils down to a very efficient lookup. Since the complete data cube is very space consuming [5, 18], strategies have been developed for materializing only those parts of a data cube that pay off most in query processing [10]. Another approach–based on [14]-is to hierarchically organize the aggregates [12]. But still the storage consumption can be very high, even for a simple grouping possibility, if the number of dimensions and/or their cardinality grows. On the user side, the data cube operator has been proposed to allow for easier query formulation [8]. But since we deal with performance here, we will throughout the rest of the paper use the term data cube to refer to a materialized data cube used to speed up query processing.

Besides high storage consumption, the biggest disadvantage of the data cube is its inflexibility. Each data cube implies a fixed number of queries that can be answered with it. As soon as for example an additional selection condition occurs in the query, the data cube might not be applicable any more. Furthermore, for queries not foreseen by the data cube designer, the data cube is useless. This argument applies also to alternative structures like the one presented in [12]. This inflexibility—together with the extrordinary space consumption-maybe the reason why, to the knowledge of the author, data cubes have never been applied to the standard data warehouse benchmark TPC-D [19]. (cf. Section 2.4 for space requirements of a data cube applied to TPC-D data) Our goal was to design an index structure that allows for efficient support of complex queries against high volumes of data as exemplified by the TPC-D benchmark.

The main problem encountered is that some queries

476

INDEX SCAN

The DBMS picks an index to find the tuples that the query needs.

Lecture #15

Which index to use depends on:

- → What attributes the index contains
- → What attributes the query references
- → The attribute's value domains
- → Predicate composition
- → Whether the index has unique or non-unique keys



INDEX SCAN

Suppose that we have a single table with 100 tuples and two indexes:

- \rightarrow Index #1: age
- \rightarrow Index #2: **dept**

SELECT * FROM students WHERE age < 30 AND dept = 'CS' AND country = 'US'</pre>

Scenario #1

There are 99 people under the age of 30 but only 2 people in the CS department.

Scenario #2

There are 99 people in the CS department but only 2 people under the age of 30.



MULTI-INDEX SCAN

If there are multiple indexes that the DBMS can use for a query:

- → Compute sets of Record IDs using each matching index.
- → Combine these sets based on the query's predicates (union vs. intersect).
- → Retrieve the records and apply any remaining predicates.

Examples:

- → DB2 Multi-Index Scan
- → <u>PostgreSQL Bitmap Scan</u>
- → MySQL Index Merge

PG 内存中使用 bitmap 数据结构对所有 tuple-pointers 进行排

序,通过提高对表数据的局部性引用来优化数据的访问性能。



MULTI-INDEX SCAN

Given the following query on a database with an index #1 on **age** and an index #2 on **dept**:

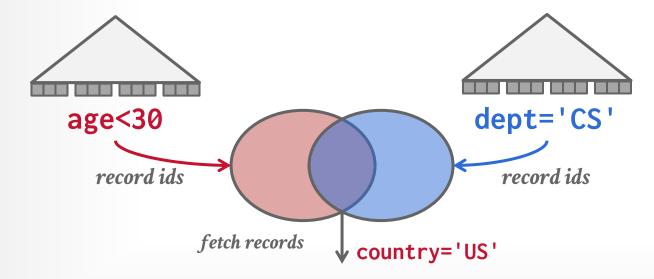
- → We can retrieve the Record IDs satisfying age<30 using index #1.</p>
- → Then retrieve the Record IDs satisfying dept='CS' using index #2.
- → Take their intersection. 多个索引求交集
- → Retrieve records and check country='US'.

```
SELECT * FROM students
WHERE age < 30
AND dept = 'CS'
AND country = 'US'</pre>
```

MULTI-INDEX SCAN

Set intersection can be done efficiently with bitmaps or hash tables.

SELECT * FROM students
WHERE age < 30
AND dept = 'CS'
AND country = 'US'</pre>





MODIFICATION QUERIES

Operators that modify the database (INSERT, UPDATE, DELETE) are responsible for modifying the target table and its indexes.

→ Constraint checks can either happen immediately inside of operator or deferred until later in query/transaction.

