

# HAlg Note

## 1 Basic Knowledge

**Lagrange's Theorem:** If  $H \subseteq G$  is a subgroup, then  $|H|$  divides  $|G|$ .

**I:** If  $G$  is finite, then  $g^{|G|} = e \forall g \in G$ .    **II:**  $o(g) \mid |G|$     **III:** If  $|G| = p$  prime,  $G$  is cyclic.

**Complement-wise Operations:**  $\phi: V_1 \times V_2 \rightarrow V_1 \oplus V_2$  by **I:**  $(\vec{v}_1, \vec{u}_1) + (\vec{v}_2, \vec{u}_2) := (\vec{v}_1 + \vec{v}_2, \vec{u}_1 + \vec{u}_2)$ ,  $\lambda(\vec{v}, \vec{u}) := (\lambda\vec{v}, \lambda\vec{u})$  (ps:  $V_1, V_2$  通过  $\phi$  定义的 map 所形成的 vector space 记作  $V_1 \oplus V_2$ )

**External Direct Sum:** 一个“代数结构”(Vector Space), 定义为 set 是  $V_1 \oplus \dots \oplus V_n := V_1 \times \dots \times V_n$  且有一组运算法则 **component-wise operations**

**Projections:**  $pr_i: X_1 \times \dots \times X_n \rightarrow X_i$  by  $(x_1, \dots, x_n) \mapsto x_i$     **Canonical Injections:**  $in_i: X_i \rightarrow X_1 \times \dots \times X_n$  by  $x \mapsto (0, \dots, 0, x, 0, \dots, 0)$

**Useful Way of Thinking Matrix:**  $A_{n \times m} B_{m \times n} = A \begin{pmatrix} \mathbf{b}_{*1} & \mathbf{b}_{*2} & \dots & \mathbf{b}_{*n} \end{pmatrix} = \begin{pmatrix} A\mathbf{b}_{*1} & A\mathbf{b}_{*2} & \dots & A\mathbf{b}_{*n} \end{pmatrix}$      $rank(\mathbf{a}_{*k} \mathbf{b}_{k*}^T) \leq 1$

$$A_{n \times m} B_{m \times n} = \begin{pmatrix} \mathbf{a}_{1*}^T \\ \vdots \\ \mathbf{a}_{n*}^T \end{pmatrix} B = \begin{pmatrix} \mathbf{a}_{1*}^T B \\ \vdots \\ \mathbf{a}_{n*}^T B \end{pmatrix} \quad A_{n \times m} = A_{n \times m} I_m = A \begin{pmatrix} \vec{e}_1 & \vec{e}_2 & \dots & \vec{e}_n \\ (A\vec{e}_1 & A\vec{e}_2 & \dots & A\vec{e}_n) \end{pmatrix} \quad A_{n \times m} B_{m \times n} = \begin{pmatrix} \mathbf{a}_{*1} & \dots & \mathbf{a}_{*m} \end{pmatrix} \begin{pmatrix} \mathbf{b}_{1*}^T \\ \vdots \\ \mathbf{b}_{m*}^T \end{pmatrix} = \sum_{k=1}^m \mathbf{a}_{*k} \mathbf{b}_{k*}^T$$

## 2 Summary

Name	Group $(G, *)$	Ring $(R, +, \cdot)$	Vector Space $(F - V)$	Module $(R - M)$
Def	<b>Closure:</b> $g * h \in G$ $\forall g, h, k \in G$ <b>Associativity:</b> $(g * h) * k = g * (h * k)$ <b>Identity:</b> $\exists e \in G, e * g = g * e = g$ <b>Inverse:</b> $\exists g^{-1} \in G, g * g^{-1} = g^{-1} * g = e$	$(R, +)$ is <i>abelian group</i> with $0_R$ $\forall a, b, c \in R$ $(R, \cdot)$ is <b>monoid</b> with $1_R$ (monoid is closure) i.e. Associativity: $(a \cdot b) \cdot c = a \cdot (b \cdot c)$ Identity: $1_R \cdot a = a \cdot 1_R = a$ <b>Distributive:</b> $a \cdot (b + c) = a \cdot b + a \cdot c$ $(b + c) \cdot a = b \cdot a + c \cdot a$	$(V, +)$ is <i>abelian group</i> $\forall \vec{v}, \vec{w} \in V$ $\exists$ map $F \times V \rightarrow V: (\lambda, \vec{v}) \rightarrow \lambda \vec{v}$ $\forall \lambda, \mu \in F$ <b>I:</b> $\lambda(\vec{v} + \vec{w}) = (\lambda\vec{v}) + (\lambda\vec{w})$ <b>II:</b> $(\lambda + \mu)\vec{v} = (\lambda\vec{v}) + (\mu\vec{v})$ <b>III:</b> $\lambda(\mu\vec{v}) = (\lambda\mu)\vec{v}$ <b>IV:</b> $1_F \vec{v} = \vec{v}$	$(M, +)$ is <i>abelian group</i> $\forall m_1, m_2 \in M$ $\exists$ map $R \times M \rightarrow M: (r, m) \rightarrow rm$ $\forall r_1, r_2 \in R$ <b>I:</b> $r(m_1 + m_2) = (\lambda m_1) + (\lambda m_2)$ <b>II:</b> $(r_1 + r_2)m_1 = (r_1 m_1) + (r_2 m_1)$ <b>III:</b> $r_1(r_2 m_1) = (r_1 r_2) m_1$ <b>IV:</b> $1_R m_1 = m_1$
Prop	<b>I:</b> $(gh)^{-1} = h^{-1}g^{-1}$	<b>I.</b> $0 \cdot a = a \cdot 0 = 0$ $\forall a, b \in R$ <b>II.</b> $(-a) \cdot b = a \cdot (-b) = -(a \cdot b)$ <b>Commutative Ring:</b> add $\forall a, b \in R, ab = ba$	<b>I.</b> $0\vec{v} = 0$ and $\vec{0}\lambda = \vec{0}$ $\forall \vec{v} \in V, \lambda \in F$ <b>II.</b> $(-1)\vec{v} = -\vec{v}$ <b>III.</b> $\lambda\vec{v} = \vec{0} \Leftrightarrow \lambda = 0$ or $\vec{v} = \vec{0} *$	<b>I.</b> $0_R m = 0_M; r0_M = 0_M$ $\forall r \in R, m \in M$ <b>II.</b> $(-r)m = r(-m) = -(rm)$
Remark	$G, H$ groups $\Rightarrow G \times H$ also.	For ring $R$ $[1_R = 0_R \Leftrightarrow R = \{0\}]$		
e.g.	Cyclic group; $GL_n; D_n; \mathbb{Z}$	$Mat(n, F); R[X]; \mathbb{Z}/m\mathbb{Z}; \mathbb{Z}$	$\mathbb{R}[x]_{<n}; Mat(n, F); Hom(V, W)$	$R = \mathbb{Z}$ Abelian Group; $R = F$ Vector Space
Sub objects	<b>Subgroup <math>(H)</math>:</b> $\forall h_1, h_2 \in H$ <b>I:</b> $H \neq \emptyset$ ; <b>II:</b> $h_1 * h_2 \in H$ ; <b>III:</b> $h_1^{-1} \in H$ .	<b>Subring <math>(R')</math>:</b> $\forall a, b \in R'$ <b>I.</b> $1_R \in R'$ <b>II.</b> $a - b \in R'$ <b>III.</b> $ab \in R'$	<b>Subspace <math>(U)</math>:</b> $\forall \vec{v}, \vec{u} \in U, \lambda, \mu \in F$ <b>I.</b> $\vec{0} \in U$ <b>II.</b> $\vec{u} + \vec{v} \in U$ and $\lambda\vec{u} \in U$ (or: $\lambda\vec{u} + \mu\vec{v} \in U$ )	<b>Submodule <math>(M')</math>:</b> $\forall m_1, m_2 \in M'$ <b>I.</b> $0_M \in M'$ $\forall r_1, r_2 \in R$ <b>II.</b> $m_1 - m_2 \in M'$ and $r_1 m_1 \in M'$ (or: $r_1 m_1 - r_2 m_2 \in M'$ )
Create	$H, K$ subgroups $\Rightarrow H \cap K$ also.	$R, S$ subring $\Rightarrow R \cap S$ also.	$V, W$ subspaces $\Rightarrow V \cap W, V + W$ also.	$M, N$ submodules $\Rightarrow M \cap N, M + N$ also.
Generate objects	<b>Generated Group <math>\langle T \rangle</math>:</b> $\langle T \rangle := \{g_1^{a_1} \dots g_k^{a_k} \mid k \in \mathbb{N}, g_i \in T, a_i \in \mathbb{N}\}$	<b>Generated Ideal <math>R\langle T \rangle</math>:</b> $R$ is commutative ring $R\langle T \rangle := \{\sum_{i=1}^n r_i t_i \mid n \in \mathbb{N}, r_i \in R, t_i \in T\}$	<b>Generated subspaces <math>\langle T \rangle</math>:</b> $\langle T \rangle := \{\alpha_1 \vec{v}_1 + \dots + \alpha_n \vec{v}_n \mid \alpha_i \in F, \vec{v}_i \in T, n \in \mathbb{N}\}$	<b>Generated submodules <math>{}_R\langle T \rangle</math>:</b> $\langle T \rangle := \{r_1 t_1 + \dots + r_n t_n \mid r_i \in R, t_i \in T, n \in \mathbb{N}\}$
Special	<b>Cyclic Group:</b> $\langle g \rangle = \{g^k \mid k \in \mathbb{Z}\}$	<b>Principal Ideal:</b> ${}_R\langle a \rangle$ i.e. $aR$	$\langle \emptyset \rangle := \{\vec{0}\}$	<b>Cyclic submodule:</b> If $M = {}_R\langle t \rangle$
Prop	$\langle T \rangle$ is the smallest the {generated things} containing $T$ . ps: 默认 ${}^2 T \subseteq R$ ${}^4 T \subseteq M$			
Homo	<b>Homomorphism:</b> $\phi: G \rightarrow H$ $\forall g_1, g_2 \in G$ <b>I.</b> $\phi(g_1 * g_2) = \phi(g_1) * \phi(g_2)$	<b>f:</b> $R \rightarrow S$ hom: $\forall a, b \in R$ <b>I.</b> $f(a + b) = f(a) + f(b)$ <b>II.</b> $f(ab) = f(a)f(b)$	<b>f:</b> $V \rightarrow W$ $\forall \vec{v}_1, \vec{v}_2 \in V, \lambda \in F$ <b>I.</b> $f(\vec{v}_1 + \vec{v}_2) = f(\vec{v}_1) + f(\vec{v}_2)$ <b>II.</b> $f(\lambda\vec{v}_1) = \lambda f(\vec{v}_1)$	<b>R-Hom:</b> $f: M \rightarrow N$ $\forall a, b \in M, r \in R$ <b>I.</b> $f(a + b) = f(a) + f(b)$ <b>II.</b> $f(ra) = rf(a)$
Prop A	<b>I:</b> $\phi(e_G) = e_H$ <b>II:</b> $\phi(g^{-1}) = \phi(g)^{-1}$ <b>III.</b> $\phi$ is 1-1 $\Leftrightarrow \ker \phi = \{e_G\}$	<b>I.</b> $f(0_R) = 0_S$ $f(1_R) = 1_S$ NOT need <b>II.</b> $f(x - y) = f(x) - f(y)$ <b>III.</b> $f(a^n) = (f(a))^n$ $f(mx) = mf(x)$ <b>IV.</b> $f$ is 1-1 $\Leftrightarrow \ker f = \{0_R\}$	<b>I.</b> $f(\vec{0}) = \vec{0}$ <b>II.</b> $f(\lambda\vec{v} + \mu\vec{u}) = \lambda f(\vec{v}) + \mu f(\vec{u})$ <b>III.</b> $f \circ g$ is linear map. <b>IV.</b> $f$ is 1-1 iff $\ker f = \{\vec{0}\}$	<b>I.</b> $f(0_M) = 0_N$ $f(1_R) = 1_S$ NOT need <b>II.</b> $f(a - b) = f(a) - f(b)$ <b>III.</b> $f$ is 1-1 iff $\ker f = \{0\}$
Ker/Im	<b>I.</b> $Im(\phi)$ subgroup $\ker(\phi) \triangleleft G$ normal. <b>II.</b> $K \subseteq G$ is subgroup $\Rightarrow \phi(K) \subseteq H$ also. <b>III.</b> $Ker(\phi)$ subgroup.	<b>I.</b> $Im(f)$ subring. $\ker(f) \trianglelefteq R$ ideal. <b>II.</b> $R' \subseteq R$ is subring $\Rightarrow f(R')$ also.	<b>I.</b> $\ker(f); Im(f)$ are subspaces. <b>II.</b> Rank-Nullity Theorem...	<b>I.</b> $\ker f, Im f$ are submodules.
Remark	<b>Isomorphism:</b> = LM & Bij. <b>Endomorphism(End):</b> = LM & $V = W$ . <b>Automorphism(Aut):</b> = Iso & $V = W$ <b>Monomorphism:</b> = LM & 1-1. <b>Epimorphism:</b> = LM & onto.			

