

# Numerical Implementation of the Doyle-Fuller-Newman (DFN) Model

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In this note we document the numerical implementation of the DFN model.

## 1 Doyle-Fuller-Newman Model

We consider the Doyle-Fuller-Newman (DFN) model in Fig. 1 to predict the evolution of lithium concentration in the solid  $c_s^\pm(x, r, t)$ , lithium concentration in the electrolyte  $c_e(x, t)$ , solid electric potential  $\phi_s^\pm(x, t)$ , electrolyte electric potential  $\phi_e(x, t)$ , ionic current  $i_e^\pm(x, t)$ , molar ion fluxes  $j_n^\pm(x, t)$ , and bulk cell temperature  $T(t)$  [1]. The governing equations are given by

$$\frac{\partial c_s^\pm}{\partial t}(x, r, t) = \frac{1}{r^2} \frac{\partial}{\partial r} \left[ D_s^\pm r^2 \frac{\partial c_s^\pm}{\partial r}(x, r, t) \right], \quad (1)$$

$$\frac{\partial c_e}{\partial t}(x, t) = \frac{\partial}{\partial x} \left[ D_e \frac{\partial c_e}{\partial x}(x, t) + \frac{1 - t_c^0}{\varepsilon_e F} i_e^\pm(x, t) \right], \quad (2)$$

$$0 = \frac{\partial \phi_s^\pm}{\partial x}(x, t) - \frac{i_e^\pm(x, t) - I(t)}{\sigma^\pm}, \quad (3)$$

$$0 = \frac{\partial \phi_e}{\partial x}(x, t) + \frac{i_e^\pm(x, t)}{\kappa} - \frac{2RT}{F} (1 - t_c^0) \times \left( 1 + \frac{d \ln f_{c/a}}{d \ln c_e}(x, t) \right) \frac{\partial \ln c_e}{\partial x}(x, t), \quad (4)$$

$$0 = \frac{\partial i_e^\pm}{\partial x}(x, t) - a_s F j_n^\pm(x, t), \quad (5)$$

$$0 = \frac{1}{F} i_0^\pm(x, t) \left[ e^{\frac{\alpha_a F}{RT} \eta^\pm(x, t)} - e^{-\frac{\alpha_c F}{RT} \eta^\pm(x, t)} \right] - j_n^\pm(x, t), \quad (6)$$

$$\rho^{\text{avg}}_{cP} \frac{dT}{dt}(t) = h_{\text{cell}} [T_{\text{amb}}(t) - T(t)] + I(t)V(t) - \int_{0^-}^{0^+} a_s F j_n(x, t) \Delta T(x, t) dx, \quad (7)$$

