

# Autonomous Valet Parking (AVP)

## Theory and Practice 自主代客泊车理论与实践

### Lecture 6: Semantic Localization



Lecturer Tong QIN

Associate Professor  
Shanghai Jiao Tong University  
Global Institute of Future Technology  
qintong@sjtu.edu.cn

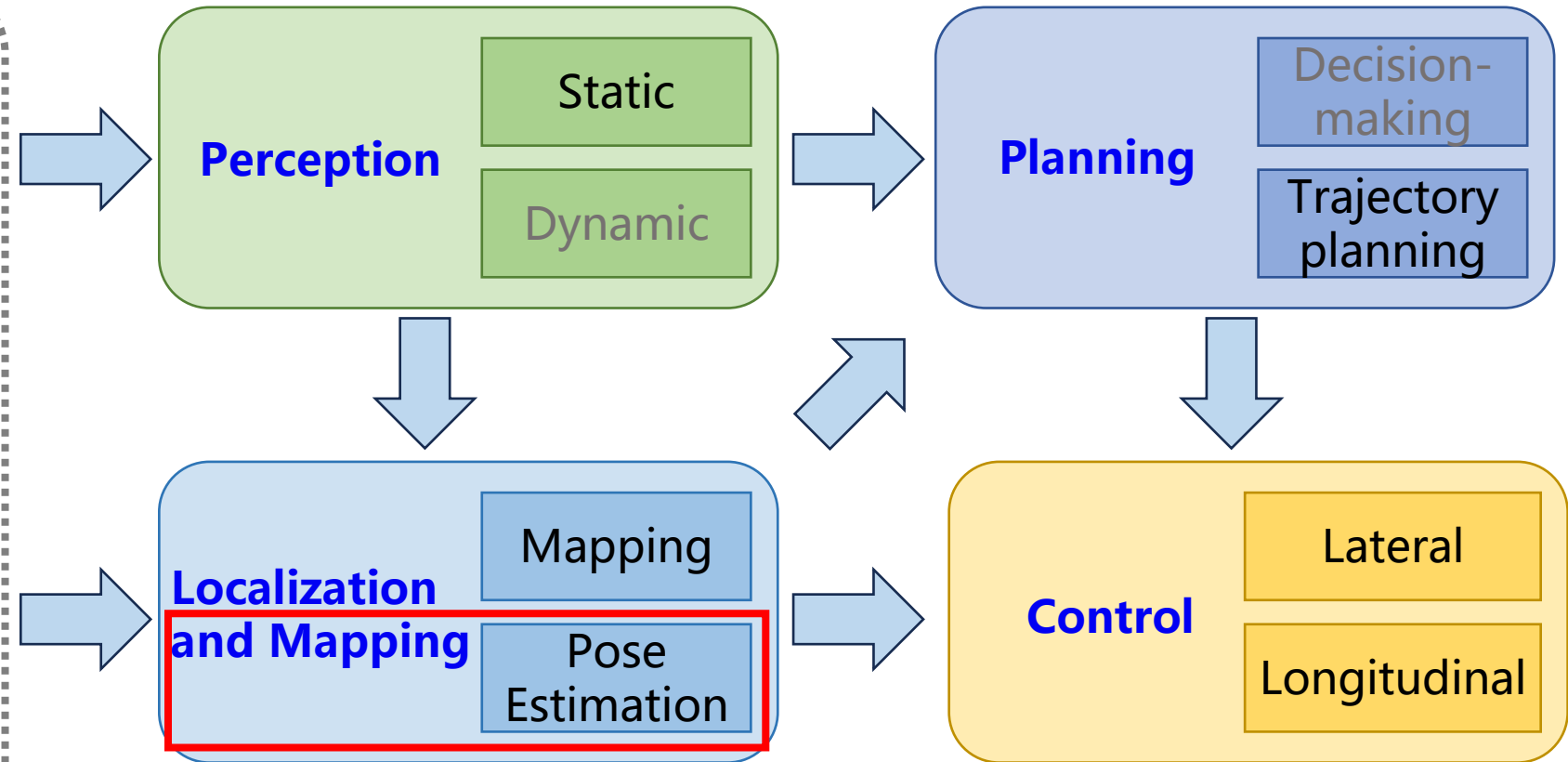
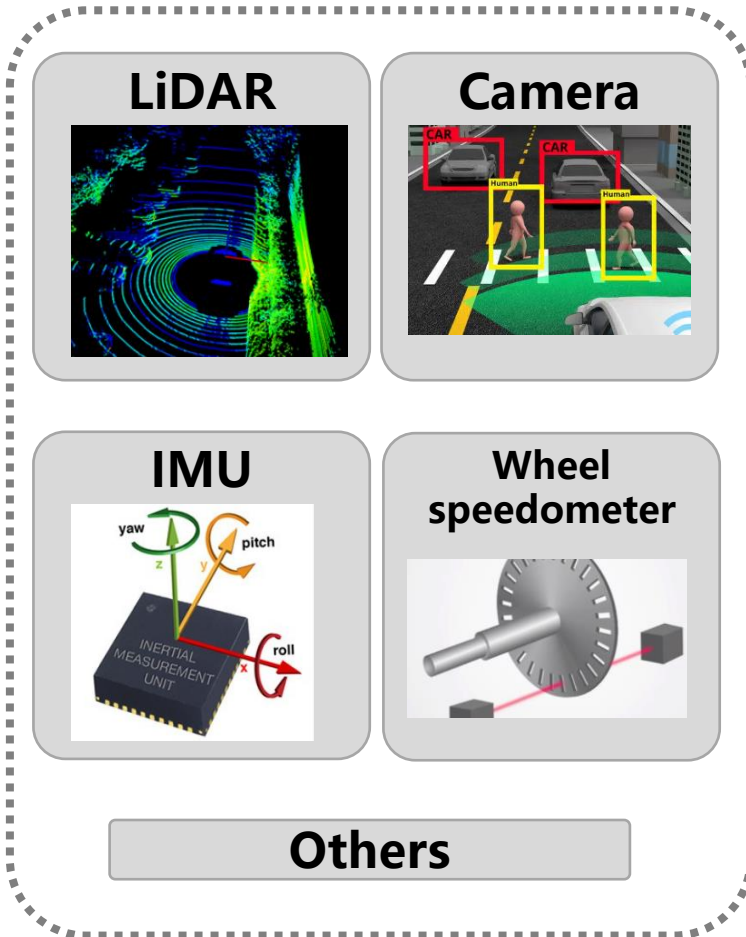








# Content

## AVP Architecture

### Sensors



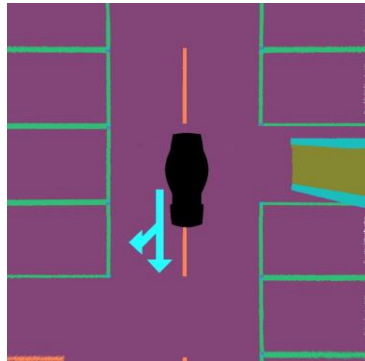


-  1. Semantic Point Registration
-  2. Nearest Neighbor Search: KD-Tree
-  3. Vision-IMU-Wheel Fusion EKF
-  4. Assignment



# Point Cloud Registration

## Point Cloud Registration (3D-3D Pose Estimation)



Where the vehicle is?

