# VE281 — Data Structures

# and Algorithms

Programming Assignment 3

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— UM-SJTU-JI (Fall 2020)

#### Notes

• Due Date: 12/7

• Submission: on JOJ

## 1 Introduction

In this project, you are asked to implement a STL-like K-D Tree (K-Dimensional Tree) data structure. Though there is no similar data structure in STL, you will follow the same type of APIs in other container.

The K-D Tree will be able to handle basic operations: initialization, insertion, deletion and finding minimum / maximum node on a dimension. There are also some advanced features of a K-D Tree, such as range search and nearest neighbor search, which are very useful in data analysis of high dimensional data. Luckily, they are not required in this project due to the hardness of the implementation.

Similar to the hash table you have implemented in project 2, key-value pairs are saved in the K-D Tree. The key is a high-dimensional data point, represented by std::tuple, in which the data type on each dimension can be customized. The value type is a template parameter of any data type, which does not affect the behavior of the K-D Tree.

You will continue to study the STL-like iterators in this project. You need to implement iterators in a tree structure. It is similar to the iterator in std::map or std::set (based on RB-tree).

# 2 Programming Assignment

### 2.1 The KDTree Template

# 2.1.1 Overview

In the project starter code, we define a template class for you:

```
1  // An abstract template base
2  template<typename...>
3  class KDTree;
4
5  // A partial template specialization
6  template<typename ValueType, typename... KeyTypes>
7  class KDTree<std::tuple<KeyTypes...>, ValueType> {
8  public:
9     typedef std::tuple<KeyTypes...> Key;
10     typedef ValueType Value;
11     typedef std::pair<const Key, Value> Data;
12     static inline constexpr size_t KeySize = std::tuple_size<Key>::value;
13     static_assert(KeySize > 0, "Can not construct KDTree with zero dimension");
14  };
```

Note that parameter pack (...) is widely used in this project, it is a feature in the C++11 standard for more flexible template programming. Basically it means a pack of any number of template parameters (can be 0, 1 or more). Usually if the "..." is before a parameter name, it is a parameter pack; if the "..." is after a parameter name, it is an unpacking of the parameter pack.

At first we define an abstract template class with only one parameter pack as template argument, and the name of the parameter pack is omitted since we don't need it. Then we define a partial template specialization of the abstract template, so that we only accept <std::tuple<KeyTypes...>, ValueType> as template parameter. If other types of template parameter are provided, the compiler will fall back to the abstract template base and throw an compile error since class <a href="KDTree">KDTree</a> is an incomplete type.

For example, if you want a 2D Tree, each dimension is an integer and the saved value is also an integer, the actual type of the tree is KDTree<std::tuple<int, int>, int>.

Here the typedefs, Key and Value, are the types of the key-value pair stored in the K-D Tree. KeySize is the dimension of the key, and we use a static\_assert to ensure the dimension is at least one during compilation. You can try to compile KDTree<std::tuple<>, int> and find what happened.

For ease of your implementation, we assume all data types in Key can be compared by std::less and std::greater, so that you don't need to write customized comparators for the K-D Tree.

Don't be too afraid of these new grammars in C++11, at least we've already defined all of the classes and functions for you and you don't need to write anything related to parameter packs.

#### 2.1.2 Internal Data Structures

We've already defined the internal data structure (node) for you in this project.

```
struct Node {
Data data;
Node *parent;
Node *left = nullptr;
Node *right = nullptr;
}
```

The parent of the root node should be nullptr, and the tree only need to save the root node. It's a very trivial definition, but it should be enough for the whole project.

### 2.1.3 Iterators

In hash table, we use a self-defined iterator containing two STL iterators (of vector and list). Iterators for these linear data structure can be simple implemented: for a vector, you only need to advance the index; for a list, you only need to make it pointing to the next node. However, when iterating a tree, it's different that you need to follow a certain tree traversal order.

The definition of the iterator is also trivial. You only need to record a pointer to the K-D Tree, and a pointer to the current node.

```
class Iterator {
private:
```

```
KDTree *tree;
Node *node;
}
```

We also provide the begin and end methods for you. The begin method finds the left most leaf of the tree, and the end method uses nullptr as the current node.

