

Round5: a KEX based on learning with rounding over the rings

Zhenfei Zhang



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Areas I have been working on

- Theoretical results
 - Signature schemes: Falcon (NTRUSign+GPV), pqNTRUSign
 - Security proofs: Computational R-LWR problem
 - Fully homomorphic encryptions
 - Raptor: lattice based linkable ring signature (Blockchains!)
- Practical instantiations
 - NTRU, Round5
 - Cryptanalysis and parameter derivation for lattices
 - Efficient implementations: AVX-2
 - Constant time implementations
- Standardization: NIST, IETF, ETSI, ISO, CACR PQC process
- Deployment: enabling PQC for TLS, Tor, libgcrypt
- Under the radar: lattice based DAA, NIZK

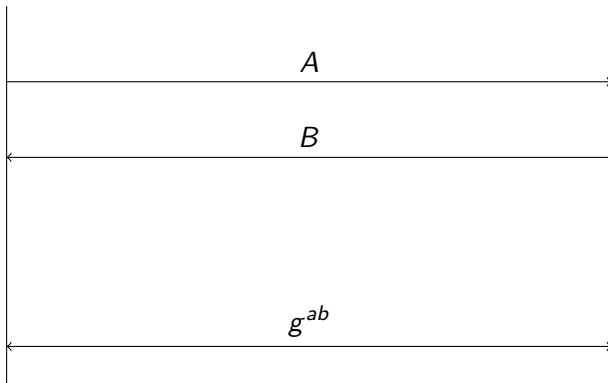
This talk

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Diffie-Hellman

Alice: $(a, A = g^a)$

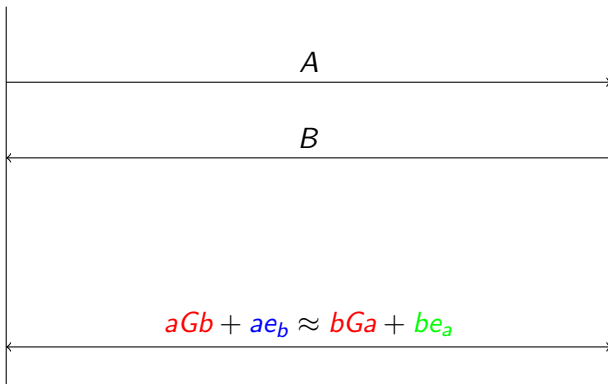
Bob: $(b, B = g^b)$



- A, B and g^{ab} are group elements over \mathbb{Z}_q^* .

Alice: $(a, A = Ga + e_a)$

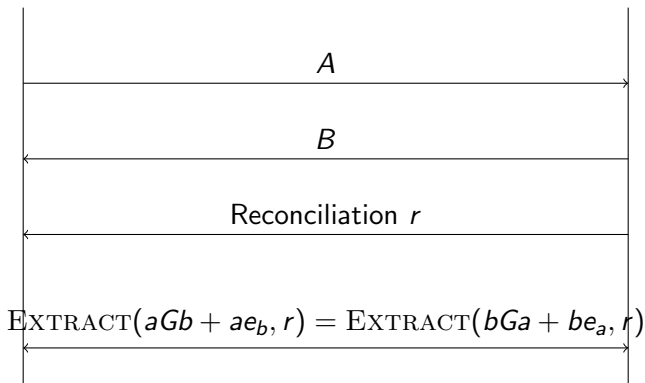
Bob: $(b, B = Gb + e_b)$



- Every element is a ring element over $\mathcal{R} := \mathbb{Z}_q[x]/f(x)$.

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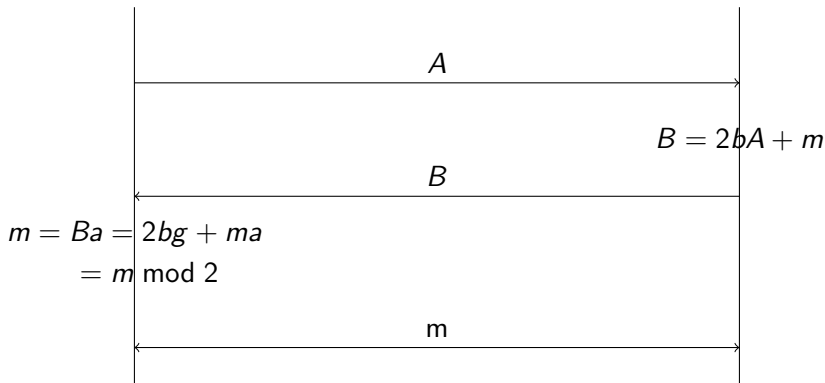
Bob: $(b, B = Gb + e_b)$



- Every element is a ring element over $\mathcal{R} := \mathbb{Z}_q[x]/f(x)$.