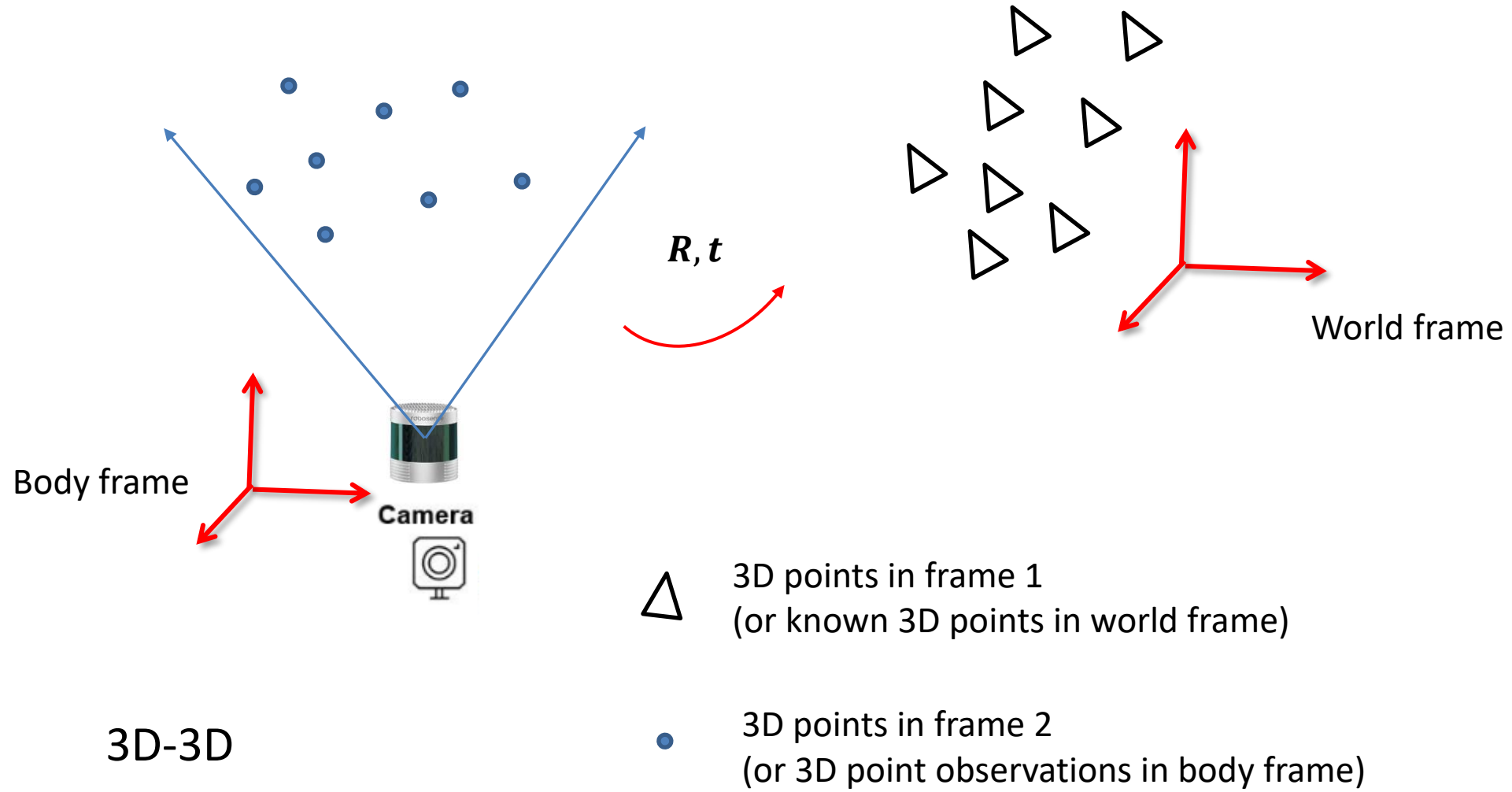


AVP Map



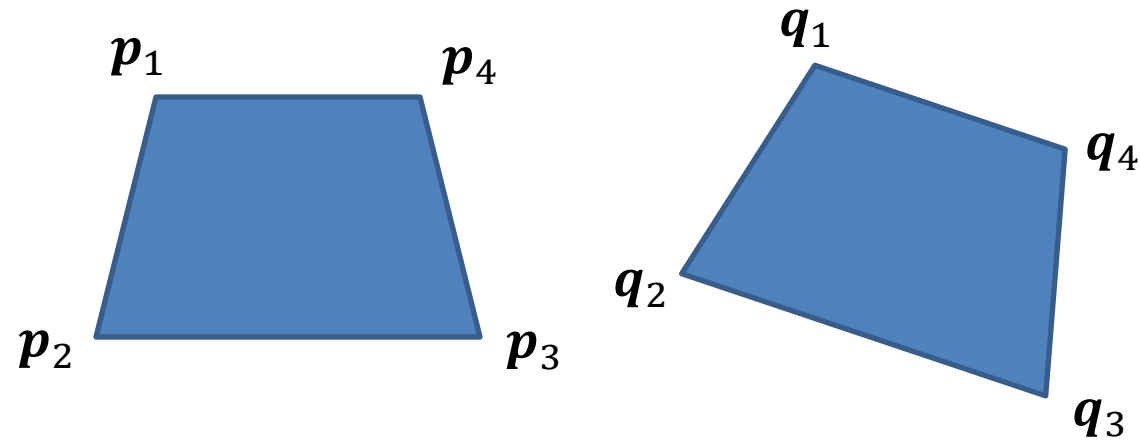


# 3D-3D Pose Estimation





# 3D-3D Pose Estimation



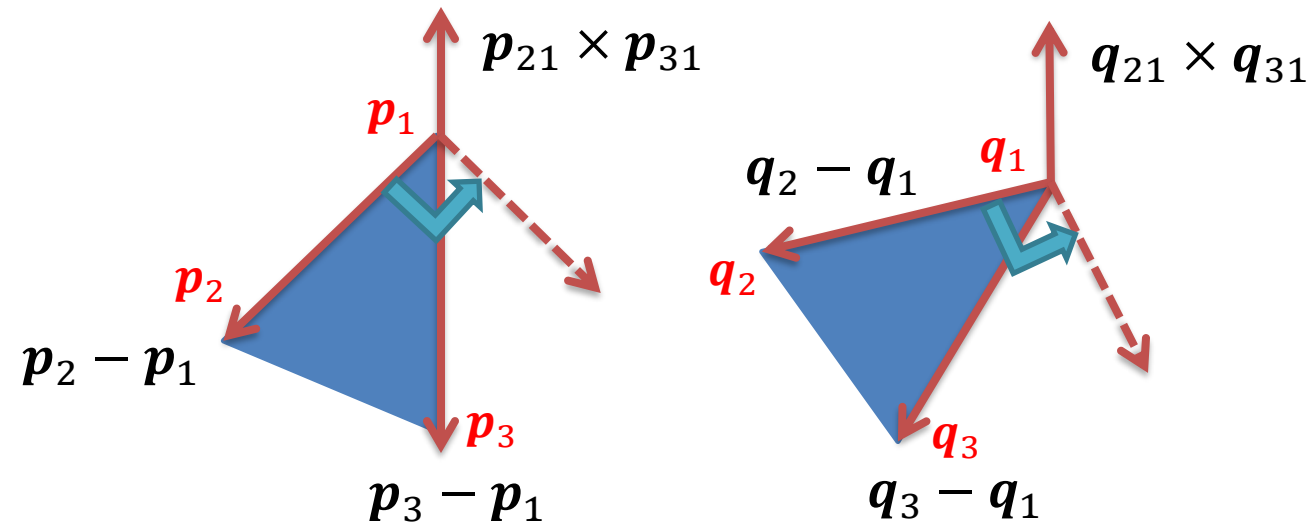
- How do we solve for  $R$ ,  $t$  from point correspondences?

$$p_i = Rq_i + t$$



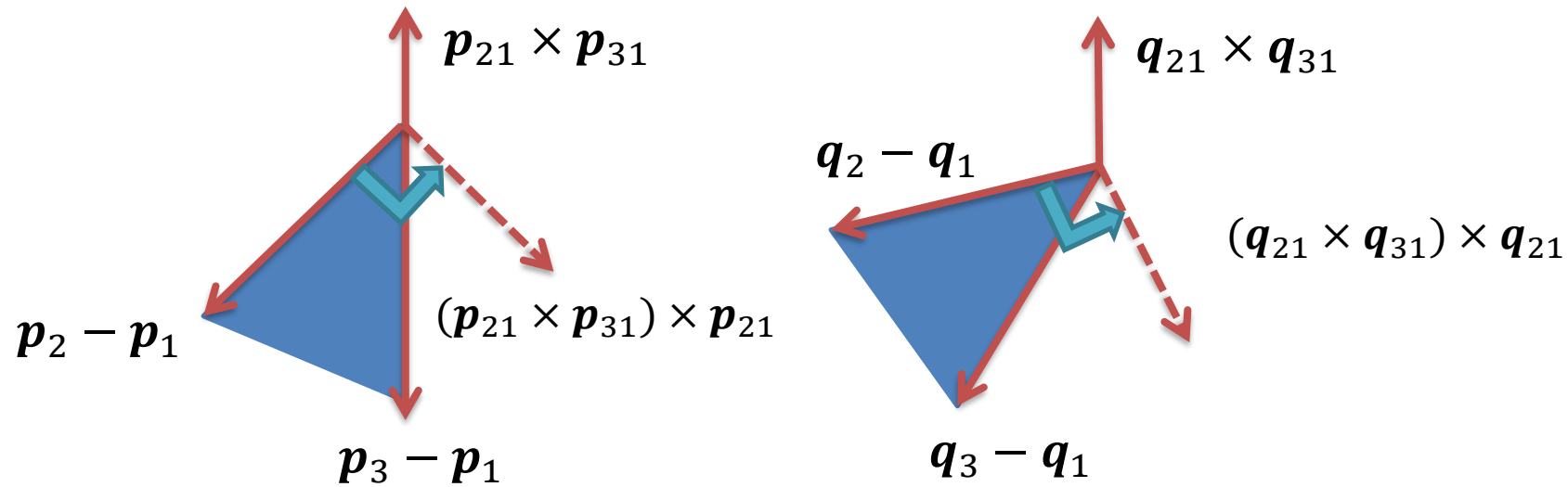
# 3D-3D Pose Estimation

- What is the minimal number of points needed?





