

## Scattering Coefficient and Kirchhoff Approximation

## 1. Reflection of a plane wave by the $x_1$ axis

We consider the scattering of an incident plane  $p$ -wave  $\hat{u}_p$  (or  $s$ -wave  $\hat{u}_s$ ) with the incident direction  $\hat{d}_0 = (\sin t_0, \cos t_0)^T$ ,  $t_0 \in (0, 2\pi)$ , by the plane  $\Gamma := \{x \in \mathbb{R}^2 : x_2 = 0\}$ . The angle between  $\hat{d}_0$  and the positive real axis is  $\theta_0 = \pi/2 - t_0$ . Denote by  $\hat{v} = (0, 1)^T$ .

### 1.1. The case of incident $p$ -wave

We denote the incident  $p$ -wave [1, p172] as

$$\hat{u}_p = A_0(\sin t_0, \cos t_0)^T e^{\mathbf{i}k_p(x_1 \sin t_0 + x_2 \cos t_0)}.$$

The reflected  $p$ -wave is represented as

$$\hat{u}_{p,p} = A_1(\sin t_1, -\cos t_1)^T e^{\mathbf{i}k_p(x_1 \sin t_1 - x_2 \cos t_1)}.$$

The reflected  $s$ -wave is denoted as

$$\hat{u}_{p,s} = A_2(-\cos t_2, -\sin t_2)^T e^{\mathbf{i}k_s(x_1 \sin t_2 - x_2 \cos t_2)}.$$

Under the clamped condition, the total field vanishes on  $\Gamma$  and thus

$$\hat{u}_p(x_1, 0) + \hat{u}_{p,p}(x_1, 0) + \hat{u}_{p,s}(x_1, 0) = 0, \quad \forall x_1 \in \mathbb{R}.$$

A simple computation shows that

$$\begin{aligned} t_1 &= t_0, \quad \frac{\sin t_2}{\sin t_0} = \frac{k_p}{k_s} := \kappa, \\ A_0 &= \cos(t_0 - t_2), \quad A_1 = \cos(t_0 + t_2), \quad A_2 = \sin 2t_0. \end{aligned}$$

In summary, the total field is

$$\hat{u}_p^{\text{total}} = A_0 \hat{d}_0 e^{\mathbf{i}k_p x \cdot \hat{d}_0} + A_1 \hat{d}_1 e^{\mathbf{i}k_p x \cdot \hat{d}_1} + A_2 \hat{d}_2^\perp e^{\mathbf{i}k_s x \cdot \hat{d}_2}, \quad (1.1)$$

where for any  $\tau = (\tau_1, \tau_2)^T \in \mathbb{R}^2$ ,  $\tau^\perp = (\tau_2, -\tau_1)^T$ , and

$$\hat{d}_1 = \hat{d}_0 - 2(\hat{d}_0 \cdot \hat{v})\hat{v}, \quad \hat{d}_2 = \kappa \hat{d}_0 - \left[ \kappa(\hat{d}_0 \cdot \hat{v}) + \text{sgn}(\hat{d}_0 \cdot \hat{v}) \sqrt{1 - \kappa^2(\hat{d}_0 \cdot \hat{v}^\perp)^2} \right] \hat{v}, \quad (1.2)$$

$$A_0 = \hat{d}_1 \cdot \hat{d}_2, \quad A_1 = -\hat{d}_0 \cdot \hat{d}_2, \quad A_2 = 2(\hat{d}_0 \cdot \hat{v})(\hat{d}_0 \cdot \hat{v}^\perp). \quad (1.3)$$

### 1.2. The case of incident $s$ -wave

We denote the incident  $s$ -wave as

$$\hat{u}_s = A_0(\cos t_0, -\sin t_0)^T e^{\mathbf{i}k_s(x_1 \sin t_0 + x_2 \cos t_0)}.$$

The reflected  $p$ -wave is represented as

$$\hat{u}_{s,p} = A_1(\sin t_1, -\cos t_1)^T e^{\mathbf{i}k_p(x_1 \sin t_1 - x_2 \cos t_1)}.$$

The reflected  $s$ -wave is denoted as

$$\hat{u}_{s,s} = A_2(-\cos t_2, -\sin t_2)^T e^{\mathbf{i}k_s(x_1 \sin t_2 - x_2 \cos t_2)}.$$

The result is

$$t_2 = t_0, \quad \frac{\sin t_1}{\sin t_0} = \frac{k_s}{k_p} = \kappa_1, \\ A_0 = \cos(t_0 - t_1), \quad A_1 = -\sin 2t_0, \quad A_2 = \cos(t_0 + t_1).$$

In summary, the total field is

$$\hat{u}_s^{\text{total}} = A_0 \hat{d}_0^\perp e^{\mathbf{i}k_s x \cdot \hat{d}_0} + A_1 \hat{d}_1 e^{\mathbf{i}k_p x \cdot \hat{d}_1} + A_2 \hat{d}_2^\perp e^{\mathbf{i}k_s x \cdot \hat{d}_2}, \quad (1.4)$$

where

$$\hat{d}_1 = \kappa_1 \hat{d}_0 - \left[ \kappa_1 (\hat{d}_0 \cdot \hat{\nu}) + \text{sgn}(\hat{d}_0 \cdot \hat{\nu}) \sqrt{1 - \kappa_1^2 (\hat{d}_0 \cdot \hat{\nu}^\perp)^2} \right] \hat{\nu}, \quad \hat{d}_2 = \hat{d}_0 - 2(\hat{d}_0 \cdot \hat{\nu}) \hat{\nu}, \quad (1.5)$$

$$A_0 = \hat{d}_1 \cdot \hat{d}_2, \quad A_1 = -2(\hat{d}_0 \cdot \hat{\nu})(\hat{d}_0 \cdot \hat{\nu}^\perp), \quad A_2 = -\hat{d}_0 \cdot \hat{d}_1. \quad (1.6)$$