The output of these operators can either be Record Ids or tuple data (i.e., **RETURNING**).



MODIFICATION QUERIES

UPDATE/DELETE:

- → Child operators pass Record IDs for target tuples.
- → Must keep track of previously seen tuples.

INSERT:

- → **Choice #1**: Materialize tuples inside of the operator.
- → Choice #2: Operator inserts any tuple passed in from child operators. select into



```
Control Flow →
Data Flow →
```

```
for t in child.Next():
    removeFromIndex(idx_salary, t.salary, t)
    updateTuple(t.salary = t.salary + 100)
    insertIntoIndex(idx_salary, t.salary, t)

更新索引信息

for t in Index
people:
    if t.salary < 1100:
        emit(t)
```

```
CREATE INDEX idx_salary
ON people (salary);
```

```
UPDATE people
   SET salary = salary + 100
WHERE salary < 1100</pre>
```





```
Control Flow →
Data Flow →
```

```
CREATE INDEX idx_salary
ON people (salary);
```

```
UPDATE people
   SET salary = salary + 100
WHERE salary < 1100</pre>
```





```
Control Flow →
Data Flow →
```

CREATE INDEX idx_salary
ON people (salary);

```
UPDATE people
   SET salary = salary + 100
WHERE salary < 1100</pre>
```



```
Control Flow -
 Data Flow -
                                                    CREATE INDEX idx_salary
                                                         ON people (salary);
     for t in child.Next(): (1099, Andy)
       removeFromIndex(idx_salary, t.salary, t)
                                                   UPDATE people
       updateTuple(t.salary = t.salary + 100)
                                                        SET salary = salary + 100
       insertIntoIndex(idx_salary, t.salary, t) =
                                                     WHERE salary < 1100
          for t in Index<sub>people</sub>:
                                                         →Index(people.salary)
            if t.salary < 1100:
              emit(t)
```



```
Control Flow →
Data Flow →
```

```
for t in child.Next():
    removeFromIndex(idx_salary, t.salary, t)
    updateTuple(t.salary = t.salary + 100)
    insertIntoIndex(idx_salary, t.salary, t)

    for t in Index_people:
        if t.salary < 1100:
            emit(t)</pre>
```

```
CREATE INDEX idx_salary
ON people (salary);
```

```
UPDATE people
   SET salary = salary + 100
WHERE salary < 1100</pre>
```



```
Control Flow →
Data Flow →
```

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    for t in Index
        if t.salary < 1100:
        emit(t)</pre>
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CREATE INDEX idx_salary
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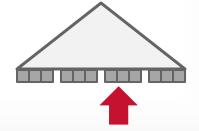


```
Control Flow →
Data Flow →
```

```
(1199, Andy)
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 updateTuple(t.salary = t.salary + 100)
  insertIntoIndex(idx_salary, t.salary, t)
     for t in Index
people:
       if t.salary < 1100
         emit(t)
```

```
CREATE INDEX idx_salary
ON people (salary);
```

```
UPDATE people
   SET salary = salary + 100
WHERE salary < 1100</pre>
```





HALLOWEEN PROBLEM

Anomaly where an update operation changes the physical location of a tuple, which causes a scan operator to visit the tuple multiple times.

→ Can occur on clustered tables or index scans.

First <u>discovered</u> by IBM researchers while working on System R on Halloween day in 1976.

Solution: Track modified record ids per query.