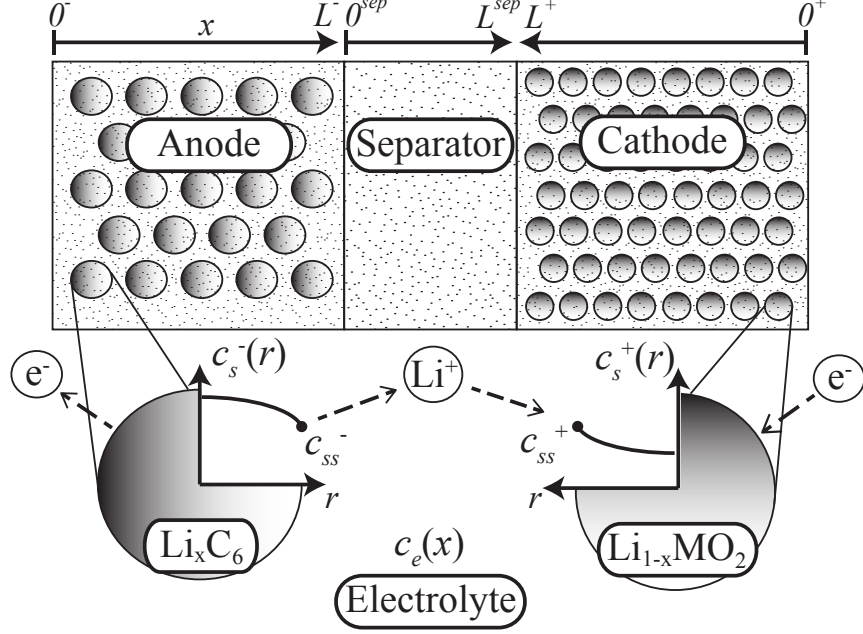


Figure 1: Schematic of the Doyle-Fuller-Newman model [1]. The model considers two phases: the solid and electrolyte. In the solid, states evolve in the  $x$  and  $r$  dimensions. In the electrolyte, states evolve in the  $x$  dimension only. The cell is divided into three regions: anode, separator, and cathode.

where  $D_e, \kappa, f_{c/a}$  are functions of  $c_e(x, t)$  and

$$i_0^\pm(x, t) = k^\pm [c_{ss}^\pm(x, t)]^{\alpha_c} [c_e(x, t) (c_{s, \max}^\pm - c_{ss}^\pm(x, t))]^{\alpha_a}, \quad (8)$$

$$\eta^\pm(x, t) = \phi_s^\pm(x, t) - \phi_e(x, t) - U^\pm(c_{ss}^\pm(x, t)) - FR_f^\pm j_n^\pm(x, t), \quad (9)$$

$$c_{ss}^\pm(x, t) = c_s^\pm(x, R_s^\pm, t), \quad (10)$$

$$\Delta T(x, t) = U^\pm(\bar{c}_s^\pm(x, t)) - T(t) \frac{\partial U^\pm}{\partial T}(\bar{c}_s^\pm(x, t)), \quad (11)$$

$$\bar{c}_s^\pm(x, t) = \frac{3}{(R_s^\pm)^3} \int_0^{R_s^\pm} r^2 c_s^\pm(x, r, t) dr \quad (12)$$

Along with these equations are corresponding boundary and initial conditions. The boundary conditions for the solid-phase diffusion PDE (1) are

$$\frac{\partial c_s^\pm}{\partial r}(x, 0, t) = 0, \quad (13)$$

$$\frac{\partial c_s^\pm}{\partial r}(x, R_s^\pm, t) = -\frac{1}{D_s^\pm} j_n^\pm. \quad (14)$$

The boundary conditions for the electrolyte-phase diffusion PDE (2) are given by

$$\frac{\partial c_e}{\partial x}(0^-, t) = \frac{\partial c_e}{\partial x}(0^+, t) = 0, \quad (15)$$

$$\varepsilon_e^- D_e^-(L^-) \frac{\partial c_e}{\partial x}(L^-, t) = \varepsilon_e^{\text{sep}} D_e^{\text{sep}}(0^{\text{sep}}) \frac{\partial c_e}{\partial x}(0^{\text{sep}}, t), \quad (16)$$

$$\varepsilon_e^{\text{sep}} D_e^{\text{sep}}(L^{\text{sep}}) \frac{\partial c_e}{\partial x}(L^{\text{sep}}, t) = \varepsilon_e^+ D_e^+(L^+) \frac{\partial c_e}{\partial x}(L^+, t), \quad (17)$$

$$c_e(L^-, t) = c_e(0^{\text{sep}}, t), \quad (18)$$

$$c_e(L^{\text{sep}}, t) = c_e(0^+, t). \quad (19)$$

The boundary conditions for the solid-phase potential ODE (3) are given by

$$\frac{\partial \phi_s^-}{\partial x}(L^-, t) = \frac{\partial \phi_s^+}{\partial x}(L^+, t) = 0. \quad (20)$$

The boundary conditions for the electrolyte-phase potential ODE (4) are given by

$$\phi_e(0^-, t) = 0, \quad (21)$$

$$\phi_e(L^-, t) = \phi_e(0^{\text{sep}}, t), \quad (22)$$

$$\phi_e(L^{\text{sep}}, t) = \phi_e(L^+, t). \quad (23)$$

The boundary conditions for the ionic current ODE (5) are given by

$$i_e^-(0^-, t) = i_e^+(0^+, t) = 0 \quad (24)$$

and also note that  $i_e(x, t) = I(t)$  for  $x \in [0^{\text{sep}}, L^{\text{sep}}]$ .

