

Practical Concurrent and Parallel Programming XI

Message Passing I

Raúl Pardo

Agenda



- Problems in shared memory concurrency (revisited)
- Actors
- Akka
- Example systems
 - Turnstile (counter)
 - Broadcaster
 - Bounded Buffer



"Writing thread-safe code is, at its core, about managing access to shared mutable data"

Goetz



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Goetz

What problems have we seen in concurrent access to shared memory?



"Writing thread-safe code is, at its core, about managing access to shared mutable data"

Goetz

- Race conditions
- Data races
- Visibility
- Reasoning is tricky
 - Specially lock-free computation (**)



"Writing thread-safe code is, at its core, about managing access to shared mutable data"

Goetz

What solutions have we seen to the problems in concurrent access to shared memory?



"Writing thread-safe code is, at its core, about managing access to shared mutable data"

Goetz

- Happens-before reasoning
- Linearizability reasoning
- For race conditions and data races:
 - Ensuring mutual exclusion
 - Locks (introduce the problem of deadlocks)
 - Immutability
 - Compare and Swap (CAS) algorithms
- For visibility:
 - Volatile and final variables, idioms for safe publication, etc



"Writing thread-safe code is, at its core, about managing access to shared mutable data"

Goetz

- Happens-before reasoning
- Linearizability reasoning
- For race conditions and data races:
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 - Volatile and final variables, idioms for safe publication, etc

Why don't we simply avoid sharing state? This is the idea behind message passing!

Message passing concurrency

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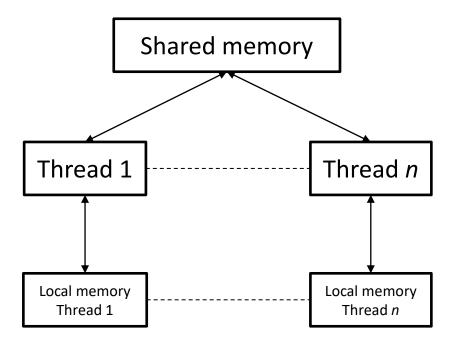
- Threads do not share state
- If threads need to share data, then it is communicated by sending messages
- Threads work only on their own local memory



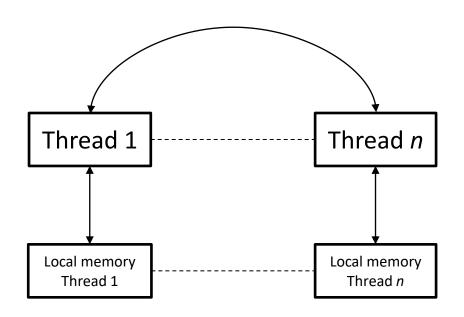
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Shared memory vs Message Passing

- Shared Memory
 - Synchronisation by writing in shared memory



- Message Passing
 - Synchronisation by sending messages



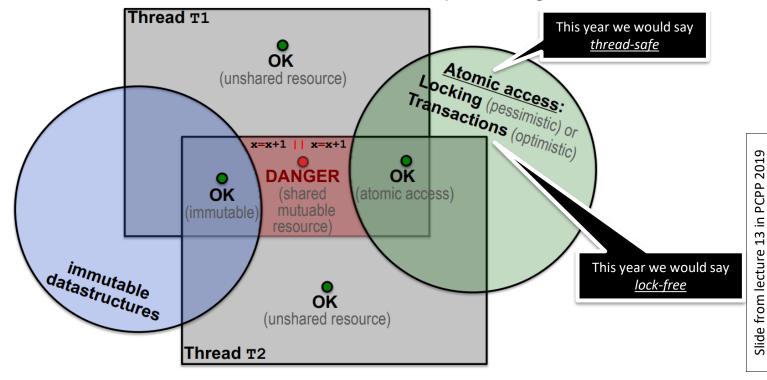
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PROBLEM: Sharing && Mutability!

SOLUTIONS:

- 1) atomic access! locking or transactions NB: avoid deadlock!
- 2) avoid mutability! 3) avoid sharing...

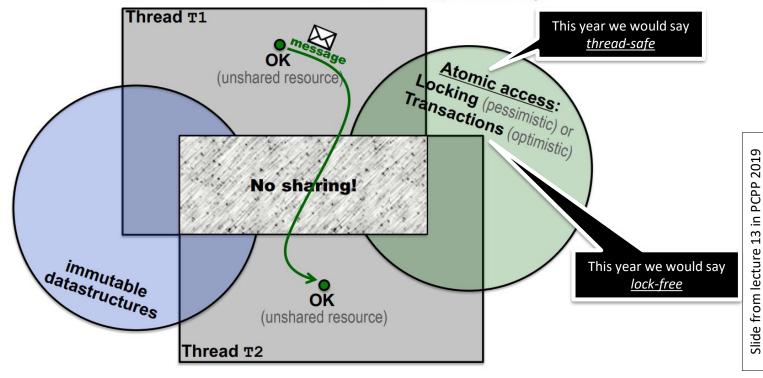




PROBLEM: Sharing && Mutability!

SOLUTIONS:

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- How should we implement message passing concurrency?
- A possible solution is use standard communication systems
 - Sockets
 - Remote Procedure Calls (RPC)
 - Java Remote Method Invocation (RMI)
 - Message passing interfaces (MPI)
 combined with concurrency as we have seen so far



- How should we implement message passing concurrency?
- Another option is to *use a concurrency model with* message passing built-in
 - That is, the actors model!
- The actors model was first introduced by [Hewitt'73] and later formalized by [Agha'85] (part of the readings)
 - [Hewitt'73] Carl Hewitt, Peter Bishop & Richard Steiger. A universal modular ACTOR formalism for artificial intelligence. IJCAI'73: Proceedings of the 3rd international joint conference on Artificial intelligence. 1973.
 - [Agha'85] Gul A. Agha. ACTORS: A Model of Concurrent Computation in Distributed Systems. MIT Press. 1985.







Actors model

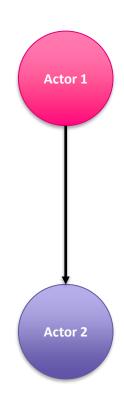
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What is an Actor? (Bird's eye)



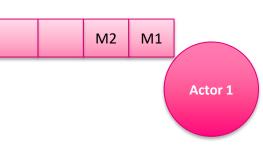
- An actor can be seen as a sequential unit of computation
 - Although, formally, the model allows for parallelism within the actor, one can safely assume that there are not concurrency issues within the actor.
 - You can think of an actor as a thread
- Actors can send messages to other actors



Actor – Specification



- An actor is an abstraction of a thread (intuitively)
- An actors can only execute any of these 4 actions
 - Receive messages from other actors
 - 2. <u>Send asynchronous messages</u> to other actors
 - 3. Create new actors
 - 4. Change its behaviour (local state and/or message handlers)
- Actors <u>do not share memory</u>
 - They only have access to:
 - Their *local state* (local memory)
 - Their mailbox (multiset with received messages)
 - By default: i) the mailbox unbounded, but with a fixed size at each point of the execution;
 ii) messages are ordered in a FIFO style