Alice: $(a = 2t + 1, A = 2g/a)$

Bob: (b, m)



- Every element is a ring element over $\mathcal{R} := \mathbb{Z}_q[x]/(x^N - 1)$.

NTRU-KEM vs RLWE-KEX

	NTRU	R-LWE
Ring	$\mathbb{Z}_q[x]/(x^N - 1)$	$\mathbb{Z}_q[x]/(x^N + 1)$
Provable security	No	Yes
Secrets	Trinary: $\{-1, 0, 1\}^{\dim}$	Gaussian: $\chi_{\sqrt{q}}^{\dim}$
Errors	Rounded, binary	Gaussian: $\chi_{\sqrt{q}}^{\dim}$
Trapdoor	Yes	No
KeyGen	Slow	Fast
CT size	Small	Large

Major concerns on NTRU

- No provable security
- Slow key generation c.f. New Hope



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- RLWE is hard for any ring of integers [PRS17]

NTRU-KEM vs RLWE-KEX

	NTRU	R-LWE
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NTRU-KEM vs RLWE-KEX

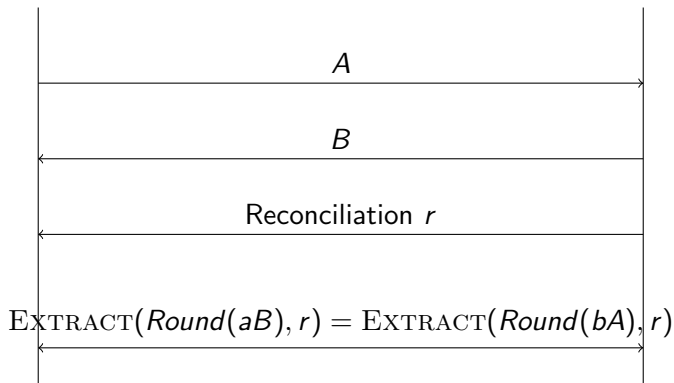
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- RLWE is hard for any ring of integers [PRS17]
- Hardness of dec R-LWR is an open problem (more on this later)

The new *NTRU*, a.k.a. R-LWR-KEX

Alice: $(a, A = \text{Round}(Ga))$

Bob: $(b, B = \text{Round}(Gb))$



- a, b are ring elements over $\mathcal{R} := \mathbb{Z}_q[x]/f(x)$;
- A, B are rounded over $\mathbb{Z}_p[x]$.

Improvements


- Prime cyclotomic ring, i.e., $\phi_{743}(x) = (x^{743} - 1)/(x - 1)$
- Rounding instead of errors

“Disadvantages”

- Parameters not compatible with number theoretic transform (NTT)
- Noise dependency

Prime Cyclotomic ring

- PC ring as secure as power-of-2 cyclotomics;
 - i.e., $\phi_{2048}(x) = x^{1024} + 1$;
- Degree ≈ 700 offers enough security against BKZ attacks with quantum sieving;
- NewHope - has to be 512, 1024, etc.;
- Kyber - a multiple of 256;
- PC - any prime > 700 ;
 - Also used in LIMA, NTRU-KEM, etc.



ONE
RING
TO
RULE
THEM
ALL

Improvements II - Rounding

Rounding

- Less randomness sampling - e_a and e_b ;
- Ciphertext reduced to $n \log p$, c.f. $n \log q$;
- Small enough to be in an MTU for TLS;
- Introduces new assumptions.

“Disadvantages” I - Ring multiplications

Rule of Thumb

School book \gg Karatsuba/Toom-Cook \gtrsim NTT $>$ Index based

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Rule of Thumb

School book \gg Karatsuba/Toom-Cook \gtrsim NTT $>$ Index based

Karatsuba and Toom-Cook

- Divide and Conquer;
- Parameter dependent optimizations;
 - Improving NTRU-743 reference implementation by 2.3x;
- Constant time; strong side channel resistance;
- Slightly slower than NTT for similar N .

“Disadvantages” I - Ring multiplications

Rule of Thumb

School book \gg Karatsuba/Toom-Cook \gtrapprox NTT $>$ Index based

Index based

- Super friendly with a trinary polynomial;
- Even faster than NTT;
- Constant time iff $\text{HAM}(a)$ and $\text{HAM}(b)$ are constant;
- Memory leakage.

