

Databases Relational Calculus & Relational Algebra

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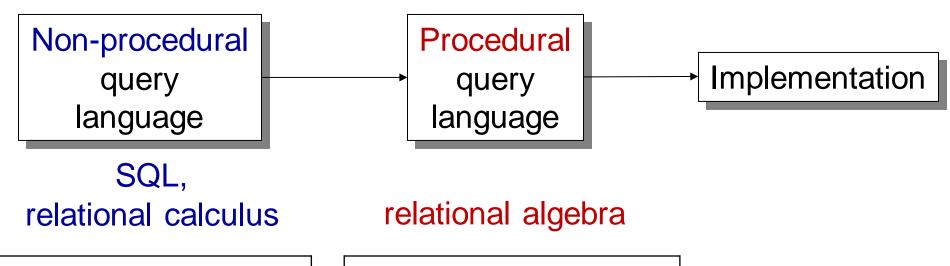
Online Office Hour:

Mondays 13:30–14:30

See Duo for the Zoom link

Relational Calculus & Algebra

- Relational calculus: formal definition of a new relation from existing relations in the DB
- Relational algebra: how to build a new relation from existing relations in the DB
- Their place in the big picture:



specifies <u>which</u> data are to be retrieved

specifies <u>how</u> to retrieve the required data

Relational Calculus & Algebra

Relational Calculus:

- relations are considered as sets of elements (attribute values)
- the new relation is defined from the old one(s) using a set theoretic expression

Relational Algebra:

- based on mathematical relations (tables)
- a theoretical language with operations on one (or more) input relations (tables) to define one new (output) relation
- the input relation(s) remain unchanged

Relational Algebra and Calculus:

- based on logic; equivalent languages (same expressive power)
- for every algebra expression ↔ an equivalent calculus expression

Relational Calculus & Algebra

- Both are formal and non-user-friendly languages
 - used as the basis for higher-level DML such as SQL
 (i.e. the queries of a new language can be described using relational algebra/calculus expressions)
- A query language is relationally complete if:
 - it can be used to produce any relation that can be derived using relational algebra/calculus expressions
- Most modern query languages (like SQL):
 - are relationally complete (but also more than that!)
 - have additional operations (summing, grouping, ordering, ...)
 - more expressive power than relational algebra
 - but still: not expected to be "Turing complete":
 - i.e. not as powerful as other programming languages
 - just designed to support easy & efficient access to large data sets

Relational Calculus

In first-order logic (or 'predicate calculus'):

- <u>predicate:</u> truth-valued function (true/false) with arguments
- <u>proposition:</u> the *expression* obtained when we substitute values to the arguments of a predicate (can be true/false)
- Let P(x) and Q(x) be two predicates with argument x. Then:
 - the 'set of all x such that both P(x) and Q(x) are true' is:

$$\{x|P(x)\land Q(x)\}$$

- the 'set of all x such that P(x) or Q(x) is true' is:

$$\{x|P(x)\vee Q(x)\}$$

- the 'set of all x such that P(x) is not true' is:

$$\{x | \sim P(x)\}$$

Tuple Relational Calculus

- variables ← → tuples of a relation
- Aim: to find tuples for which some predicate(s) is (are) true
- To specify that a tuple S belongs to the relation Staff,
 we use the predicate: Staff (S)

Examples:

• 'all *tuples S* of the relation Staff that have salary > 10000':

```
\{S \mid Staff(S) \land S.salary > 10000\}
```

'the salaries of all members of staff which earn > 10000':

```
\{S.salary \mid Staff(S) \land S.salary > 10000\}
```

Domain relational calculus (another type of calculus):

 variables take values from domains of attributes of a relation (instead of tuples)

Tuple Relational Calculus

- use of quantifiers while building predicates:
 - existential quantifier: ∃ ('there exists')
 - universal quantifier: ∀ ('for all')
- tuple variables that are:
 - quantified by ∃ or ∀ → 'bound variables'
 - not quantified by \exists or \forall \Longrightarrow 'free variables'

