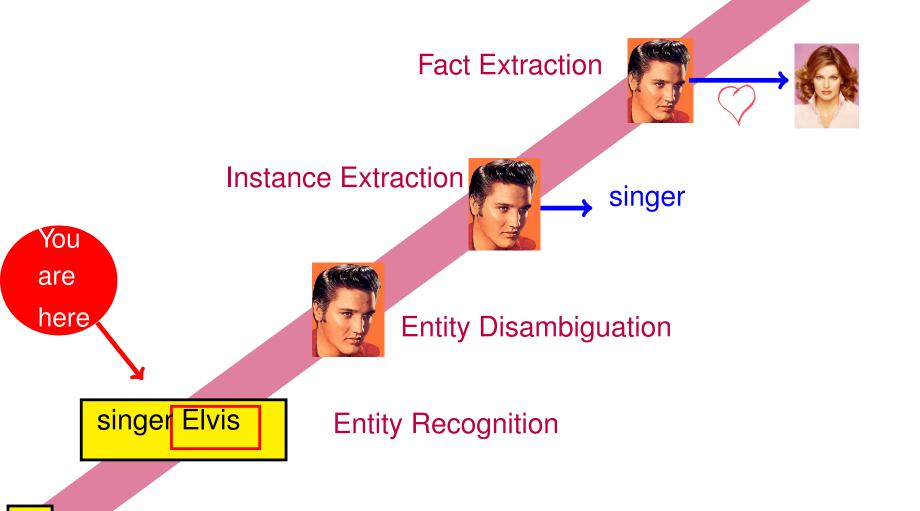
Named Entity Annotation

Fabian M. Suchanek

Semantic IE





Source Selection and Preparation

Overview

- Named Entity Annotation (NEA)
- NEA by rules
 - Learning NEA rules
- NEA by statistical models
 - Learning statistical NEA models

Def: Named Entity Annotation

Named Entity Annotation (NEA) is the task of (1) finding entity names in a corpus and (2) annotating each name with a class out of a set of given classes.

(This is commonly known as "Named Entity Recognition". The name "NEA" is introduced here to distinguish NER with classes from NER without classes)

classes={Person, Location, Organization}

Ford Prefect eats at Milliways.

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classes={Person, Location, Organization}



NEA usually focuses on

- person
- location
- organization

...but there are also systems that extract money, percent, phone number, job title, artefact, brand, product, protein, drug, etc.

NEA examples

Arthur Dent visits Milliways, a restaurant located at End of the Universe Street 42.

<per>Arthur Dent</per> visits <org>Milliways</org>, a restaurant

located at <loc>End of the Universe Street 42</loc>.

Try this

Now do it here:

We have determined the crystal structure of a triacylglycerol lipase from Pseudomonas cepacia (Pet) in the absence of a bound inhibitor using X-ray crystallography. The structure shows the lipase to contain an alpha/beta-hydrolase fold and a catalytic triad comprising of residues Ser87, His286 and Asp264. The enzyme shares ...

NEA is not easy

Organization vs. Location

England won the World Cup.

The World Cup took place in England.

Company vs Artefact

shares in MTV watching MTV

Location vs. Organization

she met him at Heathrow the Heathrow authorities

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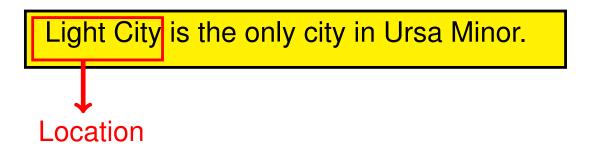
Def: NEA by rules

NEA by rules uses rules of the form

PATTERN => CLASS

It annotates a string by with CLASS, if it matches the PATTERN.

(Patterns and class annotation types can take various forms)



Def: NEA Pattern, NEA Feature

A NEA pattern is a sequence of features.

A feature is a string with an associated language.

