# KAI ZHEN

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### **POSITIONS HELD**

#### Amazon.com, Inc.

- Applied Scientist
  - o Alexa Edge ML team, Pittsburgh, PA

Apr. 2021 – present

- Applied Scientist Intern
  - o Alexa Edge ML team, Pittsburgh, PA

Summer 2020

- Supervisors: Athanasios Mouchtaris, Hieu Duy Nguyen, Feng-Ju (Claire) Chang
- Project: Network Compression for On-Device ASR Solutions

#### Indiana University

• Research Assistant

Jan. 2018 - Mar. 2021

- Project: Audio Signal Analysis/Synthesis Technology Based on Machine Learning
- Teaching Assistant

Aug. 2015 – Dec. 2017

- Department of Computer Science
- o Intelligent Systems Engineering Department

## **LinkedIn Corporation**

- Machine Learning & Relevance Intern
  - o Ads-Al Group, Mountain View, CA

Summer 2019

- Supervisors: Sara Smoot, Lijun Peng, Hiroto Udagawa
- Project: Ads Response Rate Prediction with BERT Enriched Semantic Features
- o Company Standardization Group, New York City, NY

Summer 2018

- Supervisors: Xiaoqiang Luo, Deirdre Hogan
- Project: Relevance Ranking via Non-Categorical User Inputs for LinkedIn Resume Builder

#### **EDUCATION**

### Ph.D., dual major in Computer Sciences and Cognitive Science

May. 2021

- Indiana University, Bloomington, United States
- Committee: Minje Kim (chair), Robert Goldstone (co-chair), Donald Williamson, Yi Shen
- Dissertation: "Neural Waveform Coding: Scalability, Efficiency and Psychoacoustic Calibration"
   Outstanding Dissertation Award (IU Cognitive Science)>

## M.S., major in Computer Science

Jul. 2015

• Tsinghua University, Beijing, China

## B.S., major in Software Engineering (Summa Cum Laude)

Jul. 2012

• Xidian University, Xi'an, China

## **PROFESSIONAL ACTIVITIES**

#### **Conference Reviewer**

- IEEE Workshop on Applications of Signal Processing to Audio and Acoustics (WASPAA) 2021
  - o Technical Program Committee Member
- IEEE International Conference on Acoustics, Speech, and Signal Processing (ICASSP) 2019, 2020, 2021
- IEEE International Conference on Data Mining (ICDM), 2020
- Association for the Advancement of Artificial Intelligence (AAAI) 2017, 2018

#### Journal Reviewer

• European Association for Signal Processing (EURASIP) Journal on Audio, Speech, and Music Processing

### **PUBLICATIONS**

## In Progress

[S001] **Kai Zhen**, Jongmo Sung, Mi Suk Lee, Seungkwon Beack, and Minje Kim, "Scalable and Efficient Neural Speech Coding", in submission to *IEEE/ACM Transactions on Audio, Speech, and Language Processing* (*IEEE/ACM TASLP*).

### **International Journal Articles**

[J001] Kai Zhen, Mi Suk Lee, Jongmo Sung, Seungkwon Beack, and Minje Kim, "Psychoacoustic Calibration of Loss Functions for Efficient End-to-End Neural Audio Coding," IEEE Signal Processing Letters (SPL) 27 (2020): 2159-2163.

## Referred International Conference Proceedings

- [C005] Kai Zhen, Hieu Duy Nguyen, Feng-Ju (Claire) Chang, Athanasios Mouchtaris, "Sparsification via Compressed Sensing for Automatic Speech Recognition." in Proceedings of the IEEE International Conference on Acoustics, Speech, and Signal Processing (ICASSP), Toronto, ON, Canada, June 6-12, 2021.
- [C004] Haici Yang, **Kai Zhen**, Seungkwon Beack, Minje Kim, <u>"Source-Aware Neural Speech Coding for Noisy Speech Compression,"</u> in Proceedings of the IEEE International Conference on Acoustics, Speech, and Signal Processing (ICASSP), Toronto, ON, Canada, June 6-12, 2021.
- [C003] Kai Zhen, Mi Suk Lee, Jongmo Sung, Seungkwon Beack, and Minje Kim, "Efficient And Scalable Neural Residual Waveform Coding with Collaborative Quantization," in Proceedings of the IEEE International Conference on Acoustics, Speech, and Signal Processing (ICASSP), Barcelona, Spain, May 4-8, 2020.
- [C002] Kai Zhen, Mi Suk Lee, Minje Kim. "A <u>Dual-Staged Context Aggregation Method towards Efficient End-To-End Speech Enhancement</u>," in Proceedings of the IEEE International Conference on Acoustics, Speech, and Signal Processing (ICASSP), Barcelona, Spain, May 4-8, 2020.
- [C001] Kai Zhen, Jongmo Sung, Mi Suk Lee, Seungkwon Beack, and Minje Kim, "Cascaded Cross-Module Residual Learning towards Lightweight End-to-End Speech Coding," In Proc. Annual Conference of the International Speech Communication Association (Interspeech), Graz, Austria, September 15-19, 2019.

