

# AI Planning for Autonomy

## 5. Critical Path Heuristics

It's a Long Way to the Goal, But How Long Exactly?

Part I: *Following the Most Critical Sub-Goals*

Tim Miller and Nir Lipovetzky



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# Agenda

- 1 Motivation
- 2 Critical Path Heuristics
- 3 Dynamic Programming Computation
- 4 Graphplan Representation
- 5 Conclusion



# Motivation

→ Critical path heuristics are a method to relax planning tasks, and thus automatically compute heuristic functions  $h$ .

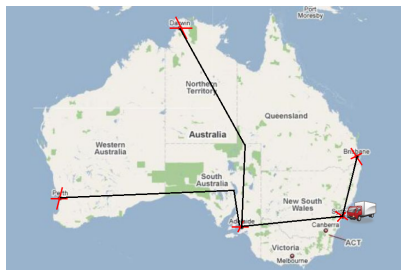
**We (almost) cover the 4 different methods currently known:**

- Critical path heuristics. → **This Lecture**
- Delete relaxation. → **Next Lecture**
- Abstractions. → **Not Covered**
- Landmarks. → **(Maybe) in Next Next Lecture**

→ Each of these have advantages and disadvantages. None strictly dominates any other, neither in practice nor in theory.

**We introduce the method in STRIPS**

# Critical Path Heuristics: Basic Idea



*“Approximate the cost of a goal set by the most costly sub-goal.”*

Assume uniform costs. Then  $h(I)$  is?

Assume  $G = \{v(Br), v(Ad)\}$ . Then  $h(I)$  is?

**But:** In “the most costly sub-goal”, we may use size  $> 1$ !

→ It is easiest to understand this approximation in terms of approximate versions of an equation characterizing  $h^*$  by regression.

# A Regression-Based Characterization of $h^*$

**Definition ( $r^*$ ).** Let  $\Pi = (F, A, c, I, G)$  be a STRIPS planning task. The *perfect regression heuristic*  $r^*$  for  $\Pi$  is the function  $r^*(s) := r^*(s, G)$  where  $r^*(s, g)$  is the point-wise greatest function that satisfies  $r^*(s, g) =$

$$\begin{cases} 0 & g \subseteq s \\ \min_{a \in A, \text{regr}(g, a) \text{ is defined}} c(a) + r^*(s, \text{regr}(g, a)) & \text{otherwise} \end{cases}$$

(Reminder:  $\text{regr}(g, a)$  is defined if  $\text{add}_a \cap g \neq \emptyset$  and  $\text{del}_a \cap g = \emptyset$ ; then,  $\text{regr}(g, a) = (g \setminus \text{add}_a) \cup \text{pre}_a$ .)

→ The cost of achieving a sub-goal  $g$  is 0 if it is true in  $s$ ; else, it is the minimum of using any action  $a$  to achieve  $g$ .

**Proposition.** Let  $\Pi = (F, A, c, I, G)$  be a STRIPS planning task. Then  $r^* = h^*$ . (Proof omitted.)

# Critical Path Heuristics: $h^1$

**Definition ( $h^1$ ).** Let  $\Pi = (F, A, c, I, G)$  be a STRIPS planning task. The *critical path heuristic*  $h^1$  for  $\Pi$  is the function  $h^1(s) := h^1(s, G)$  where  $h^1(s, g)$  is the point-wise greatest function that satisfies  $h^1(s, g) =$

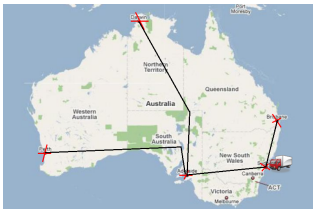
$$\begin{cases} 0 & g \subseteq s \\ \min_{a \in A, \text{regr}(g, a) \text{ is defined}} c(a) + h^1(s, \text{regr}(g, a)) & |g| = 1 \\ \max_{g' \in g} h^1(s, \{g'\}) & |g| > 1 \end{cases}$$

→ For singleton sub-goals  $g$ , use regression as in  $r^*$ . For sub-goal sets  $g$ , use the cost of the most costly singleton sub-goal  $g' \in g$ .

→ "Feasible path" = Path  $g_1 \xrightarrow{a_1} g_2 \dots g_{n-1} \xrightarrow{a_{n-1}} g_n$  where  $g_1 \subseteq s$ ,  $g_n \subseteq G$ , and for all  $i$   $g_i \subseteq \text{regr}(g_{i+1}, a_i)$  and  $|g_i| = 1$  (resp.  $|g_i| \leq m$ ).