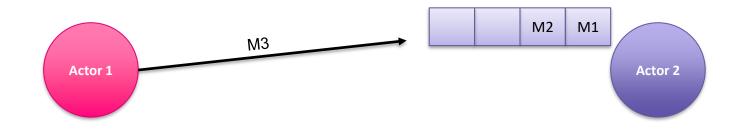




- Every actor in the system has a <u>unique identifier</u>
 - A.k.a. <u>mail address</u> or <u>actor reference</u>
- Actors can
 - Send (finitely many) messages
 - Receive (finitely many) message
 - Received messages are placed in the actor's mailbox (asynchronous communication, see next slide)
- Messages include
 - Content of the message (arbitrary payload)

Asynchronous communication





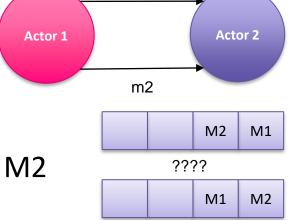
- Asynchronous <u>send:</u>
 - The sender places the message in the mailbox of the receiver
 - It is <u>non-blocking</u>
- Asynchronous <u>receive</u>:
 - The receiver takes a message from the mailbox
 - The receiver **blocks** if the mailbox is empty

No requirements on message arrival order



- No assumptions should be made about the order of arrival of messages
- For instance, consider this sequence of operations
 - Actor1 sends message M1 to Actor2
 - 2. Actor1 sends message M2 to Actor2

It is <u>not</u> guaranteed that M1 arrives before M2

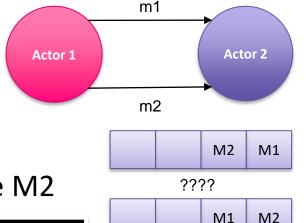


m1

No requirements on message arrival order



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It is *not* guaranteed that M1 arrives before M2

This is actually not true in Akka, but we will ignore that detail. Note that correct programs without this assumption will be correct if the assumption holds. But not viceversa.



Akka toolkit (Actors implementation)

Akka Toolkit



< 2.7

≥ 2.7

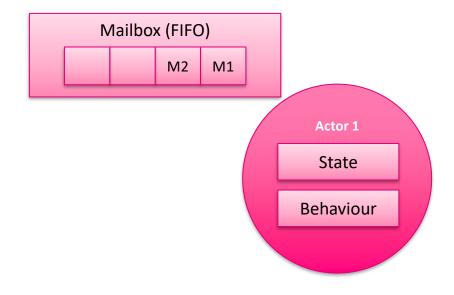
Akka is a free and open-source source-available toolkit and runtime simplifying the construction of concurrent and distributed applications on the JVM. Akka supports multiple programming models for concurrency, but it emphasizes actor-based concurrency [...]

Proven in production					
	Organizations with extreme requirements rely on Akka and other Lightbend technologies. Read about some of the <u>successful journeys and case studies</u> .				
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[Wikipedia]

Akka actors







Actors system to count the numbers of visitors in Tivoli

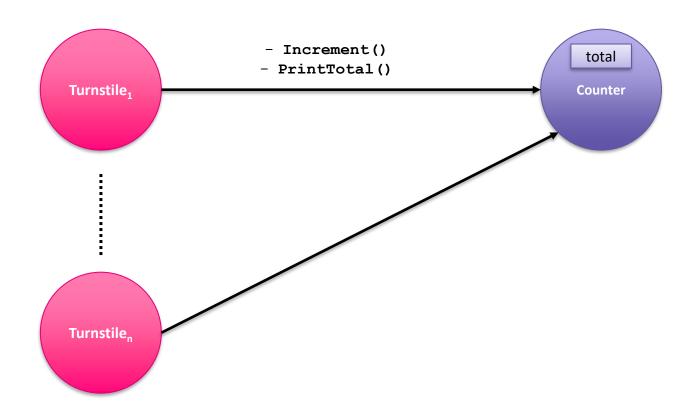


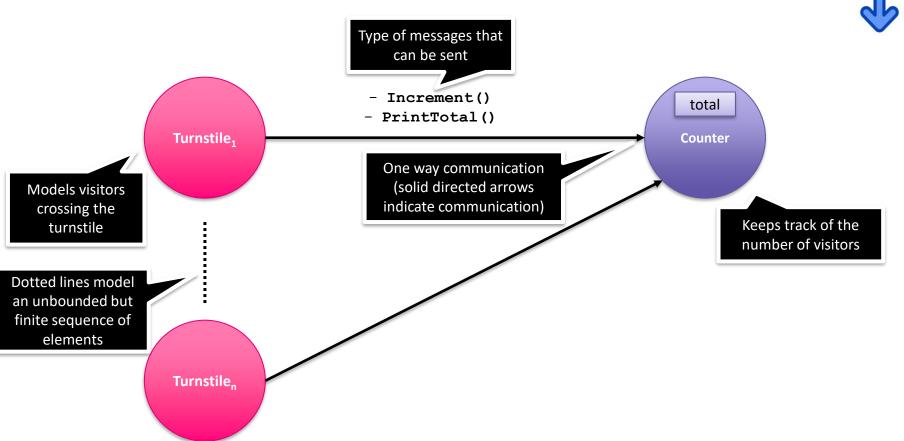




Turnstile with Actors - Design

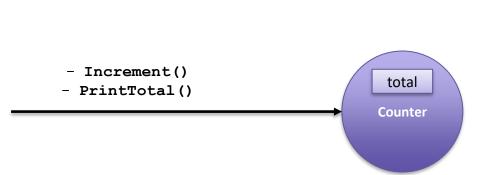






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public class Counter extends AbstractBehavior<Counter.CounterCommand> {
   /* --- Messages ----- */
   public interface CounterCommand {}
   public static final class Increment implements CounterCommand { }
   public static final class PrintTotal implements CounterCommand { }
   /* --- State ----- */
   private int total;
   ... // constructor missing (see next slides)
   /* --- Message handling ----- */
   public Receive<CounterCommand> createReceive() {
       return newReceiveBuilder()
           .onMessage(Increment.class, this::onIncrement)
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   /* --- Handlers ----- */
   public Behavior<CounterCommand> onIncrement(Increment msg) {
       this.getContext()
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   public Behavior<CounterCommand> onPrintTotal (PrintTotal msg) {
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Actors vs Threads

- Like threads, Actors are defined in their own class
 - Increment()
 - PrintTotal()



- An actor class extends from an Akka AbstractBehavior
- Parameterized with the type of messages the actor handles (see next slide)

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Counter
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It is a good practice to define the type of messages that the actors handles as inner classes

- Increment()
- PrintTotal()

total Counter

If the actor handles more than one type of message, then define a top level interface that is implemented by each type of message

Message classes must be thread-safe. The recommended Akka practice is to define them as static and final; making them immutable.