(Patterns are called "Contextual Patterns", and features are called "labeled patterns" in Sarawagi's survey. "Language" is a set of strings, as for regular expressions.)

```
([A-Z][a-z]+) (City—Forest) => Location
Feature

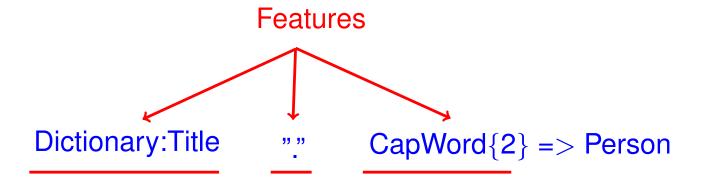
Feature

Language:

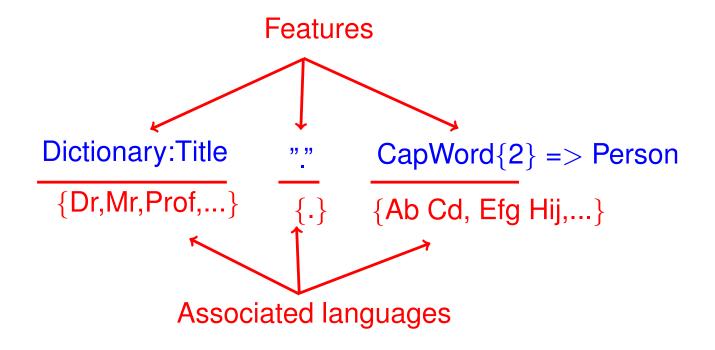
Language:
```

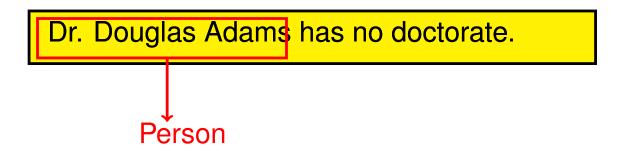
{Aa,Ab,Aab,...} {City,Forest}

Features can be more than regexes



Features can be more than regexes





Features, their syntax, and their language are system dependent.

Features

- capitalized word
- all upper case word
- smallcase word
- mixed letters/numbers
- number
- special symbol
- space
- punctuation
- cities countries
- titles
- common names airport codes
- words that identify a company

- Fenchurch **WSOGMM**
- planet
- HG2G
- 42 a
- .,;:?!
- Vassilian
- UK
- Dr.
- **Arthur**
- **CDG**
- Inc, Corp, ...

Linguistic features

- word type
- word endings
- common noun
 (helpful to distinguish named entities at the beginning of a sentence)

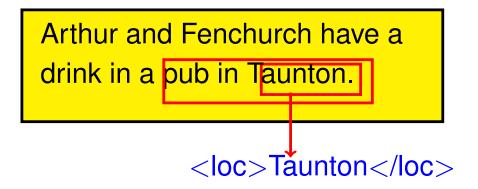
```
verb, noun, ...
-ish, -ist, ...
car, president, ...
```

Def: Context/Designated features

Designated features in a NEA pattern are those whose match will be annotated. The others are context features.

(There is no standard terminology and no standard syntax)

"a pub in" [CapWord] => location



Examples:

- CapWord (Street—Av—Road) => Location
- "based in" [CapWord] => Location
- "to the" Compass "of" [CapWord] => Loc

NEA examples from GATE

286 Entity Extraction: Rule-based Methods

```
Rule: TheGazOrganization
Priority: 50
// Matches "The <in list of company names>"
(\{Part \text{ of speech} = DT \mid Part \text{ of speech} = RB\} \{DictionaryLookup = organization}\})
\rightarrow Organization
Rule: LocOrganization
Priority: 50
// Matches "London Police"
({DictionaryLookup = location | DictionaryLookup = country} {DictionaryLookup
= organization\} {DictionaryLookup = organization\}? ) \rightarrow Organization
Rule: INOrgXandY
Priority: 200
// Matches "in Bradford & Bingley", or "in Bradford & Bingley Ltd"
( \{ \text{Token string} = \text{"in"} \} ) 
({Part of speech = NNP}+ {Token string = "&"} {Orthography type =
upperInitial}+ {DictionaryLookup = organization end}? ):orgName → Organiza-
tion=:orgName
Rule: OrgDept
Priority: 25
// Matches "Department of Pure Mathematics and Physics"
({Token.string = "Department"} {Token.string = "of"} {Orthography type = up-
perInitial\}+(\{Token.string = "and"\} \{Orthography type = upperInitial\}+)?) \rightarrow
Organization
```

task>2

Task: NEA patterns

Design NEA patterns that can find planets in the following text. Describe each feature.

(Patterns should generalize beyond the names in this text.)

Lamuella is the nice planet where Arthur Dent lives.

Santraginus V is a planet with marble-sanded beaches.

