

Rule of inference	Name
$\frac{p \quad p \rightarrow q}{\therefore q}$	Modus ponens
$\frac{\neg q \quad p \rightarrow q}{\therefore \neg p}$	Modus tollens
$\frac{p}{\therefore p \vee q}$	Addition
$\frac{p \wedge q}{\therefore p}$	Simplification
$\frac{p \quad q}{\therefore p \wedge q}$	Conjunction
$\frac{p \rightarrow q \quad q \rightarrow r}{\therefore p \rightarrow r}$	Hypothetical syllogism
$\frac{p \vee q \quad \neg p}{\therefore q}$	Disjunctive syllogism
$\frac{p \vee q \quad \neg p \vee r}{\therefore q \vee r}$	Resolution

## ■ Modus Ponens

$$\blacksquare p, p \rightarrow q \vdash q$$

---


$$\text{Theorem 6} \quad \alpha \rightarrow \beta, \neg \beta \vdash \neg \alpha$$


---

$$\text{Rule 7} \quad \alpha \vdash \alpha \vee \beta$$

$$\text{Rule 8} \quad \beta \vdash \alpha \vee \beta$$


---

$$\text{Rule 4} \quad \alpha \wedge \beta \vdash \alpha$$

$$\text{Rule 5} \quad \alpha \wedge \beta \vdash \beta$$


---

$$\text{Theorem 10} \quad \alpha, \beta \vdash \alpha \wedge \beta$$


---

$$\text{Theorem 2} \quad \alpha \rightarrow \beta, \beta \rightarrow \gamma \vdash \alpha \rightarrow \gamma$$


---

$$\text{Theorem 11} \quad \alpha \vee \beta, \neg \alpha \vdash \beta$$


---

Not in cheat sheet, but easily provable

# VALID ARGUMENTS

- With these hypotheses:
  - “It is not sunny this afternoon and it is colder than yesterday.”
  - “We will go swimming only if it is sunny.”
  - “If we do not go swimming, then we will take a canoe trip.”
  - “If we take a canoe trip, then we will be home by sunset.”
- Using the inference rules, construct a valid argument for the conclusion:
  - “We will be home by sunset.”

## **Solution:**

1. Choose propositional variables:
  - $p$  : “It is sunny this afternoon.”     $r$  : “We will go swimming.”
  - $t$  : “We will be home by sunset.”
  - $q$  : “It is colder than yesterday.”     $s$  : “We will take a canoe trip.”
2. Translation into propositional logic:

Hypotheses:  $\neg p \wedge q, r \rightarrow p, \neg r \rightarrow s, s \rightarrow t$

Conclusion:  $t$

*Continued on next slide →*

# VALID ARGUMENTS

## 3. Construct the Valid Argument

Step	Reason
1. $\neg p \wedge q$	Premise
2. $\neg p$	Simplification using (1)
3. $r \rightarrow p$	Premise
4. $\neg r$	Modus tollens using (2) and (3)
5. $\neg r \rightarrow s$	Premise
6. $s$	Modus ponens using (4) and (5)
7. $s \rightarrow t$	Premise
8. $t$	Modus ponens using (6) and (7)

# RULES OF INFERENCE FOR QUANTIFIED STATEMENTS

Table 2.13.1: Rules of inference for quantified statements.

Rule of Inference	Name	Example
c is an element (arbitrary or particular) $\forall x P(x)$ $\therefore P(c)$	Universal instantiation	Sam is a student in the class. Every student in the class completed the assignment. Therefore, Sam completed his assignment.
c is an arbitrary element $P(c)$ ____ $\therefore \forall x P(x)$	Universal generalization	Let c be an arbitrary integer. $c \leq c^2$ Therefore, every integer is less than or equal to its square.
$\exists x P(x)$ $\therefore (c \text{ is a particular element}) \wedge P(c)$	Existential instantiation*	There is an integer that is equal to its square. Therefore, $c^2 = c$ , for some integer c.
c is an element (arbitrary or particular) $P(c)$ ____ $\therefore \exists x P(x)$	Existential generalization	Sam is a particular student in the class. Sam completed the assignment. Therefore, there is a student in the class who completed the assignment.