Your task is to write the increment and the decrement method in the Iterator class. You should use a depth-first in-order traversal of the tree to increment the iterator, which means, when you have a full iteration of the tree and print each node, the order of the output should be the exactly same as an depth-first in-order traversal.

Here's a detailed explanation about the increment method. When a increment occurs, if the current node has a right subtree, the next node should be the left most leaf in the right subtree; otherwise (if the current node doesn't have a right subtree), you should move up (by parent pointer) and find the first ancestor node so that the current node is in the left subtree of the ancestor node. When you increment the right most leaf node in the tree, you'll find that the node is not in any of its ancestors' left subtree, so you should end the loop and set the next node as nullptr.

The decrement method is a reverse of the increment method, think about how to implement it by yourself.

The behavior of doing an increment on the end iterator is an undefined behavior. Similarly, doing an decrement on the begin iterator is also an undefined behavior. For ease of debugging, you can throw an error if these operations happened, but we won't test your code with these cases. Note that doing an decrement on the end iterator is allowed, which will return the right most leaf node.

If all of your implementation is correct, range-for[1] loops will be automatically supported for the K-D Tree. Try this to evaluate your code:

```
for (auto &item : kdTree) {
cout << item.second << endl;
}</pre>
```

#### 2.1.4 The Dynamic Methods

There are three "dynamic" methods implemented in the starter code: findMinDynamic, findMaxDynamic and eraseDynamic. They are only for your reference, and you do not need to call them in your implementation. You can try to understand these functions and use them in the testing.

### 2.2 Operations

### 2.2.1 Initialization

To initialize an empty K-D Tree, you can set the root to nullptr.

To initialize a K-D Tree with another K-D Tree, you should traverse and make a deep copy of all nodes in that tree.

To initialize a K-D Tree with a vector of data points, a trivial idea is to insert the data points one by one, the time complexity is  $\mathcal{O}(kn\log n)$  obviously. However, it is very likely to form a not balanced tree and lead to a poor performance. A better idea is to find the median point of the current dimension so that the data points can be equally partitioned into the left and right subtree.

```
function KDTree(data, parent, depth):
```

end

```
if data is empty then
    return null;
end

dimension ← depth mod k;
median ← the median point of data on dimension;
partition data into left, median and right;
node.key ← median;
node.parent ← parent;
node.left ← KDTree(left, node, depth + 1);
node.right ← KDTree(right, node, depth + 1);
```

**Algorithm 1:** Construction of tree.

Before inserting the data, you should make sure there is no duplication of key. A simple method is to run a stable sort (std::stable\_sort) on the data, and then use std::unique to remove duplicate keys with reverse iteration (so that the latest value of the same key is preserved).

Hint: You can use rbegin and rend for reverse iteration, and get the corresponding forward iterator by it.base().

Recall the linear time selection algorithm, the time complexity of finding the median and partitioning the vector is  $\mathcal{O}(kn)$ , according to the Master theorem, the overall time complexity is also  $\mathcal{O}(kn\log n)$ . If there are even number of elements in a vector, use the left one as the median point, this may lead to some unbalance to the tree, but mostly it can be ignored.

Hint: you can use STL functions to efficiently find the median and partition the vector. Check std::nth\_element. You may also need the compareKey function to compare tuples on a certain dimension, it is already implemented in the starter code.

```
template<size_t DIM, typename Compare>
static bool compareKey(const Key &a, const Key &b, Compare compare = Compare()) {
    if (std::get<DIM>(a) != std::get<DIM>(b)) {
        return compare(std::get<DIM>(a), std::get<DIM>(b));
    }
    return compare(a, b);
}
```

You should use this function whenever two keys need to be compared on a certain dimension to ensure a strict ordering of keys in the tree, including initialization, insertion, deletion and etc.

Additionally, you'll need to implement both the copy constructor and overload the = operator, such that the following statements initiate deep copying:

```
1 KDTree t2(t1);
2 KDTree t3;
3 t3 = t1;
```

#### 2.2.2 Insertion and Find Minimum / Maximum

The pseudocode is omitted here because detailed explanations for these operations are already in the lecture slides. Think carefully about what's the difference of finding minimum and maximum, do not directly copy the code.

