# Genentech

A Member of the Roche Group

## Adding Conditional Control to Diffusion Models with Reinforcement Learning

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## Background

- A pre-trained conditional diffusion model excels at modeling p(x|c).
- For example, in Stable Diffusion, c∈C is a prompt, and  $x \in X$  is the image generated according to this prompt.
- Many tailored DMs are able to generate biological sequences (e.g., DDSM).
- In practice, we are often interested in adding new controls into pre-trained diffusion models, e.g.
  - Stable Diffusion.
  - existing condition: prompts
  - new condition: certain layouts or backgrounds.
  - DDSM that generates DNA enhancers.
    - existing condition: activity level in HepG2
    - new condition: activity level in other cell lines such as K562.

## Settings

- Given the pre-trained model, which enables us to sample from p<sup>pre</sup> (x|c):  $C \mapsto \Delta(X)$ .
- Our goal is to add new conditional controls y∈Y such that we can sample from p(x|c,y).
- Assume we can access to offline data:

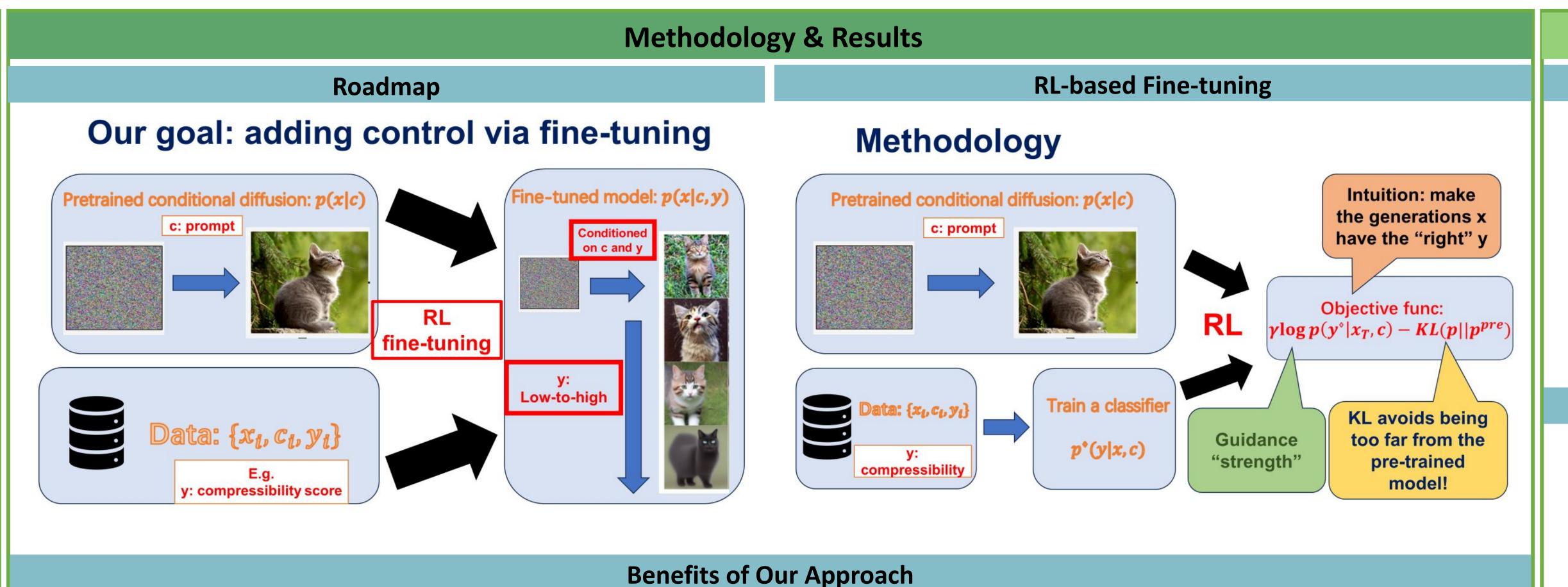
 $D = \{(c^{(i)}, x^{(i)}, y^{(i)})\}_{i=1}^{n}.$ 

We denote the conditional distribution by  $p^{\circ}(y|x,c)$ .