\*Note: each use of Existential instantiation must define a new element with its own name (e.g., "c" or "d").

# USING RULES OF INFERENCE

**Example 1:** Using the rules of inference, construct a valid argument to show that

“John Smith has two legs”

is a consequence of the premises:

“Every man has two legs.” “John Smith is a man.”

**Solution:** Let  $M(x)$  denote “ $x$  is a man” and  $L(x)$  “ $x$  has two legs” and let John Smith be a member of the domain.

**Valid Argument:**

Step	Reason
1. $\forall x(M(x) \rightarrow L(x))$	Premise
2. $M(J) \rightarrow L(J)$	UI from (1)
3. $M(J)$	Premise
4. $L(J)$	Modus Ponens using (2) and (3)

# DEFINITIONS

- A *theorem* is a statement that can be shown to be true using:
  - definitions
  - other theorems
  - *axioms* (statements which are given as true)
  - rules of inference
- A *lemma* is a 'helping theorem' or a result which is needed to prove a theorem.
- A *corollary* is a result which follows directly from a theorem.
- Less important theorems are sometimes called *propositions*.
- A *conjecture* is a statement that is being proposed to be true. Once a proof of a conjecture is found, it becomes a theorem. It may turn out to be false.

# IN “LESS FORMAL” PROOFS

*$P \rightarrow Q$  EQUIVALENTLY  $P \vdash Q$*

- While  $p \rightarrow q$  or  $p \vdash q$  are different in our formal proofs:
  - $p \rightarrow q$  is a proposition
  - $p \vdash q$ . says that  $q$  can be “proved” assuming  $p$  is a premise
- In the proofs starting on Chapter 4 of ZyBooks the above are technically the same and in questions in and after chapter 4 we can use them interchangeably.

# DEFINITIONS

## Definition 4.1.1: Even and odd integers.

An integer  $x$  is **even** if there is an integer  $k$  such that  $x = 2k$ .

An integer  $x$  is **odd** if there is an integer  $k$  such that  $x = 2k+1$ .

**Definition 4.1.2:** The real number  $r$  is *rational* if there exist integers  $p$  and  $q$  where  $q \neq 0$  such that  $r = p/q$

## Definition 4.1.3: Divides.

An integer  $x$  **divides** an integer  $y$  if and only if  $y = kx$ , for some integer  $k$ .

The fact that  $x$  divides  $y$  is denoted  $x|y$ . If  $x$  does not divide  $y$ , then that fact is denoted  $x \nmid y$ .

If  $x$  divides  $y$ , then  $y$  is said to be a **multiple** of  $x$ , and  $x$  is a **factor** or **divisor** of  $y$ .

## Definition 4.1.4: Prime and composite numbers.

An integer  $n$  is **prime** if and only if  $n > 1$ , and for every positive integer  $m$ , if  $m$  divides  $n$ , then  $m = 1$  or  $m = n$ .

An integer  $n$  is **composite** if and only if  $n > 1$ , and there is an integer  $m$  such that  $1 < m < n$  and  $m$  divides  $n$ .



# ALLOWED ASSUMPTIONS IN PROOFS

## **The rules of algebra.**

For example if  $x$ ,  $y$ , and  $z$  are real numbers and  $x = y$ , then  $x+z = y+z$ .

## **The set of integers is closed under addition, multiplication, and subtraction.**

In other words, sums, products, and differences of integers are also integers.

## **Every integer is either even or odd.**

This fact is proven elsewhere in the material.

## **If $x$ is an integer, there is no integer between $x$ and $x+1$ .**

In particular, there is no integer between 0 and 1.