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```
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Actors vs Threads

- Like threads, Actors' local state is defined as private fields
 - Increment()
 - PrintTotal()



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-28
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Actors vs Threads

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total Counter

Are there visibility issues in the actor state?

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```

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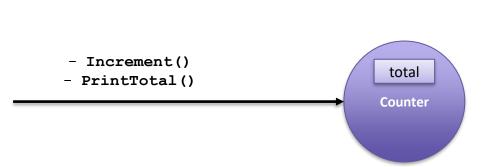
Actors vs Threads

- Actors do not simply require implementing a run () method.
- Actors are "reactive", they act upon receiving a message
- This is implemented via message handlers

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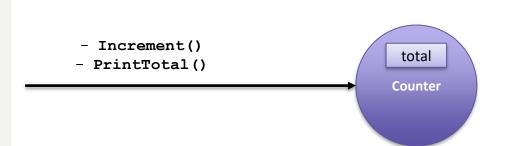
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Minor detail: Printing is always carried out through a logger in the context of the system



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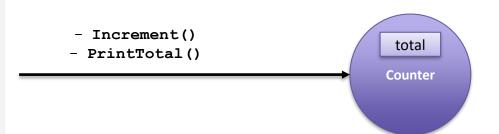
Message handlers return the *behaviour* of the actor after processing the message

In this lecture, we only consider actors that do not change behaviour, i.e., they simply return this (the current behaviour)

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-31
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Counter.java in turnstile package



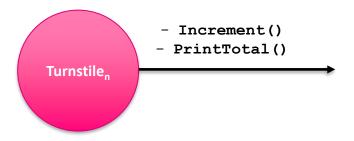
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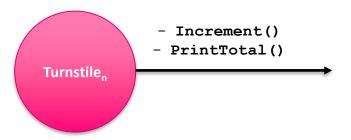
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   /* --- State ------ */
   private final ActorRef<Counter.CounterCommand> countActor;
   /* --- Constructor ----- */
   private Turnstile(ActorContext<TurnstileCommand> context,
                    ActorRef<Counter.CounterCommand> countActor) {
       super(context);
       this.countActor = countActor;
   /* --- Actor initial behavior ----- */
   public static Behavior<TurnstileCommand> create(ActorRef<Counter.CounterCommand> countActor) {
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   /* --- Message handling ----- */
   @Override
   public Receive<TurnstileCommand> createReceive() {
       return newReceiveBuilder()
           .onMessage(Start.class, this::onStart)
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   /* --- Handlers ----- */
   private Behavior<TurnstileCommand> onStart(Start msg) {
       // send 20 increments to the counter
       IntStream.range(0,20)
           .forEach( i -> {
                  countActor.tell(new Counter.Increment());
               1);
       countActor.tell(new Counter.PrintTotal());
       // continue with the same behavior
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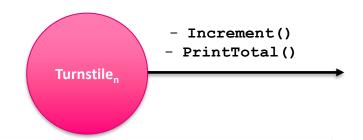


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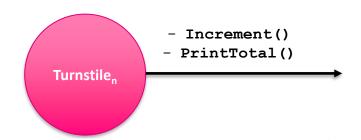
Actors vs Threads

- Like threads, the state of the actor is initialized via a constructor
- In Akka, the constructor must be defined as private; as it is never directly used for actor creation (see next slide)
- The constructor always takes context as a parameter

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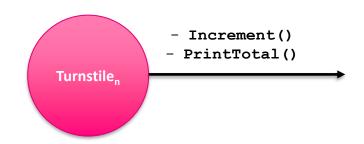
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       // send 20 increments to the counter
       IntStream.range(0,20)
           .forEach( i -> {
                  countActor.tell(new Counter.Increment());
               1);
       countActor.tell(new Counter.PrintTotal());
       // continue with the same behavior
       return this:
```



- Actors are created via an initial behaviour
- The initial behaviour is defined as a create method
- The create method uses the private constructor
- Behaviors.setup initializes the actor in an *actor* context (the context contains information about the actor system)

```
• 36
```

```
public class Turnstile extends AbstractBehavior<Turnstile.TurnstileCommand> {
   /* --- State ----- */
   private final ActorRef<Counter.CounterCommand> countActor;
   /* --- Constructor ----- */
   private Turnstile(ActorContext<TurnstileCommand> context,
                    ActorRef<Counter.CounterCommand> countActor) {
       super(context);
       this.countActor = countActor;
   /* --- Actor initial behavior ----- */
   public static Behavior<TurnstileCommand> create(ActorRef<Counter.CounterCommand> countActor) {
       return Behaviors.setup(context -> new Turnstile(context, countActor));
   /* --- Message handling ----- */
   @Override
   public Receive<TurnstileCommand> createReceive() {
       return newReceiveBuilder()
           .onMessage(Start.class, this::onStart)
           .build();
   /* --- Handlers ----- */
   private Behavior<TurnstileCommand> onStart(Start msg) {
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       return this:
```



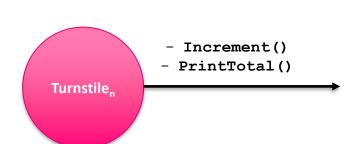
- To send asynchronous messages, we call the tell(...) method on a reference to the actor (intended to receive the message)
- The tell method takes as parameter an object of the type of messages that the actor can process

```
public class Turnstile extends AbstractBehavior<Turnstile.TurnstileCommand> {
   /* --- State ------ */
   private final ActorRef<Counter.CounterCommand> countActor;
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       countActor.tell(new Counter.PrintTotal());
       // continue with the same behavior
       return this:
```

- To send asynchronous messages, we call the tell(...) method on a reference to the actor (intended to receive the message)

- The tell method takes as parameter an object of the type of messages that the actor can process

Turnstile.javain turnstile package





- In summary an Akka actor class should have these elements
 - 1. Messages
 - 2. State
 - 3. Constructor
 - 4. Initial behaviour
 - 5. Message handler
 - 6. Handlers
- You may notice that all files in the code-lecture folder have the structure on the right to make it easier to write actor classes

```
public class Actor extends AbstractBehavior<ActorMessage> {
   /* --- Messages ----- */
   /* --- State ----- */
  /* --- Constructor ----- */
  private Actor(...) {...}
  /* --- Actor initial behavior ----- */
  public static Behaviour<ActorMessage> create(...) {...}
  /* --- Message handling ----- */
   @Override
  public Receive<ActorMessage> createReceive() {...}
   /* --- Handlers ----- */
```



 There is a one-to-one correspondence of the basic actor operations and the Akka API

Actors Model	Akka
Actor	Actor class (AbstractBehaviour)
Mailbox Address	Reference to Actor class
Message	Message static final class
State	Actor class local attributes
Behaviour	Handler functions in the Actor class
Create actor	API function
Send message	API function
Receive message	Message handler builder (from API)



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Receive message	Message handler builder (from API)

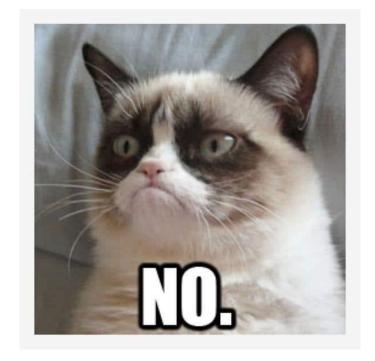


This is what you would expect

