Agreement Number: Click to enter Agreement Number.  
(Assigned by Purchasing and Risk Services)

Click to enter Contract/Grant No./Agency Name  
Contract/Grant No. (if applicable) Agency Name

**UNIVERSITY OF CALIFORNIA**

**INDEPENDENT CONSULTANT AGREEMENT**

(09/2016)

This Agreement to furnish certain consulting services is by and between THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a Corporation as established in Article IX, section 9 of the California State Constitution, on behalf of Click to enter UCI Department Name. at University of California, Irvine (hereinafter called "the University") and Click to enter consultant name. (hereinafter called the "Consultant") located at Click to enter consultant address.

I. NATURE AND PLACES(S) OF SERVICE

A. The Consultant shall furnish to the University the following described services:

Click to enter Scope of Work details. Describe in great detail; provide the who, what, where, when, and how.

B. In addition to the services described in subparagraph A. above, the Consultant's proposal to the University shall be incorporated herein by this reference and made part of this Agreement.

YES  NO

If the Consultant’s proposal is incorporated herein, should there be any conflict between the terms of this Agreement and the Consultant's proposal, the terms of this Agreement shall control.

C. If the Consultant is an entity other than an individual, the University requires that Click to enter name of individual completing work. Enter “N/A” if it doesn’t matter who is completing the work. be assigned to perform the work set forth herein. No reassignment of work to any other individual shall be made without the written approval of the University.

D. Reports:

The Consultant shall provide reports as follows:

Click to enter specific reports that consultant is responsible for providing. Enter “N/A” if reports will not be provided.

OR

No report required.

1. Places(s) of performance will be:

Click to enter place(s) of performance.

1. The University will provide working space, equipment, furniture, utilities, and services, as follows:

Click to enter working space, equipment, furniture, utilities, and services the University will provide. Enter “N/A” if working space, equipment, etc. will not be provide.

G. 1. If applicable, the services of the Consultant will assist the University in the performance of contract/grant no. Click to enter contract/grant no Enter “N/A” if no contract/grant number. sponsored by Click to enter sponsor. Enter “N/A” if no sponsor. dated Click to enter date. Enter “N/A” if no date.

Applicable portions of contracts are attached.

2. If sponsored by a Public Health Service (PHS) entity or an entity following the PHS regulations, which include but are not limited to: PHS, NIH, CDC, FDA American Cancer Society and the Susan G. Komen Breast Cancer foundation, the consultant shall be responsible for meeting the requirements of 42 CFR Part 50 Subpart F and 45 CFR Part 94 and the University’s policies related thereto. No additional fee or cost shall be required as part of these obligations. These policies and requirements include that the Consultant shall:

1. Complete University required training regarding these regulations prior to the start of any work and every other year thereafter during the term of the Agreement.
2. Disclose all significant financial interests related to Consultant’s work for the University and Sponsor as set forth in the regulations above and University policy.
3. Cooperate in any mitigation efforts that may be required, such requirement to survive beyond the end of the term.
4. Follow the University’s PHS Financial Conflict of Interest policy. Failure to do so may result in termination of the Agreement and/or other legal consequences.

3. If sponsored by the National Science Foundation (NSF), the consultant shall be responsible for meeting the requirements of [Grant Policy Manual NSF 05-131\_510. Conflict of Interest](http://www.nsf.gov/pubs/manuals/gpm05_131/gpm5.jsp) and the University’s policies related thereto. No additional fee or cost shall be required as part of these obligations. These policies and requirements include that the Consultant shall:

1. Disclose all significant financial interests related to Consultant’s work for the University and Sponsor as set forth in the regulations above and University policy.
2. Cooperate in any mitigation efforts that may be required, such requirement to survive beyond the end of the term.
3. Follow the University’s NSF Financial Conflict of Interest policy. Failure to do so may result in termination of the Agreement and/or other legal consequences.
4. The Consultant shall use recording devices in discussions with University employees only when the University and said employees so authorize; this authorization shall be in writing. If applicable, the Consultant's use of recording devices in such discussion is proposed as follows: Click to enter recording devices used. Enter “N/A” if no recording device.

II. TERM OF AGREEMENT

1. The period of performance for this Agreement shall be from Click to enter start date: Month, Day, Year (December 1, 2016) through Click to enter end date: Month, Day, Year (December 1, 2016).

B. Either the University or the Consultant may terminate this Agreement for convenience at any time by giving the other 30 calendar days' written notice of such action.

C. If one party gives 10 days' notice to the other of a breach of this Agreement and the breaching party fails to cure said breach within said 10 day period, this Agreement may be terminated by the non-breaching party.