**Normal  $(H \triangleleft G)$ :**  $H \subseteq G$  is normal if:  $\forall g \in G, gH = Hg$

**Property: I:**  $Ker \phi \triangleleft G$     **II:**  $\phi$  is 1-1  $\Rightarrow G \cong im \phi$

**Ideal  $(I \trianglelefteq R)$ :** A subset  $I \subseteq R$  (ring) is an ideal if:    **I.**  $I \neq \emptyset$     **II.**  $\forall a, b \in I, a - b \in I$     **III.**  $\forall i \in I, \forall r \in R, ri, ir \in I$  e.g.  $m\mathbb{Z}$

**Property:** If  $I, J$  are *ideals* of  $R$ . Then  $I + J; I \cap J$  are also ideals.

**Field  $(F)$ :** A set  $F$  is a field with two operators: (addition)  $+: F \times F \rightarrow F; (\lambda, \mu) \rightarrow \lambda + \mu$  (multiplication)  $\cdot: F \times F \rightarrow F; (\lambda, \mu) \rightarrow \lambda \mu$  if:

$(F, +)$  and  $(F \setminus \{0_F\}, \cdot)$  are abelian groups with identity  $0_F, 1_F$ . and  $\lambda(\mu + \nu) = \lambda\mu + \lambda\nu$  e.g. *Fields*:  $\mathbb{R}, \mathbb{C}, \mathbb{Q}, \mathbb{Z}/p\mathbb{Z} = \mathbb{F}_p$

**Field:** For a ring  $R$ : Commutative ring +  $R$  has multiplicative inverse = Field.

## 3 Vector Spaces/Subspaces | Generating Set | Linear Independent | Basis

**Linearly Independent:**  $L = \{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_r\}$  is linearly independent if:  $\forall c_1, \dots, c_r \in F, c_1 \vec{v}_1 + \dots + c_r \vec{v}_r = \vec{0} \Rightarrow c_1 = \dots = c_r = 0$ .

· **Connect to Matrix:** Let  $L = \{\vec{v}_1, \dots, \vec{v}_n\}$ ,  $L$  is LI of  $V$ . Let  $A = [\vec{v}_1, \dots, \vec{v}_n] \Rightarrow \forall \vec{x} \in F^n, A\vec{x} = 0$  (or  $\vec{0}$ )  $\Rightarrow \vec{x} = 0$  (or  $\vec{0}$ ) (i.e. linear map  $\phi: \vec{x} \mapsto A\vec{x}$  is injective)

**Basis & Dimension:** If  $V$  is finitely generated.  $\Rightarrow \exists$  subset  $B \subseteq V$  which is both LI and GS. ( $B$  is basis)    **Dim:**  $\dim V := |B|$

· **Connect to Matrix:** Let  $B = \{\vec{v}_1, \dots, \vec{v}_n\}$  is basis of  $V$ . Let  $A = [\vec{v}_1, \dots, \vec{v}_n] \Rightarrow \forall \vec{x} = (x_1, \dots, x_n)^T$  s.t.  $\phi: \vec{x} \mapsto A\vec{x}$  is 1-1 & onto (Bijection)

**Relation|GS,LI,Basis,dim:** Let  $V$  be vector space.  $L$  is linearly independent set,  $E$  is generating set,  $B$  is basis set.

1. **GS|LI:**  $|L| \leq |E|$  (can get: dim unique)    **LI  $\rightarrow$  Basis:** If  $V$  finite generate  $\Rightarrow \forall L$  can extend to a basis. If  $L = \emptyset$ , prove  $\exists B$      $ker f \cap im f = \{0\}$

2. **Basis|max,min:**  $B \Leftrightarrow B$  is minimal GS ( $E \Leftrightarrow B$  is maximal LI ( $L$ )).    **Uniqueness|Basis:** 每个元素都可以由 basis 唯一表示.