```
public class Main {
    public static void main(String[] args) {
        Actor a1 = new Actor();
        Actor a2 = new Actor();
        a1.start();
        a2.start();
}
```



This is what you would expect



```
total
Counter
```

```
public class MainNG {
      public static void main(String[] args) {
            // start the counter actor
            ActorSystem<Counter.CounterCommand> counter = ActorSystem.create(Counter.create(), "counter actor");
            // simulate 5 people entering the park
            IntStream.range(0,5)
                .forEach(i -> {
                      counter.tell(new Counter.Increment());
            counter.tell(new Counter.PrintTotal());
```

This line creates an initial counter actor

We can send messages to the counter actor

MainNG.javain turnstile package



```
// simulate 5 people entering the park
IntStream.range(0,5)
```

.forEach(i -> {
 counter.tell(new Counter.Increment());

});
counter.tell(new Counter.PrintTotal());

}

This line creates an initial counter actor

We can send messages to the counter actor

can only be used to create one

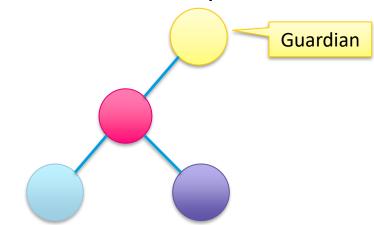
actor. What about the others?

Unfortunately, ActorSystem.create

 ${\tt MainNG.java} \ in \ {\tt turnstile} \ {\tt package}$



Akka actor systems have an implicit hierarchical structure



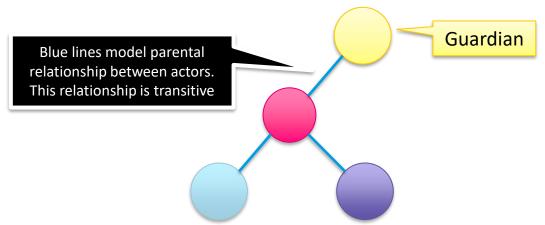
 The first actor to be created in the system is a top-level actor known as guardian, this actor is created with ActorSystem.create

ActorSystem<Counter.CounterCommand> counter = ActorSystem.create(Counter.create(), "counter actor")

In our example, we use counter as the guardian, but this is not idiomatic



Akka actor systems have an implicit hierarchical structure



 The first actor to be created in the system is a top-level actor known as guardian, this actor is created with ActorSystem.create

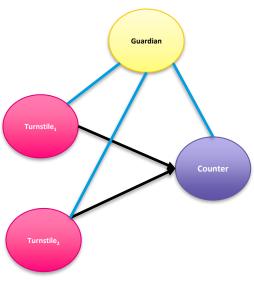
ActorSystem<Counter.CounterCommand> counter = ActorSystem.create(Counter.create(), "counter actor")

In our example, we use counter as the guardian, but this is not idiomatic

Typically the Guardian creates the initial actors in the system

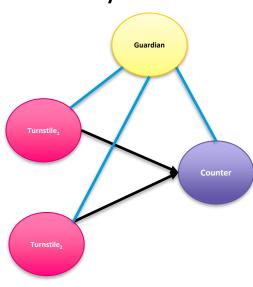
```
public class Guardian extends AbstractBehavior<Guardian.KickOff> {
   public static final class KickOff { }
   private Guardian(ActorContext<KickOff> context) {
        super (context);
   public static Behavior<Guardian.KickOff> create() {
        return Behaviors.setup(Guardian::new);
    @Override
   public Receive<KickOff> createReceive() {
        return newReceiveBuilder()
            .onMessage(KickOff.class, this::onKickOff)
             .build();
   private Behavior<KickOff> onKickOff(KickOff msg) {
        // spawn the counter actor
        ActorRef<Counter.CounterCommand> counter =
            getContext().spawn(Counter.create(), "counter actor");
        // spawn two turnstile actors
        ActorRef<Turnstile.TurnstileCommand> t1 =
            getContext().spawn(Turnstile.create(counter), "t1");
        t1.tell(new Turnstile.Start());
        ActorRef<Turnstile.TurnstileCommand> t2 =
            getContext().spawn(Turnstile.create(counter), "t2");
        t2.tell(new Turnstile.Start());
        // The behaviour stays the same
        return this;
```

- The Guardian is an actor like any other
- It typically receives a kickoff messages that indicates to start the system



Typically the Guardian creates the initial actors in the system

```
public class Guardian extends AbstractBehavior<Guardian.KickOff> {
   public static final class KickOff { }
   private Guardian(ActorContext<KickOff> context) {
        super (context);
   public static Behavior<Guardian.KickOff> create() {
        return Behaviors.setup(Guardian::new);
    @Override
   public Receive<KickOff> createReceive() {
        return newReceiveBuilder()
             .onMessage(KickOff.class, this::onKickOff)
             .build();
    private Behavior<KickOff> onKickOff(KickOff msq) {
        // spawn the counter actor
        ActorRef<Counter.CounterCommand> counter =
            getContext().spawn(Counter.create(), "counter actor");
        // spawn two turnstile actors
        ActorRef<Turnstile.TurnstileCommand> t1 =
            getContext().spawn(Turnstile.create(counter), "t1");
        t1.tell(new Turnstile.Start());
        ActorRef<Turnstile.TurnstileCommand> t2 =
            getContext().spawn(Turnstile.create(counter), "t2");
        t2.tell(new Turnstile.Start());
        // The behaviour stays the same
        return this;
```



- Children actors are created with spawn ()
- The code on the right creates the counter actor and two turnstile actors

Akka Main + Guardian



```
public class Main {
   public static void main(String[] args) {
        // actor system
        final ActorSystem<Guardian.KickOff> guardian =
            ActorSystem.create(Guardian.create(), "counter akka");
        // trigger message
        guardian.tell(new Guardian.KickOff());
        // wait until user presses enter
            System.out.println(">>> Press ENTER to exit <<<");
            System.in.read();
        catch (IOException e) {
            System.out.println("Error " + e.getMessage());
            e.printStackTrace();
        } finally {
            quardian.terminate();
                Main.javain turnstile package
```

- This is a template that you can use to start any actor system in Akka.
- Simply replace the content of the onKickOff() method on the right to spawn the desired actors

