# KAI ZHEN

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#### **EMPLOYMENT**

#### **Full-Time**

## Amazon.com, Inc.

- Applied Scientist II
  - Alexa Speech, Pittsburgh, PA

Apr. 2021 – present

- Improved the runtime efficiency of Alexa speech processing services
- Productized innovations in various Alexa voice-controlled assistants

## Internship

#### Amazon.com, Inc.

- Applied Scientist Intern
  - Alexa Speech, Pittsburgh, PA

Summer 2020

## **LinkedIn Corporation**

- Machine Learning & Relevance Intern
  - o Ads-Al Group, Mountain View, CA

Summer 2019

o Company Standardization Group, New York City, NY

Summer 2018

## **EDUCATION**

## Ph.D., dual major in Computer Sciences and Cognitive Science

May. 2021

- Indiana University, Bloomington, United States
- Committee: Minje Kim (chair, IU Intelligent Systems Engineering), Robert Goldstone (co-chair, IU Cognitive Science), Donald Williamson (IU Computer Science), and Shen Yi (U. of Washington, Speech and Hearing Sciences)
- Dissertation: "Neural Waveform Coding: Scalability, Efficiency and Psychoacoustic Calibration"
   <Winner of the Outstanding Research Award (IU Cognitive Science)>

## M.S., major in Computer Science

Jul. 2015

• Tsinghua University, Beijing, China

# B.S., major in Software Engineering

Jul. 2012

• Xidian University, Xi'an, China

### **PROFESSIONAL ACTIVITIES**

# **Conference Reviewer**

- IEEE International Conference on Acoustics, Speech, and Signal Processing (ICASSP): 2019 2024
- ISCA Interspeech: 2022 2023
- EURASIP European Signal Processing Conference (EUSIPCO): 2022 2023
- IEEE Workshop on Applications of Signal Processing to Audio and Acoustics (WASPAA): 2021 2023
- IEEE International Conference on Data Mining (ICDM): 2020
- Association for the Advancement of Artificial Intelligence (AAAI): 2017 2018

## **Journal Reviewer**

- European Association for Signal Processing (EURASIP) Journal on Audio, Speech, and Music Processing
- IEEE MultiMedia
- Speech Communication

## **PUBLICATIONS**

# **International Journal Articles**

- [J002] Kai Zhen, Jongmo Sung, Mi Suk Lee, Seungkwon Beack, and Minje Kim, "Scalable and Efficient Neural Speech Coding: A Hybrid Design", *IEEE/ACM Transactions on Audio*, *Speech*, and *Language Processing* (*IEEE/ACM TASLP*), 30 (2021): 12-25.
- [J001] Kai Zhen, Mi Suk Lee, Jongmo Sung, Seungkwon Beack, and Minje Kim, "Psychoacoustic Calibration of Loss Functions for Efficient End-to-End Neural Audio Coding," IEEE Signal Processing Letters (SPL) 27 (2020): 2159-2163.

# **Referred International Conference Proceedings**

- [C008] Martin Radfar, Paulina Lyskawa, Brandon Trujillo, Yi Xie, Kai Zhen, Jahn Heymann, Denis Filimonov, Grant Strimel, Nathan Susanj, Athanasios Mouchtaris, "Conmer: Streaming Conformer with no self-attention for interactive voice assistants," In Proc. Annual Conference of the International Speech Communication Association (Interspeech), Dublin, Ireland, August 21-24, 2023.
- [C007] Kai Zhen, Martin Radfar, Hieu Duy Nguyen, Nathan Susanj, Grant Strimel, Athanasios Mouchtaris, "Sub-8-bit Quantization for On-Device Speech Recognition: A Regularization-Free Approach", IEEE Workshop on Spoken Language Technology (IEEE SLT), Doha, Qatar, January 9-12, 2023.
- [C006] Kai Zhen, Hieu Duy Nguyen, Raviteja Chinta, Nathan Susanj, Athanasios Mouchtaris, Tariq Afzal, and Ariya Rastrow, "Sub-8-Bit Quantization Aware Training for 8-Bit Neural Network Accelerator with On-Device Speech Recognition." in Proceedings of Annual Conference of the International Speech Communication Association (Interspeech), Incheon, Korea, September 18-22, 2022.
- [C005] **Kai Zhen**, Hieu Duy Nguyen, Feng-Ju (Claire) Chang, Athanasios Mouchtaris, "Sparsification via Compressed Sensing for Automatic Speech Recognition," in Proceedings of the IEEE International Conference on Acoustics, Speech, and Signal Processing (ICASSP), Toronto, ON, Canada, June 6-12, 2021.
- [C004] Haici Yang, **Kai Zhen,** Seungkwon Beack, Minje Kim, <u>"Source-Aware Neural Speech Coding for Noisy Speech Compression."</u> in Proceedings of the IEEE International Conference on Acoustics, Speech, and Signal Processing (ICASSP), Toronto, ON, Canada, June 6-12, 2021.
- [C003] Kai Zhen, Mi Suk Lee, Jongmo Sung, Seungkwon Beack, and Minje Kim, "Efficient And Scalable Neural Residual Waveform Coding with Collaborative Quantization," in Proceedings of the IEEE International Conference on Acoustics, Speech, and Signal Processing (ICASSP), Barcelona, Spain, May 4-8, 2020.
- [C002] Kai Zhen, Mi Suk Lee, Minje Kim. "A <u>Dual-Staged Context Aggregation Method towards Efficient End-To-End Speech Enhancement</u>," in Proceedings of the IEEE International Conference on Acoustics, Speech, and Signal Processing (ICASSP), Barcelona, Spain, May 4-8, 2020.
- [C001] Kai Zhen, Jongmo Sung, Mi Suk Lee, Seungkwon Beack, and Minje Kim, "Cascaded Cross-Module Residual Learning towards Lightweight End-to-End Speech Coding," in Proceedings of Annual Conference of the International Speech Communication Association (Interspeech), Graz, Austria, September 15-19, 2019.