### Peer Reviewed Workshops & Forums

- [W004] Kai Zhen, Hieu Duy Nguyen, Feng-Ju (Claire) Chang, Athanasios Mouchtaris. Network Sparsification for On-Device ASR. Amazon Machine Learning Conference (AMLC) Workshop on Network Inference Optimization, 2020.
- [W003] Kai Zhen, Aswin Sivaraman, Jongmo Sung, Minje Kim. On Psychoacoustically Weighted Cost Functions

  <u>Towards Resource-efficient Deep Neural Networks for Speech Denoising</u>. The 7th Annual Midwest Cognitive
  Science Conference, 2018.
- [W002] Peter Miksza, Kevin Watson, **Kai Zhen**, Sanna Wager, Minje Kim. Relationships between experts' subjective ratings of jazz improvisations and computational measures of melodic entropy. *The Improvising Brain III:* Cultural Variation and Analytical Techniques Symposium, Atlanta, GA, in Feb, 2017.
- [W001] Kai Zhen and David Crandall. Finding egocentric image topics through convolutional neural network based representations (extended abstract). In Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition (CVPR) Workshop on Egocentric Computer Vision, 2016.

### **Patents**

- [P004] Mi Suk Lee, Jongmo Sung, Minje Kim, Kai Zhen,, "Audio signal encoding method and audio signal decoding method, and encoder and decoder performing the same," U.S. Patent Application No. 16/543,095
- [P003] Minje Kim, Aswin Sivaraman, **Kai Zhen**, Jongmo Sung, et al, "<u>Audio signal encoding method and apparatus and audio signal decoding method and apparatus using psychoacoustic-based weighted error function</u>", *US Patent Application*, US 2019 / 0164052 A1.
- [P002] Minje Kim, **Kai Zhen**, Mi Suk Lee, et al, "Apparatus and Method for Speech Processing Using a Densely Connected Hybrid Neural Network," *US Patent Application* (pending), 2019
- [P001] Minje Kim, Kai Zhen, Mi Suk Lee, "Scalable and Efficient Neural Waveform Coding with Collaborative Quantization," *US Patent Application* (pending), 2019

## **HONORS. AWARDS & SCHOLARSHIP**

## **Outstanding Dissertation Award**

Apr. 2021

• Given by Cognitive Science Program at Indiana University

### Top-Rated Intern Poster

Aug. 2020

Among 17 interns receiving the highest rate out of more than 180 participants

### Summa Cum Laude

Jul. 2012

Graduate with honor from Xidian University

#### China National Scholarship

Nov. 2010, Nov. 2011

For the effort on maintaining top-tier GPA and mathematical contest in modeling (MCM)

### **INVITED TALKS**

[T003] Microsoft Research Talks, September, 2020

• <u>Video link</u>

[T002] IU Hearing Sciences Seminar, March, 2019

[T001] IU Grey Matters, Graduate and Post-doc Colloquium, March, 2019

#### **TEACHING & TUTORING**

#### **Graduate Level**

- "Machine Learning for Signal Processing" (ENGR-E 599, ISE IU), Fall 2017
- "Elements of Artificial Intelligence" (CSCI-B 551, CS IU), Fall 2016
- "Computer Vision" (CSCI-B 657, CS IU), Spring 2016
- "Data Structures" (ENGR-E 599, ISE IU), Fall 2015

### **Undergraduate Level**

• "Introduction of Artificial Intelligence" (CSCI-B 351, CS IU), Spring 2017

## **CRAFTSMANSHIP**

## Deep Learning/Artificial Intelligence (over 4 years experience)

- TensorFlow, PyTorch, etc;
- recommendation, feature learning, autoregressive modeling, recognition, etc

### Audio Signal Processing (over 4 years experience)

- bitrate efficient and scalable audio/speech coding, speech enhancement;
- subjective/objective audio quality assessment;
- psychoacoustic models and optimization skills;
- end-to-end speech recognition (RNN-Transducer).

#### Machine Learning (over 5 years experience)

- regression (GLMix) and classification (decision trees, SVM);
- dimension reduction (PCA/ICA/NMF/ISOMAP);
- clustering analysis (k-means, GMM);
- topic modeling (LDA).

#### Big Data Processing (acquired from 2 summer internships)

Hadoop, HDFS, Spark (PySpark).