

Mathematical Structures of Programs – Specification and Implementation –

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Outline

- 1 Specification and Implementation
- 2 Homomorphism
- 3 Foldr
- 4 Application: Parallelization

Specification and Implementation

- A **specification**
 - describes **what** task an algorithm is to perform,
 - expresses the programmers' intent,
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The **link** is that **the implementation should be proved to satisfy the specification.**

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- By **functions**: describing **straightforward functional mapping** from input to output of an algorithm, which is executable but could be terribly inefficient.

Specifying Algorithms by Predicates (1/3)

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Example: *increase*

The specification

$$\begin{aligned} \textit{increase} &:: \textit{Int} \rightarrow \textit{Int} \\ \textit{increase } x &> \textit{square } x \end{aligned}$$

says that the result of *increase* should be strictly greater than the square of its input, where $\textit{square } x = x * x$.

Specifying Algorithms by Predicates (2/3)

In this case, an **Implementation** is **first given** and **then proved** to satisfy the specification.

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Example: *increase* (continue)

One implementation is

$$\textit{increase } x = \textit{square } x + 1$$

which can be proved by the following simple calculation.

$$\begin{aligned} & \textit{increase } x \\ = & \quad \{ \text{definition of } \textit{increase} \} \\ & \textit{square } x + 1 \\ > & \quad \{ \text{arithmetic property} \} \\ & \textit{square } x \end{aligned}$$

Specifying Algorithms by Predicates (3/3)

Exercise S1

Give another implementation of *increase* and prove that your implementation meets its specification.

Specifying Algorithms by Functions (1/3)

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Example: *quad*

The specification for computing quadruple of a number can be described straightforwardly by

$$\textit{quad } x = x * x * x * x$$

which is not efficient in the sense that multiplications are used three times.

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With functional specification, we do not need to invent the implementation; just to **improve** specification via **calculation**.

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Example: *quad* (continue)

We derive (develop) an efficient algorithm with only two multiplications by the following calculation.

$$\begin{aligned}
 & quad\ x \\
 = & \quad \{ \text{specification} \} \\
 & x * x * x * x \\
 = & \quad \{ \text{since } x \text{ is associative} \} \\
 & (x * x) * (x * x) \\
 = & \quad \{ \text{definition of } square \} \\
 & square\ x * square\ x \\
 = & \quad \{ \text{definition of } square \} \\
 & square\ (square\ x)
 \end{aligned}$$

Specifying Algorithms by Functions (3/3)

Exercise S2

Extend the idea in the derivation of efficient *quad* to develop an efficient algorithm for computing *exp* defined by

$$\text{exp}(x, n) = x^n.$$

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- Functional specification is **powerful** to express intended mappings directly by functions or through their **composition**.
- Functional specification is **suitable for reasoning**, when functions used are **well-structured** with good algebraic properties.

In this course, we will consider functional specification.

Mathematical Structures in Programs – Homomorphism –

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May 30, 2010

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- 1 Specification and Implementation
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Longest Even Segment Problem

Given is a sequence x and a predicate p . Required is an efficient algorithm for computing some longest segment of x , all of whose elements satisfy p .

$$\text{lsp even } [3, 1, 4, 1, 5, 9, 2, 6, 5] = [2, 6]$$

Homomorphisms

A homomorphism from a monoid $(\alpha, \oplus, id_{\oplus})$ to a monoid $(\beta, \otimes, id_{\otimes})$ is a function h satisfying the two equations:

$$\begin{aligned} h \, id_{\oplus} &= id_{\otimes} \\ h \, (x \oplus y) &= h \, x \otimes h \, y \end{aligned}$$

Lemma (Promotion)

h is a homomorphism if and only if the following holds.

$$h \cdot \oplus / = \otimes / \cdot h^*$$

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Proof Sketch.

- \Leftarrow : *simple.*
- \Rightarrow : *by induction.*

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Proof Sketch.

- \Leftarrow : *simple.*
- \Rightarrow : *by induction.*

So we have

$$\begin{aligned} f * \cdot ++ / &= ++ / \cdot f * * \\ \oplus / \cdot ++ / &= \oplus / \cdot (\oplus /)^* \end{aligned}$$

Characterization of Homomorphisms

Lemma

h is a homomorphism from the list monoid if and only if there exists f and \oplus such that

$$h = \oplus / \cdot f *$$

Proof

\Rightarrow :

$$\begin{aligned}
 & h \\
 = & \{ \text{definition of } id \} \\
 & h \cdot id \\
 = & \{ \text{identity lemma (can you prove it?) } \} \\
 & h \cdot ++ \ / \cdot [\cdot] * \\
 = & \{ h \text{ is a homomorphism } \} \\
 & \oplus / \cdot h * \cdot [\cdot] * \\
 = & \{ \text{map distributivity } \} \\
 & \oplus / \cdot (h \cdot [\cdot]) * \\
 = & \{ \text{definition of } h \text{ on singleton } \} \\
 & \oplus / \cdot f *
 \end{aligned}$$

Proof (Cont.)

\Leftarrow : We reason that $h = \oplus / \cdot f *$ is a homomorphism by calculating

$$\begin{aligned}
 & h \cdot ++ / \\
 = & \quad \{ \text{given form for } h \} \\
 & \oplus / \cdot f * \cdot ++ / \\
 = & \quad \{ \text{map and reduce promotion} \} \\
 & \oplus / \cdot (\oplus / \cdot f *) * \\
 = & \quad \{ \text{hypothesis} \} \\
 & \oplus / \cdot h *
 \end{aligned}$$

Examples of Homomorphisms

- $\#$: compute the length of a list.

$$\# = + / \cdot K_1 *$$

Examples of Homomorphisms

- $\#$: compute the length of a list.

$$\# = + / \cdot K_1 *$$

- *reverse*: reverses the order of the elements in a list.

$$\text{reverse} = \tilde{+} / \cdot [\cdot] *$$

Here, $x \tilde{\oplus} y = y \oplus x$.