Magrathea is an ancient planet in Nebula.

The fifty-armed Jatravartids live on Viltvodle VI.

Example:

[CapWord] "is a planet"

(this pattern does not work, it's here for inspiration)

Possible Solution: NEA patterns

[CapWord] "is" (the—a—an) Adj "planet"

- CapWord: A word that starts with a capital letter.
- "is", "planet": plain strings
- (the—a—an): the words "the", "a", or "an"
- Adj: an adjective (dictionary lookup)

[CapWord RomanNumeral]

- CapWord: as above
- RomanNumeral: A roman numeral (I, II, V, X, ...)

Conflicting NEA rules

If two NEA rules match overlapping strings, we have to decide which one to apply. Possible strategies:

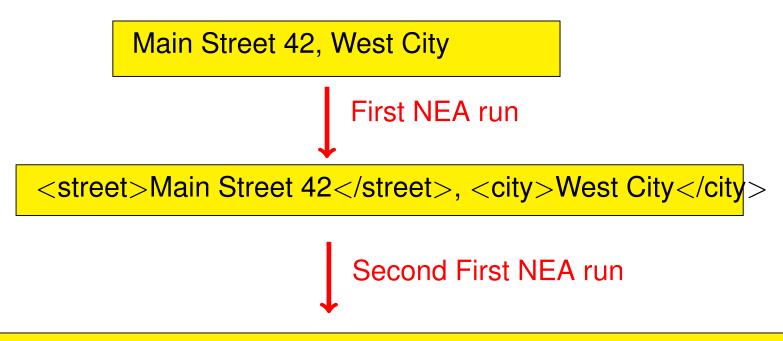
- annotate only with the rule that has a longer match
- manually define order of precedence

CapWord CapWord RomanNum => planet CapWord "Minor" => planet

He lives on Ursa Minor IV.

Def: Cascaded NEA

Cascaded NEA applies NEA to the corpus annotated by a previous NEA run.



<adr><street>Main Street 42</street>, <city>West City</city></adr>

[Street City] => Adr

Cascaded NEA rule:

- Street: a previously annotated street
- City: a previously annotated city

Task: Cascaded NEA

Write NEA rules for the first run and the second, cascaded run of a NEA to recognize person names as in

Dr. Bob Miller Monsieur François Hollande Mademoiselle Alizée Jacozey Ms Gary Day-Ellison

Possible Solution: Cascaded NEA

First run:

Dictionary:AcademicTitle => Title

Dictionary:FrenchTitle => Title

Dictionary:EnglishTitle => Title

CapWord-CapWord => Name

CapWord => Name

Second run:

Title Name Name => Person

Matching NEA rules

Given a NEA rule and a corpus, how can we match the rule on the corpus?

One possibility is to compile the rule to a regular expression:

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Learning NEA Rules

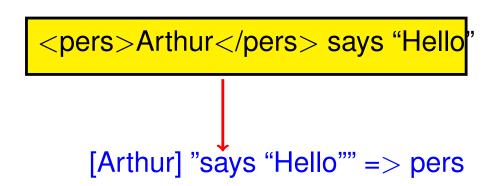
NEA rules are usually designed manually (as in the GATE system). However, they can also be learned automatically (as in the Rapier, LP2, FOIL, and WHISK systems).

We will now see a blueprint for a bottom-up rule learning algorithm.

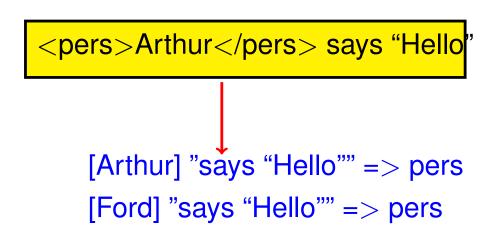
Start with annotated training corpus

<per>>>Arthur</pers> says "Hello"

- Start with annotated training corpus
- Find a NEA rule for each annotation



- Start with annotated training corpus
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- Start with annotated training corpus
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- 2. Merge two rules by replacing a feature by a more general feature

- Start with annotated training corpus
- Find a NEA rule for each annotation
- 2. Merge two rules by replacing a feature by a more general feature

```
<per>>Arthur</pers> says "Hello"
    [Arthur] "says "Hello"" => pers
    [Ford] "says "Hello"" => pers
    [CapWord] "says "Hello"" => pers
    [CapWord] "says "Bye"" => pers
```

