Scattering Coefficient and Kirchhoff Approximation

### 1. Reflection of a plane wave by the $x_1$ axis

We consider the scattering of an incident plane p-wave  $\hat{u}_p$  (or s-wave  $\hat{u}_s$ ) with the incident direction  $\hat{d}_0 = (\sin t_0, -\cos t_0)^T$ ,  $t_0 \in (-\pi/2, \pi/2)$ , by the plane  $\Gamma := \{x \in \mathbb{R}^2 : x_2 = 0\}$ . The incidence angle between  $\hat{d}_0$  and the positive real axis is  $\theta_0 = -\pi/2 + t_0, t_0 \in (-\pi/2, \pi/2)$ . Denote by  $\hat{\nu} = (0, 1)^T$ .

# 1.1. The case of incident p-wave

We denote the incident p-wave [1, p172] and its stress as

$$\hat{u}_p = A_0(\sin t_0, -\cos t_0)^T e^{\mathbf{i}k_p(x_1 \sin t_0 - x_2 \cos t_0)},$$
  

$$\sigma(\hat{u}_p)\hat{\nu} = \mathbf{i}k_p A_0(-\mu \sin(2t_0), \lambda + 2\mu \cos^2 t_0)^T e^{\mathbf{i}k_p(x_1 \sin t_0 - x_2 \cos t_0)}.$$

The reflected p-wave is represented as

$$\hat{u}_{p,p} = A_1 (\sin t_1, \cos t_1)^T e^{\mathbf{i}k_p(x_1 \sin t_1 + x_2 \cos t_1)},$$
  
$$\sigma(\hat{u}_{p,p})\hat{\nu} = \mathbf{i}k_p A_1 (\mu \sin(2t_1), \lambda + 2\mu \cos^2 t_1)^T e^{\mathbf{i}k_p(x_1 \sin t_1 + x_2 \cos t_1)}.$$

The reflected s-wave is denoted as

$$\hat{u}_{p,s} = A_2(\cos t_2, -\sin t_2)^T e^{\mathbf{i}k_s(x_1\sin t_2 + x_2\cos t_2)},$$
  
$$\sigma(\hat{u}_{p,s})\hat{\nu} = \mathbf{i}k_s A_2(\mu\cos(2t_2), -\mu\sin(2t_2))^T e^{\mathbf{i}k_s(x_1\sin t_2 + x_2\cos t_2)}.$$

Under the clamped condition, the total field vanishes on  $\Gamma$  and thus

$$\hat{u}_p(x_1,0) + \hat{u}_{p,p}(x_1,0) + \hat{u}_{p,s}(x_1,0) = 0, \quad \forall x_1 \in \mathbb{R}.$$

A simple computation shows that

$$t_1 = t_0, \quad \frac{\sin t_2}{\sin t_0} = \frac{k_p}{k_s} := \kappa,$$
 $A_0 = \cos(t_0 - t_2), \quad A_1 = \cos(t_0 + t_2), \quad A_2 = -\sin 2t_0.$ 

In summary, the total field is

$$\hat{u}_{p}^{\text{total}} = A_0 \hat{d}_0 e^{ik_p x \cdot \hat{d}_0} + A_1 \hat{d}_1 e^{ik_p x \cdot \hat{d}_1} + A_2 \hat{d}_2^{\perp} e^{ik_s x \cdot \hat{d}_2}, \tag{1.1}$$

where for any  $\tau = (\tau_1, \tau_2)^T \in \mathbb{R}^2$ ,  $\tau^{\perp} = (\tau_2, -\tau_1)^T$ , and

$$\hat{d}_1 = \hat{d}_0 - 2(\hat{d}_0 \cdot \hat{\nu})\hat{\nu}, \hat{d}_2 = \kappa \hat{d}_0 - \left[\kappa(\hat{d}_0 \cdot \hat{\nu}) - \sqrt{1 - \kappa^2(\hat{d}_0 \cdot \hat{\nu}^{\perp})^2}\right]\hat{\nu}, \quad (1.2)$$

$$A_0 = \hat{d}_1 \cdot \hat{d}_2, A_1 = -\hat{d}_0 \cdot \hat{d}_2, A_2 = 2(\hat{d}_0 \cdot \hat{\nu})(\hat{d}_0 \cdot \hat{\nu}^{\perp}). \tag{1.3}$$