## **The relative order of any two real numbers.**

For example  $1/2 < 1$  or  $4.2 \geq 3.7$ .

## **The square of any real number is greater than or equal to 0.**

This fact is proven in a later exercise.

# DIRECT PROOFS

$$P \rightarrow Q \text{ OR } P \vdash Q$$

- Step 1:
  - Write down premises (hypothesis) i.e.,  $p$
- Step 2:
  - Use definitions to express premises/hypothesis  $P$  in mathematical terms
- Step 3:
  - Use definitions to express the conclusion  $Q$  in mathematical terms (what we want to prove)
- Step 4
  - Use algebra/previous results/etc. to arrive at the mathematical expression for  $Q$ .

# PROVING CONDITIONAL STATEMENTS:

$$P \rightarrow Q$$

- *Direct Proof:* Assume that  $p$  is true. Use rules of inference, axioms, and logical equivalences to show that  $q$  must also be true.

**Theorem:** If  $n$  is an odd integer, then  $n^2$  is odd.

**Solution:** Assume that  $n$  is odd. Then  $n = 2k + 1$  for an integer  $k$ . Squaring both sides of the equation, we get:

$$n^2 = (2k + 1)^2 = 4k^2 + 4k + 1 = 2(2k^2 + 2k) + 1 = 2r + 1,$$

where  $r = 2k^2 + 2k$ , an integer.

(integers are closed under multiplication)

We have proved that if  $n$  is an odd integer, then  $n^2$  is an odd integer.



(◀ marks the end of the proof. Sometimes **QED** is used instead. )

# INDIRECT PROOFS

- **Proof by contraposition**
- Proof by contradiction
- If direct methods of proof do not work:
  - We may need a clever use of a proof by contraposition.
  - Or a proof by contradiction.

# CONTRAPOSITIVE PROOF OF $P \rightarrow Q$

*I.E., DIRECT PROOF OF  $\neg Q \rightarrow \neg P$*

- Step 1:
  - Write down premises (hypothesis) i.e.,  $\neg Q$
- Step 2:
  - Use definitions to express premises/hypothesis  $\neg Q$  in mathematical terms
- Step 3:
  - Use definitions to express the conclusion  $\neg P$  in mathematical terms (what we want to prove)
- Step 4
  - Use algebra/previous results/etc. to arrive at the mathematical expression for  $\neg P$ .

# PROOF BY CONTRAPOSITION: $P \rightarrow Q$ I.E. PROVING $\neg Q \rightarrow \neg P$

- **Proof by Contraposition:** Assume  $\neg q$  and show  $\neg p$  is true also. This is sometimes called an *indirect proof* method. If we give a direct proof of  $\neg q \rightarrow \neg p$  then we have a proof of  $p \rightarrow q$ .

**Example:** Prove that if  $n$  is an integer and  $3n + 2$  is odd, then  $n$  is odd.

**Solution:** Assume  $n$  is even. So,  $n = 2k$  for some integer  $k$ . Thus

$$3n + 2 = 3(2k) + 2 = 6k + 2 = 2(3k + 1) = 2j \text{ for } j = 3k + 1$$

Therefore  $3n + 2$  is even. Since we have shown  $\neg q \rightarrow \neg p$ ,  $p \rightarrow q$  must hold as well. If  $n$  is an integer and  $3n + 2$  is odd (not even), then  $n$  is odd (not even).



# INDIRECT PROOFS

- Proof by contraposition
- **Proof by contradiction**
- If direct methods of proof do not work:
  - We may need a clever use of a proof by contraposition.
  - Or a proof by contradiction.

