

# Relational Database Encryption

Zheguang Zhao

Computer Science Department, Brown University, Rhode Island  
zheguang.zhao@gmail.com

Thesis Proposal, 12th December, 2019

# Part I

## Introduction

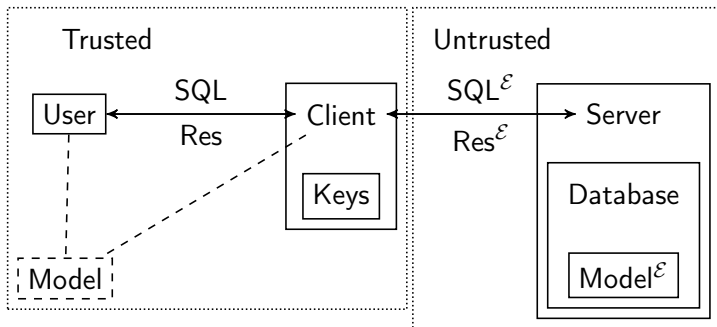
# Introduction

- 1 The Problem
- 2 Background
- 3 Conceptual Approach

# 3 Problems

- Signal Problem: Secure application on untrusted OS
- Equifax Problem: Data within the private cloud
- Amazon Problem: Applications running on the public cloud

# Fundamental Problem: Relational Database Encryption



- Security
- Functionality
- Efficiency
- Legacy compliance

Scheme	Leak.	Eff.	Legacy	Func.
FHE [14]	Less	Low	No	IntComp.
ORAM [11]	Less	Low	No	EqSel,Prefix,Range
PPE [6]	More	High	Y/N	$\subseteq$ SQL(?)
STE [7, 10, 12]	Less	High	No	KeyVal,EqSel,EqJn,Prefix,Range

**Table:** General observation in schemes based on various symmetric searchable encryption primitives.

### Thesis Problem

Use STE to construct secure, legacy-compliant, functional and efficient relational database encryption scheme.

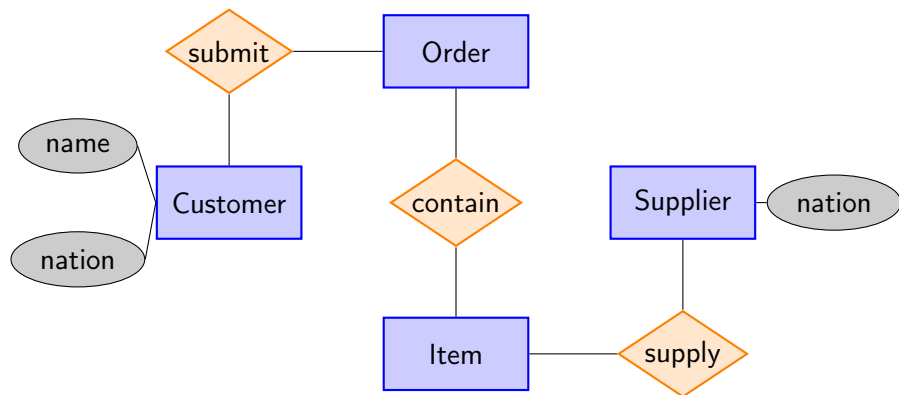
# Introduction

1 The Problem

2 Background

3 Conceptual Approach

# Relational Model



- Contains entities and relationships
- First-order logic



- Cryptographic hash function  $\mathcal{H}$
- Pseudorandom function  $\mathcal{F}$
- Pseudorandom permutation  $\mathcal{P}$
- Pseudorandom ciphertext under chosen-plaintext attack (RCPA-secure symmetric encryption  $\mathcal{E}$ )
- Switching Lemma ( $\mathcal{F} \approx \mathcal{P}$ )

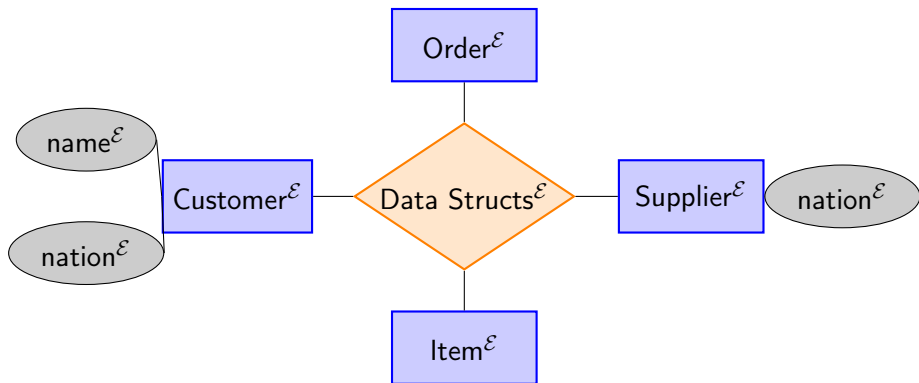
# Introduction

1 The Problem

2 Background

3 Conceptual Approach

# Encrypted Data Structures



# Security against Leakage

The scheme  $\text{dex} = (\text{Setup}, \text{ProcessQuery})$  is  $\mathcal{L}$ -secure if  $\forall$  efficient  $A \exists$  efficient  $S$  such that

$$\left| \Pr \left( \text{Real}_{\text{dex}}^A(\lambda) = 1 \right) - \Pr \left( \text{Ideal}_{\text{dex}, \mathcal{L}, S}^A(\lambda) = 1 \right) \right| \leq \text{negl}(\lambda)$$

$\text{Real}_{\text{dex}}(\lambda)$	$\text{Ideal}_{\text{dex}, \mathcal{L}, S}(\lambda)$
<b>Init(RDB)</b> <ul style="list-style-type: none"><li>1 <math>(\text{ERDB}, k) \sim \text{Setup}(\text{RDB}, \lambda)</math></li><li>2 <b>return</b> ERDB</li></ul> <b>Query(<math>Q_{\text{RDB}}</math>)</b> <ul style="list-style-type: none"><li>1 <math>(\text{Res}, Q_{\text{ERDB}}) \sim \text{ProcessQuery}(k, Q_{\text{RDB}})</math> on ERDB</li><li>2 <b>return</b> <math>Q_{\text{ERDB}}</math></li></ul> <b>Update(<math>Q_{\text{RDB}}</math>)</b> <ul style="list-style-type: none"><li>1 <math>(\text{Res}, Q_{\text{ERDB}}) \sim \text{ProcessQuery}(k, Q_{\text{RDB}})</math> on ERDB</li><li>2 <b>return</b> ERDB</li></ul> <b>Final(<math>b</math>)</b> <ul style="list-style-type: none"><li>1 <b>output</b> <math>b</math></li></ul>	<b>Init(RDB)</b> <ul style="list-style-type: none"><li>1 <math>L \sim \mathcal{L}(\text{RDB})</math></li><li>2 <math>\text{ERDB} \sim S_{\text{Init}}(L)</math></li><li>3 <b>return</b> ERDB</li></ul> <b>Query(<math>Q_{\text{RDB}}</math>)</b> <ul style="list-style-type: none"><li>1 <math>L \sim \mathcal{L}(L, Q_{\text{RDB}})</math></li><li>2 <math>(\text{Res}, Q_{\text{ERDB}}) \sim S_{\text{Query}}(L)</math></li><li>3 <b>return</b> <math>Q_{\text{ERDB}}</math></li></ul> <b>Update(<math>Q_{\text{RDB}}</math>)</b> <ul style="list-style-type: none"><li>1 <math>L \sim \mathcal{L}(L, Q_{\text{RDB}})</math></li><li>2 <math>(\text{Res}, Q_{\text{ERDB}}) \sim S_{\text{Update}}(L)</math></li><li>3 <b>return</b> <math>Q_{\text{ERDB}}</math></li></ul> <b>Final(<math>b</math>)</b> <ul style="list-style-type: none"><li>1 <b>output</b> <math>b</math></li></ul>