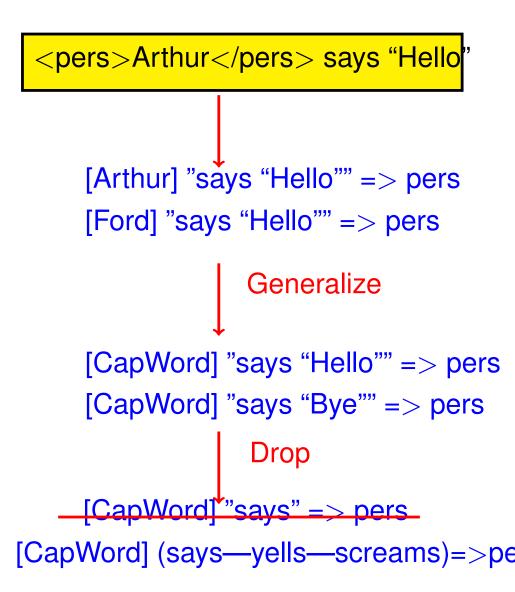
- Start with annotated training corpus
- Find a NEA rule for each annotation
- Merge two rules by replacing a feature by a more general feature
- 3. Merge two rules by dropping a feature

```
<per>>Arthur</pers> says "Hello"
    [Arthur] "says "Hello"" => pers
    [Ford] "says "Hello"" => pers
    [CapWord] "says "Hello"" => pers
    [CapWord] "says "Bye"" => pers
   Drop
[CapWord] "says" => pers
```

- Start with annotated training corpus
- Find a NEA rule for each annotation
- Merge two rules by replacing a feature by a more general feature
- 3. Merge two rules by dropping a feature

```
<per>>Arthur</pers> says "Hello"
     [Arthur] "says "Hello"" => pers
     [Ford] "says "Hello"" => pers
                 Generalize
     [CapWord] "says "Hello"" => pers
     [CapWord] "says "Bye"" => pers
     [CapWord]*"says" => pers
[CapWord] (says—yells—screams)=>pe
```

- Start with annotated training corpus
- Find a NEA rule for each annotation
- Merge two rules by replacing a feature by a more general feature
- 3. Merge two rules by dropping a feature
- 4. Remove redundant rules
- 5. Repeat



NEA rule learning is not easy

There are exponentially many ways to merge rules.

```
Then [Ford] "says 'Hello'" => pers
And [Arthur] "yells 'Bye'" => pers
```

NEA rule learning is not easy

There are exponentially many ways to merge rules.

```
Then [Ford] "says 'Hello" => pers

And [Arthur] "yells 'Bye" => pers

Conj

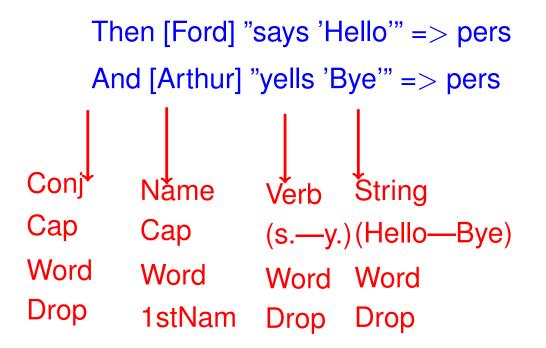
Cap

Word

Drop
```

NEA rule learning is not easy

There are exponentially many ways to merge rules.



Goal of NEA rule learning

Learn rules that

- cover all annotations
- don't cover non-annotated strings
- are not too specific/numerous
 (we do not want 1 rule for each annotation)

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Def: Statistical NEA corpus

A corpus for statistical NEA is a vector of words ("tokens").

The output is a vector of class names.

(Words that fall into no class are annotated with "other")

Adams lives in California =: X, input pers oth loc =: Y, output

X is a vector of words

Y is a vector of class names

Def: Statistical NEA Feature

In statistical NEA, a feature is a function $f(X, i, y) \in R$ that maps

- a word vector X
- a position i in X
- a class name y

to a real value.

