# Zhe Gan

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### **Research Interests**

I am a Senior Researcher at Microsoft Dynamics 365 AI Research, primarily working on Vision-and-Language Representation Learning, Generative Pre-training, and Adversarial Machine Learning. I also have broad interests on other machine learning topics.

### Education

• Duke University, Durham, NC

Ph.D., Electrical and Computer Engineering

09/2013 - 03/2018

Peking University, Beijing, China

M.S., Electrical Engineering B.S., Electrical Engineering 09/2010 - 07/2013

09/2006 - 07/2010

# Experience

• Microsoft Cloud and AI

04/2018 - present

Senior Researcher. Manager: Jingjing Liu, MS D365 AI

Vision-and-Language Representation Learning, Generative Pre-training, Self-supervised Learning, and Adversarial Machine Learning

### • Information Initiative at Duke (iiD)

09/2013 - 03/2018

Research Assistant. Advisor: Prof. Lawrence Carin

- (i) Deep Bayesian Learning: developing deep generative models for computer vision and natural language processing applications, including VAE and GAN
- (ii) Bayesan Deep Learning: designing stochastic gradient variational inference algorithms and stochastic gradient MCMC methods for scalable Bayesian inference

### • Microsoft Research Redmond

05/2017 - 08/2017

Research Intern. Advisor: Xiaodong He, Lihong Li, Ph.D

Deep reinforcement learning for vision and language intelligence, with focus on the visual storytelling task.

#### • Microsoft Research Redmond

05/2016 - 08/2016

Research Intern. Advisor: Xiaodong He, Jianfeng Gao, Li Deng, Ph.D

- (i) image captioning: using deep learning techniques to improve the state-of-the-art of image and video captioning.
- (ii) deep conflation: using deep learning techniques to implement conflation for business data analytics.

#### • Adobe Research

06/2015 - 09/2015

Data Scientist Intern. Advisor: Hung Bui, Ph.D

Recurrent neural networks (RNN) for NLP applications, including sentence classification, sentence retrieval and sentence generation

#### **Publications**

### arXiv preprints

- 1. **Z. Gan**, Y.-C. Chen, L. Li, C. Zhu, Y. Cheng and J. Liu "Large-Scale Adversarial Training for Vision-and-Language Representation Learning", arXiv preprint arXiv:2006.06195
- 2. Y. Fang\*, S. Wang\*, **Z. Gan**, S. Sun and J. Liu "FILTER: An Enhanced Fusion Method for Crosslingual Language Understanding", arXiv preprint arXiv:2009.05166 Leaderboard #1 on XTREME and XGLUE as of Sep. 8, 2020
- 3. S. Wang, L. Zhou, **Z. Gan**, Y.-C. Chen, Y. Fang, S. Sun, Y. Cheng and J. Liu "Cluster-Former: Clustering-based Sparse Transformer for Long-Range Dependency Encoding", arXiv preprint arXiv:2009.06097
- 4. Y. Fang, S. Wang, **Z. Gan**, S. Sun and J. Liu "Accelerating Real-Time Question Answering via Question Generation", *arXiv preprint arXiv:2009.05167*
- 5. C. Zhu, Y. Cheng, **Z. Gan**, F. Huang, J. Liu and T. Goldstein "Adaptive Learning Rates with Maximum Variation Averaging", arXiv preprint arXiv:2006.11918
- 6. S. Dai, **Z. Gan**, Y. Cheng, C. Tao, L. Carin and J. Liu "APo-VAE: Text Generation in Hyperbolic Space", *arXiv* preprint arXiv:2005.00054
- 7. S. Dai, Y. Cheng, Y. Zhang, **Z. Gan**, J. Liu and L. Carin "Contrastively Smoothed Class Alignment for Unsupervised Domain Adaptation", *arXiv* preprint arXiv:1909.05288

#### 2021

1. W. Chen, **Z. Gan**, L. Li, Y. Cheng, W. Wang and J. Liu "Meta Module Network for Compositional Visual Reasoning", *Winter Conf. on Applications of Computer Vision* (WACV), 2021 Oral