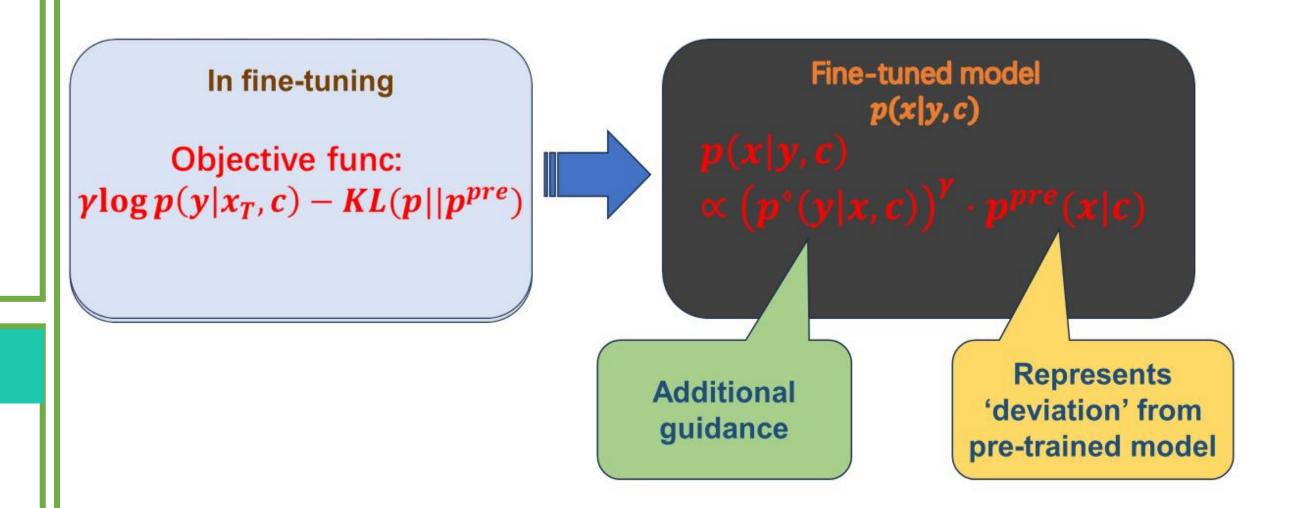
## **Target Distribution**

our goal is to obtain a diffusion model such that we can sample

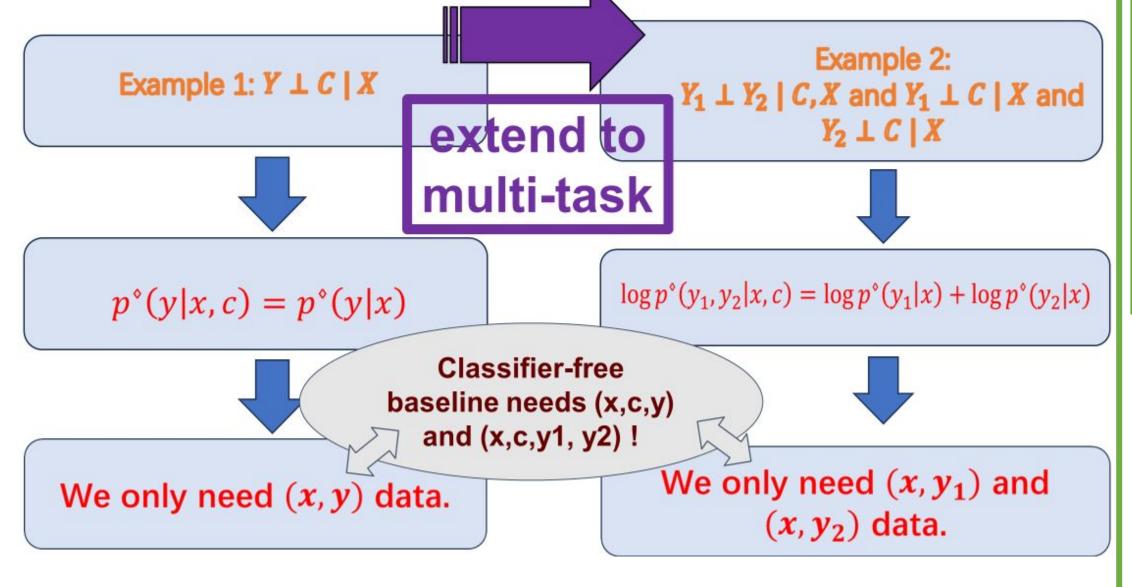
 $p_{\nu}(\cdot|c,y) \propto (p^{\nu}(y|\cdot,c))^{\nu} p^{\text{pre}}(\cdot|c)$ where  $\gamma$  represents the strength of the additional guidance.