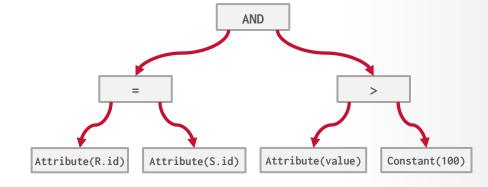


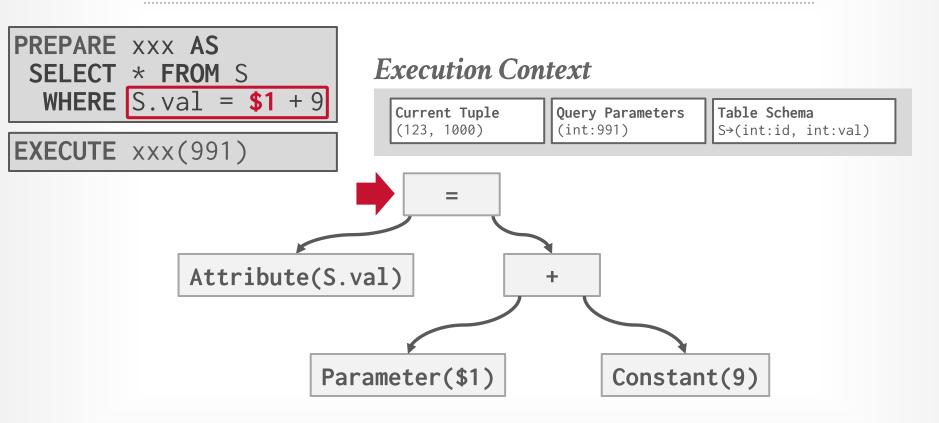
The DBMS represents a WHERE clause as an expression tree.

SELECT R.id, S.cdate
FROM R JOIN S
ON R.id = S.id
WHERE S.value > 100;

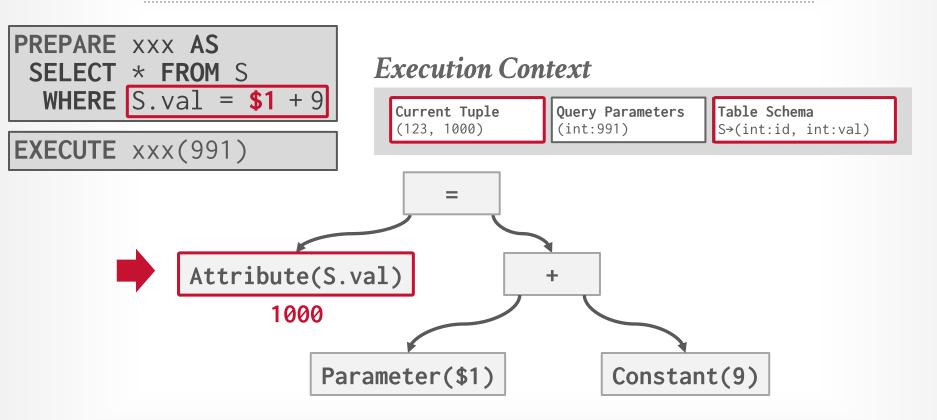
The nodes in the tree represent different expression types:

- \rightarrow Comparisons (=, <, >, !=)
- → Conjunction (AND), Disjunction (OR)
- → Arithmetic Operators (+, -, *, /, %)
- → Constant Values
- → Tuple Attribute References
- → Functions