Example:

 'the names of all staff members who work in a branch in London':

```
\{S.name \mid Staff(S) \land (\exists B)(Branch(B) \land (B.branchNo = S.branchNo) \land (B.city = London'))\}
```

S: free variable

B: bound variable

Relational Algebra

- Both input and output are relations
 - ⇒the output can become input to another operation
 - ⇒expressions can be nested
 - this property is called "closure"
- Relations are closed under Relational Algebra:
 - in the same sense as:
 - "numbers are closed under arithmetic expressions"
- In Relational Algebra:
 - all involved tuples from the input relation(s) are manipulated in one statement (with no loops)

Relational Algebra

Six basic operations:

- Selection (σ) - Projection (π) - Rename (ϱ) - Union (U)- Set Difference (-)- Cartesian product (\times)
- Several derived operations
 (they can be expressed using the basic operations):
 - Intersection (∩)
 - Division (÷)
 - Join (natural join, equi-join, theta join, outer join, semi-join)

Selection

- σ_{predicate} (R)
 - unary operation, i.e. it works on a single relation R
 - outputs a subset of the relation R that contains only the tuples (rows) that satisfy the specified condition (predicate)
 - i.e. it returns a "horizontal slice" of R

Example: List all staff whose salary is greater than 12000

Staff

StaffNo	FName	Sname	Position	Salary	Branch
SL41	Julie	Lee	Assistant	9000	B005
SL21	John	White	Manager	30000	B005
SA9	Mary	Howe	Assistant	11000	B007
SG37	Ann	Beech	Supervisor	18000	B005
SL14	David	Ford	Assistant	8000	B007
SG5	Sue	Brand	Manager	25000	B006

$\sigma_{\text{salary} > 12000}$ (Staff)

StaffNo	FName	Sname	Position	Salary	Branch
SL21	John	White	Manager	30000	B005
SG37	Ann	Beech	Supervisor	18000	B005
SG5	Sue	Brand	Manager	25000	B006

Projection

- $\Pi_{\text{col-1},\ldots,\text{col-n}}(R)$
 - unary operation, i.e. it works on a single relation R
 - outputs a subset of the relation R that contains only the specified attributes (columns) with names col-1, ..., col-n and also eliminates duplicates
 - i.e. it returns a "vertical slice" of R
 (by removing non-matching attributes)

Produce a list of salaries for all staff, showing only staffNo, fName, lName, and salary

Staff

StaffNo	FName	Sname	Position	Salary	Branch
SL41	Julie	Lee	Assistant	9000	B005
SL21	John	White	Manager	30000	B005
SA9	Mary	Howe	Assistant	11000	B007
SG37	Ann	Beech	Supervisor	18000	B005
SL14	David	Ford	Assistant	8000	B007
SG5	Sue	Brand	Manager	25000	B006

 $\Pi_{\text{staffNo, fName, IName, salary}}$ (Staff)

StaffNo	FName	Sname	Salary
SL41	Julie	Lee	9000
SL21	John	White	30000
SA9	Mary	Howe	11000
SG37	Ann	Beech	18000
SL14	David	Ford	8000
SG5	Sue	Brand	25000

Projection

We can combine Selection and Projection:

List the last names and salaries of all staff with salary greater than 15000

Staff

 $\Pi_{\text{IName,salary}}(\sigma_{\text{salary}} > 15000 \text{ (Staff)})$

StaffNo	FName	IName	Position	Salary	Branch
SL41	Julie	Lee	Assistant	9000	B005
SL21	John	White	Manager	30000	B005
SA9	Mary	Howe	Assistant	11000	B007
SG37	Ann	Beech	Supervisor	18000	B005
SL14	David	Ford	Assistant	8000	B007
SG5	Sue	Brand	Manager	25000	B006

IName	Salary
White	30000
Beech	18000
Brand	25000

Why do we ever need to remove duplicates?