## 2. Reflection of a plane wave in the general case

We consider the scattering of an incident plane  $p$ -wave  $u_p$  or  $s$ -wave  $u_s$  with the incident direction  $d = (\sin \theta, \cos \theta)^T$ ,  $\theta \in (0, 2\pi)$ , by the plane  $\Gamma := \{x \in \mathbb{R}^2 : x \cdot \nu = 0\}$  through the origin with the normal vector  $\nu = (\sin \phi, \cos \phi)^T$ ,  $\phi \in (0, 2\pi)$ . The angle between  $\nu$  and the positive real axis is  $\pi/2 - \phi$ . The total fields are

$$u_p^{\text{total}} = A_0 d_0 e^{\mathbf{i}k_p x \cdot d_0} + A_1 d_1 e^{\mathbf{i}k_p x \cdot d_1} + A_2 d_2^\perp e^{\mathbf{i}k_s x \cdot d_2}, \quad (2.1)$$

$$u_s^{\text{total}} = A_0 d_0^\perp e^{\mathbf{i}k_s x \cdot d_0} + A_1 d_1 e^{\mathbf{i}k_p x \cdot d_1} + A_2 d_2^\perp e^{\mathbf{i}k_s x \cdot d_2}, \quad (2.2)$$

where for  $i = 0, 1, 2$ ,  $d_i$  is the unit vector and  $A_i$  is the corresponding amplitude. We impose  $u_p^{\text{total}} = 0, u_s^{\text{total}} = 0$  on  $\Gamma$ . Let  $\hat{x} = Sx$ , where  $S \in \mathbb{R}^{2 \times 2}$  is the rotation matrix with rotation angle  $\phi$ ,

$$S = \begin{pmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{pmatrix}.$$

We have  $\hat{\nu} = S\nu$ .

**Theorem 2.1** *Let  $u(x) \in \mathbb{C}^2$  and*

$$\Delta_e^x := \begin{pmatrix} (\lambda + 2\mu) \frac{\partial^2}{\partial x_1^2} + (\lambda + \mu) \frac{\partial^2}{\partial x_1 \partial x_2} + \mu \frac{\partial^2}{\partial x_2^2} \\ \mu \frac{\partial^2}{\partial x_1^2} + (\lambda + \mu) \frac{\partial^2}{\partial x_1 \partial x_2} + (\lambda + 2\mu) \frac{\partial^2}{\partial x_2^2} \end{pmatrix}.$$

*Assume that  $u(x)$  satisfies  $\Delta_e^x u(x) + \omega^2 u(x) = 0$ , then we have  $\Delta_e^{\hat{x}} \hat{u}(\hat{x}) + \omega^2 \hat{u}(\hat{x}) = 0$  where  $\hat{u}(\hat{x}) := Su(S^T \hat{x})$  or  $u(x) = S^T \hat{u}(Sx)$ .*

**Proof.** Since

$$\begin{aligned} \frac{\partial^2}{\partial \hat{x}_1^2} &= \cos^2 \phi \frac{\partial^2}{\partial x_1^2} - 2 \cos \phi \sin \phi \frac{\partial^2}{\partial x_1 \partial x_2} + \sin^2 \phi \frac{\partial^2}{\partial x_2^2} \\ \frac{\partial^2}{\partial \hat{x}_2^2} &= \sin^2 \phi \frac{\partial^2}{\partial x_1^2} + 2 \cos \phi \sin \phi \frac{\partial^2}{\partial x_1 \partial x_2} + \cos^2 \phi \frac{\partial^2}{\partial x_2^2} \\ \frac{\partial^2}{\partial \hat{x}_1 \partial \hat{x}_2} &= \cos \phi \sin \phi \frac{\partial^2}{\partial x_1^2} + (\cos^2 \phi - \sin^2 \phi) \frac{\partial^2}{\partial x_1 \partial x_2} - \cos \phi \sin \phi \frac{\partial^2}{\partial x_2^2} \end{aligned}$$

This completes proof after substituting above equation into  $\Delta_e^{\hat{x}} \hat{u}(\hat{x})$ .  $\square$

By this theorem, we obtain from (1.1)-(1.3) that for  $u_p^{\text{total}}$ ,  $d_0 = (\sin(\theta - \phi), \cos(\theta - \phi))^T$ ,

$$\begin{aligned} d_1 &= d_0 - 2(d_0 \cdot \nu)\nu, d_2 = \kappa d_0 - \left[ \kappa(d_0 \cdot \nu) + \text{sgn}(d_0 \cdot \nu) \sqrt{1 - \kappa^2(d_0 \cdot \nu^\perp)^2} \right] \nu, \\ A_0 &= d_1 \cdot d_2, A_1 = -d_0 \cdot d_2, A_2 = 2(d_0 \cdot \nu)(d_0 \cdot \nu^\perp). \end{aligned}$$