The input to the model is the applied current density  $I(t)$ , and the output is the voltage measured across the current collectors

$$V(t) = \phi_s^+(0^+, t) - \phi_s^-(0^-, t) \quad (25)$$

Further details, including notation definitions, can be found in [1, 2].

## 2 Time-stepping

Ultimately, the equations are discretized to produce a DAE in the following format:

$$\dot{x} = f(x, z, u), \quad (26)$$

$$0 = g(x, z, u) \quad (27)$$

with initial conditions  $x(0), z(0)$  that are consistent. That is, they verify (27). The time-stepping is done by solving the nonlinear equation

$$0 = F(x(t + \Delta t), z(t + \Delta t)), \quad (28)$$

$$0 = \begin{bmatrix} x(t) - x(t + \Delta t) + \frac{1}{2} \Delta t [f(x(t), z(t), u(t)) + f(x(t + \Delta t), z(t + \Delta t), u(t + \Delta t))] \\ g(x(t + \Delta t), z(t + \Delta t), u(t + \Delta t)) \end{bmatrix} \quad (29)$$

for  $x(t + \Delta t), z(t + \Delta t)$ . The function `cfn.dfn.m` returns the solution  $(x(t + \Delta t), z(t + \Delta t))$  of (28)-(29), given  $x(t), z(t), u(t), u(t + \Delta t)$ . Note that we solve (28)-(29) using Newton's method, meaning analytic Jacobians of  $F(\cdot, \cdot)$  are required w.r.t.  $x, z$ .

$$J_{\text{blue}} = \begin{bmatrix} F_x^1 & F_z^1 \\ F_x^2 & F_z^2 \end{bmatrix} \quad (30)$$

$$= \begin{bmatrix} -I + \frac{1}{2}\Delta t \cdot \frac{\partial f}{\partial x}(x(t + \Delta t), z(t + \Delta t), u(t + \Delta t)) & \frac{1}{2}\Delta t \cdot \frac{\partial f}{\partial z}(x(t + \Delta t), z(t + \Delta t), u(t + \Delta t)) \\ \frac{\partial g}{\partial x}(x(t + \Delta t), z(t + \Delta t), u(t + \Delta t)) & \frac{\partial g}{\partial z}(x(t + \Delta t), z(t + \Delta t), u(t + \Delta t)) \end{bmatrix} \quad (31)$$

### 3 DAEs

To perform the time-stepping in the previous section, we must compute functions  $f(x, z, u)$  and  $g(x, z, u)$ . These functions, which represent the RHS of (26)-(27), are calculated by the Matlab function `dae.dfn.m`, given the inputs  $x, z, u$ . The role of variables  $x, z, u$  are played by the DFN variables shown in Table 1.

Table 1: DAE notation for DFN states in Matlab Code

DAE Variable	DFN Variable
$x$	$c_s^-, c_s^+, c_e = [c_e^-, c_e^{sep}, c_e^+], T$
$z$	$\phi_s^-, \phi_s^+, i_e^-, i_e^+, \phi_e = [\phi_e^-, \phi_e^{sep}, \phi_e^+], j_n^-, j_p^+$
$u$	$I$

In the subsequent sections, we go through each DFN variable listed in Table 1 and document its numerical implementation.

### 4 Solid Concentration, $c_s^-, c_s^+$

[DONE] The PDEs (1) governing Fickian diffusion in the solid phase are implemented using third order Padé approximations of the two transfer functions from  $j_n^\pm$  to  $c_{ss}^\pm$  and  $\bar{c}_s^\pm$ .