# 3D-3D Pose Estimation



- Three non-collinear points suffice: each triangle  $p_{i=1...3}$  and  $q_{i=1...3}$  make an orthogonal basis

$$(|p_{21}| \quad |(p_{21} \times p_{31}) \times p_{21}| \quad |p_{21} \times p_{31}|)$$

and

$$(|q_{21}| \quad |(q_{21} \times q_{31}) \times q_{21}| \quad |q_{21} \times q_{31}|)$$

- Rotation between two orthogonal bases is unique.





# 3D-3D Pose Estimation

- We solve a minimization problem for  $N \geq 3$  point correspondences:

$$\min_{R, t} \sum_i^N \| (R p_s^i + t) - p_t^i \|^2$$

- After differentiating with respect to  $t$ ,

$$\begin{aligned} \frac{\partial F}{\partial t} &= \sum_{i=1}^N 2(R \cdot p_s^i + t - p_t^i) \\ &= 2Nt + 2R \sum_{i=1}^N p_s^i - 2 \sum_{i=1}^N p_t^i \end{aligned}$$

- Set the derivative equals to zero.

$$\begin{aligned} t &= \frac{1}{N} \sum_{i=1}^N p_t^i - R \frac{1}{N} \sum_{i=1}^N p_s^i \\ &= \bar{p}_t - R \bar{p}_s \end{aligned}$$



# 3D-3D Pose Estimation

- We solve a minimization problem for  $N \geq 3$  point correspondences:

$$\min_{R, t} \sum_i^N \| (R p_s^i + t) - p_t^i \|^2$$

$$\hat{p} = p - \bar{p}$$

$$F(R) = \sum_{i=1}^N \| R \cdot \hat{p}_s^i - \hat{p}_t^i \|^2$$

- After differentiating with respect to  $t$ ,

$$\begin{aligned} \frac{\partial F}{\partial t} &= \sum_{i=1}^N 2(R \cdot p_s^i + t - p_t^i) \\ &= 2Nt + 2R \sum_{i=1}^N p_s^i - 2 \sum_{i=1}^N p_t^i \end{aligned}$$

- Set the derivative equals to zero.

$$\begin{aligned} t &= \frac{1}{N} \sum_{i=1}^N p_t^i - R \frac{1}{N} \sum_{i=1}^N p_s^i \\ &= \bar{p}_t - R \bar{p}_s \end{aligned}$$



# 3D-3D Pose Estimation

- We replace the optimal  $t$  into function, rewrite the objective function as

$$F(R) = \sum_{i=1}^N ||R \cdot \hat{p}_s^i - \hat{p}_t^i||^2 \quad \hat{p} = p - \bar{p}$$

$$\begin{aligned} ||R \cdot \hat{p}_s^i - \hat{p}_t^i||^2 &= (R \cdot \hat{p}_s^i - \hat{p}_t^i)^T (R \cdot \hat{p}_s^i - \hat{p}_t^i) \\ &= (\hat{p}_s^{iT} R^T - \hat{p}_t^{iT}) (R \cdot \hat{p}_s^i - \hat{p}_t^i) \\ &= \hat{p}_s^{iT} R^T R \hat{p}_s^i - \hat{p}_t^{iT} R \hat{p}_s^i - \hat{p}_s^{iT} R^T \hat{p}_t^i + \hat{p}_t^{iT} \hat{p}_t^i \\ &= ||\hat{p}_s^i||^2 + ||\hat{p}_t^i||^2 - \hat{p}_t^{iT} R \hat{p}_s^i - \hat{p}_s^{iT} R^T \hat{p}_t^i \\ &= ||\hat{p}_s^i||^2 + ||\hat{p}_t^i||^2 - 2\hat{p}_t^{iT} R \hat{p}_s^i \end{aligned}$$

$$R^* = \arg \min_R \left( -2 \sum_{i=1}^N \hat{p}_t^{iT} R \hat{p}_s^i \right)$$

$$R^* = \arg \max_R \left( \sum_{i=1}^N \hat{p}_t^{iT} R \hat{p}_s^i \right)$$





# 3D-3D Pose Estimation

$$R^* = \arg \max_R \left( \sum_{i=1}^N \hat{p}_t^{iT} R \hat{p}_s^i \right)$$

$$\text{tr}(\mathbf{A}) = \sum_{i=1}^n a_{ii} = a_{11} + a_{22} + \cdots + a_{nn}$$

$$\sum_{i=1}^N \hat{p}_t^{iT} R \hat{p}_s^i = \text{trace}(P_t^T R P_s)$$

- Based on the definition of matrix multiplication and trace, the problem can be transformed into

$$R^* = \arg \max_R \text{trace}(P_t^T R P_s)$$

- Some useful mathematics
  - $\text{tr}(\mathbf{AB}) = \text{tr}(\mathbf{BA})$
  - $\text{tr}(\mathbf{A}) = \text{tr}(\mathbf{A}^T)$
  - $\text{tr}(\mathbf{A} + \mathbf{B}) = \text{tr}(\mathbf{A}) + \text{tr}(\mathbf{B})$



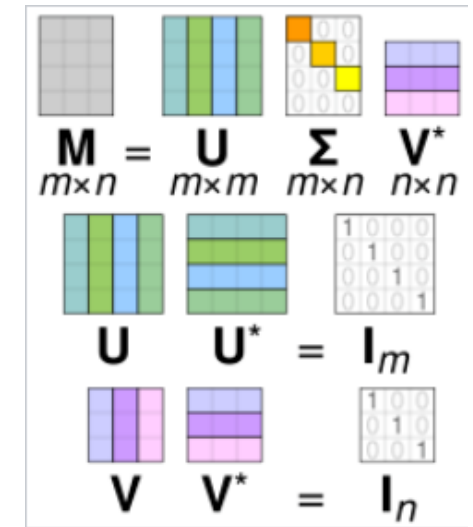
# 3D-3D Pose Estimation

- The 3D-3D pose problem reduced to

$$R^* = \arg \max_R \text{trace}(P_t^T R P_s)$$

$$\begin{aligned} \text{trace}(P_t^T R P_s) &= \text{trace}(R P_s P_t^T) \\ &= \text{trace}(R H) \\ &= \text{trace}(R U \Sigma V^T) \\ &= \text{trace}(\Sigma V^T R U) \end{aligned}$$

Singular value decomposition



- Note that  $V$ ,  $U$ , and  $R$  are all orthogonal matrices, so  $V^T R U$  is also an orthogonal matrix.

$$M = V^T R U = \begin{bmatrix} m_{11} & m_{12} & m_{13} \\ m_{21} & m_{22} & m_{23} \\ m_{31} & m_{32} & m_{33} \end{bmatrix} \quad \begin{aligned} \text{trace}(\Sigma V^T R U) &= \text{trace}(\Sigma M) \\ &= \sigma_1 m_{11} + \sigma_2 m_{22} + \sigma_3 m_{33} \end{aligned}$$



# 3D-3D Pose Estimation

- non-negativity of singular values
- orthogonal matrices (where the absolute values of the elements are at most 1)

$$\text{trace}(\Sigma V^T R U) = \text{trace}(\Sigma M) = \sigma_1 m_{11} + \sigma_2 m_{22} + \sigma_3 m_{33} \leq \sigma_1 + \sigma_2 + \sigma_3$$

- maximum occurs only when M is the identity matrix

$$\begin{aligned} V^T R U &= I \\ R &= V U^T \end{aligned}$$

- For orthogonal matrices  $\det(Q) = \pm 1$
- $R$  is special  $SO(3)$ ,  $\det(R) = 1$ 
  - If  $\det(R) = 1$   $R^* = V U^T$
  - If  $\det(R) = -1$

$$R^* = V \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix} U^T$$



$$R^* = V \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & \det(V U^T) \end{bmatrix} U^T$$



# 3D-3D Pose Estimation

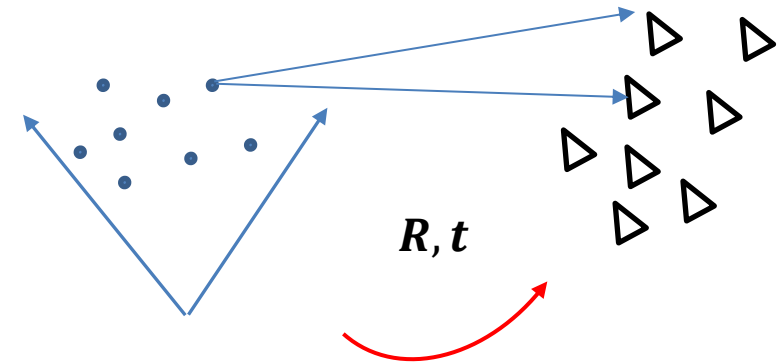
- 3D-3D pose estimation problem:

$$\min_{R, t} \sum_i^N \| (R \mathbf{p}_s^i + \mathbf{t}) - \mathbf{p}_t^i \|^2$$