```
public class Guardian extends AbstractBehavior<Guardian.KickOff> {
   public static final class KickOff { }
   private Guardian(ActorContext<KickOff> context) {
        super(context);
   public static Behavior<Guardian.KickOff> create() {
        return Behaviors.setup(Guardian::new);
   @Override
   public Receive<KickOff> createReceive() {
        return newReceiveBuilder()
            .onMessage(KickOff.class, this::onKickOff)
            .build();
   private Behavior<KickOff> onKickOff(KickOff msg) {
        // spawn the counter actor
        ActorRef<Counter.CounterCommand> counter =
            getContext().spawn(Counter.create(), "counter actor");
        // spawn two turnstile actors
        ActorRef<Turnstile.TurnstileCommand> t1 =
            getContext().spawn(Turnstile.create(counter), "t1");
        t1.tell(new Turnstile.Start());
        ActorRef<Turnstile.TurnstileCommand> t2 =
            getContext().spawn(Turnstile.create(counter), "t2");
        t2.tell(new Turnstile.Start());
        // The behaviour stays the same
        return this;
             Guardian.javain turnstile package
```

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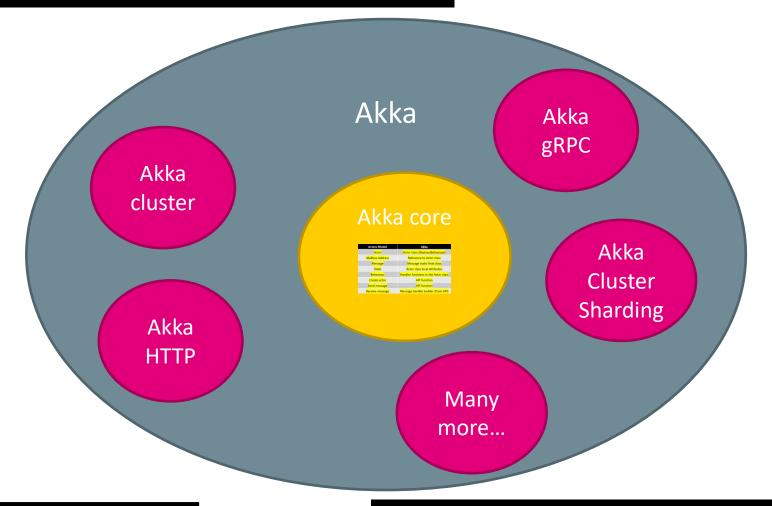


 There is a one-to-one correspondence of the basic actor operations and the Akka API

Actors Model	Akka
<mark>Actor</mark>	Actor class (AbstractBehaviour)
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<u>Behaviour</u>	Handler functions in the Actor class
Create actor	API function
Send message	API function
Receive message	Message handler builder (from API)