- *sort*: reorders the elements of a list into ascending order.

$$\text{sort} = \wedge \ / \cdot [\cdot]^*$$

Here, \wedge (pronounced **merge**) is defined by the equations:

$$\begin{aligned} x \wedge [] &= x \\ [] \wedge y &= y \\ ([a] ++ x) \wedge ([b] ++ y) &= [a] ++ (x \wedge ([b] ++ y)), \quad \text{if } a \leq b \\ &= [b] ++ (([a] ++ x) \wedge y), \quad \text{otherwise} \end{aligned}$$

- *all p*: returns True if every element of the input list satisfies the predicate *p*.

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- *some p*: returns True if at least one element of the input list satisfies the predicate *p*.

$$\text{some } p = \bigvee / \cdot p^*$$

- *split*: splits a non-empty list into its last element and the remainder.

$$\begin{aligned}
 \textit{split} & : [\alpha]^+ \rightarrow ([\alpha], \alpha) \\
 \textit{split} [a] & = ([], a) \\
 \textit{split} (x ++ y) & = \textit{split} x \oplus \textit{split} y \\
 & \text{where } (x, a) \oplus (y, b) = (x ++ [a] ++ y, b)
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Note: *split* is a homomorphism on the semigroup $([\alpha]^+, ++)$.

Exercise: Let $\textit{init} = \pi_1 \cdot \textit{split}$, where $\pi_1 (a, b) = a$. Show that *init* is not a homomorphism.

All applied to

The operator $^{\circ}$ (pronounced **all applied to**) takes a sequence of functions and a value and returns the result of applying each function to the value.

$$[f, g, \dots, h]^{\circ} a = [f\ a, g\ a, \dots, h\ a]$$

Formally, we have

$$\begin{aligned} []^{\circ} a &= [] \\ [f]^{\circ} a &= [f\ a] \\ (fs ++ gs)^{\circ} a &= (fs^{\circ} a) ++ (gs^{\circ} a) \end{aligned}$$

so, $(^{\circ} a)$ is a homomorphism.

Exercise: Show that $[\cdot] = [id]^{\circ}$.

Conditional Expressions

The conditional notation

$$\begin{aligned} h\ x &= f\ x, && \text{if } p\ x \\ &= g\ x, && \text{otherwise} \end{aligned}$$

will be written by the McCarthy conditional form:

$$h = (p \rightarrow f, g)$$

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Laws on Conditional Forms

$$\begin{aligned} h \cdot (p \rightarrow f, g) &= (p \rightarrow h \cdot f, h \cdot g) \\ (p \rightarrow f, g) \cdot h &= (p \cdot h \rightarrow f \cdot h, g \cdot h) \\ (p \rightarrow f, f) &= f \end{aligned}$$

(Note: all functions are assumed to be total.)

Filter

The operator \triangleleft (pronounced **filter**) takes a predicate p and a list x and returns the sublist of x consisting, in order, of all those elements of x that satisfy p .

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Exercise: Prove that the filter satisfies the **filter promotion** property:

$$(p\triangleleft) \cdot ++ \ / = ++ \ / \cdot (p\triangleleft)^*$$

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Exercise: Prove that the filter satisfies the **filter promotion** property:

$$(p\triangleleft) \cdot ++ \ / = ++ \ / \cdot (p\triangleleft)*$$

Exercise: Prove that the filter satisfies the **map-filter swap** property:

$$(p\triangleleft) \cdot f* = f* \cdot (p \cdot f)\triangleleft$$

Cross-product

X_{\oplus} is a binary operator that takes two lists x and y and returns a list of values of the form $a \oplus b$ for all a in x and b in y .

$$[a, b]X_{\oplus}[c, d, e] = [a \oplus c, b \oplus c, a \oplus d, b \oplus d, a \oplus e, b \oplus e]$$

Formally, we define X_{\oplus} by three equations:

$$\begin{aligned} xX_{\oplus}[] &= [] \\ xX_{\oplus}[a] &= (\oplus a) * x \\ xX_{\oplus}(y ++ z) &= (xX_{\oplus}y) ++ (xX_{\oplus}z) \end{aligned}$$

Thus xX_{\oplus} is a homomorphism.

Properties

$[]$ is the **zero element** of X_{\oplus} :

$$[]X_{\oplus}x = xX_{\oplus}[] = []$$

We have **cross promotion** rules:

$$\begin{aligned} f ** \cdot X_{++} / &= X_{++} / \cdot f *** \\ \oplus / \cdot X_{++} / &= X_{\oplus} / \cdot (X_{\oplus} /)* \end{aligned}$$

Example Uses of Cross-product

- cp : takes a list of lists and returns a list of lists of elements, one from each component.

$$cp \ [[a, b], [c], [d, e]] = [[a, c, d], [b, c, d], [a, c, e], [b, c, e]]$$

$$cp = X_{++} / \cdot ([id]^o *) *$$

- *subs*: computes all subsequences of a list.

$$\text{subs } [a, b, c] = [[], [a], [b], [a, b], [c], [a, c], [b, c], [a, b, c]]$$

$$\text{subs} = X_{++} / \cdot [[]^o, [id]^o]^o *$$

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$$\text{subs} = X_{++} / \cdot [[]]^o, [id]^o]^o *$$

Note: $\text{subs} = cp \cdot [[]]^o, [id]^o]^o *$.

- $(all\ p) \triangleleft$:

$$(all\ even) \triangleleft [[1, 3], [2, 4], [1, 2, 3]] = [[2, 4]]$$

$$(all\ p) \triangleleft = ++ \ / \cdot (X_{++} \ / \cdot (p \rightarrow [[id]^o]^o, []^o)*)^*$$

Selection Operators

Suppose f is a numeric valued function. We want to define an operator \uparrow_f such that

- ① \uparrow_f is associative, commutative and idempotent;
- ② \uparrow_f is **selective** in that

$$x \uparrow_f y = x \quad \text{or} \quad x \uparrow_f y = y$$

- ③ \uparrow_f is **maximizing** in that

$$f(x \uparrow_f y) = f \ x \uparrow f \ y$$

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Condition: f should be injective.