#### 2020

- 1. S. Sun, **Z. Gan**, Y. Fang, Y. Cheng, S. Wang and J. Liu "Contrastive Distillation on Intermediate Representations for Language Model Compression", *Conf. on Empirical Methods in Natural Language Processing* (EMNLP), 2020
- 2. S. Wang, Y. Fang, S. Sun, **Z. Gan**, Y. Cheng, J. Liu and J. Jiang "Cross-Thought for Sentence Encoder Pre-training", Conf. on Empirical Methods in Natural Language Processing (EMNLP), 2020
- 3. Y. Dong, S. Wang, **Z. Gan**, Y. Cheng, J. Cheung and J. Liu "Multi-Fact Correction in Abstractive Text Summarization", Conf. on Empirical Methods in Natural Language Processing (EMNLP), 2020
- 4. L. Li\*, Y.-C. Chen\*, Y. Cheng, **Z. Gan**, L. Yu and J. Liu "HERO: Hierarchical Encoder for Video+Language Omni-representation Pre-training", Conf. on Empirical Methods in Natural Language Processing (EMNLP), 2020
- 5. Y. Zhang\*, G. Wang\*, C. Li, **Z. Gan**, C. Brockett and B. Dolan "POINTER: Constrained Text Generation via Insertion-based Generative Pre-training", *Conf. on Empirical Methods in Natural Language Processing* (EMNLP), 2020
- 6. Y. Fang, S. Sun, **Z. Gan**, R. Pillai, S. Wang and J. Liu "Hierarchical Graph Network for Multi-hop Question Answering", arXiv preprint arXiv:1911.03631 Conf. on Empirical Methods in Natural Language Processing (EMNLP), 2020 Leaderboard #1 on HotpotQA as of Dec. 1st, 2019
- 7. Y. Cheng, **Z. Gan**, Y. Zhang, O. Elachqar, D. Li and J. Liu "Contextual Text Style Transfer", Findings of Empirical Methods in Natural Language Processing (Findings of EMNLP), 2020
- 8. J. Cao, **Z. Gan**, Y. Cheng, L. Yu, Y.-C. Chen and J. Liu "Behind the Scene: Revealing the Secrets of Pre-trained Vision-and-Language Models", *European Conf. on Computer Vision* (ECCV), 2020 Spotlight (Top 5% among all submissions)
- Y.-C. Chen\*, L. Li\*, L. Yu\*, A. Kholy, F. Ahmed, Z. Gan, Y. Cheng and J. Liu "UNITER: UNiversal Image-TExt Representation Learning", European Conf. on Computer Vision (ECCV), 2020 SOTA on 13 Vision+Language Datasets/Tasks, No. 1 on VCR and NLVR2 leaderboards as of Sep. 2019
- 10. Y. Cheng, **Z. Gan**, Y. Li, J. Liu and J. Gao "Sequential Attention GAN for Interactive Image Editing", ACM International Conference on Multimedia (ACMMM), 2020