We'll briefly explain the template parameter for dimension here. The findMin method has the following definition:

```
template<size_t DIM_CMP, size_t DIM>
Node *findMin(Node *node) {
constexpr size_t DIM_NEXT = (DIM + 1) % KeySize;
// TODO: implement this function
}
```

The first template parameter  $DIM\_CMP$  means the dimension where nodes should be compared on, the second template parameter DIM means the dimension of the current node (depth mod k in the tree). We help you define the next dimension  $DIM\_NEXT$  which can be used as template parameter recursively or used in other template methods.

For example, you can use findMin<DIM\_CMP, DIM\_NEXT>(node->left) to recursively find the minimum node in the left subtree on DIM\_CMP; you can also use compareNode<DIM\_CMP, std::less<> > to compare the nodes on DIM\_CMP.

#### 2.2.3 Deletion

The deletion operation is a bit more complex, we'll also provide the pseudocode for it.

Notice that in deletion, we first search for the minimum element in the right subtree, before proceeding to the maximum element in the left subtree.

```
function Delete(node, key, depth):
   if node is null then
       return null;
   end
   dimension \leftarrow depth \mod k;
   if key = node.key then
       if node is a leaf then
           delete node directly;
           return null;
       else if node has right subtree then
           minNode \leftarrow the minimum node on dimension in the right subtree node.right;
           node.key \leftarrow minNode.key;
           node.value ← minNode.value;
           node.right \leftarrow Delete(node.right, minNode.key, depth + 1);
       else if node has left subtree then
           maxNode \leftarrow the maximum node on dimension in the left subtree node.left;
           node.kev \leftarrow maxNode.kev;
           node.value ← maxNode.value;
           node.left \leftarrow Delete(node.left, maxNode.key, depth + 1);
       end
   else
       if key < node.key on dimension then
           node.left \leftarrow Delete(node.left, key, depth + 1);
       else
           node.right \leftarrow Delete(node.right, key, depth + 1);
       end
   end
   return node:
end
```

Algorithm 2: Deletion of node.

Hint: In order to find the minimum / maximum on the current dimension in the left / right subtree, the comparison dimension should be the current dimension, and the starting dimension should be next of the current dimension. Think carefully about how to call the findMin and findMax method.

# 2.3 Study the Time Complexity versus k

In binary trees, the time complexity of the operations are only based on n. However, you should also take k into consideration in K-D Trees. Your task is to study the performance of the finding minimum operation.

Use different k values to construct K-D Trees. You may find it difficult to define high dimensional trees. We provide a helper function with you to resolve this issue.

```
template<size_t SIZE, typename T>
auto vector2tuple(std::vector<T> &vec) {
    T t = T();
```

The vector2tuple helper function can transform a vector of any type into a tuple of the type of a predefined size. Now you can simply define a 10-D int tree with a keyword decltype, which can get the type of any variable during compilation time.

```
std::vector<int> vec;
auto tuple = vector2tuple<10>(vec);
KDTree<decltype(tuple), int> kdTree;
```

You can also use vectors to initialize the tree with vector2tuple. We suggest that you use the same input to initialize the trees (for small dimensions you can only use the first k dimensions in the input).

The main purpose of this study is to find the relationship of time complexity and k, so you can fix n as a large integer and compare the results for different k.

Besides, try to induce the time complexity of finding minimum with respect to both n and k. Compare the theoretical time complexity with your results.

Write about **one page** on the report about your findings, plots and explanations.

#### 2.3.1 Hints

- The performance of programs on Windows is usually not stable, so you should do the experiments on a Unix based system.
- You may want to write another program to do this study.
- You can use the C++11 pseudo-random number generation library to generate more randomized numbers (instead of using std::rand).
- You can use the C++11 chrono library to get more accurate runtime of functions than std::clock.
- (Optional) You can use GNU Perf (only available on Linux) to find the bottleneck of your implementation.
- Although the major factor that affects the runtime is the size of the input array, however, the runtime for an algorithm may also weakly depend on the detailed input array. Thus, for each size, you should generate a number of arrays of that size and obtain the mean runtime on all these arrays. Also, for fair comparison, the same set of arrays should be applied to all the data structures.
- You should try at least 5 representative sizes.

