

Modern Quantum Mechanics

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(Dated: June 28, 2024)

Blue: important for me.

FUNDAMENTAL POSTULATES OF QUANTUM MECHANICS

Background: Non-relativistic QM & closed system (not open system, Hamiltonian is Hermitian and it's eigenvalue is real (For \mathcal{PT} symmetric systems, Hamiltonian also can be real)).

Fundamental Postulates: **SOME IS**

P1 **State postulate**:

$$|\psi\rangle = (\langle\psi|)^\dagger, (|\psi\rangle \in \mathcal{H}). \quad (1)$$

P2 **Operator (Observable) postulate**: For observables, the operator is linear and Hermitian.

P3 **Measurement postulate**:

$$a. \hat{A}|\psi_n\rangle = A_n|\psi_n\rangle. \quad (2)$$

$$b. P(A_n) = |\langle\psi_n|\psi\rangle|^2. \quad (3)$$

$$c. |\psi\rangle \rightarrow |\psi_n\rangle \text{ (after measurement)} \quad (4)$$

P4 **Evolution postulate**:

a. Schrödinger Picture:

$$i\hbar \frac{d}{dt} |\psi(t)\rangle = \hat{H} |\psi(t)\rangle. \quad (5)$$

b. Heisenberg Picture:

$$\frac{d\hat{F}_H}{dt} = \left(\frac{d\hat{F}_S}{dt}\right)_H + \frac{1}{i\hbar} [\hat{F}_H, \hat{H}]. \quad (6)$$

P5 **Identical particles & Symmetrization**:

a. Boson: $S=0, 1, 2, \dots$ (Bose-Einstein statistics)

b. Fermion: $S=\frac{1}{2}, \frac{3}{2}, \frac{5}{2}, \dots$ (Fermi-Dirac statistics)

HILBERT'S SPACE \mathcal{H}

Compared with Euclidean space \mathcal{R} , dimension of \mathcal{H} is not limited.

Rules of \mathcal{H}

a. Linear space (vector space)

b. Inner product space

Rules of vector in \mathcal{H} (not all):

a. $\forall |\psi\rangle \in \mathcal{H}, \exists (-|\psi\rangle)$, make $(-|\psi\rangle) + |\psi\rangle = 0$.

Adjoint (not all):

a. $(\hat{A}_1 \hat{A}_2 \dots \hat{A}_n)^{-1} = \hat{A}_n^{-1} \dots \hat{A}_2^{-1} \hat{A}_1^{-1}$

Three kinds of operators:

a. Hermitian operator

b. Unitary operator: $\hat{U}^\dagger = \hat{U}^{-1}$ & linearity

c. Anti-unitary operator: $\hat{U}^\dagger = \hat{U}^{-1}$ & anti-linearity

Linearity: $\langle\psi_1|(a|\psi_2\rangle + b|\psi_3\rangle) = a\langle\psi_1|\psi_2\rangle + b\langle\psi_1|\psi_3\rangle$

Anti-linearity: $(\langle\psi_2|a + \langle\psi_3|b)|\psi_1\rangle = a^*\langle\psi_2|\psi_1\rangle + b^*\langle\psi_3|\psi_1\rangle$

Duality/Trinity/Quaternity:

a. Hermitian

b. Unitary - Symmetry transformation

c. Involutionary: $\hat{A}^2 = \mathbb{I}$

d. Idempotent: $\hat{A}^2 = \hat{A}$

OPERATOR

Eigenvalue:

Hermitian operator: real

Unitary operator: $e^{i\theta}$ (from definition, easy to derive)

Hermitian & Unitary: ± 1

Wavefunction:

Orthonormal: $\langle\psi_n|\psi_m\rangle = \delta_{nm}$

Completeness: $\sum_n |\psi_n\rangle\langle\psi_n| = \mathbb{I}_n$

For continuous basis, they are similar.