$$\frac{C_{ss}^\pm(s)}{J_n^\pm(s)} = \frac{-\frac{21}{R_s^\pm} s^2 - \frac{1260 D_s^\pm}{(R_s^\pm)^3} s - \frac{10395 (D_s^\pm)^2}{(R_s^\pm)^4}}{s^3 + \frac{189 D_s^\pm}{(R_s^\pm)^2} s^2 + \frac{3465 (D_s^\pm)^2}{(R_s^\pm)^4} s}, \quad (32)$$

$$\frac{\bar{C}_s^\pm(s)}{J_n^\pm(s)} = \frac{-3 R_s^\pm}{s}. \quad (33)$$

These transfer functions are converted into controllable canonical state-space form , thus producing the subsystem:

$$\frac{d}{dt} \begin{bmatrix} c_{s1}^{\pm}(t) \\ c_{s2}^{\pm}(t) \\ c_{s3}^{\pm}(t) \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & -\frac{3465(D_s^{\pm})^2}{(R_s^{\pm})^4} & -\frac{189D_s^{\pm}}{(R_s^{\pm})^2} \end{bmatrix} \begin{bmatrix} c_{s1}^{\pm}(t) \\ c_{s2}^{\pm}(t) \\ c_{s3}^{\pm}(t) \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} j_n^{\pm}(t) \quad (34)$$

$$\begin{bmatrix} c_{ss}^{\pm}(t) \\ \bar{c}_s^{\pm}(t) \end{bmatrix} = \begin{bmatrix} -\frac{10395(D_s^{\pm})^2}{(R_s^{\pm})^5} & -\frac{1260D_s^{\pm}}{(R_s^{\pm})^3} & -\frac{21}{R_s^{\pm}} \\ -\frac{3}{R_s^{\pm}} \cdot \frac{3465(D_s^{\pm})^2}{(R_s^{\pm})^4} & -\frac{3}{R_s^{\pm}} \cdot \frac{189D_s^{\pm}}{(R_s^{\pm})^2} & -\frac{3}{R_s^{\pm}} \cdot 1 \end{bmatrix} \begin{bmatrix} c_{s1}^{\pm}(t) \\ c_{s2}^{\pm}(t) \\ c_{s3}^{\pm}(t) \end{bmatrix} \quad (35)$$

for each discrete point in  $x$ .

## 5 Electrolyte Concentration, $c_e$

[DONE] The electrolyte concentration is implemented using the central difference method, which ultimately produces the matrix differential equation:

$$\frac{d}{dt} c_e(t) = A_{ce}(c_{e,x}) \cdot c_e(t) + B_{ce}(c_{e,x}) \cdot i_{e,x}(t) \quad (36)$$

where  $c_e, i_{e,x}$  are vectors whose elements represent discrete points along the  $x$ -dimension of the DFN model. In particular  $i_{e,x}$  and  $c_{e,x}$  represent the entire electrolyte current and concentration, respectively, across the entire battery, including boundary values,

$$i_{e,x}(t) = [0, i_e^-(x, t), I(x, t), i_e^+(x, t), 0]^T, \quad (37)$$

$$c_{e,x}(t) = [c_{e,bc,1}(t), c_e^-(x, t), c_{e,bc,2}(t), c_e^{sep}(x, t), c_{e,bc,3}(t), c_e^+(x, t), c_{e,bc,4}(t)]^T, \quad (38)$$

$$c_{e,bc}(t) = C_{ce} c_e(t) \quad (39)$$

Note that the system matrices ( $A_{ce}, B_{ce}$ ) are also state-varying, but  $C_{ce}$  is not. These state matrices are computed online by Matlab function `c_e_mats.m`. Matrix  $C_{ce}$  can be computed offline. The state matrices are computed by

$$A_{ce} = (M1) - (M2)(N2)^{-1}(N1), \quad (40)$$

$$B_{ce} = (M3), \quad (41)$$

$$C_{ce} = -(N2)^{-1}(N1) \quad (42)$$

The first term on the RHS of PDE (2) is implemented by

$$(M1) = \text{BlkDiag}((M1n), (M1s), (M1p)), \quad (43)$$

$$(M2) = \begin{bmatrix} (M2n_{col1}) & (M2n_{col2}) & 0 & 0 \\ 0 & (M2s_{col1}) & (M2s_{col2}) & 0 \\ 0 & 0 & (M2p_{col1}) & (M2p_{col2}) \end{bmatrix} \quad (44)$$