An Example: $\uparrow_{\#}$

But if f is not injective, then $x \uparrow_f y$ is not specified when $x \neq y$ but $f\ x = f\ y$.

$$[1, 2] \uparrow_{\#} [3, 4]$$

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To solve this problem, we may *refine* f to an injective function f' such that

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So we may select the *lexicographically* least sequence as the value of $x \uparrow_{\#} y$ when $\#x = \#y$.

In this case, $++$ distributes through $\uparrow_{\#}$:

$$\begin{aligned}x ++ (y \uparrow_{\#} z) &= (x ++ y) \uparrow_{\#} (x ++ z) \\(x \uparrow_{\#} y) ++ z &= (x ++ z) \uparrow_{\#} (y ++ z)\end{aligned}$$

That is,

$$\begin{aligned}(x ++) \cdot \uparrow_{\#} / &= \uparrow_{\#} / \cdot (x ++) * \\(++ x) \cdot \uparrow_{\#} / &= \uparrow_{\#} / \cdot (++ x) * .\end{aligned}$$

We assume $\omega = \uparrow_{\#} / []$, satisfying $\# \omega = -\infty$. (ω is the zero element of $++$)

A short calculation

$$\begin{aligned}
 & \uparrow_{\#} / \cdot (all\ p) \triangleleft \\
 = & \quad \{ \text{definition before} \} \\
 & \uparrow_{\#} / \cdot ++ / \cdot (X_{++} / \cdot (p \rightarrow [[id]^o]^o, []^o) *) * \\
 = & \quad \{ \text{reduce promotion} \} \\
 & \uparrow_{\#} / \cdot (\uparrow_{\#} / \cdot X_{++} / \cdot (p \rightarrow [[id]^o]^o, []^o) *) * \\
 = & \quad \{ ++ \text{ distributes over } \uparrow_{\#} \} \\
 & \uparrow_{\#} / \cdot (++ / \cdot (\uparrow_{\#} / \cdot) * \cdot (p \rightarrow [[id]^o]^o, []^o) *) * \\
 = & \quad \{ \text{many steps ...} \} \\
 & \uparrow_{\#} / \cdot (++ / \cdot (p \rightarrow [id]^o, K_{\omega}) *) *
 \end{aligned}$$

Existence of Homomorphism

Existence Lemma

The list function h is a homomorphism iff the implication

$$h\ v = h\ x \ \wedge \ h\ w = h\ y \ \Rightarrow \ h\ (v ++ w) = h\ (x ++ y)$$

holds for all lists v, w, x, y .

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Proof Sketch.

- \Rightarrow : obvious by assuming $h = \odot / \cdot f*$.

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Proof Sketch.

- \Rightarrow : obvious by assuming $h = \odot / \cdot f*$.
- \Leftarrow : Define \odot by $t \odot u = h\ (g\ t ++ g\ u)$.
 for some g such that $h = h \cdot g \cdot h$ (such a g exists!). Thus

$$h\ (x ++ y) = h\ x \odot h\ y.$$

Reference

Lemma

For every computable total function h with enumerable domain, there is a computable (but possibly partial) function g such that $h \cdot g \cdot h = h$.

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Proof. Here is one suitable definition of g .

$$g\ t = \text{head}\ [x \mid h\ x = t]$$

If t is in the range of h then this process terminates.

Specification of the Problem

Recall the problem of computing the longest segment of a list, all of whose elements satisfied some given property p .

$$lsp = \uparrow_{\#} / \cdot (all\ p) \triangleleft \cdot segs$$

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Property: lsp is not a homomorphism.

This is because:

$$\begin{array}{llll} lsp\ [2, 1] & = & lsp\ [2] & = [2] \\ lsp\ [4] & = & lsp\ [4] & = [4] \end{array}$$

does not imply

$$lsp\ ([2, 1] ++ [4]) = lsp\ ([2] ++ [4]).$$

Calculating a Solution to the Problem

$$\begin{aligned}
 & \uparrow_{\#} / \cdot (all\ p) \triangleleft \cdot segs \\
 = & \quad \{ \text{segment decomposition} \} \\
 & \uparrow_{\#} / \cdot (\uparrow_{\#} / \cdot (all\ p) \triangleleft \cdot tails) * \cdot inits \\
 = & \quad \{ \text{result before} \} \\
 & \uparrow_{\#} / \cdot (\uparrow_{\#} / \cdot (++ / \cdot (p \rightarrow [id]^{\circ}, K_{\omega}) *) * \cdot tails) * \cdot inits \\
 = & \quad \{ \text{Horner's rule with } x \odot a = (x ++ (p\ a \rightarrow [a], \omega) \uparrow_{\#} []) \} \\
 & \uparrow_{\#} \cdot \odot \not\rightarrow [] * \cdot inits \\
 = & \quad \{ \text{accumulation lemma} \} \\
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Exercise: Show the final program is linear in the number of calculation of p .

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 - Definition
 - Fusion
 - Tupling
- 4 Application: Parallelization

Mathematical Structures in Programs – Foldr (Catamorphism, Right Reduction) –

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June 6, 2011

Foldr

Foldr is the **most essential and simplest** computation pattern on the cons lists.

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Given e and \oplus , the following computation pattern

$$\begin{aligned} h [] &= e \\ h (x : xs) &= x \oplus h xs \end{aligned}$$

takes a lists, **replaces $[]$ by e and $(:)$ by \oplus** , and evaluates the result.

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takes a lists, **replaces $[]$ by e and $(:)$ by \oplus** , and evaluates the result.

