

Digital Halftoning

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Gray-Scale Image Halftoning

Objective



- Use black-white (two-tone) images to render gray-level images
- Why called halftoning?
 - Normalize intensities of gray-level images to $[0,1]$
 - Need to find a threshold to split it into two values – 0 or 1
 - How to do thresholding?
 - Fixed thresholding does not work properly

Halftoning by Simple Thresholding



Gray-Level Image Halftoning Example



Digital halftoning: A process that converts from 8bpp to 1bpp

1bpp: 0/1, 1: black ink -> dark, 0: no ink -> white (background color)

Why: paper printing versus screen display

Document Printing



Printing

Ink. → dpi dots per inch.

$$\begin{array}{r} 300 \\ 600 \\ 1200 \end{array}$$



PDF

dark

~~dot~~
black dots

brightness

paper color
no ink

Goal and Methods



Goal: Rendering the illusion
of gray-level images on two-tone
display devices, e.g. printers.

A darker region \leftrightarrow denser black
pixels per
area.

A whiter region \leftrightarrow sparser black
pixels per
area.

2 commonly used methods

- Dithering
- Error Diffusion

Software versus Hardware



How to measure the performance of different algorithms?

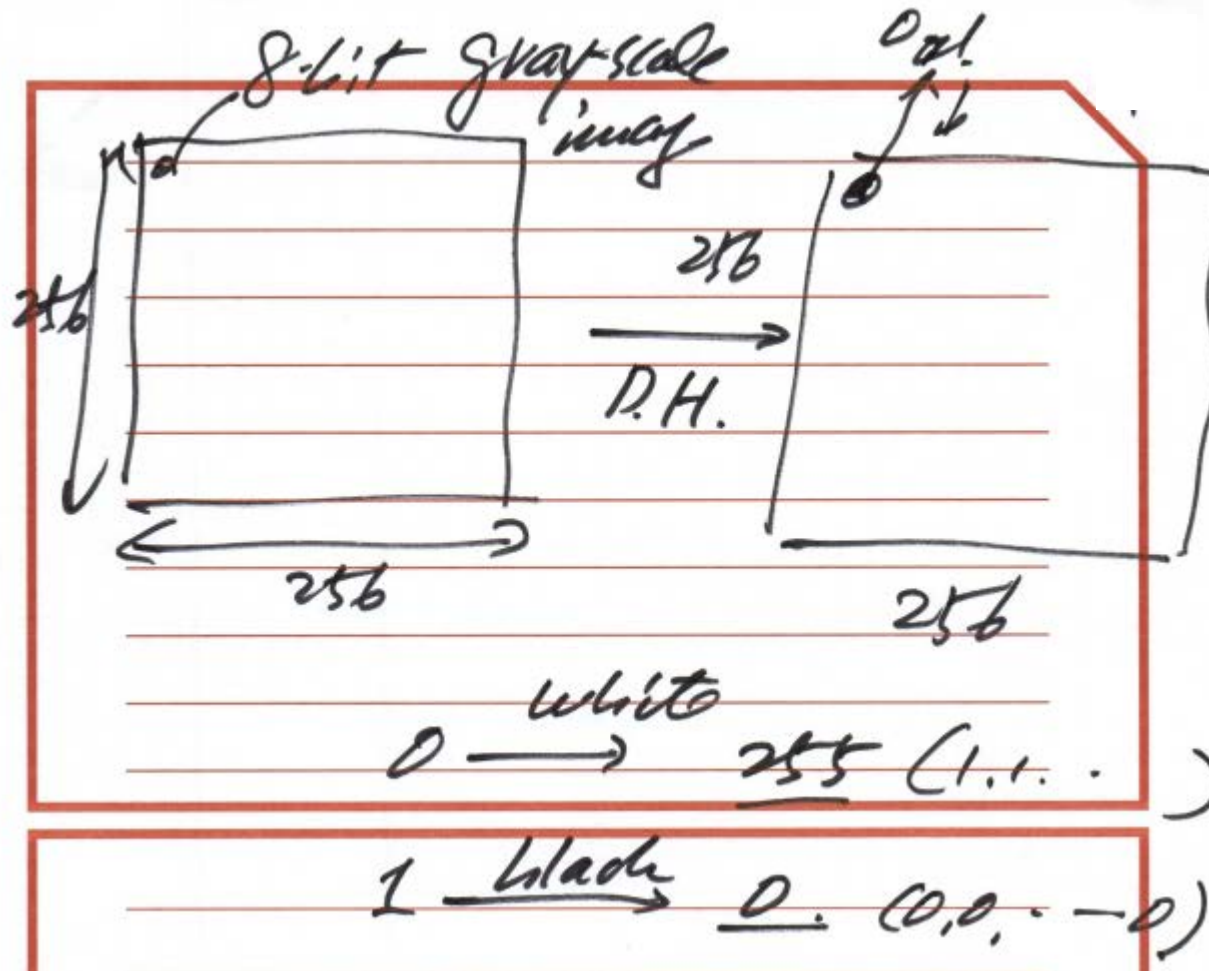
Good HW. \rightarrow higher dpi

The performance gap between good and poor hardware algorithms is small.

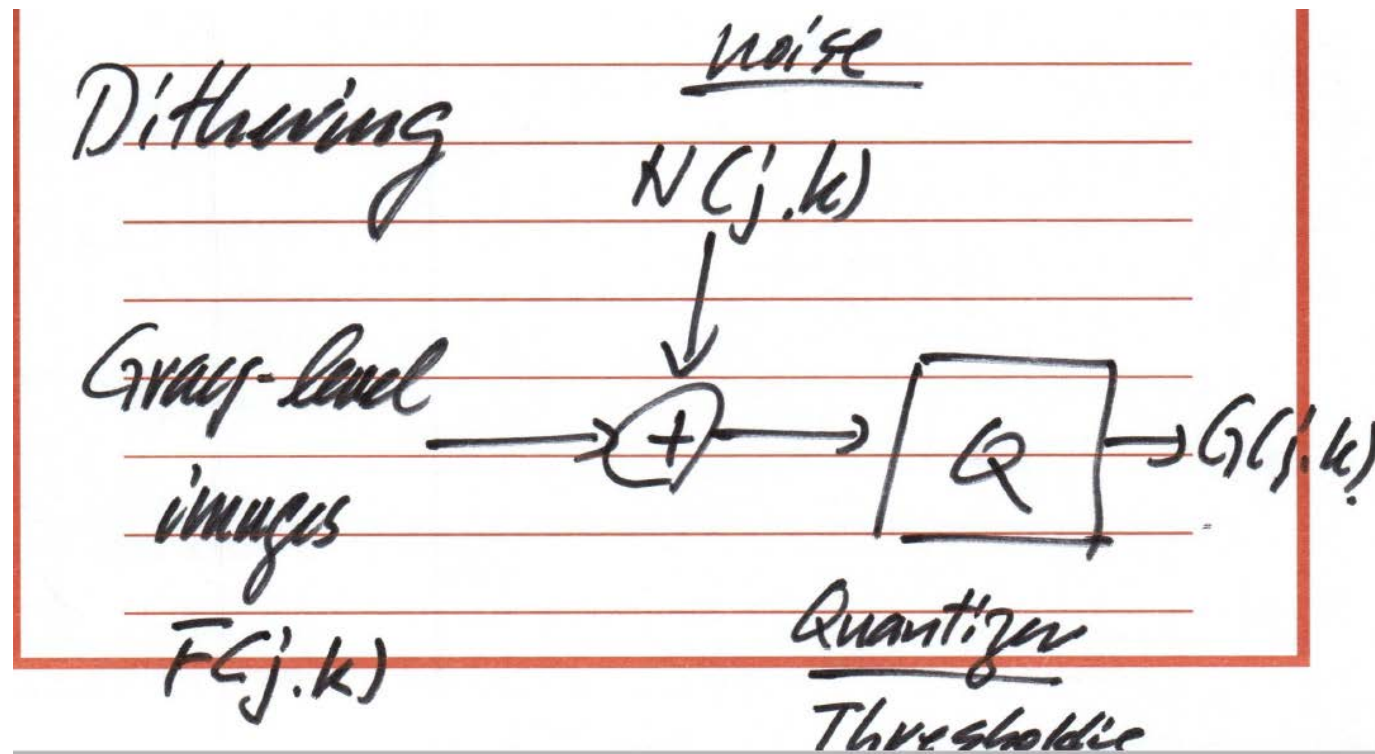
Poor HW. \rightarrow low dpi.