- Closed-form solution:

$$\mathbf{R} = \mathbf{V} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & \det(\mathbf{V} \mathbf{U}^T) \end{bmatrix} \mathbf{U}^T$$


$$\mathbf{t} = \frac{1}{N} \sum_i^N \mathbf{p}_t^i - \mathbf{R} \frac{1}{N} \sum_i^N \mathbf{p}_s^i = \bar{\mathbf{p}}_t - \mathbf{R} \bar{\mathbf{p}}_s$$









# 3D-3D Pose Estimation

- How to obtain 3D-3D data association?

$$\min_{\mathbf{R}, \mathbf{t}} \sum_i^N \|(\mathbf{R}\mathbf{p}_s^i + \mathbf{t}) - \mathbf{p}_t^i\|^2$$




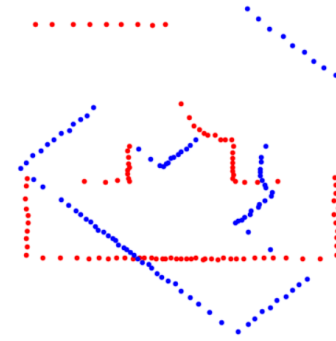
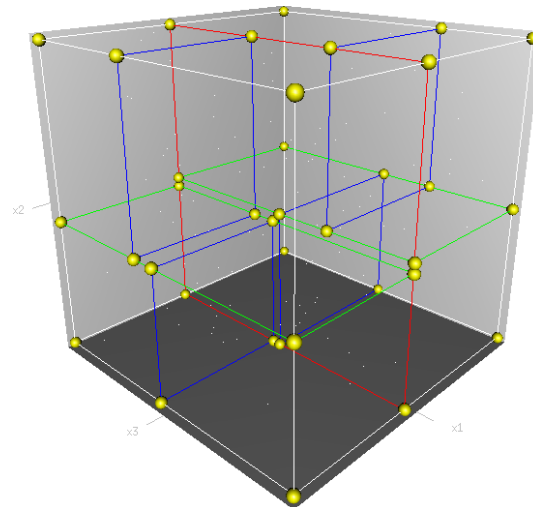


-  1. Semantic Point Registration
-  2. Nearest Neighbor Search: KD-Tree
-  3. Vision-IMU-Wheel Fusion EKF
-  4. Assignment

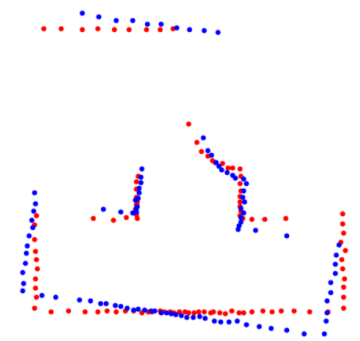


# 3D-3D Pose Estimation

- How to obtain 3D-3D data association?
  - “Soft” data association directly from point clouds
  - The Iterative Closest Point (ICP) algorithm
    - Search of nearest neighbors
      - implementation:  $O(MN)$
    - Need to speed up
    - K-d Tree:  $O(M \log N)$



K=0



K=1

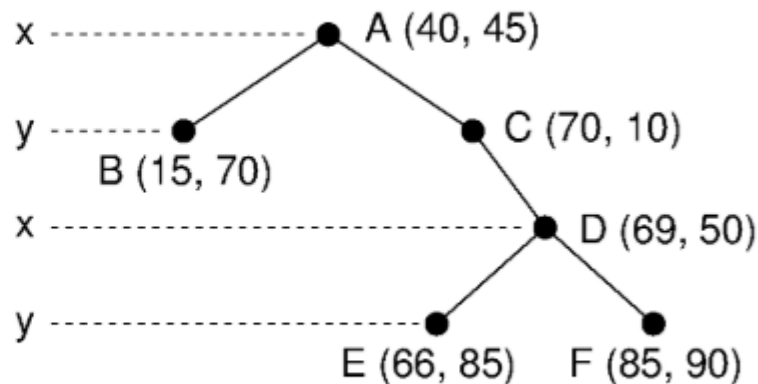
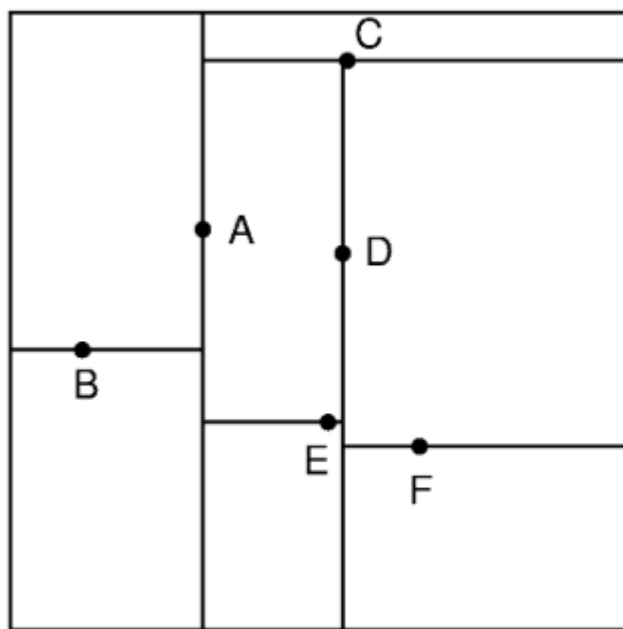


K=2



# KD Tree

- kd树(K-dimension tree)是一种对k维空间中的实例点进行存储以便对其进行快速检索的树形数据结构。
- kd树是一种二叉树，表示对k维空间的一个划分，构造kd树相当于不断地用垂直于坐标轴的超平面将K维空间切分，构成一系列的K维超矩形区域。kd树的每个结点对应于一个k维超矩形区域。
- 利用kd树可以省去对大部分数据点的搜索，从而减少搜索的计算量。

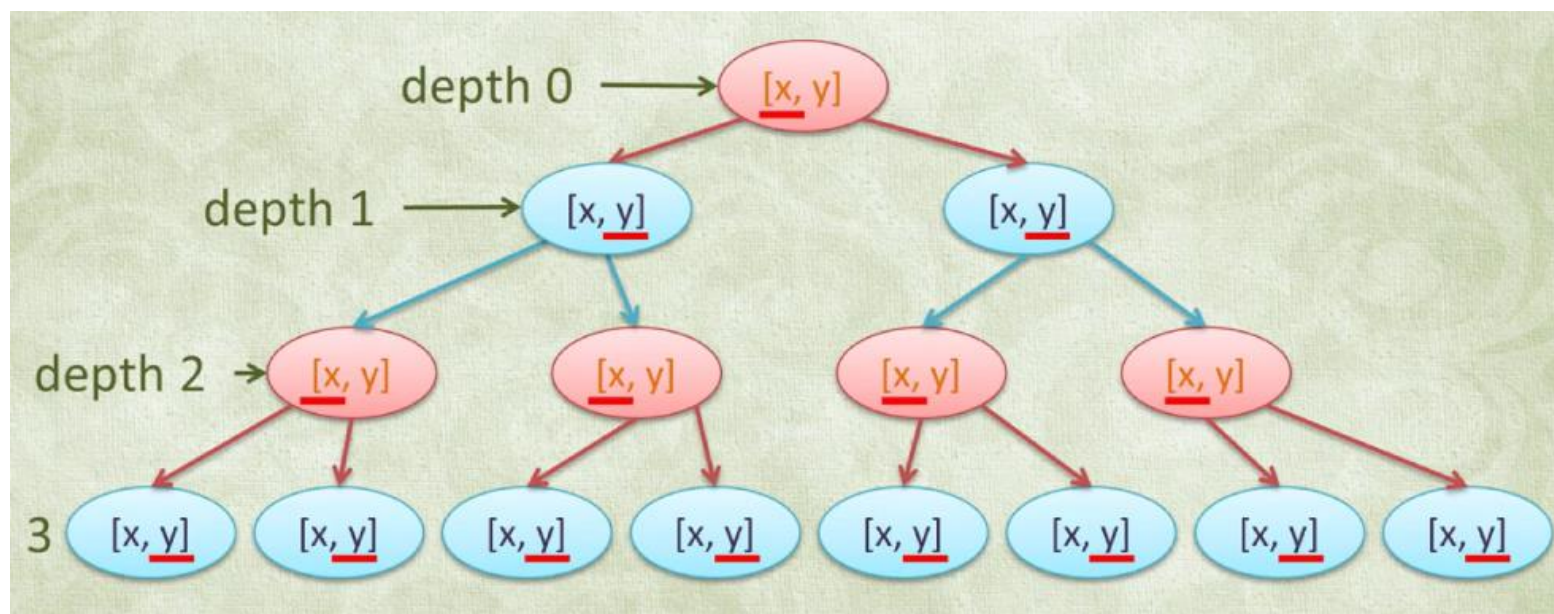




# KD Tree

构建 KD Tree

二维[x, y]