## Theoretical justification (incomplete)



## Advantage: leverage conditional independency



### **Experimental Results**

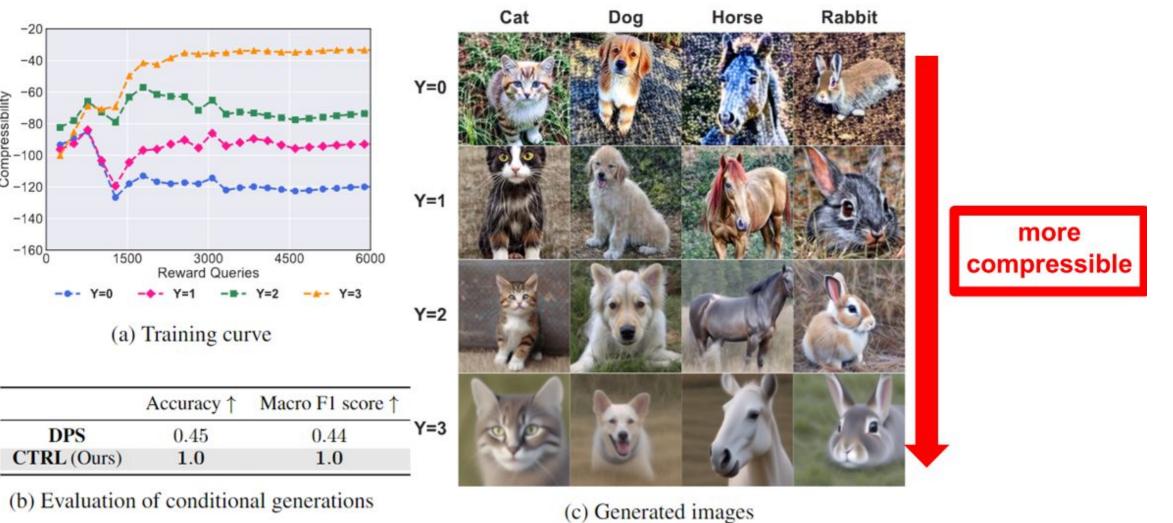
## **Example 1: Compressibility**

(a) Training curve

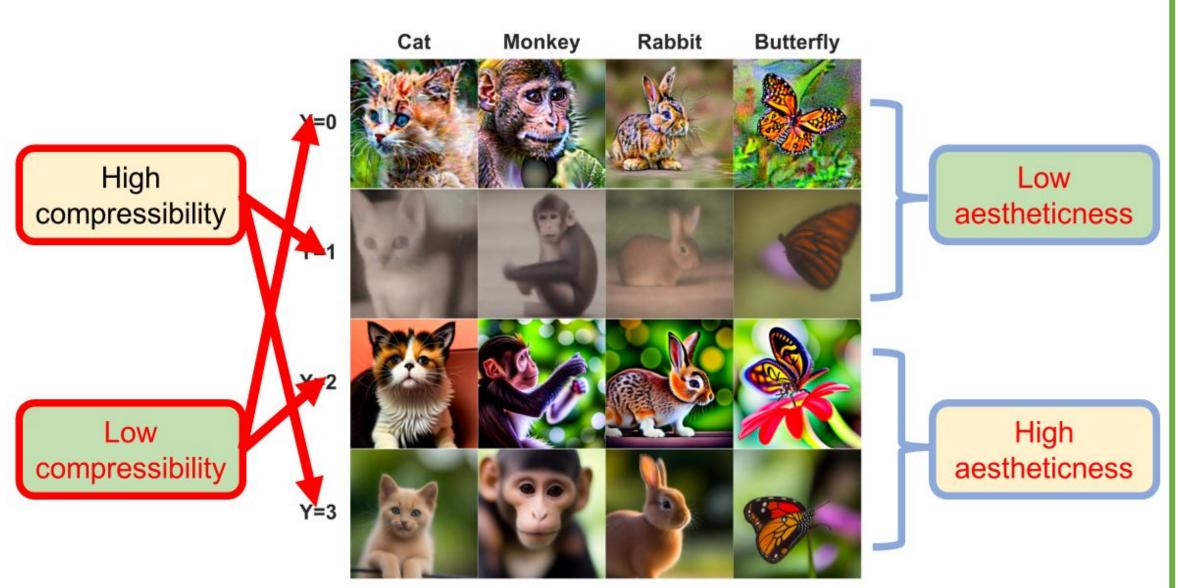
0.45

1.0

CTRL (Ours)



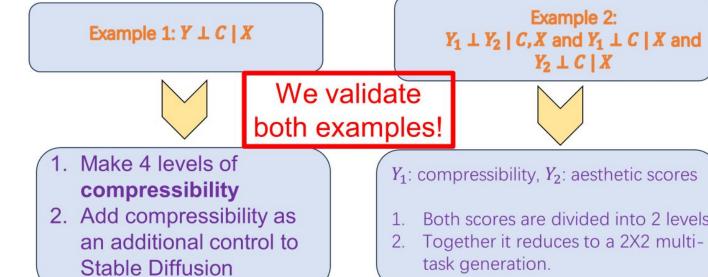
## Example 2: Compressibility & Aestheticness



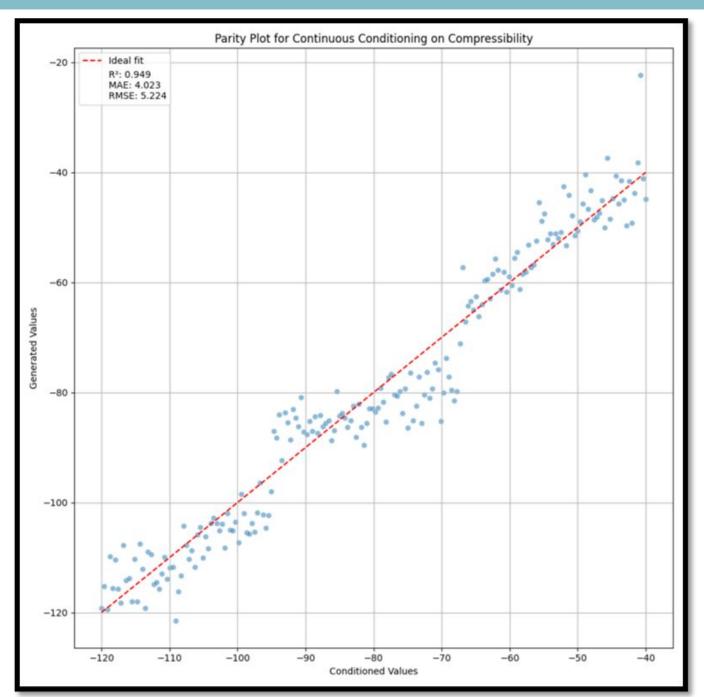
## **Experimental Details**

#### Settings

#### **Experiments**



#### **Extension: continuous condition**



### **Conclusions & Future Work**

- We introduce an RL-based fine-tuning approach for conditioning pre-trained diffusion models on new additional labels.
- Compared to classifier-free guidance, our proposed method allows for leveraging the conditional independence, thereby greatly simplifying the construction of the offline dataset.
- We also theoretically justify our approach and build the connection with classifier-based guidance.
- We are working on extending this work to **DNA enhancers** and **RNA 5'UTR** design.
- The goal is achieve cell-specific promoters design!