Example

$$x_1 : (x_2 : (x_3 : (x_4 : []))) \xrightarrow[h]{[] \Rightarrow e \Rightarrow \oplus} x_1 \oplus (x_2 \oplus (x_3 \oplus (x_4 \oplus e)))$$

foldr

The pattern of computation by h is captured by a **higher order function** *foldr*.

$$\begin{aligned} \text{foldr} &:: (\alpha \rightarrow \beta \rightarrow \beta) \rightarrow \beta \rightarrow [\alpha] \rightarrow \beta \\ \text{foldr } f \ e \ [] &= e \\ \text{foldr } f \ e \ (x : xs) &= f \ x \ (\text{foldr } f \ e \ xs) \end{aligned}$$

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Example: h in *foldr*

$$h = \text{foldr } (\oplus) \ e$$

Specification with *foldr*

Many list functions can be specified by a **single** *foldr*.

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Functions in *foldr*

<i>sum</i>	=	<i>foldr</i> (+) 0
<i>product</i>	=	<i>foldr</i> (*) 1
<i>and</i>	=	<i>foldr</i> (∧) True
<i>or</i>	=	<i>foldr</i> (∨) False
<i>maximum</i>	=	<i>foldr</i> max (−∞)
<i>minimum</i>	=	<i>foldr</i> min ∞
<i>length</i>	=	<i>foldr</i> oneplus 0
<i>concat</i>	=	<i>foldr</i> (++) []

where *oneplus* $x \ r = 1 + r$

Specification with *foldr*: *reverse*

Problem

Write a *foldr* specification for reversing a list.

$$\text{reverse } [x_1, x_2, \dots, x_n] \rightarrow [x_n, \dots, x_2, x_1]$$

Specification with *foldr*: *reverse*

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Write a *foldr* specification for reversing a list.

$$\text{reverse } [x_1, x_2, \dots, x_n] \rightarrow [x_n, \dots, x_2, x_1]$$

Idea: Assuming $\text{reverse} = \text{foldr } (\oplus) e$, we obtain e and \oplus by considering the following two questions.

- What is the result of $\text{reverse } []$? This helps derive e .
- How to obtain the result of $\text{reverse } (x : xs)$ from x and r , given that r is the result of $\text{reverse } xs$. This helps derive \oplus .

Specification with *foldr*: *reverse*

Problem

Write a *foldr* specification for reversing a list.

$$\text{reverse } [x_1, x_2, \dots, x_n] \rightarrow [x_n, \dots, x_2, x_1]$$

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- What is the result of $\text{reverse } []$? $[]$
- How to obtain the result of $\text{reverse } (x : xs)$ from x and r , given that r is the result of $\text{reverse } xs$. $r ++ [x]$

Answer

$$\text{reverse} = \text{foldr } (\oplus) [] \quad \textbf{where } x \oplus r = r ++ [x]$$

How expressive is a *foldr*?

Many list functions can be described by a single *foldr*, but not all list functions can be described by a single *foldr*.

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Example

The *decimal* function cannot be described by a single *foldr*.

$$\text{decimal } [x_0, \dots, x_n] = \sum_{k=0}^n x_k 10^{n-k}$$

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$$\text{decimal } [x_0, \dots, x_n] = \sum_{k=0}^n x_k 10^{n-k}$$

Proof Sketch. Suppose $\text{decimal} = \text{foldr } (\oplus) e$. Then contradiction happens.

$$\begin{aligned} 123 &= \text{decimal } [1, 2, 3] \\ &= 1 \oplus \text{decimal } [2, 3] \\ &= 1 \oplus 23 \\ &= 1 \oplus \text{decimal } [0, 2, 3] \\ &= \text{decimal } [1, 0, 2, 3] \\ &= 1023 \end{aligned}$$

When can a function be described by a *foldr*?

Theorem (Gibbons&Hutton:2001)

A list function h can be described by a *foldr*, if and only if for all x , xs , ys ,

$$h\ xs = h\ ys \Rightarrow h(x : xs) = h(x : ys).$$

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Exercise L3-6

Use the above theorem to prove that *sum* can be described by a fold, whereas *decimal* cannot.

When can a function be described in terms of *foldr*?

Theoretically, we have the following theorem.

Theorem

Any list function can be described by a *foldr* followed by a project function.

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Any list function can be described by a foldr followed by a project function.

Proof Sketch. Let h be a list function. It is always possible to define it as follows.

$$\text{fst} \cdot \text{foldr } (\oplus) (h [], [])$$

where $x \oplus (r_1, r_2) = (h (x : r_2), x : r_2)$

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where $x \oplus (r_1, r_2) = (h (x : r_2), x : r_2)$

Practically, this is not useful because it never reuses the recursive results at all.

Mathematical Structures in Programming – Fusion –

Zhenjiang Hu

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June 6, 2011

max

Consider the function to compute the maximum of a list:

$$\begin{aligned} \text{max} &: [Int] \rightarrow Int \\ \text{max} &= \text{head} \cdot \text{sort} \end{aligned}$$

where *sort* is defined by

$$\begin{aligned} \text{sort} &= \text{foldr insert } [] \\ \text{insert } a \ [] &= [a] \\ \text{insert } a \ (b : x) &= \text{if } a \geq b \text{ then } a : (b : x) \\ &\quad \text{else } b : \text{insert } a \ x. \end{aligned}$$

How to eliminate all intermediate results in computing *max*?

reverse

Consider the following function to reverse a list:

$$\text{rev } x = \text{fastrev}' x []$$

$$\text{fastrev}' x y = \text{reverse } x ++ y$$

where

$$\text{reverse} = \text{foldr } (\lambda a r. r ++ [a]) []$$

How to eliminate the intermediate list in computing *fastrev'*?

sumsq

Consider the function to compute the sum of squares of numbers from one number to the other.

$$\begin{aligned} \text{sumsq} &: (Int, Int) \rightarrow Int \\ \text{sumsq} &= \text{sum} \cdot \text{map square} \cdot \text{upto} \\ &\textbf{where} \\ &\quad \text{sum} = \text{foldr } (+) \ 0 \\ &\quad \text{upto} = \text{unfold fstGreater fst succFst} \end{aligned}$$

How to eliminate all intermediate results in computing *sumsq*?