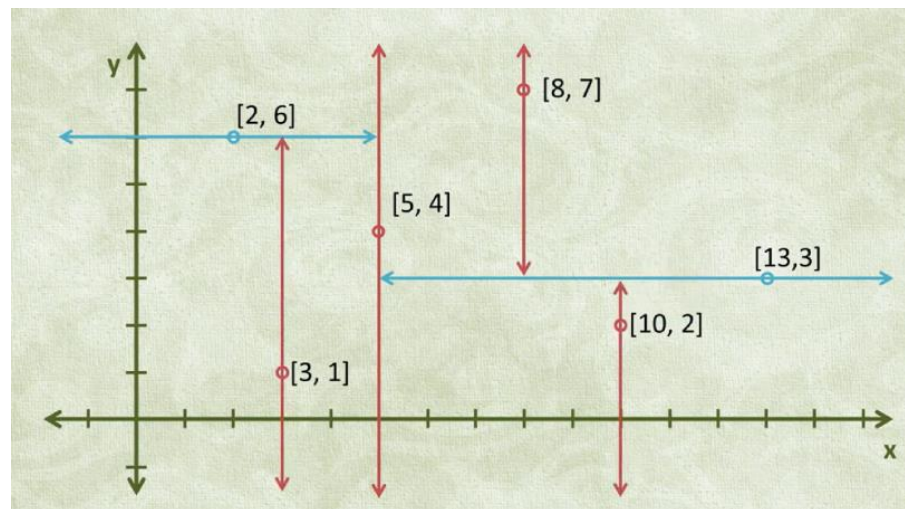
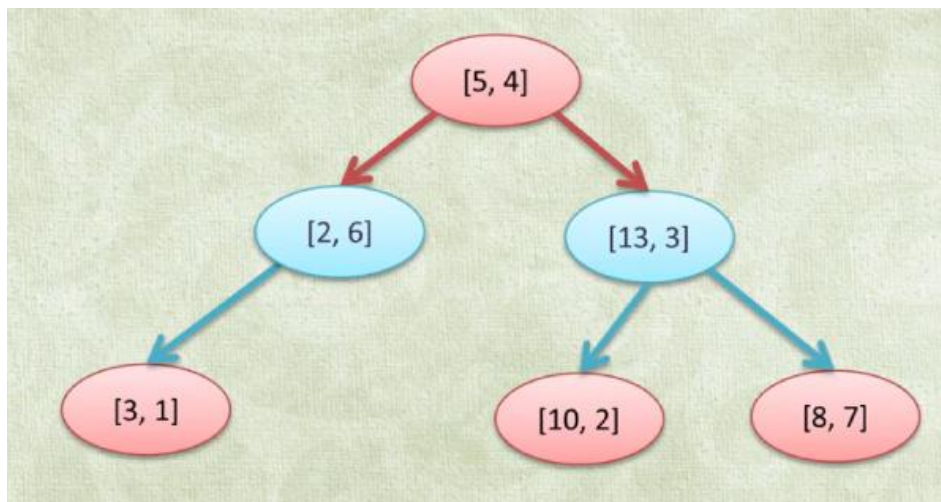




# KD Tree

构建 KD Tree

[5, 4] [2, 6] [13,3] [8, 7] [3, 1] [10, 2]

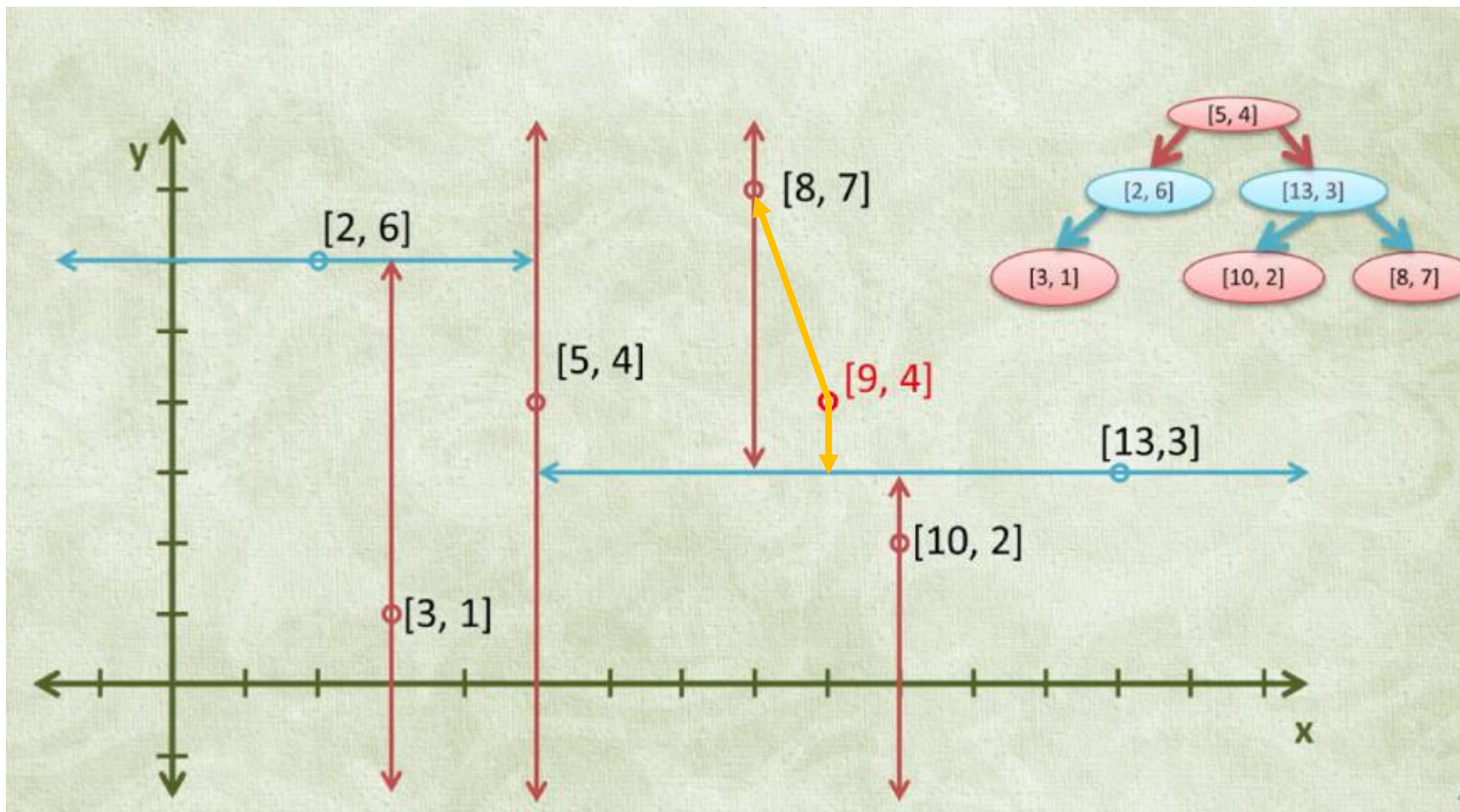






# KD Tree

KD Tree 最近点查询 [9, 4]