# 3 Implementation Requirements and Restrictions

## 3.1 Requirements

- You must make sure that your code compiles successfully on a Linux operating system with g++ and the options -std=c++1z -Wconversion -Wall -Werror -Wextra -pedantic.
- You should not change the definitions of the functions in kdtree.hpp.
- You can define helper functions, don't forget to mark them as protected or private.
- You should only hand in one file kdtree.hpp.
- You can use any header file defined in the C++17 standard. You can use cppreference as a reference.

You only need to implement the methods (functions) marked with "TODO" in the file hashtable.hpp. Here is a list of the methods (functions):

- increment (in iterator)
- decrement (in iterator)
- find
- insert
- findMin
- findMax
- erase
- two constructors, destructor and operator=

Please refer to the descriptions of these functions in the starter code.

### 3.2 Memory Leak

You may not leak memory in any way. To help you see if you are leaking memory, you may wish to call valgrind, which can tell whether you have any memory leaks. (You need to install valgrind first if your system does not have this program.) The command to check memory leak is:

```
valgrind --leak-check=full <COMMAND>
```

You should replace <COMMAND> with the actual command you use to issue the program under testing. For example, if you want to check whether running program

```
./main < input.txt
causes memory leak, then <COMMAND> should be "./main < input.txt". Thus, the command will be
valgrind --leak-check=full ./main < input.txt</pre>
```

# 4 Grading

Your program will be graded along five criteria:

### 4.1 Functional Correctness

Functional Correctness is determined by running a variety of test cases against your program, checking your solution using our automatic testing program.

# 4.2 Implementation Constraints

We will grade Implementation Constraints to see if you have met all of the implementation requirements and restrictions. In this project, we will also check whether your program has memory leak. For those programs that behave correctly but have memory leaks, we will deduct some points.

# 4.3 General Style

General Style refers to the ease with which TAs can read and understand your program, and the cleanliness and elegance of your code. Part of your grade will also be determined by the performance of your algorithm.

### 4.4 Performance

We will test your program with some large test cases. If your program is not able to finish within a reasonable amount of time, you will lose the performance score for those test cases.

## 4.5 Report on the performance study

Finally, we will also read your report and grade it based on the quality of your performance study.

# 5 Acknowledgement

The programming assignment is co-authored by Yihao Liu, an alumni of JI and the chief architect of JOJ.

### References

[1] Range-based for loop - cppreference: https://en.cppreference.com/w/cpp/language/range-for