3. **Proper Subspaces:** If  $U \subset V$  is proper subspace, then  $\dim U < \dim V$ .  $\Rightarrow$  If  $U \subseteq V$  is subspace and  $\dim U = \dim V$ , then  $U = V$ .

4. **Dimension Theorem:** If  $U, W \subseteq V$  are subspaces of  $V$ , then  $\dim(U + W) = \dim U + \dim W - \dim(U \cap W)$

**Complementary:**  $U, W \subseteq V, U, V$  subspaces are complementary ( $V = U \oplus W$ ) if:  $\exists \phi: U \times W \rightarrow V$  by  $(\vec{u}, \vec{w}) \mapsto \vec{u} + \vec{w}$  is isom.

i.e.  $\forall \vec{v} \in V$ , we have unique  $\vec{u} \in U, \vec{w} \in W$  s.t.  $\vec{v} = \vec{u} + \vec{w}$ . ps: It's a linear map.

**Criteria Lemma:** If  $U, W$  are subspace of  $V$ , then  $V = U \oplus W \Leftrightarrow V = U + W$  and  $U \cap W = \{0\}$ . (需要证明)

## 4 Linear Mapping | Rank-Nullity| Matrices | Change of Basis

ps: 默认  $V, W$   $F$ -Vector Spaces.

### 4.1 Linear Mapping | Rank-Nullity

**Property of Linear Map:** Let  $f, g \in Hom$

- Determined:**  $f$  is determined by  $f(\vec{b}_i), \vec{b}_i \in \mathcal{B}_{basis}$  (\* i.e.  $f(\sum_i \lambda_i \vec{b}_i) := \sum_i \lambda_i f(\vec{b}_i)$ )
- Classification of Vector Spaces:**  $\dim V = n \Leftrightarrow f : F^n \xrightarrow{\sim} V$  by  $f(\lambda_1, \dots, \lambda_n) \mapsto \sum_{i=1}^n \lambda_i \vec{v}_i$  is isomorphism.
- Left/Right Inverse:**  $f$  is 1-1  $\Rightarrow \exists$  left inverse  $g$  s.t.  $g \circ f = id$  考虑 direct sum  $f$  is onto  $\Rightarrow \exists$  right inverse  $g$  s.t.  $f \circ g = id$
- More of Left/Right Inverse:**  $f \circ g = id \Rightarrow g$  is 1-1 and  $f$  is onto. 使用 kernel=0 来证明

**Rank-Nullity Theorem:** For linear map  $f : V \rightarrow W, \dim V = \dim(\ker f) + \dim(Im f)$

Following are properties:

- Injection:**  $f$  is 1-1  $\Rightarrow \dim V \leq \dim W$  **Surjection:**  $f$  is onto  $\Rightarrow \dim V \geq \dim W$  Moreover,  $\dim W = \dim Im f$  iff  $f$  is onto.
- Same Dimension:**  $f$  is isomorphism  $\Rightarrow \dim V = \dim W$  **Matrix:**  $\forall M$ , column rank  $c(M) = \text{row rank } r(M)$ .
- Relation:** If  $V, W$  finite generate, and  $\dim V = \dim W$ , Then:  $f$  is isomorphism  $\Leftrightarrow f$  is 1-1  $\Leftrightarrow f$  is onto.

### 4.2 Matrices | Change of Basis | Similar Matrices | Trace

**Matrix:** For  $A_{n \times m}, B_{m \times p}, AB_{n \times p} := (AB)_{ij} = \sum_{k=1}^m a_{ik} b_{kj}$  **Transpose:**  $A_{m \times n}^T := (A^T)_{ij} = a_{ji}$

**Invertible Matrices:**  $A$  is invertible if  $\exists B, C$  s.t.  $BA = I$  and  $AC = I$   $\parallel \exists B, BA = I \Leftrightarrow \exists C, AC = I \Leftrightarrow \exists A^{-1}$   ${}_B[f^{-1}]_{\mathcal{A}} = {}_{\mathcal{A}}[f]_B^{-1}$

**Representing matrix of linear map**  ${}_B[f]_{\mathcal{A}} : f : V \rightarrow W$  be linear map,  $\mathcal{A} = \{\vec{v}_1, \dots, \vec{v}_n\}$  is basis of  $V, \mathcal{B} = \{\vec{w}_1, \dots, \vec{w}_m\}$  is basis of  $W$ .

- ${}_B[f]_{\mathcal{A}} := A$  (matrix) where  $f(\vec{v}_i) = \sum_j A_{ji} \vec{w}_j$   $\exists M_{\mathcal{B}}^{\mathcal{A}} : Hom_F(V, W) \xrightarrow{\sim} Mat(n \times m; F)$
- If  $\vec{v} \in V$ , then  ${}_{\mathcal{A}}[\vec{v}] := \mathbf{b}$  (vector) where  $\vec{v} = \sum_i b_i \vec{v}_i$
- Theorems:**  $[f \circ g] = [f] \circ [g]$   ${}_C[f \circ g]_{\mathcal{A}} = {}_C[f]_B \circ {}_B[g]_{\mathcal{A}}$   ${}_B[f(\vec{v})] = {}_B[f]_{\mathcal{A}} \circ {}_{\mathcal{A}}[\vec{v}]$   ${}_{\mathcal{A}}[f]_{\mathcal{A}} = I \Leftrightarrow f = id$
- Change of Basis:** Define *Change of Basis Matrix*:  ${}_{\mathcal{A}}[id_V]_B$   ${}_{B'}[f]_{\mathcal{A}'} = {}_{B'}[id_W]_B \circ {}_B[f]_{\mathcal{A}} \circ {}_{\mathcal{A}}[id_V]_{B'}$   ${}_{\mathcal{A}'}[f]_{\mathcal{A}'} = {}_{\mathcal{A}}[id_V]_{\mathcal{A}'}^{-1} \circ {}_{\mathcal{A}}[f]_{\mathcal{A}} \circ {}_{\mathcal{A}}[id_V]_{\mathcal{A}'}$

**Elementary Matrix:**  $I + \lambda E_{ij}$  (cannot  $I - E_{ii}$ ) 就是初等矩阵, 左乘代表  $j$  行乘  $\lambda$  倍加到第  $i$  行, 右乘代表  $j$  列乘  $\lambda$  倍加到第  $i$  列  $\Rightarrow$  Invertible!

- 交换  $i, j$  列/行:  $P_{ij} = diag(1, \dots, 1, -1, 1, \dots, 1)(I + E_{ij})(I - E_{ji})(I + E_{ij})$  where  $-1$  in  $j$ th place.
- Row Echelon Form|Smith Normal Form:**  $\tilde{A} : REF$  通过左乘初等矩阵可以实现  $\tilde{A} : S(n, m, r)$  通过  $\tilde{A}$  右乘初等矩阵可以实现

**Smith Normal Form:**  $\forall A, \exists$  invertible  $P, Q$  s.t.  $PAQ = S(n, m, r) := n \times m$  的矩阵, 对角线前  $r$  个是 1, 后面 0. **Lemma:**  $r = r(A) = c(A)$

· Every linear map  $f : V \rightarrow W$  can be representing by  ${}_B[f]_{\mathcal{A}} = S(n, m, r)$  for some basis  $\mathcal{A}, \mathcal{B}$  of  $V, W$ .

**Similar Matrices:**  $N = T^{-1}MT \Leftrightarrow M, N$  are similar. *Special Case:* If  $N = {}_B[f]_B, M = {}_{\mathcal{A}}[f]_{\mathcal{A}}$ , then  $N = T^{-1}MT$ . where  $T = {}_{\mathcal{A}}[id_V]_B$

- If  $A \sim B$  iff  $A$  is similar to  $B$ , then  $\sim$  is an equivalence relation.  ${}_{\mathcal{A}'}[f]_{\mathcal{A}'} \sim {}_{\mathcal{A}}[f]_{\mathcal{A}}$
- If  $\mathcal{B} = \{p(\vec{v}_1), \dots, p(\vec{v}_n)\}$  and  $\mathcal{A} = \{\vec{v}_1, \dots, \vec{v}_n\}$  where  $p : V \xrightarrow{\sim} V$ . Then  ${}_{\mathcal{A}}[id_V]_B = {}_{\mathcal{A}}[p]_{\mathcal{A}}$
- If  $V$  is a vector space over  $F, [A, B]$  are similar matrices.  $\Leftrightarrow A = {}_{\mathcal{A}}[f]_{\mathcal{A}}, B = {}_B[f]_B$  for some basis  $\mathcal{A}, \mathcal{B}; f : V \rightarrow V$
- Set of *Endomorphism* is in a bijection correspondence with the equivalence class of matrices under  $\sim$ . 一个自同态 **End** 就对应一个相似矩阵的等价类

**Trace:**  $tr(A) := \sum_i a_{ii}$  and  $tr(f) := tr({}_{\mathcal{A}}[f]_{\mathcal{A}}) \mid tr(AB) = tr(BA) \quad tr(\lambda A + \mu B) = \lambda tr(A) + \mu tr(B) \quad tr(N) = tr(M)$  if  $M, N$  similar.