List the branches of all staff with salary greater than 15000

 $\Pi_{Branch}(\sigma_{salary>15000} (Staff))$



without removing duplicates we would obtain:

Union

• R ∪ S

- binary operation, i.e. it works on two relations R and S
- outputs a new relation having all tuples of R, or S, or both R and S, and also eliminates duplicate tuples

That is:

- it combines the rows from both tables,
 removing any redundant (common) row in the process
- if R has I tuples and S has J tuples, the output relation will have at most I + J tuples

List all cities where there is either a branch office or a property for rent (or both)

 $\Pi_{city}(Branch) \cup \Pi_{city}(PropertyForRent)$

London

Aberdeen Glasgow

Bristol

Set Difference

R - S

- binary operation, i.e. it works on two relations R and S
- outputs a new relation having all tuples that exist in R but not in S

That is:

- it removes from R any common rows that appear in both tables R and S
- if R has I tuples and S has J tuples, the output relation will have at least I – J tuples
- similarly, S R removes from S its common rows with R

List all cities where there is a branch office but no properties for rent

 $\Pi_{city}(Branch) - \Pi_{city}(PropertyForRent)$



Union compatibility

- To compute R ∪ S and R − S:
 - the schemas of the relations R and S must match, i.e.
 - R and S must have the same number of attributes
 - every pair of corresponding attributes must have the same domain
 - then R and S are called union-compatible
- What if one of the relations has extra attributes?
 - usual trick: use projection to create union-compatible relations:

 $\Pi_{city}(Branch) \cup \Pi_{city}(PropertyForRent)$

 $\Pi_{city}(Branch) - \Pi_{city}(PropertyForRent)$

Intersection

• R ∩ S

- binary operation, i.e. it works on two relations R and S
- the output relation has all tuples existing in both R and S
- That is:
 - it removes from R any rows that appear only in R
 - equivalently: it removes from S any rows appearing only in S
 - if R has I tuples and S has J tuples, the output relation will have at most min{ I, J} tuples
- To compute $R \cap S$:
 - the relations R and S must be again union-compatible
- Intersection is a derived (i.e. not basic) operation:

$$R \cap S = R - (R - S)$$

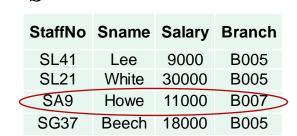
Examples

List all cities where there is both a branch office and at least one property for rent

 $\Pi_{city}(Branch) \cap \Pi_{city}(PropertyForRent)$

Aberdeen London Glasgow

R			
StaffNo	Sname	Salary	Branch
SL14	Ford	8000	B007
SG5	Brand	25000	B006
SA9	Howe	11000	B007



StaffNo	Sname	Salary	Branch
SL41	Lee	9000	B005
SL21	White	30000	B005
SA9	Howe	11000	B007
SG37	Beech	18000	B005
SL14	Ford	8000	B007
SG5	Brand	25000	B006

 $R \cup S$

_ ` `			
StaffNo	Sname	Salary	Branch
SL14	Ford	8000	B007
SG5	Brand	25000	B006

R - S



Cartesian Product

R x S

- binary operation, i.e. it works on two relations R and S
- outputs a new relation that is a concatenation of every tuple from R with every tuple from S
- no further "compatibility" assumptions on the relations
- recall: the ordering of the tuples does not matter!