In fact, we have

$$\begin{aligned} u_p^{\text{total}}(x) &= S^T \hat{u}_p^{\text{total}}(Sx) \\ &= S^T \left[ A_0 \hat{d}_0 e^{\mathbf{i}k_p Sx \cdot \hat{d}_0} + A_1 \hat{d}_1 e^{\mathbf{i}k_p Sx \cdot \hat{d}_1} + A_2 \hat{d}_2^\perp e^{\mathbf{i}k_s Sx \cdot \hat{d}_2} \right]. \end{aligned}$$

This implies  $S^T \hat{d}_j = d_j$ ,  $j = 0, 1, 2$ . As  $d_0 = d$ , we obtain  $\hat{d}_0 = Sd$ . Similarly, for  $u_s^{\text{total}}$ ,  $d_0 = (\sin(\theta - \phi), \cos(\theta - \phi))^T$ ,

$$\begin{aligned} d_1 &= \kappa_1 d_0 - \left[ \kappa_1(d_0 \cdot \nu) + \text{sgn}(d_0 \cdot \nu) \sqrt{1 - \kappa_1^2(d_0 \cdot \nu^\perp)^2} \right] \nu, d_2 = d_0 - 2(d_0 \cdot \nu)\nu, \\ A_0 &= d_1 \cdot d_2, A_1 = -2(d_0 \cdot \nu)(d_0 \cdot \nu^\perp), A_2 = -d_0 \cdot d_1. \end{aligned}$$

The traction of  $u(x)$  on the plane  $\Gamma$  can be obtained by simple calculation

$$\begin{aligned} \sigma(u_p^{\text{total}}) \cdot \nu &= [\mathbf{i}k_p A_0(\lambda\nu + 2\mu(d_0, \nu)d_0) + \mathbf{i}k_p A_1(\lambda\nu + 2\mu(d_1, \nu)d_1) \\ &\quad + \mathbf{i}k_s A_2\mu((d_2, \nu)d_2^\perp + (d_2^\perp, \nu)d_2)] e^{\mathbf{i}k_p x \cdot d_0} \\ &:= \mathbf{i}k_p A_0 \hat{\mathbf{R}}_p(x, d_0, \nu) e^{\mathbf{i}k_p x \cdot d_0}, \end{aligned} \tag{2.3}$$

$$\begin{aligned} \sigma(u_s^{\text{total}}) \cdot \nu &= [\mathbf{i}k_s A_0\mu((d_0, \nu)d_0^\perp + (d_0^\perp, \nu)d_0) + \mathbf{i}k_p A_1(\lambda\nu + 2\mu(d_1, \nu)d_1) \\ &\quad + \mathbf{i}k_s A_2\mu((d_2, \nu)d_2^\perp + (d_2^\perp, \nu)d_2)] e^{\mathbf{i}k_s x \cdot d_0} \\ &:= \mathbf{i}k_s A_0 \hat{\mathbf{R}}_s(x, d_0, \nu) e^{\mathbf{i}k_s x \cdot d_0}. \end{aligned} \tag{2.4}$$

**Definition 2.1** For any unit vector  $d \in \mathbb{R}^2$ , let  $u_p^i = d e^{\mathbf{i}k_p x \cdot d}$  or  $u_s^i = d^\perp e^{\mathbf{i}k_s x \cdot d}$  be the incident wave and  $u_\alpha^s = u_\alpha^s(x; d)$  be the radiation solution of the Navier equation:

$$\begin{aligned} u_\alpha^s + \omega^2 u_\alpha^s &= 0 \quad \text{in } \mathbb{R}^2 \setminus \bar{D} \\ \Delta_e u_\alpha^s &= -u_\alpha^i \quad \text{on } \partial D \end{aligned}$$

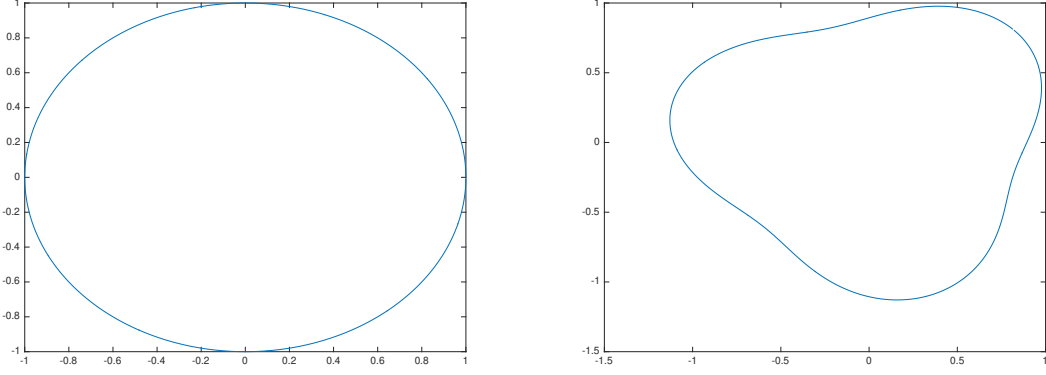
The scattering coefficient  $\mathbf{R}_\alpha(x; d)$  for  $x \in \partial D$  is defined by the relation

$$\sigma(u_\alpha^s + u_\alpha^i) \cdot \nu = \mathbf{i}k_\alpha \mathbf{R}_\alpha(x; d) e^{\mathbf{i}k_\alpha x \cdot d} \quad \text{on } \partial D$$

where  $\alpha = p, s$ .