## 5 Rings | Polynomials | Ideals | Subrings

### 5.1 Rings | Polynomial Rings

**2nd Def of Ring Homomorphism:**  $f$  is ring homomorphism if: 1.  $f : (R, +) \rightarrow (S, +)$  is group homomorphism and 2.  $f(xy) = f(x)f(y)$ .

**Unit:**  $a \in R$  is unit if it's Invertible. i.e.  $\exists a^{-1} \in R$  s.t.  $aa^{-1} = a^{-1}a = 1_R$  **Group of Unit**  $(R^\times, \cdot) := \{a \in R : a \text{ is unit}\}$

· **Lemma:** If  ${}^1 f : R \rightarrow S$  homo,  ${}^2 f(1_R) = 1_S, {}^3 x$  is unit of  $R. \Rightarrow {}^1 f(x)$  is unit of  $S. \quad {}^2 f|_{R^\times} : R^\times \rightarrow S^\times$  is group homomorphism.

**Zero-divisors:**  $a \in R$  is zero-divisor if  $\exists b \in R, b \neq 0$  s.t.  $ab = 0$  or  $ba = 0$  *Field has no zero-divisors.* · e.g.  $\mathbb{Z}^\times = \{-1, 1\}; 1_R$  is a unit.

**Integral Domain:** A commutative ring  $R$  is an integral domain if it has no zero-divisors.

e.g.  $\mathbb{Z}/p\mathbb{Z}, \mathbb{R}, \mathbb{Q}, \mathbb{Z}, \dots$

**Properties of Integral Domain:**  $\forall a, b \in R. \quad \text{I. } ab = 0 \Rightarrow a = 0 \text{ or } b = 0 \quad \text{II. } a, b \neq 0 \Rightarrow ab \neq 0 \quad \text{III. } ac = bc, a \neq 0 \Rightarrow b = c$

· *Field is Integral Domain*

**Every finite integral domain is a field**

$\mathbb{Z}/p\mathbb{Z}$  is field iff  $p$  is prime.

e.g. (integral domain)  $\mathbb{Z}; \mathbb{Z}/p\mathbb{Z}$

**Polynomial Ring  $R[X]$ :**  $R[X] := \{a_n X^n + \dots + a_1 X + a_0 : a_i \in R, n \in \mathbb{N}\}$  where  $X$  is **indeterminate**  $\Leftarrow X \notin R$  and  $\forall x \in R, Xa = aX$

- Degree:**  $\deg(P) := \max\{n \in \mathbb{N} : a_n \neq 0\}$  **Leading Coefficient:**  $a_n$  **Monic:**  $a_n = 1$  ps: Polynomial NOT a function
- Lemma:**  ${}^1 R$  integral domain/no zero-divisors  $\Rightarrow R[X]$  also.  ${}^2 R$  integral domain or no zero-divisor  $\Rightarrow \deg(PQ) = \deg(P) + \deg(Q)$
- Division and Remainder:** If  $R$  is integral domain and  $P, Q \in R[X], Q$  monic  $\exists! A, B \in R[X]$  s.t.  $P = AQ + B$  and  $\deg(B) < \deg(Q)$
- Function | Factorize:** If  $R$  is commutative ring  $\Rightarrow {}^1 R[X] \rightarrow Maps(R, R)$  (可以视作函数)  ${}^2 \lambda \in R$  is root of  $P \Leftrightarrow (X - \lambda) \mid P(X)$
- Roots:** If  $R$  is Integral domain:  $P$  has at most  $\deg(P)$  roots.

**Algebraically Closed:**  $R = F$  field is algebraically closed if every non-constant polynomial has a root in  $F$ .

e.g.  $\mathbb{C}$

· **Decomposes:** If  $F$  field is algebraically closed  $\Rightarrow P$  decomposes into:  $P(X) = a(X - \lambda_1) \cdots (X - \lambda_n), a \in F^\times$  i.e.  $a \neq 0$

## 5.2 Equivalence Relation

**Equivalence Relation:** A relation  $R$  on a set  $X$  is a subset  $R \subseteq X \times X$ . If  $(x, y) \in R$ , we write  $xRy$ , if  $R$  is Equivalence Relation, then:

**Reflexive:**  $xRx$  ( $x \sim x$ )    **Symmetric:**  $xRy \Rightarrow yRx$  ( $x \sim y \Rightarrow y \sim x$ )    **Transitive:**  $xRy, yRz \Rightarrow xRz$  ( $x \sim y, y \sim z \Rightarrow x \sim z$ )

**Partial Order:** A relation  $R$  on a set  $X$ ,  $xRy$ . If  $R$  is partial order, then:

**Reflexive:**  $xRx$  ( $x \sim x$ )    **Anti-symmetric:**  $xRy, yRx \Rightarrow x = y$  ( $x \sim y, y \sim x \Rightarrow x = y$ )    **Transitive:**  $xRy, yRz \Rightarrow xRz$  ( $x \sim y, y \sim z \Rightarrow x \sim z$ )

**Property of Equivalence Relation:** If  $R (\sim)$  is equivalence relation on  $X$ .

1.  $\sim$  Define the **equivalence classes** of  $x \in X$  as  $E(x) := \{y \in X : x \sim y\}$
2.  $\sim$  **Partition**  $X$  into disjoint subsets  $X = \bigcup_i X_i$ ,  $X_i$  is equivalence class of  $x \in X$ .
3.  $x \sim y \Leftrightarrow E(x) = E(y) \Leftrightarrow E(x) \cap E(y) \neq \emptyset$ .

**Set of Equivalence Classes** ( $X/\sim$ ):  $(X/\sim) := \{E(x) : x \in X\}$     **Canonical Projection:**  $can : X \rightarrow (X/\sim)$  by  $x \mapsto E(x)$

**System of Representatives:**  $Z \subseteq X$  is a system of representatives if 每个等价类都恰好有一个元素代表在  $Z$  中

**Examples:** <sup>1</sup> If  $V$   $F$ -vector space,  $W$  subspace. Then  $V/W$  is **quotient vector space**. <sup>2</sup> If  $G$  group,  $H$  normal. Then  $G/H$  is **quotient group**. <sup>3</sup> If  $R$  ring,  $I$  ideal. Then  $R/I$  is **quotient ring**.

**Universal Property of the set of Equivalence Classes:** If  $f : X \rightarrow Z$  is a map s.t.  $x \sim y \Leftrightarrow f(x) = f(y)$ . ( $\sim$  is Equivalence relation) **Important**

Then,  $\exists!$  map  $\bar{f} : (X/\sim) \rightarrow Z$  s.t.  $f = \bar{f} \circ can$  with  $\bar{f}(E(x)) = f(x)$  is **well-defined**. Further more,  $\bar{f} : (X/\sim) \xrightarrow{\sim} Im(f)$

ps: Often, if we want to prove  $g : (X/\sim) \rightarrow Z$  is well-defined, we need to prove  $x \sim y \Leftrightarrow g(x) = g(y)$  holds.

## 5.3 Factor Ring | First Isomorphism Theorem

**Coset of Ideal:** Let  $I$  be an ideal of  $R$ . Then  $a + I$  is a coset of  $I$ . The  $\sim$  is defined by  $a \sim b \Leftrightarrow a - b \in I$  is an equivalence relation.