That is:

- it "multiplies" the relations R and S
- if R has I tuples, N attributes and S has J tuples, M attributes then $R \times S$ has (I * J) tuples and (N + M) attributes
- If R and S have attributes with the same name:
 - the attribute names are prefixed with the relation name
 - e.g. R.name and S.name

Cartesian Product

List the names and comments of all clients, who have viewed a property for rent

- To obtain the list of clients and comments of properties they viewed:
 - we need to combine the relations Client and Viewing:

($\Pi_{\text{clientNo, fName, IName}}$ (Client)) x ($\Pi_{\text{clientNo, propertyNo, comment}}$ (Viewing))

prefixed attributes ————	client.clientNo	fName	IName	Viewing.clientNo	propertyNo	comment
•	CR76	John	Kay	CR56	PA14	too small
	CR76	John	Kay	CR76	PG4	too remote
	CR76	John	Kay	CR56	PG4	
However:	CR76	John	Kay <	CR62	PA14	no dining room
	CR76	John	Kay	CR56	PG36	
 more information 	CR56	Aline	Stewart	CR56	PA14	too small
Thore information	CR56	Aline	Stewart	CR76	PG4	too remote
than required	CR56	Aline	Stewart	CR56	PG4	
than required!	CR56	Aline	Stewart	CR62	PA14	no dining room
	CR56	Aline	Stewart	CR56	PG36	
 in many rows we have 	CR74	Mike	Ritchie	CR56	PA14	too small
,	CR74	Mike	Ritchie	CR76	PG4	too remote
different values for <i>clientNo</i>	CR74	Mike	Ritchie	CR56	PG4	
difficient values for chemino	CR74	Mike	Ritchie	CR62	PA14	no dining room
	CR74	Mike	Ritchie	CR56	PG36	
\Longrightarrow we need to eliminate them!	CR62	Mary	Tregear	CR56	PA14	too small
	CR62	Mary	Tregear	CR76	PG4	too remote
	CR62	Mary	Tregear	CR56	PG4	1
	CR62	Mary	Tregear	CR62	PA14	no dining room
	CR62	Mary	Tregear	CR56	PG36	

Cartesian Product

List the names and comments of all clients, who have viewed a property for rent

- To obtain the list of clients and comments of properties they viewed:
 - we need to combine the relations Client and Viewing:

($\Pi_{\text{clientNo, fName, IName}}$ (Client)) x ($\Pi_{\text{clientNo, propertyNo, comment}}$ (Viewing))

⇒ use selection operation to extract those tuples where Client.clientNo = Viewing.clientNo:

Client.clientNo	= Viewing.client			o, fName, lName ntNo, propertyNo,		Viewing)))
selection predicate	client.clientNo	fName	IName	Viewing.clientNo		comment
equal <i>clientNo</i> values	CR76 CR56 CR56 CR56 CR62	John Aline Aline Aline Mary	Kay Stewart Stewart Stewart Tregear	CR76 CR56 CR56 CR56 CR62	PG4 PA14 PG4 PG36 PA14	too remote too small no dining room

Rename

- A Relational Algebra operations can be very complex
 - we decompose it into a series of smaller operations
 - we give names to the intermediate expressions (to reuse them)
 - for this we can iteratively use the assignment operation "←"
- A simple (but very useful!) alternative:
 - the rename operation $\varrho_X(E)$ returns E renamed as X
 - moreover: $\mathbf{\varrho}_{X(A_1, A_2, ..., A_n)}(E)$ returns E renamed as X, where the attributes of X are defined as $A_1, A_2, ..., A_n$
- Especially useful when, for example:
 - we want to compute a join of a relation with itself
 (i.e. we create a new copy of the relation with a different name)

Rename – Example (1)

Family

Given a table with information about parent/child pairs, find all grandparents of Thomas

Parent	Child
Mary	Thomas
Peter	Mary
Sarah	Nick
Nick	Thomas
Andrew	Nick
Nick	Helen
Kate	Mary

- We need to find the "parents of parents" of Thomas
 ⇒we need two copies of the Family table:
 - **Parent** Child **Parent** Child **Thomas** Mary Mary Thomas Peter Mary < Peter Mary Copy Sarah Nick < Sarah Nick **Thomas** Nick Nick Thomas Nick < Andrew Nick Andrew Nick Helen Nick Helen Mary Kate Kate Mary