# **Appendix**

## kdtree.hpp #include <tuple> #include <vector> 3 #include <algorithm> 4 #include <cassert> 5 #include <stdexcept> \* An abstract template base of the KDTree class \*/ template<typename...> 11 class KDTree; 13 \* A partial template specialization of the KDTree class \* The time complexity of functions are based on n and k \* n is the size of the KDTree \* k is the number of dimensions \* @typedef Key key type \* @typedef Value value type \* @typedef Data key-value pair 20 \* @static KeySize k (number of dimensions) 21 template<typename ValueType, typename... KeyTypes> 23 class KDTree<std::tuple<KeyTypes...>, ValueType> { public: typedef std::tuple<KeyTypes...> Key; 26 typedef ValueType Value; 27 typedef std::pair<const Key, Value> Data; 28 static inline constexpr size\_t KeySize = std::tuple\_size<Key>::value; static\_assert(KeySize > 0, "Can not construct KDTree with zero dimension"); 30 protected: 31 struct Node { Data data; 33 Node \*parent; 34 Node \*left = nullptr; Node \*right = nullptr; 37 Node(const Key &key, const Value &value, Node \*parent) : data(key, value), → parent(parent) {} 39 const Key &key() { return data.first; } Value &value() { return data.second; } 42 }; 43

```
public:
        /**
         * A bi-directional iterator for the KDTree
         * ! ONLY NEED TO MODIFY increment and decrement !
        */
        class Iterator {
        private:
51
            KDTree *tree;
52
            Node *node;
53
54
            Iterator(KDTree *tree, Node *node) : tree(tree), node(node) {}
55
56
             * Increment the iterator
58
             * Time complexity: O(log n)
59
            void increment() {
61
                // TODO: implement this function
62
            }
64
            /**
65
             * Decrement the iterator
             * Time complexity: O(log n)
67
             */
68
            void decrement() {
               // TODO: implement this function
            }
71
72
        public:
73
            friend class <a href="KDTree">KDTree</a>;
74
            Iterator() = delete;
77
            Iterator(const Iterator &) = default;
            Iterator &operator=(const Iterator &) = default;
80
81
            Iterator & operator++() {
                 increment();
83
                return *this;
84
            }
            Iterator operator++(int) {
87
                 Iterator temp = *this;
                 increment();
                 return temp;
90
            }
```

```
92
             Iterator & operator -- () {
93
                 decrement();
                 return *this;
             }
             Iterator operator--(int) {
                 Iterator temp = *this;
                 decrement();
                 return temp;
101
             }
102
103
             bool operator==(const Iterator &that) const {
104
                 return node == that.node;
105
             }
106
107
             bool operator!=(const Iterator &that) const {
108
                 return node != that.node;
109
             }
111
             Data *operator->() {
112
                 return &(node->data);
114
115
             Data &operator*() {
                 return node->data;
117
             }
118
        };
119
120
                                       // DO NOT USE private HERE!
    protected:
121
         Node *root = nullptr;
                                       // root of the tree
122
         size_t treeSize = 0;
                                       // size of the tree
123
124
         /**
125
          * Find the node with key
          * Time Complexity: O(k log n)
127
          * @tparam DIM current dimension of node
128
          * @param key
129
          * @param node
130
          * @return the node with key, or nullptr if not found
131
132
         template<size_t DIM>
133
         Node *find(const Key &key, Node *node) {
134
             constexpr size_t DIM_NEXT = (DIM + 1) % KeySize;
135
             // TODO: implement this function
         }
137
138
```

```
139
         * Insert the key-value pair, if the key already exists, replace the value only
140
          * Time Complexity: O(k log n)
         * @tparam DIM current dimension of node
142
         * @param key
143
         * @param value
          * @param node
145
         * @param parent
146
         * @return whether insertion took place (return false if the key already exists)
148
        template<size_t DIM>
149
        bool insert(const Key &key, const Value &value, Node *&node, Node *parent) {
150
             constexpr size_t DIM_NEXT = (DIM + 1) % KeySize;
151
             // TODO: implement this function
152
        }
153
154
        /**
155
         * Compare two keys on a dimension
156
         * Time Complexity: O(1)
         * @tparam DIM comparison dimension
158
         * @tparam Compare
159
          * @param a
         * @param b
161
         * @param compare
162
163
         * @return relationship of two keys on a dimension with the compare function
164
        template<size_t DIM, typename Compare>
165
        static bool compareKey(const Key &a, const Key &b, Compare compare = Compare()) {
             if (std::get<DIM>(a) != std::get<DIM>(b)) {
167
                 return compare(std::get<DIM>(a), std::get<DIM>(b));
168
             }
169
             return compare(a, b);
        }
172
        /**
173
          * Compare two nodes on a dimension
174
         * Time Complexity: O(1)
175
         * @tparam DIM comparison dimension
176
          * @tparam Compare
177
         * @param a
178
179
         * @param b
          * @param compare
180
         * @return the minimum / maximum of two nodes
181
182
        template<size_t DIM, typename Compare>