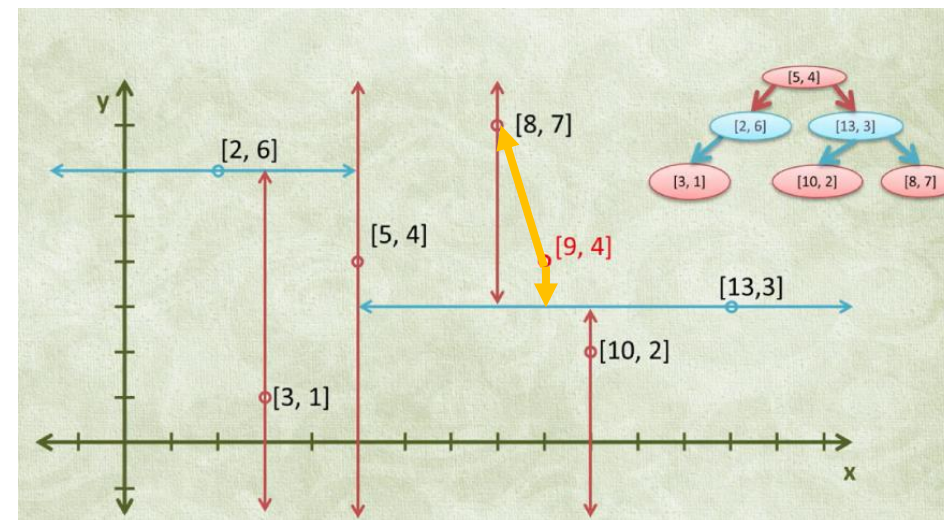




# KD Tree

伪代码 回溯法

```
1 bestNode, bestDist = None, inf
2 def NearestNodeSearch(curr_node):
3     if curr_node == None:
4         return
5     if distance(curr_node, q) < bestDist:
6         bestDist = distance(curr_node, q)
7         bestNode = curr_node
8     if q_i < curr_node_i:
9         NearestNodeSearch(curr_node.left)
10    else:
11        NearestNodeSearch(curr_node.right)
12    if |curr_node_i - q_i| < bestDist:
13        NearestNodeSearch(curr_node.other)
```





# 3D-3D Pose Estimation

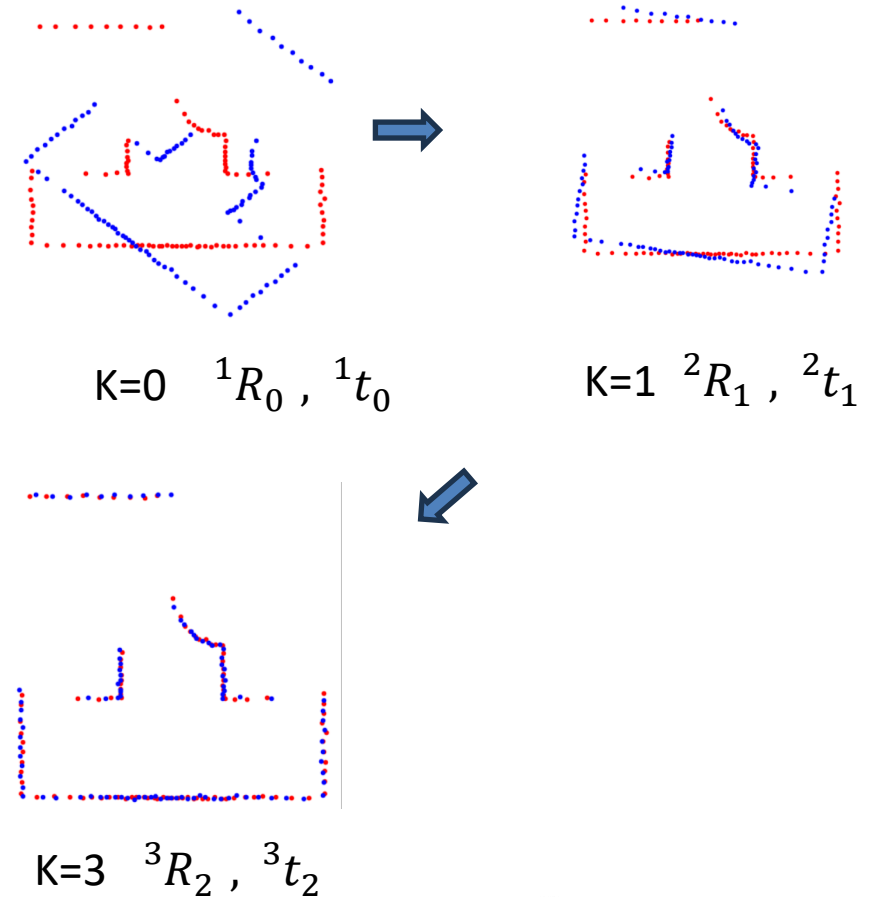
- How to obtain 3D-3D data association?

- “Soft” data association directly from point clouds
- The Iterative Closest Point (ICP) algorithm

Greedy algorithm

1. Start with some initial guess of rotation and translation
2. For each point in pointcloud1, find its **nearest neighbor** in pointcloud2 based on the current estimated rotation and translation **by KD tree**
3. Refine the rotation and translation based on the latest data association
4. Iterate from step 2 until converge

$$\min_{R,t} \sum_i^N \| (Rp_s^i + t) - p_t^i \|^2$$

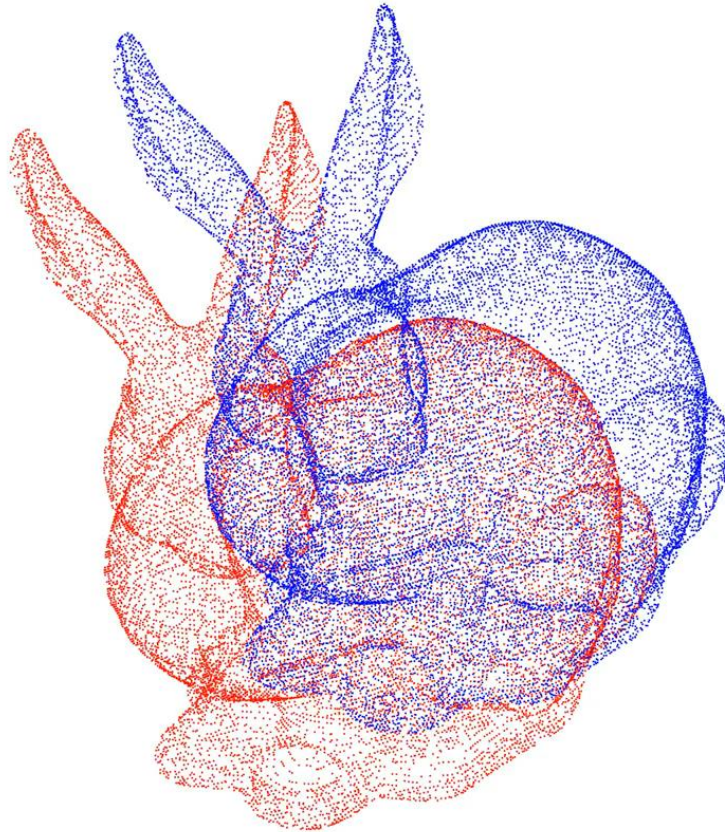






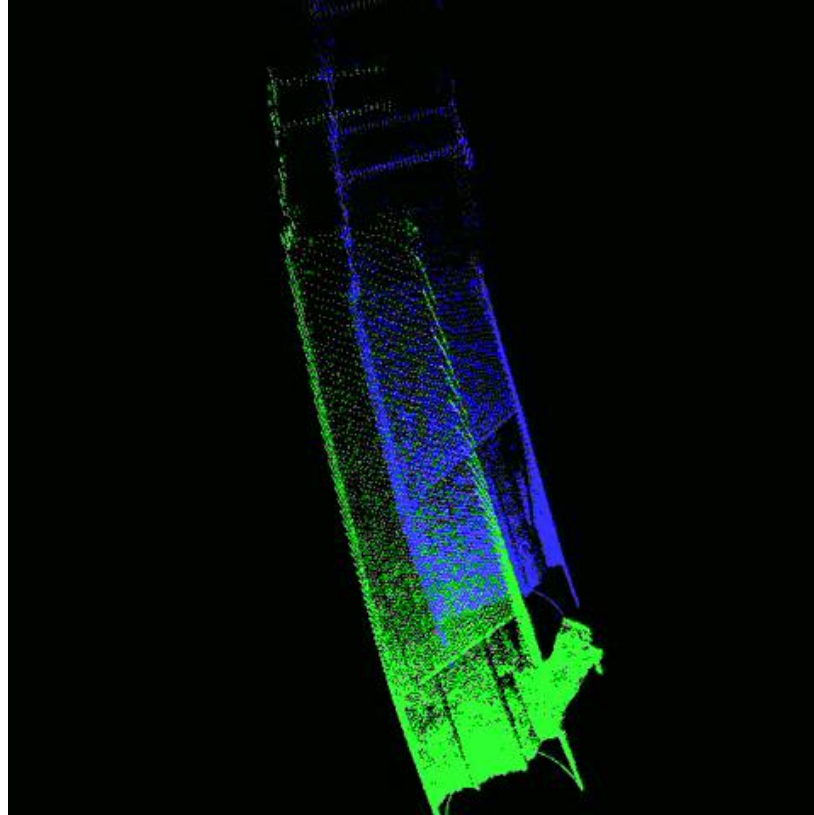
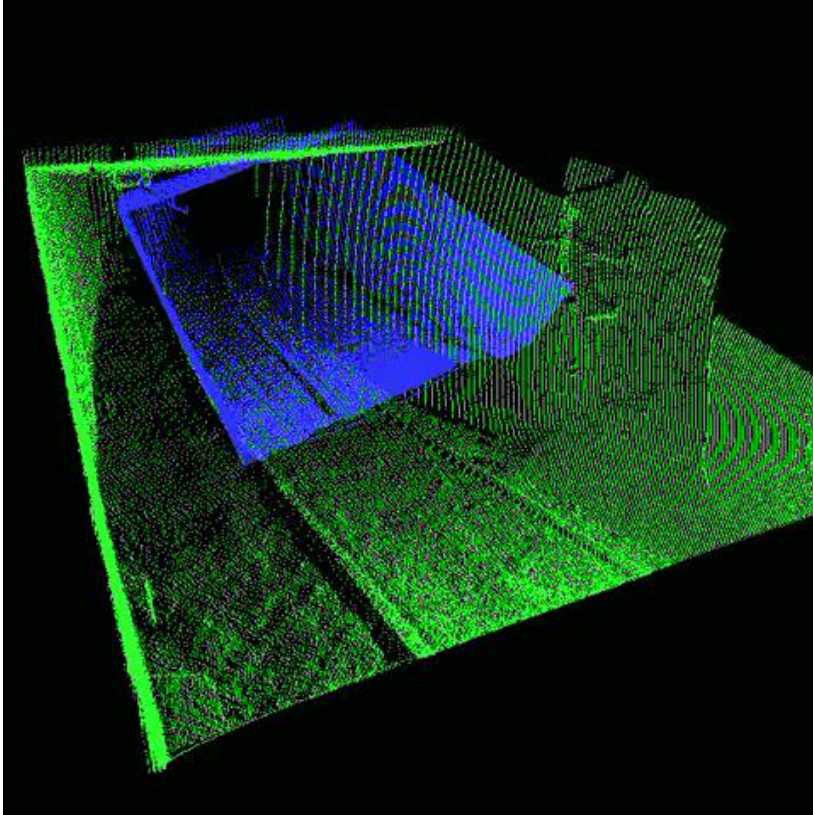
# Point Cloud Registration (ICP)