and

$$(M1n) = \alpha^- \cdot \begin{bmatrix} -(D_{e,0} + D_{e,n,2}) & D_{e,n,2} & & \\ D_{e,n,1} & -(D_{e,n,1} + D_{e,n,3}) & D_{e,n,3} & \\ \ddots & \ddots & \ddots & \\ & D_{e,n,i-1} & -(D_{e,n,i-1} + D_{e,n,i+1}) & D_{e,n,i+1} \\ & \ddots & \ddots & \ddots \\ & & D_{e,n,Nxn-2} & -(D_{e,n,Nxn-2} + D_{e,ns}) \end{bmatrix} \quad (45)$$

$$(M1s) = \alpha^{sep} \cdot \begin{bmatrix} -(D_{e,ns} + D_{e,s,2}) & D_{e,s,2} & & \\ D_{e,s,1} & -(D_{e,s,1} + D_{e,s,3}) & D_{e,s,3} & \\ \ddots & \ddots & \ddots & \\ & D_{e,s,i-1} & -(D_{e,s,i-1} + D_{e,s,i+1}) & D_{e,s,i+1} \\ & \ddots & \ddots & \ddots \\ & & D_{e,s,Nxs-2} & -(D_{e,s,Nxs-2} + D_{e,np}) \end{bmatrix} \quad (46)$$

$$(M1p) = \alpha^+ \cdot \begin{bmatrix} -(D_{e,sp} + D_{e,p,2}) & D_{e,p,2} & & \\ D_{e,p,1} & -(D_{e,p,1} + D_{e,p,3}) & D_{e,p,3} & \\ \ddots & \ddots & \ddots & \\ & D_{e,p,i-1} & -(D_{e,p,i-1} + D_{e,p,i+1}) & D_{e,p,i+1} \\ & \ddots & \ddots & \ddots \\ & & D_{e,p,Nxp-2} & -(D_{e,p,Nxp-2} + D_{e,N}) \end{bmatrix} \quad (47)$$

$$(M2n) = \alpha^- \begin{bmatrix} D_{e,0} & 0 \\ 0 & 0 \\ \vdots & \vdots \\ 0 & D_{e,ns} \end{bmatrix}, \quad (M2s) = \alpha^{sep} \begin{bmatrix} D_{e,ns} & 0 \\ 0 & 0 \\ \vdots & \vdots \\ 0 & D_{e,sp} \end{bmatrix}, \quad (M2p) = \alpha^+ \begin{bmatrix} D_{e,sp} & 0 \\ 0 & 0 \\ \vdots & \vdots \\ 0 & D_{e,N} \end{bmatrix} \quad (48)$$

$$(M3) = \left[ \begin{array}{ccc|c|c|c|c} -\beta^- & 0 & \beta^- & & & & \\ & \ddots & \ddots & \ddots & & & \\ & & -\beta^- & 0 & \beta^- & & \\ \hline & & & -\beta^{sep} & 0 & \beta^{sep} & \\ & & & & \ddots & \ddots & \ddots \\ & & & & & -\beta^{sep} & 0 & \beta^{sep} \\ \hline & & & & & & -\beta^+ & 0 & \beta^+ \\ & & & & & & & \ddots & \ddots & \ddots \\ & & & & & & & & -\beta^+ & 0 & \beta^+ \end{array} \right] \quad (49)$$

and

$$\alpha^j = \frac{1}{(L^j \Delta x^j)^2}, \quad \beta^j = \frac{1 - t_c^0}{2\varepsilon_e^j F L^j \Delta x^j}, \quad (50)$$

$$D_e(c_{e,x}(x, t)) = [D_{e,0} \mid D_{e,n}(x) \mid D_{e,ns} \mid D_{e,s}(x) \mid D_{e,sp} \mid D_{e,p}(x) \mid D_{e,N}] \quad (51)$$