“Disadvantages” II - Noise management

Rational: Use ECC to control errors

- Consider $c(x) = a(x)b(x) \bmod x^{N-1} + x^{N-2} + \dots + 1$
- Let $c'(x) = a(x)b(x) \bmod (x^N - 1)$, then $c'(x) = c(x) \bmod \phi_N(x)$
- $\Rightarrow c_i = c'_i - c'_N$
 - Noise, i.e., $\|x_{ey}\|_\infty$ is “doubled”;
 - Every coefficient is “lifted” by c'_N - creates dependency;
- ECC doesn't work on dependent errors.

“Disadvantages” II - Noise management

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- ECC doesn't work on dependent errors.

Solution - ring switching

- multiply over $\phi_N(x)$, lift the final results to $x^N - 1$ ring;
- $c'(x) = c(x)(x - 1)$
- Only use the coefficients of $1, x^2, x^4, x^6, \dots$
- Security? $\mathbb{Z}[x]/\phi_N(x) \cong \mathbb{Z}[x]/(x^N - 1) \cap \{\text{Poly with root 1}\}$

The team

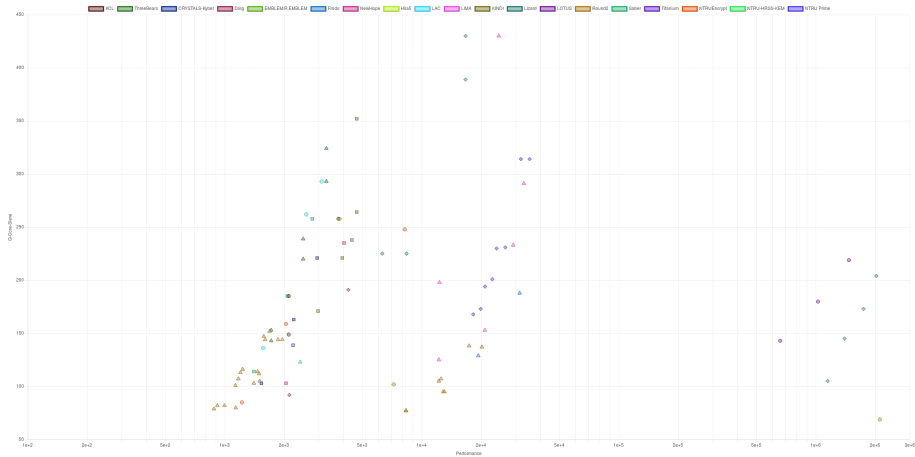
- Philips: Hayo Baan, Sauvik Bhattacharya, Oscar Garcia-Morchon, Ronald Riemann, Ludo Tolhuizen, Jose Luis Torre Arce
- OnBoard Security: Zhenfei Zhang

Round5 = Round2 + HILA5's ECC

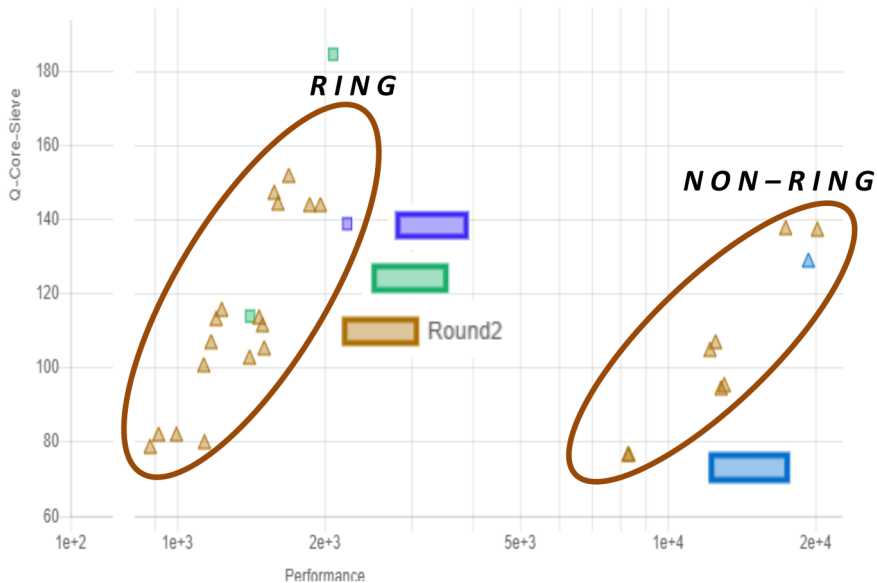
The team

- Philips: Hayo Baan, Sauvik Bhattacharya, Oscar Garcia-Morchon, Ronald Riemann, Ludo Tolhuizen, Jose Luis Torre Arce
- Cisco: Scott Fluhrer
- Rambus: Mike Hamburg
- TU/e: Thijs Laarhoven
- PQShield: Markku-Juhani Olavi Saarinen
- OnBoard Security: Zhenfei Zhang