~~the~~ The performance gap is larger.

Performance Evaluation



Dithering (1)



Why noise $N(j,k)$ is needed?

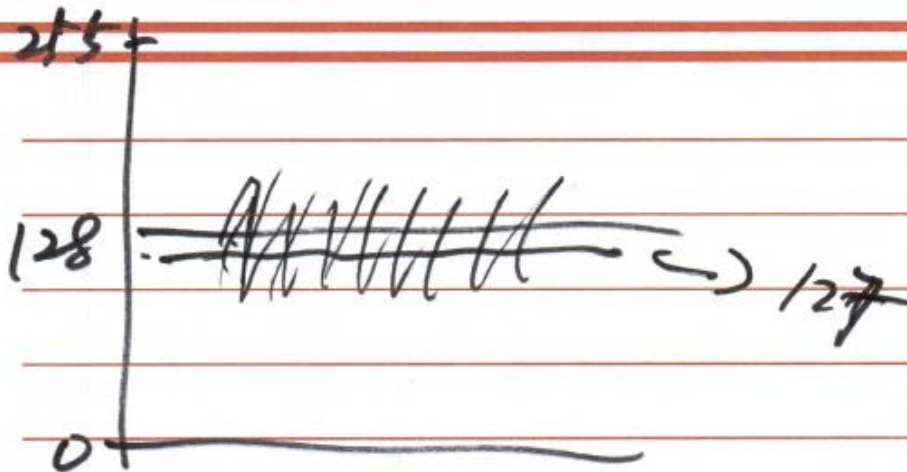
Dithering (2)



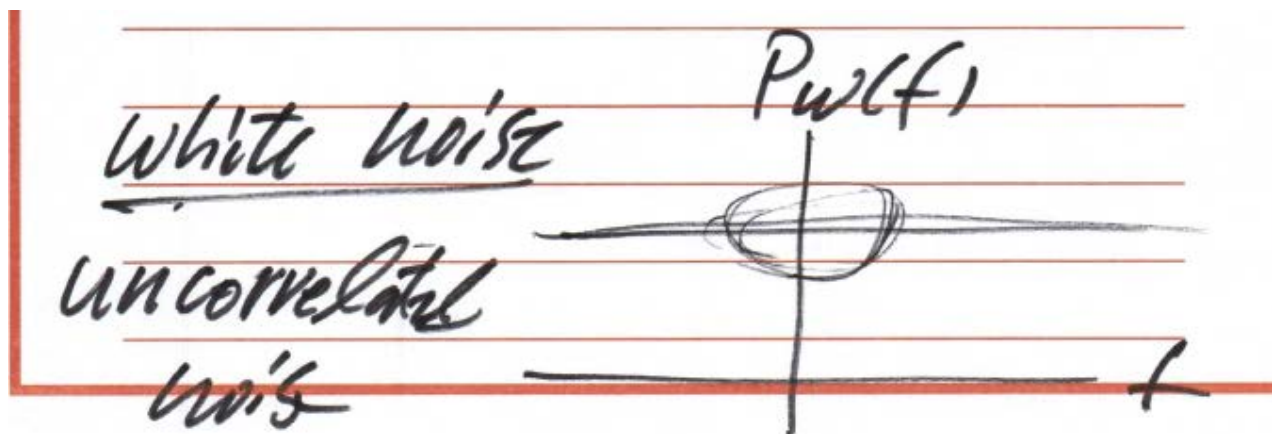
Gray-scale value at a pixel

→ mean.

Noise → random variable.
($255 - \text{mean}$)

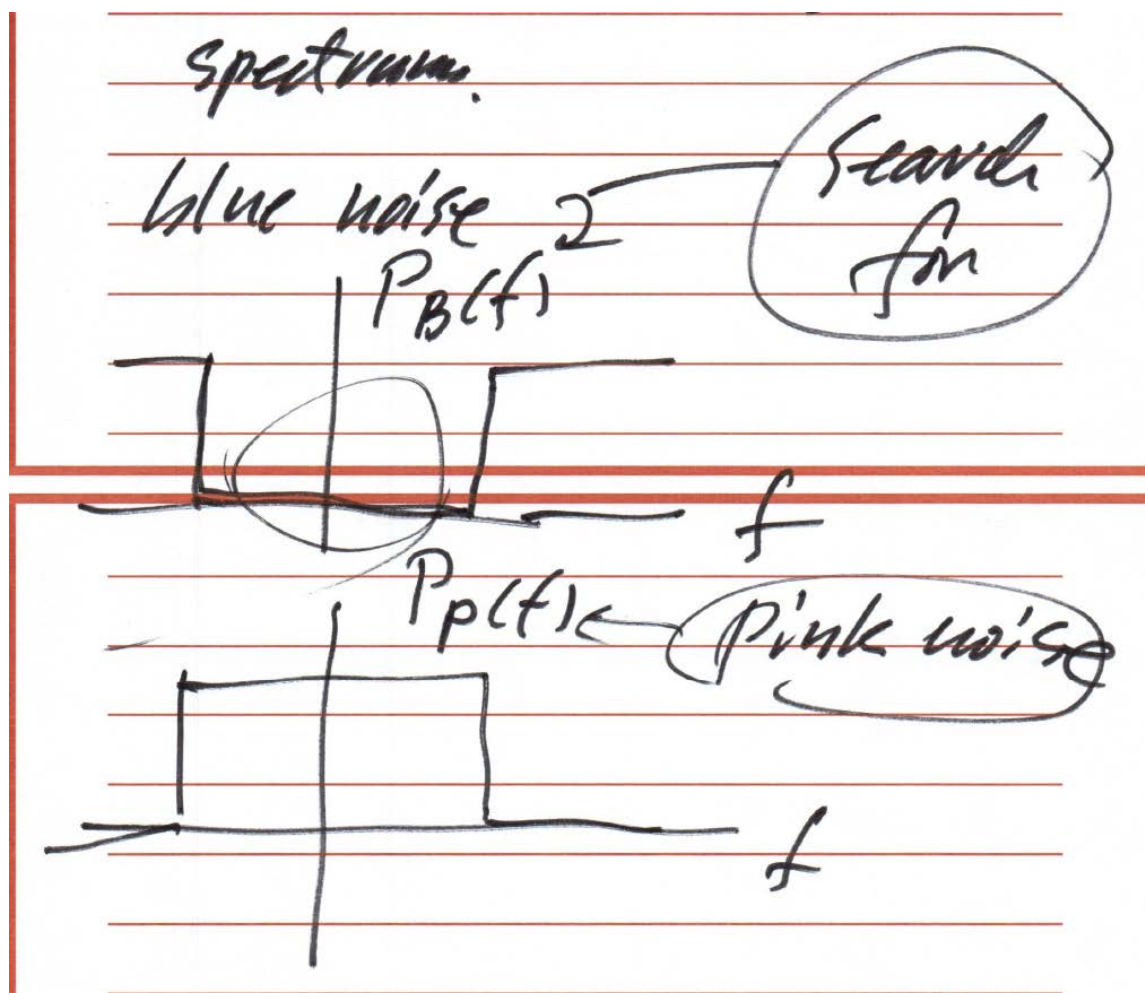


Dithering via Additive White Noise



Granularity patterns
due to the low freq. power
spectrum.