#### 1.2. The case of incident s-wave

We denote the incident s-wave as

$$\hat{u}_s = A_0(-\cos t_0, -\sin t_0)^T e^{\mathbf{i}k_s(x_1\sin t_0 - x_2\cos t_0)},$$
  
$$\sigma(\hat{u}_s)\hat{\nu} = \mathbf{i}k_s A_0(-\mu\cos(2t_0), \mu\sin(2t_0))^T e^{\mathbf{i}k_p(x_1\sin t_0 - x_2\cos t_0)}.$$

The reflected p-wave is represented as

$$\hat{u}_{s,p} = A_1 (\sin t_1, \cos t_1)^T e^{\mathbf{i}k_p(x_1 \sin t_1 + x_2 \cos t_1)},$$
  
$$\sigma(\hat{u}_{s,p})\hat{\nu} = \mathbf{i}k_p A_1 (\mu \sin(2t_1), \lambda + 2\mu \cos^2 t_1)^T e^{\mathbf{i}k_p(x_1 \sin t_1 + x_2 \cos t_1)}.$$

The reflected s-wave is denoted as

$$\hat{u}_{s,s} = A_2(\cos t_2, -\sin t_2)^T e^{\mathbf{i}k_s(x_1\sin t_2 + x_2\cos t_2)},$$
  
$$\sigma(\hat{u}_{s,s}) = \mathbf{i}k_s A_2(\mu\cos(2t_2), -\mu\sin(2t_2))^T e^{\mathbf{i}k_s(x_1\sin t_2 + x_2\cos t_2)}.$$

The result is

$$t_2 = t_0, \quad \frac{\sin t_1}{\sin t_0} = \frac{k_s}{k_p} = \kappa_1,$$
 $A_0 = \cos(t_0 - t_1), \quad A_1 = \sin 2t_0, \quad A_2 = \cos(t_0 + t_1).$ 

In summary, the total field is

$$\hat{u}_s^{\text{total}} = A_0 \hat{d}_0^{\perp} e^{ik_s x \cdot \hat{d}_0} + A_1 \hat{d}_1 e^{ik_p x \cdot \hat{d}_1} + A_2 \hat{d}_2^{\perp} e^{ik_s x \cdot \hat{d}_2}, \tag{1.4}$$

where

$$\hat{d}_{1} = \kappa_{1}\hat{d}_{0} - \left[\kappa_{1}(\hat{d}_{0}\cdot\hat{\nu}) - \sqrt{1 - \kappa_{1}^{2}(\hat{d}_{0}\cdot\hat{\nu}^{\perp})^{2}}\right]\hat{\nu}, \hat{d}_{2} = \hat{d}_{0} - 2(\hat{d}_{0}\cdot\hat{\nu})\hat{\nu}, (1.5)$$

$$A_{0} = \hat{d}_{1}\cdot\hat{d}_{2}, A_{1} = -2(\hat{d}_{0}\cdot\hat{\nu})(\hat{d}_{0}\cdot\hat{\nu}^{\perp}), A_{2} = -\hat{d}_{0}\cdot\hat{d}_{1}. \tag{1.6}$$