→ "Critical path" = Cheapest feasible path through the most costly sub-goals  $g_i$ .

# The $h^1$ Heuristic in “TSP” in Australia



■  $P: at(x)$  for  $x \in \{Sy, Ad, Br, Pe, Ad\}$ ;  $v(x)$  for  $x \in \{Sy, Ad, Br, Pe, Ad\}$ .

■  $A: drive(x, y)$  where  $x, y$  have a road.

$$c(drive(x, y)) = \begin{cases} 1 & \{x, y\} = \{Sy, Br\} \\ 1.5 & \{x, y\} = \{Sy, Ad\} \\ 3.5 & \{x, y\} = \{Ad, Pe\} \\ 4 & \{x, y\} = \{Ad, Da\} \end{cases}$$

■  $I: at(Sy), v(Sy)$ ;  $G: at(Sy), v(x)$  for all  $x$ .

■  $h^1(I) = h^1(I, G) = h^1(I, \{at(Sy), v(Sy), v(Ad), v(Br), v(Pe), v(Da)\}) =$

■  $h^1(I, \{at(Sy)\}) = h^1(I, \{v(Sy)\}) =$

■  $h^1(I, \{v(Da)\}) =$

■  $h^1(I, \{at(Ad)\}) =$

■ So  $h^1(I, \{v(Da)\}) =$

■ Critical path is?

# Critical Path Heuristics: The General Case

**Definition ( $h^m$ ).** Let  $\Pi = (F, A, c, I, G)$  be a STRIPS planning task, and let  $m \in \mathbb{N}$ . The *critical path heuristic*  $h^m$  for  $\Pi$  is the function  $h^m(s) := h^m(s, G)$  where  $h^m(s, g)$  is the point-wise greatest function that satisfies  $h^m(s, g) =$

$$\begin{cases} 0 & g \subseteq s \\ \min_{a \in A, \text{regr}(g, a) \text{ is defined}} c(a) + h^m(s, \text{regr}(g, a)) & |g| \leq m \\ \max_{g' \subseteq g, |g'| \leq m} h^m(s, g') & |g| > m \end{cases}$$

→ For sub-goal sets  $|g| \leq m$ , use regression as in  $r^*$ . For sub-goal sets  $|g| > m$ , use the cost of the most costly  $m$ -subset  $g'$ .

→ Like  $h^1$ , basically just replace “1” with “ $m$ ”.

→ For fixed  $m$ ,  $h^m(s, g)$  can be computed in time polynomial in  $\Pi$ . (See next section.)



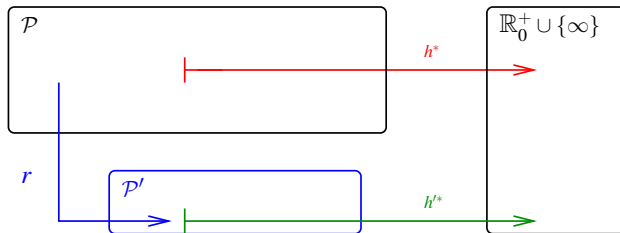
# Critical Path Heuristics: Properties

Is  $h^m$  safe/goal-aware/admissible/consistent?

**Proposition ( $h^m$  is Perfect in the Limit).** *Let  $\Pi = (F, A, c, I, G)$  be a STRIPS planning task. Then there exists  $m \in \mathbb{N}$  so that  $h^m = h^*$ .*

**Proof.** Simply set  $m := |F|$ . Then the case  $|g| > m$  will never be used, and thus  $h^m = r^*$ .

# Critical Path Heuristics as Relaxations



where, for all  $\Pi \in \mathcal{P}$ ,  $h'^*(r(\Pi)) \leq h^*(\Pi)$ .

**For critical path heuristics  $h^m$ :**

- Problem  $\mathcal{P}$ : All STRIPS planning tasks.
  - Simpler problem  $\mathcal{P}'$ : Solving the  $h^m$  equations.
  - Perfect heuristic  $h'^*$  for  $\mathcal{P}'$ :  $h^m$ . Note that  $h^m \circ r(\Pi) \leq h^*(\Pi)$ .
  - Transformation  $r$ : Generate the equations.
- Is this a native relaxation?
- Is this relaxation efficiently constructible?