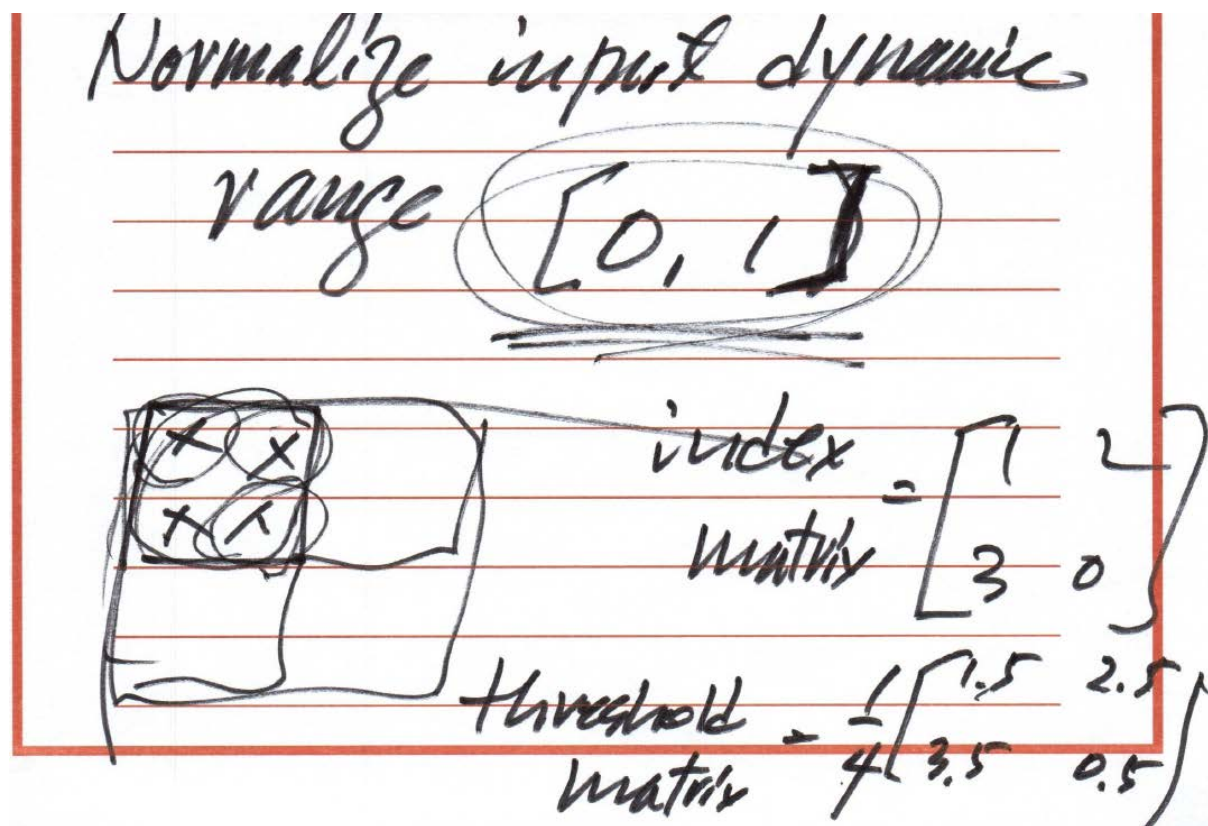
Dithering via Additive Blue Noise



Practical Dithering Algorithm (1)



- Instead of using additive noise, adopt adaptive thresholding



Practical Dithering Algorithm (2)



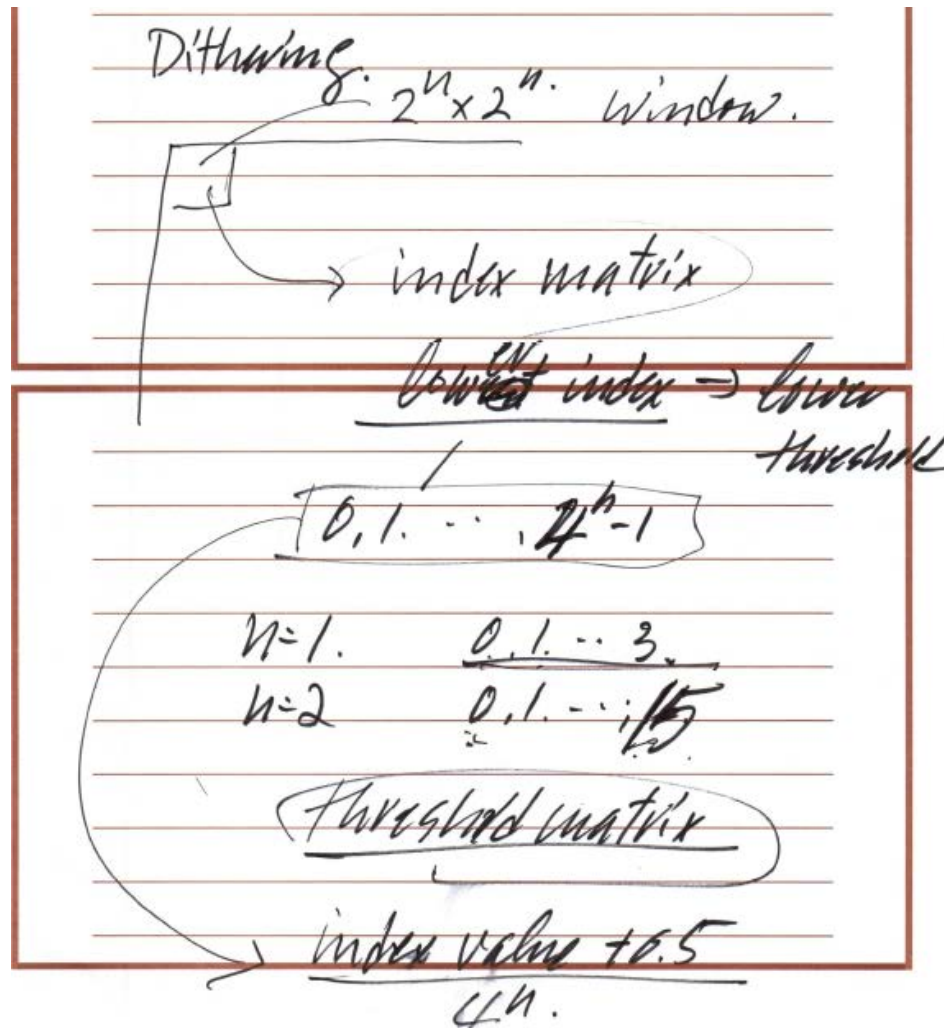
$$I_{2n}(i, j) = \begin{bmatrix} 4 \times I_n(i, j) + 1 & 4 \times I_n(i, j) + 2 \\ 4 \times I_n(i, j) + 3 & 4 \times I_n(i, j) \end{bmatrix}$$

$$I_2(i, j) = \begin{bmatrix} 1 & 2 \\ 3 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 4+1 & 8+1 & 4+2 & 8+2 \\ 12+1 & 0+1 & 12+2 & 0+2 \\ \vdots & \vdots & \vdots & \vdots \\ 4+3 & 8+3 & 4 & 8 \\ 12+3 & 0+3 & 12 & 0 \end{bmatrix}$$

Practical Dithering Algorithm (3)