For degenerate case:

Orthonormal: $\langle\psi_n^i|\psi_m^{i'}\rangle = \delta_{nm}\delta_{ii'}$

Completeness: $\sum_n \sum_i |\psi_n^i\rangle\langle\psi_n^i| = \mathbb{I}_n$

Eigenspectrum - Set of eigenvalues.

Subspace:

$\mathcal{H}_n = |\psi_n^i\rangle, (i=1, 2, \dots, g_n)$, g_n denotes degeneracy.

This subspace consists of the wave functions of a degenerate eigenvalue.

Obviously, $\mathcal{H} = \bigoplus_n \mathcal{H}_n = \text{diag}(\mathcal{H}_1, \mathcal{H}_2, \dots, \mathcal{H}_n)$.

About dimension, $\dim(\mathcal{H}_n)=g_n$, $\dim(\mathcal{H})=\dim(\bigoplus_n \mathcal{H}_n) = \sum_n g_n$. $\mathcal{H}_n \perp \mathcal{H}_m$.

Direct sum \oplus : for the same observable operator.

Tensor product \otimes : for different freedom (particle/observable).

Equivalence \sim : $|\psi\rangle \sim c|\psi\rangle \sim e^{i\theta}|\psi\rangle$ mean they denote same state.

Gram-Schmidt Orthogonalization: degenerate states are linearly independent but may not be orthogonal. Therefore, we use this method to make them orthogonal.

For $|\psi_n^i\rangle$

a. normalized $|\psi_n^1\rangle$: $|\psi_n^1\rangle \rightarrow |\varphi_n^1\rangle = \frac{|\psi_n^1\rangle}{\sqrt{\langle\psi_n^1|\psi_n^1\rangle}}$.

b. got orthogonal $|\chi_n^2\rangle$: $|\chi_n^2\rangle = |\psi_n^2\rangle - \langle\psi_n^1|\psi_n^2\rangle|\varphi_n^1\rangle$ (the second term denotes the projection of $|\psi_n^2\rangle$ onto the direction of $|\varphi_n^1\rangle$).

c. normalized $|\chi_n^2\rangle$: $|\chi_n^2\rangle \rightarrow |\varphi_n^2\rangle = \frac{|\chi_n^2\rangle}{\sqrt{\langle\chi_n^2|\chi_n^2\rangle}}$.

...

"Ray" space: the space consists of same state with different coefficient (same direction in \mathcal{H}).

TENSOR PRODUCTION

Origin: multi-freedom

- a. multi particle
- b. single particle, multi observables
- c. single particle, single observable, multi components

Definition: $|\psi\rangle_1 \in \mathcal{H}_1$, $|\varphi\rangle_2 \in \mathcal{H}_2 \implies \mathcal{H} = \mathcal{H}_1 \otimes \mathcal{H}_2$, $|\chi\rangle = |\psi\rangle_1 \otimes |\varphi\rangle_2$.

Properties of tensor product:

- a. commutivity:

$$|\psi\rangle_1 \otimes |\varphi\rangle_2 = |\varphi\rangle_2 \otimes |\psi\rangle_1. \quad (7)$$

- b. linearity:

$$a(|\psi\rangle_1 \otimes |\varphi\rangle_2) = (a|\psi\rangle_1) \otimes |\varphi\rangle_2 = |\psi\rangle_1 \otimes (a|\varphi\rangle_2). \quad (8)$$

- c. distributivity:

$$(a|\psi\rangle_1 + b|\psi\rangle_2) \otimes |\varphi\rangle_2 = a|\psi\rangle_1 \otimes |\varphi\rangle_2 + b|\psi\rangle_2 \otimes |\varphi\rangle_2. \quad (9)$$

- d. dimension:

$$\dim(\mathcal{H}_1 \otimes \mathcal{H}_2) = \dim(\mathcal{H}_1) \cdot \dim(\mathcal{H}_2) \quad (10)$$