## Part II

# Construction

- ④ Emulation
- ⑤ Encrypted SQL
- ⑥ Other Topics
- ⑦ System & Evaluation

- Express data structure & computation in domain-specific language

---

<sup>1</sup>Or with transitive closure and windowing function

- Express data structure & computation in domain-specific language
- Varying expressibility
  - 1 SPC algebra: conjunctive queries
  - 2 Relational algebra:  $\subseteq$  first-order logic
  - 3 Datalog: least fixed-point logic
  - 4 SQL: Turing-complete with procedral extension<sup>1</sup>

---

<sup>1</sup>Or with transitive closure and windowing function



- Express data structure & computation in domain-specific language
- Varying expressibility
  - ① SPC algebra: conjunctive queries
  - ② Relational algebra:  $\subseteq$  first-order logic
  - ③ Datalog: least fixed-point logic
  - ④ SQL: Turing-complete with procedral extension<sup>1</sup>
- Examples
  - Datalog, SQLite: no procedures.
  - Azure SQL Data Warehouse, SparkSQL: no transitive closure.

---

<sup>1</sup>Or with transitive closure and windowing function

- Express data structure & computation in domain-specific language
- Varying expressibility
  - ① SPC algebra: conjunctive queries
  - ② Relational algebra:  $\subseteq$  first-order logic
  - ③ Datalog: least fixed-point logic
  - ④ SQL: Turing-complete with procedural extension<sup>1</sup>
- Examples
  - Datalog, SQLite: no procedures.
  - Azure SQL Data Warehouse, SparkSQL: no transitive closure.

## Problem

Minimum language for expressing an (encrypted) data structure

---

<sup>1</sup>Or with transitive closure and windowing function

## 4 Emulation

- Map
- Multi-Map
- Encrypted Multi-Map

## 5 Encrypted SQL

- Independence
- Dependence
- Normal Form

## 6 Other Topics

## 7 System & Evaluation

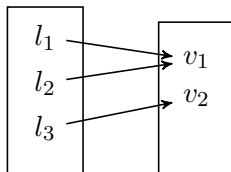
## Map

A map is a binary relation of labels and values

$M : \{(l \rightarrow v) \mid l \in L, v \in V\}$  which associates each label to a unique value.

The operation  $M[l] = v$  if  $(l \rightarrow v) \in M$ .

Example:



$$M[l_2] = v_1$$

Emulation:

label	value
$l_1$	$v_1$
$l_2$	$v_1$
$l_3$	$v_2$

$$\pi_{\text{value}} \sigma_{\text{label}=l_2} T_M \implies [v_1]$$

## 4 Emulation

- Map
- **Multi-Map**
- Encrypted Multi-Map

## 5 Encrypted SQL

- Independence
- Dependence
- Normal Form

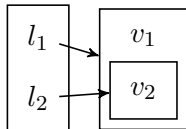
## 6 Other Topics

## 7 System & Evaluation

## Multi-Map

A multi-map is a map<sup>1</sup> between labels and sets of values  
 $MM : \{(l \rightarrow V_l \mid l \in L, V_l \subseteq V)\}$ . The operation  $MM[l] = V_l$  if  $(l \rightarrow V_l) \in MM$ .

Example:



$$MM[l_1] = \{v_1, v_2\}$$

---

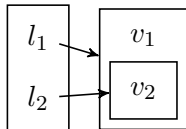
<sup>1</sup>Elsewhere the term “map” will be reserved to a map that is *not* a multi-map.

## Multi-Map

A multi-map is a map<sup>1</sup> between labels and sets of values

$MM : \{(l \rightarrow V_l \mid l \in L, V_l \subseteq V)\}$ . The operation  $MM[l] = V_l$  if  $(l \rightarrow V_l) \in MM$ .

Example:



$$MM[l_1] = \{v_1, v_2\}$$

Emulation Idea 1: Padding

label	value
$l_1$	$v_1, v_2$
$l_2$	$v_2$

Bad: Set of sets is unrelational.

<sup>1</sup>Elsewhere the term “map” will be reserved to a map that is *not* a multi-map.

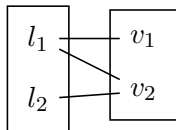
Emulation of Idea 2: Flattening

label	value
$l_1$	$v_1$
$l_1$	$v_2$
$l_2$	$v_2$

$$\pi_{\text{value}} \sigma_{\text{label}=l_1} T_{\text{MM}} = \begin{bmatrix} v_1 \\ v_2 \end{bmatrix}$$



## Idea 2: Flattening



Bad: No longer a map<sup>1</sup>.

## Emulation of Idea 2: Flattening

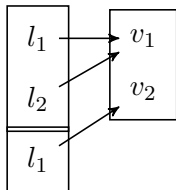
label	value
$l_1$	$v_1$
$l_1$	$v_2$
$l_2$	$v_2$

$$\pi_{\text{value}} \sigma_{\text{label}=l_1} T_{\text{MM}} = \begin{bmatrix} v_1 \\ v_2 \end{bmatrix}$$

---

<sup>1</sup>However it meets a non-map definition of multi-map.

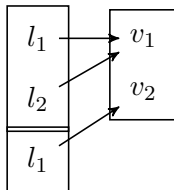
Idea 3a: two maps



## Label transformation

$f : \mathbb{Z}^+ \times L \rightarrow L'$  is a one-to-one function.

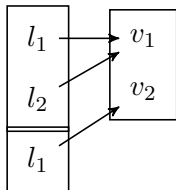
Idea 3a: two maps



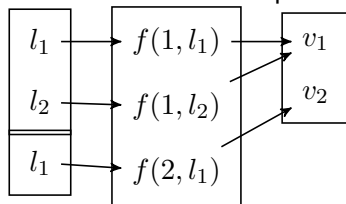
## Label transformation

$f : \mathbb{Z}^+ \times L \rightarrow L'$  is a one-to-one function.

Idea 3a: two maps



Idea 3b: three maps

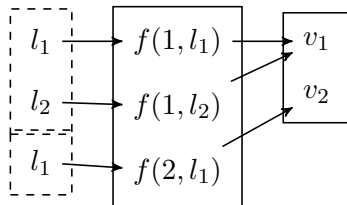


The  $i$  in  $f(i, l)$  means the  $i$ th map of  $L \rightarrow L'$ .