- 11. P. Cheng, W. Hao, S. Dai, J. Liu, **Z. Gan** and L. Carin "CLUB: A Contrastive Log-ratio Upper Bound of Mutual Information", *Int. Conf. Machine Learning* (ICML), 2020
- 12. L. Chen, **Z. Gan**, Y. Cheng, L. Li, L. Carin and J. Liu "Graph Optimal Transport for Cross-Domain Alignment", *Int. Conf. Machine Learning* (**ICML**), 2020
- 13. J. Xu, **Z. Gan**, Y. Cheng and J. Liu "Discourse-Aware Neural Extractive Text Summarization", *Association for Computational Linguistics* (ACL), 2020
- 14. Y. Chen, **Z. Gan**, Y. Cheng, J. Liu and J. Liu "Distilling Knowledge Learned in BERT for Text Generation", Association for Computational Linguistics (ACL), 2020
- 15. R. Zhang, C. Chen, **Z. Gan**, W. Wang, D. Shen, G. Wang, Z. Wen and L. Carin "Improving Adversarial Text Generation by Modeling the Distant Future", *Association for Computational Linguistics* (ACL), 2020
- 16. Y. Li, Y. Cheng, **Z. Gan**, L. Yu, L. Wang, J. Liu "BachGAN: High-Resolution Image Synthesis from Salient Object Layout", *Computer Vision and Pattern Recognition* (CVPR), 2020
- 17. J. Liu, W. Chen, Y. Cheng, **Z. Gan**, L. Yu, Y. Yang, J. Liu "VIOLIN: A Large-Scale Dataset for Video-and-Language Inference", Computer Vision and Pattern Recognition (CVPR), 2020
- 18. R. Zhang, C. Chen, **Z. Gan**, Z. Wen, W. Wang, L. Carin "Nested-Wasserstein Self-Imitation Learning for Sequence Generation", *Artificial Intelligence and Statistics* (AISTATS), 2020
- 19. C. Zhu, Y. Cheng, **Z. Gan**, S. Sun, T. Goldstein and J. Liu "FreeLB: Enhanced Adversarial Training for Natural Language Understanding", *Int. Conf. Learning Representations* (ICLR), 2020 Spotlight (Leaderboard #1 on GLUE, ARC Easy/Challenge and Commonsense QA as of Sep. 2019)
- 20. W. Wang, H. Xu, **Z. Gan**, B. Li, G. Wang, L. Chen, Q. Yang, W. Wang and L. Carin "Graph-Driven Generative Models for Heterogeneous Multi-Task Learning", *Proc. American Association of Artificial Intelligence* (AAAI), 2020 Spotlight
- 21. J. Hu, Y. Cheng, **Z. Gan**, J. Liu, J. Gao and G. Neubig "What Makes A Good Story? Designing Composite Rewards for Visual Storytelling", *Proc. American Association of Artificial Intelligence* (AAAI), 2020 Spotlight

#### 2019

- 1. W. Wang, C. Tao, **Z. Gan**, G. Wang, L. Chen, X. Zhang, R. Zhang, Q. Yang, R. Henao and L. Carin "Improving Textual Network Learning with Variational Homophilic Embeddings", *Neural Information Processing Systems* (NeurIPS), 2019
- 2. R. Zhang, C. Chen, **Z. Gan**, Z. Wen, W. Wang, L. Carin "Nested-Wasserstein Distance for Sequence Generation", Workshop on Bayesian Deep Learning, NeurIPS 2019
- 3. S. Sun, Y. Cheng, **Z. Gan**, and J. Liu "Patient Knowledge Distillation for BERT Model Compression", Conf. on Empirical Methods in Natural Language Processing (EMNLP), 2019
- 4. H. Wang, **Z. Gan**, X. Liu, J. Liu, J. Gao and H. Wang "Adversarial Domain Adaptation for Machine Reading Comprehension", *Conf. on Empirical Methods in Natural Language Processing* (EMNLP), 2019
- 5. D. Li, Y. Zhang, **Z. Gan**, Y. Cheng, C. Brockett, M. Sun and B. Dolan "Domain Adaptive Text Style Transfer", Conf. on Empirical Methods in Natural Language Processing (EMNLP), 2019
- 6. M. Jiang, Q. Huang, L. Zhang, X. Wang, P. Zhang, **Z. Gan**, J. Diesner and J. Gao "TIGEr: Text-to-Image Grounding for Image Caption Evaluation", *Conf. on Empirical Methods in Natural Language Processing* (EMNLP), 2019
- 7. L. Li, **Z. Gan**, Y. Cheng and J. Liu "Relation-Aware Graph Attention Network for Visual Question Answering", *Int. Conf. on Computer Vision* (ICCV), 2019
- 8. **Z. Gan**, Y. Cheng, A. Kholy, L. Li, J. Liu and J. Gao "Multi-step Reasoning via Recurrent Dual Attention for Visual Dialog", *Association for Computational Linguistics* (**ACL**), 2019
- 9. L. Ke, X. Li, Y. Bisk, A. Holtzman, Z. Gan, J. Liu, J. Gao, Y. Choi, and S. Srinivasa "Tactical Rewind: Self-Correction via Backtracking in Vision-and-Language Navigation", Computer Vision and Pattern Recognition (CVPR), 2019 Oral