We only use a tiny bit of Akka



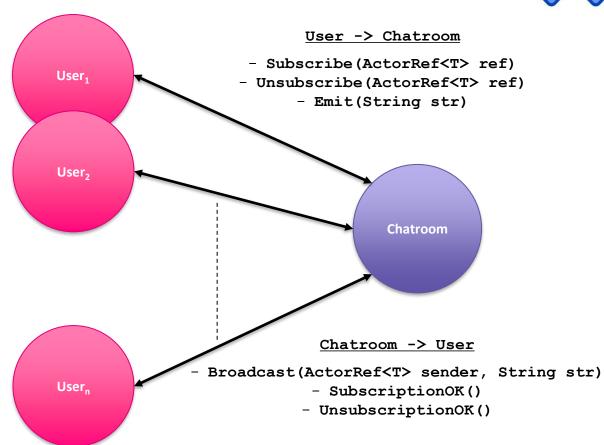




A broadcast chatroom

Broadcaster

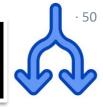
- A set of user actors may subscribe to a chatroom actor
 - The chatroom must confirm the subscription
- Users may emit messages that the chatroom broadcasts to all subscribers (except for the sender)
- Users may unsubscribe
 - The chatroom must confirm the unsubscription.

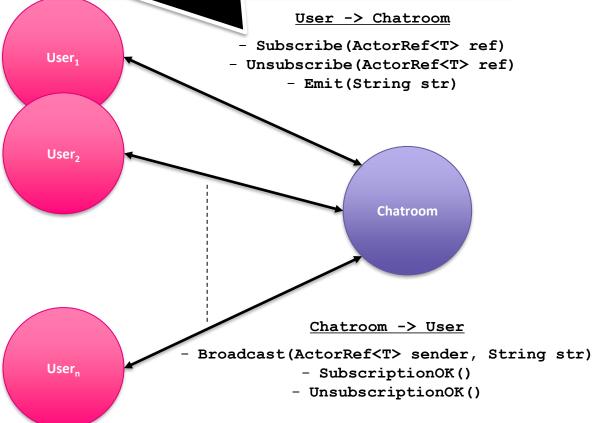


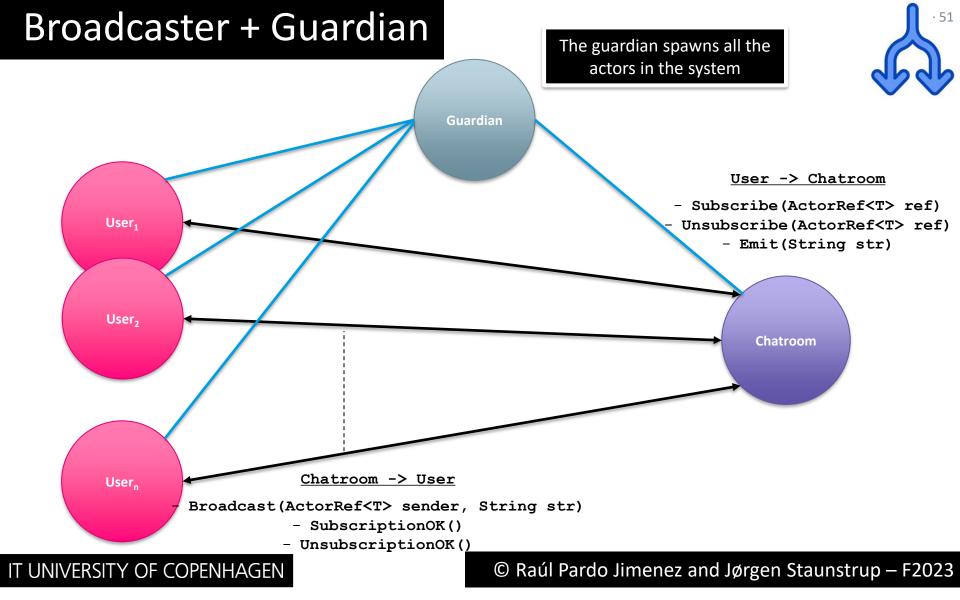
Broadcaster

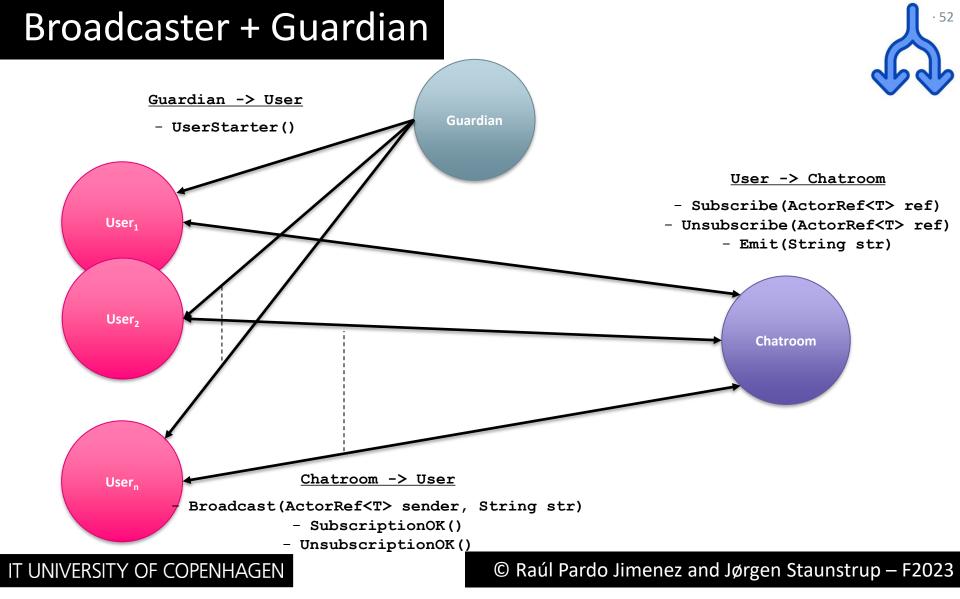
- A set of user actors may subscribe to a chatroom actor
 - The chatroom must confirm the subscription
- Users may emit messages that the chatroom broadcasts to all subscribers (except for the sender)
- Users may unsubscribe
 - The chatroom must confirm the unsubscription.

Important detail, messages do not contain information about the sender. If, for instance, the sender needs a reply, the message must contain a reference to the sender

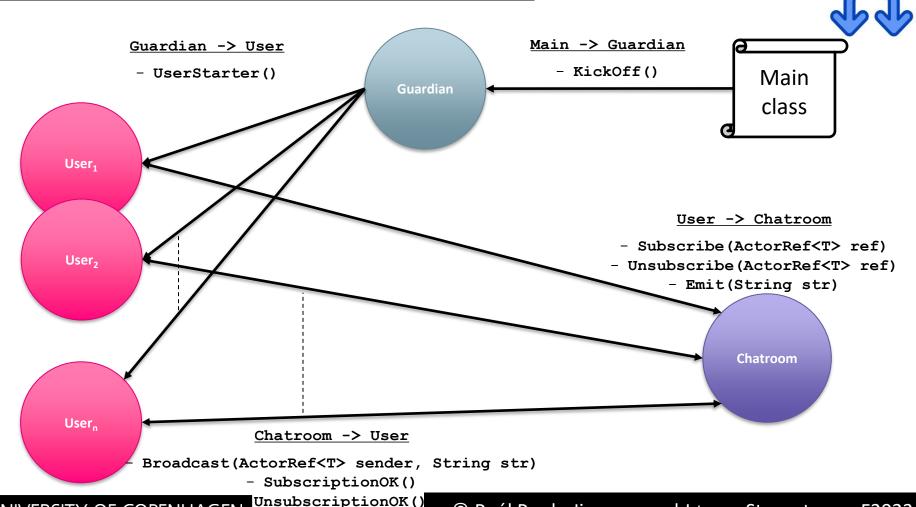








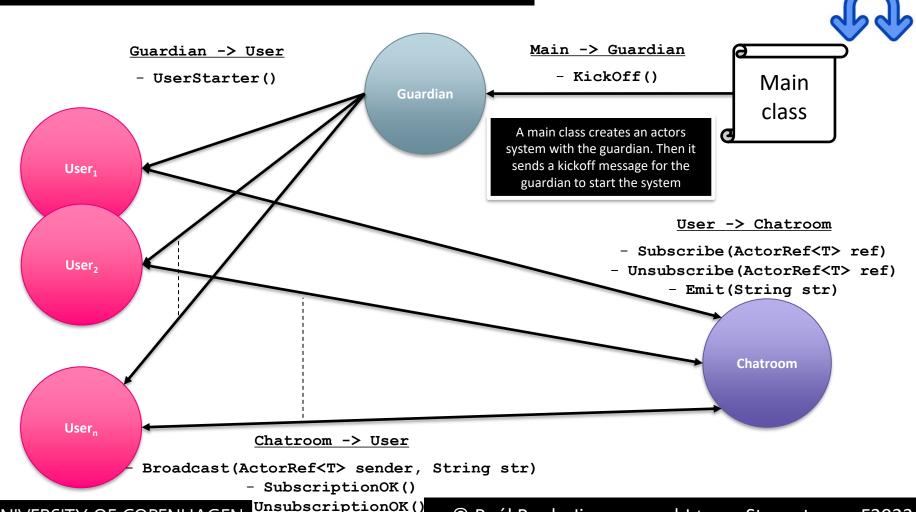
Broadcaster + Guardian + Main



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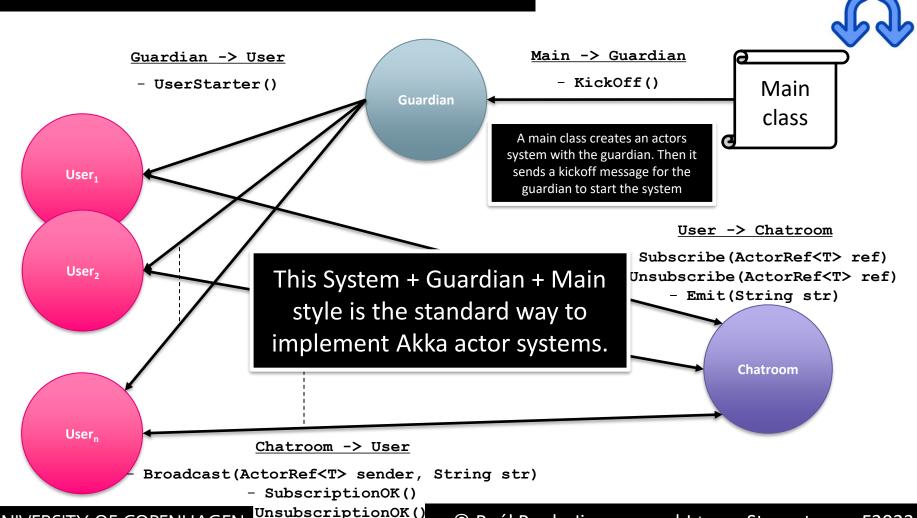
Broadcaster + Guardian + Main



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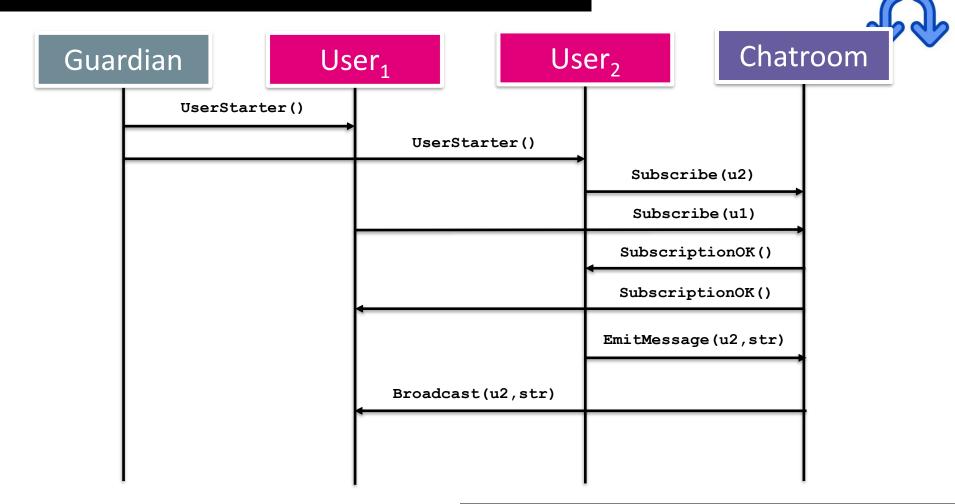
Broadcaster + Guardian + Main



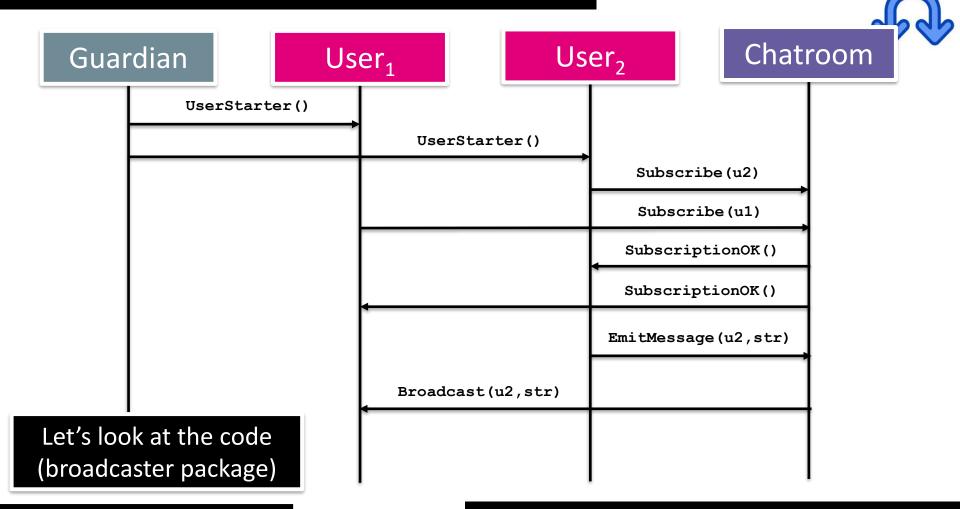
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Brodcaster – execution example



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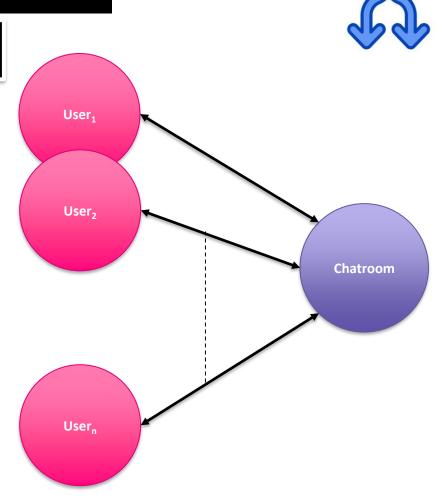
