# Lecture 1: Basic concepts of topological spaces

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### 1 Topological space: concepts and examples

A topological space is a set of points, along with a set of neighborhoods for each point, satisfying a set of axioms.

**Definition 1.1** Let X be a non-empty set. A collection T of subsets of X is called a topology if it satisfies the following.

- (1)  $X, \emptyset \in T$ ;
- (2) (finite intersection) If  $U, V \in T$ , then  $U \cap V \in T$ ;
- (3) (infinite union) If each  $U_i \in T$  for an index set I, then  $\bigcup_{i \in I} U_i \in T$ .

**Example 1.2** (Euclidean topology) Let  $X = \mathbb{R}^n$ , the n-dimensional Euclidean space and T the collection of open sets.

**Example 1.3** (discrete topology) Let X be a set and T the power set of X, i.e. T is collection of all subsets of X.

A metric space is a set X on which we could talk about "distance".

**Definition 1.4** A metric space X is a set together with a distance function  $d: X \times X \to \mathbb{R}_{>0}$  such that

- (1)  $d(x,y) \ge 0$  and d(x,x) = 0;
- (2) d(x,y) = d(y,x) for any  $x, y \in X$ ;
- (3)  $d(x,y) \le d(x,z) + d(z,y)$  for any  $x,y,z \in X$ .

**Example 1.5** The set  $X = \mathbb{R}^n$  is a metric space under the distace function  $d(x,y) = \sqrt{(x_1 - y_1)^2 + \cdots + (x_n - y_n)^2}$ .

**Example 1.6** Let C[a,b] be the set of continuous functions on the interval [a,b]. For each  $f \in C[a,b]$ , define its norm  $||f|| = \max_{x \in [a,b]} |f(x)|$ . The distance between two functions f,g is defined as d(f,g) = ||f-g||.

Let  $B(x_0, r) = \{x \in X \mid d(x, x_0) < r\}$  be the ball of radius r with center  $x_0$ .

**Lemma 1.7** Let X be a metric space. The set  $\tau_d = \{U \mid U = \cup B(x_i, r_i)\}$  is a topology of X, called metric topology.

**Proof.** It's enough to check the "finite intersection", while the other two are obvious. For any  $x \in U \cap V$ , we have  $x \in B(x_i, r_i) \cap B(x_i', r_i')$ . Choose  $r = \min(r_i - d(x, x_i), r_i' - d(x, x_i'))$ . Then  $B(x, r) \subset U \cap V$ .

**Definition 1.8** Let T be a topology on X. An element  $U \in T$  is called an open set and a subset  $V \subset X$  is closed if the complement  $V^c$  is open.

**Definition 1.9** (1) Let  $x \in A \subset X$ . The point x is an interior point of A if there exists open set  $U \subset A$  containing x. We call A a neighborhood of x. The set of interior points in A is denoted by  $A^{\circ}$ .

(2) Let  $x \in X$ ,  $A \subset X$ . The point x is an accumulated point of A if every neighborhood of x contains a point in  $A \setminus \{x\}$ . The set of all accumulated points of A is denoted by A'. The closure  $\bar{A}$  of A is  $A \cup A'$ .

**Exercise 1.10** Prove that  $x \in \bar{A}$  if and only if every neighborhood U of x has  $U \cap A \neq \emptyset$ . Prove that  $\bar{A}$  is closed.

**Definition 1.11** A subset Y of a topological space X is dense if  $\bar{Y} = X$ . If Y is countable, we call X a separable topological space.

**Example 1.12** The set of points with rational coordinates (called rational points) is dense in  $\mathbb{R}^n$ . The set of polynomial functions is dense in C[0,1].

#### 2 Continuous functions

**Definition 2.1** Let  $(X, T_X), (Y, T_Y)$  be two topological spaces. A function  $f: X \to Y$  is continuous at a point  $x \in X$  if every open set  $U_Y$  containing f(x) we have  $f^{-1}(U_Y)$  is open. If f is continuous at every point of X, we simply call that f is continuous.

**Lemma 2.2** Let  $f: X \to Y$  be a function. The following are equivalent.

- (1) f is continuous;
- (2) the preimage  $f^{-1}(U_Y)$  is open if  $U_Y$  is open in Y;
- (3) the preimage  $f^{-1}(C_Y)$  is closed if  $C_Y$  is closed in Y;

**Proof.** It's obvious that (2) and (3) are equivalent by the definition of closeness.

**Example 2.3** Let (X,T) be any topological space and (X,dis) the discrete topological space. Then the identity map  $f:(X,dis) \to (X,T)$  is continuous, but not the other way.

It's not hard to prove the following.