The boundary conditions (15)-(19) are implemented as

$$(N1) = \left[ \begin{array}{cccc|cccc|cccc} \frac{1}{L^- \Delta x^-} & 0 & \dots & 0 & 0 & \dots & & 0 & 0 & \dots & & 0 \\ 0 & \dots & 0 & \frac{D_{e,ns}}{L^- \Delta x^-} & \frac{D_{e,ns}}{L^{sep} \Delta x^{sep}} & 0 & \dots & 0 & 0 & \dots & & 0 \\ 0 & 0 & \dots & 0 & 0 & \dots & 0 & \frac{D_{e,sp}}{L^{sep} \Delta x^{sep}} & \frac{D_{e,sp}}{L^+ \Delta x^+} & 0 & \dots & 0 \\ 0 & 0 & \dots & 0 & 0 & \dots & & 0 & 0 & \dots & 0 & \frac{-1}{L^+ \Delta x^+} \end{array} \right], \quad (52)$$

$$(N2) = \left[ \begin{array}{cccc} \frac{-1}{L^- \Delta x^-} & 0 & 0 & 0 \\ 0 & -\frac{D_{e,ns}}{L^- \Delta x^-} - \frac{D_{e,ns}}{L^{sep} \Delta x^{sep}} & 0 & 0 \\ 0 & 0 & -\frac{D_{e,sp}}{L^{sep} \Delta x^{sep}} - \frac{D_{e,sp}}{L^+ \Delta x^+} & 0 \\ 0 & 0 & 0 & \frac{1}{L^+ \Delta x^+} \end{array} \right] \quad (53)$$

## 6 Temperature, $T$

[DONE] Temperature is scalar, so the ODE is directly implemented as:

$$\rho^{\text{avg}} c_P \frac{dT}{dt}(t) = h_{\text{cell}} [T_{\text{amb}}(t) - T(t)] + I(t)V(t) - \int_{0^-}^{0^+} a_s F j_n(x, t) \Delta T(x, t) dx, \quad (54)$$

$$\Delta T(x, t) = U^\pm(\bar{c}_s^\pm(x, t)) - T(t) \frac{\partial U^\pm}{\partial T}(\bar{c}_s^\pm(x, t)), \quad (55)$$

$$\bar{c}_s^\pm(x, t) = \frac{3}{(R_s^\pm)^3} \int_0^{R_s^\pm} r^2 c_s^\pm(x, r, t) dr \quad (56)$$

## 7 Solid Potential, $\phi_s^-, \phi_s^+$

[DONE] The solid potential is implemented using the central difference method, which ultimately produces the matrix equation:

$$\frac{d}{dt}\phi_s^-(t) = F_{psn}^1 \phi_s^-(t) + F_{psn}^2 i_{e,aug}^-(t) + G_{psn} I(t) \quad (57)$$

$$\frac{d}{dt}\phi_s^+(t) = F_{psp}^1 \phi_s^+(t) + F_{psp}^2 i_{e,aug}^+(t) + G_{psp} I(t). \quad (58)$$

where  $i_{e,aug}^\pm$  are

$$i_{e,aug}^-(t) = \begin{bmatrix} 0 \\ i_e^-(x, t) \\ I(t) \end{bmatrix}, \quad i_{e,aug}^+(t) = \begin{bmatrix} I(t) \\ i_e^+(x, t) \\ 0 \end{bmatrix} \quad (59)$$

This section also computes the terminal voltage  $V(t)$  from (25) using matrix equations

$$\phi_{s,bc}^-(t) = C_{psn} \phi_s^-(t) + D_{psn} I(t), \quad (60)$$

$$\phi_{s,bc}^+(t) = C_{psp} \phi_s^+(t) + D_{psp} I(t), \quad (61)$$

$$V(t) = \phi_{s,bc,2}^+(t) - \phi_{s,bc,1}^-(t) \quad (62)$$

where the following matrices are computed a priori by Matlab function `phi_s_mats.m`

$$(F1n) = (M1n) - (M2n)(N2n)^{-1}(N1n), \quad (63)$$

$$(F2n) = (M3n), \quad (64)$$

$$(Gn) = 1 - (M2n)(N2n)^{-1}(N4n), \quad (65)$$

$$(F1p) = (M1p) - (M2p)(N2p)^{-1}(N1p), \quad (66)$$

$$(F2p) = (M3p), \quad (67)$$

$$(Gp) = 1 - (M2p)(N2p)^{-1}(N4p), \quad (68)$$

$$(Cn) = -(N2n)^{-1}(N1n), \quad (69)$$

$$(Dn) = -(N2n)^{-1}(N4n), \quad (70)$$

$$(Cp) = -(N2p)^{-1}(N1p), \quad (71)$$

$$(Dp) = -(N2p)^{-1}(N4p), \quad (72)$$



where the  $(Mij)$  and  $N(ij)$  matrices result from central difference approximations of the ODE in space (3) and boundary conditions (20).