**Factor Ring:** Let  $I$  be ideal of  $R$ .  $R/I := \{a + I : a \in R\}$  is the set of cosets of  $I$ . (i.e.  $R/I$  is the set of equivalence classes of  $R$  under  $\sim$ )

1. By **well-defined** operators:  $(x + I) + (y + I) = (x + y) + I$  and  $(x + I) \cdot (y + I) = xy + I \Rightarrow R/I$  is a ring.
2.  $x + I = y + I \Leftrightarrow x \sim y \Leftrightarrow x - y \in I$  ||  $R$  is commutative  $\Rightarrow R/I$  also. ||  $R/I \neq \{0 + I\}$  iff  $I \neq R$
3. The Identity of  $R/I$ :  $1_R + I$  The Zero of  $R/I$ :  $0_R + I$

**Universal Property of Factor Ring:** Let  $R$  be a ring and  $I$  be an ideal of  $R$ . ps:  $\bar{f}(x + I) = f(x)$

1. **can:** Mapping  $can : R \rightarrow R/I$  by  $x \mapsto x + I$  is <sup>1</sup> surjection, <sup>2</sup>  $ker(can) = I$ , <sup>3</sup>  $can$  is ring homomorphism.
2. **f:** If  $^1f : R \rightarrow S$  is ring homomorphism and  $^2I \subseteq ker(f)$ , then  $\exists! ^1\bar{f} : R/I \rightarrow S$  s.t.  $f = \bar{f} \circ can$  is ring homomorphism.
3. **First Isomorphism Theorem:** If  $f : R \rightarrow S$  is ring homomorphism  $\Rightarrow \exists! \bar{f} : R/ker(f) \xrightarrow{\sim} im(f)$  is (ring isomorphism).

**Universal Property of Quotient Group:** Let  $G$  be a group and  $H$  be a normal subgroup of  $G$ . ps:  $\bar{f}(g + N) = f(g)$

1. **can:** Mapping  $can : G \rightarrow G/H$  by  $x \mapsto xH$  is <sup>1</sup> surjection, <sup>2</sup>  $ker(can) = H$ , <sup>3</sup>  $can$  is group homomorphism.
2. **f:** If  $^1f : G \rightarrow S$  is group homomorphism and  $^2H \subseteq ker(f)$ , then  $\exists! ^1\bar{f} : G/H \rightarrow S$  s.t.  $f = \bar{f} \circ can$  is group homomorphism.
3. **First Isomorphism Theorem:** If  $f : G \rightarrow S$  is group homomorphism  $\Rightarrow \exists! \bar{f} : G/ker(f) \xrightarrow{\sim} im(f)$  is (group isomorphism).

## 5.4 Modules | Submodules | All of That

**Restrict with Scalar:** Let  $f : R \rightarrow S$  is a ring homomorphism,  $f(1_R) = 1_S$  and  $M$  is a  $S$ -Module, then  $M$  is also a  $R$ -Module by:

Define the restrict our scalar:  $rm := f(r)m \quad \forall r \in R, m \in M$  ps:  $f(1_R) = 1_S$

**Free Module:** Let  $M$  be a  $R$ -Module.  $M$  is free if:  $\forall m \in M, \exists! r_1, \dots, r_n \in R$  s.t.  $m = r_1m_1 + \dots + r_nm_n$  ps:  $m_1, \dots, m_n$  is basis of  $M$

**Coset of Submodule:** Let  $N$  submodule of  $M$ . Then  $m + N$  coset of  $N$ .  $\sim$  is defined by  $m \sim n \Leftrightarrow m - n \in N$  is an equivalence relation.

**Factor Module:** Let  $N$  submodule of  $M$ .  $M/N := \{m + N : m \in M\}$  is the set of cosets of  $N$ .

ps: All properties of  $M/N$  are similar to  $R/I$

**Universal Property of Module Quotient:** Let  $M$  be a module and  $N$  be a submodule of  $M$ . ps:  $\bar{f}(x + N) = f(x)$

1. **can:** Mapping  $can : M \rightarrow M/N$  by  $x \mapsto x + N$  is <sup>1</sup> surjection, <sup>2</sup>  $ker(can) = N$ , <sup>3</sup>  $can$  is module homomorphism.
2. **f:** If  $^1f : M \rightarrow S$  is module homomorphism and  $^2N \subseteq ker(f)$ , then  $\exists! ^1\bar{f} : M/N \rightarrow S$  s.t.  $f = \bar{f} \circ can$  is module homomorphism.
3. **First Isomorphism Theorem:** If  $f : M \rightarrow S$  is module homomorphism  $\Rightarrow \exists! \bar{f} : M/ker(f) \xrightarrow{\sim} im(f)$  is (module isomorphism).

<sup>⊖</sup> **Second Isomorphism Theorem for Modules:** Let  $N, K$  be submodules of  $R$ -module  $M \Rightarrow N/(N \cap K) \cong (N + K)/K$

ps: consider  $f : N \rightarrow (N + K)/K$  and then we can find  $ker(f) = N \cap K$

<sup>⊖</sup> **Third Isomorphism Theorem for Modules:** Let  $N, K$  be submodules of  $R$ -module  $M$ ;  $K \subseteq N \Rightarrow \frac{M/K}{N/K} \cong M/N$

ps: consider  $f : M/K \rightarrow M/N$  and then we can find  $ker(f) = N/K$

## 6 Permutation | Determinants | Eigenvalues and Eigenvectors

### 6.1 Permutation | Determinants

**Permutation:** A bijection  $\sigma : \{1, \dots, n\} \xrightarrow{\sim} \{1, \dots, n\}$  is a permutation.

All permutations of  $n$  elements form a group  $\mathfrak{S}_n$ .

1. **Transposition:** A transposition is a permutation that exchanges two elements. **Inversion:** A pair of elements  $(i, j)$  is an inversion of  $\sigma \in \mathfrak{S}_n$  if  $i < j$  but  $\sigma(i) > \sigma(j)$
2. **Length:** The length of a permutation  $\sigma$  is the number of inversions. (i.e.  $\ell(\sigma) := |\{(i, j) : i < j, \sigma(i) > \sigma(j)\}|$ ) **Sign:**  $sgn(\sigma) := (-1)^{\ell(\sigma)}$   $sgn = 1, even$ ;  $sgn = -1, odd$
3.  $sgn(a_1a_2) = -1$   $sgn(a_1 \dots a_n) = (-1)^{n-1}$   $sgn(\sigma\tau) = sgn(\sigma)sgn(\tau)$  | **Alternating Group:**  $A_n := \{\sigma \in \mathfrak{S}_n : sgn(\sigma) = 1\}$
4. **Graph Meaning of Inversion:** Inversion is # edges that cross each other in the graph of permutation. (i.e. 画出的图中, 线段交叉的次数)

**Determinant:** For matrix  $A_{n \times n}$ , with  $A_{ij} = a_{ij}$ .  $\det(A) := \sum_{\sigma \in \mathfrak{S}_n} \text{sgn}(\sigma) a_{1\sigma(1)} \cdots a_{n\sigma(n)}$  (**Leibniz Formula**)  $\det(I_0) := 1$   
 or:  $\det(A) := \sum_{\sigma^{-1} \in \mathfrak{S}_n} \text{sgn}(\sigma^{-1}) a_{\sigma^{-1}(1)1} \cdots a_{\sigma^{-1}(n)n}$

**Geometric Meaning of Determinant:** Let  $\text{area}(U)$  denote the area|volume of  $U$ . Let  $A$  denote a matrix.

1.  $\det(A)$  对  $U$  操作后的面积 | 体积 =  $|\det(A)| \times \text{area}(U)$
2.  $\text{sgn}(\det A)$  决定了方向是否改变 (+1 不变, -1 变). (i.e. 顺时针变化, 左右 | 上下变化, 手性变化)

**Bilinear|Multilinear form:**  $U, V, V_i, W$  be  $F$ -vector space. A mapping  $H : U \times V \rightarrow W$  or  $H : V_1 \times \cdots \times V_n \rightarrow W$  is *bilinear* / *multilinear* if:

1.  $H(\lambda u, v) = \lambda H(u, v)$
  2.  $H(u + v, w) = H(u, w) + H(v, w)$
  3.  $H(u, \lambda v) = \lambda H(u, v)$
  4.  $H(u, v + w) = H(u, v) + H(u, w)$
- (左边 bilinear, 右边 multilinear)

$H$  is **Symmetric** if (bilinear):  ${}^1U = V$ ,  ${}^2H(u, v) = H(v, u) \quad \forall u, v \in U$

if (multilinear):  ${}^1V_i$  same,  ${}^2H(v_1, \dots, v_n) = H(v_{\sigma(1)}, \dots, v_{\sigma(n)}) \quad \forall \sigma \in \mathfrak{S}_n$

$H$  is **Alternating|Antisymmetric** if (bilinear):  ${}^1U = V$ ,  ${}^2H(u, u) = 0 \quad \forall u \in U$

if (multilinear):  ${}^1V_i$  same,  ${}^2H(v_1, \dots, v_n) = 0 \quad \forall v_i = v_j$  (i.e. 只要存在两个及以上相同的,  $H$  结果为 0)