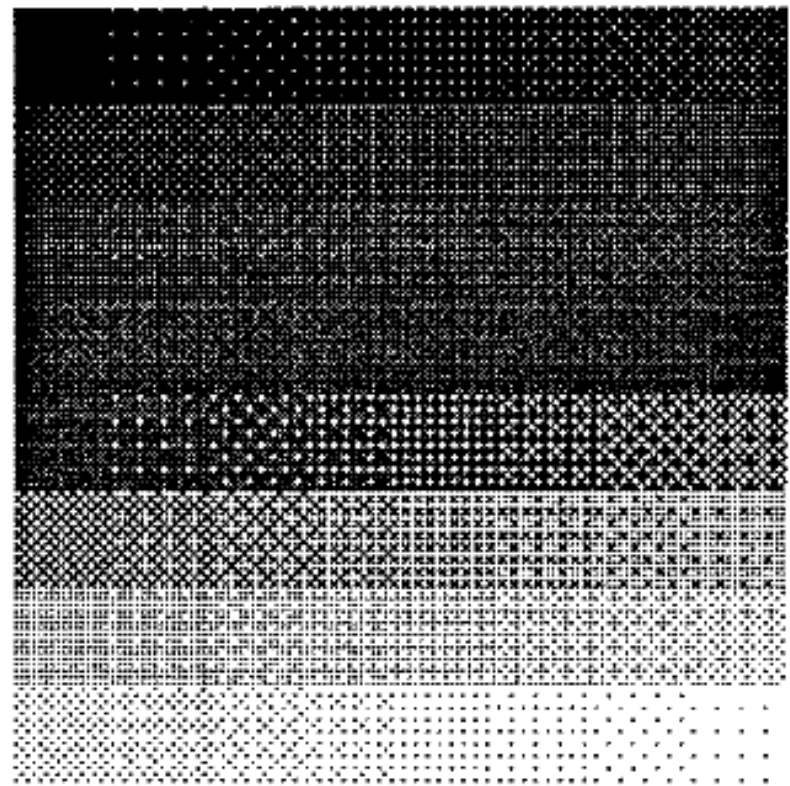
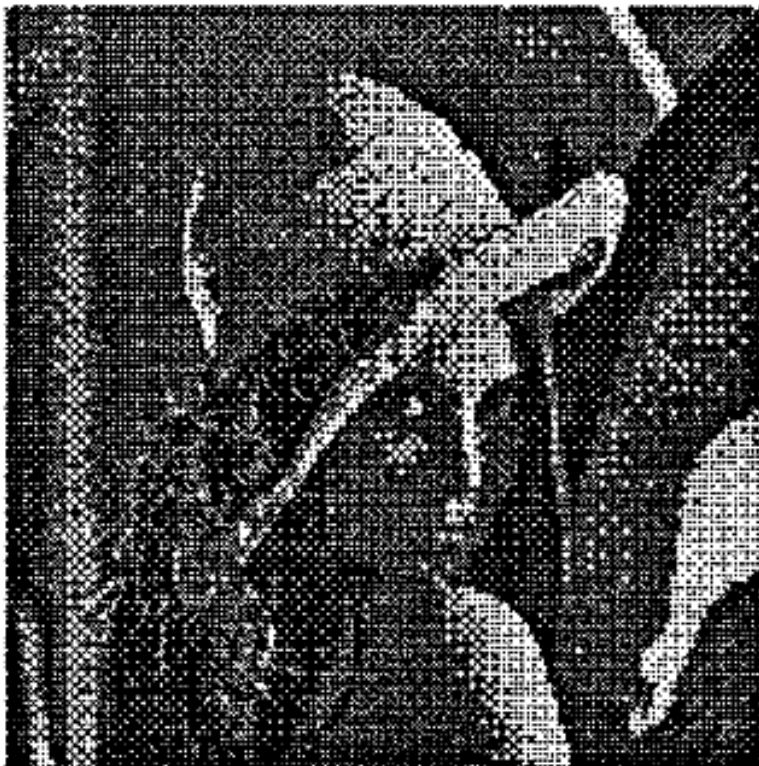
■ Summary



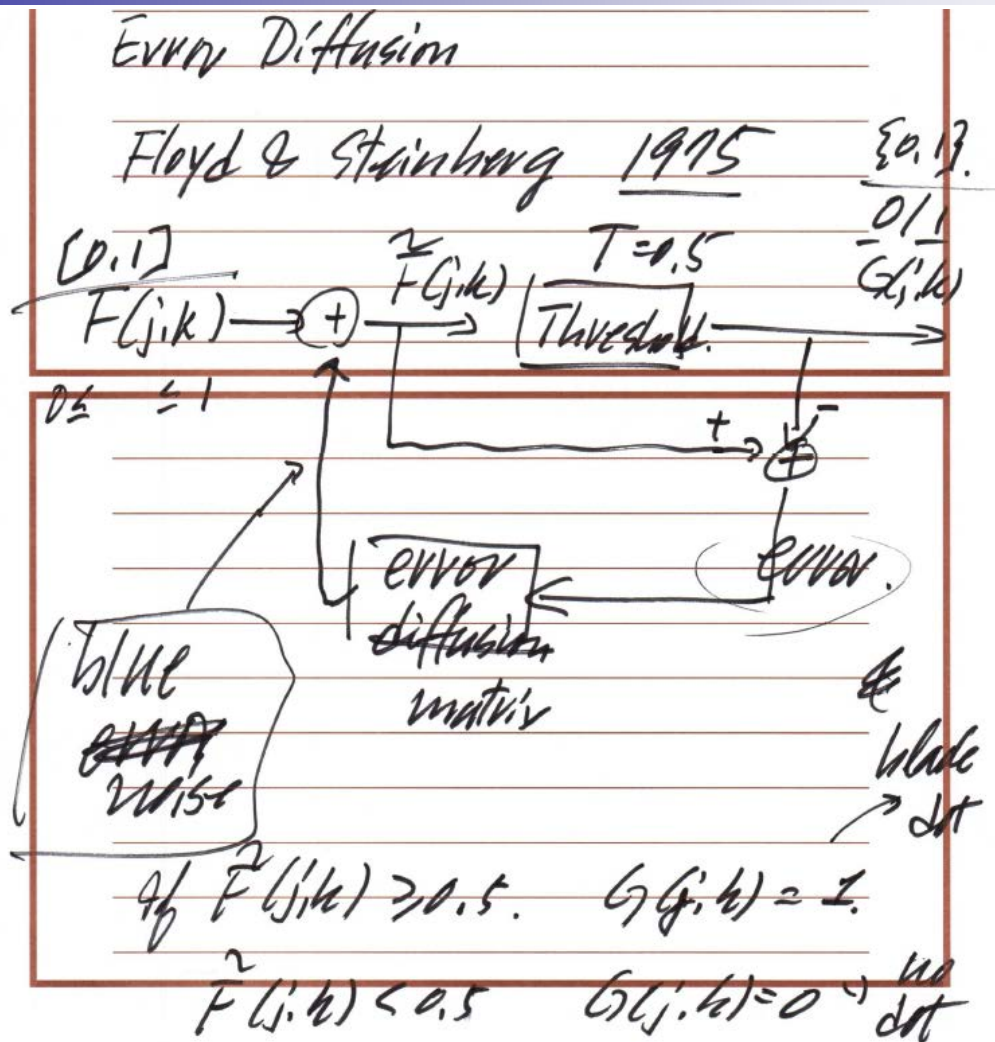


Shortcomings of Dithering

- Texture-like visual patterns



Error Diffusion (1)



Error Diffusion (2)



$$E(j,k) = \tilde{F}(j,k) - G(j,k)$$

feed back. to neighboring pixels

Error Diffusion Mask.