Fusion Law for Foldr

Lemma (Foldr Fusion)

$$\frac{f(a \oplus r) = a \otimes f r}{f \circ foldr (\oplus) e = foldr (\otimes) (f e)}$$

Fusion: max

Consider the fusion for *max*:

$$\text{max} = \text{head} \circ \text{foldr insert []}$$

where we assume that $\text{head []} = -\infty$.

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To apply the foldr fusion lemma, we consider calculation of $\text{head (insert } a \text{ } r)$.

We calculate as follows.

- For the case of $r = []$, we have:

$$\begin{aligned}
 & \text{head } (\text{insert } a []) \\
 = & \quad \{ \text{def. of } \text{insert} \} \\
 & \text{head } [a] \\
 = & \quad \{ \text{def. of } \text{head} \} \\
 & a
 \end{aligned}$$

Fusion Example: max

- For the case of $r = b : x$, we have:

$$\begin{aligned}
 & \text{head } (\text{insert } a \ (b : x)) \\
 = & \quad \{ \text{def. of insert} \} \\
 & \text{head } (\text{if } a \geq b \text{ then } a : (b : x) \text{ else } b : \text{insert } a \ x) \\
 = & \quad \{ \text{distribute head over if} \} \\
 & \text{if } a \geq b \text{ then head } (a : (b : x)) \text{ else head } (b : \text{insert } a \ x) \\
 = & \quad \{ \text{def. of head} \} \\
 & \text{if } a \geq b \text{ then } a \text{ else } b \\
 = & \quad \{ \text{assumption: } r = b : x \} \\
 & \text{if } a \geq \text{head } r \text{ then } a \text{ else head } r
 \end{aligned}$$

In summary, we have

$$\begin{aligned} \text{head } (\text{insert } a \ r) &= a \otimes \text{head } r \\ \text{where } a \otimes r &= \text{if } a \geq r \text{ then } a \text{ else } r \end{aligned}$$

It follows from the foldr fusion lemma that we get the following new definition for *max*.

$$\text{max} = \text{foldr } (\otimes) \ (-\infty)$$

A linear time program!

Fusion Example: Fast Reverse

Consider fusion of the following program:

$$\text{fastrev}'\ x\ y = \text{reverse}\ x\ ++\ y$$

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Exercise

What is the intermediate list in the above computation?

Fusion Example: Fast Reverse

Consider fusion of the following program:

$$\text{fastrev}' x y = \text{reverse } x ++ y$$

Exercise

What is the intermediate list in the above computation?

We can see where fusion calculation is application if we rewrite the definition.

$$\begin{aligned} \text{fastrev}' x &= (++) (\text{reverse } x) \\ &= \underline{((++) \circ \text{foldr } (\lambda a r. r ++ [a]) [])} x \end{aligned}$$

Let us calculate the fusion condition:

$$\begin{aligned}
 & (++) (r ++ [a]) \\
 = & \quad \{ \eta \text{ expansion} \} \\
 & \lambda y. (++) (r ++ [a]) y \\
 = & \quad \{ \text{section notation} \} \\
 & \lambda y. (r ++ [a]) ++ y \\
 = & \quad \{ \text{associativity of } ++ \} \\
 & \lambda y. r ++ ([a] ++ y)
 \end{aligned}$$

Marching it with $a \otimes ((++) r)$ gives

$$a \otimes r' = \lambda y. r' ([a] ++ y)$$

So we get

$$\begin{aligned} \text{fastrev}'\ x &= \text{foldr}\ (\otimes)\ ((+)\ [])\ x \\ \text{where } a \otimes r' &= \lambda y. r' ([a] ++ y) \end{aligned}$$

So we get

$$\begin{aligned} \text{fastrev}'\ x &= \text{foldr}\ (\otimes)\ ((+)\ [])\ x \\ \textbf{where}\ a \otimes r' &= \lambda y. r'\ ([a] ++ y) \end{aligned}$$

That is,

$$\begin{aligned} \text{fastrev}'\ []\ y &= y \\ \text{fastrev}'\ (a : r)\ y &= \text{fastrev}'\ r\ (a : y) \end{aligned}$$

So we get

$$\begin{aligned} \text{fastrev}'\ x &= \text{foldr}\ (\otimes)\ ((+)\ [])\ x \\ \textbf{where}\ a \otimes r' &= \lambda y. r'\ ([a] ++ y) \end{aligned}$$

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A linear time algorithm!

Fusion Example: *sumsq*

$$\begin{aligned}
 & \textit{sumsq} \\
 = & \quad \{ \text{def. of } \textit{sumsq} \} \\
 & \textit{sum} \circ \textit{map square} \circ \textit{upto} \\
 = & \quad \{ \text{map is a foldr} \} \\
 & \textit{sum} \circ \textit{foldr} (\lambda a \ r. \textit{square } a + r) [] \circ \textit{upto} \\
 = & \quad \{ \text{foldr fusion} \} \\
 & \textit{foldr} (\lambda a \ r. \textit{square } a + r) 0 \circ \textit{upto} \\
 = & \quad \{ \text{upto is an unfold} \} \\
 & \textit{hylo} (\lambda a \ r. \textit{square } a + r, 0) (\textit{fstGreater}, \textit{fst}, \textit{succFst})
 \end{aligned}$$

Fusion of *sumsq*

Unfolding the definition of *hylo* yields the following recursive definition.

$$\text{sumsq } (m, n) = \text{if } m > n \text{ then } 0 \\ \text{else square } m + \text{sumsq } (m + 1, n)$$

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No intermediate list exists now!

Mathematical Structures in Programming – Tupling –

Zhenjiang Hu

National Institute of Informatics

June 6, 2011

Enumerating Bigger Elements

Enumerate all bigger elements in a list. An element is bigger if it is greater than the sum of the elements that follow it till the end of the list.

$$\text{biggers } [3, 10, 4, -2, 1, 3] = [10, 4, -3]$$

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$$\text{biggers } [] = []$$

$$\text{biggers } (a : x) = \text{if } a > \text{sum } x \text{ then } a : \text{biggers } x \text{ else biggers } x$$

$$\text{sum } [] = 0$$

$$\text{sum } (a : x) = a + \text{sum } x$$

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$$\text{sum } [] = 0$$

$$\text{sum } (a : x) = a + \text{sum } x$$

How can we optimize this program?