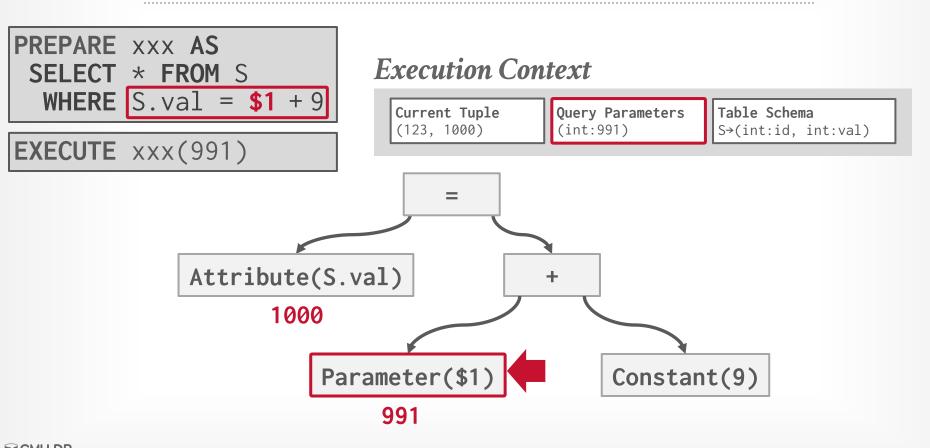




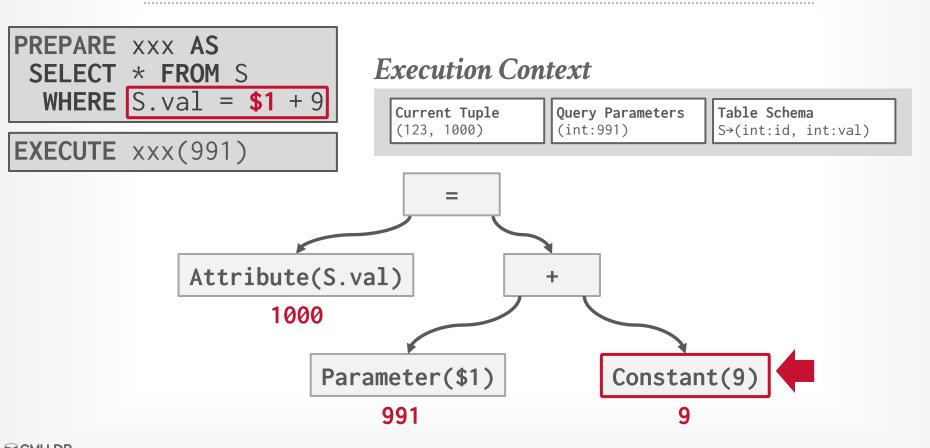




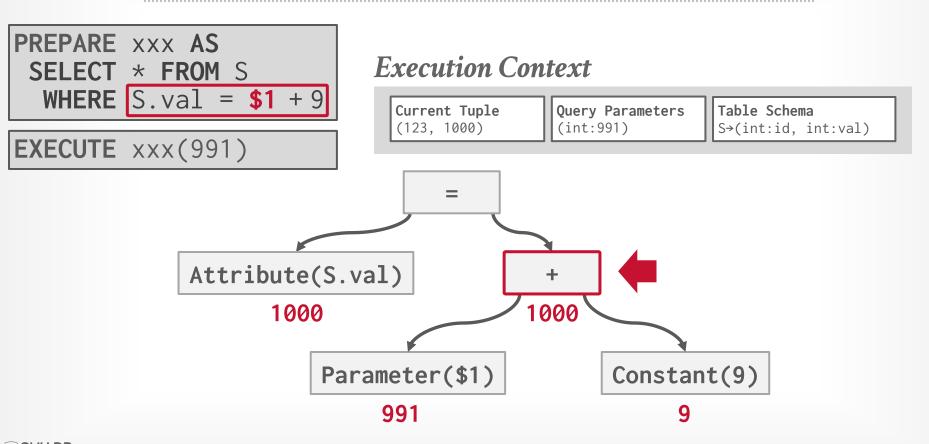




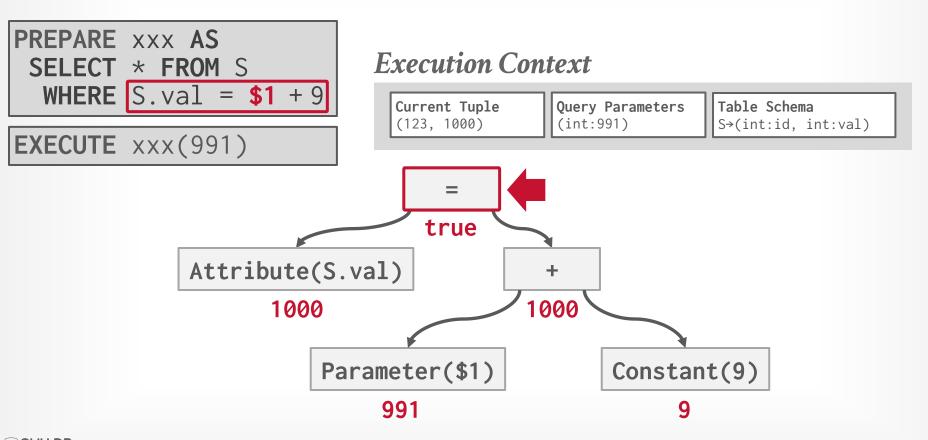












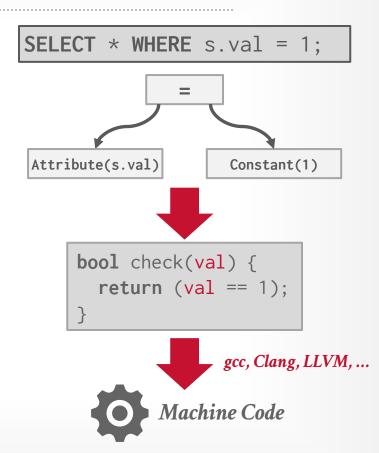


Evaluating predicates by traversing a tree is terrible for the CPU.

→ The DBMS traverses the tree and for each node that it visits, it must figure out what the operator needs to do.

A better approach is to evaluate the expression directly.

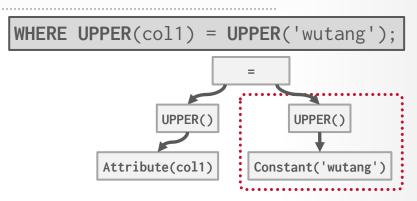
An even better approach is to **vectorize** it evaluate a batch of tuples at the same time...





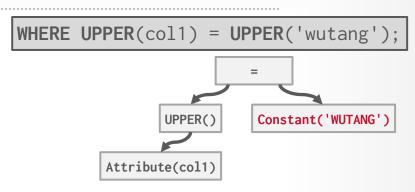
Constant Folding:

- → Identify redundant / unnecessary operations that are wasteful.
- → Compute a sub-expression on a constant value once and reuse result per tuple.