# PROOF BY CONTRADICTION

**Meta – Theorem**

Let  $\Gamma$  be a set of premises

$$\Gamma, \neg\alpha \vdash \beta, \neg\beta \implies \Gamma \vdash \alpha$$

**Meta – Corollary**

$$(a) \Gamma, \alpha \vdash \beta, \neg\beta \implies \Gamma \vdash \neg\alpha$$

$$(b) \Gamma, \neg\alpha \vdash \alpha \implies \Gamma \vdash \alpha$$



# PROOF BY CONTRADICTION

## THEOREM 4.6.1 (ZYBOOKS)

**Example:** Use a proof by contradiction to give a proof that  $\sqrt{2}$  is irrational.

**Solution:** Suppose  $\sqrt{2}$  is rational. Then there exists integers  $a$  and  $b$  with  $\sqrt{2} = a/b$ , where  $b \neq 0$  and  $a$  and  $b$  have no common factors. Then

$$2 = \frac{a^2}{b^2} \qquad 2b^2 = a^2$$

Therefore  $a^2$  must be even. If  $a^2$  is even then  $a$  must be even (previously proven). Since  $a$  is even,  $a = 2c$  for some integer  $c$ . Thus,

$$2b^2 = 4c^2 \qquad b^2 = 2c^2$$

Therefore  $b^2$  is even. Again then  $b$  must be even as well.

But then 2 must divide both  $a$  and  $b$ . This contradicts our assumption that  $a$  and  $b$  have no common factors. We have proved by contradiction that our initial assumption must be false and therefore  $\sqrt{2}$  is irrational



# THEOREMS THAT ARE BICONDITIONAL STATEMENTS $P \leftrightarrow Q$

- To prove a theorem that is a biconditional statement, that is, a **statement of the form  $p \leftrightarrow q$** , we show that  $p \rightarrow q$  and  $q \rightarrow p$  are both true.

**Example:** Prove the theorem: “given  $n$  is an integer,  $n$  is even **if and only if**  $n^2$  is even.”

Sometimes *iff* is used as an abbreviation for “if and only if,” as in

“ $n$  is even *iif*  $n^2$  is even.”

# PROVE ONE DIRECTION $P \rightarrow Q$

$\rightarrow$ . We show that if  $x$  is even then  $x^2$  is even using a direct proof (the *only if*).

If  $x$  is even then  $x = 2k$  for some integer  $k$ .

Hence  $x^2 = 4k^2 = 2(2k^2)$  which is even since it is an integer divisible by 2.

This completes the proof of case 1.

*Case 2 on next slide  $\rightarrow$*

# PROVE THE OTHER DIRECTION $Q \rightarrow P$

We show that if  $x^2$  is even then  $x$  must be even (the *if* part). We use a proof by contraposition.

Assume  $x$  is not even and then show that  $x^2$  is not even.

If  $x$  is not even then it must be odd. So,  $x = 2k + 1$  for some  $k$ . Then  $x^2 = (2k + 1)^2 = 4k^2 + 4k + 1 = 2(2k^2 + 2k) + 1$

which is odd and hence not even. This completes the proof of case 2.

Since  $x$  was arbitrary, the result follows by UG.

Therefore we have shown that  $x$  is even if and only if  $x^2$  is even.



# PROOF BY CASES: SOMETIMES P CAN BE DIVIDED IN DIFFERENT PARTS

- To prove a conditional statement of the form:

$$(p_1 \vee p_2 \vee \dots \vee p_n) \rightarrow q$$

- Use the tautology

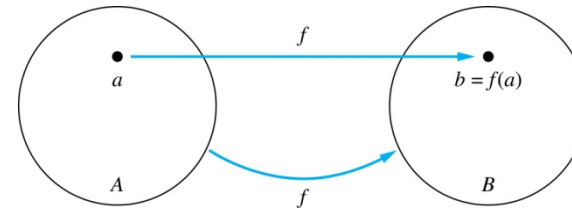
$$\begin{aligned} &[(p_1 \vee p_2 \vee \dots \vee p_n) \rightarrow q] \leftrightarrow \\ &[(p_1 \rightarrow q) \wedge (p_2 \rightarrow q) \wedge \dots \wedge (p_n \rightarrow q)] \end{aligned}$$

- Each of the implications  $p_i \rightarrow q$  is a case.