# Multi-Map

- Knowing  $f$ , reduce to one map

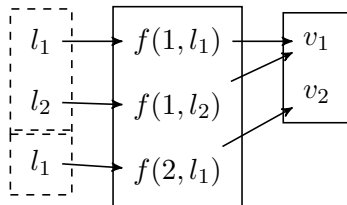
- Idea 3c: One map



# Multi-Map

- Knowing  $f$ , reduce to one map
- Emulate as table  $T_{MM}$

- Idea 3c: One map



- $T_{MM}$  for  $M : f \rightarrow V$

label	value
$f(1, l_1)$	$v_1$
$f(1, l_2)$	$v_2$
$f(2, l_1)$	$v_2$

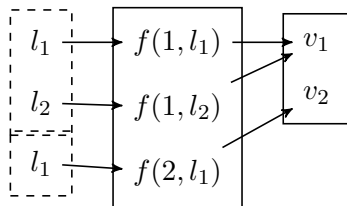
# Multi-Map

- Knowing  $f$ , reduce to one map
- Emulate as table  $T_{MM}$
- Express  $MM[l]$  as union

$$MM[l_1] \rightarrow \{M[f(1, l_1)]\} \cup \{M[f(2, l_1)]\}$$

$$MM[l_2] \rightarrow \{M[f(1, l_2)]\}$$

- Idea 3c: One map



- $T_{MM}$  for  $M : f \rightarrow V$

label	value
$f(1, l_1)$	$v_1$
$f(1, l_2)$	$v_2$
$f(2, l_1)$	$v_2$

# Multi-Map

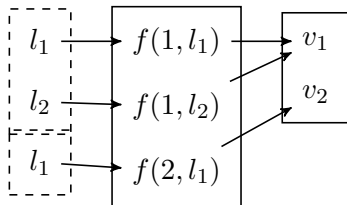
- Knowing  $f$ , reduce to one map
- Emulate as table  $T_{MM}$
- Express  $MM[l]$  as union

$$MM[l_1] \rightarrow \{M[f(1, l_1)]\} \cup \{M[f(2, l_1)]\}$$

$$MM[l_2] \rightarrow \{M[f(1, l_2)]\}$$

- Is iteration a viable idea?
- In relational algebra?

- Idea 3c: One map



- $T_{MM}$  for  $M : f \rightarrow V$

label	value
$f(1, l_1)$	$v_1$
$f(1, l_2)$	$v_2$
$f(2, l_1)$	$v_2$



## Multi-Map as Recursive Map

A multi-map  $MM : L \rightarrow 2^V$  is a map  $M : f(\mathbb{Z}^+, L) \rightarrow V$  where  $f$  is a one-to-one function. The operation  $MM[l] = \text{rec}(1, l)$  where

$$\text{rec}(i, l) = \begin{cases} \{M[f(i, l)]\} \cup \text{rec}(i + 1, l) & \text{if } \exists f(i, l) \in \text{Dom}(M) \\ \emptyset & \text{else} \end{cases}$$

## Multi-Map as Recursive Map

A multi-map  $MM : L \rightarrow 2^V$  is a map  $M : f(\mathbb{Z}^+, L) \rightarrow V$  where  $f$  is a one-to-one function. The operation  $MM[l] = \text{rec}(1, l)$  where

$$\text{rec}(i, l) = \begin{cases} \{M[f(i, l)]\} \cup \text{rec}(i + 1, l) & \text{if } \exists f(i, l) \in \text{Dom}(M) \\ \emptyset & \text{else} \end{cases}$$

## Negative Result

Relational algebra cannot express the multi-map as the recursive map.

# Relational Algebra with Transitive Closure

- Transitive closure is needed
- Undecidability impacts query optimization (Later).

## Syntax of RCTE

Transitive closure can be expressed through the recursive common table expression (RCTE) as in SQL-99 [3]

```
With Recursive View As
    BaseSubquery
    Union All
    RecursiveSubquery
```

# Relational Algebra with Transitive Closure

- But the semantics of RCTE has only ad-hoc definition in the literature. Here we provide an algebraic one.

## Semantics of RCTE

The semantics of the RCTE defines that the recursive view is equivalent to

$$\text{View} = \text{rec}_{\text{rcte}}(1)$$

where the recursive function is defined as

$$\text{rec}_{\text{rcte}}(i) = \begin{cases} \Delta\text{View}_i \cup \text{rec}_{\text{rcte}}(i + 1) & \text{if } \Delta\text{View}_i \neq \emptyset \\ \emptyset & \text{else} \end{cases}$$

with the view increment

$$\Delta\text{View}_i = \begin{cases} \text{BaseSubquery} & \text{if } i = 1 \\ \text{RecursiveSubquery} \mid \Delta\text{View}_{i-1} & \text{else} \end{cases}$$

# Relational Algebra with Transitive Closure

- The RCTE semantics can express the recursive map. Why?
- Simply compare

$$\text{rec}(i, l) = \begin{cases} \{\mathbf{M}[f(i, l)]\} \cup \text{rec}(i + 1, l) & \text{if } \exists f(i, l) \in \text{Dom}(\mathbf{M}) \\ \emptyset & \text{else} \end{cases}$$

and

$$\text{rec}_{\text{rcte}}(i) = \begin{cases} \Delta\text{View}_i \cup \text{rec}_{\text{rcte}}(i + 1) & \text{if } \Delta\text{View}_i \neq \emptyset \\ \emptyset & \text{else} \end{cases}$$

# Multi-Map Emulation

$T_{\text{MM}}$  for rec. map

label	value
$f(1, l_1)$	$v_1$
$f(1, l_2)$	$v_2$
$f(2, l_1)$	$v_2$

# Multi-Map Emulation

- Operation  $\text{MM}[l]$  is emulated by  $\text{RCTE}(l)$  as

With Recursive View As

$$T_{\text{MM}} \bowtie_{\text{label}=f(i,l)} \{ \langle i : 1 \rangle \}$$

Union All

$$T_{\text{MM}} \bowtie_{\text{label}=f(i,l)} \pi_{i+1 \rightarrow i} \text{View}$$

$$\pi_{\text{value}} \text{View}$$

$T_{\text{MM}}$  for rec. map

label	value
$f(1, l_1)$	$v_1$
$f(1, l_2)$	$v_2$
$f(2, l_1)$	$v_2$

# Multi-Map Emulation

- Operation  $\text{MM}[l]$  is emulated by  $\text{RCTE}(l)$  as

With Recursive View As

$$T_{\text{MM}} \bowtie_{\text{label}=f(i,l)} \{ \langle i : 1 \rangle \}$$

Union All

$$T_{\text{MM}} \bowtie_{\text{label}=f(i,l)} \pi_{i+1 \rightarrow i} \text{View}$$

$$\pi_{\text{value}} \text{View}$$

$T_{\text{MM}}$  for rec. map

label	value
$f(1, l_1)$	$v_1$
$f(1, l_2)$	$v_2$
$f(2, l_1)$	$v_2$

- $\text{RCTE}[l_1]$ :

$$\{i = 1, f(1, l_1), v_1\} \cup \{i = 2, f(2, l_1), v_2\} \cup \{i = 3, \cancel{f(3, l_1)}, \text{nil}\} \xrightarrow{\quad} \emptyset$$