Example:

```
f_1(X, i, y) = 1if x_i is CapWord \wedge y = "Name"
= 0 else
```

The feature returns 1 if it thinks that one particular annotation is right. We will assume that features return 0 by default.

```
f_2(X, i, y) := 1 if x_i upcased \land y ="pers" f_3(X, i, y) := 1 if x_{i-1} is title \land y ="pers" f_4(X, i, y) := 1 if x_{i-1}="in" \land x_i upcased \land y ="loc"
```

Example: Statistical NEA features

```
f_1(X,i,y) := 1 \text{ if } x_i \text{ upcased } \land y = \text{``pers''} f_1(< \text{Arthur, talks}>, 1, pers) f_1(< \text{Arthur, talks}>, 2, pers) f_1(< \text{Arthur, talks}>, 1, loc)
```

Example: Statistical NEA features

```
f_1(X,i,y) := 1 if x_i upcased \land y = \text{``pers''} f_1(< \text{Arthur, talks}>, 1, pers) = 1 f_1(< \text{Arthur, talks}>, 2, pers) = 0 f_1(< \text{Arthur, talks}>, 1, loc) = 0
```

Example: Statistical NEA features

```
f_2(X,i,y) := 1 \text{ if } x_{i-1} \text{ is title} \land y = \text{``pers''} f_1(<\mathsf{Mr., Arthur}>, 1, pers) = 0 f_1(<\mathsf{Mr., Arthur}>, 2, pers) = 1 f_1(<\mathsf{Mr., Arthur}>, 1, loc) = 0
```

Def: Statistical NEA

Given

- a corpus vector $X = \langle x_1, ..., x_m \rangle$
- a vector of features $F = \langle f_1, f_2, ..., f_n \rangle$
- a weight vector $W = \langle w_1, w_2, ..., w_n \rangle \in \mathbb{R}^n$ compute class names $Y = \langle y_1, ..., y_n \rangle$ that maximize $\sum_i \sum_j w_j f_j(X, i, y_i)$.

"Find class names for the words, s.t. each feature is happy for each word."

```
X = <Dr., Dent>

f_1(X, i, y) := 1 if x_i upcased word \land y = "loc"

f_2(X, i, y) := 1 if x_{i-1} is title \land y = "pers"

w_1 = 2, w_2 = 5
```

```
Find Y = \langle y_1, y_2 \rangle
that maximizes \sum_i \sum_j w_j f_j(X, i, y_i)
for every feature j
for every position i
```

Finding this Y is usually done by dynamic programming. Here, we do it by hand.

```
X = <Dr., Dent>

f_1(X, i, y) := 1 if x_i upcased word \land y = "loc"

f_2(X, i, y) := 1 if x_{i-1} is title \land y = "pers"

w_1 = 2, w_2 = 5
```

Find
$$Y = \langle y_1, y_2 \rangle$$
 that maximizes $\sum_i \sum_j w_j f_j(X, i, y_i)$ for every feature j for every position i

Try all Y $Y = \langle oth, loc \rangle: 2 \times 0 + 5 \times 0 + 2 \times 1 + 5 \times 0$ $Y = \langle oth, per \rangle: 2 \times 0 + 5 \times 0 + 2 \times 0 + 5 \times 1$

Try all Y

```
X = < Dr., Dent >
            f_1(X,i,y) := 1 if x_i upcased word \wedge y ="loc"
            f_2(X,i,y) := 1 if x_{i-1} is title \wedge y ="pers"
            w_1 = 2, w_2 = 5
Find Y = \langle y_1, y_2 \rangle
that maximizes \sum_{i} \sum_{j} w_{j} f_{j}(X, i, y_{i})
                      for every feature j
                     for every position i
            i = 1, x_i = Dr.
    = < oth, loc >: 2 \times 0 + 5 \times 0 + 2 \times 1 + 5 \times 0
```