III. COMPENSATION AND REIMBURSEMENT OF EXPENSES

A. The University will pay the following to the Consultant for services performed:

1. Professional Fees:

|  |  |
| --- | --- |
|  | $ |
| See attached fee schedule | $ |
| $       per day/hour x not-to-exceed       days/ hours | $ |
| $ flat rate of | $ |
| Subtotal | $ |

2. Other Expenses:

|  |  |
| --- | --- |
| Amounts below are not-to-exceed amounts |  |
| Receipts must be submitted with invoices for actual expenditures | |
| See attached fee schedule |  |
| Per Diem at $      for up to       days | $ |
| Travel expenses: | $ |
| Other expenses: | $ |
|  | $ |
|  |  |
| Subtotal | $ |
|  |  |
| MAXIMUM TO BE PAID UNDER THIS AGREEMENT: | $ |

B. Payments.

Payment will be made upon submission of an invoice by the Consultant indicating the Agreement Number and setting forth charges in accordance with rates detailed in paragraph A above and the performance schedule in Article IV below. The invoice must include the Consultant's taxpayer identification number. Consultants shall submit invoices to person named in V., below.

OR

Payments will be made on a monthly or periodic basis without invoice provided a schedule of specific payment has been made a part of this Agreement and is in accordance with the performance schedule set out in Article IV., below.

No payments shall be made in advance of work performed, except as specified in the Agreement. The University has an integrated financial system that requires all its vendors to onboard into the system and to provide information, such as an Employer Identification or Social Security Number, address, etc. Payment under this Agreement shall not be made or required until or unless the Consultant onboards into the University’s financial system.

IV. PERFORMANCE SCHEDULE

Click to enter specific event schedule. Be as specific as possible: date of event, arrival time, who or how many people need to work the event, what time can they leave? When does the final product need to be delivered?

V. REPORTING

In performing consulting services hereunder, the Consultant shall report to:

Click to enter UCI personnel that is the point of contact for the consultant.

VI. NOTIFICATION

Any written notification required hereunder shall be personally served or mailed by certified mail, return receipt requested, to the following:

|  |  |
| --- | --- |
| **For the University:** | Click to enter UCI Department info: Contact Name, Title, Department Name, Street Address, City, State, Zip Code. |
| **For the Consultant:** | Click to enter Consultant info: Consultant Name, Street Address, City, State, Zip Code. |

VII. TAXES

The compensation stated in Article III of this Agreement includes all applicable taxes and will not be changed hereafter as the result of Consultant's failure to include any applicable tax, or as the result of any changes in the Consultant's tax liabilities.

VIII. ASSIGNMENT OR SUBCONTRACTING

The Consultant may not assign or transfer this Agreement or any interest herein or claim hereunder, or subcontract any portion of the work hereunder, without the prior written approval of the University. If the University consents to such assignment or transfer, the terms and conditions of this Agreement shall be binding upon any assignee or transferee.

IX. PATENTS

Whenever any invention or discovery is made or conceived by the Consultant in the course of or in connection with this Agreement, the Consultant shall promptly furnish the University complete information with respect thereto and the University shall have the sole power to determine whether and where a patent application shall be filed and to determine the disposition of title to and all rights under any application or patent that may result. The Consultant will, at University expense, execute all documents and do all things necessary or proper with respect to such patent applications. The Consultant is specifically subject to an obligation to assign all right, title and interest in any such patent rights to the University as well as all right, title and interest in tangible research products embodying such inventions whether the inventions are patentable or not.

X. COPYRIGHT

The University shall own, solely and exclusively, the copyright and all copyright rights to any written or otherwise copyrightable material delivered under this Agreement. The Consultant warrants that all creators of copyrightable material delivered under this Agreement to the University are, at the time of the material's creation, bona fide employees or subcontractors of the Consultant, and that such creation is within the course and scope of the creator's employment.

XI. RESTRICTION RELATING TO CONSULTING SERVICES OR SIMILAR CONTRACTS – FOLLOW-ON CONTRACTS

Please note a Consultant that is awarded a consulting services or similar contract cannot later submit a bid or be considered for any work “required, suggested, or otherwise deemed appropriate” as the end product of the Services (see Public Contract Code Section 10515).

XII. CONSULTANT'S LIABILITY AND INSURANCE REQUIREMENTS

1. The Consultant shall defend, indemnify, and hold the University, its officers, employees, and agents harmless from and against any and all liability, loss, expense (including reasonable attorneys' fees), or claims for injury or damages that are caused by or result from the negligent or intentional acts or omissions of the Consultant, its officers, agents, or employees.