Iteration 0









# 3D-3D Registration of Point Cloud

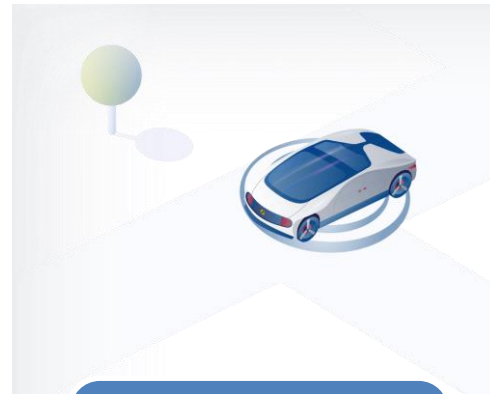




-  1. Semantic Point Registration
-  2. Nearest Neighbor Search: KD-Tree
-  3. Vision-IMU-Wheel Fusion EKF
-  4. Assignment



# Vision-IMU-Wheel Fusion EKF



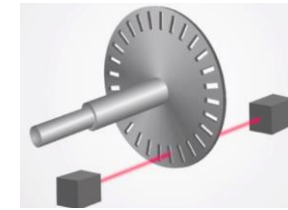
$$\mathbf{x} = [x, y, \theta]$$

Move

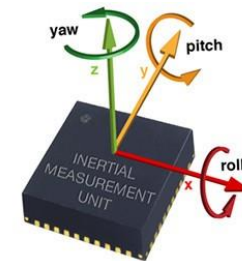
Predict

Sense

Update

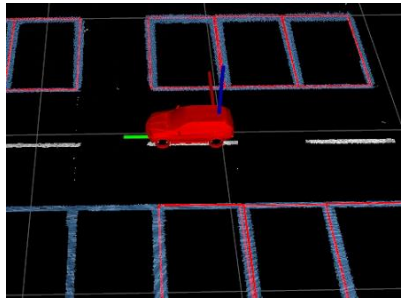


Wheel odometer



IMU

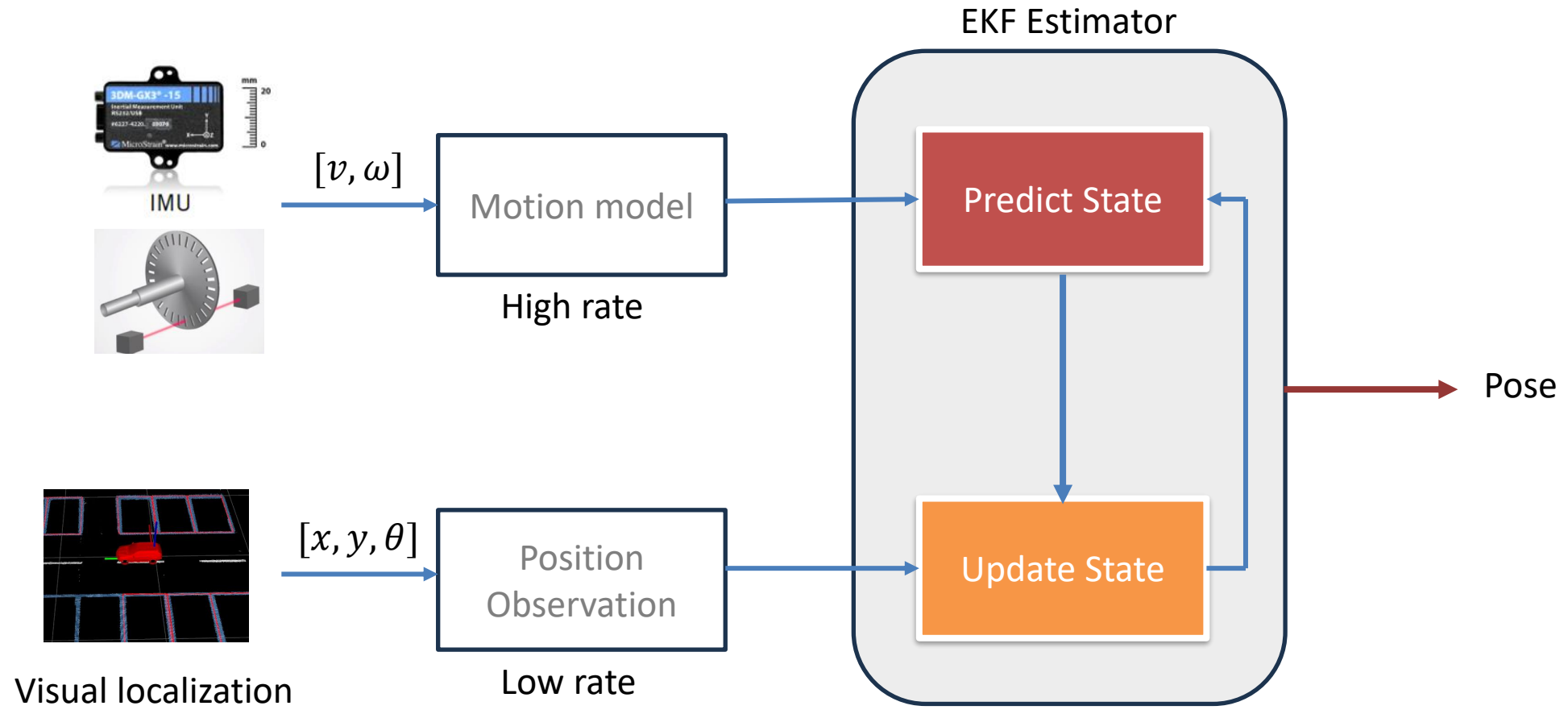
(only use angular velocity  
along z-axis)



Visual semantic localization

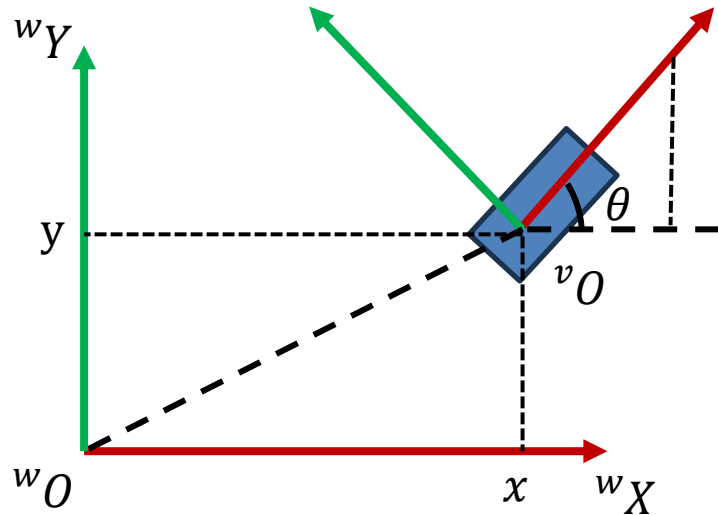


# Vision-IMU-Wheel Fusion EKF

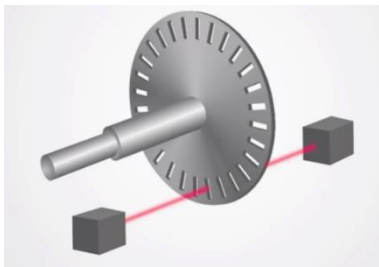




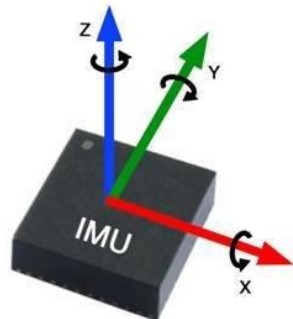
# Vision-IMU-Wheel Fusion EKF



Motion sensor:



