

端午假期学习&研究计划

复分析

Cauchy 积分理论、Weierstrass 级数理论与亚纯函数

Cauchy 积分定理：从历史观点看一般情形(积分定理)(任意单连通区域)；

回忆 *de Rham* 理论，将 C 视为流形

Corollary 5.3 *If f is holomorphic in the simply connected region Ω , then*

$$\int_{\gamma} f(z) dz = 0$$

for any closed curve γ in Ω .

如何被最终解决。

三个基本(完美到不“真实”)性质：

积分定理

正则性

解析延拓(刚性)

1. Cauchy, 1825

回忆局部 *Poincare* 引理

5. Suppose f is continuously *complex* differentiable on Ω , and $T \subset \Omega$ is a triangle whose interior is also contained in Ω . Apply Green's theorem to show that

$$\int_T f(z) dz = 0.$$

This provides a proof of Goursat's theorem under the additional assumption that f' is continuous.

[Hint: Green's theorem says that if (F, G) is a continuously differentiable vector field, then

$$\int_T F dx + G dy = \int_{\text{Interior of } T} \left(\frac{\partial G}{\partial x} - \frac{\partial F}{\partial y} \right) dx dy.$$

For appropriate F and G , one can then use the Cauchy-Riemann equations.]

2. Goursat, 1900

注意证明需要的技术：折线逼近、紧区间套定理；为什么选择三角形？回忆三角剖分

Theorem 1.1 *If Ω is an open set in \mathbb{C} , and $T \subset \Omega$ a triangle whose interior is also contained in Ω , then*

$$\int_T f(z) dz = 0$$

whenever f is holomorphic in Ω .

3. Local existence of primitives and Cauchy's theorem in a disc

类似局部 Poincare 引理，我们需要什么样的领域；把问题归结到原函数上，回忆微分形式。

Theorem 2.1 *A holomorphic function in an open disc has a primitive in that disc.*

注：证明这个定理需要如何构造原函数？导数的定义

Theorem 2.2 (Cauchy's theorem for a disc) *If f is holomorphic in a disc, then*

$$\int_{\gamma} f(z) dz = 0$$

for any closed curve γ in that disc.

有了 disc 以后我们可以对一些区域做 **surgery**，几个重要的积分的例子！（注意与分析中常

用的“挖洞”技巧类比)，这直接导致了 **Cauchy 积分公式** 的出现。

4. Cauchy 积分公式

实现定量

Theorem 4.1 Suppose f is holomorphic in an open set that contains the closure of a disc D . If C denotes the boundary circle of this disc with the positive orientation, then

$$f(z) = \frac{1}{2\pi i} \int_C \frac{f(\zeta)}{\zeta - z} d\zeta \quad \text{for any point } z \in D.$$

基本的递推：

Corollary 4.2 If f is holomorphic in an open set Ω , then f has infinitely many complex derivatives in Ω . Moreover, if $C \subset \Omega$ is a circle whose interior is also contained in Ω , then

$$f^{(n)}(z) = \frac{n!}{2\pi i} \int_C \frac{f(\zeta)}{(\zeta - z)^{n+1}} d\zeta$$

for all z in the interior of C .

注意，这个事实已经刻画了全纯函数的**正则性**！由此带来的估计：

Corollary 4.3 (Cauchy inequalities) If f is holomorphic in an open set that contains the closure of a disc D centered at z_0 and of radius R , then

$$|f^{(n)}(z_0)| \leq \frac{n! \|f\|_C}{R^n},$$

where $\|f\|_C = \sup_{z \in C} |f(z)|$ denotes the supremum of $|f|$ on the boundary circle C .

于是，Taylor 级数展开是必然的结果，并且每一项可以被函数本身(非导数)量化：

Theorem 4.4 Suppose f is holomorphic in an open set Ω . If D is a disc centered at z_0 and whose closure is contained in Ω , then f has a power series expansion at z_0

$$f(z) = \sum_{n=0}^{\infty} a_n (z - z_0)^n$$

至此，我们建立了最基本的工具！以下是可以自行独立证明的：

5. 利用积分理论的工具解决问题！

- a. **Liouville 定理**→代数基本定理(回忆代数拓扑— S^1 基本群, 对比二者); 回忆 PDE 与几何中的 Liouville 定理
- b. **刚性定理**(→直接导致 **零点孤立**, 注意与微分拓扑中的正则值对比, 局部的 1-1 甚至微分同胚本质上依赖于什么)→允许 **解析延拓**→基本的延拓: **Schwarz reflection**
- c. 非常有用的工具(往往使用充分性): **Morera 定理**
- d. 全纯序列, 导数完美的**敛散性**(注意与实变版本对比)→**含参变量积分**(Riemann 和实现转化)
- e. **Runge 逼近**: 引理使用了什么技术? 与实变版本(Weierstrass)有什么区别? 注意, 极点处的讨论自然引出 **Laurent 级数**