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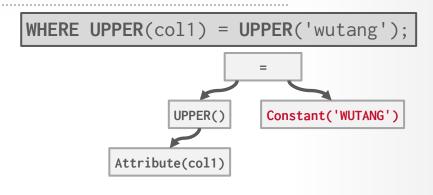


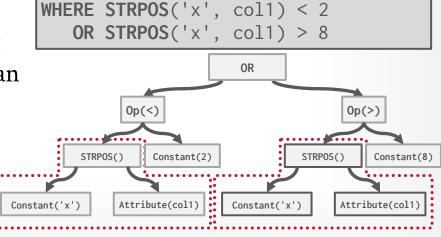
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Common Sub-Expr. Elimination:

- → Identify repeated sub-expressions that can be shared across expression tree.
- \rightarrow Compute once and then reuse result.





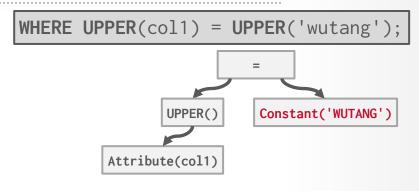


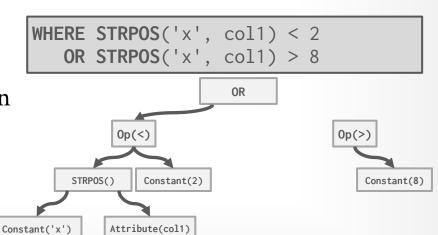
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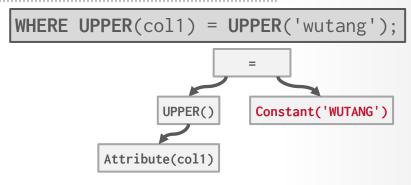


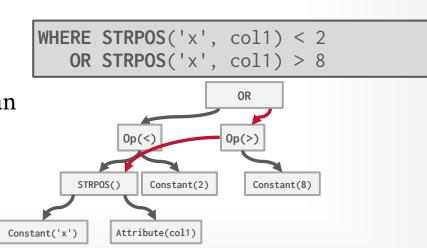
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CONCLUSION

The same query plan can be executed in multiple different ways.

(Most) DBMSs will want to use index scans as much as possible.

Expression trees are flexible but slow.

JIT compilation can (sometimes) speed them up.



NEXT CLASS

Parallel Query Execution