For a convex object  $D$ , Kirchhoff approximation approximates the scattering coefficient by considering the boundary at  $x \in \partial D$  locally as a plane with normal  $\nu$  to obtain

$$\mathbf{R}_\alpha(x; d) \approx \begin{cases} \hat{\mathbf{R}}_\alpha(x; d, \nu(x)) & \text{if } x \in \partial D_d^- = \{x \in \partial D, \nu(x) \cdot d < 0\}, \\ 0 & \text{if } x \in \partial D_d^+ = \{x \in \partial D, \nu(x) \cdot d \geq 0\}. \end{cases}$$



**Figure 1.** The shape of the obstacles.

### 3. Numerical examples

In this section we present several numerical examples to show the effectiveness of Kirchhoff approximation. To synthesize the real scattering coefficient we compute the solution  $\sigma(u_\alpha^s + u_\alpha^i) \cdot \nu$  of the scattering problems by representing the ansatz solution as the single layer potential with the Green tensor  $\mathbb{G}(x, y)$  as the kernel

$$u^s(x) = \int_{\Gamma_D} -\mathbb{G}(y, x)^T \sigma(u^s(y) + u^i(y)) \nu ds(y) = -u^i(x) \quad \text{on } x \in \Gamma_D,$$

and discretizing the integral equation by standard Nyström methods [2]. Let  $\mathbf{R}_\alpha(x; d) = (\mathbf{R}_\alpha^1(x; d), \mathbf{R}_\alpha^2(x; d))^T$ , then we have

$$\mathbf{R}_\alpha^j(x; d) = \frac{\sigma(u^s(y) + u^i(y)) \nu \cdot e_j}{\mathbf{i} k_\alpha e^{\mathbf{i} k_\alpha x \cdot d}}. \quad (3.1)$$

We compute  $\hat{\mathbf{R}}_\alpha(x; d) = (\hat{\mathbf{R}}_\alpha^1(x; d), \hat{\mathbf{R}}_\alpha^2(x; d))^T$  by (2.3) and (2.4). In all our numerical examples we choose Lamé constant  $\lambda = 1/2$ ,  $\mu = 1/4$  and

$$\begin{aligned} u_p^i &= (\cos t, \sin t)^T e^{\mathbf{i} k_p (x_1 \cos t + x_2 \sin t)} \\ u_s^i &= (\sin t, -\cos t)^T e^{\mathbf{i} k_s (x_1 \cos t + x_2 \sin t)} \end{aligned}$$

where  $t \in [0, 2\pi]$ . The boundaries of the obstacles used in our numerical experiments are parameterized as follows:

$$\text{Circle: } x_1 = \cos(\theta), \quad x_2 = \sin(\theta);$$

$$\text{Pear: } \rho = 0.5(2 + 0.3 \cos(3\theta)), \quad x_1 = \sin \frac{\pi}{4} \rho (\cos \theta - \sin \theta), \quad x_2 = \sin \frac{\pi}{4} \rho (\cos \theta + \sin \theta),$$

where  $\theta \in [0, 2\pi]$  (See Figure 1).

In the following examples, we take the angular frequency  $\omega = \pi, 2\pi, 4\pi, 8\pi$ .

### References

- [1] Achenbach J 1980 *Wave Propagation in Elastic Solids* (North-Holland)
- [2] Colton D and Kress R 1998 *Inverse Acoustic and Electromagnetic Scattering Problems* (Heidelberg: Springer)

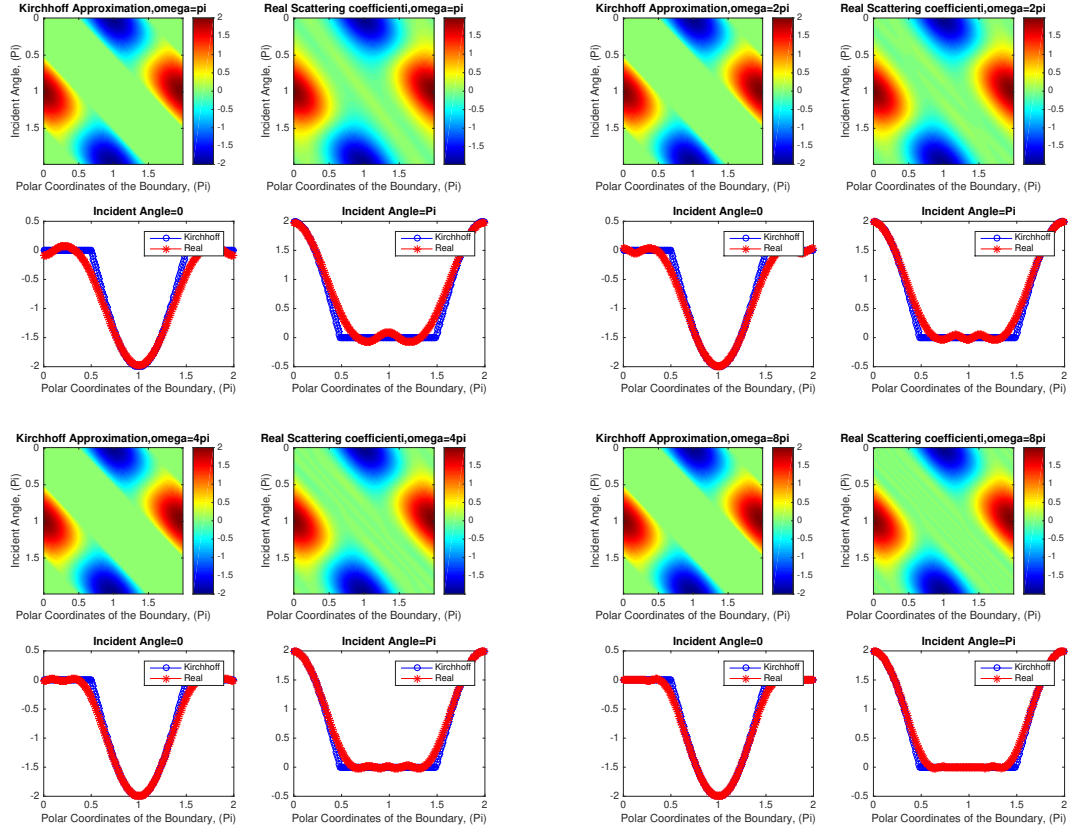


Figure 2.  $R_p^1$  and  $\hat{R}_p^1$  for the circle.