- e. value:

$$A_{mn} \otimes B_{pq} = \begin{pmatrix} a(1,1)B & a(1,2)B & \cdots & a(1,n)B \\ a(2,1)B & a(2,2)B & \cdots & a(2,n)B \\ \vdots & \vdots & \ddots & \vdots \\ a(m,1)B & a(m,2)B & \cdots & a(m,n)B \end{pmatrix} \quad (11)$$

- f. **entangled state:**

Considering two state $|\psi\rangle_1 = \sum_i c_i |u_i\rangle_1 \in \mathcal{H}_1 = \{|u_1\rangle_1, |u_2\rangle_1\}$, $|\varphi\rangle_2 = \sum_j d_j |v_j\rangle_2 \in \mathcal{H}_2 = \{|v_1\rangle_2, |v_2\rangle_2\}$, we have

$$\begin{aligned} |\psi\rangle_1 \otimes |\varphi\rangle_2 &= c_1 d_1 |u_1\rangle_1 \otimes |v_1\rangle_2 + c_1 d_2 |u_1\rangle_1 \otimes |v_2\rangle_2 \\ &+ c_2 d_1 |u_2\rangle_1 \otimes |v_1\rangle_2 + c_2 d_2 |u_2\rangle_1 \otimes |v_2\rangle_2, \end{aligned} \quad (12)$$

we can find that state $\chi = \frac{1}{\sqrt{2}}(|u_1\rangle_1 \otimes |v_1\rangle_2 + |u_2\rangle_1 \otimes |v_2\rangle_2)$ is not a **tensor product state**, because if we make $c_1 d_2 = c_2 d_1 = 0$, observably, $c_1 d_1$ and $c_2 d_2$ cannot be zero at the same time. And the state χ is called an entangled state.

Kinds of entangled state:

N=2 (number of particle/mode), only Bell-state (Bell basic, EPR state, Schrödinger cat state)

$$: \frac{1}{\sqrt{2}}(|u_1\rangle_1 \otimes |v_1\rangle_2 + |u_2\rangle_1 \otimes |v_2\rangle_2). \quad (13)$$

N>2,

① Werner state(W-state): robust

② GHZ state: fragile

③ NOON state

g. inner product of tensor product:

$$({}_1\langle\psi_2| \otimes {}_2\langle\varphi_2|)(|\psi_1\rangle_1 \otimes |\varphi_1\rangle_2) = ({}_1\langle\psi_2|\psi_1\rangle_1) \cdot ({}_2\langle\varphi_2|\varphi_1\rangle_2), \quad (14)$$

for basis vector:

$$({}_1\langle u_i| \otimes {}_2\langle v_j|)(|u_k\rangle_1 \otimes |v_l\rangle_2) = ({}_1\langle u_i|u_k\rangle_1) \cdot ({}_2\langle v_j|v_l\rangle_2) = \delta_{ik}\delta_{jl}, \quad (15)$$

for operator:

$$\begin{aligned} \hat{A}_1(|\psi\rangle_1 \otimes |\varphi\rangle_2) &= (\hat{A}_1 \otimes \mathbb{I}_2)(|\psi\rangle_1 \otimes |\varphi\rangle_2) \\ &= \hat{A}_1|\psi\rangle_1 \otimes |\varphi\rangle_2. \end{aligned} \quad (16)$$

COMPATIBLE OBSERVATION

Concepts

- a. compatible observation (commuting observation): $[\hat{A}, \hat{B}] = 0$, \hat{A} , \hat{B} are compatible observation.
- b. common eigenvalues (simultaneous eigenvalues) CE
- c. set of common eigenstates (set of simultaneous eigenstates) SCE
- d. complete set of commuting observable CSCO
- e. good quantum number (quantum number of conserved observable)

Theorem of compatible observation

$$[\hat{A}, \hat{B}] = 0 \Leftrightarrow \text{orthonormal SCE}. \quad (17)$$

REPRESENTATION & REPRESENTATION TRANSFORMATION

Representation \Leftrightarrow Basis, projection in a certain representation.

$\psi(\vec{x}) = \langle \vec{x} | \psi \rangle$ - wavefunction in \vec{x} -representation.