# DEFINITIONS

Given a function  $f: A \rightarrow B$ :

- We say  $f$  maps  $A$  to  $B$  or  
 $f$  is a *mapping* from  $A$  to  $B$ .
- $A$  is called the *domain* of  $f$ .
- $B$  is called the *codomain, target* of  $f$ .
- If  $f(a) = b$ ,
  - then  $b$  is called the *image of  $a$*  under  $f$ .
  - $a$  is called the *preimage of  $b$* .
- The *range* of  $f$  is the set of all images of points in  $A$  under  $f$ . We denote it by  $f(A)$ .
- Two functions are *equal* when they have the same domain, the same codomain and map each element of the domain to the same element of the codomain.



# FUNCTION DEFINITIONS

- **Function:** a subset of  $A \times B$  such that  $\forall x \exists! y (x, y) \in f$
- **One-to-one:**  $\forall a \forall b [f(a) = f(b) \rightarrow a = b]$
- **Onto:**  $\forall b \exists a \quad f(a) = b$
- **Bijection:** both one-to-one and onto
- Using the above definitions we can find the cardinality of sets
  - Especially, infinite sets

# SHOWING THAT $f$ IS (OR IS NOT) ONE-TO-ONE (INJECTIVE) OR ONTO (SURJECTIVE)

One-to-one aka Injective iff

$$\forall a \forall b [f(a) = f(b) \rightarrow a = b]$$

Onto aka Surjective iff

$$\forall b \exists a \quad f(a) = b$$

Suppose that  $f : A \rightarrow B$ .

*To show that  $f$  is injective* Show that if  $f(x) = f(y)$  for arbitrary  $x, y \in A$  then  $x = y$ .

*To show that  $f$  is not injective* Find particular elements  $x, y \in A$  such that  $x \neq y$  and  $f(x) = f(y)$ .

*To show that  $f$  is surjective* Consider an arbitrary element  $y \in B$  and find an element  $x \in A$  such that  $f(x) = y$ .

*To show that  $f$  is not surjective* Find a particular  $y \in B$  such that  $f(x) \neq y$  for all  $x \in A$ .



# RECALL THAT CARDINALITY IS DEFINED WITH BIJECTIONS

**Definition:** The *cardinality* of a set  $A$  is equal to the cardinality of a set  $B$ , denoted

$$|A| = |B|,$$

if and only if there is a bijection from  $A$  to  $B$ .

- If there is a one-to-one function (*i.e.*, an injection) from  $A$  to  $B$ , the cardinality of  $A$  is less than or the same as the cardinality of  $B$  and we write  $|A| \leq |B|$ .
- When  $|A| \leq |B|$  and  $A$  and  $B$  have different cardinality, we say that the cardinality of  $A$  is less than the cardinality of  $B$  and write  $|A| < |B|$ .

# SHOWING THAT A SET IS COUNTABLE

Show that the set of integers  $\mathbf{Z}$  is countable (i.e., it has the same cardinality as the natural numbers).

Define a bijection from  $\mathbf{N}$  to  $\mathbf{Z}$ :

- When  $n$  is even:  $f(n) = n/2$
- When  $n$  is odd:  $f(n) = -(n-1)/2$



# THE RATIONAL NUMBERS ARE COUNTABLE: IT HAS CARDINALITY $\aleph_0$

## Constructing the List

First list  $p/q$  with  $p + q = 2$ .

Next list  $p/q$  with  $p + q = 3$

And so on.

First row  $q = 1$ .