2. The Consultant, at its sole cost and expense, shall insure its activities in connection with the work under this Agreement and obtain, keep in force, and maintain insurance as follows:

a. Comprehensive or Commercial Form General Liability Insurance (contractual liability included) with limits as follows: (MINIMUM LIMITS)

(1) Each Occurrence $ 1,000,000

(2) Products/Completed Operations Aggregate $ 1,000,000

(3) Personal and Advertising Injury $ 1,000,000

(4) General Aggregate (Not applicable to the Comprehensive Form) $ 1,000,000

Such Insurance shall be written on an occurrence-based form. Claims-made policies will not be accepted.

b. Business Automobile Liability Insurance for owned, scheduled, non-owned, or hired automobiles with a combined single limit not less than $1,000,000 per occurrence. (REQUIRED ONLY IF THE CONSULTANT DRIVES ON THE UNIVERSITY PREMISES IN THE COURSE OF PERFORMING WORK OR TRANSPORTATION IS PART OF THE CONTRACTED SERVICES HEREIN.)

c. Professional Liability Insurance with a limit of $1,000,000 per occurrence. If this insurance is written on a claims-made form, it shall continue for three years following termination of this Agreement. The insurance shall have a retroactive date of placement prior to or coinciding with the effective date of this Agreement.

d. Workers' Compensation as required by law.

It should be expressly understood, however, that the coverage and limits referred to under a., b., and c. above shall not in any way limit the liability of the Consultant. The Consultant shall furnish the University with certificates of insurance evidencing compliance with all requirements prior to commencing work under this Agreement. Such certificates shall:

(1) Provide for thirty (30) days advance written notice to the University of any modification, change, or cancellation of any of the above insurance coverage.

(2) Indicate that The Regents of the University of California has been endorsed as an additional insured under the coverage referred to under a. and b.

(3) Include a provision that the coverage will be primary and will not participate with nor be excess over any valid and collectible insurance or program of self-insurance carried or maintained by the University.

It should be further understood that the provisions under (2) and (3) above shall only apply in proportion to and to the extent of the negligent act or omissions of the Consultant, its officers, agents, subcontractors, or employees.

XIII. RECORDS ABOUT INDIVIDUALS

The State of California Information Practices Act of 1977, as well as University policy, sets forth certain requirements and safeguards regarding records pertaining to individuals, including the rights of access by the subject individual and by third parties.

If the Consultant creates records about an individual of a confidential or personal type, including notes or tape recordings, the information shall be collected to the greatest extent practicable directly from the individual who is the subject of the information. When collecting the information, the Consultant shall inform the individual that the record is being made and the purpose of the record. Use of recording devices is permitted only if specified in this Agreement.

XIV. OWNERSHIP AND ACCESS TO RECORDS

All confidential or personal information about individuals provided to the Consultant or derived from the performance of the services under this Agreement shall remain the property of the University and subject to state law and University policies governing privacy and access to files. The Consultant shall not use such information except as required in the performance of the services under this Agreement.

XV. EXAMINATION OF RECORDS

The University, and if the applicable contract or grant so provides, the other contracting party or grantor (and if that be the United States, or an agency or instrumentality thereof, then the Controller General of the United States) shall have access to and the right to examine any pertinent books, documents, papers, and records of the Consultant involving transactions and work related to this Agreement until the expiration of five years after final payment hereunder. The Consultant shall retain project records for a period of five years from the date of final payment.

XVI. CONFLICT OF INTEREST

1. The Consultant shall not hire any officer or employee of the University to perform any service covered by this Agreement. If the work is to be performed in connection with a Federal contract or grant, the Consultant shall not hire any employee of the United States government to perform any service covered by this Agreement.

2. The Consultant affirms that to the best of his/her knowledge there exists no actual or potential conflict between the Consultant's family, business, or financial interests and the services provided under this Agreement, and in the event of change in either private interests or service under this Agreement, any question regarding possible conflict of interest which may rise as a result of such change will be raised with the University.

3. The Consultant shall not be in a reporting relationship to a University employee who is a near relative, nor shall the near relative be in a decision-making position with respect to the Consultant.

XVII. Equal Opportunity AFFIRMATIVE ACTION

Consultant will abide by the requirements set forth in Executive Orders 11246 and 11375.  Where applicable, Consultant will abide by 41 CFR §§ 60-1.4(a), 60-300.5(a) and 60-741.5(a), incorporated by reference with this statement:  “**This contractor and subcontractor shall abide by the requirements of 41 CFR §§ 60-1.4(a), 60-300.5(a) and 60-741.5(a). These regulations prohibit discrimination against qualified individuals based on their status as protected veterans or individuals with disabilities, and prohibit discrimination against all individuals based on their race, color, religion, sex, or national origin. Moreover, these regulations require that covered prime contractors and subcontractors take affirmative action to employ and advance in employment individuals without regard to race, color, religion, sex, national origin, protected veteran status or disability.”**  With respect to activities occurring in the State of California, Consultant agrees to adhere to the California Fair Employment and Housing Act. Consultant will provide UC on request a breakdown of its labor force by groups as specified by UC, and will discuss with UC its policies and practices relating to its affirmative action programs. Consultant will not maintain or provide facilities for employees at any establishment under its control that are segregated on a basis prohibited by federal law. Separate or single-user restrooms and necessary dressing or sleeping areas must be provided, however, to ensure privacy.