## 2. Reflection of a plane wave in the general case

We consider the scattering of an incident plane p-wave  $u_p$  or s-wave  $u_s$  with the incident direction  $d = (\sin \theta, -\cos \theta)^T$ ,  $\theta \in (-\pi/2, \pi/2)$ , by the plane  $\Gamma := \{x \in \mathbb{R}^2 : x \cdot \nu = 0\}$  through the origin with the normal vector  $\nu = (\sin \phi, \cos \phi)^T$ ,  $\phi \in (0, 2\pi)$ . The angle between  $\nu$  and the positive real axis is  $\pi/2 - \phi$ . The total fields are

$$u_p^{\text{total}} = A_0 d_0 e^{\mathbf{i}k_p x \cdot d_0} + A_1 d_1 e^{\mathbf{i}k_p x \cdot d_1} + A_2 d_2^{\perp} e^{\mathbf{i}k_s x \cdot d_2}, \tag{2.1}$$

$$u_s^{\text{total}} = A_0 d_0^{\perp} e^{ik_s x \cdot d_0} + A_1 d_1 e^{ik_p x \cdot d_1} + A_2 d_2^{\perp} e^{ik_s x \cdot d_2}, \tag{2.2}$$

where for  $i = 0, 1, 2, d_i$  is the unit vector and  $A_i$  is the corresponding amplitude. We impose  $u_p^{\text{total}} = 0, u_s^{\text{total}} = 0$  on  $\Gamma$ . Let  $\hat{x} = Sx$ , where  $S \in \mathbb{R}^{2 \times 2}$  is the rotation matrix with rotation angle  $\phi$ ,

$$S = \begin{pmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{pmatrix}.$$

We have  $\hat{\nu} = S\nu$ .

Theorem 2.1 Let  $u(x) \in \mathbb{C}^2$  and

$$\Delta_e^x := \begin{pmatrix} (\lambda + 2\mu)\frac{\partial^2}{\partial x_1^2} + (\lambda + \mu)\frac{\partial^2}{\partial x_1\partial x_2} + \mu\frac{\partial^2}{\partial x_2^2} \\ \mu\frac{\partial^2}{\partial x_1^2} + (\lambda + \mu)\frac{\partial^2}{\partial x_1\partial x_2} + (\lambda + 2\mu)\frac{\partial^2}{\partial x_12^2} \end{pmatrix}.$$

Assume that u(x) satisfies  $\Delta_e^x u(x) + \omega^2 u(x) = 0$ , then we have  $\Delta_e^{\hat{x}} \hat{u}(\hat{x}) + \omega^2 \hat{u}(\hat{x}) = 0$  where  $\hat{u}(\hat{x}) := Su(S^T \hat{x})$  or  $u(x) = S^T \hat{u}(S\hat{x})$ .

**Proof.** Since

$$\begin{split} \frac{\partial^2}{\partial \hat{x}_1^2} &= \cos^2 \phi \frac{\partial^2}{\partial x_1^2} - 2\cos \phi \sin \phi \frac{\partial^2}{\partial x_1 \partial x_2} + \sin^2 \phi \frac{\partial^2}{\partial x_2^2} \\ \frac{\partial^2}{\partial \hat{x}_2^2} &= \sin^2 \phi \frac{\partial^2}{\partial x_1^2} + 2\cos \phi \sin \phi \frac{\partial^2}{\partial x_1 \partial x_2} + \cos^2 \phi \frac{\partial^2}{\partial x_2^2} \\ \frac{\partial^2}{\partial \hat{x}_1 \partial \hat{x}_2} &= \cos \phi \sin \phi \frac{\partial^2}{\partial x_1^2} + (\cos^2 \phi - \sin^2 \phi) \frac{\partial^2}{\partial x_1 \partial x_2} - \cos \phi \sin \phi \frac{\partial^2}{\partial x_2^2} \end{split}$$

This completes proof after substituting above equation into  $\Delta_e^{\hat{x}}\hat{u}(\hat{x})$ .