Obviously, $\varphi_p = \int d^3\vec{x} \psi(\vec{x}) \psi_p^*(\vec{x})$, where $\psi_p(\vec{x}) = \langle \vec{x} | \vec{p} \rangle = N e^{i\vec{p}\vec{x}/\hbar}$ (proof in future). Here, $N = (\frac{1}{2\pi\hbar})^{\frac{3}{2}}$ denotes normalization factor. $\psi_x(\vec{p}) = \langle \vec{p} | \vec{x} \rangle = N e^{-i\vec{x}\vec{p}/\hbar}$.

Representation transformation of wavefunction:

$$\begin{aligned}
|\psi\rangle &= \int d^3\vec{x} |\vec{x}\rangle\psi(\vec{x}) \\
&= \sum_n |n\rangle C_n \\
&= \sum_n \left(\int d^3\vec{x} |\vec{x}\rangle\langle\vec{x}|n\rangle C_n \right) \\
&= \int d^3\vec{x} |\vec{x}\rangle \sum_n u_n(\vec{x}) C_n \\
\Rightarrow \psi(\vec{x}) &= \sum_n C_n u_n(\vec{x}). \tag{18}
\end{aligned}$$

Here, $\psi_{\vec{x}}$ and C_n are wavefunctions in the \vec{x} -representation and n -representation, respectively. $u_n(\vec{x}) = \langle\vec{x}|n\rangle$ is **transformation** function. In the last formula, we transform the wavefunction from the Hilbert space \mathcal{H}_n to another Hilbert space \mathcal{H}_x (my personal understanding).

Remark: t (time) is not an observable! It is a parameter! (At least in non-relativistic quantum mechanics, this statement is certain correct.)

Therefore, $\psi(x, t) = \langle\vec{x}|\psi(t)\rangle$. So $i\hbar \frac{d}{dt}|\psi(x, t)\rangle = [\frac{\hat{p}^2}{2m} + V(\vec{x})]|\psi(x, t)\rangle$ is wrong, the correct form is $i\hbar \frac{d}{dt}\langle\vec{x}|\psi(t)\rangle = [\frac{\hat{p}^2}{2m} + V(\vec{x})]\langle\vec{x}|\psi(t)\rangle$

MATRIX MECHANICS

Some interesting proof:

a. wavefunction is column vector:

$$|\psi\rangle = \mathbb{I}|\psi\rangle = \left(\sum_n |u_n\rangle\langle u_n| \right) |\psi\rangle = \sum_n C_n |u_n\rangle. \tag{19}$$

b. observable is matrix:

$$\begin{aligned}
\hat{A} &= \mathbb{I}\hat{A}\mathbb{I} \\
&= \left(\sum_n |u_n\rangle\langle u_n| \right) \hat{A} \left(\sum_m |u_m\rangle\langle u_m| \right) \\
&= \sum_{n,m} A_{n,m} |u_n\rangle\langle u_m|, \tag{20}
\end{aligned}$$

where $A_{n,m} = \langle u_n | \hat{A} | u_m \rangle$.

Trace:

$$\begin{aligned}
\text{Tr}\hat{A} &= \text{Tr}(\mathbb{I}\hat{A}\mathbb{I}) = \sum_{n,m} A_{n,m} \underbrace{\text{Tr}(|u_n\rangle\langle u_m|)}_{\delta_{nm}} \\
&= \sum_n A_{nn} \\
&= \int dn A(n, n) \text{ (for continuous spectrum)} \tag{21}
\end{aligned}$$

Here, we considering that $|u_n\rangle$ can be chose as **standard basis vector**.