XVIII. CONFIDENTIALITY

The Consultant shall use his or her best efforts to keep confidential any information provided by the University and marked "Confidential Information," or any oral information conveyed to the Consultant by the University and followed by a written communication within thirty (30) days that said information shall be considered Confidential Information. This non-disclosure provision shall not apply to any of the following:

1. Information which the Consultant can demonstrate by written records was known to him or her prior to the effective date of this Agreement;

2. Is currently in, or in the future enters, the public domain other than through a breach of this Agreement or through other acts or omissions of Consultant;

1. Is obtained lawfully from a third party; or
2. Disclosures as required by law. However, Consultant shall notify University in advance of such requests for release of information so as to allow the University reasonable time to oppose or seek to quash such disclosures with the court if it desires.

XIX. NON-WAIVER

Waiver or non-enforcement by either party of a term or condition shall not constitute a waiver or a non-enforcement of any other term or condition or of any subsequent breach of the same or similar term or condition.

XX. NO THIRD-PARTY RIGHTS

Nothing in this Agreement is intended to make any person or entity who is not signatory to the Agreement a third-party beneficiary of any right created by this Agreement or by operation of law.

XXI. TIME IS OF THE ESSENCE

Time is of the essence in this Agreement.

XXII. STANDARD FOR PERFORMANCE

The parties acknowledge that the University, in selecting the Consultant to perform the services hereunder, is relying upon the Consultant's reputation for excellence in the performance of the services required hereunder. The Consultant shall perform the services in the manner of one who is a recognized specialist in the types of services to be performed. All deadlines set forth in the Agreement are binding and may be modified only by subsequent written agreement of the parties. The Consultant shall devote such time to performance of its, her, or his duties under this Agreement as is reasonably necessary for the satisfactory performance of such duties within the deadlines set forth herein. Nothing in the foregoing shall be construed to alter the requirement that time is of the essence in this Agreement.

XXIII. DISPUTE RESOLUTION

Any dispute arising regarding the interpretation or implementation of this Agreement, including any claims for breach of this Agreement, shall be resolved by submitting the claim for arbitration to the American Arbitration Association in accordance with its rules and procedures applicable to commercial disputes. The location of any arbitration hearing shall be Orange County, California, and any enforcement of the arbitrator's decision shall be brought in a court of competent jurisdiction in Orange County, California.

XXIV. ATTORNEY'S FEES

In any action brought by a party to enforce the terms of this Agreement, the prevailing party shall be entitled to reasonable attorney's fees and costs. The prevailing party shall be entitled to the reasonable value of any services provided to it by in-house counsel. The reasonable value of services provided by in-house counsel shall be calculated by applying an hourly rate commensurate with prevailing market rates charged by attorneys in private practice for such services.

XXV. REPRESENTATIVES

Any changes to this Agreement may be made only by the following representatives of the University, or their successors as designated in writing:

|  |  |
| --- | --- |
|  |  |
| Program Review Official | Purchasing and Risk Services  Responsible Administrative Official |

XXVI. ENTIRE AGREEMENT

This Agreement contains the entire agreement between the parties and supersedes all prior written or oral agreements with respect to the subject matter herein. Any amendments to this Agreement must be made, in writing, and approved by the authorized representatives of the Consultant and the University.

XXVII. APPLICABLE LAW

This Agreement shall be construed in accordance with the laws of the State of California without regard to its conflicts of laws rules.

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University Internal Approval(s):

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Program Review Official (date)

|  |  |
| --- | --- |
|  | Name: Click to enter name. |
|  | Title: Click to enter title. |

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IN WITNESS WHEREOF, duly authorized representatives of the parties have signed in confirmation of this Agreement.

**CONSULTANT THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**

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Signature (date) Responsible Administrative Official (date)

Name: Click to enter name. Used by UCI PRS.

Title: Click to enter title. Purchasing and Risk Services

SMOKE AND TOBACCO-FREE ENVIRONMENT:  The University of California is committed to a healthy campus and workplace culture and environment.  Effective January 2, 2014, the University of California is a Smoke and Tobacco-Free environment. Smoking and the use of smokeless tobacco products (e.g. e-cigarettes and other unregulated nicotine products) is strictly prohibited on all University of California-controlled properties, owned or leased and regardless of location. For more information please see:  http://www.policies.uci.edu/adm/pols/903-14.html

Retention Period: Office of Record, Accounting, Executing Office, 5 years following termination, subject to Federal contract and grant requirements. Other Copies: 0-5 years.