Definition (Mutumorphism)

Functions f_1, \dots, f_n are said to form a mutumorphism if each f_i ($i = 1, 2, \dots, n$) is defined in the following form:

$$\begin{aligned} f_i [] &= e_i \\ f_i (a : x) &= a \oplus_i (f_1 x, f_2 x, \dots, f_n x) \end{aligned}$$

where e_i ($i = 1, 2, \dots, n$) are given constants and \oplus_i ($i = 1, 2, \dots, n$) are given binary functions. We represent the function $f x = (f_1 x, \dots, f_n x)$ as follows.

$$f = \llbracket (e_1, \dots, e_n), (\oplus_1, \dots, \oplus_n) \rrbracket.$$

Expressive Power of Mutumorphism

- *foldr* is a special case:

$$\text{foldr } (\oplus) e = \llbracket (e), (\text{oplus}) \rrbracket$$

- It covers all primitive recursive functions on lists.

$$\begin{aligned} \text{prim } [] &= e \\ \text{prim } (a : x) &= F(a, x, \text{prim } x) \end{aligned}$$

This is because we can consider *prim* is mutually defined with the identity function on lists.

biggers as a Mutumorphism

$$\begin{aligned} \textit{biggers} &= \textit{fst} \circ \llbracket ([], 0), (\oplus_1, \oplus_2) \rrbracket \\ &\quad \textbf{where } a \oplus_1 (r, s) = \textbf{if } a > s \textbf{ then } a : r \textbf{ else } r \\ &\quad \quad a \oplus_2 (r, s) = a + s \end{aligned}$$

Lemma (Mutu-Tupling)

$$\begin{aligned} & \llbracket (e_1, e_2, \dots, e_n), (\oplus_1, \oplus_2, \dots, \oplus_n) \rrbracket \\ &= \text{foldr } (\oplus) (e_1, e_2, \dots, e_n) \\ & \quad \text{where } a \oplus r = (a \oplus_1 r, a \oplus_2 r, \dots, a \oplus_n r) \end{aligned}$$

Consider, as an example, to apply the mutu-tupling lemma to *biggers*.

$$\begin{aligned}
 & \textit{biggers} \\
 = & \quad \{ \text{mutumorphism for } \textit{biggers} \} \\
 & \textit{fst} \circ \llbracket ([], 0), (\oplus_1, \oplus_2) \rrbracket \\
 = & \quad \{ \text{mutu-tupling lemma} \} \\
 & \textit{fst} \circ \textit{foldr} \ (\textit{oplus}) \ ([], 0) \\
 & \quad \textbf{where } a \oplus (r, s) = (\textbf{if } a > s \textbf{ then } a : r \textbf{ else } r, a + s)
 \end{aligned}$$

Inlining *foldr* in the derived program gives the following readable recursive program:

```
biggers  $x = \text{let } (r, s) = \text{tup } x \text{ in } r$ 
    where  $\text{tup } [] = ([], 0)$ 
           $\text{tup } (a : x) = \text{let } (r, s) = \text{tup } x$ 
                        in (if  $a > s$  then  $a : r$  else  $r, a + s$ )
```


Lemma (Foldr-Tupling)

$$\begin{aligned} &(\text{foldr } (\oplus_1) e_1 x, \text{foldr } (\oplus_2) e_2 x) = \text{foldr } (\oplus) (e_1, e_2) x \\ &\text{where } a \oplus (r_1, r_2) = (a \oplus_1 r_1, a \oplus_2 r_2) \end{aligned}$$

For example, the following program for computing the average of a list:

$$\text{average } x = \text{sum } x / \text{length } x$$

can be transformed into the following with the foldr-tupling lemma.

$$\begin{aligned} \text{average } x = & \text{let } (s, l) = \text{tup } x \text{ in } s / l \\ & \text{where } \text{tup} = \text{foldr } (\lambda a \ (s, l). \ (a + s, 1 + l)) \ (0, 0) \end{aligned}$$

Outline

- 1 Specification and Implementation
- 2 Homomorphism
- 3 Foldr
- 4 **Application: Parallelization**
 - List Homomorphism and Parallel Programming
 - Towards Generic D&C Parallel Programs

Mathematical Structures in Programming – Calculational Parallelization –

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Februry 9, 2010

Maximum Prefix Sum Problem

Design a D&C parallel program that computes the maximum of all the prefix sums of a list.

$$mps [1, -2, 3, -9, 5, 7, -10, 8, -9, 10] = 5$$

List Homomorphism

Function h on lists is a list homomorphism, if

$$\begin{aligned}h [] &= e \\h [a] &= f\ a \\h (x ++ y) &= h\ x \odot h\ y\end{aligned}$$

for some \odot .

Properties

- Suitable for parallel computation in the D&C style
- Basic concept for skeletal parallel programming
- Enjoy many nice algebraic properties (1st, 2nd, 3rd Homomorphism theorems)

The Third Homomorphism Theorem (Gibbons:JFP95)

A function f can be described as a foldl and a foldr

$$\begin{aligned} f &= \text{foldr } (\oplus) e \\ f &= \text{foldl } (\otimes) e \end{aligned}$$

that is,

$$\begin{aligned} f(a : x) &= a \oplus f x \\ f(x ++ [a]) &= f x \otimes a \end{aligned}$$

iff there **exists** an associative operator \odot such that

$$f(x ++ y) = f x \odot f y.$$

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Two sequential programs guarantee existence of a parallel program!

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Two sequential programs guarantee existence of a parallel program!

A cons-list cata + A snoc-list cata \Leftrightarrow A join-list cata

Existence of Homomorphism (Review)

Existence Lemma

The list function h is a homomorphism iff the implication

$$h\ v = h\ x \wedge h\ w = h\ y \Rightarrow h\ (v ++ w) = h\ (x ++ y)$$

holds for all lists v, w, x, y .