- Projects as  $\begin{bmatrix} v_1 \\ v_2 \end{bmatrix}$



## 4 Emulation

- Map
- Multi-Map
- Encrypted Multi-Map

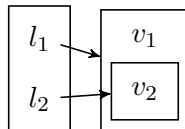
## 5 Encrypted SQL

- Independence
- Dependence
- Normal Form

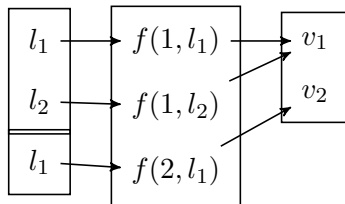
## 6 Other Topics

## 7 System & Evaluation

- Multi-Map



- Recursive map

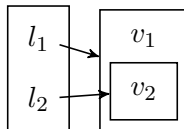


# Encrypted Multi-Map

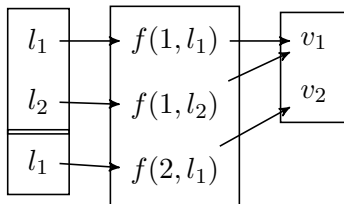
- Correct:

$$\Pr(\text{MM}[l] \equiv \text{EMM}[l]) = 1 - \text{negl}(\lambda)$$

- Multi-Map



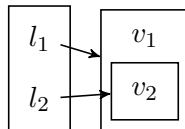
- Recursive map



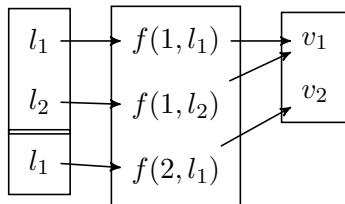
# Encrypted Multi-Map

- Correct:  
 $\Pr(\text{MM}[l] \equiv \text{EMM}[l]) = 1 - \text{negl}(\lambda)$
- Leakage: # edges, queries, results

- Multi-Map



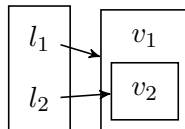
- Recursive map



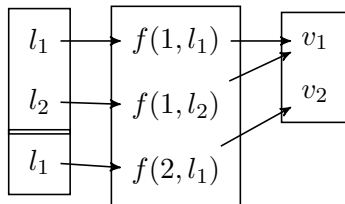
# Encrypted Multi-Map

- Correct:  
 $\Pr(\text{MM}[l] \equiv \text{EMM}[l]) = 1 - \text{negl}(\lambda)$
- Leakage: # edges, queries, results
- Structure: recursive map homomorphism
  - perspective on correctness and security
  - for emulation

- Multi-Map



- Recursive map



# Encrypted Multi-Map

## $\Pi_{\text{bas}}$ Encrypted Multi-Map

The scheme  $\Pi_{\text{bas}}$  [8] can be seen as a transformation of a recursive map representation of MM

- Client:  $l' = \mathcal{F}(k, l)$ ,  $\text{trpd}_j(l') = \mathcal{F}(k, l' || j)^1$ ,  $\mathcal{E}(\cdot, \cdot)$
- Server:  $\mathcal{F}_s(\text{trpd}_1, i)$ ,  $\mathcal{D}(\text{trpd}_2, \cdot)^2$

where

- $\mathcal{F}, \mathcal{F}_s$  are PRFs, and  $\mathcal{E}, \mathcal{D}$  are part of RCPA-secure symmetric key encryption.

---

<sup>1</sup>Output splitting is used in [8] instead.

<sup>2</sup>Response revealing.

# Encrypted Multi-Map

Figure: Multi-Map

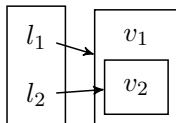


Figure: Recursive Map

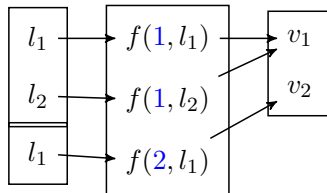
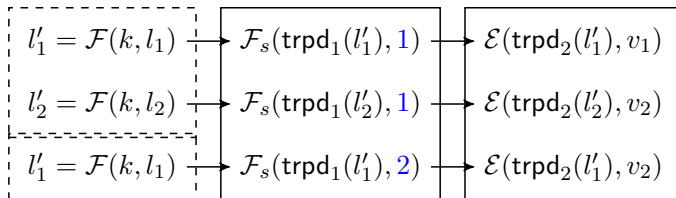


Figure: Encrypted Multi-Map



## $\Pi_{\text{bas}}$ Security and Correctness

Provided by Cash *et al.* [7].

## $\Pi_{\text{bas}}$ Structure

$\Pi_{\text{bas}}$  preserves the *equivalent* recursive map for the multi-map.

- Homomorphism: by proving comp. ind. 1-1 on the label transformation. What about value transformation?
- Elementary equivalence: by Ehrenfeucht-Fraïssé Game [1, 2], with extension to pseudorandom objects.



# Emulation of Encrypted Multi-Map

label	value
$\mathcal{F}_s(\text{trpd}_1(l'_1), 1)$	$\mathcal{E}(\text{trpd}_2(l'_1), v_1)$
$\mathcal{F}_s(\text{trpd}_1(l'_2), 1)$	$\mathcal{E}(\text{trpd}_2(l'_2), v_2)$
$\mathcal{F}_s(\text{trpd}_1(l'_1), 2)$	$\mathcal{E}(\text{trpd}_2(l'_1), v_2)$

Table:  $T_{\text{EMM}}$  for  $\Pi_{\text{bas}}$ .  $l' = \mathcal{F}(k, l)$ ,  $\text{trpd}_j(l') = \mathcal{F}(k, l' || j)$

- Operation  $\text{EMM}[l]$  is emulated by  $\text{RCTE}(l)$  as

With Recursive View As

$$T_{\text{EMM}} \bowtie_{\text{label}=\mathcal{F}_s(\text{trpd}_1(l'))} \{ \langle i : 1 \rangle \}$$

Union All

$$T_{\text{EMM}} \bowtie_{\text{label}=\mathcal{F}_s(\text{trpd}_2(l'))} \pi_{i+1 \rightarrow i} \text{View}$$

$\pi_{\text{value}} \text{View}$

## 4 Emulation

- Map
- Multi-Map
- Encrypted Multi-Map

## 5 Encrypted SQL

- Independence
- Dependence
- Normal Form

## 6 Other Topics

## 7 System & Evaluation

# Independence

Table: Customer <sup>$\mathcal{F}$</sup>

rid	Name <sup><math>\mathcal{E}</math></sup>	Country <sup><math>\mathcal{F}</math></sup>
$c_1$	Alice <sup><math>\mathcal{E}</math></sup>	US <sup><math>\mathcal{E}</math></sup>
$c_2$	Bob <sup><math>\mathcal{E}</math></sup>	US <sup><math>\mathcal{E}</math></sup>
$c_3$	Alice <sup><math>\mathcal{E}</math></sup>	China <sup><math>\mathcal{E}</math></sup>