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Broadcaster interesting executions

Recall: FIFO mailboxes (Akka's default)

- Consider this execution
- User1 sends Subscription to Chatroom
- 2. User2 sends Subscription to Chatroom
- 3. ..

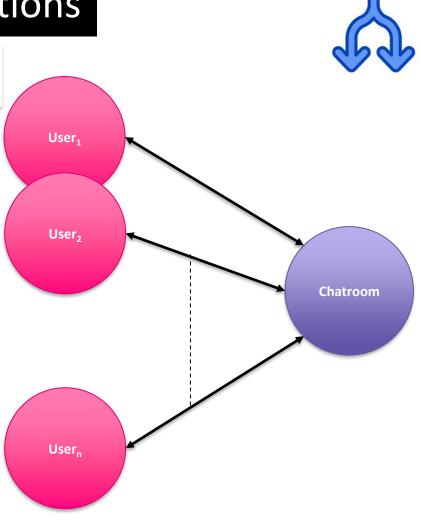
What actor will receive first SubscriptionOK?



Broadcaster interesting executions

- Consider this execution
- Recall: FIFO mailboxes (Akka's default)
- 1. User1 sends Subscription to Chatroom
- Chatroom replies SubscriptionOK to User1
- 3. User1 emits message to Chatroom
- 4. User2 sends Subscription to observable
- 5.

Can User2 receive the message sent by User1 in step 3?



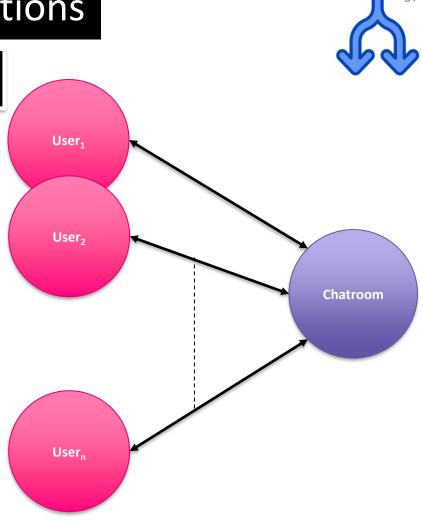
Broadcaster interesting executions

- Consider this execution
- s execution (Akka's default)

Recall: FIFO mailboxes

- 1. User1 send Subscription to Chatroom
- Chatroom replies SubscriptionOK to User1
- 3. User1 emits message to Chatroom
- 4. User2 sends Subscription to Chatroom
- 5. Chatroom replies SubscriptionOK to User2
- 6. User2 receives BroadcastMessage (with message sent by User1 in step 3)
- 7. User3 sends Subscription to Chatroom
- 8. .

Can User3 receive the message sent by User1 in step 3?



- -58
- Note that in the previous questions the behaviour of the systems depends on the reception of messages
- Thus, the happened-before relation defined by Lamport is useful in reasoning about actor systems
 - An action a happens-before an action b
 if they belong to the same actor and
 a was executed before b
 - A send(m) action happens-before its corresponding receive(m)