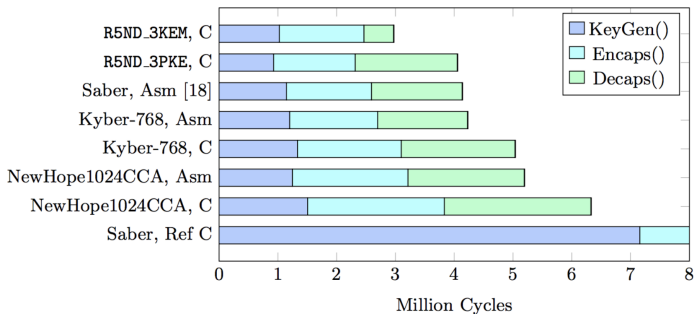
Performance



Performance



Performance



Deployment: TLS



* message is not sent under some conditions
+ message is not sent unless client authentication
is desired

Deployment: Hybrid solutions

INTERNET-DRAFT

Intended Status: Experimental

Expires: 2017-XX-YY

W. Whyte

Security Innovation

Z. Zhang

Security Innovation

S. Fluhrer

Cisco Systems

O. Garcia-Morchon

Philips

2017-03-31

Quantum-Safe Hybrid (QSH) Key Exchange
for Transport Layer Security (TLS) version 1.3
[draft-whyte-qsh-tls13-04.txt](#)

Client

Server

ClientHelloExtensions

+ qshDataExtension

(QSHPKList)

+ qshNegotiateExtension

(QSHSchemeIDList)

----->

HelloRetryRequestExtensions

+ qshNegotiateExtension

<----- (AcceptQSHSchemeIDList)

ClientHelloExtensions

+ qshDataExtension

(QSHPKList)

----->

EncryptedExtensions*

+ qshDataExtension

(QSHCipherList)

{Finished}

<-----

{Finished}

----->

ClassicSecret|QSHSecret

<-----> ClassicSecret|QSHSecret

* previously known as ServerKeyShareExtensions

Round5

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**ARE WE
DONE
YET?**

Another look at the security

- Round5 \Rightarrow Dec R-LWR over PC
- \Rightarrow Search R-LWE over PC
- \Rightarrow Search R-LWE over any ring
- \Rightarrow BDD over Ideal Lattices

Another look at the security

Round5 \Rightarrow Dec R-LWR over PC

\Rightarrow Search R-LWE over PC

\Rightarrow Search R-LWE over any ring

\Rightarrow BDD over Ideal Lattices

What about the hardness of Dec R-LWR?

Dec R-LWE as hard as search R-LWE

- Given $(a, b = as + e) \in \mathcal{R}^2$;
- Increase the first coefficient of b gradually and call Dec R-LWE oracle;
- Oracle will keep returning true till overflow - this tells us e_0 ;
- Repeat for all coefficients to learn e ;
- Extract s from a noisy free sample $b' = as$.

What about the hardness of Dec R-LWR?

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- Increase the first coefficient of b gradually and call Dec R-LWE oracle;
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Dec R-LWR?

- We can't modify e - it is deterministic.

Intuition

Search Problem \geq Computational Problem \geq Decisional Problem

- Computational Diffie-Hellman: given $\{g, g^x, g^y\}$, find g^{xy} ;
- Similarly, given $\{a, \text{Round}(as_1), \text{Round}(as_2)\}$, find $\text{Round}(as_1s_2)$;
- This is the underlying problem for R-LWR-KEX
 - Dec R-LWR problem isn't essential.

The whole picture

Computational R-LWR ($a, b = \text{Round}(as)$)

\Rightarrow Computational Rounded R-LWE ($a, b = \text{Round}(as + e)$)

\Rightarrow Search R-LWE ($a, b = as + e$)

	R-LWE	R-LWR
Samples - KEYGEN	2	1
Samples - ENCRYPT	3	1
Sampler	Gaussian	Uniform & Invertible
Modulus	$\Omega(n^{5.5} \log^{0.5} n)$	$\Omega(n^{3.75} \log^{0.25} n)$

Table: Performance comparison

If I have an unlimited fund

- Lattice based zero knowledge proofs;
- Lattice based group signatures;
- Sieving algorithms.