Table: Supplier <sup>$\mathcal{F}$</sup>

rid	Name <sup><math>\mathcal{F}</math></sup>	Country <sup><math>\mathcal{F}</math></sup>
$s_1$	Li-Ning <sup><math>\mathcal{E}</math></sup>	China <sup><math>\mathcal{E}</math></sup>
$s_2$	Nike <sup><math>\mathcal{E}</math></sup>	US <sup><math>\mathcal{E}</math></sup>

# Independence

Table: Customer $^{\mathcal{F}}$

rid	Name $^{\mathcal{F}}$	Country $^{\mathcal{F}}$
$c_1$	Alice $^{\mathcal{E}}$	US $^{\mathcal{E}}$
$c_2$	Bob $^{\mathcal{E}}$	US $^{\mathcal{E}}$
$c_3$	Alice $^{\mathcal{E}}$	China $^{\mathcal{E}}$

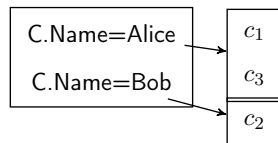
Table: Supplier $^{\mathcal{F}}$

rid	Name $^{\mathcal{F}}$	Country $^{\mathcal{F}}$
$s_1$	Li-Ning $^{\mathcal{E}}$	China $^{\mathcal{E}}$
$s_2$	Nike $^{\mathcal{E}}$	US $^{\mathcal{E}}$

Table: EMM $_{\sigma}$

label	value
$\mathcal{F}(\text{trpd}_1(\text{C.Name=Alice}'), 1)$	$\mathcal{E}((\text{trpd}_2(\text{C.Name=Alice}')), c_1)$
$f(\text{C.Name=Bob}, 1)$	$e(\text{C.Name=Bob}, c_2)$
$f(\text{C.Name=Alice}, 2)$	$e(\text{C.Name=AliceS}, c_3)$

Figure: MM $_{\sigma}$



# Independence

Table: Customer<sup>F</sup>

rid	Name <sup>F</sup>	Country <sup>F</sup>
$c_1$	Alice <sup>E</sup>	US <sup>E</sup>
$c_2$	Bob <sup>E</sup>	US <sup>E</sup>
$c_3$	Alice <sup>E</sup>	China <sup>E</sup>

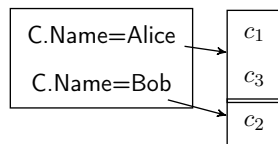
Table: Supplier<sup>F</sup>

rid	Name <sup>F</sup>	Country <sup>F</sup>
$s_1$	Li-Ning <sup>E</sup>	China <sup>E</sup>
$s_2$	Nike <sup>E</sup>	US <sup>E</sup>

Table: EMM<sub>σ</sub>

label	value
$\mathcal{F}(\text{trpd}_1(\text{C.Name=Alice}'), 1)$	$\mathcal{E}((\text{trpd}_2(\text{C.Name=Alice}')), c_1)$
$f(\text{C.Name=Bob}, 1)$	$e(\text{C.Name=Bob}, c_2)$
$f(\text{C.Name=Alice}, 2)$	$e(\text{C.Name=AliceS}, c_3)$

Figure: MM<sub>σ</sub>



$$\begin{aligned}
 \bullet \quad & \pi_{\text{Country}} \sigma_{\text{Name=Alice}} \text{Customer} \equiv \\
 & \pi_{\text{Country}}^{\mathcal{F}} \underbrace{\text{Customer}^{\mathcal{F}} \bowtie_{\text{rid=value}} \text{RCTE}_{\sigma}(\text{C.Name=Alice})}_{\sigma_{\text{C.Name=Alice}}^{\mathcal{E}} \text{Customer}^{\mathcal{F}}}
 \end{aligned}$$

# Independence

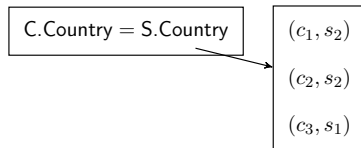
Table: Customer $\mathcal{F}$

rid	Name $\mathcal{F}$	Country $\mathcal{F}$
$c_1$	Alice $\mathcal{E}$	US $\mathcal{E}$
$c_2$	Bob $\mathcal{E}$	US $\mathcal{E}$
$c_3$	Alice $\mathcal{E}$	China $\mathcal{E}$

Table: Supplier $\mathcal{F}$

rid	Name $\mathcal{F}$	Country $\mathcal{F}$
$s_1$	Li-Ning $\mathcal{E}$	China $\mathcal{E}$
$s_2$	Nike $\mathcal{E}$	US $\mathcal{E}$

Figure:  $MM_{\bowtie}$



# Independence

Table: Customer $\mathcal{F}$

rid	Name $\mathcal{F}$	Country $\mathcal{F}$
$c_1$	Alice $\mathcal{E}$	US $\mathcal{E}$
$c_2$	Bob $\mathcal{E}$	US $\mathcal{E}$
$c_3$	Alice $\mathcal{E}$	China $\mathcal{E}$

Table: Supplier $\mathcal{F}$

rid	Name $\mathcal{F}$	Country $\mathcal{F}$
$s_1$	Li-Ning $\mathcal{E}$	China $\mathcal{E}$
$s_2$	Nike $\mathcal{E}$	US $\mathcal{E}$

Figure:  $MM_{\bowtie}$

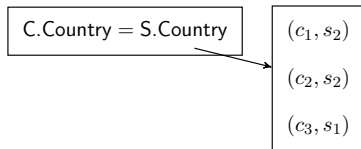


Table:  $EMM_{\bowtie}$

label	value <sub>1</sub>	value <sub>2</sub>
$f(\text{C.Country} = \text{S.Country}, 1)$	$e(\text{C.Country} = \text{S.Country}, c_1)$	$e(\text{C.Country} = \text{S.Country}, s_2)$
$f(\text{C.Country} = \text{S.Country}, 2)$	$e(\text{C.Country} = \text{S.Country}, c_2)$	$e(\text{C.Country} = \text{S.Country}, s_2)$
$f(\text{C.Country} = \text{S.Country}, 3)$	$e(\text{C.Country} = \text{S.Country}, c_3)$	$e(\text{C.Country} = \text{S.Country}, s_1)$

# Independence

Table: Customer $\mathcal{F}$

rid	Name $\mathcal{F}$	Country $\mathcal{F}$
$c_1$	Alice $\mathcal{E}$	US $\mathcal{E}$
$c_2$	Bob $\mathcal{E}$	US $\mathcal{E}$
$c_3$	Alice $\mathcal{E}$	China $\mathcal{E}$

Table: Supplier $\mathcal{F}$

rid	Name $\mathcal{F}$	Country $\mathcal{F}$
$s_1$	Li-Ning $\mathcal{E}$	China $\mathcal{E}$
$s_2$	Nike $\mathcal{E}$	US $\mathcal{E}$