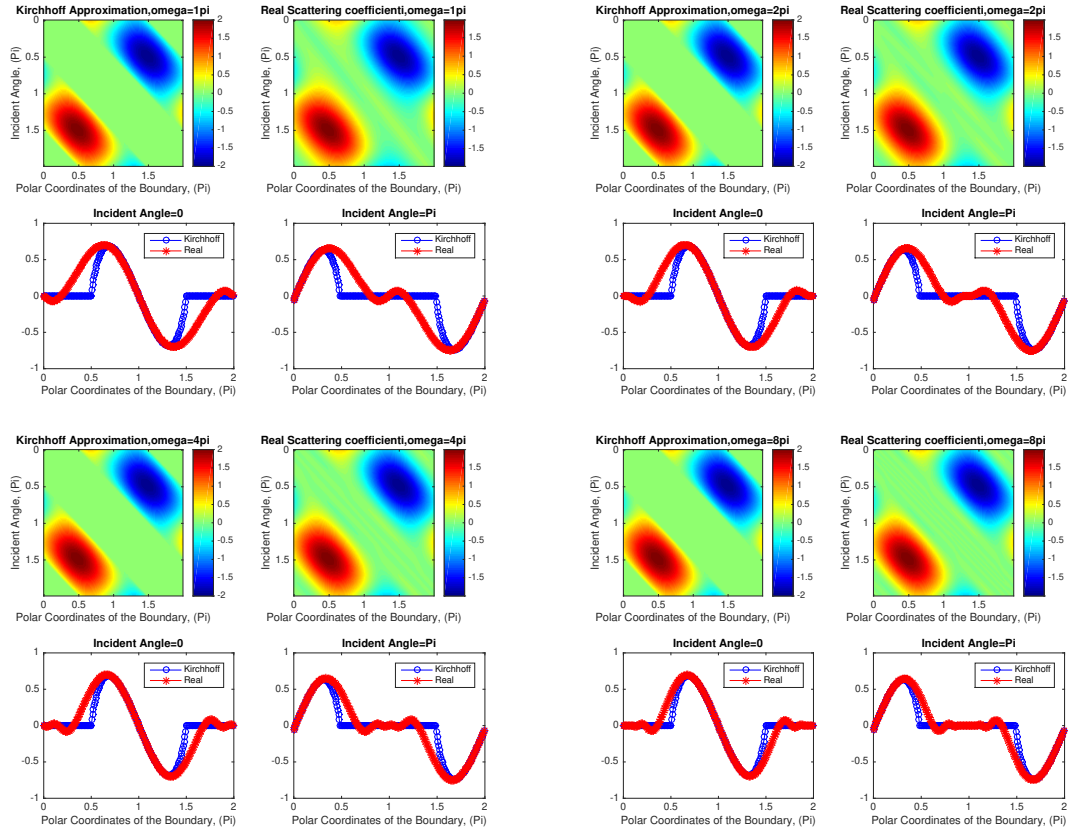


Figure 3.  $\mathbf{R}_p^2$  and  $\hat{\mathbf{R}}_p^2$  for the circle.