 $\prod_{A.Parent} (\sigma_{(A.Child = B.Parent) \land B.Child = 'Thomas')} (\varrho_A (Family)) \times \varrho_B (Family)))$

Rename – Example (2)

Account:

number	branch	balance
A_101	Durham	1000
A_102	Newcastle	2000
A_103	London	3000

- Query: find the largest account balance in the bank.
 - Balances that are *not* the largest:

$$T \leftarrow \Pi_{\text{account.balance}}(\sigma_{\text{account.balance} < \text{d.balance}}(\text{account} \times \rho_{\text{d}}(account)))$$

- Result: {1000, 2000}
- The *largest* account balance: $\Pi_{\text{account.balance}}(account) T$
 - Result: {3000}

Division

- R ÷ S
 - binary operation, i.e. it works on two relations R and S
 - a particular type of query that appears often in applications

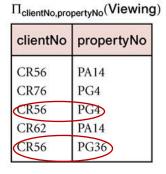
Notation:

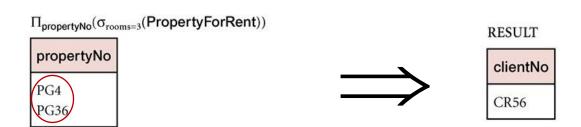
- let R have the set of attributes A
- let S have the set of attributes B, where $B \subseteq A$
- define C = A B (i.e. the attributes of R that are not in S)
- The division operator R ÷ S :
 - outputs a relation over the attributes C that consists of the tuples from R that match every tuple in S

Division – Example

Identify all clients who have viewed all properties with three rooms

 $(\Pi_{\text{clientNo, propertyNo}}(\text{Viewing})) \div (\Pi_{\text{propertyNo}}(\sigma_{\text{rooms}=3}(\text{PropertyForRent})))$





- Division R ÷ S is a derived (i.e. not basic) operation:
 - compute all C-tuples of R that are not "disqualified" by a tuple in S
 - a C-tuple of R is "disqualified", if by attaching to it a tuple of S, we obtain a tuple that is not in R
 - disqualified C-tuples of R: $\Pi_{C}((\Pi_{C}(R) \times S) R)$
 - tuples of $R \div S$: $\Pi_C(R)$ disqualified tuples

Join: a derivative of Cartesian product

- The combination of Cartesian product and Selection can be reduced to a single operation, called a Join
- A join is equivalent to:
 - build the Cartesian product of the two operand relations
 - perform a Selection (using the join predicate F)
- Notation:

```
- R \bowtie_F S = \sigma_F(R \times S), where F is a predicate, e.g.:
```

```
Client \bowtie_{(Client.clientNo)} = \bigvee_{(Client.clientNo)} = \sigma_{Client.clientNo)} = \bigvee_{(Client.clientNo)} (Client x Viewing)
```

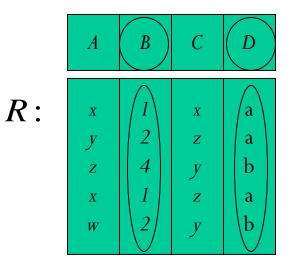
if F contains only "=", then this is an Equijoin

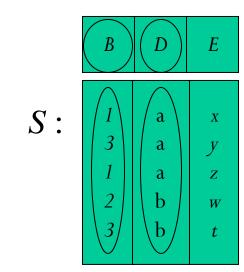
Natural Join

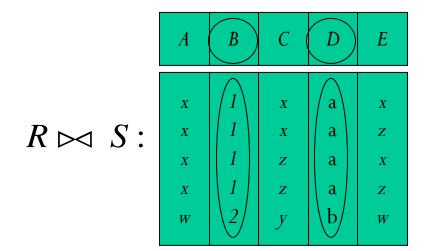
- A_1, A_2, A_k: common attributes of relations R and S
- $R \bowtie S = \prod_{C_1,...,C_x} (\sigma_{R.A_1=S.A_1,...,R.A_k=S.A_k}(R \times S)),$ where $C_1,...,C_x$ are the attributes of R×S without the duplicates
- it is an Equijoin of the relations R and S over all their <u>attributes</u> that have the <u>same name</u>, without duplications
- Steps:
 - $-R\times S$
 - For each attribute with the same name A in both R and S, select tuples where R.A=S.A (from $R\times S$)
 - Remove one of the duplicate columns corresponding to the above pairs of attributes