Figure:  $MM_{\bowtie}$

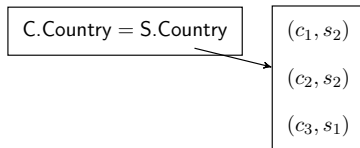


Table:  $EMM_{\bowtie}$

label	value <sub>1</sub>	value <sub>2</sub>
$f(C.Country = S.Country, 1)$	$e(C.Country = S.Country, c_1)$	$e(C.Country = S.Country, s_2)$
$f(C.Country = S.Country, 2)$	$e(C.Country = S.Country, c_2)$	$e(C.Country = S.Country, s_2)$
$f(C.Country = S.Country, 3)$	$e(C.Country = S.Country, c_3)$	$e(C.Country = S.Country, s_1)$

- Customer  $\bowtie_{C.Country=S.Country}$  Supplier  $\equiv$   
 $\underbrace{RCTE_{\bowtie}(C.Country=S.Country) \bowtie_{value_1=rid} C \bowtie_{value_2=rid} S}_{C^{\mathcal{E}} \bowtie_{C.Country=S.Country}^{\mathcal{E}} S^{\mathcal{E}}}$



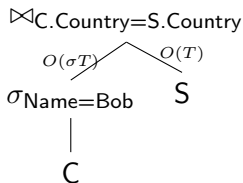
# Independence

Table: Customer <sup>$\mathcal{F}$</sup>

rid	Name <sup><math>\mathcal{F}</math></sup>	Country <sup><math>\mathcal{F}</math></sup>
$c_1$	Alice <sup><math>\mathcal{E}</math></sup>	US <sup><math>\mathcal{E}</math></sup>
$c_2$	Bob <sup><math>\mathcal{E}</math></sup>	US <sup><math>\mathcal{E}</math></sup>
$c_3$	Alice <sup><math>\mathcal{E}</math></sup>	China <sup><math>\mathcal{E}</math></sup>

Table: Supplier <sup>$\mathcal{F}$</sup>

rid	Name <sup><math>\mathcal{F}</math></sup>	Country <sup><math>\mathcal{F}</math></sup>
$s_1$	Li-Ning <sup><math>\mathcal{E}</math></sup>	China <sup><math>\mathcal{E}</math></sup>
$s_2$	Nike <sup><math>\mathcal{E}</math></sup>	US <sup><math>\mathcal{E}</math></sup>



Hints from thought experiment:

- On efficiency:  $O(\sigma T^2)$  where  $\sigma$  is the selectivity
- On optimal leakage: only the encrypted result set

# Independence

Figure:  $MM_\sigma$

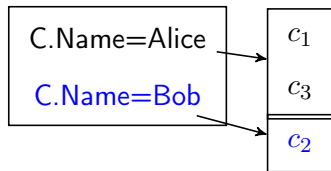
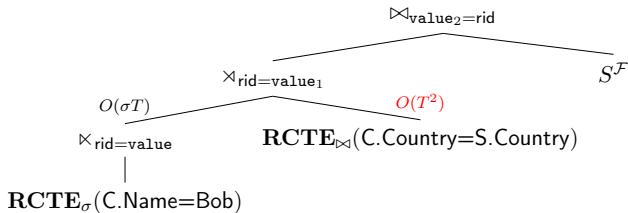
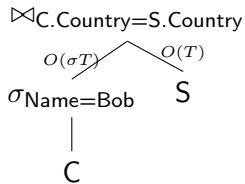


Figure:  $MM_{\bowtie}$



# Independence

Table: Customer <sup>$\mathcal{F}$</sup>

rid	Name <sup><math>\mathcal{F}</math></sup>	Country <sup><math>\mathcal{F}</math></sup>
$c_1$	Alice <sup><math>\mathcal{E}</math></sup>	US <sup><math>\mathcal{E}</math></sup>
$c_2$	Bob <sup><math>\mathcal{E}</math></sup>	US <sup><math>\mathcal{E}</math></sup>
$c_3$	Alice <sup><math>\mathcal{E}</math></sup>	China <sup><math>\mathcal{E}</math></sup>

Table: Supplier <sup>$\mathcal{F}$</sup>

rid	Name <sup><math>\mathcal{F}</math></sup>	Country <sup><math>\mathcal{F}</math></sup>
$s_1$	Li-Ning <sup><math>\mathcal{E}</math></sup>	China <sup><math>\mathcal{E}</math></sup>
$s_2$	Nike <sup><math>\mathcal{E}</math></sup>	US <sup><math>\mathcal{E}</math></sup>

- Also similar issue with compound formula, such as  
 $C.Name = Alice \wedge C.Country = US$  for filters, or  
 $C.Country = S.Country \wedge C.Name = S.Name$ .

## Suboptimality

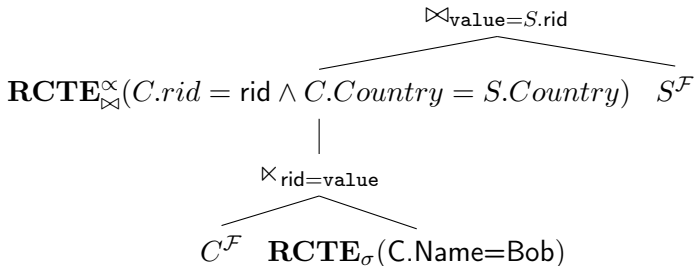
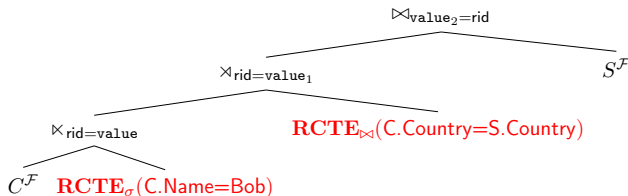
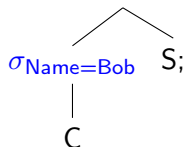
Independent operators are suboptimal in both efficiency and leakage for correlated filter and join predicates.

- 4 Emulation
  - Map
  - Multi-Map
  - Encrypted Multi-Map
- 5 Encrypted SQL
  - Independence
  - **Dependence**
  - Normal Form
- 6 Other Topics
- 7 System & Evaluation

# Dependence

- Introduce dependence between encrypted operators

$\bowtie C.Country = S.Country$



# Dependence

Figure:  $MM_{\sigma}$

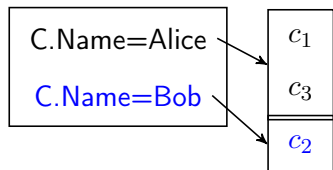


Figure:  $MM_{\bowtie}^{\infty}$

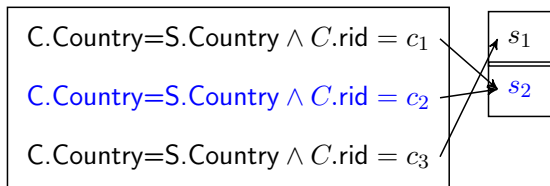


Figure:  $MM_\sigma$

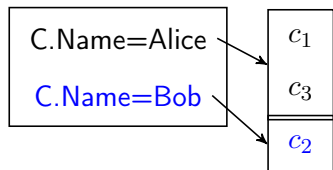
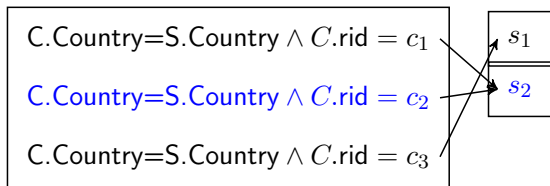


