# Adding Conditional Control to Diffusion Models with Reinforcement Learning

Yulai Zhao\*1, Masatoshi Uehara\*2, Gabriele Scalia2, Sunyuan Kung1, Tommaso Biancalani2, Sergey Levine3, Ehsan Hajiramezanali2





<sup>1</sup> Princeton University, <sup>2</sup> Genentech, <sup>3</sup> University of California, Berkeley

## Background

- A pre-trained conditional diffusion model excels at modeling p(x|c).
- For example, in Stable
   Diffusion, c∈C is a prompt,
   and x∈X is the image
   generated according to this
   prompt.
- Many tailored DMs are able to generate biological sequences (e.g., DDSM).
- In practice, we often want to add additional controls into pre-trained diffusion models, e.g.
- Stable Diffusion.
  - existing condition: prompts
  - new condition: certain layouts or backgrounds.
- DDSM tailored for generating DNA enhancers.
  - existing condition: activity level in HepG2
  - new condition: activity level in other cell lines such as K562.

## **Settings**

- Given the pre-trained model, which enables us to sample from p<sup>pre</sup> (x|c): C → Δ(X).
- Goal: add new conditional controls y∈Y such that we can sample from p(x|c,y).
- Assume we can access to offline data:

 $D = \{(c^{(i)}, x^{(i)}, y^{(i)})\}_{i=1}^{n}$ 

where conditional distribution is denoted by **p**°(**y**|**x**,**c**).

#### **Target Distribution**

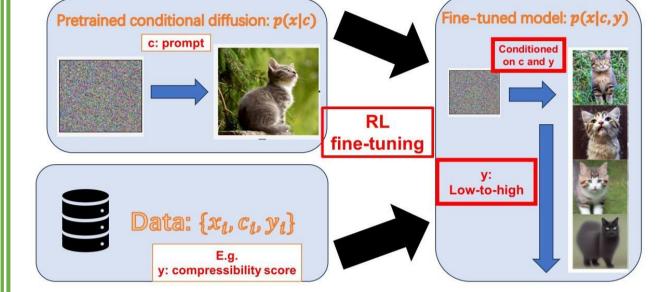
our goal is to obtain a diffusion model such that we can sample from

 $p_{\gamma}(\cdot|c,y) \propto (p^{\circ}(y|\cdot,c))^{\gamma} p^{pre}(\cdot|c)$  where  $\gamma$  represents the strength of the additional guidance.

## Methodology & Results

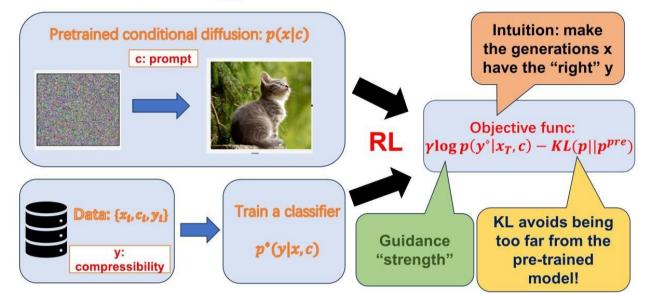
#### Roadmap

## Our goal: adding control via fine-tuning



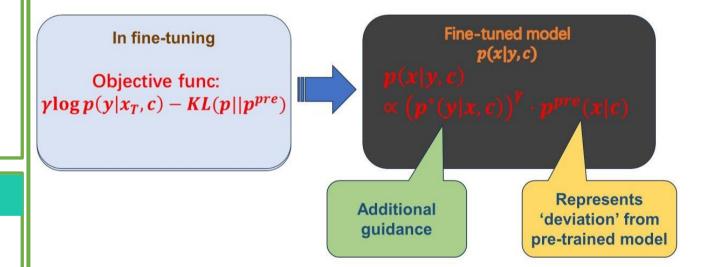
## **RL-based Fine-tuning**

## Methodology



## **Advantages of Our Approach**

## **Theoretical justification (incomplete)**



## **★** Compared to classifier-free guidance

Our method demonstrates superiority by leveraging the conditional independence.

• Example 1: Y ⊥ C | X. Then

$$p^{\diamond}(y|x,c)=p^{\diamond}(y|x)$$

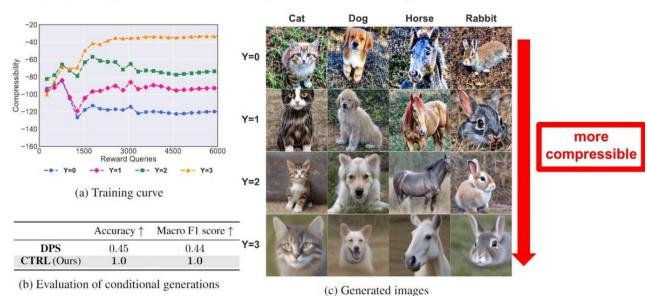
- This means we only need (x,y) sample pairs to train the classifier, rather than triplets (c,x,y).
- Example 2 (multi-task): Y₁ ⊥ Y₂ | C, X, Y₁ ⊥ C | X and Y₂ ⊥ C | X. Then
   log p°(y₁,y₂|x,c) = log p°(y₁|x) + log p°(y∫x)
  - $\circ$  This means we only need  $(x,y_1)$  and  $(x,y_2)$  pairs.

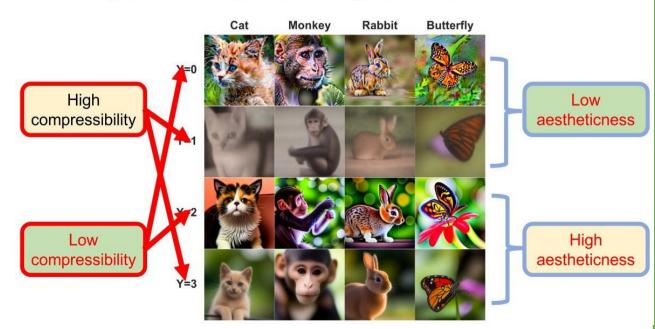
**Example 2: Compressibility & Aestheticness** 

 Significantly simplifying dataset construction: classifier free guidance must require quadruples (c,x,y, y<sub>2</sub>)!

#### Results

## **Example 1: Compressibility**

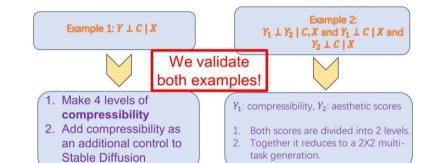




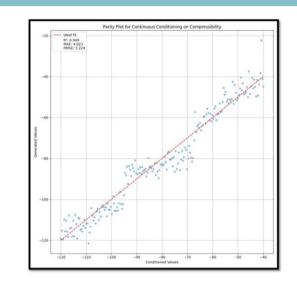
## **Experimental Details**

#### Settings

#### **Experiments**



#### **Extension: conditioned on continuous y**



- We can use the model fine-tuned on discretized y and interpolate discrete class embeddings for continuous y.
- This naive approach can achieve R<sup>2</sup>=0.95.

#### **Conclusions**

- We introduce an RL-based fine-tuning approach for conditioning pre-trained diffusion models on new additional labels.
- Compared to classifier-free guidance, our proposed method allows for leveraging the conditional independence, thereby greatly simplifying the construction of the offline dataset.
- We also theoretically justify our approach and build the connection with classifier-based guidance.