Natural Join (example)

• Relations R and S:



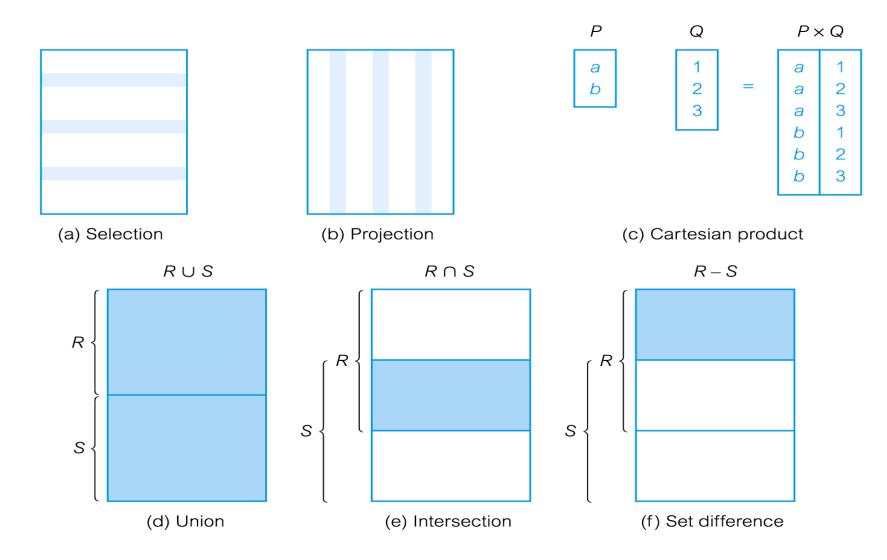




Natural Join (exercise)

- Given the schemas R(A, B, C, D) and S(A, C, E),
 what is the schema of R ⋈ S?
 - Answer: (A, B, C, D, E)
- Given R(A, B, C) and S(D, E), what is R \bowtie S?
 - Answer: $R \times S$
- Given R(A, B) and S(A, B), what is $R \bowtie S$?
 - Answer: $R \cap S$

Summary: Relational Algebra operations



Try these for exercise

Describe the relation(s) that would be produced by the following relational algebra operations:

$$\prod_{\text{hotelNo}} (\sigma_{\text{price} > 50} (\text{Room}))$$

This will produce a relation with a single attribute (hotelNo) giving the numbers of those hotels with a room price greater than £50.

$$\sigma_{\text{Hotel,hotelNo}} = \text{Room,hotelNo}$$
 (Hotel × Room)

This will produce a join of the Hotel and Room relations containing all the attributes of both Hotel and Room (there will be two copies of the hotelNo attribute). Essentially this will produce a relation containing all rooms at all hotels

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Summary of the Lecture

- Procedural and non-procedural languages
- Relational Calculus (tuple / domain calculus)
- Relational algebra:
 - Selection
 - Projection
 - Union
 - Set Difference
 - Cartesian product
 - Rename
 - Intersection
 - Join

Additional Slides

Outer Join

- $R \implies S$ (left outer join):
- An extension of the Natural Join operation that avoids loss of information.
- Computes the Natural Join $R \bowtie S$ and then:
 - adds to the result tuples from relation R
 that do not match tuples in relation S
- Uses *null* values:
 - null signifies that the value is unknown or does not exist

Outer Join (example)

Relation Loan

loan_number	branch_name	amount
L-170	Downtown	3000
L-230	Redwood	4000
L-260	Perryridge	1700