- Note the similarity with the happens-before relation of the Java memory model
 - We reason about message exchange instead of locking (but inherent coordination problems remain)
 - Visibility issues disappear as actors only access local memory



A bounded buffer

Producer-consumer problem | Intuition



Perhaps more intuitive example

Consumers Shared data structure of fixed size

Producers

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Producer-consumer problem | Intuition



Consumers

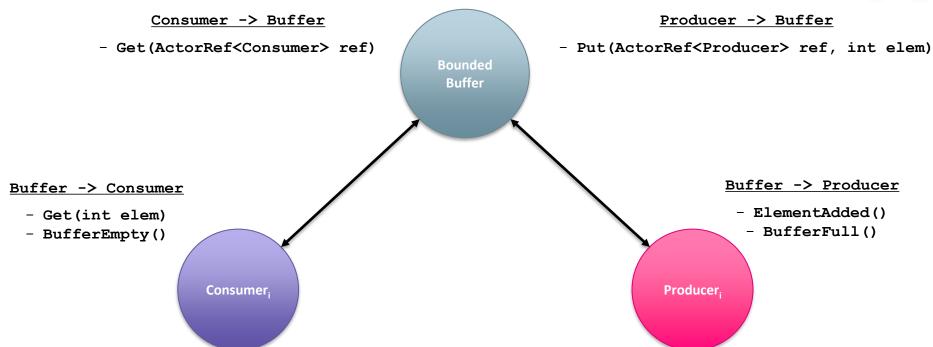


Producers

Shared data structure of fixed size

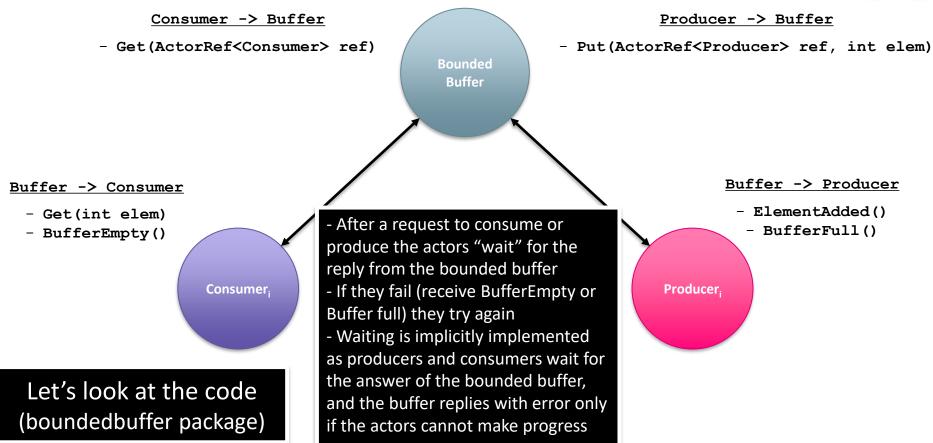
Bounded Buffer with Actors





Bounded Buffer with Actors



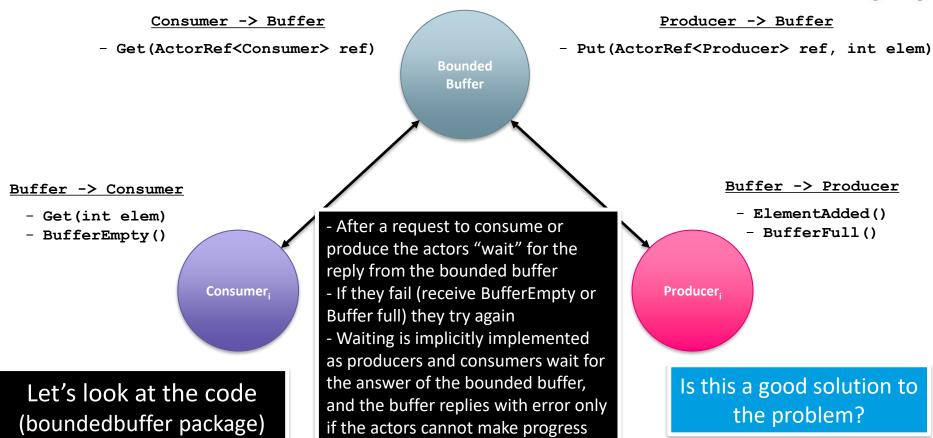


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Bounded Buffer with Actors





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Actors in distributed systems



The actors model has natural mapping in distributed systems

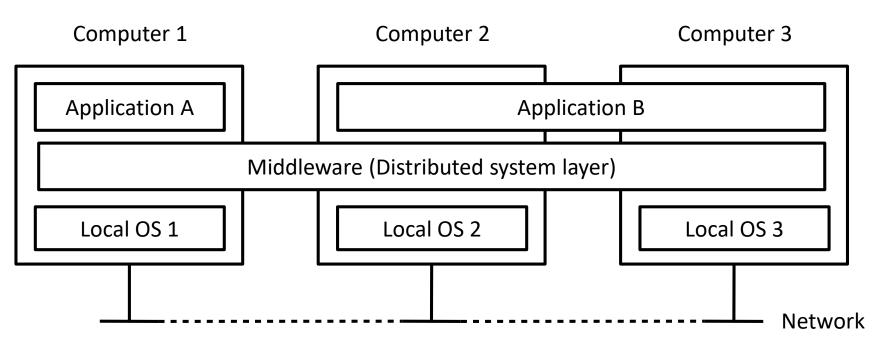
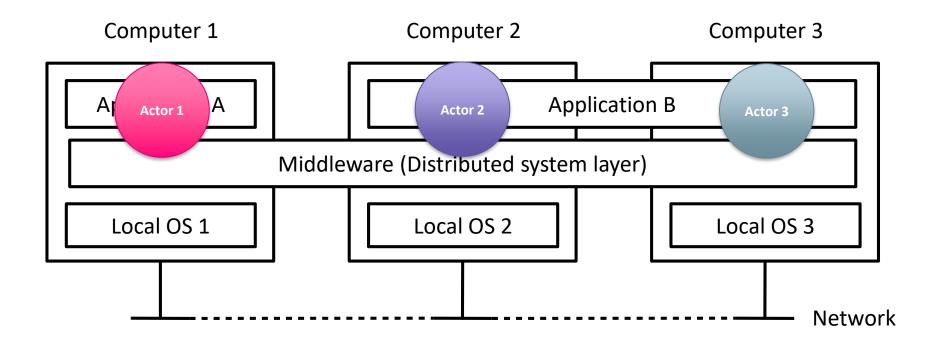


Figure taken from -> Distributed Systems: Principles and Paradigms. Andrew S. Tanenbaum and Maarten Van Steen. 2007.

Actors in distributed systems



The actors model has natural mapping in distributed systems

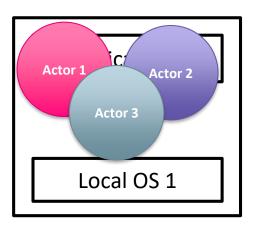


Actors in a single computer



The actors model is applicable in a single computer as well

Computer 1



In this lecture, we focus on this type of actor system

Agenda



- Problems in shared memory concurrency (revisited)
- Actors
- Akka
- Example systems
 - Turnstile (counter)
 - Broadcaster
 - Bounded Buffer