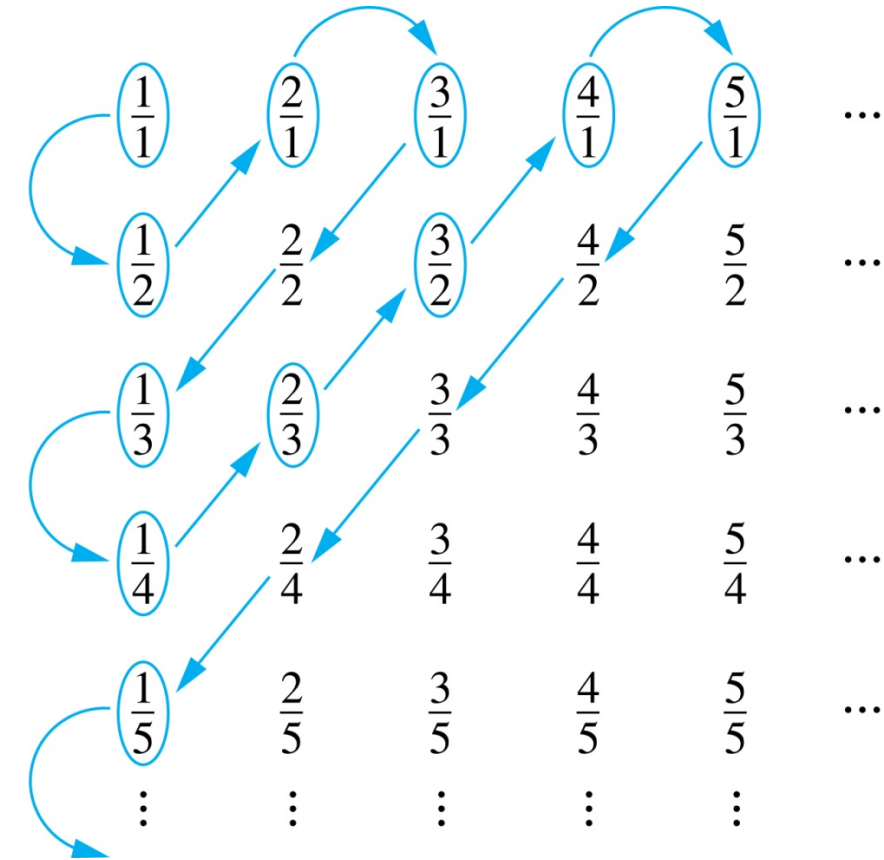
Second row  $q =$

2.

etc.

Terms not circled  
are not listed  
because they  
repeat previously  
listed terms

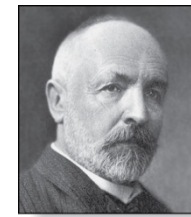
$1, \frac{1}{2}, 2, 3, \frac{1}{3}, \frac{1}{4}, \frac{2}{3}, \dots$



You can find the proof for all the rational numbers in  
“Book of Proof” Third Edition, Theorem 14.4

# The set of real numbers is uncountable.

Georg Cantor  
(1845-1918)



$$|\mathbb{R}| \neq \aleph_0 = |\mathbb{Z}| = |\mathbb{N}|$$

**Solution:** The method is called the **Cantor diagonalization argument** and is a proof by contradiction.

1. Suppose  $\mathbb{R}$  is countable. Then the real numbers between 0 and 1 are also countable (any subset of a countable set is countable).
2. The real numbers between 0 and 1 can be listed in order  $r_1, r_2, r_3, \dots$ .
3. Let the decimal representation of this listing be

$$r_1 = 0.d_{11}d_{12}d_{13}d_{14}d_{15}d_{16}\dots$$

$$r_2 = 0.d_{21}d_{22}d_{23}d_{24}d_{25}d_{26}\dots$$

$$r_3 = 0.d_{31}d_{32}d_{33}d_{34}d_{35}d_{36}\dots$$

4. Form a new real number with the decimal expansion  
where

$$\begin{array}{c} \vdots \\ r = .r_1r_2r_3r_4\dots \end{array}$$

5.  $r$  is not equal to any of the  $r_1$  and  $r_2, \dots$ . Because it differs from  $r_i$  in its  $i$ th position after the decimal point. Therefore there is a real number between 0 and 1 that is not on the list since every real number has a unique decimal expansion. Hence, all the real numbers between 0 and 1 cannot be listed, so the set of real numbers between 0 and 1 is uncountable.
6. Since a set with an uncountable subset is uncountable (an exercise), the set of real numbers is uncountable.