Floyd-Steinberg. (1975)

$$\frac{1}{16} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 7 \\ 3 & 5 & 1 \end{pmatrix}$$

Error Diffusion (3)



- Error diffusion filter

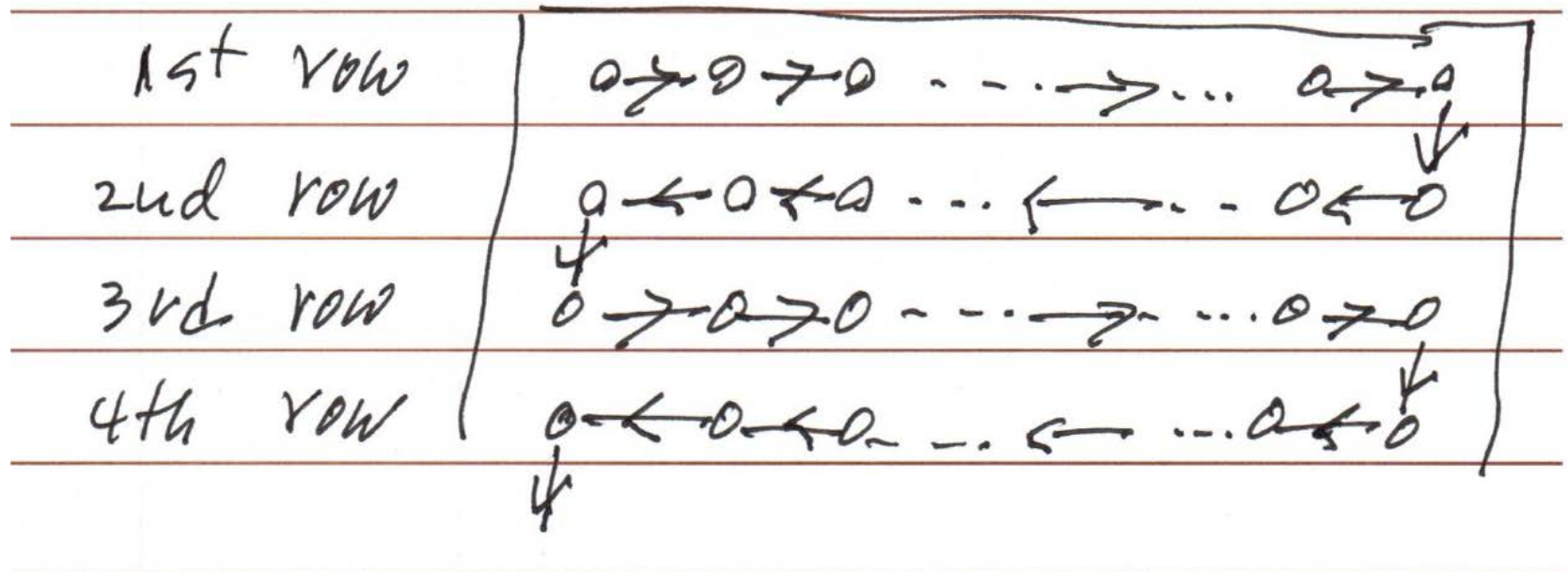
Jarvis et al. (1976)

$$\frac{1}{48} \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 7 & 5 \\ 3 & 5 & 7 & 5 & 3 \\ 1 & 3 & 5 & 3 & 1 \end{pmatrix}$$

Error Diffusion with Serpentine Scanning



- The first, third, fifth, ... rows
 - Left-to-right scanning
- The second, fourth, sixth, ... rows
 - Right-to-left scanning



Error Diffusion Filters



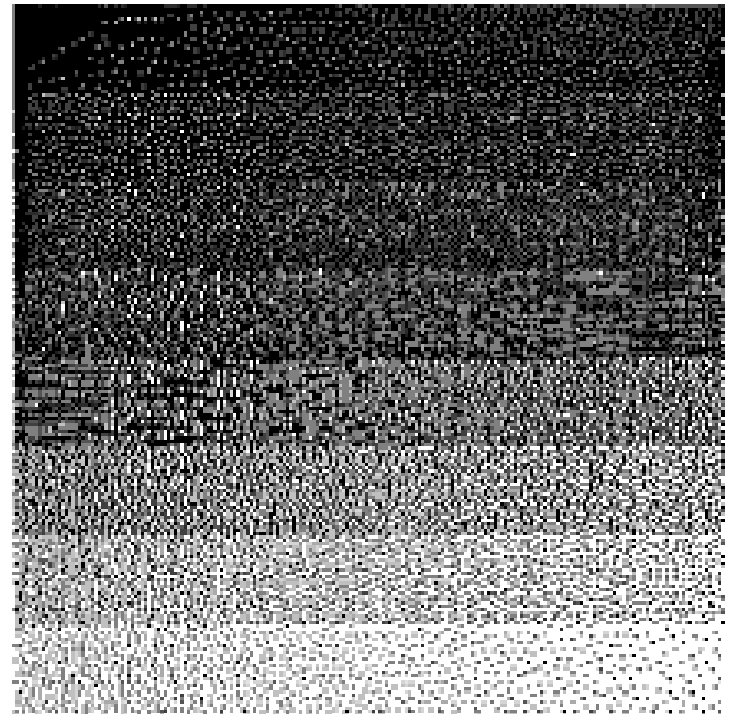
right-to-left

$$\frac{1}{16} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 7 \\ 3 & 5 & 1 \end{pmatrix}$$

left-to-right

$$\frac{1}{16} \begin{pmatrix} 0 & 0 & 0 \\ 7 & 0 & 0 \\ 1 & 5 & 3 \end{pmatrix}$$

Examples of Error Diffusion





Basic Color Science

Color Mixing

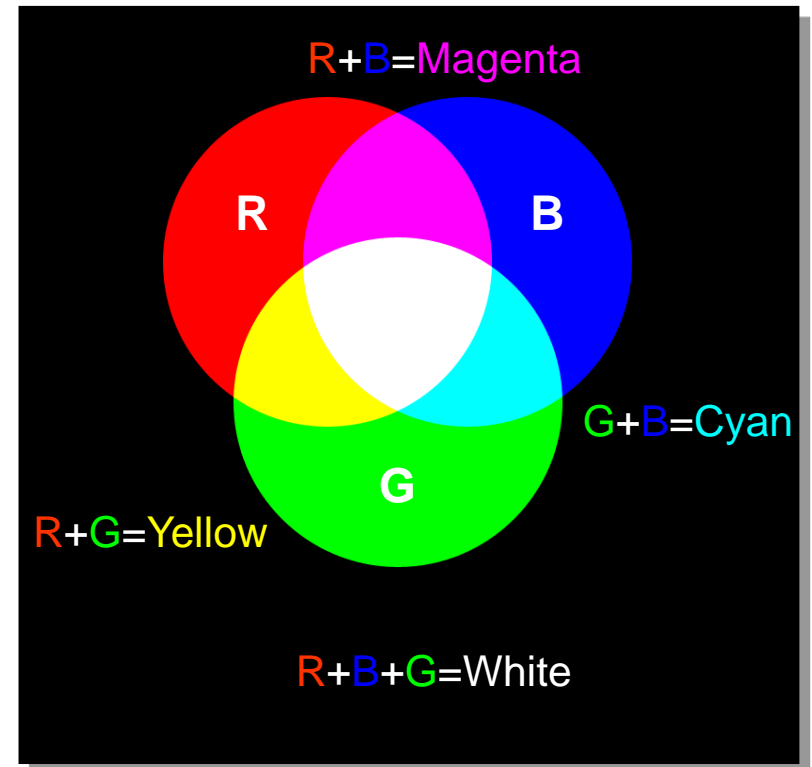


- Get more colors based on the primary colors
 - Additive color mixing
 - RGB
 - Subtractive color mixing
 - CMY

Additive Color Mixing



- Additive mixture
 - Overlap Spotlights in dark rooms
 - Primary colors
 - Red – long wavelengths
 - Green – middle wavelengths
 - Blue – short wavelengths
 - Secondary colors
 - Magenta
 - Cyan
 - Yellow
 - Applications
 - CRT phosphors
 - multiple projectors aimed at a screen
 - Polachrome slide film