**Lemma I:** If  $H$  is *alternating*, then  $H(u, v) = -H(v, u) \quad H(v_1, \dots, v_i, \dots, v_j, \dots, v_n) = -H(v_1, \dots, v_j, \dots, v_i, \dots, v_n)$  ( $\Leftarrow$  不一定成立)

**Lemma II:** If  $H$  is *alternating*, then  $H(v_1, \dots, v_n) = \text{sgn}(\sigma) H(v_{\sigma(1)}, \dots, v_{\sigma(n)})$  ( $\sigma$  is a permutation)

**Property of Determinant:** Let  $A, B$  be  $n \times n$  matrices.  $F$  be field.  $R$  be *commutative ring*.

1. **Unique on Field:**  $\det : F^n \times \cdots \times F^n \rightarrow F$  or  $\det : \text{Mat}(n; F) \rightarrow F$  is the <sup>1</sup>*unique* <sup>2</sup>*alternating* <sup>3</sup>*multilinear form* s.t.  $\det(I_n) = 1_F$
2. **Invertible on Field:** For  $\text{Mat}(n; F)$ ,  $A$  is invertible  $\Leftrightarrow \det(A) \neq 0 \quad \det(A^{-1}) = \det(A)^{-1}$  交换环, 结论成立如果  $\det(A)$  在  $R$  中有逆
3. **Similar on Field:** For  $F$  field.  $A \sim B \Rightarrow \det(A) = \det(P^{-1}BP) = \det(B)$  Thus, we can define:  $\det(f)$  for  $f : V \rightarrow V$
4. **Operations:** If  $R$  is *commutative ring*, then  $\det(AB) = \det(A) \det(B) \quad \det(A^T) = \det(A) \quad \det(A^{-1}) = \det(A)^{-1}$
5. **Block Triangular:** If  $A$  is block triangular, then  $\det(A) = \prod_{i=1}^n \det(A_i)$  即矩阵分块后如果是对角阵, 行列式等于各个块的行列式乘积

**Common Theorems in Determinant:** Let  $A$  be  $n \times n$  matrix.  $F$  be field.  $R$  be *commutative ring*.

1. **Cofactor:** In  $R$ ,  $C_{ij} := (-1)^{i+j} \det(A_{(i,j)})$  where  $A_{(i,j)}$  is  $A$  去掉第  $i$  行第  $j$  列的矩阵. **Laplace's Expansion:** In  $R$ ,  $\det(A) = \sum_{j=1}^n a_{ij} C_{ij} = \sum_{i=1}^n a_{ij} C_{ij}$
2. **Adjugate Matrix:** In  $R$   $\text{adj}(A)$  matrix,  $\text{adj}(A)_{ij} := C_{ji}$  **Cramer's Rule:** In  $R$   $A \cdot \text{adj}(A) = (\det A) I_n$  In  $F$ ,  $x_i = \frac{\det(A_i)}{\det(A)}$   $A_i$  代表  $A$  的第  $i$  列替换为  $b$
3. **Theorem|Need proof:** In  $R$ ,  $\text{adj}(A^T) = \text{adj}(A)^T$  Hint:  $\text{adj}(A^T)_{ij} = C_{ij}^T = (-1)^{i+j} \det(A^T_{(i,j)}) = (-1)^{i+j} \det(A_{(j,i)}^T) = (-1)^{i+j} \det(A_{(j,i)}) = C_{ji}^A = \text{adj}(A)_{ji} = \text{adj}(A)^T_{ij}$
4. **Invertibility of Matrix:** In  $R$ , matrix  $A$  is invertible  $\Leftrightarrow \det(A) \in R^\times$  e.g.  $\mathbb{Z}^\times = \{\pm 1\}$ ;  $\mathbb{C}^\times, \mathbb{R}^\times, \mathbb{Q}^\times = \mathbb{C}^*, \mathbb{R}^*, \mathbb{Q}^*$ ;  $\mathbb{F}_p^\times = \mathbb{F}_p \setminus \{0\}$ ;  $\mathbb{Z}[i] = \{\pm 1, \pm i\}$
5. **Jacobi's Formula,** Let matrix  $A$  s.t.  $a_{ij}(t)$  are functions of  $t$ . Then,  $\frac{d}{dt} \det(A) = \text{tr} \left( \text{adj} A \cdot \frac{dA}{dt} \right)$

## 6.2 Eigenvalues | Eigenvectors | Diagonalization

**Eigenspace  $E(\lambda, f)$ :** Let  $f : V \rightarrow V$  linear map (End),  $\lambda \in F$ .  $E(\lambda, f) := \{\vec{v} \in V : f(\vec{v}) = \lambda \vec{v}\}$ .  $\lambda$  is *eigenvalue* if  $E(\lambda, f) \neq \{0\}$   
 ps:  $\ker(f - \lambda \text{id}_V)$  is the eigenspace of  $E(\lambda, f)$  and it has a basis of eigenvectors  $\{\vec{v}_1, \dots, \vec{v}_r\}$ .

**Existence of Eigenvalues:** For all  $f : V \rightarrow V$  linear map.  ${}^1V$  is finite-dimensional.  ${}^1F$  is *algebraically closed*.  $\Rightarrow \exists$  eigenvalues.

**Characteristic Polynomial  $\chi_A(x)$ :** Let  $R$  be *commutative ring*.  $A \in \text{Mat}(n; R)$ .  $\chi_A(x) := \det(xI_n - A) \in R[x]$

**Relation with Eigenvalues:** If  $F$  is *field*,  $A \in \text{Mat}(n; F)$ .  $\lambda$  is eigenvalue of  $A \Leftrightarrow \chi_A(\lambda) = 0$

**Similar Matrix:** If  $R$  is *commutative ring*,  $A, B \in \text{Mat}(n; R)$  similar.  $\Rightarrow \chi_A(x) = \chi_B(x)$  Thus:  $\chi_f(x) := \chi_{\mathcal{A}[f]}(x)$

Moreover, if  $\mathcal{A}[f]_{\mathcal{A}} = A$  and  $A$  is similar to  $B$ . Then,  $\exists$  basis  $\mathcal{B}$  s.t.  $\mathcal{B}[f]_{\mathcal{B}} = B$

**Remark:** If  $W \subseteq V$  is subspace.  $f : V \rightarrow V$  is End.  $f(W) \subseteq W$ . Let  $\mathcal{A} = (\vec{w}_1, \dots, \vec{w}_m)$  basis  $W$ .  $\mathcal{B} = (\vec{w}_1, \dots, \vec{w}_m, \vec{v}_{m+1}, \dots, \vec{v}_n)$  basis  $V$ .  $\mathcal{C} = (\vec{v}_{m+1} + W, \dots, \vec{v}_n + W)$  basis  $V/W$ .

Suppose  $f(\vec{v}_k) = \sum_{i=1}^m c_{ik} \vec{w}_i + \sum_{j=m+1}^n b_{jk} \vec{v}_j$  Let  $g : W \rightarrow W$  by  $w \mapsto f(w)$   $h : V/W \rightarrow V/W$  by  $v + W \mapsto f(v) + W$   $e : V/W \rightarrow W$  by  $v_k + W \mapsto \sum_{i=1}^m c_{ik} \vec{w}_i$

Then:  $\chi_f(x) = \chi_g(x) \chi_h(x)$  and  $\mathcal{B}[f]_{\mathcal{B}} = \begin{pmatrix} \mathcal{A}[g]_{\mathcal{A}} & \mathcal{A}[e]_{\mathcal{C}} \\ 0 & \mathcal{C}[h]_{\mathcal{C}} \end{pmatrix} = \begin{pmatrix} a_{ij} & c_{ik} \\ 0 & b_{jk} \end{pmatrix}$  ps:  $f(\vec{w}_j) = \sum_{i=1}^m a_{ij} \vec{w}_i$

**Triangularisability|A:** Let  $A \in \text{Mat}(n; F)$ , it is *triangularisable* if  $\exists P$  invertible s.t.  $P^{-1}AP = U$  is upper triangular.