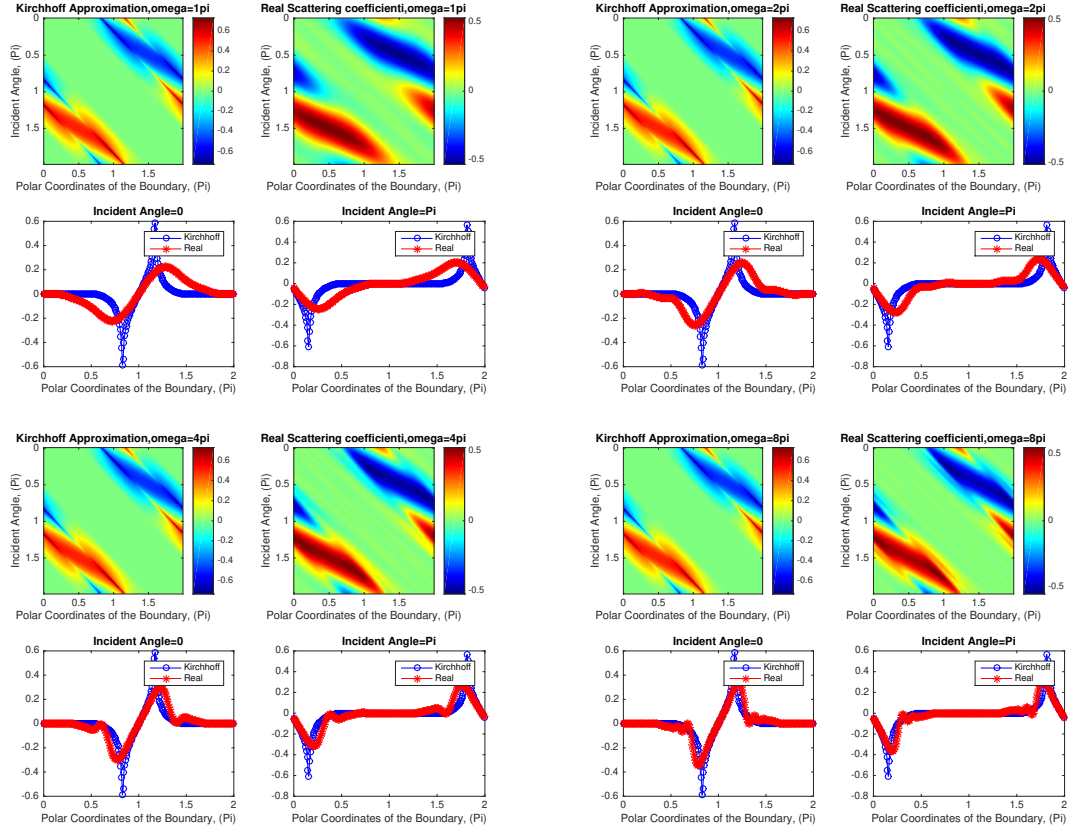


Figure 4.  $R_s^1$  and  $\hat{R}_s^1$  for the circle.



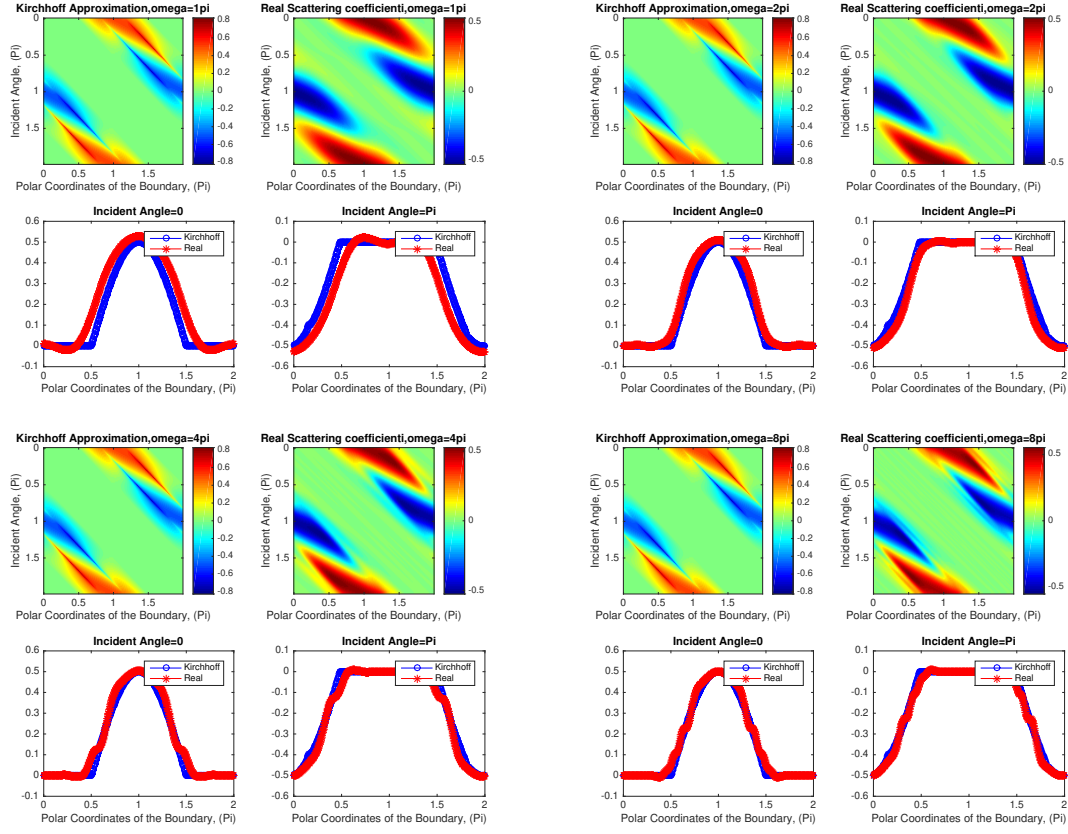


Figure 5.  $R_s^2$  and  $\hat{R}_s^2$  for the circle.