- 10. Y. Li, **Z. Gan**, Y. Shen, J. Liu, Y. Cheng, Y. Wu, L. Carin, D. Carlson and J. Gao "StoryGAN: A Sequential Conditional GAN for Story Visualization", *Computer Vision and Pattern Recognition* (CVPR), 2019
- 11. W. Wang, **Z. Gan**, H. Xu, R. Zhang, G. Wang, D. Shen, C. Chen and L. Carin "Topic-Guided Variational Autoencoders for Text Generation", North American Chapter of the Association for Computational Linguistics (NAACL), 2019 Oral
- 12. L. Chen, Y. Zhang, R. Zhang, C. Tao, **Z. Gan**, H. Zhang, B. Li, D. Shen, C. Chen and L. Carin "Improving Sequence-to-Sequence Learning via Optimal Transport", *Int. Conf. Learning Representations* (ICLR), 2019
- 13. Q. Huang\*, **Z. Gan**\*, A. Celikyilmaz, D. Wu, J. Wang and X. He "Hierarchically Structured Reinforcement Learning for Topically Coherent Visual Story Generation", *Proc. American Association of Artificial Intelligence* (**AAAI**), 2019 **Spotlight**

#### 2018

- 1. Y. Zhang, M. Galley, J. Gao, Z. Gan, X. Li, C. Brockett and B. Dolan "Generating Informative and Diverse Conversational Responses via Adversarial Information Maximization", *Neural Information Processing Systems* (NeurIPS), 2018
- 2. L. Chen, S. Dai, C. Tao, D. Shen, **Z. Gan**, H. Zhang, Y. Zhang and L. Carin "Adversarial Text Generation via Feature-Mover's Distance", *Neural Information Processing Systems* (**NeurIPS**), 2018
- 3. X. Zhang, R. Henao, **Z. Gan**, Y. Li and L. Carin "Multi-Label Learning from Medical Plain Text with Convolutional Residual Models", *Machine Learning for Healthcare* (MLHC), 2018 Spotlight
- 4. Y. Pu, S. Dai, **Z. Gan**, W. Wang, G. Wang, Y. Zhang, R. Henao and L. Carin "JointGAN: Multi-Domain Joint Distribution Learning with Generative Adversarial Nets", *Int. Conf. Machine Learning* (ICML), 2018
- 5. T. Xu, P. Zhang, Q. Huang, H. Zhang, Z. Gan, X. Huang and X. He "AttnGAN: Fine-Grained Text to Image Generation with Attentional Generative Adversarial Networks", Computer Vision and Pattern Recognition (CVPR), 2018
- 6. W. Wang, Z. Gan, W. Wang, D. Shen, J. Huang, W. Ping, S. Satheesh and L. Carin "Topic Compositional Neural Language Model", Artificial Intelligence and Statistics (AISTATS), 2018
- 7. Y. Pu, M. R. Min, **Z. Gan** and L. Carin "Adaptive Feature Abstraction for Translating Video to Text", *Proc. American Association of Artificial Intelligence* (**AAAI**), 2018

### 2017

- 1. **Z. Gan**\*, L. Chen\*, W. Wang, Y. Pu, Y. Zhang, H. Liu, C. Li and L. Carin "Triangle Generative Adversarial Networks", *Neural Information Processing Systems* (NeurIPS), 2017
- 2. Y. Pu, W. Wang, R. Henao, L. Chen, **Z. Gan**, C. Li, and L. Carin "Adversarial Symmetric Variational Autoencoder", *Neural Information Processing Systems* (NeurIPS), 2017
- 3. Y. Pu, **Z. Gan**, R. Henao, C. Li, S. Han and L. Carin "VAE Learning via Stein Variational Gradient Descent", *Neural Information Processing Systems* (**NeurIPS**), 2017
- 4. Y. Zhang, D. Shen, G. Wang, **Z. Gan**, R. Henao and L. Carin "Deconvolutional Paragraph Representation Learning", *Neural Information Processing Systems* (**NeurIPS**), 2017
- 5. **Z. Gan**, Y. Pu, R. Henao, C. Li, X. He and L. Carin "Learning Generic Sentence Representations Using Convolutional Neural Networks", *Conf. on Empirical Methods in Natural Language Processing* (EMNLP), 2017 Oral
- 6. Y. Zhang, **Z. Gan**, K. Fan, Z. Chen, R. Henao, D. Shen and L. Carin "Adversarial Feature Matching for Text Generation", *Int. Conf. Machine Learning* (ICML), 2017
- 7. Y. Zhang, C. Chen, **Z. Gan**, R. Henao and L. Carin "Stochastic Gradient Monomial Gamma Sampler", *Int. Conf. Machine Learning* (ICML), 2017
- 8. **Z. Gan**\*, C. Li\*, C. Chen, Y. Pu, Q. Su and L. Carin "Scalable Bayesian Learning of Recurrent Neural Networks for Language Modeling", Association for Computational Linguistics (ACL), 2017 Oral