# Dynamic Programming Computation

## Basic idea:

*“Initialize  $h^m(s, g)$  to 0 if  $g \subseteq s$ , and to  $\infty$  otherwise.*

*Then keep updating the value of each  $g$  based on the values computed so far, until the values converge.”*

- We start with an **iterative** definition of  $h^m$  that makes this approach explicit.
- We define a generalization of the Bellman-Ford algorithm that corresponds to that iterative definition.
- We point out the relation to general fixed point mechanisms.

# Iterative Definition of $h^m$

**Definition (Iterative  $h^m$ ).** Let  $\Pi = (F, A, c, I, G)$  be a STRIPS planning task, and let  $m \in \mathbb{N}$ . The *iterative  $h^m$  heuristic*  $h_i^m$  is defined by

$$h_0^m(s, g) := \begin{cases} 0 & g \subseteq s \\ \infty & \text{otherwise} \end{cases}$$

and

$$h_{i+1}^m(s, g) := \begin{cases} \min[h_i^m(s, g), \min_{a \in A, \text{regr}(g, a) \text{ is defined}} c(a) + h_i^m(s, \text{regr}(g, a))] & |g| \leq m \\ \max_{g' \subseteq g, |g'| \leq m} h_{i+1}^m(s, g') & |g| > m \end{cases}$$

**Proposition.** Let  $\Pi = (F, A, c, I, G)$  be a STRIPS planning task. Then the series  $\{h_i^m\}_{i=0, \dots}$  converges to  $h^m$ .