# **Patents**

- [P005] Kim, Minje, Mi Suk Lee, Seung Kwon Beack, Jongmo Sung, Tae Jin Lee, Jin Soo Choi, and **Kai Zhen**. "Apparatus and method for speech processing using a densely connected hybrid neural network." U.S. Patent Application 17/308,800, filed November 11, 2021.
- [P004] Mi Suk Lee, Seung Kwon Beack, Jongmo Sung, Tae Jin Lee, Jin Soo Choi, Minje Kim, **Kai Zhen**, "Method and apparatus for processing audio signal," *US Patent App. 17/156,006*, 2021.
- [P003] Minje Kim, **Kai Zhen**, Mi Suk Lee, Seung Kwon Beack, Jongmo Sung, Tae Jin Lee, Jin Soo Choi. "Residual coding method of linear prediction coding coefficient based on collaborative quantization, and computing device for performing the method." U.S. Patent Application 17/098,090, filed May 13, 2021.
- [P002] Mi Suk Lee, Jongmo Sung, Minje Kim, **Kai Zhen**,, "<u>Audio signal encoding method and audio signal decoding method, and encoder and decoder performing the same</u>," U.S. Patent Application No. 16/543,095
- [P001] Minje Kim, Aswin Sivaraman, **Kai Zhen**, Jongmo Sung, et al, "<u>Audio signal encoding method and apparatus</u> and audio signal decoding method and apparatus using psychoacoustic-based weighted error function", *US Patent Application*, US 2019 / 0164052 A1.

## Peer Reviewed Workshops & Forums

- [W005] **Kai Zhen**, Martin Radfar, Hieu Duy Nguyen, Nathan Susanj, Grant Strimel, Athanasios Mouchtaris. General Quantization for On-Device ASR. *Amazon Machine Learning Conference (AMLC)*, 2022.
- [W004] **Kai Zhen**, Hieu Duy Nguyen, Feng-Ju (Claire) Chang, Athanasios Mouchtaris. Network Sparsification for On-Device ASR. *Amazon Machine Learning Conference (AMLC) Workshop on Network Inference Optimization*, 2020
- [W003] **Kai Zhen**, Aswin Sivaraman, Jongmo Sung, Minje Kim. <u>On Psychoacoustically Weighted Cost Functions</u>

  <u>Towards Resource-efficient Deep Neural Networks for Speech Denoising</u>. *The 7th Annual Midwest Cognitive Science Conference*, 2018.
- [W002] Peter Miksza, Kevin Watson, **Kai Zhen**, Sanna Wager, Minje Kim. Relationships between experts' subjective ratings of jazz improvisations and computational measures of melodic entropy. *The Improvising Brain III:* Cultural Variation and Analytical Techniques Symposium, Atlanta, GA, in Feb, 2017.
- [W001] Kai Zhen and David Crandall. <u>Finding egocentric image topics through convolutional neural network based representations</u> (extended abstract). In Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition (CVPR) Workshop on Egocentric Computer Vision, 2016.

## **HONORS, AWARDS & SCHOLARSHIP**

#### **Outstanding Research Award**

Apr. 2021

• Given by Cognitive Science Program at Indiana University

#### Top-Rated Intern Poster

Aug. 2020

• Among 17 interns receiving the highest rate out of more than 180 participants

#### Summa Cum Laude

Jul. 2012

• Graduate with honor from Xidian University

#### **China National Scholarship**

Nov. 2010, Nov. 2011

• For the effort on maintaining top-tier GPA and mathematical contest in modeling (MCM)

### **INVITED TALKS**

[T003] Microsoft Research Talks, September, 2020 [Video link]

[T002] IU Hearing Sciences Seminar, March, 2019

[T001] IU Grey Matters, Graduate and Post-doc Colloquium, March, 2019