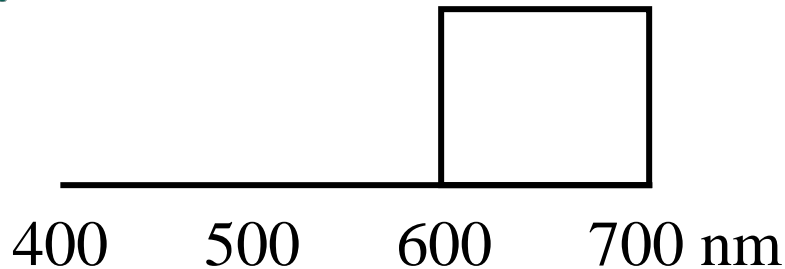


Additive color mixing with red, green, blue primary colors

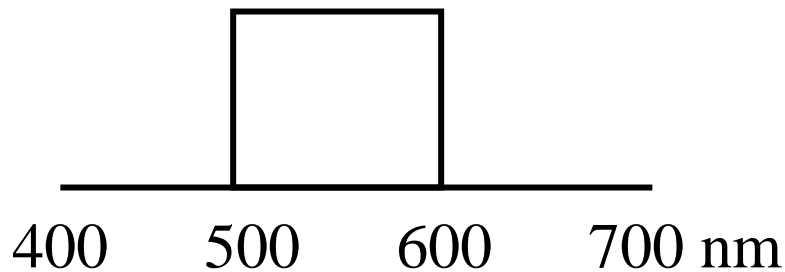
Additive color mixing



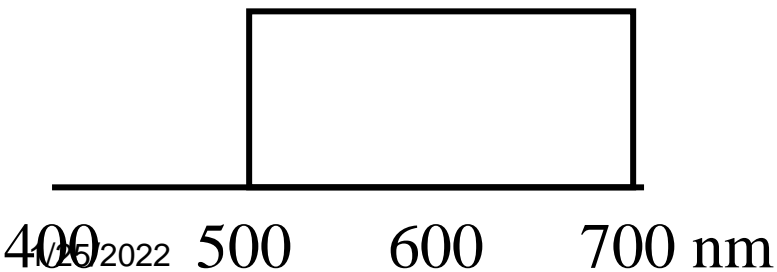
red



green



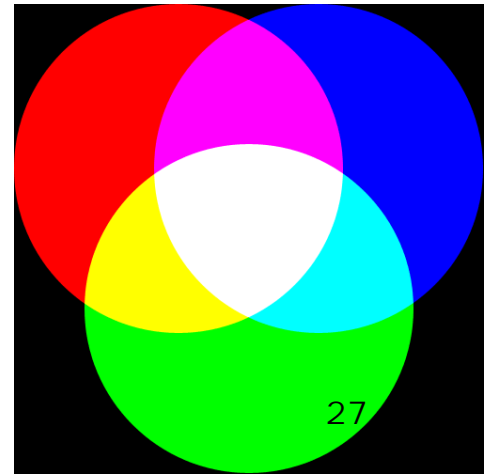
yellow



When colors combine by **adding** the color spectra.
Example color displays that follow this mixing rule: CRT phosphors, multiple projectors aimed at a screen, Polachrome slide film.

Red and green make...

Yellow!



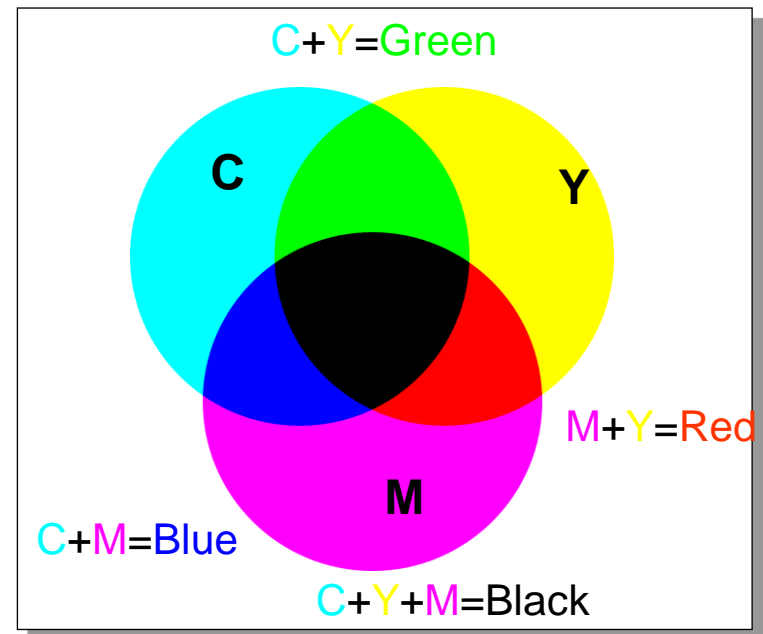
Subtractive Color Mixing



- Subtractive color mixing
 - Employed with paints and pigments
 - Primary colors
 - Yellow – white light subtracts blue (short wavelengths)
 - Magenta – white light subtracts green (middle wavelengths)
 - Cyan – white light subtracts red (long wavelengths)
 - All lights are subtracted - black

Demo

Ink Color	Absorbs	Reflects	Appears
C	Red light	Green and Blue light	Cyan
M	Green light	Red and Blue light	Magenta
Y	Blue light	Red and Green light	Yellow
M + Y	Green & Blue light	Red light	Red
C + Y	Red and Blue light	Green light	Green
C + M	Red and Green light	Blue light	Blue