Figure:  $MM_\bowtie^\infty$



- Problem: Client has to keep  $O(T)$  trapdoors for  $MM_\bowtie^\infty$ , and interaction
- Solution:
  - Client: master trapdoor  $\text{trpd}_\bowtie = \mathcal{F}(k, \text{C.Country}=\text{S.Country})$
  - Server: derive  $O(T)$  trapdoors for each  $c_i$  as  $\text{trpd}_j = \mathcal{F}(\text{trpd}_\bowtie, c_i || j)$  for  $j = 1, 2$

- 4 Emulation
  - Map
  - Multi-Map
  - Encrypted Multi-Map
- 5 Encrypted SQL
  - Independence
  - Dependence
  - Normal Form
- 6 Other Topics
- 7 System & Evaluation



# Normal Form

Figure: General Multi-Map

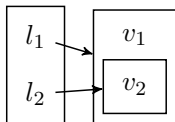
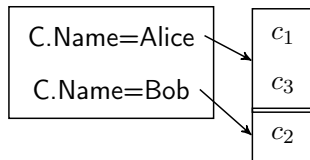


Figure:  $MM_\sigma$



# Normal Form

Figure: General Multi-Map

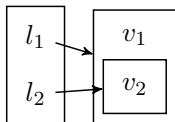
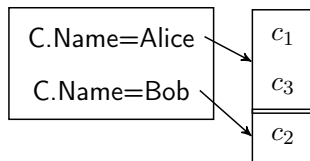


Figure:  $MM_\sigma$



## Multi-Map Range Partition under 1NF

The range of  $MM_\sigma$  *always* forms a partition in the space of  $C^{\mathcal{F}}.\text{rid} = \{c_i\}_{i=1}^T$ , because of 1st normal form

- $C.\text{Name}$  is an elementary set
- $C^{\mathcal{F}}.\text{rid} = \{c_i\}_{i=1}^T$  is a candidate key  $\rightarrow$  uniquely identifies a row

By contrast

- The general multi-map may have overlapping value sets in its range
- EMM suitable for document keyword model, but overkill relational model?

# Normal Form

Figure: Many-to-Many Join

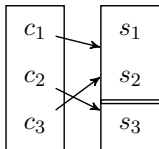


Figure: 1-to-Many Join

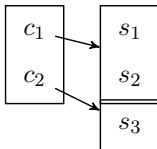
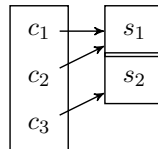


Figure: Many-to-1 Join



## Multi-Map Range Partition for Joins

The range partition generalize to  $MM_{\bowtie}^{\infty}$  too.

# Normal Form

Figure: Many-to-Many Join

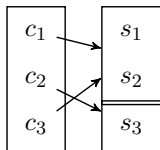


Figure: 1-to-Many Join

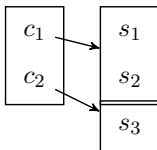
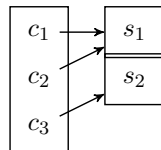


Figure: Many-to-1 Join



## Multi-Map Range Partition for Joins

The range partition generalize to  $\text{MM}_{\bowtie}^{\infty}$  too.

3NF has only three key joins:

- Foreign-to-foreign key join  $\in$  many-to-many join
- Primary-to-foreign key join  $\in$  1-to-many join
- Foreign-to-primary key join  $\in$  many-to-one join

## Worst-case Optimal Space for Joins

The worst-case optimal space for joins in a 3NF data model is  $O(T)$ .

Adapt EMM for 1/3NF for efficiency/security?

Adapt EMM for 1/3NF for efficiency/security?

## Semi-Encrypted Multi-Map

The semi-encrypted multi-map is  $\Pi_{\text{bas}}$  with its values in clear. Formally it is a transformation of MM

- Client:  $\mathcal{F}(k, \cdot)$ ,  $\text{trpd}_j(l') = \mathcal{F}(k, l' || j)$
- Server:  $\mathcal{F}_s(\cdot, i)$

Figure: Encrypted Multi-Map

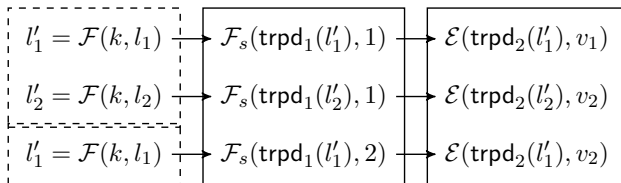
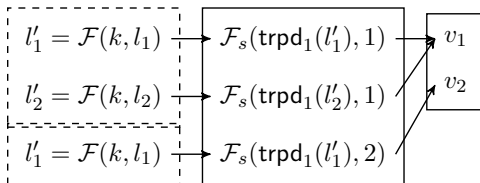


Figure: Semi-Encrypted Multi-Map



Leaks “co-occurrence” pattern. Insecure for document keyword model.

# Normal Form

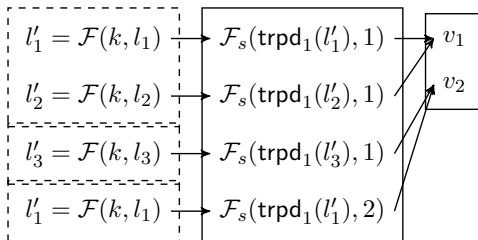
## Security of SEMM under 1NF

The SEMM under 1NF leaks only dimensions of table (trivial co-occurrence). SEMM achieves the same security as  $\Pi_{\text{bas}}$  for range as row ids.

Proof sketch:

- Co-occurrence is the same for every row. Each  $v_i$  uniquely identifies a row. So the range size is  $\#$  rows. Number of in-edges for each row id  $v_i$  is always equal to  $\#$  attributes. All rows have the same  $\#$  attributes.

Figure: SEMM is Secure under 1NF





## Implications

- ① Can divide the SEMM and collocate with tables such that  $SEMM.value = rid$ .
- ② Share the cleartext  $SEMM.value$  for all SEMMs for the same table to reduce SEMM size.
- ③ Pre-indexing on  $SEMM.value$  to reduce computation time.

## Implications

- ① Can divide the SEMM and collocate with tables such that  $SEMM.value = rid$ .
- ② Share the cleartext  $SEMM.value$  for all SEMMs for the same table to reduce SEMM size.
- ③ Pre-indexing on  $SEMM.value$  to reduce computation time.

Achieve worst-case optimal space for joins

- ① SEMM only index many-to-1 or 1-to-many joins.
- ② Worst-case optimal space for joins: avoid storing many-to-many joins in SEMM, but factor them into two many-to-1 or 1-to-many joins.