Backup materials: Lattice basics



Figure source: Wendy Cordero's High School Math Site

Definition of a Lattice

- All the integral combinations of $d \leq n$ linearly independent vectors over \mathbb{R}

$$\mathcal{L} = \mathbb{Z} \mathbf{b}_1 + \cdots + \mathbb{Z} \mathbf{b}_d = \{\lambda_1 \mathbf{b}_1 + \cdots + \lambda_d \mathbf{b}_d : \lambda_i \in \mathbb{Z}\}$$

- d dimension.
- $\mathbf{B} = (\mathbf{b}_1, \dots, \mathbf{b}_d)$ is a *basis*.

An example

$$\mathbf{B} = \begin{pmatrix} 5 & \frac{1}{2} & \sqrt{3} \\ \frac{3}{5} & \sqrt{2} & 1 \end{pmatrix}$$

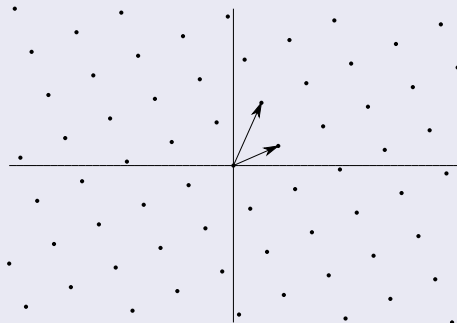
- $d = 2 \leq n = 3$
- In this talk, full rank integer Basis: $\mathbf{B} \in \mathbb{Z}^{n,n}$.

Example

A lattice \mathcal{L}

$$\mathbf{B} = \begin{pmatrix} 8 & 5 \\ 5 & 16 \end{pmatrix}$$

All lattice crypto talks start with an image of a dim-2 lattice

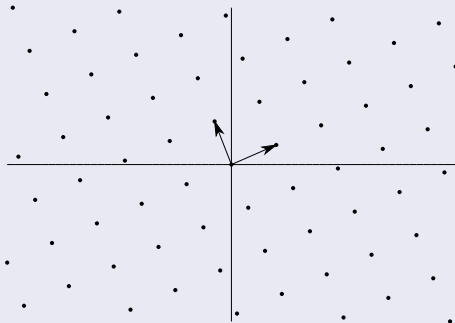


Example

A lattice \mathcal{L}

$$UB = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 8 & 5 \\ 5 & 16 \end{pmatrix} = \begin{pmatrix} 8 & 5 \\ -3 & 11 \end{pmatrix}$$

An infinity of basis

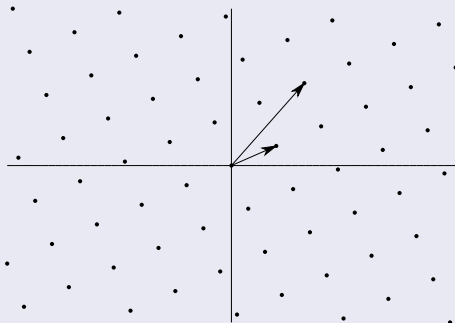


Example

A lattice \mathcal{L}

$$UB = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 8 & 5 \\ 5 & 16 \end{pmatrix} = \begin{pmatrix} 8 & 5 \\ 13 & 21 \end{pmatrix}$$

An infinity of basis

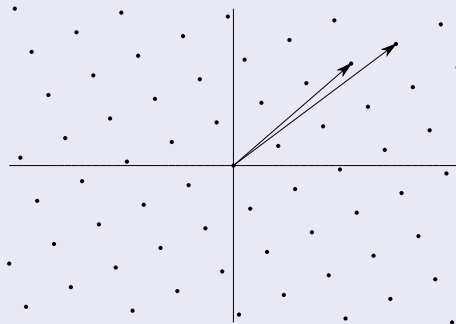


Example

A lattice \mathcal{L}

$$UB = \begin{pmatrix} 3 & 1 \\ 2 & 1 \end{pmatrix} \begin{pmatrix} 8 & 5 \\ 5 & 16 \end{pmatrix} = \begin{pmatrix} 29 & 31 \\ 21 & 26 \end{pmatrix}$$