Proof of the Third Homomorphism Theorem

Proof. Let $h\ v = h\ x$ and $h\ w = h\ y$. Then:

$$\begin{aligned}
 & h\ (v ++ w) \\
 = & \{ \ h = \text{foldr}\ (\oplus)\ e \ \} \\
 & \text{foldr}\ (\oplus)\ e\ (v ++ w) \\
 = & \{ \text{property of foldr} \ \} \\
 & \text{foldr}\ (\oplus)\ (\text{foldr}\ (\oplus)\ e\ w)\ v \\
 = & \{ \ h\ w = h\ y \ \} \\
 & \text{foldr}\ (\oplus)\ (\text{foldr}\ (\oplus)\ e\ y)\ v \\
 = & \{ \text{property of foldr} \ \} \\
 & \text{foldr}\ (\oplus)\ e\ (v ++ y) \\
 = & \{ \ h = \text{foldr}\ (\oplus)\ e \ \} \\
 & h\ (v ++ y) \\
 = & \{ \text{symmetrically, since } h = \text{foldl}\ (\otimes)\ e \ \} \\
 & h\ (x ++ y)
 \end{aligned}$$

Here by the Existence Lemma, h is a homomorphism.

Examples

- $\text{sum } [1, 2, 3] = 6$

$$\text{sum } (a : x) = a + \text{sum } x$$

$$\text{sum } (x ++ [a]) = \text{sum } x + a$$

Examples

- $\text{sum } [1, 2, 3] = 6$

$$\text{sum } (a : x) = a + \text{sum } x$$

$$\text{sum } (x ++ [a]) = \text{sum } x + a$$

- $\text{sort } [1, 3, 2] = [1, 2, 3]$

$$\text{sort } (a : x) = \text{insert } a (\text{sort } x)$$

$$\text{sort } (x ++ [b]) = \text{insert } b (\text{sort } x)$$

Examples

- $\text{sum } [1, 2, 3] = 6$

$$\begin{aligned}\text{sum } (a : x) &= a + \text{sum } x \\ \text{sum } (x ++ [a]) &= \text{sum } x + a\end{aligned}$$

- $\text{sort } [1, 3, 2] = [1, 2, 3]$

$$\begin{aligned}\text{sort } (a : x) &= \text{insert } a (\text{sort } x) \\ \text{sort } (x ++ [b]) &= \text{insert } b (\text{sort } x)\end{aligned}$$

- $\text{psums } [1, 2, 3] = [1, 1 + 2, 1 + 2 + 3]$

$$\begin{aligned}\text{psums } (a : x) &= a : \text{map } (a+) (\text{psums } x) \\ \text{psums } (x ++ [b]) &= \text{psums } x ++ [\text{last } (\text{psums } x) + b]\end{aligned}$$

A Challenge Problem

It remains as a challenge to automatically derive *efficient* an associative operator \odot from \oplus and \otimes .

Parallelization Theorem

Let f° denote a weak right inverse of f .

$$\begin{array}{rcl}
 f(a : x) & = & a \oplus f\ x \\
 f(x ++ [b]) & = & f\ x \otimes b \\
 \hline
 f(x ++ y) & = & f\ x \odot f\ y \\
 \text{where } a \odot b & = & f(f^\circ a ++ f^\circ b)
 \end{array}$$

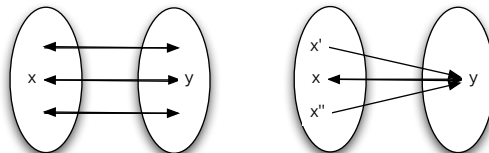
Weak (Right) Inverse

- g is an **inverse** of f , if

$$g\ y = x \Leftrightarrow f\ x = y$$

- g is a **weak (right) inverse** of f , if for $y \in \text{image}(f)$

$$g\ y = x \Rightarrow f\ x = y$$



Properties of Weak Inverse

- Weak inverse always **exists** but may **not be unique**.

Example: Function *sum*

$$\begin{aligned} \text{sum } [] &= 0 \\ \text{sum } (a : x) &= a + \text{sum } x \end{aligned}$$

can have infinite number of weak inverse:

Properties of Weak Inverse

- Weak inverse always **exists** but may **not be unique**.

Example: Function *sum*

$$\begin{aligned} \text{sum } [] &= 0 \\ \text{sum } (a : x) &= a + \text{sum } x \end{aligned}$$

can have infinite number of weak inverse:

$$\begin{aligned} g_1 y &= [y] \\ g_2 y &= [0, y] \\ &\dots \end{aligned}$$

Parallelizing *sum*

From

$$\textcircled{1} \quad \textit{sum} (a : x) = a + \textit{sum} x$$

$$\textcircled{2} \quad \textit{sum} (x ++ [b]) = \textit{sum} x + b$$

$$\textcircled{3} \quad \textit{sum}^\circ y = [y]$$

we soon obtain

$$\textit{sum} (x ++ y) = \textit{sum} x \odot \textit{sum} y$$

where

$$\begin{aligned} a \odot b &= \textit{sum} (\textit{sum}^\circ a ++ \textit{sum}^\circ b) \\ &= \textit{sum} ([a] ++ [b]) \\ &= a + b \end{aligned}$$

Parallelizing *sum*

From

$$\textcircled{1} \quad \textit{sum} (a : x) = a + \textit{sum} x$$

$$\textcircled{2} \quad \textit{sum} (x ++ [b]) = \textit{sum} x + b$$

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we soon obtain

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where

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That is,

$$\textit{sum} (x ++ y) = \textit{sum} x + \textit{sum} y.$$

Weak inversion is not easy!

- What is a weak inverse for *sum*?

$$\begin{aligned} \text{sum } [] &= 0 \\ \text{sum } (a : x) &= a + \text{sum } x \end{aligned}$$

Weak inversion is not easy!

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Weak inversion is not easy!

- What is a weak inverse for *sum*? $\underline{\text{sum}^\circ y = [y]}$

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- What is it for *mps*?