By this theorem, we obtain from (1.1)-(1.3) that for  $u_p^{\text{total}}$ ,  $d_0 = (\sin(\theta - \phi), -\cos(\theta - \phi))^T$ ,

$$d_1 = d_0 - 2(d_0 \cdot \nu)\nu, d_2 = \kappa d_0 - \left[\kappa(d_0 \cdot \nu) - \sqrt{1 - \kappa^2(d_0 \cdot \nu^\perp)^2}\right]\nu,$$
  

$$A_0 = d_1 \cdot d_2, A_1 = -d_0 \cdot d_2, A_2 = 2(d_0 \cdot \nu)(d_0 \cdot \nu^\perp).$$

Similarly, for  $u_s^{\text{total}}$ ,  $d_0 = (\sin(\theta - \phi), -\cos(\theta - \phi))^T$ 

$$d_1 = \kappa_1 d_0 - \left[ \kappa_1 (d_0 \cdot \nu) - \sqrt{1 - \kappa_1^2 (d_0 \cdot \nu^{\perp})^2} \right] \nu, d_2 = d_0 - 2(d_0 \cdot \nu) \nu,$$
  

$$A_0 = d_1 \cdot d_2, A_1 = -2(d_0 \cdot \nu)(d_0 \cdot \nu^{\perp}), A_2 = -d_0 \cdot d_1.$$

The traction of u(x) on the plane  $\Gamma$  can be obtained by simple calculation

$$\sigma(u_{p}^{\text{total}}) \cdot \nu = \left[ \mathbf{i} k_{p} A_{0} (\lambda \nu + 2\mu(d_{0}, \nu) d_{0}) + \mathbf{i} k_{p} A_{1} (\lambda \nu + 2\mu(d_{1}, \nu) d_{1}) \right. \\
\left. + \mathbf{i} k_{s} A_{2} \mu((d_{2}, \nu) d_{2}^{\perp} + (d_{2}^{\perp}, \nu) d_{2}) \right] e^{\mathbf{i} k_{p} x \cdot d_{0}} \\
:= \mathbf{i} k_{p} A_{0} \hat{\mathbf{R}}_{p}(x, d_{0}, \nu) e^{\mathbf{i} k_{p} x \cdot d_{0}}, \qquad (2.3) \\
\sigma(u_{s}^{\text{total}}) \cdot \nu = \left[ \mathbf{i} k_{s} A_{0} \mu((d_{0}, \nu) d_{0}^{\perp} + (d_{0}^{\perp}, \nu) d_{0}) + \mathbf{i} k_{p} A_{1} (\lambda \nu + 2\mu(d_{1}, \nu) d_{1}) \right. \\
\left. + \mathbf{i} k_{s} A_{2} \mu((d_{2}, \nu) d_{2}^{\perp} + (d_{2}^{\perp}, \nu) d_{2}) \right] e^{\mathbf{i} k_{s} x \cdot d_{0}} \\
:= \mathbf{i} k_{s} A_{0} \hat{\mathbf{R}}_{s}(x, d_{0}, \nu) e^{\mathbf{i} k_{s} x \cdot d_{0}}. \qquad (2.4)$$

**Definition 2.1** For any unit vector  $d \in \mathbb{R}^2$ , let  $u_p^i = de^{\mathbf{i}k_px\cdot d}$  or  $u_s^i = d^{\perp}e^{\mathbf{i}k_sx\cdot d}$  be the incident wave and  $u_{\alpha}^s = u_{\alpha}^s(x;d)$  be the radiation solution of the Navier equation:

$$u_{\alpha}^{s} + \omega^{2} u_{\alpha}^{s} = 0$$
 in  $\mathbb{R}^{2} \backslash \bar{D}$   
 $\Delta_{e} u_{\alpha}^{s} = -u_{\alpha}^{i}$  on  $\partial D$ 

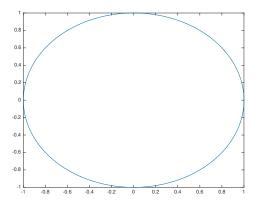
The scattering coefficient  $\mathbf{R}_{\alpha}(x;d)$  for  $x \in \partial D$  is defined by the relation

$$\sigma(u_{\alpha}^{s} + u_{\alpha}^{i}) \cdot \nu = \mathbf{i}k_{\alpha}\mathbf{R}_{\alpha}(x;d)e^{\mathbf{i}k_{\alpha}x\cdot d}$$
 on  $\partial D$ 

where  $\alpha = p, s$ .