An infinity of basis

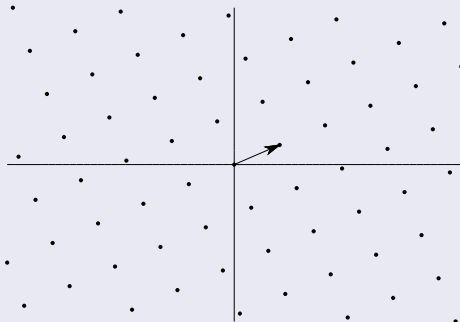


Example

The Shortest Vector and The First Minima

$$\mathbf{v} = (8 \ 5), \text{ with } \lambda_1 = \sqrt{8^2 + 5^2} = 9.434$$

The Shortest Vector

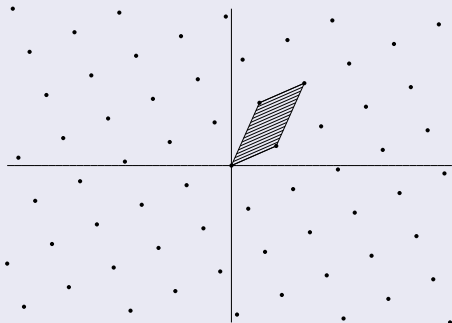


Example

The Determinant

$$\det \mathcal{L} = \sqrt{\det(\mathbf{B}\mathbf{B}^T)} = 103$$

The Fundamental Parallelepiped



NTRU ring

- Originally: $\mathbb{Z}_q[x]/(x^N - 1)$, q a power of 2, N a prime;
- Alternative 1: $\mathbb{Z}_q[x]/(x^N - x - 1)$, q a prime;
- Alternative 2: $\mathbb{Z}_q[x]/(x^N + 1)$, q a prime, N a power of 2

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Ring multiplications: $h(x) = f(x) \cdot g(x)$

- Compute $h'(x) = f(x) \times g(x)$ over $\mathbb{Z}[x]$
- Reduce $h'(x) \bmod (x^N - 1) \bmod q$

NTRU ring

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- Alternative 1: $\mathbb{Z}_q[x]/(x^N - x - 1)$, q a prime;
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Ring multiplications: $h(x) = f(x) \cdot g(x)$, alternatively

$$\langle h_0, \dots, h_{N-1} \rangle = \langle f_0, \dots, f_{N-1} \rangle \times \begin{bmatrix} g_0 & g_1 & g_2 & \dots & g_{N-1} \\ g_{N-1} & g_0 & g_1 & \dots & g_{N-2} \\ g_{N-2} & g_{N-1} & g_0 & \dots & g_{N-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ g_1 & g_2 & g_3 & \dots & g_0 \end{bmatrix} \bmod q$$

NTRU assumption

- Decisional: given two small ring elements f and g ; it is hard to distinguish $h = f/g$ from a uniformly random ring element;
- Computational: given h , find f and g .

NTRU lattice

NTRU assumption

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NTRU lattice

$$\begin{bmatrix} qI_N & 0 \\ H & I_N \end{bmatrix} := \begin{bmatrix} q & 0 & \dots & 0 & 0 & 0 & \dots & 0 \\ 0 & q & \dots & 0 & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & q & 0 & 0 & \dots & 0 \\ h_0 & h_1 & \dots & h_{N-1} & 1 & 0 & \dots & 0 \\ h_{N-1} & h_0 & \dots & h_{N-2} & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ h_1 & h_2 & \dots & h_0 & 0 & 0 & \dots & 1 \end{bmatrix}$$

NTRU lattice

NTRU assumption

- Decisional: given two small ring elements f and g ; it is hard to distinguish $h = f/g$ from a uniformly random ring element;
- Computational: given h , find f and g .

$$\text{NTRU lattice } \mathcal{L} = \begin{bmatrix} qI_N & 0 \\ H & I_N \end{bmatrix}$$

- $\langle g, f \rangle$ (and its cyclic rotations) are unique shortest vectors in \mathcal{L} ;
- Decisional problem: decide if \mathcal{L} has unique shortest vectors;
- Computational problem: find those vectors.
- Both are hard for random lattices.