# THE CONTINUUM HYPOTHESIS

## (P 289 BOOK OF PROOF, 3<sup>RD</sup> EDITION)



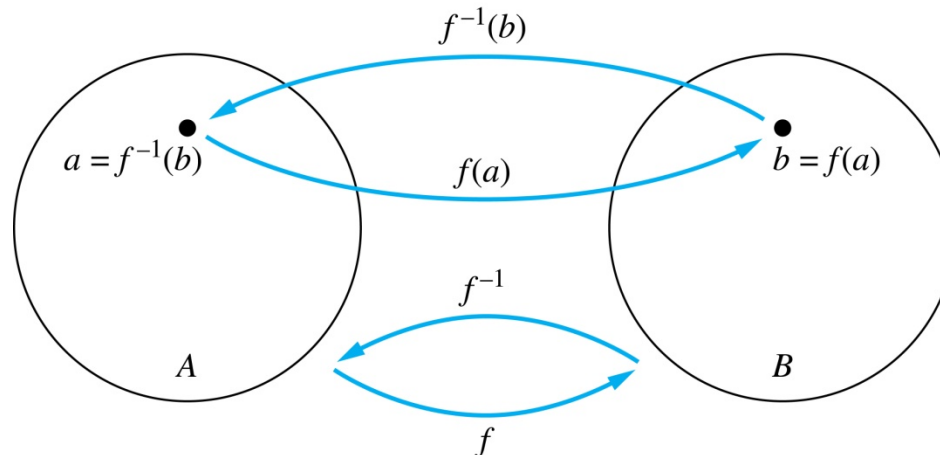
- Cantor proved that  $|\mathbb{R}| \neq \aleph_0$
- In fact, the following two facts are also true:
  - $|\mathbb{R}| = \mathcal{P}(\mathbb{N})$
  - $\aleph_0 = |\mathbb{N}| < |\mathcal{P}(\mathbb{N})| < |\mathcal{P}(\mathcal{P}(\mathbb{N}))| < |\mathcal{P}(\mathcal{P}(\mathcal{P}(\mathbb{N})))| < \dots$
- Continuum hypothesis:
  - Is there a cardinality in between  $|\mathbb{N}|$  and  $|\mathcal{P}(\mathbb{N})|$ ?
  - Continuum hypothesis:  $\aleph_1 = |\mathbb{R}|$
- Logicomix
  - One of Hilbert's Problems of his speech in Chapter 3
  - Gödel proved that there are statements that cannot be proven or disproven (Chapter 6: Incompleteness)
- Gödel and later Cohen proved that the continuum hypothesis cannot be proved

# INVERSE FUNCTIONS

**Definition:** Let  $f$  be a **bijection** from  $A$  to  $B$ . Then the *inverse* of  $f$ , denoted  $f^{-1}$ , is the function from  $B$  to  $A$  defined as