# Generalized Bellman-Ford

## Generalized Bellman-Ford (adds maximization to standard algorithm)

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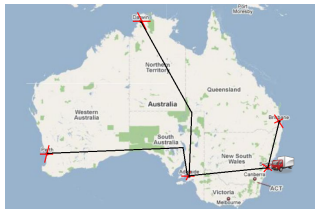
new table  $T_0^m(g)$ , for  $g \subseteq F$  with  $|g| \leq m$ 
For all  $g \subseteq F$  with  $|g| \leq m$ :  $T_0^m(g) := \begin{cases} 0 & g \subseteq s \\ \infty & \text{otherwise} \end{cases}$ 
fn  $c_i(g) := \begin{cases} T_i^m(g) & |g| \leq m \\ \max_{g' \subseteq g, |g'| \leq m} T_i^m(g') & |g| > m \end{cases}$ 
fn  $f_i(g) := \min[c_i(g), \min_{a \in A, \text{regr}(g,a) \text{ is defined}} c(a) + c_i(\text{regr}(g,a))]$ 
 $i := 0$ 
do forever:
  new table  $T_{i+1}^m(g)$ , for  $g \subseteq F$  with  $|g| \leq m$ 
  For all  $g \subseteq F$  with  $|g| \leq m$ :  $T_{i+1}^m(g) := f_i(g)$ 
  if  $T_{i+1}^m = T_i^m$  then stop endif
   $i := i + 1$ 
enddo

```

**Proposition.**  $h_i^m(s, g) = c_i(g)$  for all  $i$  and  $g$ . (Easy.)

→ If we want to know only the converged  $h^m$ , it is of course not necessary to allocate a new table for each  $i$ . Presented this way here only for simplicity.

# Bellman-Ford for $m = 1$ in “TSP” in Australia



■  $P: at(x)$  for  $x \in \{Sy, Ad, Br, Pe, Ad\}$ ;  $v(x)$  for  $x \in \{Sy, Ad, Br, Pe, Ad\}$ .

■  $A: drive(x, y)$  where  $x, y$  have a road.

$$c(drive(x, y)) = \begin{cases} 1 & \{x, y\} = \{Sy, Br\} \\ 1.5 & \{x, y\} = \{Sy, Ad\} \\ 3.5 & \{x, y\} = \{Ad, Pe\} \\ 4 & \{x, y\} = \{Ad, Da\} \end{cases}$$

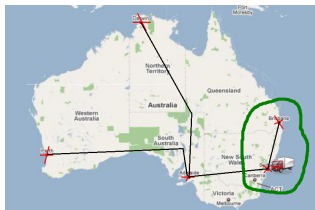
■  $I: at(Sy), v(Sy)$ ;  $G: at(Sy), v(x)$  for all  $x$ .

Content of Tables  $T_i^1$ :

$i$	$at(Sy)$	$at(Ad)$	$at(Br)$	$at(Pe)$	$at(Da)$	$v(Sy)$	$v(Ad)$	$v(Br)$	$v(Pe)$	$v(Da)$
1										

→ So what is  $h^1(I)$ ?

# Bellman-Ford for $m = 2$ in Very Simple “TSP” in Australia



- $P: at(Sy), at(Br), v(Sy), v(Br).$
- $A: drive(Sy, Br), drive(Br, Sy); \text{cost } 1.$
- $I: at(Sy), v(Sy); G: at(Sy), v(Sy), v(Br).$

## Content of Tables $T_i^2$ :

$i$	$at(Sy)$	$at(Br)$	$v(Sy)$	$v(Br)$	$at(Sy),$ $at(Br)$	$at(Sy),$ $v(Sy)$	$at(Sy),$ $v(Br)$	$at(Br),$ $v(Sy)$	$at(Br),$ $v(Br)$	$v(Sy),$ $v(Br)$

→ So what is  $h^2(I)$ ?

And what is  $h^1(I)$ ?

→ Note that  $h^2(\{at(Sy), at(Br)\}) = \infty$ : we recognize the **invariant** that “the same variable” can only have one value at a time.

# Bellman-Ford Algorithm: Runtime

**Proposition.** *Let  $\Pi = (F, A, c, I, G)$  be a STRIPS planning task, and let  $m \in \mathbb{N}$  be fixed. Then the generalized Bellman-Ford algorithm runs in time polynomial in the size of  $\Pi$ .*

[**Proof Sketch.** With fixed  $m$ , the number of size- $m$  fact sets is polynomial in the size of  $\Pi$ , so obviously each iteration of generalized Bellman-Ford runs in time polynomial in that size. The number of iterations until convergence is bounded by  $|A| + 1$ : by that time, all feasible paths are captured by the tables.]

→ For any fixed  $m$ , the critical path heuristic  $h^m$  can be computed in polynomial time.

→ In other words, for any fixed  $m$  the underlying relaxation is .

→ In practice, only  $m = 1, 2$  are used; higher values of  $m$  are typically infeasible.



# Graphplan Representation: The Case $m = 1$

## 1-Planning Graphs

$F_0 := s; i := 0$

**while**  $G \not\subseteq F_i$  **do**

$A_i := \{a \in A \mid pre_a \subseteq F_i\}$

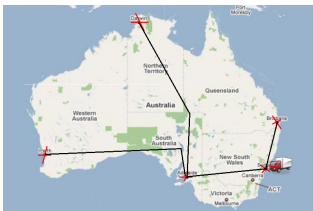
$F_{i+1} := F_i \cup \bigcup_{a \in A_i} add_a$

**if**  $F_{i+1} = F_i$  **then stop endif**

$i := i + 1$

**endwhile**

# 1-Planning Graph for “TSP” in Australia



■  $P: at(x)$  for  $x \in \{Sy, Ad, Br, Pe, Ad\}$ ;  $v(x)$  for  $x \in \{Sy, Ad, Br, Pe, Ad\}$ .

■  $A: drive(x, y)$  where  $x, y$  have a road.

$$c(drive(x, y)) = \begin{cases} 1 & \{x, y\} = \{Sy, Br\} \\ 1.5 & \{x, y\} = \{Sy, Ad\} \\ 3.5 & \{x, y\} = \{Ad, Pe\} \\ 4 & \{x, y\} = \{Ad, Da\} \end{cases}$$

■  $I: at(Sy), v(Sy)$ ;  $G: at(Sy), v(x)$  for all  $x$ .

## Content of Fact Sets $F_i$ :

$i$	$at(Sy)$	$at(Ad)$	$at(Br)$	$at(Pe)$	$at(Da)$	$v(Sy)$	$v(Ad)$	$v(Br)$	$v(Pe)$	$v(Da)$

→ Rings a bell?

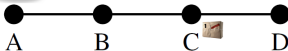
# 1-Planning Graphs vs. $h^1$

**Definition.** Let  $\Pi = (F, A, c, I, G)$  be a STRIPS planning task. The *1-planning graph heuristic*  $h_{PG}^1$  for  $\Pi$  is the function  $h_{PG}^1(s) := \min\{i \mid s \subseteq F_i\}$ , where  $F_i$  are the fact sets computed by a 1-planning graph, and the minimum over an empty set is  $\infty$ .

**Proposition.** Let  $\Pi = (F, A, c, I, G)$  be a STRIPS planning task with uniform costs. Then  $h_{PG}^1 = h^1$ .

→ Intuition: A 1-planning graph is like Bellman-Ford, except that it represents not all facts but only those that have been reached (value  $\neq \infty$ ), and instead of a fact-value table it only remembers that set.