$$(M1j) = \begin{bmatrix} 0 & \alpha_j & 0 & \dots & 0 \\ -\alpha_j & 0 & \alpha_j & \dots & 0 \\ 0 & -\alpha_j & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & & \\ 0 & 0 & \dots & \dots & \alpha_j \\ 0 & 0 & \dots & -\alpha_j & 0 \end{bmatrix}, \quad (M2j) = \begin{bmatrix} -\alpha_j & 0 \\ 0 & 0 \\ \vdots & \vdots \\ 0 & 0 \\ 0 & \alpha_j \end{bmatrix}, \quad (73)$$

$$(M3j) = \begin{bmatrix} 0 & -1 & 0 & \dots & 0 \\ 0 & 0 & -1 & \dots & 0 \\ \vdots & \vdots & \vdots & & \vdots \\ 0 & 0 & \dots & -1 & 0 & 0 \\ 0 & 0 & \dots & 0 & -1 & 0 \end{bmatrix}, \quad (74)$$

$$(N1j) = \begin{bmatrix} 2\alpha_j & 0 & 0 & \dots & 0 \\ 0 & 0 & 0 & \dots & -2\alpha_j \end{bmatrix}, \quad (N2j) = \begin{bmatrix} -2\alpha_j & 0 \\ 0 & 2\alpha_j \end{bmatrix}, \quad (75)$$

$$(N4n) = \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \quad (N4p) = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \quad (76)$$

for  $j \in \{n, p\}$ ,  $\alpha_j = \sigma^j / (2L^j \Delta x^j)$ .

## 8 Electrolyte Current, $i_e^-, i_e^+$

[DONE] The electrolyte current is implemented using the central difference method, which ultimately produces the matrix equation:

$$\frac{d}{dt} i_e^-(t) = F_{ien}^{1-} i_e^-(t) + F_{ien}^{2-} j_n^-(t) + F_{ien}^{3-} I(t) \quad (77)$$

$$\frac{d}{dt} i_e^+(t) = F_{iep}^{1+} i_e^+(t) + F_{iep}^{2+} j_p^+(t) + F_{iep}^{3+} I(t) \quad (78)$$

where the following matrices are computed a priori by Matlab function `i_e_mats.m`

$$F_{ien}^{1-} = (M1n) - (M2n)(N2n)^{-1}(N1n), \quad (79)$$

$$F_{ien}^{2-} = (M3n) - (M2n)(N2n)^{-1}(N3n), \quad (80)$$

$$F_{ien}^{3-} = (M2n)(N2n)^{-1}(N4n), \quad (81)$$

$$F_{iep}^{1+} = (M1p) - (M2p)(N2p)^{-1}(N1p), \quad (82)$$

$$F_{iep}^{2+} = (M3p) - (M2p)(N2p)^{-1}(N3p), \quad (83)$$

$$F_{iep}^{3+} = (M2p)(N2p)^{-1}(N4p) \quad (84)$$

where the  $(Mij)$  and  $N(ij)$  matrices result from central difference approximations of the ODE in space (5) and boundary conditions (24).

$$(M1j) = \begin{bmatrix} 0 & \alpha_j & 0 & \dots & 0 \\ -\alpha_j & 0 & \alpha_j & \dots & 0 \\ 0 & -\alpha_j & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & & \\ 0 & 0 & \dots & \dots & \alpha_j \\ 0 & 0 & \dots & -\alpha_j & 0 \end{bmatrix}, \quad (M2j) = \begin{bmatrix} -\alpha_j & 0 \\ 0 & 0 \\ \vdots & \vdots \\ 0 & 0 \\ 0 & \alpha_j \end{bmatrix}, \quad (M3j) = -\beta_j \mathbb{I}, \quad (85)$$

$$(N1j) = \begin{bmatrix} 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & 0 & \dots & 0 \end{bmatrix}, \quad (N2j) = \mathbb{I}, \quad (N3j) = (N1j), \quad (86)$$

$$(N4n) = \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \quad (N4n) = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \quad (87)$$

for  $j \in \{n, p\}$ ,  $\alpha_j = (2L^j \Delta x^j)^{-1}$ ,  $\beta_j = a_s^j F$ .