**Triangularisability|f:** Let  $f : V \rightarrow V$  be End.  $V$  is finite-dimensional. the following are equivalent:

1.  $\exists \mathcal{B} = (\vec{v}_1, \dots, \vec{v}_n)$  basis s.t.  $f(\vec{v}_i) = \sum_{j=1}^i a_{ji} \vec{v}_j$  (i.e.  $\mathcal{B}[f]_{\mathcal{B}}$  is upper triangular.) we say  $f$  is *triangularisable*
2. The characteristic polynomial  $\chi_f(x)$  can be factored into linear factors over  $F$ . (ps: If  $F$  is algebraically closed, then  $f$  is triangularisable)

**Corollary I:** Let  $A, B \in \text{Mat}(n; F)$ .  $A$  is *triangularisable*  $\Leftrightarrow A$  is similar (Conjugate) to a *upper triangular* matrix  $B$ .

**Corollary II:** Let  $f : V \rightarrow V$  be End.  $V$  is finite-dimensional.  $f$  is *triangularisable*  $\Leftrightarrow \exists$  subspaces  $V_0 = \{0\} \subset V_1 \subset \cdots \subset V_n = V$  s.t.  $f(V_i) \subseteq V_i$ .

**Corollary III:** For  $A \in \text{Mat}(n; F)$ .  $A$  is *nilpotent* (i.e.  $A^k = 0$  for some  $k$ )  $\Leftrightarrow \chi_A(x) = x^n$

**Application:** 将矩阵  $A$  进行三角化, 可以通过: 1. 求特征值, 特征向量; 2. 选择一个特征向量为基 (通常选最大的); 3. 拓展为  $V$  的基; 4. 求  $A$  在新基下的矩阵  $B$ , 此时  $B$  按分块矩阵看应有一部分三角化; 5. 对  $B$  未三角化的部分重复.

**Diagonalisable|A:** Let  $A \in \text{Mat}(n; F)$ .  $A$  is *diagonalisable* iff  $\exists$  matrix  $P$  s.t.  $P^{-1}AP = \text{diag}$

**Diagonalisable|f:** Let  $f : V \rightarrow V$  be End,  $V$  is *diagonalisable* iff  $\exists$  basis of  $V$  consisting of eigenvectors of  $f$ .

**Diagonalisable|Finite:** For  $V$  is finite-dimensional.  $V$  is *diagonalisable*  $\Leftrightarrow \exists$  basis  $\mathcal{B}$  s.t.  $\mathcal{B}[f]_{\mathcal{B}} = \text{diag}(\lambda_1, \dots, \lambda_n)$ , where:  $f(\vec{v}_i) = \lambda_i \vec{v}_i$

**Property:** In finite case,  $\exists P$  consisting of eigenvectors s.t.  $P^{-1}AP = \text{diag}(\lambda_1, \dots, \lambda_n)$

**Corollary:** If all roots of  $\chi_f(x)$  are distinct, then  $f$  is *diagonalisable*.

**LI of Eigenvectors:** Let  $f : V \rightarrow V$  be End.  $V$  is finite-dimensional. If  $\lambda_1, \dots, \lambda_n$  are distinct  $\Rightarrow$  Corresponding eigenvectors are linearly independent.

**Cayley-Hamilton Theorem:** Let  $R$  be *commutative ring*.  $A \in \text{Mat}(n; R)$ . Then: for  $\chi_A(x) \quad \chi_A(A) = 0$

# 7 Inner Product Spaces | Orthogonal Complement / Proj | Adjoints and Self-Adjoint

## 7.1 Inner Product Spaces | Orthogonal Complement / Proj

**Real|Complex Inner Product Space:** Let  $V$  vector space over  $F = \mathbb{R}|\mathbb{C}$ . It is an *inner product space* if  $\exists$  mapping  $V \times V \rightarrow \mathbb{R}|\mathbb{C}$  s.t.

- Linear in 1st Variable:**  $(\lambda \vec{x} + \mu \vec{y}, \vec{z}) = \lambda(\vec{x}, \vec{z}) + \mu(\vec{y}, \vec{z}) \quad \forall \lambda, \mu \in F, \vec{x}, \vec{y}, \vec{z} \in V$
- (Conjugate) Symmetric:**  $(\vec{x}, \vec{y}) = \overline{(\vec{y}, \vec{x})}$  for real,  $(\vec{x}, \vec{y}) = (\vec{y}, \vec{x})$  | Real: *linear* in 2nd variable. Complex: *conjugate linear* in 2nd variable.
- Positive Definite:**  $(\vec{x}, \vec{x}) \geq 0$  and  $(\vec{x}, \vec{x}) = 0$  iff  $\vec{x} = \vec{0}$  | Complex:  $(\vec{z}, \lambda \vec{x} + \mu \vec{y}) = \overline{\lambda}(\vec{z}, \vec{x}) + \overline{\mu}(\vec{z}, \vec{y})$   
ps: **Standard Inner Product in  $\mathbb{R}^n|\mathbb{C}^n$ :**  $(\vec{x}, \vec{y}) = \sum_{i=1}^n x_i y_i$   $(\vec{x}, \vec{y}) = \sum_{i=1}^n x_i \overline{y_i}$  (i.e. dot product  $\vec{x} \cdot \vec{y} = \vec{x}^T \vec{y}$ )

**Special Inner Product:** If  $(\vec{x}, \vec{y}) = \sum_{i,j} a_{ij} x_i \overline{y_j} = \vec{x}^T A \vec{y}$  where  $A_{ij} = a_{ij}$

$\Rightarrow$  It is an inner product if:  ${}^1 A^T = A$   ${}^2 \vec{x}^T A \vec{x} \geq 0, \forall \vec{x} \in \mathbb{R}^n|\mathbb{C}^n$   ${}^3 (\vec{x}, \vec{x}) = 0$  iff  $\vec{x} = \vec{0}$

**Norms:** For  $\vec{x}, \vec{y} \in V$  in inner product space.  $\|\vec{x}\| := \sqrt{(\vec{x}, \vec{x})} \geq 0$  **Orthogonal:**  $\vec{x} \perp \vec{y}$  iff  $(\vec{x}, \vec{y}) = 0$

- Pythagoras' Theorem:** If  $\vec{x} \perp \vec{y}$ , then  $\|\vec{x} + \vec{y}\|^2 = \|\vec{x}\|^2 + \|\vec{y}\|^2$ . **Metric Space:**  $d(\vec{x}, \vec{y}) := \|\vec{x} - \vec{y}\|$ .
- Cauchy-Schwarz Inequality:**  $|(x, y)| \leq \|x\| \|y\|$  **Triangle Inequality:**  $\|\vec{x} + \vec{y}\| \leq \|\vec{x}\| + \|\vec{y}\|$  **Scalar:**  $\|\lambda \vec{x}\| = |\lambda| \|\vec{x}\|$   
**Remark:** *Cauchy-Schwarz Inequality, "=" iff  $\vec{x}, \vec{y}$  are linearly dependent. Triangle Inequality, "=" iff  $\vec{x}, \vec{y}$  are linearly dependent, and they have same direction. (i.e.  $\vec{x} = \lambda \vec{y}, \lambda \geq 0$ )*

**Orthonormal Family:**  $\{\vec{v}_1, \dots, \vec{v}_n\}$  is *orthonormal* if  ${}^1 \|\vec{v}_i\| = 1$  and  ${}^2 \vec{v}_i \perp \vec{v}_j$  for  $i \neq j$ . (i.e.  $(\vec{v}_i, \vec{v}_j) = \delta_{ij}$ ) If it is basis, then it is **orthonormal basis**.