NTRU lattice

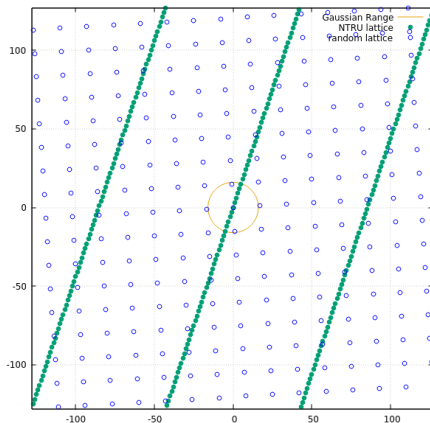
The real NTRU assumption

- NTRU lattice behaves the same as random lattices.

$$\text{NTRU lattice } \mathcal{L} = \begin{bmatrix} qI_N & 0 \\ H & I_N \end{bmatrix}$$

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NTRU lattice vs random lattice



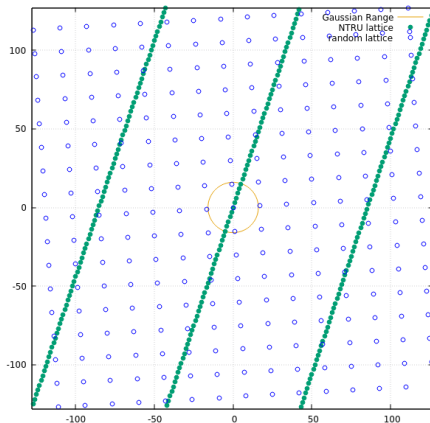
$$\begin{pmatrix} 256 & 0 \\ 172 & 1 \end{pmatrix}$$

$$(g, f) = (1, 3)$$

$$\begin{pmatrix} 256 & 0 \\ 17 & 1 \end{pmatrix}$$

$$v = (17, 1)$$

NTRU lattice vs random lattice



- Random lattice, $SV \approx \text{Gaussian Heuristic length} = \sqrt{\frac{\dim}{2\pi e}} \det^{\frac{1}{\dim}}$
- NTRU lattice, unique shortest vectors $= \|g, f\|_2$

Lattice signatures

GGHSign NTRUSign	hash-then-sign hash-then-sign	generic lattice NTRU lattice
Fiat Shamir with abort GPV	FS, Rejection sampling hash-then-sign	generic lattice generic lattice
BLISS Dilithium Falcon pqNTRUSign	FS, Rejection sampling FS, Rejection sampling hash-then-sign HTS, Rejection sampling	NTRU lattice generic lattice NTRU lattice NTRU lattice

GGHSign

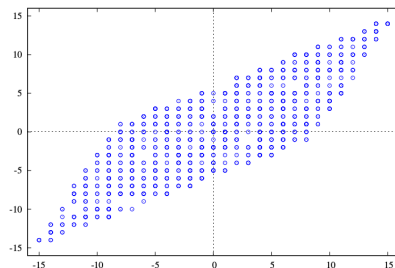
- Signing key: a good basis B
- Verification key a bad basis H
- Sign
 - Hash message to a vector \mathbf{v}
 - Use B to find the closest vector \mathbf{c} (Babai's algorithm)
- Verification
 - Check $\text{Dist}(\mathbf{v} - \mathbf{c})$ is small

NTRUSign

- Good basis: (g, f)
- Bad basis: h

Transcript security

- Breaks GGHSign, NTRUSign;
- Each signature is a vector close to the lattice (info leakage);
- Recover enough of distance vectors (blue dots) gives away a good basis of the lattice;
- Seal the leakage with rejection sampling.



GPV sampler: a randomized Babai function

How it works

- A trapdoored lattice \mathcal{L} , i.e.

$$\mathcal{L}_A^\perp := \{v : Av = 0 \bmod q\}, \quad \mathcal{L}_h := \{(u, v) : uh = v \bmod q\}$$

- A trapdoor S , or (g, f) , and a smooth parameter $\eta_\epsilon(\mathcal{L})$
- A target lattice point \mathbf{v}
- Outputs another vector \mathbf{s} , s.t.
 - \mathbf{s} is uniform over \mathcal{L}
 - $\text{dist}(\mathbf{s}, \mathbf{v})$ Gaussian over \mathbb{Z}^n

Bottle neck: trapdoor generation

- Bonsai Tree, Gadget matrix, NTRU lattices ...



- Falcon = GPV + NTRUSign + more ticks

Falcon

Pierre-Alain Fouque¹ Jeffrey Hoffstein² Paul Kirchner¹ Vadim Lyubashevsky³ Thomas Pornin⁴
Thomas Prest⁵ Thomas Ricosset⁵ Gregor Seiler³ William Whyte⁶ Zhenfei Zhang⁶



BROWN



THALES



Falcon in a Nutshell

We work over the cyclotomic ring $\mathcal{R} = \mathbb{Z}_q[x]/(x^n + 1)$.