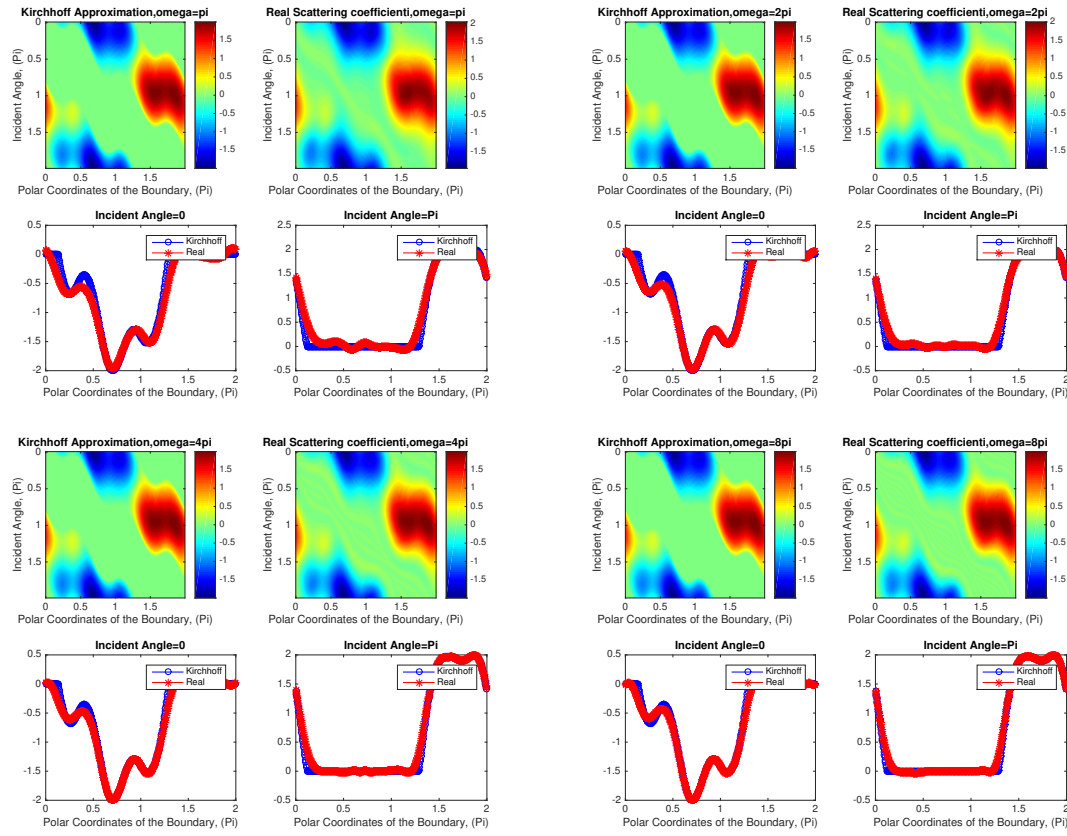


Figure 6.  $\mathbf{R}_p^1$  and  $\hat{\mathbf{R}}_p^1$  for the pear.

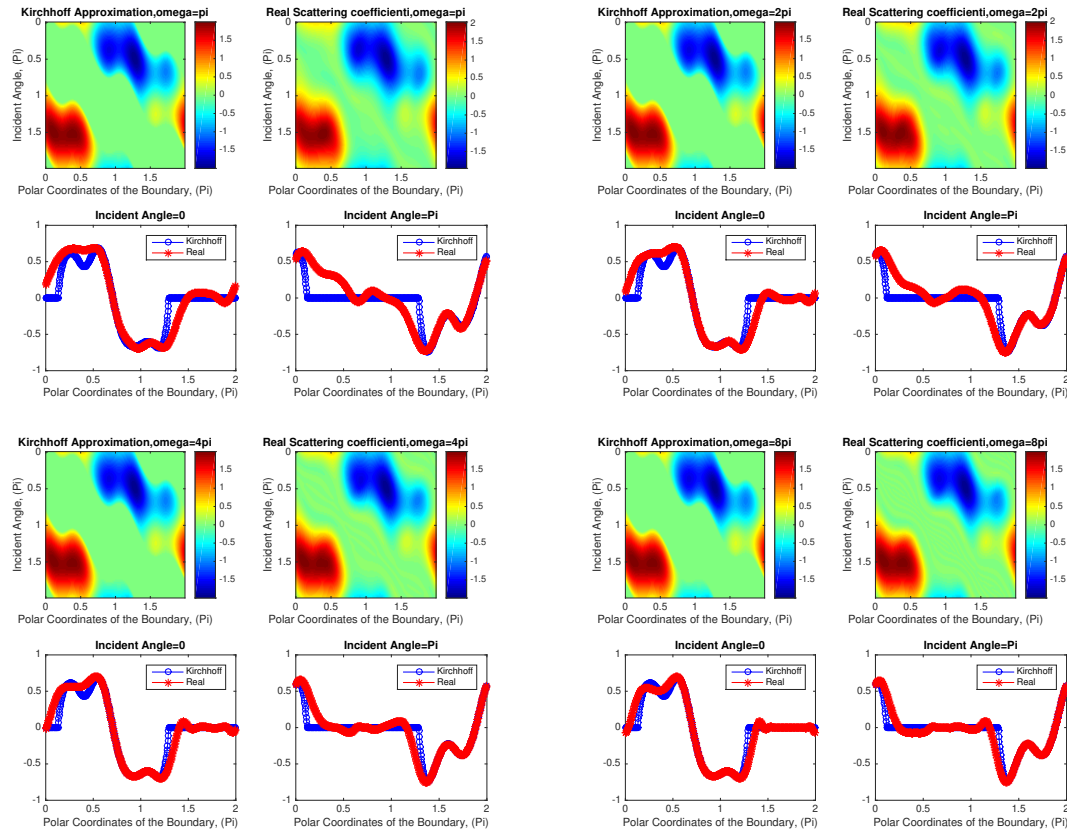


Figure 7.  $R_p^2$  and  $\hat{R}_p^2$  for the pear.