- Observations: I.** For  $\{\vec{v}_1, \dots, \vec{v}_n\}$  orthonormal basis.  $\vec{v} = \sum_{i=1}^n (\vec{v}, \vec{v}_i) \vec{v}_i$ . **II.** For orthonormal Family, 可直接用勾股定理.  $\Rightarrow$  证明 basis 只需要证 span.
- Theorem:** Every finite-dimensional inner product space has an orthonormal basis.
- Gram-Schmidt Process:** Let  $\{\vec{v}_1, \dots, \vec{v}_n\}$  be basis of  $V$ . By using following way to get orthonormal basis:

a. $\vec{e}_1 = \frac{\vec{v}_1}{\ \vec{v}_1\ }$	$\text{Proj}_{\vec{e}_k} \vec{v}_j = (\vec{v}_j, \vec{e}_k) \vec{e}_k$	All-In-One: $\vec{e}_{k+1} = \frac{\vec{v}_{k+1} - \sum_{i=1}^k \text{Proj}_{\vec{e}_i} \vec{v}_{k+1}}{\ \vec{v}_{k+1} - \sum_{i=1}^k \text{Proj}_{\vec{e}_i} \vec{v}_{k+1}\ }$
b. $\vec{u}_2 = \vec{v}_2 - \text{Proj}_{\vec{e}_1} \vec{v}_2$	$\vec{e}_2 = \frac{\vec{u}_2}{\ \vec{u}_2\ }$	
c. $\vec{u}_3 = \vec{v}_3 - \text{Proj}_{\vec{e}_1} \vec{v}_3 - \text{Proj}_{\vec{e}_2} \vec{v}_3$	$\vec{e}_3 = \frac{\vec{u}_3}{\ \vec{u}_3\ }$	
d. $\vec{u}_n = \vec{v}_n - \sum_{i=1}^{n-1} \text{Proj}_{\vec{e}_i} \vec{v}_n$	$\vec{e}_n = \frac{\vec{u}_n}{\ \vec{u}_n\ }$	

**Orthogonal Set:** For subset  $T$  of vector space  $V$ . **Set Orthogonal to  $A$**  is  $A^\perp := \{\vec{v} \in V : \vec{v} \perp \vec{a}, \forall \vec{a} \in A\}$

- I.**  $A^\perp$  is always subspace of  $V$ . **II.**  $A^\perp = \langle A \rangle^\perp$
  - Orthogonal Decomposition Theorem:** Let  $V$  be inner product space.  $W$  be subspace of  $V$ . Then:  $V = W \oplus W^\perp$
- Orthogonal Projection:** Let  $V$  be inner product space.  $U$  be subspace of  $V$ , with orthonormal basis  $\{\vec{e}_1, \dots, \vec{e}_m\}$ .

- Then: *orthogonal projection*  $\pi_U : V \rightarrow V$  by  $\vec{v} \mapsto \sum_{i=1}^m (\vec{v}, \vec{e}_i) \vec{e}_i$
- I.**  $\pi_U^2 = \pi_U$  **II.**  $\ker(\pi_U) = U^\perp$  and  $\text{Im}(\pi_U) = U$  **III.**  $\pi_U|_U = \text{id}_U$
- Orthogonal Decomposition:** For all  $\vec{v} \in V$ ,  $\vec{v} = (\vec{v} - \pi_U(\vec{v})) + \pi_U(\vec{v})$  where  $(\vec{v} - \pi_U(\vec{v})) \perp \pi_U(\vec{v})$ .
- Closest Approximation:** Since  $\|\vec{v} - \vec{u}\|^2 = \|\vec{v} - \pi_U(\vec{v})\|^2 + \|\pi_U(\vec{v}) - \vec{u}\|^2 \Rightarrow \vec{u} = \pi_U(\vec{v})$  is the closest vector in  $U$  to  $\vec{v}$ .

## 7.2 Adjoint and Self-Adjoint

**Orthogonal:** matrix  $A$  is *orthogonal* if  $A^T A = I_n$ . (i.e.  $A^{-1} = A^T$ ) **Unitary:** matrix  $A$  is *unitary* if  $\overline{A}^T A$  or  $A^T \overline{A} = I_n$ . (i.e.  $A^{-1} = \overline{A}^T$ )

**Hermitian:** matrix  $A$  is *Hermitian* if  $\overline{A}^T = A$ . (i.e.  $A$  is *self-adjoint* in  $\mathbb{C}$ ) **Symmetric:** matrix  $A$  is *symmetric* if  $A^T = A$ . (i.e.  $A$  is *self-adjoint* in  $\mathbb{R}$ )

**Useful Tool:** If  $T : V \rightarrow W$  is linear map. For matrix  ${}_B[T]_A$ , The entry  $[{}_B[T]_A]_{ij} = (T\vec{e}_j, \vec{f}_i)$

**IPS isomorphism of  $V$ :** A linear map  $T : V \rightarrow W$  is *IPS isomorphism* of  $V$  (and  $W$ ) if:  ${}^1 T$  is isomorphism  ${}^2 (T\vec{v}_1, T\vec{v}_2) = (\vec{v}_1, \vec{v}_2) \quad \forall \vec{v}_1, \vec{v}_2 \in V$

**Properties of IPS isomorphism:** Let  $V, W$  be *inner product spaces*,  $\mathcal{A} = \{\vec{e}_1, \dots, \vec{e}_m\}, \mathcal{B} = \{\vec{f}_1, \dots, \vec{f}_n\}$  are orthonormal basis of  $V, W$ .

- Linear map  $T : V \rightarrow W$  is *IPS isomorphism* of  $V$  (i.e.  $T$  is iso &  $(T\vec{v}_1, T\vec{v}_2) = (\vec{v}_1, \vec{v}_2)$ )  $\Leftrightarrow$  Linear map  $T : V \rightarrow W$  maps some orthonormal basis to another.
- $T : V \rightarrow V$  is *IPS isomorphism*  $\Leftrightarrow {}_A[T]_A$  is *orthogonal* $_{\mathbb{R}}$  or *unitary* $_{\mathbb{C}}$  matrix.
- Similar:** If matrix  $A = {}_A[f]_A$  and  $B = {}_B[f]_B \Leftrightarrow B = P^{-1}AP$  and  $P$  is *orthogonal* $_{\mathbb{R}}$  or *unitary* $_{\mathbb{C}}$  matrix.

**Adjoint:**  $V$  is inner product space.  $T, S : V \rightarrow V$  are linear maps.  $T, S$  are called *adjoint* to one another if  $(T\vec{v}, \vec{w}) = (\vec{v}, S\vec{w}) \quad \forall \vec{v}, \vec{w} \in V$ .

**Self-adjoint:** If  $T = T^*$ , then  $T$  is *self-adjoint*. (i.e.  $(T\vec{v}, \vec{w}) = (\vec{v}, T\vec{w})$ )

**Properties of Adjoint:** Let  $V$  be *inner product spaces*,  $\mathcal{A} = \{\vec{e}_1, \dots, \vec{e}_n\}$  are orthonormal basis of  $V$ .  $T : V \rightarrow V$  is linear map.

- Then,  $\exists!$  linear map  $T^* : V \rightarrow V$  s.t.  $(T\vec{v}, \vec{w}) = (\vec{v}, T^*\vec{w}) \quad \forall \vec{v}, \vec{w} \in V$ .
- I.**  ${}_A[T^*]_A = \overline{{}_A[T]_A}^T$  **II.** If  $T = T^*$  (self-adjoint)  $\Leftrightarrow {}_A[T]_A = \overline{{}_A[T]_A}^T$  Hermitian/Symmetric **III.**  $(T^*)^* = T$
- IPS isomorphism:**  $T : V \rightarrow V$  is *IPS isomorphism*  $\Leftrightarrow TT^* = T^*T = \text{id}_V \Leftrightarrow {}_A[T]_A$  is *unitary* $_{\mathbb{C}}$  or *orthogonal* $_{\mathbb{R}}$  matrix.

**Normal:** Linear map  $T : V \rightarrow V$  is *normal* if  $TT^* = T^*T$ .

**Properties of Normal:** Let  $V$  be *inner product spaces*,  $\mathcal{A} = \{\vec{e}_1, \dots, \vec{e}_n\}$  are orthonormal basis of  $V$ .  $T : V \rightarrow V$  is linear map.

- $T$  is *normal*  $\Leftrightarrow \overline{{}_A[T]_A}^T \cdot {}_A[T]_A = {}_A[T]_A \cdot \overline{{}_A[T]_A}^T$
- I.**  $T$  is *self-adjoint*  $\Rightarrow T$  is *normal* **II.**  $T$  is *IPS isomorphism*  $\Rightarrow T$  is *normal*.

## 8 Jordan Normal Form | Spectral Theorem

## 9 Appendix

**Vieta's formulas:** For polynomial  $P(x) = a_n x^n + \cdots + a_1 x + a_0$ . Let  $x_1, \dots, x_n$  be roots of  $P(x)$ .

$$x_1 + \cdots + x_n = -\frac{a_{n-1}}{a_n} \quad x_1 \cdots x_n = (-1)^n \frac{a_0}{a_n} \quad x_1 x_2 + x_1 x_3 + \cdots + x_{n-1} x_n = \frac{a_{n-2}}{a_n}$$

**Determinant of Vandermonde Matrix:** Let  $x_1, \dots, x_n$  be distinct elements of  $F$ . Then  $\det \begin{pmatrix} 1 & x_1 & x_1^2 & \cdots & x_1^{n-1} \\ 1 & x_2 & x_2^2 & \cdots & x_2^{n-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & x_n & x_n^2 & \cdots & x_n^{n-1} \end{pmatrix} = \prod_{1 \leq i < j \leq n} (x_j - x_i)$