Properties of the Trace:

- $\text{Tr}(|\psi\rangle\langle\varphi|) = \text{Tr}(\langle\varphi|\psi\rangle)$
- $\text{Tr}(\hat{A}\hat{B}) = \text{Tr}(\hat{B}\hat{A})$
- $\text{Tr}(\hat{A}_1\hat{A}_2\cdots\hat{A}_n) = \text{Tr}(A_{\sigma(1)}A_{\sigma(2)}\cdots A_{\sigma(n)})$, $\sigma : \{1, 2, \dots, n\} \rightarrow \{1, 2, \dots, n\}$

Representation transformation of wavefunction (another view) and observable:

we have two basis: $|\psi\rangle = \sum_n C_n |u_n\rangle$, $|\psi\rangle = \sum_m D_m |v_m\rangle$, where $C_n = \langle u_n | \psi \rangle$, $D_m = \langle v_m | \psi \rangle$ are wavefunctions.

$$\begin{aligned}
D_m &= \langle v_m | \sum_n C_n |u_n\rangle \\
&= \sum_n \langle v_m | u_n \rangle C_n \\
&= \sum_n S_{mn} C_n \\
\Rightarrow D &= SC. \tag{22}
\end{aligned}$$

Here, S_{mn} is **overlap matrix** that can transform wavefunction C_n to D_m . $S_{nm} = S_{mn}^* = (S^\top)_{mn}^* = (S^\dagger)_{nm}$, therefore, $S = S^\dagger$. Moreover, $D = SC = SS^\dagger D \Rightarrow SS^\dagger = \mathbb{I}$, S is a trinity matrix.

Similarly, for observable, we have: $\hat{A} = \sum_{n,n'} A_{nn'} |u_n\rangle\langle u_{n'}|$, $\hat{A} = \sum_{m,m'} A_{mm'} |v_m\rangle\langle v_{m'}|$, where $A_{nn'} = \langle u_n | \hat{A} | u_{n'} \rangle$, $A_{mm'} = \langle v_m | \hat{A} | v_{m'} \rangle$.

$$\begin{aligned}
A_{mm'} &= \langle v_m | \sum_{n,n'} A_{nn'} |u_n\rangle\langle u_{n'}| v_{m'} \rangle \\
&= \sum_{n,n'} \langle v_m | u_n \rangle A_{nn'} \langle u_{n'} | v_{m'} \rangle \\
&= \sum_{n,n'} S_{mn} A_{nn'} S_{n'm'} \\
\Rightarrow A' &= SAS^\dagger = SAS^{-1}. \tag{23}
\end{aligned}$$

Projection operator (projector):

$$\hat{P}_\psi = |\psi\rangle\langle\psi|$$

Properties:

- $\hat{P}_\psi^2 = \hat{P}_\psi$ (assume $|\psi\rangle$ has normalized)
- $\hat{P}_\psi^\dagger = \hat{P}_\psi$

Eigenvalue: $\hat{P}_\psi|\psi\rangle = 0$ or $1|\psi\rangle$. The corresponding eigenstates are $|\lambda_\perp\rangle$ (perpendicular state) and $|\lambda_\parallel\rangle$ (parallel state), respectively.

For degenerate case, $\hat{A}|u_n^i\rangle = A_n|u_n^i\rangle$, projector of subspace n is $\hat{P}_n = \sum_{i=1}^{g_n} |u_n^i\rangle\langle u_n^i|$ (discrete) $\hat{P}_{\Delta a} = \int_a^{a+\Delta a} da \sum_{r=1}^{g(r)} |ar\rangle\langle ar| = \int_a^{a+\Delta a} da \hat{P}(a)$ (continuous).

MEASUREMENT POSTULATE IN THE CASE OF DEGENERACY

For non-degenerate and state has normalized cases:

- a. measurement outcome: $\hat{A}|\psi_n\rangle = A + n|\psi_n\rangle$
- b. probability: $p(n) = |C_n|^2$ (discrete) $p(a \sim \Delta a) = |C(a)|^2 \Delta a$ (continuous)
- c. collapse: after measurement, at that time, the state become a certain state (outcome state).

Conditions: the state may be not normalized and is degenerate.