• Relation Borrower

customer_name	loan_number		
Jones	L-170		
Smith	L-230		
Hayes	L-155		

Outer Join (example)

- Natural Join
 - Loan ⋈ Borrower

loan_number	branch_name	amount	customer_name	
L-170	Downtown	3000	Jones	
L-230	Redwood	4000	Smith	

- Left Outer Join
 - Loan → Borrower

loan_number	branch_name	amount	customer_name	
L-170	Downtown	3000	Jones	
L-230	Redwood	4000	Smith	
L-260	Perryridge	1700	null	

Outer Join (example)

- Right Outer Join
 - Loan ⋈ Borrower

loan_number	branch_name	amount	customer_name	
L-170	Downtown	3000	Jones	
L-230	Redwood	4000	Smith	
L-155	null	null	Hayes	

- Full Outer Join
 - Loan → Borrower

loan_number	branch_name	amount	customer_name	
L-170	Downtown	3000	Jones	
L-230	Redwood	4000	Smith	
L-260	Perryridge	1700	null	
L-155	null	null	Hayes	

Semijoin

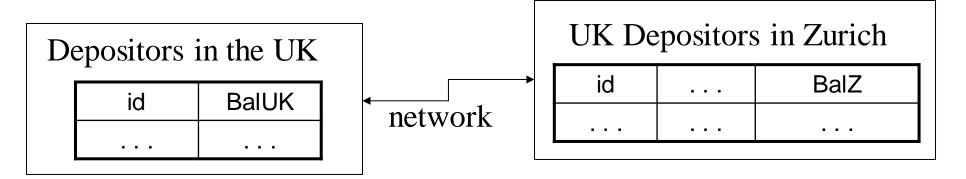
- $R \bowtie_F S = \Pi_A(R \bowtie_F S)$, where A is the set of attributes in R:
 - defines a relation which contains the <u>tuples of R</u>
 that participate in the <u>join of R and S</u> (according to the predicate F).
- Example:
- 'List complete details of all staff who work at the branch in Paris'.

$$Staff \triangleright_{Staff.branchNo=Branch.branchNo} (\sigma_{city=Paris}(Branch))$$

staffNo	fName	IName	position	sex	DOB	salary	branchNo
SG37 SG14 SG5	Ann David Susan	Beech Ford Brand	Supervisor		10-Nov-60 24- Mar-58 3-Jun-40		B003 B003 B003

(the Natural Join: would have additionally the details of the relation Branch; the Semijoin: has only the attributes of the relation Staff)

Semijoins in Distributed Databases



 Our aim: to find all UK citizens with total balance (in the UK and Zurich) greater than 100M (i.e. 100,000,000)

$$DepUK \bowtie_{DepUK.id=DepZ.id, \ BalUK+BalZ>100M} (DepZ)$$

answer in distributed DB:

$$R = \text{DepUK} \triangleright_F T$$

$$Answer = R \bowtie \text{DepZ}$$

where F: BalUK + BalZ > 100M

Aggregation Operations

- Recall:
 - modern query languages (e.g. SQL) have more operations
 than relational algebra (e.g. summing, grouping, ordering, ...)
- ⇒ additional operations were proposed for relational algebra
 - to describe formally higher-level query languages
 - these operations cannot be expressed using the basic operations

Example: aggregate operations $\mathfrak{I}_{AL}(R)$

- unary operation, i.e. it works on a single relation R
- it applies the aggregate-function-list AL to R
- AL contains several pairs of the form (<aggr_function>, <attribute>)
- outputs a relation over the aggregate list

Aggregation Operations

The main aggregate functions:

COUNT: the number of values in the associated attribute

SUM: the sum of the values in the associated attribute

AVG: the average of the values in the associated attribute

MIN / MAX: the smallest/largest value in the associated attribute

How many properties cost more than 350 per month to rent?

S_{COUNT propertyNo} (σ_{rent>350} (PropertyForRent))

Find the minimum, maximum, and average staff salary