## 9 Electrolyte Potential, $\phi_e$

The electrolyte potential is implemented using the central difference method, which ultimately produces the matrix equation:

$$\frac{d}{dt} \phi_e^-(t) = F_{pe}^1(c_{e,x}) \cdot \phi_e(t) + F_{pe}^2(c_{e,x}) \cdot i_{e,x}(t) + F_{pe}^3(c_{e,x}) \cdot \ln(c_{e,x}(t)) \quad (88)$$

where vectors  $i_{e,x}$ ,  $c_{e,x}$  are given by (37),(38). Note that the system matrices  $F_{pe}^1, F_{pe}^2, F_{pe}^3$  are state-varying. These state matrices are computed online by Matlab function `phi_e_mats.m` as follows

$$F_{pe}^1 = (M1) - (M2)(N2)^{-1}(N1), \quad (89)$$

$$F_{pe}^2 = (M3) - (M2)(N2)^{-1}(N3), \quad (90)$$

$$F_{pe}^3 = (M4) - (M2)(N2)^{-1}(N4) \quad (91)$$

DO BOUNDARY CONDITIONS NEXT

and

$$\alpha^j = \frac{1}{2L^j \Delta x^j}, \quad \beta^j = \frac{RT}{\alpha F} (1 - t_c^0) \frac{1 + 0}{2L^j \Delta x^j}, \quad \gamma = \frac{RT}{\alpha F} (1 - t_c^0)(1 + 0) \quad (92)$$

$$\kappa(c_{e,x}(x, t)) = [\kappa_0 \mid \kappa_n(x) \mid \kappa_{ns} \mid \kappa_s(x) \mid \kappa_{sp} \mid \kappa_p(x) \mid \kappa_N] \quad (93)$$

where the 0 is  $\beta^j$  and  $\gamma$  arises when  $\frac{d \ln f_{c/a}}{d \ln c_e}(x, t)$  in (3) is zero.

## 10 Molar ion fluxes, i.e. Butler-Volmer Current, $j_n^-, j_n^+$

[DONE] Since the Butler-Volmer equation (6) is algebraic, and we always assume  $\alpha_a = \alpha_c = 0.5 = \alpha$ , it is trivially implemented as:

$$\frac{d}{dt}j_n^-(t) = \frac{2}{F}i_0^-(t) \sinh \left[ \frac{\alpha F}{RT} \eta^-(t) \right] - j_n^-(t), \quad (94)$$

$$\frac{d}{dt}j_n^+(t) = \frac{2}{F}i_0^+(t) \sinh \left[ \frac{\alpha F}{RT} \eta^+(t) \right] - j_n^+(t) \quad (95)$$

where

$$i_0^\pm(t) = k^\pm [c_{ss}^\pm(t)c_e(t) (c_{s,\max}^\pm - c_{ss}^\pm(t))]^\alpha, \quad (96)$$

$$\eta^\pm(t) = \phi_s^\pm(t) - \phi_e(t) - U^\pm(c_{ss}^\pm(t)) - FR_f^\pm j_n^\pm(t) \quad (97)$$

for each discrete point in  $x$ , in the electrodes only. Note that  $\frac{d}{dt}j_n^\pm(t)$  is a dummy variable used to save the corresponding element of vector  $g(x, z, t)$ .

## References

- [1] K. Thomas, J. Newman, and R. Darling, *Advances in Lithium-Ion Batteries*. New York, NY USA: Kluwer Academic/Plenum Publishers, 2002, ch. 12: Mathematical modeling of lithium batteries, pp. 345–392.
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