## 4 Emulation

- Map
- Multi-Map
- Encrypted Multi-Map

## 5 Encrypted SQL

- Independence
- Dependence
- Normal Form

## 6 Other Topics

## 7 System & Evaluation

- ① Worst-case optimal joins (efficiency). Idea from [7]
- ② Range queries [10]
- ③ Updates with minimum interactions [12]
- ④ Consolidate the framework/proofs in this thesis
- ⑤ Query optimization
- ⑥ Security against malicious server

## 4 Emulation

- Map
- Multi-Map
- Encrypted Multi-Map

## 5 Encrypted SQL

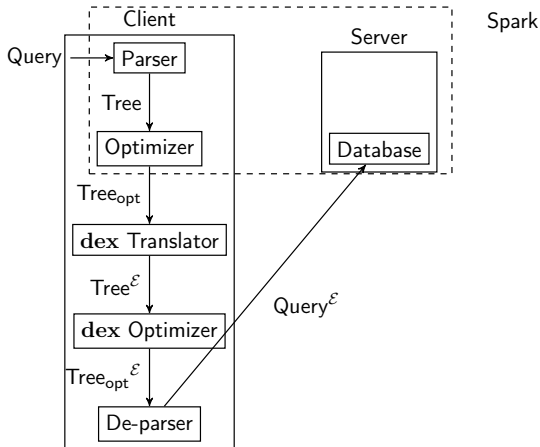
- Independence
- Dependence
- Normal Form

## 6 Other Topics

## 7 System & Evaluation

- Prototype system is open-source [15]
- Based on the algebraic core of Apache Spark SQL [9], interface with any database endpoints e.g. PostgreSQL [13]
- Parallel database encryption
- Fusion of plaintext and encrypted operators.

Figure: The dex encrypted relational database.



# System & Evaluation

TPC-H Benchmark [5] on the dependence scheme (without normal form).

dex-cor	mean(ms)(m44xlarge)	rel.err.	slowdown vs. Postgres	slowdown vs. Postgres(t22xlarge)
q1	2149.5	1.38%	1.4	1.0
q10	217837.6	0.16%	115.0	32.7
q11	993.3	2.15%	13.8	5.4
q12	38976.8	0.25%	32.7	26.9
q13	61460.2	0.27%	84.0	64.9
q14	110169.4	0.15%	40.5	29.7
q15	100299.6	0.29%	41.0	33.3
q16	478.2	1.99%	3.0	2.6
q17	437.2	2.29%	6.0	4.0
q18	280982.8	0.28%	66.6	50.0
q19	31976.2	0.36%	373.1	324.3
q2	2445.4	0.52%	15.8	12.3
q20	27546.1	0.51%	284.6	35.7
q21	447845.6	0.22%	441.9	354.6
q22	55829.3	0.36%	134.1	108.7
q3	40152.1	0.38%	22.8	17.2
q4	119831.3	0.20%	30.6	23.1
q5	4645337.0	0.62%	2231.8	1875.5
q7	47910.1	0.30%	131.8	81.6
q8	117817.6	0.44%	57.4	38.8
q9a	464302.6	0.20%	10.8	12.3
q9b	356243.1	0.32%	8.3	9.4

table name	row est.	attrs	page est.	total(bytes)	index(bytes)	table(bytes)
region	5	4(3)	1	32 k (64 k)	16 k(48 k)	8192
orders	$1.5e + 06$	10(9)	71484(26405)	604 m(603 m)	45 m(396 m)	559 m(206 m)
supplier	10000	8(7)	447(226)	3936 k(4680 k)	328 k(2840 k)	3600 k(1832 k)
customer	150000	9(8)	7620(3758)	64 m(75 m)	4640 k(46 m)	60 m (29m)
partsupp	800000	7(5)	35580(18242)	302 m(337 m)	24 m(194 m)	278 m (143 m)
nation	25	5(4)	1	32 k(80 k)	16 k(64 k)	8192
lineitem	$6.00139e + 06$	19(16)	500251(117594)	4090 m(3338 m)	181 m(2419 m)	3909 m(919 m)
part	200000	10(9)	9656(3832)	82 m(85 m)	6184 k(55 m)	75 m(30 m)
$T_{\sigma}^{\perp}$	$8.74646e + 07$	2	1079906	13 G	4926 m	8439 m
$T_{\bowtie}^{\infty}$	$5.45298e + 07$	2	673291	8332 m	3071 m	5261 m

**Table:** TPC-H benchmark **dex-cor** versus plaintext Postgres storage size. The plaintext Postgres storage size is shown in parenthesis. TPC-H scale factor is 1.



1. Fraïssé, R. *Sur quelques classifications des systemes de relations*. PhD thesis (1955).
2. Ehrenfeucht, A. An application of games to the completeness problem for formalized theories. *Fund. Math* **49**, 13 (1961).
3. Eisenberg, A. & Melton, J. SQL: 1999, formerly known as SQL3. *ACM Sigmod record* **28**, 131–138 (1999).
4. Bellare, M. & Rogaway, P. *The security of triple encryption and a framework for code-based game-playing proofs*. in *Annual International Conference on the Theory and Applications of Cryptographic Techniques* (2006), 409–426.
5. Council, T. P. P. TPC-H benchmark specification. *Published at* <http://www.tcp.org/hspec.html> **21**, 592–603 (2008).

6. Popa, R. A., Redfield, C., Zeldovich, N. & Balakrishnan, H. *CryptDB: protecting confidentiality with encrypted query processing.* in *Proceedings of the Twenty-Third ACM Symposium on Operating Systems Principles* (2011), 85–100.
7. Cash, D. *et al.* *Highly-scalable searchable symmetric encryption with support for boolean queries.* in *Annual Cryptology Conference* (2013), 353–373.
8. Cash, D. *et al.* *Dynamic searchable encryption in very-large databases: data structures and implementation..* in *NDSS 14* (2014), 23–26.
9. Armbrust, M. *et al.* *Spark sql: Relational data processing in spark.* in *Proceedings of the 2015 ACM SIGMOD international conference on management of data* (2015), 1383–1394.

10. Faber, S. et al. *Rich queries on encrypted data: Beyond exact matches.* in *European Symposium on Research in Computer Security* (2015), 123–145.
11. Degitz, A., Köhler, J. & Hartenstein, H. *Access Pattern Confidentiality-Preserving Relational Databases: Deployment Concept and Efficiency Evaluation..* in *EDBT/ICDT Workshops* (2016).
12. Kamara, S. & Moataz, T. *SQL on structurally-encrypted databases.* in *International Conference on the Theory and Application of Cryptology and Information Security* (2018), 149–180.
13. Group, T. P. G. D. *PostgreSQL.* <https://www.postgresql.org/>. 2019.

14. Tan, B. H. M., Lee, H. T., Wang, H., Ren, S. Q. & Aung, K. M. M. Efficient Private Comparison Queries over Encrypted Databases using Fully Homomorphic Encryption with Finite Fields. *IACR Cryptology ePrint Archive* 2019, 332 (2019).
15. Zhao, Z. *encrypted-spark*.  
<https://github.com/zheguang/encrypted-spark/tree/dex>.  
2019.