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Weak inversion is not easy!

- What is a weak inverse for *sum*? $\underline{\text{sum}^\circ y = [y]}$

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Weak inversion is challenging

Can you find a weak inverse for f ?

$$f\ x = (mss\ x, mps\ x, mts\ x, sum\ x)$$

where

$$\begin{aligned} mss\ [] &= 0 \\ mss\ (a : x) &= (a + mps\ x) \uparrow mss\ x \uparrow 0 \\ mts\ [] &= 0 \\ mts\ (a : x) &= (a + sum\ x) \uparrow mts\ x \uparrow 0 \end{aligned}$$

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$$\underline{f^\circ\ (m, p, t, s) = [p, s - p - t, m, t - m]}$$

Derivation of Weak Right Inverse

- Idea:

deriving a weak right inverse



solving conditional linear equations

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solving conditional linear equations

- Consider to find a weak right inverse for f defined by

$$f\ x = (mps\ x, sum\ x)$$

Let x_1, x_2 be a solution to the following equations:

$$mps\ [x_1, x_2] = p$$

$$sum\ [x_1, x_2] = s$$

then

$$f^\circ (p, s) = [x_1, x_2]$$

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Let x_1, x_2 be a solution to the following equations:

$$\begin{aligned} 0 \uparrow x_1 \uparrow (x_1 + x_2) &= p \\ x_1 + x_2 &= s \end{aligned}$$

then

$$f^\circ (p, s) = [x_1, x_2]$$

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Conditional Linear Equations

$$\begin{array}{rcl} t_1(x_1, x_2, \dots, x_m) & = & c_1 \\ t_2(x_1, x_2, \dots, x_m) & = & c_2 \\ & \vdots & \\ t_m(x_1, x_2, \dots, x_m) & = & c_m \end{array}$$

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$$\begin{aligned}t &::= n \mid x \mid n \times t \mid t_1 + t_2 \mid p \rightarrow t_1; t_2 \\p &::= t_1 < t_2 \mid t_1 = t_2 \mid \neg p \mid p_1 \wedge p_2 \mid p_1 \vee p_2\end{aligned}$$

Conditional Linear Equations

$$\begin{aligned} t_1(x_1, x_2, \dots, x_m) &= c_1 \\ t_2(x_1, x_2, \dots, x_m) &= c_2 \\ &\vdots \\ t_m(x_1, x_2, \dots, x_m) &= c_m \end{aligned}$$

Conditional linear equations can be efficiently solved by using
Mathematica. [PLDI'07]

Can we generalize the idea from lists to trees?

$$\begin{array}{lcl}
 f(a : x) & = & a \oplus f\ x \\
 f(x ++ [b]) & = & f\ x \otimes b \\
 \hline
 f(x ++ y) & = & f\ x \odot f\ y \\
 \text{where } a \odot b & = & f(f^\circ a ++ f^\circ b)
 \end{array}$$



f is a bottom-up tree reduction

f is a top-down tree reduction

$$\begin{array}{lcl}
 f(t_1 \triangleleft t_2) & = & f\ t_1 \odot f\ t_2 \\
 \text{where } a \odot b & = & f(f^\circ a \triangleleft f^\circ b)
 \end{array}$$

(Binary) Trees

- Definition:

$$\begin{array}{lcl} \textit{Tree } a & = & N \ a \ (\textit{Tree } a) \ (\textit{Tree } a) \\ & | & E \\ & | & \bullet \end{array}$$

We assume that every tree contains one and only one hole \bullet .

Hole Filling Operator \triangleleft

- Definition:

$$\begin{aligned}(\triangleleft) \quad & : \quad \text{Tree } a \rightarrow \text{Tree } a \rightarrow \text{Tree } a \\ E \triangleleft t & = E \\ (N \ n \ l \ r) \triangleleft t & = N \ n \ (l \triangleleft t) \ (r \triangleleft t) \\ \bullet \triangleleft t & = t\end{aligned}$$

Hole Filling Operator \triangleleft

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$$\begin{aligned} (\triangleleft) & : \text{Tree } a \rightarrow \text{Tree } a \rightarrow \text{Tree } a \\ E \triangleleft t & = E \\ (N \ n \ l \ r) \triangleleft t & = N \ n \ (l \triangleleft t) \ (r \triangleleft t) \\ \bullet \triangleleft t & = t \end{aligned}$$

- (\triangleleft, \bullet) forms a monoid.

$$\begin{aligned} (t_1 \triangleleft t_2) \triangleleft t_3 & = t_1 \triangleleft (t_2 \triangleleft t_3) \\ \bullet \triangleleft t & = t \triangleleft \bullet = t \end{aligned}$$

Bottom-up Tree Reduction

- Definition: f is a bottom-up tree reduction, if there exists a function k such that

$$f (N a l r) = k a (f l) (f r).$$

Top-Down Tree Reduction

- Definition: f is a top-down tree reduction, if there exist two functions k_l and k_r such that:

$$\begin{aligned} f(t \triangleleft (N a l \bullet)) &= k_l a (f t) (f l), \\ f(t \triangleleft (N a \bullet r)) &= k_r a (f t) (f r). \end{aligned}$$

Tree Homomorphism

- Definition: h is a tree homomorphism if there exists an associative operator \oplus such that:

$$h(t_1 \triangleleft t_2) = h t_1 \oplus h t_2.$$

The Tree Homomorphism Theorem

There exist k , k_l and k_r such that the function f can be defined in the following form

$$\begin{aligned} f (N a l r) &= k a (f l) (f r) \\ f (t \triangleleft (N a l \bullet)) &= k_l a (f t) (f l) \\ f (t \triangleleft (N a \bullet r)) &= k_r a (f t) (f r) \end{aligned}$$

if and only if

$$\begin{aligned} f (t_1 \triangleleft t_2) &= f t_1 \odot f t_2 \\ \text{where } a \odot b &= f (f^o a \triangleleft f^o b) \end{aligned}$$

where f^o is a weak (right) inverse of f .