计算是很无聊的事情 QAQ, 参见[2]即可。

6. 一般情形最终被解决(引入代数拓扑的工具——同伦)

Theorem 5.1 *If f is holomorphic in Ω , then*

$$\int_{\gamma_0} f(z) dz = \int_{\gamma_1} f(z) dz$$

whenever the two curves γ_0 and γ_1 are homotopic in Ω .

亚纯函数理论

对奇点性质的理解是核心。Local 性质逐渐向 Global 推广, 一些“坏点”处应该怎么处理, 绕过去以后拓扑性质发生了什么变化?

1. 零点阶数的讨论(对偶于极点)

Theorem 1.1 *Suppose that f is holomorphic in a connected open set Ω , has a zero at a point $z_0 \in \Omega$, and does not vanish identically in Ω . Then there exists a neighborhood $U \subset \Omega$ of z_0 , a non-vanishing holomorphic function g on U , and a unique positive integer n such that*

$$f(z) = (z - z_0)^n g(z) \quad \text{for all } z \in U.$$

Theorem 1.2 *If f has a pole at $z_0 \in \Omega$, then in a neighborhood of that point there exist a non-vanishing holomorphic function h and a unique positive integer n such that*

$$f(z) = (z - z_0)^{-n} h(z).$$

Theorem 1.3 If f has a pole of order n at z_0 , then

$$(1) \quad f(z) = \frac{a_{-n}}{(z - z_0)^n} + \frac{a_{-n+1}}{(z - z_0)^{n-1}} + \cdots + \frac{a_{-1}}{(z - z_0)} + G(z),$$

where G is a holomorphic function in a neighborhood of z_0 .

2. 工具：留数

本质上为映射 $1/z$ (映射 $\log z$ 的导函数) 对曲线拓扑量 (外蕴的旋绕数) 的影响, 与之对偶的是辐角原理。

怎么算?

Theorem 1.4 If f has a pole of order n at z_0 , then

$$\operatorname{res}_{z_0} f = \lim_{z \rightarrow z_0} \frac{1}{(n-1)!} \left(\frac{d}{dz} \right)^{n-1} (z - z_0)^n f(z).$$

留数公式

Theorem 2.1 Suppose that f is holomorphic in an open set containing a circle C and its interior, except for a pole at z_0 inside C . Then

$$\int_C f(z) dz = 2\pi i \operatorname{res}_{z_0} f.$$

Corollary 2.3 Suppose that f is holomorphic in an open set containing a toy contour γ and its interior, except for poles at the points z_1, \dots, z_N inside γ . Then

$$\int_{\gamma} f(z) dz = 2\pi i \sum_{k=1}^N \operatorname{res}_{z_k} f.$$

几个例子!

3. 三类奇点

可去奇点 (和台湾一样, 拼上了才完整)

Theorem 3.1 (Riemann's theorem on removable singularities)

Suppose that f is holomorphic in an open set Ω except possibly at a point z_0 in Ω . If f is bounded on $\Omega - \{z_0\}$, then z_0 is a removable singularity.

极点 (blum up, 对偶)

Corollary 3.2 Suppose that f has an isolated singularity at the point z_0 . Then z_0 is a pole of f if and only if $|f(z)| \rightarrow \infty$ as $z \rightarrow z_0$.

本性奇点(不稳定性)

Theorem 3.3 (Casorati-Weierstrass) Suppose f is holomorphic in the punctured disc $D_r(z_0) - \{z_0\}$ and has an essential singularity at z_0 . Then, the image of $D_r(z_0) - \{z_0\}$ under f is dense in the complex plane.

更强的还有 Picard 定理。

紧化复平面上的亚纯函数(global 性质, 某种刚性)

Theorem 3.4 The meromorphic functions in the extended complex plane are the rational functions.