For a convex object D, Kirchhoff approximation approximates the scattering coefficient by considering the boundary at  $x \in \partial D$  locally as a plane with normal  $\nu$  to obtain

$$\mathbf{R}_{\alpha}(x;d) \approx \begin{cases} \hat{\mathbf{R}}_{\alpha}(x;d,\nu(x)) & \text{if } x \in \partial D_{d}^{-} = \{x \in \partial D, \nu(x) \cdot d < 0\}, \\ 0 & \text{if } x \in \partial D_{d}^{+} = \{x \in \partial D, \nu(x) \cdot d \geq 0\}. \end{cases}$$



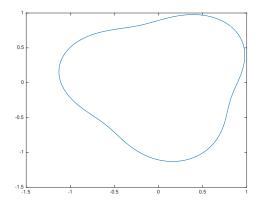


Figure 1. The shape of the obstacles.

#### 3. Numerical examples

In this section we present several numerical examples to show the effectiveness of Kirchhoff approximation. To synthesize the real scattering coefficient we compute the solution  $\sigma(u_{\alpha}^s + u_{\alpha}^i) \cdot \nu$  of the scattering problems by representing the ansatz solution as the single layer potential with the Green tensor  $\mathbb{G}(x,y)$  as the kernel

$$u^{s}(x) = \int_{\Gamma_{D}} -\mathbb{G}(y, x)^{T} \sigma(u^{s}(y) + u^{i}(y)) \nu ds(y) = -u^{i}(x) \quad \text{on } x \in \Gamma_{D},$$

and discretizing the integral equation by standard Nyström methods [2]. Let  $\mathbf{R}_{\alpha}(x;d) = (\mathbf{R}_{\alpha}^{1}(x;d), \mathbf{R}_{\alpha}^{2}(x;d))^{T}$ , then we have

$$\mathbf{R}_{\alpha}^{j}(x;d) = \frac{\sigma(u^{s}(y) + u^{i}(y))\nu \cdot e_{j}}{\mathbf{i}k_{\alpha}e^{\mathbf{i}k_{\alpha}x \cdot d}}.$$
(3.1)

We compute  $\hat{\mathbf{R}}_{\alpha}(x;d) = (\hat{\mathbf{R}}_{\alpha}^{1}(x;d), \hat{\mathbf{R}}_{\alpha}^{2}(x;d))^{T}$  by (2.3) and (2.4). In all our numerical examples we choose Lamé constant  $\lambda = 1/2$ ,  $\mu = 1/4$  and

$$u_p^i = (\cos t, \sin t)^T e^{\mathbf{i}k_p(x_1 \cos t + x_2 \sin t)}$$
  

$$u_s^i = (\sin t, -\cos t)^T e^{\mathbf{i}k_s(x_1 \cos t + x_2 \sin t)}$$

where  $t \in [0, 2\pi]$ .. The boundaries of the obstacles used in our numerical experiments are parameterized as follows:

Circle:  $x_1 = \cos(\theta), x_2 = \sin(\theta);$ 

Pear:  $\rho = 0.5(2 + 0.3\cos(3\theta)), x_1 = \sin\frac{\pi}{4}\rho(\cos\theta - \sin\theta), x_2 = \sin\frac{\pi}{4}\rho(\cos\theta + \sin\theta),$  where  $\theta \in [0, 2\pi]$  (See Figure 1).

In the following examples, we take the angular frequency  $\omega = \pi, 2\pi, 4\pi, 8\pi$ .