- 9. **Z. Gan**, C. Gan, X. He, Y. Pu, K. Tran, J. Gao, L. Carin and L. Deng "Semantic Compositional Networks for Visual Captioning", *Computer Vision and Pattern Recognition* (CVPR), 2017 Spotlight
- 10. C. Gan, **Z. Gan**, X. He, J. Gao and L. Deng "StyleNet: Generating Attractive Visual Captions with Styles", Computer Vision and Pattern Recognition (CVPR), 2017
- 11. **Z. Gan**, P. D. Singh, A. Joshi, X. He, J. Chen, J. Gao and L. Deng "Character-level Deep Conflation for Business Data Analytics", *Int. Conf. Acoustics, Speech and Signal Processing* (ICASSP), 2017
- 12. Y. Xian, Y. Pu, **Z. Gan**, L. Lu and A. Thompson "Adaptive DCTNet for Audio Signal Classification", *Int. Conf. Acoustics, Speech and Signal Processing* (ICASSP), 2017
- 13. Q. Su, X. Liao, C. Li, **Z. Gan** and L. Carin "Unsupervised Learning with Truncated Gaussian Graphical Models", *Proc. American Association of Artificial Intelligence* (**AAAI**), 2017 Oral

#### 2016

- Y. Zhang, Z. Gan and L. Carin "Generating Text via Adversarial Training", NeurIPS Workshop, 2016
- 2. Y. Xian, Y. Pu, **Z. Gan**, L. Lu and A. Thompson "Modified DCTNet for Audio Signals Classification", *Journal of the Acoustical Society of America*, 2016
- 3. Y. Pu, **Z. Gan**, R. Henao, X. Yuan, C. Li, A. Stevens and L. Carin "Variational Autoencoder for Deep Learning of Images, Labels and Captions", *Neural Information Processing Systems* (**NeurIPS**), 2016
- 4. J. Song, **Z. Gan** and L. Carin "Factored Temporal Sigmoid Belief Networks for Sequence Learning", *Int. Conf. Machine Learning* (ICML), 2016
- 5. C. Li, A. Stevens, C. Chen, Y. Pu, **Z. Gan** and L. Carin "Learning Weight Uncertainty with Stochastic Gradient MCMC for Shape Classification", *Computer Vision and Pattern Recognition* (CVPR), 2016 Spotlight
- 6. C. Chen, D. Carlson, **Z. Gan**, C. Li and L. Carin "Bridging the Gap Between Stochastic Gradient MCMC and Stochastic Optimization", *Artificial Intelligence and Statistics* (**AISTATS**), 2016 Oral

#### 2015

- 1. **Z. Gan**, C. Li, R. Henao, D. Carlson and L. Carin "Deep Temporal Sigmoid Belief Networks for Sequence Modeling", *Neural Information Processing Systems* (**NeurIPS**), 2015
- 2. R. Henao, **Z. Gan**, J. Lu and L. Carin "Deep Poisson Factor Modeling", *Neural Information Processing Systems* (NeurIPS), 2015
- 3. **Z. Gan**, C. Chen, R. Henao, D. Carlson and L. Carin "Scalable Deep Poisson Factor Analysis for Topic Modeling", *Int. Conf. Machine Learning* (**ICML**), 2015
- 4. **Z. Gan**, R. Henao, D. Carlson and L. Carin "Learning Deep Sigmoid Belief Networks with Data Augmentation", *Artificial Intelligence and Statistics* (AISTATS), 2015

### **Book Chapter**

 Z. Gan, X. Yuan, R. Henao, E. Tsalik and L. Carin "Inference of Gene Networks Associated with the Host Response to Infectious Disease", Chapter 13 of Book Big Data Over Networks. Cambridge University Press. In Press.