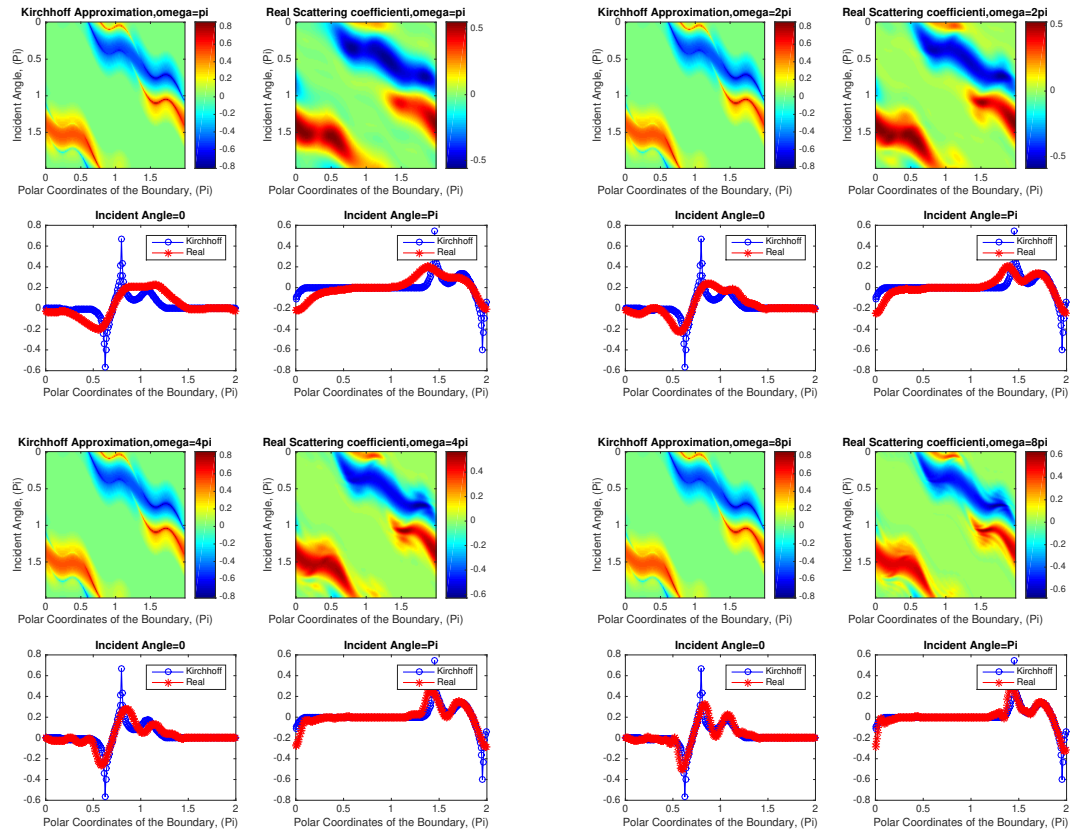


Figure 8.  $R_s^1$  and  $\hat{R}_s^1$  for the pear.

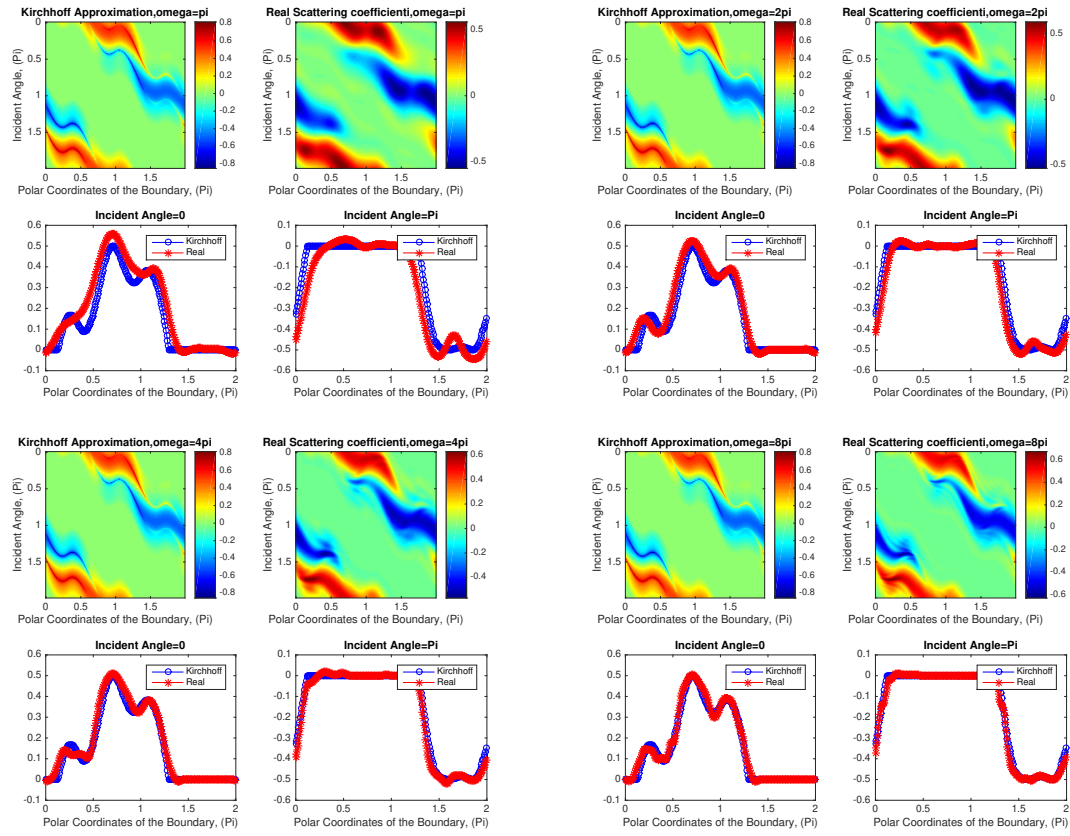


Figure 9.  $R_s^2$  and  $\hat{R}_s^2$  for the pear.