 $Y = < oth, per >: 2 \times 0 + 5 \times 0 + 2 \times 0 + 5 \times 1$

```
X = < Dr., Dent >
             f_1(X,i,y) := 1 if x_i upcased word \wedge y ="loc"
             f_2(X,i,y) := 1 if x_{i-1} is title \wedge y ="pers"
             w_1 = 2, w_2 = 5
 Find Y = \langle y_1, y_2 \rangle
 that maximizes \sum_{i} \sum_{j} w_{j} f_{j}(X, i, y_{i})
                       for every feature j
                      for every position i
Try all Y i = 1, x_i = Dr.
           w_1 \times f_1 \ w_2 \times f_2
  Y = < oth, loc >: 2 \times 0 + 5 \times 0 + 2 \times 1 + 5 \times 0
  Y = < oth, per >: 2 \times 0 + 5 \times 0 + 2 \times 0 + 5 \times 1
```

```
X = < Dr., Dent >
             f_1(X,i,y) := 1 if x_i upcased word \wedge y ="loc"
             f_2(X,i,y) := 1 if x_{i-1} is title \wedge y ="pers"
             w_1 = 2, w_2 = 5
 Find Y = \langle y_1, y_2 \rangle
 that maximizes \sum_{i} \sum_{j} w_{j} f_{j}(X, i, y_{i})
                       for every feature j
                       for every position i
Try all Y i = 1, x_i = Dr. i = 2, x_i = Dent
                 w_1 \times f_1 \ w_2 \times f_2
  Y = < oth, loc >: 2 \times 0 + 5 \times 0 + 2 \times 1 + 5 \times 0
  Y = < oth, per >: 2 \times 0 + 5 \times 0 + 2 \times 0 + 5 \times 1
```

```
X=<Dr., Dent> f_1(X,i,y):=1 if x_i upcased word \land y= "loc" f_2(X,i,y):=1 if x_{i-1} is title \land y= "pers" w_1=2,w_2=5
```

Find
$$Y = \langle y_1, y_2 \rangle$$
 that maximizes $\sum_i \sum_j w_j f_j(X, i, y_i)$ for every feature j for every position i

Try all Y
$$i = 1, x_i = \text{Dr.} \quad i = 2, x_i = \text{Dent}$$

$$\downarrow \quad w_1 \times f_1 \ w_2 \times f_2 \quad w_1 \times f_1 w_2 \times f_2$$

$$Y = < oth, loc >: 2 \times 0 + 5 \times 0 + 2 \times 1 + 5 \times 0$$

$$Y = < oth, per >: 2 \times 0 + 5 \times 0 + 2 \times 0 + 5 \times 1$$

```
X = <Dr., Dent>

f_1(X, i, y) := 1 if x_i upcased word \land y = "loc"

f_2(X, i, y) := 1 if x_{i-1} is title \land y = "pers"

w_1 = 2, w_2 = 5
```

Find
$$Y = \langle y_1, y_2 \rangle$$
 that maximizes $\sum_i \sum_j w_j f_j(X, i, y_i)$ for every feature j for every position i

Try all Y
$$i = 1, x_i = \text{Dr.} \quad i = 2, x_i = \text{Dent}$$

$$\downarrow \quad w_1 \times f_1 \ w_2 \times f_2 \quad w_1 \times f_1 w_2 \times f_2$$

$$Y = < oth, loc >: 2 \times 0 + 5 \times 0 + 2 \times 1 + 5 \times 0 = 2$$

$$Y = < oth, per >: 2 \times 0 + 5 \times 0 + 2 \times 0 + 5 \times 1 = \text{5winner}$$

Task: Statistical NEA

Given

```
X=<in, London>f_1(X,i,y):=1 if x_i upcased \land y= "pers" f_2(X,i,y):=1 if x_{i-1}= "in" \land y= "loc" f_3(X,i,y):=1 if y= "other" w_1=2,w_2=5,w_3=1
```

compute one annotation whose value is larger than 3.

Stat. NEA has complex features

Features in statistical NEA can be any functions, like in rule-based NEA.

$$f_{42}(X, i, y) = 1 \text{ if } x_i \in L([A - Z] *) \land y = \text{"name"}$$

Features can be real-valued, too:

$$f_{43}(X, i, y) = y = \text{``country''} ? \text{ editDist}(x_i, \text{``UK''}) : 0$$

Features can be complete nonsense, too:

$$f_{44}(X, i, y) = 1 \text{ if } x_i = \text{"Arthur"} \land y = \text{"loc"}$$

This will not hurt if $w_{44} = 0$.

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Learning the weights

Given

- a corpus vector $X = \langle x_1, ..., x_m \rangle$
- a vector of features $F = \langle f_1, ..., f_n \rangle$
- a weight vector $W = \langle w_1, ..., w_n \rangle \in \mathbb{R}^n$ Who compute class names $Y = \langle y_1, ..., y_m \rangle$ the

Where do we get the weights from?