        static Node *compareNode(Node *a, Node *b, Compare compare = Compare()) {
184
             if (!a) return b;
185
```

```
if (!b) return a;
186
             return compareKey<DIM, Compare>(a->key(), b->key(), compare) ? a : b;
187
         }
188
189
         /**
190
          * Find the minimum node on a dimension
          * Time Complexity: ?
192
          * @tparam DIM_CMP comparison dimension
193
          * @tparam DIM current dimension of node
          * @param node
195
          * @return the minimum node on a dimension
196
197
          */
         template<size_t DIM_CMP, size_t DIM>
198
         Node *findMin(Node *node) {
199
             constexpr size_t DIM_NEXT = (DIM + 1) % KeySize;
200
             // TODO: implement this function
201
        }
202
203
         * Find the maximum node on a dimension
205
          * Time Complexity: ?
206
          * @tparam DIM_CMP comparison dimension
          * @tparam DIM current dimension of node
208
          * @param node
209
          * @return the maximum node on a dimension
211
         template<size_t DIM_CMP, size_t DIM>
212
         Node *findMax(Node *node) {
213
             constexpr size_t DIM_NEXT = (DIM + 1) % KeySize;
214
             // TODO: implement this function
215
         }
216
         template<size_t DIM>
218
         Node *findMinDynamic(size_t dim) {
219
             constexpr size_t DIM_NEXT = (DIM + 1) % KeySize;
             if (dim >= KeySize) {
221
                 dim %= KeySize;
222
             }
             if (dim == DIM) return findMin<DIM, 0>(root);
224
             return findMinDynamic<DIM_NEXT>(dim);
225
226
        }
227
         template<size_t DIM>
228
        Node *findMaxDynamic(size_t dim) {
229
             constexpr size_t DIM_NEXT = (DIM + 1) % KeySize;
230
             if (dim >= KeySize) {
231
                 dim %= KeySize;
```

```
233
             if (dim == DIM) return findMax<DIM, 0>(root);
234
             return findMaxDynamic<DIM_NEXT>(dim);
236
237
         /**
          * Erase a node with key (check the pseudocode in project description)
239
          * Time Complexity: max{O(k log n), O(findMin)}
240
          * @tparam DIM current dimension of node
          * @param node
242
          * @param key
243
          * @return nullptr if node is erased, else the (probably) replaced node
245
         template<size_t DIM>
246
         Node *erase(Node *node, const Key &key) {
247
             constexpr size_t DIM_NEXT = (DIM + 1) % KeySize;
             // TODO: implement this function
249
         }
250
         template<size_t DIM>
252
         Node *eraseDynamic(Node *node, size_t dim) {
253
             constexpr size_t DIM_NEXT = (DIM + 1) % KeySize;
             if (dim >= KeySize) {
255
                 dim %= KeySize;
256
             if (dim == DIM) return erase<DIM>(node, node->key());
258
             return eraseDynamic<DIM_NEXT>(node, dim);
259
         }
260
261
        // TODO: define your helper functions here if necessary
262
263
    public:
         KDTree() = default;
265
266
         /**
          * Time complexity: O(kn log n)
268
         * @param v we pass by value here because v need to be modified
269
270
         explicit KDTree(std::vector<std::pair<Key, Value>> v) {
271
             // TODO: implement this function
272
273
         }
274
275
         * Time complexity: O(n)
276
         */
277
         KDTree(const KDTree &that) {
278
             // TODO: implement this function
279
```

```
280
281
        /**
         * Time complexity: O(n)
283
284
         KDTree &operator=(const KDTree &that) {
             // TODO: implement this function
286
        }
287
289
         * Time complexity: O(n)
290
         */
291
         ~KDTree() {
292
            // TODO: implement this function
293
        }
294
295
        Iterator begin() {
296
             if (!root) return end();
297
             auto node = root;
             while (node->left) node = node->left;
299
             return Iterator(this, node);
300
        }
302
        Iterator end() {
303
             return Iterator(this, nullptr);
305
306
        Iterator find(const Key &key) {
307
             return Iterator(this, find<0>(key, root));
308
        }
309
310
        void insert(const Key &key, const Value &value) {
             insert<0>(key, value, root, nullptr);
312
         }
313
         template<size_t DIM>
315
        Iterator findMin() {
316
             return Iterator(this, findMin<DIM, 0>(root));
317
318
319
        Iterator findMin(size_t dim) {
320
             return Iterator(this, findMinDynamic<0>(dim));
321
322
323
         template<size_t DIM>
324
        Iterator findMax() {
325
             return Iterator(this, findMax<DIM, 0>(root));
```

```
327
328
        Iterator findMax(size_t dim) {
             return Iterator(this, findMaxDynamic<0>(dim));
330
        }
331
        bool erase(const Key &key) {
333
             auto prevSize = treeSize;
334
             erase<0>(root, key);
             return prevSize > treeSize;
336
        }
337
338
         Iterator erase(Iterator it) {
339
             if (it == end()) return it;
340
             auto node = it.node;
341
             if (!it.node->left && !it.node->right) {
                 it.node = it.node->parent;
343
             }
344
             size_t depth = 0;
             auto temp = node->parent;
346
             while (temp) {
347
                 temp = temp->parent;
                 ++depth;
349
             }
350
             eraseDynamic<0>(node, depth % KeySize);
             return it;
352
        }
353
354
         size_t size() const { return treeSize; }
355
   };
356
```