**Lemma 2.4** If  $f: X \to Y, g: Y \to Z$  are continuous, then the composite  $g \circ f: X \to Z$  is continuous as well.

In Analysis, we have the concept of convergent sequence. In topology, we could have a similar thing:

**Definition 2.5** Let  $x_1, x_2, \dots, x_n, \dots \in X$ . We say  $\lim_{n\to\infty} x_n = x_0$  if every neighborhood U of  $x_0$  contains almost all terms  $x_i$  (i.e.  $\exists N > 0$  we have  $x_n \in U$  when n > N).

**Corollary 2.6** If  $f: X \to Y$  is a continuous map between topological spaces and  $x_n \to x$  in X, then  $f(x_n) \to f(x)$ .

**Proof.** For every neighborhood U of f(x), we find an open set U' containing f(x). Since f is continuous,  $f^{-1}(U')$  is open in X containing x. Therefore, all most all terms  $x_i$  lie in  $f^{-1}(U')$  and then  $f(x_i)$  lie in U'.

But the converse of the previous corollary is not true.

**Example 2.7** Let  $X = \mathbb{R}$ , the set of real numbers, and T the set of subsets  $X \setminus Q$ , the complements of countable subsets Q in X. If  $x_n \to x$  in the topology T, then  $x = x_n$  for sufficiently large n. This implies that the identity map  $f: (X,T) \to (X,dis)$  maps every convergent sequence to a convergent sequence. But f is not continuous, eg.  $f^{-1}([0,1])$  is not open.

### 3 Homeomorphism

**Definition 3.1** A map  $f: X \to Y$  between topological spaces is a homeomorphism if

(1) f is bijective; (2) f and its inverse  $f^{-1}$  are both continuous.

Two topological spaces X,Y are homeomorphic if there exists a homeomorphism between them.

In topology, we are mainly interested in the invariants under homeomorphisms, i.e. the numbers/functions unchanged by homeomorphisms.

**Example 3.2** An open interval (a,b) and  $\mathbb{R}$  are homeomorphic.

**Example 3.3** The exponential map  $e:[0,1)\to S^1=\{x\in\mathbb{C}\mid ||x||=1\}$  given by  $t\longmapsto e^{2\pi it}$  is bijective and continuous. But the inverse  $f^{-1}$  is not continuous (a sequence  $x_i\to e^0$  may have its image  $f^{-1}(x_i)\to 0$  or 1), hence not a homeomorphism.

## 4 Construct new topologies: subs and products

**Definition 4.1** Let (X,T) be a topological space and A a subset of X. The subspace (or induced) topology  $T_A$  of A is  $T_A = \{U \cap A \mid U \in T\}$ .

**Example 4.2** Let A be a subset of a Euclidean space  $\mathbb{R}^n$ . The subspace topology of A is the metric topology on A induced from  $\mathbb{R}^n$ . For example,  $A = S^2 \setminus N$ , a sphere in  $\mathbb{R}^3$  without the north pole, is homeomorphic to the plane  $\mathbb{R}^2$ .

**Definition 4.3** Let X be a set and S a set of subsets of X. Suppose that (1)  $\bigcup_{B \in S} B = X$ ; (2) for any  $B_1, B_2 \in S$  we have  $B_1 \cap B_2 = \bigcup_{i \in I} B_i$  for some index set  $I, B_i \in S$ . The topology  $\langle S \rangle$  generated by S is the minimal topology on X containing S. The set S is called a topology basis of  $\langle S \rangle$ .

Lemma 4.4  $\langle S \rangle = \{U \mid U = \cup U_i, U_i \in S\}.$ 

**Proof.** Since  $\langle S \rangle$  is a topology, it's clear that the union  $\cup U_i \in \langle S \rangle$ . It's enough to check that  $\langle S \rangle$  is a topology. Note that both  $\emptyset$  and  $X \in \langle S \rangle$ . The intersection  $(\cup U_i) \cap (\cup U_j) = \cup (U_i \cap U_j) \in \langle S \rangle$ , since each  $U_i \cap U_j \in \langle S \rangle$  by the requirement of S.

**Definition 4.5** For two topological spaces  $(X, T_X), (Y, T_Y)$ , the product topology on  $X \times Y$  is the smallest topology containing  $\{U \times V \mid U \in T_X, V \in T_Y\}$ .

**Exercise 4.6** Check that the intersection of two elements in  $\{U \times V \mid U \in T_X, V \in T_Y\}$  still lies in  $\{U \times V \mid U \in T_X, V \in T_Y\}$ .

**Lemma 4.7** Let  $f: Y \to X_1 \times X_2$  be a map given by  $y \longmapsto (f_1(y), f_2(y))$ . Then f is continuous if and only if  $f_1, f_2$  are continuous.