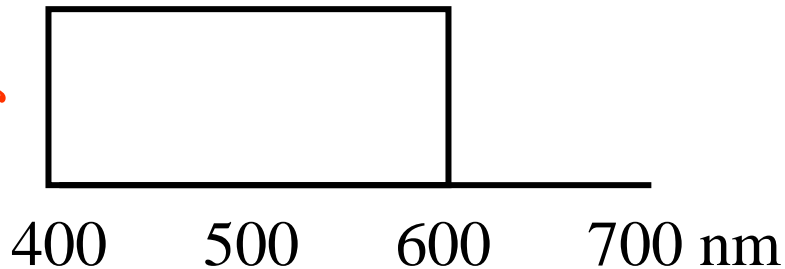


Color subtraction with primary filters

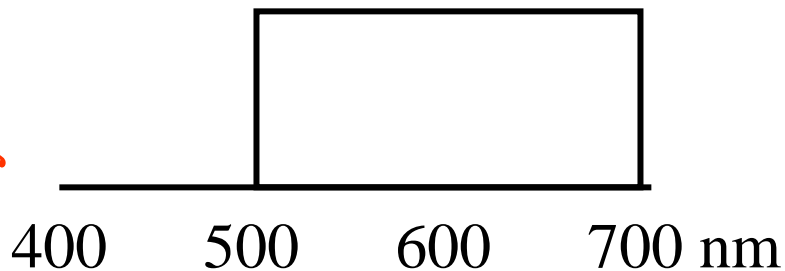
Subtractive color mixing



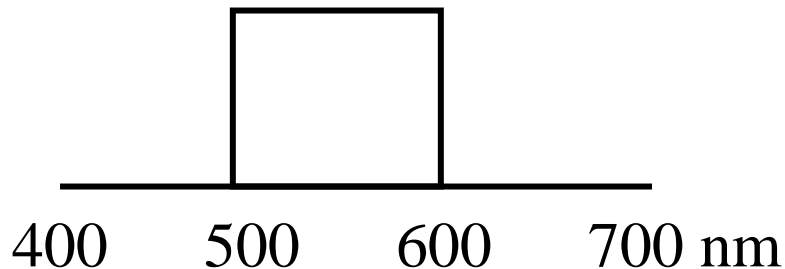
cyan



yellow



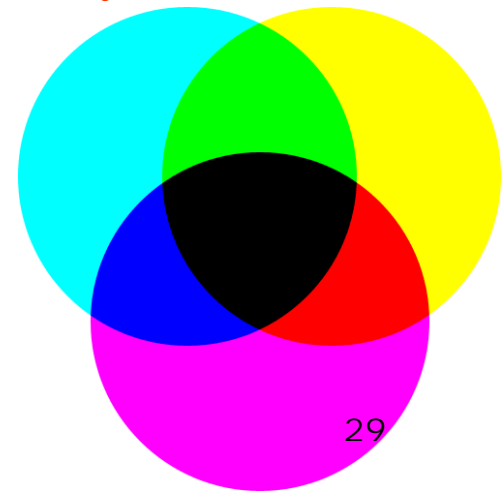
green



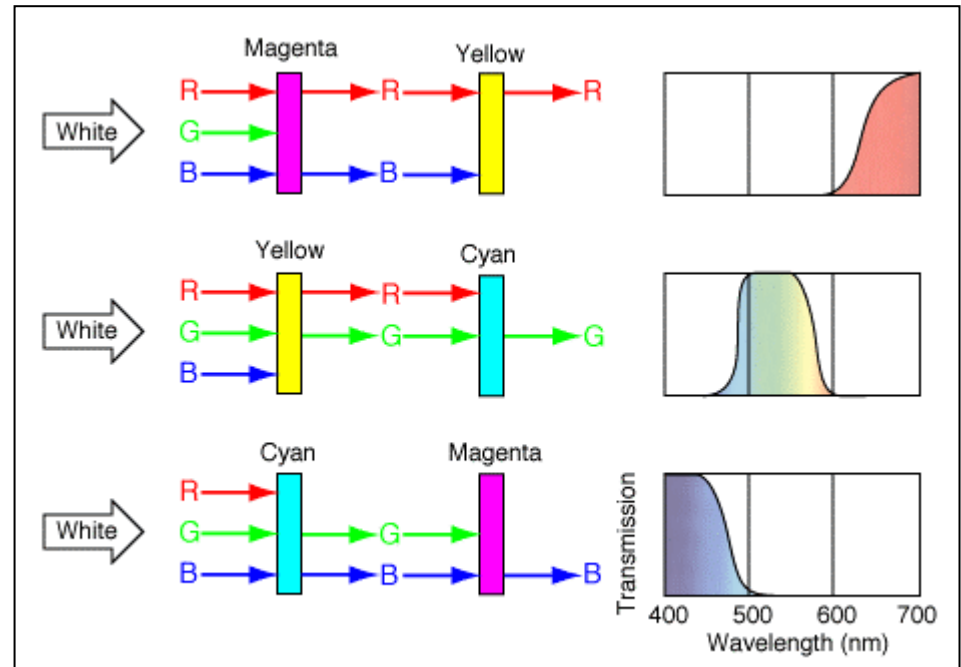
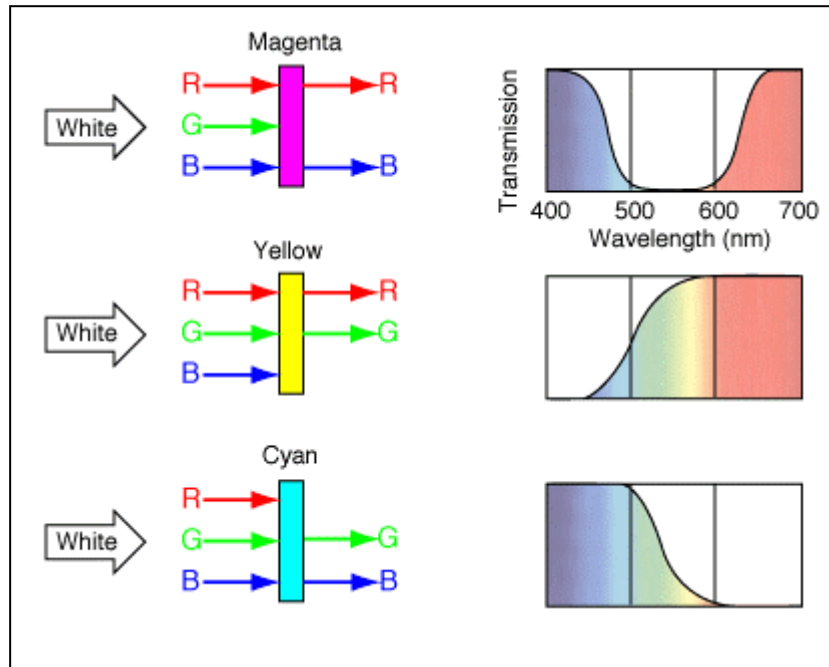
When colors combine by *multiplying* the color spectra. Examples that follow this mixing rule: most photographic films, paint, cascaded optical filters, crayons.

Cyan and yellow (in crayons, called “blue” and yellow) make...

Green!



Subtractive Color Mixing - Example



Illuminate colored filters with white light from behind*

*Image source: *HyperPhysics*

[Demo](#)

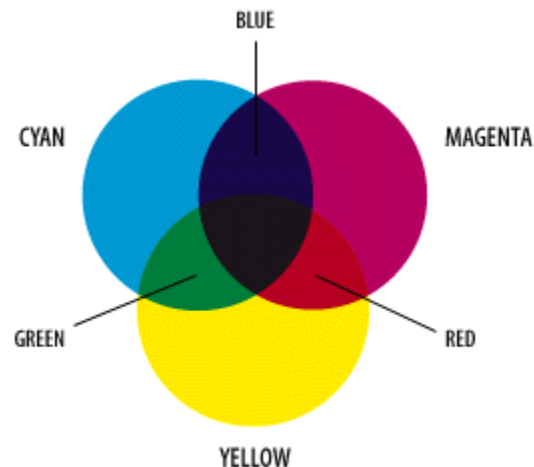
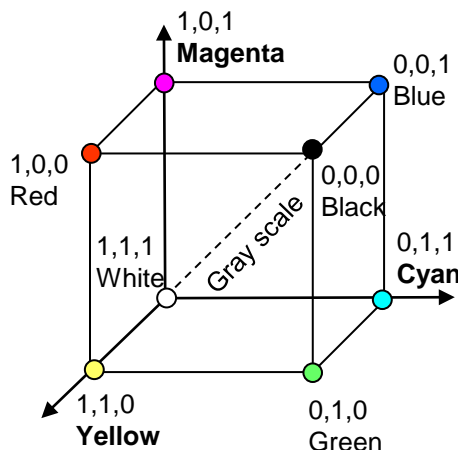
CMY (or CMYK)



- CMY is used for printing (subtractive color mixing)
- CMYK models adds pure black (K) to the mix – a richer black and less ink consumption
- Directly specifying colors in CMY is complicated, but conversion from RGB model is simple
 - Some corrections are required when converting CRT-colors RGB to ink-colors CMY
 - To produce more colors, tricks like halftoning and dithering must be used

$$\begin{bmatrix} C \\ M \\ Y \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} - \begin{bmatrix} R \\ G \\ B \end{bmatrix}$$

Ideally, CMY model is simply transposition of RGB Model



Color Models (or Spaces)



- Three types of cones suggests color is a 3D quantity
 - A color model is a 3D (or N-D) unique representation of a color
 - What to use is application oriented
 - Example
 - RGB – TV monitors, cameras, computer graphics, etc.
 - CMY (or CMYK) – color printing
 - YIQ / YUV – TV broadcasting
 - HSI / HSV – color image manipulation → user-oriented
 - CIE $L^*u^*v^*$ / CIE $L^*a^*b^*$ – Image retrieval → equal visual variance
- } hardware-oriented



Color Image Halftoning

Color Image Halftoning Example



Digital color halftoning: A process that converts from 24bpp to 8 options

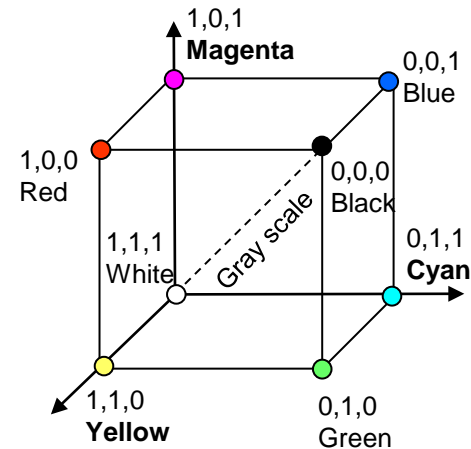
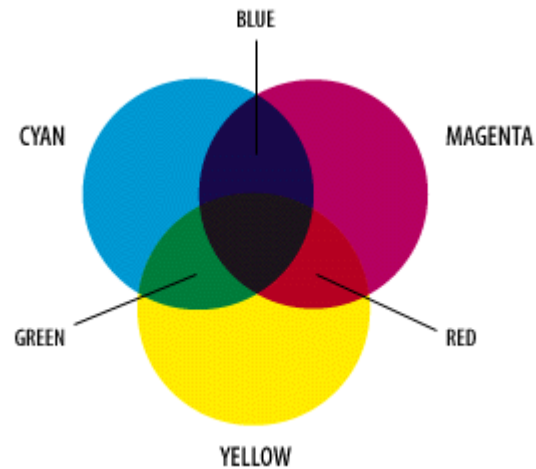
Color cartridge: cyan, magenta, yellow (CMY)