Velocity



Angular velocity

Vehicle 2D State:  $\mathbf{x} = [x, y, \theta]$

Motion signal:  $\mathbf{u} = [v, \omega]$  velocity, angular velocity

with Gaussian white noise

$$n_v \sim N(0, Q_v), n_\omega \sim N(0, Q_\omega)$$

Motion model:

$$\dot{\mathbf{x}} = \begin{bmatrix} \dot{x} \\ \dot{y} \\ \dot{\theta} \end{bmatrix} = f(\mathbf{x}, \mathbf{u}, \mathbf{n}) = \begin{bmatrix} \cos(\theta) \cdot (v + n_v) \\ \sin(\theta) \cdot (v + n_v) \\ \omega + n_\omega \end{bmatrix}$$

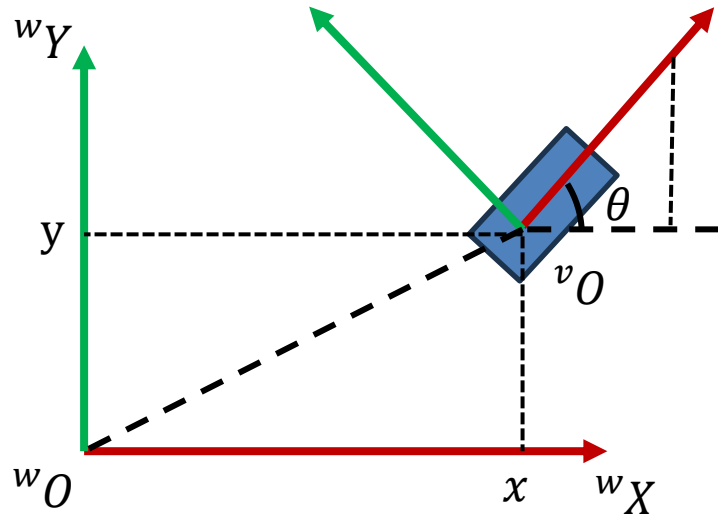
Linearization:

$$A_t = \left. \frac{\partial f}{\partial \mathbf{x}} \right|_{\mathbf{x}_{t-1}, \mathbf{u}_{t,0}} = \begin{bmatrix} 0 & 0 & -v \cdot \sin(\theta) \\ 0 & 0 & v \cdot \cos(\theta) \\ 0 & 0 & 0 \end{bmatrix}$$

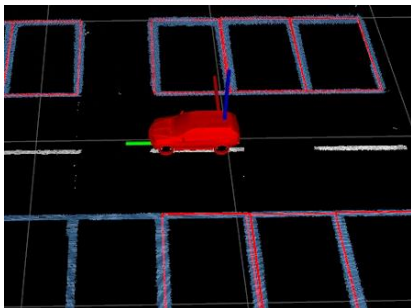
$$U_t = \left. \frac{\partial f}{\partial \mathbf{n}} \right|_{\mathbf{x}_{t-1}, \mathbf{u}_{t,0}} = \begin{bmatrix} \cos(\theta) & 0 \\ \sin(\theta) & 0 \\ 0 & 1 \end{bmatrix}$$



# Vision-IMU-Wheel Fusion EKF



Semantic Localization:



Position and orientation

Vehicle 2D State:  $\mathbf{x} = [x, y, \theta]$

Measurement:  $\mathbf{z} = [x, y, \theta]$  position and yaw angle

with Gaussian white noise

$$\mathbf{n}_z \sim N(0, R_z)$$

Measurement model:

$$\mathbf{z}_t = g(\mathbf{x}_t, \mathbf{n}_t) = \begin{bmatrix} x_t \\ y_t \\ \theta_t \end{bmatrix} + \mathbf{n}_z$$

Linearization:

$$\mathbf{C}_t = \left. \frac{\partial g}{\partial \mathbf{x}} \right|_{\mathbf{x}_{t,0}} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\mathbf{W}_t = \left. \frac{\partial f}{\partial \mathbf{n}} \right|_{\mathbf{x}_{t,0}} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



# Vision-IMU-Wheel Fusion EKF

- Motion Model:

- $\dot{x} = f(x, u, n)$
- $n_t \sim N(0, Q_t)$
- $A_t = \left. \frac{\partial f}{\partial x} \right|_{\mu_{t-1}, u_t, 0}$
- $U_t = \left. \frac{\partial f}{\partial n} \right|_{\mu_{t-1}, u_t, 0}$
- $F_t = I + \delta t A_t$
- $V_t = \delta t U_t$

Assumptions

Linearization

Discretization

- Prediction step:

- $\bar{\mu}_t = \mu_{t-1} + \delta t f(\mu_{t-1}, u_t, 0)$
- $\bar{\Sigma}_t = F_t \Sigma_{t-1} F_t^T + V_t Q_t V_t^T$

- Measurement Model:

- $z_t = g(x_t, n_t)$
- $n_t \sim N(0, R_t)$
- $C_t = \left. \frac{\partial g}{\partial x} \right|_{\bar{\mu}_t, 0}$
- $W_t = \left. \frac{\partial g}{\partial n} \right|_{\bar{\mu}_t, 0}$

Assumptions





Linearization

- Update step:

- $K_t = \bar{\Sigma}_t C_t^T (C_t \bar{\Sigma}_t C_t^T + W_t R W_t^T)^{-1}$
- $\mu_t = \bar{\mu}_t + K_t (z_t - g(\bar{\mu}_t, 0))$
- $\Sigma_t = \bar{\Sigma}_t - K_t C_t \bar{\Sigma}_t$



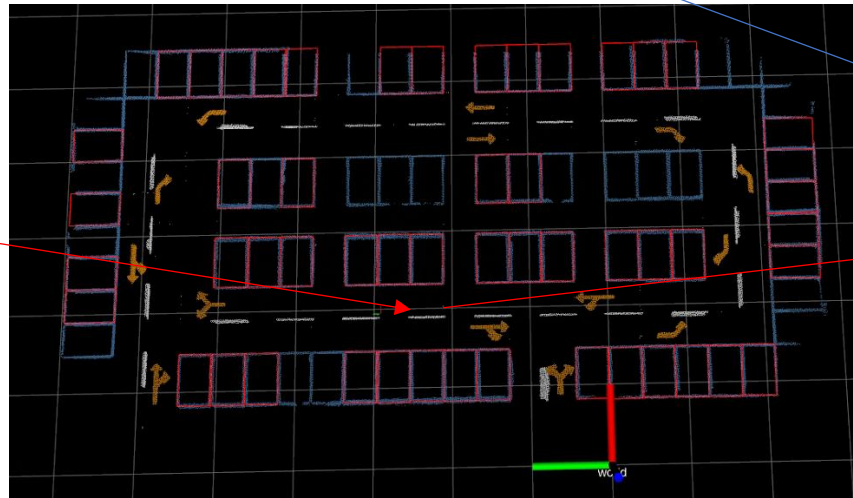
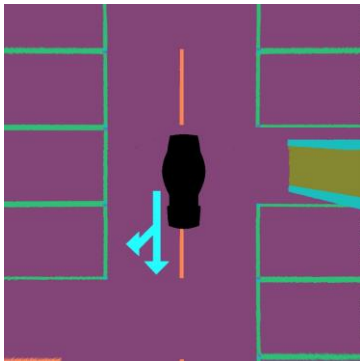


-  1. Semantic Point Registration
-  2. Nearest Neighbor Search: KD-Tree
-  3. Vision-IMU-Wheel Fusion EKF
-  4. Assignment



# Assignment

- Bag file
  - Segmentation image
  - Imu
  - Wheel speed
- AVP Map
- Coding task:
  - ICP Localization
  - Semantic + IMU + Wheel speed fusion



EKF

感谢聆听 /  
Thanks for Listening