$$f^{-1}(y) = x \text{ iff } f(x) = y$$

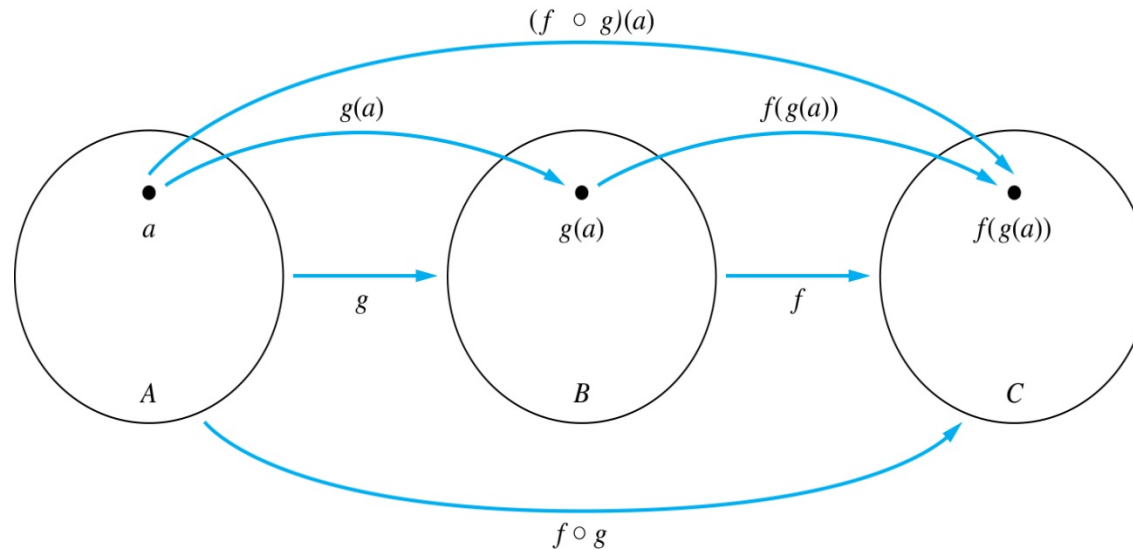
No inverse exists unless  $f$  is a bijection. Why?



# COMPOSITION

- **Definition:** Let  $f: B \rightarrow C, g: A \rightarrow B$ . The *composition of  $f$  with  $g$* , denoted  $f \circ g$  is the function from  $A$  to  $C$  defined by

$$f \circ g(x) = f(g(x))$$



# BINARY RELATIONS

Examples: “>”, “=”, “≤”, “⊆”

**Definition:** A *binary relation*  $R$  from a set  $A$  to a set  $B$  is a subset  $R \subseteq A \times B$ .

**Example:**

- Let  $A = \{0, 1, 2\}$  and  $B = \{a, b\}$
- $\{(0, a), (0, b), (1, a), (2, b)\}$  is a relation from  $A$  to  $B$ .
- Recall that a function is a subset of  $A \times B$  defined by

$$\forall x \exists! y (x, y) \in f$$

Relations are more general than functions. A function is a relation where exactly one element of  $B$  is related to each element of  $A$ .



# RELATION DEFINITIONS

**Definition:**  $R$  is *reflexive* iff  $(a,a) \in R$  for every element  $a \in A$ .

Written symbolically,  $R$  is reflexive if and only if

$$\forall x [x \in U \rightarrow (x,x) \in R]$$

**Definition:**  $R$  is *symmetric* iff  $(b,a) \in R$  whenever  $(a,b) \in R$  for all  $a,b \in A$ . Written symbolically,  $R$  is symmetric if and only if

$$\forall x \forall y [(x,y) \in R \rightarrow (y,x) \in R]$$

**Definition:** A relation  $R$  on a set  $A$  such that for all  $a,b \in A$  if  $(a,b) \in R$  and  $(b,a) \in R$ , then  $a = b$  is called *antisymmetric*. Written symbolically,  $R$  is antisymmetric if and only if

$$\forall x \forall y [(x,y) \in R \wedge (y,x) \in R \rightarrow x = y]$$

**Definition:** A relation  $R$  on a set  $A$  is called *transitive* if whenever  $(a,b) \in R$  and  $(b,c) \in R$ , then  $(a,c) \in R$ , for all  $a,b,c \in A$ . Written symbolically,  $R$  is transitive if and only if

$$\forall x \forall y \forall z [(x,y) \in R \wedge (y,z) \in R \rightarrow (x,z) \in R]$$