Revised b. probability:

$$p(A_n) = \text{norm}\left(\sum_{i=1}^{g_n} |C_n^i|^2\right) = \text{norm}\left(\sum_{i=1}^{g_n} \langle\psi|u_n^i\rangle\langle u_n^i|\psi\rangle\right) = \frac{\langle\psi|\hat{P}_n|\psi\rangle}{\langle\psi|\psi\rangle}. \quad (24)$$

Revised c. collapse:

$$|\psi\rangle \rightarrow \frac{|\psi_n\rangle}{\sqrt{\langle\psi_n|\psi_n\rangle}} = \frac{\hat{P}_n|\psi\rangle}{\sqrt{\langle\hat{P}_n|\psi\rangle}}. \quad (25)$$

Here, $\hat{A}_n \hat{P}_n |\psi\rangle = \sum_{i=1}^{g_n} \hat{A}_n |u_n^i\rangle\langle u_n^i|\psi\rangle = A_n \hat{P}_n |\psi\rangle$, therefore, $\hat{P}_n |\psi\rangle$ is a set of eigenfunctions of \hat{A}_n .

For continuous cases $\sum \rightarrow \int$, $\hat{P}_n \rightarrow \hat{P}_{\Delta a}$.

Exception: easy to proof, $\langle\hat{A}\rangle = \frac{\langle\psi|\hat{A}|\psi\rangle}{\langle\psi|\psi\rangle}$.

Variance: $\hat{\sigma}_A = \langle\hat{A}^2\rangle - \langle\hat{A}\rangle^2$.

PAULI MATRIX

Pauli matrix:

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \sigma_0 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad (26)$$

Every matrix can be describe by using a Hermitian and a skew-Hermitian (anti-Hermitian) matrix.

$$\hat{A} = \frac{1}{2}(\hat{A} + \hat{A}^\dagger) + \frac{1}{2}(\hat{A} - \hat{A}^\dagger). \quad (27)$$

Here, the first term is Hermitian, the second term is anti-Hermitian.

SPECIAL UNITARY MATRIX (SU(2))

- a. unitary $\hat{M}^\dagger = \hat{M}$
- b. special $\det \hat{M} = 1$
 $\Rightarrow \hat{M} = x_0 \hat{\sigma}_0 + i \vec{x} \hat{\vec{\sigma}}$, ($x_0, \vec{x} \in \mathbb{R}$), which means diagonal elements of this matrix are imagine, off-diagonal elements of this matrix are real.

DENSITY OPERATOR

Origin:

For any state $|\psi\rangle$, about a parameter λ , the exception of an observable is:

$$\bar{\hat{A}} = \int d\lambda f(\lambda) \langle\psi(\lambda)|\hat{A}|\psi(\lambda)\rangle, \quad (28)$$

where $f(\lambda)$ denotes probability. $\int d\lambda f(\lambda) = 1$. We assume:

$$\hat{\rho} = \int d\lambda f(\lambda) |\psi(\lambda)\rangle\langle\psi(\lambda)|, \text{ continuous}$$

$$\hat{\rho} = \sum_i f_i |\psi_i\rangle\langle\psi_i|, \text{ discrete}$$

$$\bar{\hat{A}} = \text{Tr}(\hat{\rho}\hat{A}). \quad (29)$$

easy to proof, based on the properties of trace.

Properties:

- a. Hermitian: $\hat{\rho}^\dagger = \hat{\rho}$
- b. closed system: $\text{Tr}(\hat{\rho}) = 1$
- c. $\text{Tr}(\hat{\rho}^2) < 1$, iff pure state, $=1$ ($\hat{\rho} = |\psi\rangle\langle\psi|$)
- d. $\hat{\rho} = \sum_{i,j} \rho_{ij} |i\rangle\langle j|$, $\rho_{ij} = \rho_{ji}^*$

Pure & mixed state:

- a. pure state: $\hat{\rho} = |\psi\rangle\langle\psi|$
- b. mixed state: $\hat{\rho} = \sum_i f_i |\psi\rangle\langle\psi|$