4. 曲线拓扑与辐角原理(注意多值函数 \log 与 Riemann 曲面的思路来源)

需要注意 f/f 本质上是什么结构

Theorem 4.1 (Argument principle) Suppose f is meromorphic in an open set containing a circle C and its interior. If f has no poles and never vanishes on C , then

$$\frac{1}{2\pi i} \int_C \frac{f'(z)}{f(z)} dz = (\text{number of zeros of } f \text{ inside } C) \text{ minus} \\ (\text{number of poles of } f \text{ inside } C),$$

where the zeros and poles are counted with their multiplicities.

Theorem 4.3 (Rouché's theorem) Suppose that f and g are holomorphic in an open set containing a circle C and its interior. If

$$|f(z)| > |g(z)| \quad \text{for all } z \in C,$$

then f and $f + g$ have the same number of zeros inside the circle C .

注意到函数的 small part 对零点而言是不重要的。

开映射定理

Theorem 4.4 (Open mapping theorem) If f is holomorphic and non-constant in a region Ω , then f is open.

最大模原理

Theorem 4.5 (Maximum modulus principle) If f is a non-constant holomorphic function in a region Ω , then f cannot attain a maximum in Ω .

与调和函数对比

5. 多值函数与 \log 体现的拓扑信息

Theorem 6.1 Suppose that Ω is simply connected with $1 \in \Omega$, and $0 \notin \Omega$. Then in Ω there is a branch of the logarithm $F(z) = \log_{\Omega}(z)$ so that

- (i) F is holomorphic in Ω ,
- (ii) $e^{F(z)} = z$ for all $z \in \Omega$,
- (iii) $F(r) = \log r$ whenever r is a real number and near 1.

Theorem 6.2 If f is a nowhere vanishing holomorphic function in a simply connected region Ω , then there exists a holomorphic function g on Ω such that

$$f(z) = e^{g(z)}.$$

6. 与 Fourier 级数的关系——负项全部消失!

这为我们将复分析问题转化到调和分析提供范例

Theorem 7.1 The coefficients of the power series expansion of f are given by

$$a_n = \frac{1}{2\pi r^n} \int_0^{2\pi} f(z_0 + re^{i\theta}) e^{-in\theta} d\theta$$

for all $n \geq 0$ and $0 < r < R$. Moreover,

$$0 = \frac{1}{2\pi r^n} \int_0^{2\pi} f(z_0 + re^{i\theta}) e^{-in\theta} d\theta$$

whenever $n < 0$.

Corollary 7.3 If f is holomorphic in a disc $D_R(z_0)$, and $u = \operatorname{Re}(f)$, then

$$u(z_0) = \frac{1}{2\pi} \int_0^{2\pi} u(z_0 + re^{i\theta}) d\theta, \quad \text{for any } 0 < r < R.$$

参考文献

- [1] Stein. Complex Analysis
- [2] 史济怀, 复变函数
- [3] 龚昇, 简明复分析

整函数理论与 Hadamard 因子分解

Riemann 几何理论与共形映射

*额外的专题：Gamma 函数与 zeta 函数、素数定理；椭圆函数简介与 Theta 函数

整体微分几何

曲线理论

等周不等式

旋转指标定理：与辐角原理对比(内蕴外蕴)

*额外的选题：空间曲线理论：Crofton 公式、全曲率与 Fenchel 定理、Fary-Milnor 定理、全挠率

曲面理论

球面的刚性：Liebmann 定理（特别关注证明使用的技术：椭圆点的存在性(外蕴直观与内蕴证明)、Hilbert 定理怎么证明，怎么理解？自然标架、么正标架外微分都去尝试一下，注意计算上的区别)

刚性条件的弱化：凸曲面与 Hadamard 定理、Cohn-Vossen 定理、Minkowski 积分公式

全平均曲率与 Willmore 猜想：球面的特征（怎么证明？），环面的特征，管状曲面的特征

额外的选题：参考刘世平教授的讲义

注意收集整体微分几何需要的技术：Stokes 公式、极值原理(紧致性)、重要的拓扑工具、外蕴与内蕴技术的对比

参考文献

- [1]沈一兵，整体微分几何初步
- [2]刘世平，整体微分几何(讲义)

常微分方程定性理论

看论文。。。