#### References

- [1] Achenbach J 1980 Wave Propagation in Elastic Solids (North-Holland)
- [2] Colton D and Kress R 1998 Inverse Acoustic and Electromagnetic Scattering Problems (Heidelberg: Springer)

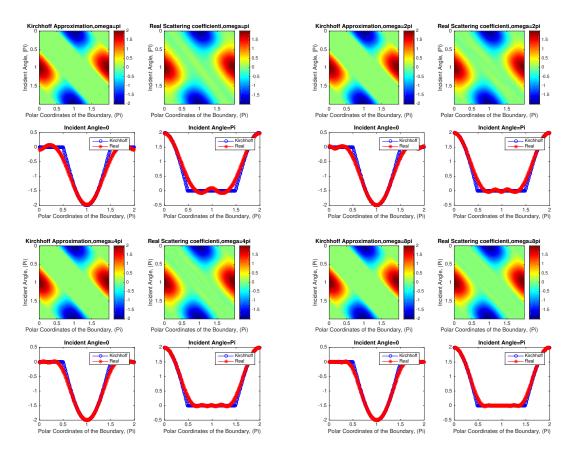
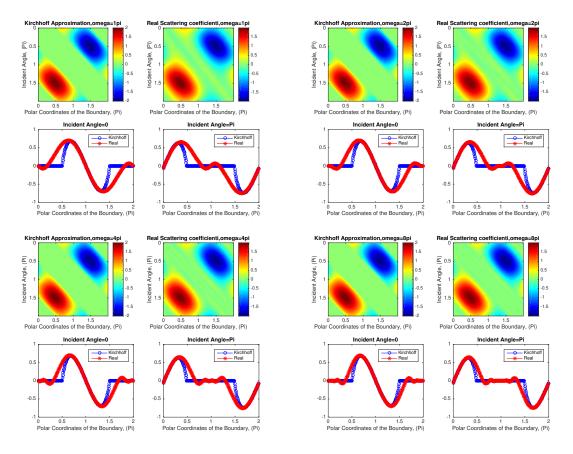
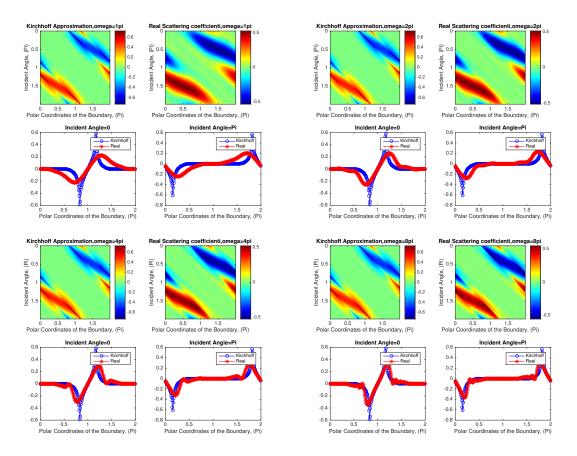


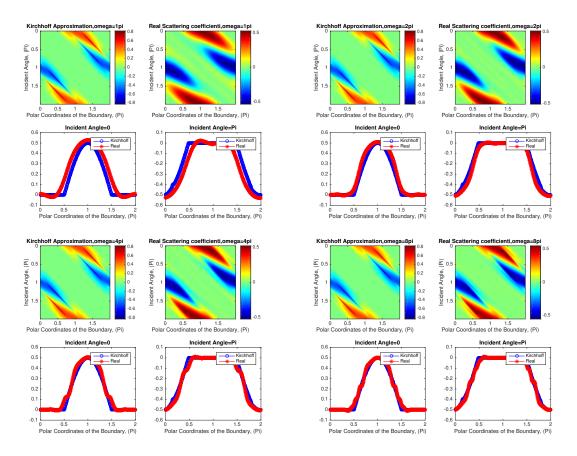
Figure 2.  $\mathbf{R}_p^1$  and  $\hat{\mathbf{R}}_p^1$  for the circle.