# Questionnaire



- Initial state  $I: t(A), p(C)$ .
- Goal  $G: t(A), p(D)$ .
- Actions  $A: drXY, loX, ulX$ .

## Question!

In this planning task, what is the value of  $h^1(I)$ ?

(A): 0

(B): 2

(C): 4

(D): 5

## Question!

In this planning task, what is the value of  $h^2(I)$ ?

(A): 5

(B): 8

# Summary

- The **critical path heuristics**  $h^m$  estimate the cost of reaching a sub-goal  $g$  by the most costly  $m$ -subset of  $g$ .
- This is admissible because it is always more difficult to achieve larger sub-goals.
- $h^m$  can be computed using **dynamic programming**, i.e., initializing true  $m$ -subsets  $g$  to 0 and false ones to  $\infty$ , then applying value updates until convergence.
- This computation is polynomial in the size of the planning task, given fixed  $m$ . In practice,  $m = 1, 2$  are used;  $m > 2$  is typically infeasible.
- **Planning graphs** correspond to dynamic programming with uniform costs, using a particular representation of reached/unreached  $m$ -subsets  $g$ .

# Historical Remarks

- The first critical path heuristic was introduced in the Graphplan system [Blum and Furst, AI-97], which uses  $h^2$  computed by a 2-planning graph.<sup>1</sup> Graphplan's success can mainly be traced to the detection of invariants as on slide 18.
- 1-planning graphs are commonly referred to as **relaxed planning graphs**. This is because they're identical to Graphplan's 2-planning graphs when ignoring the delete lists [Hoffmann, JAIR-01].
- Graphplan spawned a huge amount of follow-up work.
- Nowadays,  $h^m$  is not in wide use anymore; its most prominent application right now is in a modified form that allows to compute improved delete-relaxation heuristics, cf. slide 30.

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<sup>1</sup> Actually, Graphplan does **parallel planning** (a simple form of temporal planning), and uses a version of 2-planning graphs reflecting this. I'm sparing you the details since parallel planning is generally considered to not be very relevant in practice.

# An (Important) Technical Remark

## Reminder: Search Space for Progression

- $\text{start}() = I$
- $\text{succ}(s) = \{(a, s') \mid \Theta_{\Pi} \text{ has the transition } s \xrightarrow{a} s'\}$

→ Need to compute  $h^m(s) = h^m(s, G) \Rightarrow$

## Reminder: Search Space for Regression

- $\text{start}() = G$
- $\text{succ}(g) = \{(a, g') \mid g' = \text{regr}(g, a)\}$

→ Need to compute  $h^m(I, g) = \max_{g' \subseteq g, |g'| \leq m} h^m(I, g') \Rightarrow$

→ For  $m = 1$ , it is feasible to use progression and recompute the cost of the (singleton) sub-goals in every search state  $s$ . For  $m = 2$  already, this is completely infeasible; all systems using  $h^2$  do regression search, where all sub-goals can be evaluated relative to the dynamic programming outcome for  $I$ .

# Reading

- *Admissible Heuristics for Optimal Planning* [Haslum and Geffner, AIPS-00].

Available at:

<http://www.dtic.upf.edu/~hgeffner/html/reports/admissible.ps>

**Content:** The original paper defining the  $h^m$  heuristic function, and comparing it to the techniques previously used in Graphplan.



# Reading, ctd.

- $h^m(P) = h^1(P^m)$ : *Alternative Characterisations of the Generalisation from  $h^{\max}$  to  $h^m$*  [Haslum, ICAPS-09].

**Available at:** <http://users.cecs.anu.edu.au/~patrik/publik/pm4p2.pdf>

**Content:** A recent paper showing how to characterize  $h^m$  in terms of  $h^1$  in a compiled planning task that explicitly represents size- $m$  conjunctions.

Relevance here: this contains the only published account of the iterative  $h_i^m$  characterization of  $h^m$ .

Relevance more generally: this yields another alternative computation of  $h^m$ . That alternative is not per se very useful, but variants thereof have been shown to allow the computation of powerful semi-delete relaxation heuristics (see next; not covered in this course).

- *Semi-Relaxed Plan Heuristics* [Keyder, Hoffmann and Haslum, ICAPS-12]. **Best Paper Award at ICAPS'12.**

**Available at:** <http://fai.cs.uni-saarland.de/hoffmann/papers/icaps12a.pdf>

**Content:** The semi-delete relaxation heuristics mentioned above.