How to build a stat. NEA model

- 1. Define features $F = \langle f_1, ..., f_n \rangle$
- 2. Produce a training corpus

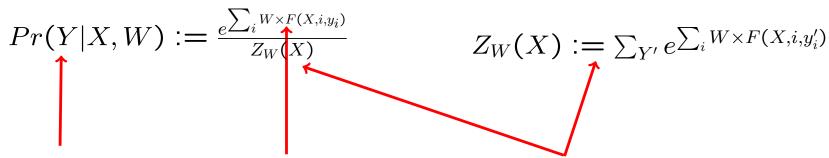
$$X = \langle x_1, ..., x_m \rangle, Y = \langle y_1, ..., y_m \rangle$$

3. Find weights $W = \langle w_1, ..., w_n \rangle \in \mathbb{R}^n$ for the features so that statistical NEA annotates the training corpus correctly (i.e., bad features will get low weight, good features high weight).

We define the vector of features:

$$F(X, i, y) = \langle f_1(X, i, y), ..., f_n(X, i, y) \rangle$$

 \dots and a probability distribution over Y:



Probability
of vector Y
given X and W

Pr(Y—X, W) is proportional to e^ happiness of each feature for each word

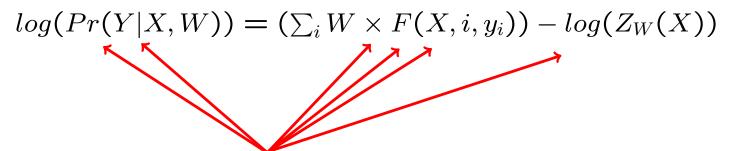
To have a value in [0,1] and to avoid setting all weights to infinity, we normalize by dividing by the sum of the happiness of ALL other annotations Y'

Goal: Find W that maximizes

$$Pr(Y|X,W) := \frac{e^{\sum_i W \times F(X,i,y_i)}}{Z_W(X)}$$

for training corpus (X, Y).

I.e., find W that maximizes

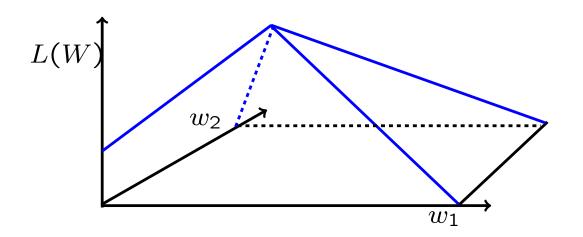


(X,Y) is the given training corpus.

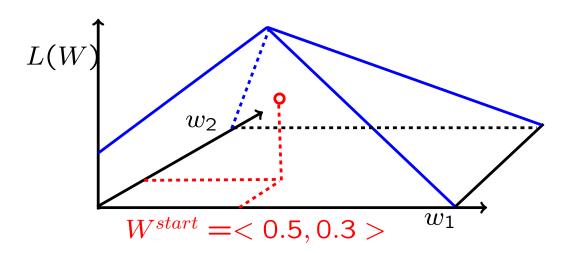
F are given features. Hence, everything in this formula except W is constant.

$$L(W) = (\sum_{i} W \times F(X, i, y_i)) - log(Z_W(X))$$

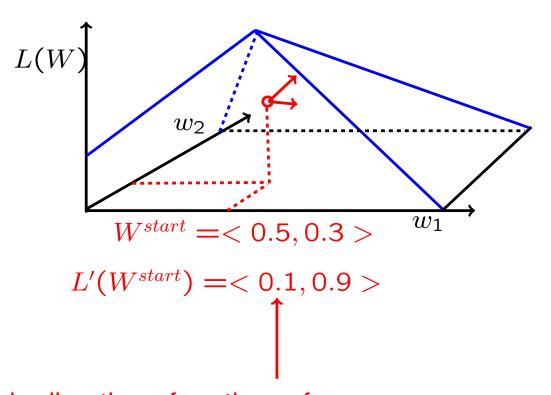
This function is concave in W:



1. Start with arbitrary W

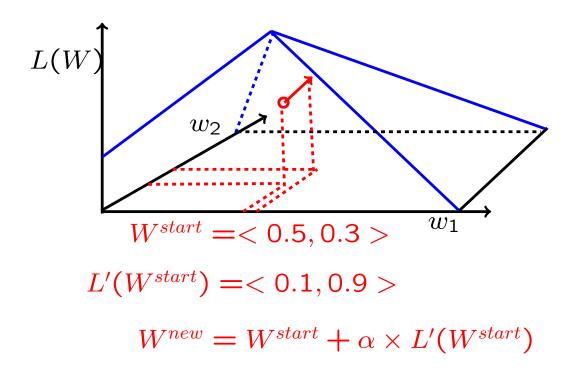


2. Compute the derivative at W

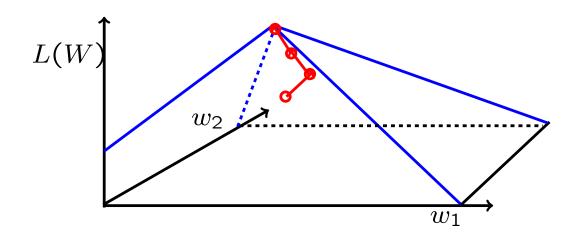


Go more in direction of w_2 than of w_1

3. Move W in the direction of the derivative



4. Continue until L(W) is maximal



In the end, the weight vector W will be such that statistical NEA re-produces the manual annotation Y of the training corpus X.

Summary: Statistical NEA

Statistical NEA uses the following notations:

- a corpus $X = < x_1, ..., x_m >$
- class labels $Y = \langle y_1, ..., y_m \rangle$
- features $F = < f_1, ..., f_n >$
- weights $W = < w_1, ..., w_n >$

Statistical NEA learns the weights W on a manually annotated training corpus (X, Y), as follows:

$$W = argmax_{W'}log(Pr(Y|X, W'))$$

Given a new corpus X', it computes the annotations Y' as

$$Y' = argmax_Y \sum_i W \times F(X', i, y_i)$$

->probabilities

Deviation: Statistical NEA

```
Task: Find dates such as "May 23rd 2013" f_1(X,i,y) = 1 \text{ if } x_i \text{ is uppercase } \wedge y = \text{"date"} f_2(X,i,y) = 1 \text{ if } x_{i-1} \text{ is title } \wedge y = \text{"date"} Find Y = argmax_{Y'} \sum_i W \times F(X,i,y_i')
```

Deviation: Statistical NEA

```
Task: Find dates such as "May 23rd 2013"
   f_1(X,i,y) = 1 if x_i is uppercase \wedge y ="date"
   f_2(X,i,y) = 1 if x_{i-1} is title \wedge y ="date"
Find Y = argmax_{Y'} \sum_{i} W \times F(X, i, y'_i)
        This will never work!
        Machine learning is not magic,
```

it is never better than its features!

Summary: NEA

NEA finds entity names and annotates them with predefined classes.

Rule-based NEA

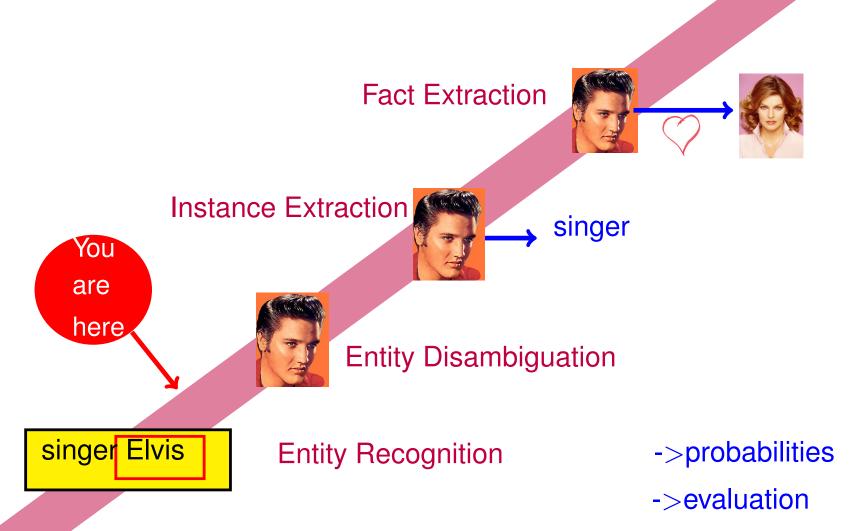
Statistical NEA

$$argmax_Y \sum_i W \times F(X, i, y_i)$$

->probabilities

Semantic IE





Source Selection and Preparation

->disambiguation

References

Sunita Sarawagi: Information Extraction

Diana Maynard: Named Entity Recognition

- ->evaluation
- ->disambiguation