**Figure 3.**  $\mathbf{R}_p^2$  and  $\hat{\mathbf{R}}_p^2$  for the circle.



**Figure 4.**  $\mathbf{R}_s^1$  and  $\hat{\mathbf{R}}_s^1$  for the circle.



**Figure 5.**  $\mathbf{R}_s^2$  and  $\hat{\mathbf{R}}_s^2$  for the circle.

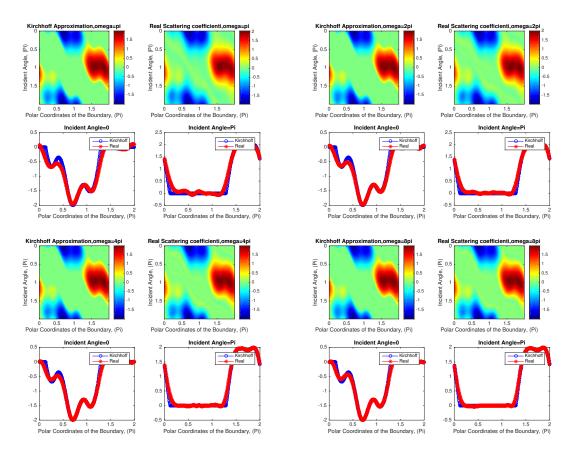


Figure 6.  $\mathbf{R}_p^1$  and  $\hat{\mathbf{R}}_p^1$  for the pear.

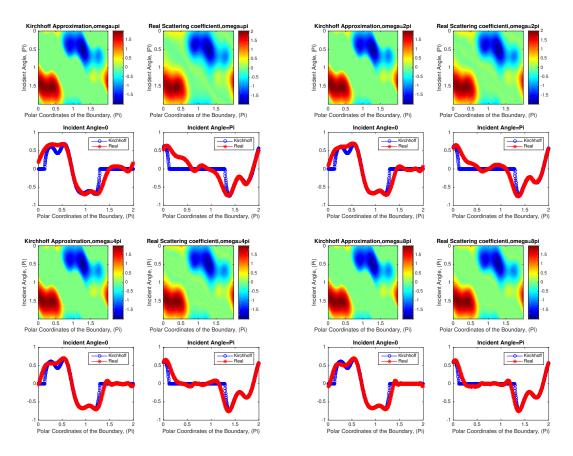
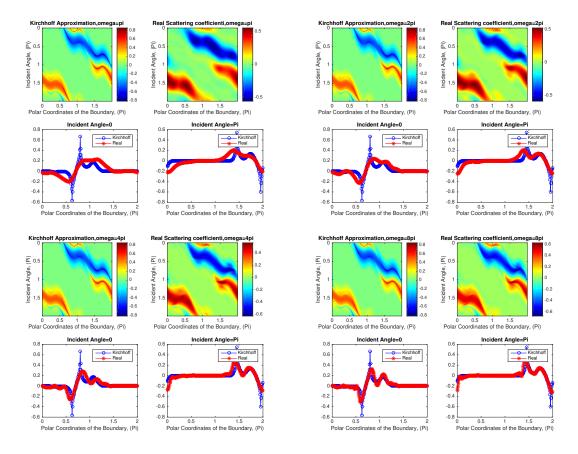
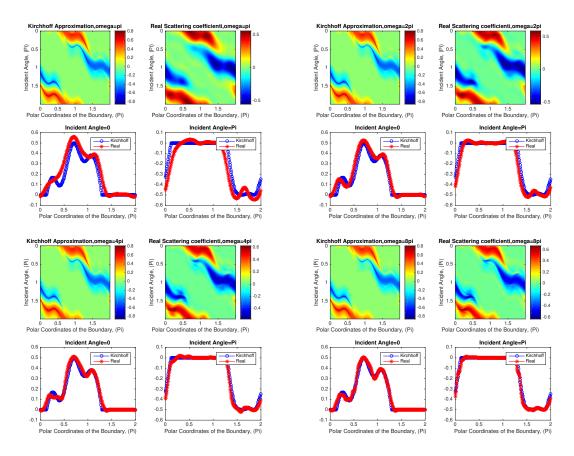


Figure 7.  $\mathbf{R}_p^2$  and  $\hat{\mathbf{R}}_p^2$  for the pear.



**Figure 8.**  $\mathbf{R}_s^1$  and  $\hat{\mathbf{R}}_s^1$  for the pear.



**Figure 9.**  $\mathbf{R}_s^2$  and  $\hat{\mathbf{R}}_s^2$  for the pear.