Cyan: 0/1 -> one dot of a cyan ink

Magenta: 0/1 -> one dot of a magenta ink

Yellow: 0/1 -> one dot of a yellow ink

CMY (or CMYK) Color Space



$$\begin{bmatrix} C \\ M \\ Y \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} - \begin{bmatrix} R \\ G \\ B \end{bmatrix}$$

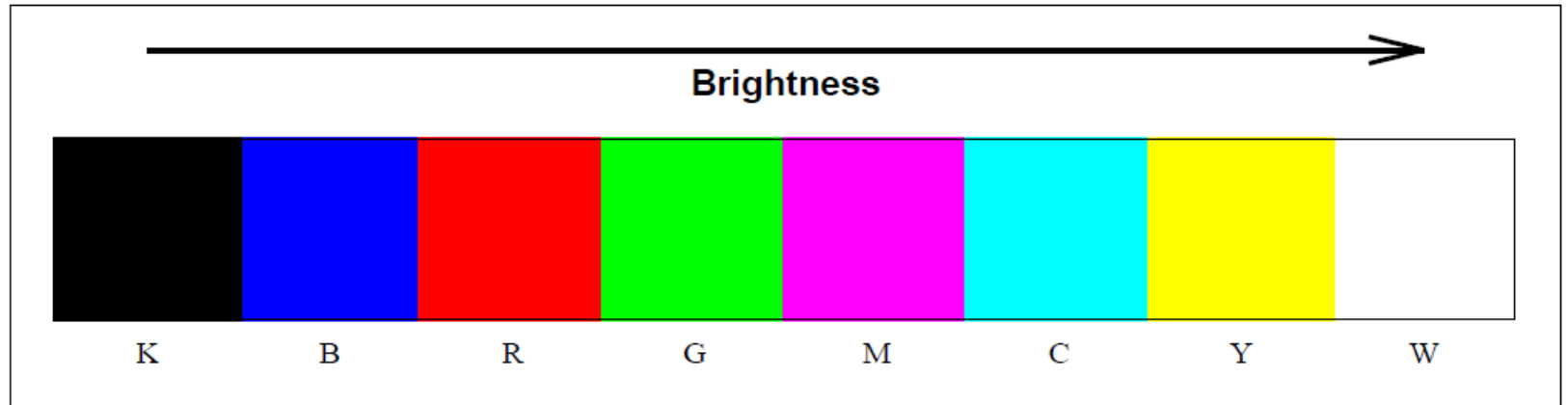
The CMY model is the complementary
of the RGB Model

Color Digital Halftoning



- Conversion from the RGB color space to the CMY color space
- Baseline:
 - Perform digital halftoning on C, M, Y channels separately
 - Quantization and error diffusion on C, M, Y channels individually
 - The quality does not look good
 - Why?
- Improvement
 - Minimal Brightness Variation Criterion (MBVC)
 - To reduce halftone noise, select from within all halftone sets by which the desired color may be rendered, the one whose brightness variation is minimal

MBVC

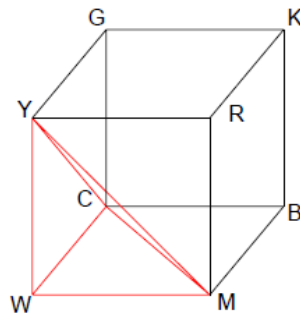


The brightness scale of eight basic colors

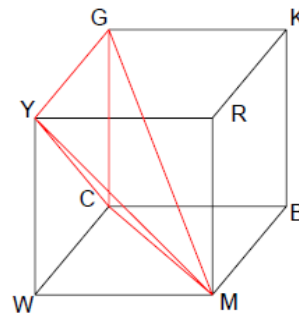
Color Space Partitioned Into 6 Tetrahedral Volumes



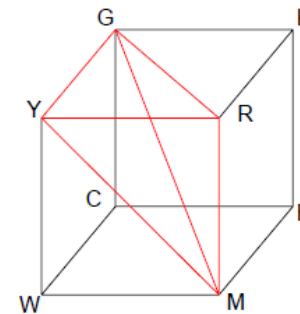
Brightest



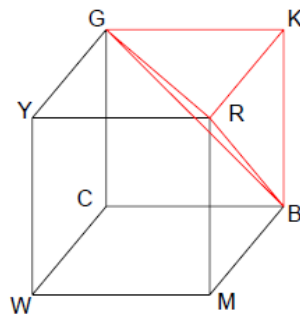
CMYW



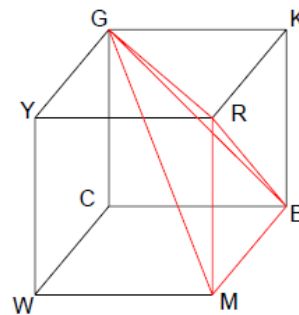
MYGC



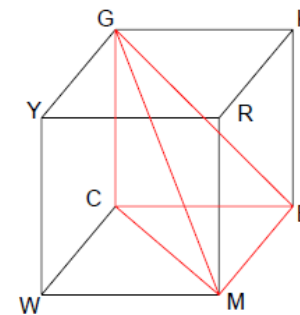
RGM Y



KRGB



RGBM



CMGB

Darkest

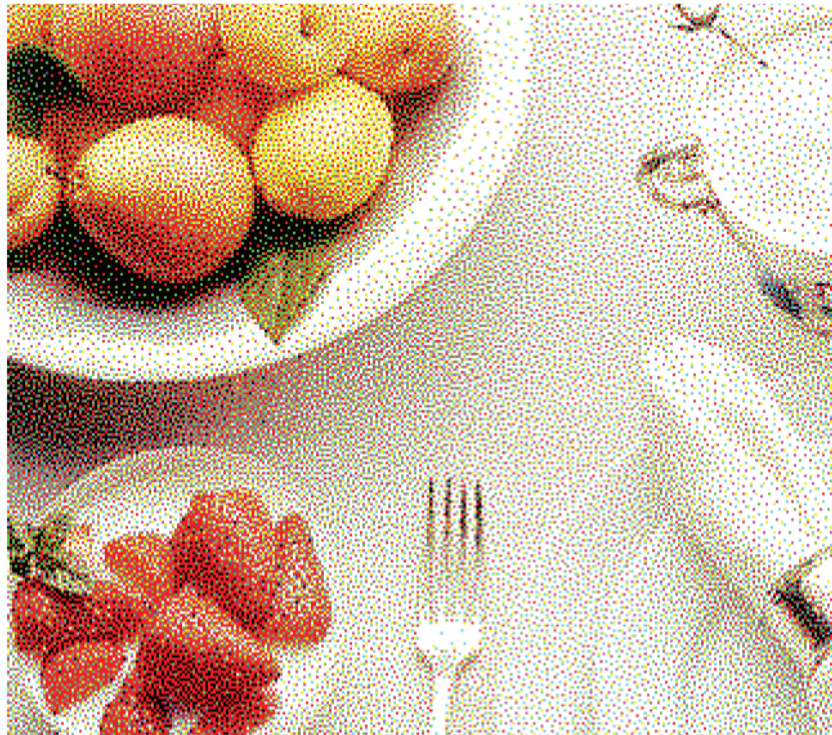
MVBC Color Halftoning



■ Algorithm

- Find the corresponding tetrahedral volume for a given pixel
- Quantize its color to that of its nearest corner
- Diffuse the error to its neighboring pixels in C, M, Y channels

Another Algorithm: DBS



[FIG7] Halftone generated by the colorant-based DBS algorithm.
The image is printed at 100 dpi.

Zoom-In on Strawberries