### PhD Dissertation

1. **Z. Gan** "Deep Generative Models for Vision and Language Intelligence", Duke University.

### Tutorial and Workshop

#### **Tutorial**

1. **Z. Gan**, L. Yu, Y. Cheng, L. Zhou, L. Li, Y.-C. Chen, J. Liu and X. He "Recent Advances in Vision-and-Language Research", *Computer Vision and Pattern Recognition* (CVPR), 2020

## **Professional Activities**

Area Chair: ICLR 2021, NeurIPS 2020, NeurIPS 2019

Senior Program Committee (SPC) Member: AAAI 2021, AAAI 2020

Interactive Arts Chair: ACMMM 2020

### Conference Reviewer/PC Member:

- 2020: ICML, ICLR, IJCAI; CVPR, ECCV, ACMMM; ACL, EMNLP, COLING, AACL, CONLL
- 2019: ICML, ICLR, AAAI; CVPR, ICCV, ACMMM; EMNLP, CoNLL
- 2018: NeurIPS, EMNLP, CVPR, ACCV
- 2016: NIPS

## Journal Reviewer:

- Transactions on Pattern Analysis and Machine Intelligence (PAMI)
- Transactions on Image Processing (TIP)
- Transactions on Knowledge and Data Engineering (KDE)
- Journal of Selected Topics in Signal Processing (STSP)
- Transactions on Multimedia Computing Communications and Applications (TOMM)
- Transactions on Audio, Speech and Language Processing (ASL)
- Science China
- Transactions on Cybernetics, IET Computer Vision, Entropy

### Workshop Reviewer/PC Member:

- 2020: ACL Workshop on Advances in Language and Vision Research
- 2019: ICCV Workshop on Closing the loop between Vision and Language
- 2019: ICLR Workshop on Deep Generative Models for Highly Structured Data
- 2018: ICML Workshop on Theoretical Foundations and Applications of Deep Generative Models

#### **Talks**

- "Deep Generative Models for Vision and Language Intelligence", Ph.D. Final Defense, Durham, NC, February 2018
- "Deep Generative Models for Vision and Language Intelligence", IBM Thomas J. Watson Research Center, Yorktown, NY, October 2017
- "Deep Generative Models for Vision and Language Intelligence", NVIDIA, Santa Clara, CA, September 2017
- "Deep Generative Models for Vision and Language Intelligence", Apple, Cupertino, CA, September 2017
- "Learning Generic Sentence Representations Using Convolutional Neural Networks", EMNLP, Copenhagen, Denmark, September 2017
- "Semantic Compositional Networks for Visual Captioning", CVPR, Hawaii, July 2017
- "Semantic Compositional Networks for Visual Captioning", *Ph.D. Preliminary Exam*, Durham, NC, April 2017
- "Deep Generative Models for Sequence Learning", Ph.D. Qualifying Exam, Durham, NC, December 2015

## **Competitions**

- 2020/09: Rank 1st on XTREME and XGLUE leaderboards
- 2020/05: Rank 4th on VQA Challenge 2020
- 2019/12: Rank 1st on HotpotQA leaderboard
- 2019/10: Rank 1st on VCR and NLVR2 leaderboards
- 2019/09: Rank 1st on GLUE, ARC Easy/Challenge and Commonsense QA leaderboards
- 2019/06: Rank 2nd in Visual Dialog Challenge 2019
- 2018/09: Rank 3rd in Visual Dialog Challenge 2018

# **Software Skills**

Python (Theano, Tensorflow, PyTorch), Matlab, R and C

### **Awards**

AAAI-2020 Outstanding SPC Award. ECE Fellowship, Duke University, 2013. National Scholarship, Department of Minister of Education of China, 2010-2013.

## **Graduate Coursework**

Bayesian and Modern Statistics, Probabilistic Machine Learning, Advanced Machine Learning, Statistical Inference, Statistical Computation, Information Theory, Graphical Models & Inference, Optimization For Engineers

# **Teaching Experience**

Teaching Assistant 09/2014-12/2014

STA 601 - Bayesian and Modern Statistics

Instructor: David Dunson, Ph.D

Teaching Assistant 01/2015-05/2015

ECE 587 - Information Theory Instructor: Ahmad Beirami, Ph.D