⇒ **Keygen()**

- 1 Generate matrices **A**, **B** with coefficients in \mathcal{R} such that
 - $\mathbf{BA} = 0$
 - **B** has small coefficients
- 2 $\mathbf{pk} \leftarrow \mathbf{A}$
- 3 $\mathbf{sk} \leftarrow \mathbf{B}$

⇒ **Sign(m,sk)**

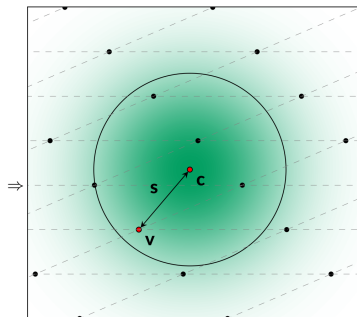
- 1 Compute **c** such that $\mathbf{cA} = H(m)$
- 2 $\mathbf{v} \leftarrow$ "a vector in the lattice $\Lambda(\mathbf{B})$, close to **c**"
- 3 $\mathbf{s} \leftarrow \mathbf{c} - \mathbf{v}$

The signature sig is $\mathbf{s} = (s_1, s_2)$

⇒ **Verify(m,pk sig)**

Accept iff:

- 1 **s** is short
- 2 $\mathbf{sA} = H(m)$



Performance comparison

	A	B	I	J	K	L
1		Category	sk	pk	bytes	
2	Dilithium_medium	Lattices	2,800	1,184	2,044	
3	Dilithium_recommended	Lattices	3,504	1,472	2,701	
4	Dilithium_very_high	Lattices	3,856	1,760	3,366	
5						
6	falcon1024	Lattices	8,193	1,793	1,330	
7	falcon512	Lattices	4,097	897	690	
8	falcon768	Lattices	6,145	1,441	1,077	
9						
10	qTesla_128	Lattices	2,112	4,128	3,104	
11	qTesla_192	Lattices	8,256	8,224	6,176	
12	qTesla_256	Lattices	8,256	8,224	6,176	
13						
14	Gaussian-1024	Lattices	2,604	2,065	2,065	
15						
16						

Raptor



- Raptor = Falcon + anonymity (stealth mode)

The scheme

- **Setup** Output a hash function $\mathcal{H} : \{*\} \rightarrow D_b$ and a random \mathbf{h} .
- **KeyGen** Return $pk = \mathbf{a} := \mathbf{g}/\mathbf{f}$ and $sk = (\mathbf{f}, \mathbf{g})$.
- **Signing**
 - Input $\{pk_1, \dots, pk_\ell\}$, sk_π and μ ;
 - For $i \in [1, \dots, \ell]$ and $i \neq \pi$, pick \mathbf{m}_i and \mathbf{r}_i . Compute $\mathbf{c}_i = \mathbf{h}\mathbf{m}_i + \mathbf{a}_i\mathbf{r}_i$.
 - For $i = \pi$, pick \mathbf{c}_π .
 - Compute \mathbf{m}_π such that

$$\mathbf{m}_1 \oplus \dots \oplus \mathbf{m}_\pi \oplus \dots \oplus \mathbf{m}_\ell = \mathcal{H}(\mu, \mathbf{c}_1, \dots, \mathbf{c}_\ell, pk_1, \dots, pk_\ell). \quad (1)$$

- Set $\mathbf{u} = \mathbf{c}_\pi - \mathbf{h}\mathbf{m}_\pi$
 - Set $\mathbf{r}_\pi = \text{Falcon.sign}(\mathbf{u}, sk_\pi)$
 - Signature $(\mathbf{r}_1, \dots, \mathbf{r}_\pi, \mathbf{m}_1, \dots, \mathbf{m}_\ell)$
- **Verify**
 - For $i \in [1, \dots, \ell]$, generate $\mathbf{c}_i = \mathbf{h}\mathbf{m}_i + \mathbf{a}_i\mathbf{r}_i$
 - Check Equation (1).

Security

- Public key security: as hard as breaking NTRU
- Strong Unforgeability: as hard as forging a Falcon signature
- Strong Anonymity: $(\mathbf{m}_\pi, \mathbf{r}_\pi)$ statistically IND from $(\mathbf{m}_i, \mathbf{r}_i)$

- Use one time signature to generate a tag for each pk
- Tag is enforced in verification
- Same tag = linked.

Features

- First lattice based linkable ring signature
- Do not require NIZK
- Competitive performance to classical solutions
- 50 to 100x smaller than known (none-implementable) PQC solutions