

# Theoretical Description of Structural and Electronic Properties of Organic Photovoltaic Materials

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#### Abstract

We review recent progress in the modeling of organic solar cells and photovoltaic materials, as well as discuss the underlying theoretical methods with an emphasis on dynamical electronic processes occurring in organic semiconductors. The key feature of the latter is a strong electron-phonon interaction, making the evolution of electronic and structural degrees of freedom inseparable. We discuss commonly used approaches for first-principles modeling of this evolution, focusing on a multiscale framework based on the Holstein-Peierls Hamiltonian solved via polaron transformation. A challenge for both theoretical and experimental investigations of organic solar cells is the complex multiscale morphology of these devices. Nevertheless, predictive modeling of photovoltaic materials and devices is attainable and is rapidly developing, as reviewed here.

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#### 1. INTRODUCTION

PCE: power conversion efficiency OPV: organic photovoltaic Over the past decade, organic solar cell technologies have made a significant leap in power conversion efficiency (PCE): from a few percent to a current record of 11%. In 2006, the target efficiency was 10% (1); today, that value is routinely reported, and a new goal is set to 20% (2, 3). The fundamental limit for organic photovoltaic (OPV) efficiency is estimated to be somewhere between 20% and the Shockley–Queisser limit of approximately 30% derived for a single bulk inorganic p-n junction (4). The progress in improving the PCE is driven by two factors: the discovery of new OPV materials and the design and engineering of solar cells as devices. The latter historically had limited help from theoretical investigations. In contrast, structural and electronic properties of organic materials can be thoroughly investigated by theory, allowing for purely theoretical prescreening (5). This theory as well as recent progress in modeling OPV materials are the present review subjects.

Parallel to advances in organic electronics (6), the theoretical modeling of organic semiconductors evolved from a consideration of simple models and basic electronic structure calculations to a more or less comprehensive description, in particular, when modeling organic solar cells (7–11). The situation in the field can be characterized as follows: All possible physical mechanisms are already known, as summarized in an excellent textbook (12); the remaining problem is to determine the dominating phenomena for the desired functionality of a particular material and to provide their accurate and efficient descriptions. The main challenge for both theory and experiment is a complex multiscale morphology of typical photovoltaic devices, limiting the possibilities of complete first-principles theoretical modeling and a thorough experimental characterization of both electronic and structural properties. Therefore, with regard to improving the net efficiency of the device, it is often unclear which property of the material might be further optimized (e.g., to reduce different channels for energy losses) without adverse effects on other parameters. Subsequently, a rational design of organic photovoltaic cells is a complex process that has yet to be fully realized in practical terms.

The review is organized as follows: We start in Section 2 with an analysis of the PCE of organic solar cells as the primary target for the discussed research field. In Sections 3 and 4, we review modern theoretical methods for organic semiconductors with an emphasis on the dynamical processes pertinent to solar cells. Finally, in Section 5, we briefly discuss recent progress in modeling organic solar cells. Out of the scope of the current review are processes occurring beyond the active layer or whose theoretical description is far from reliable and predictive, such as performance degradation and aging. For the same reason, the discussion of energy and charge transport in bulk polymers is rather limited in this review. The **Supplemental Appendix** contains an extended list of references, technical details, and additional figures (follow the **Supplemental Material link** from the Annual Reviews home page at http://www.annualreviews.org).

#### 2. ORGANIC SOLAR CELLS AS DEVICES

The architecture of nearly all high-efficiency solution-processable (i.e., potentially inexpensive) organic solar cells is the bulk heterojunction (**Figure 1**a). Here, electron donor [e.g., P3HT (poly-3-hexylthiophene)] and acceptor [e.g., PCBM (phenyl- $C_{61}$ -butyric acid methyl ester)] materials are blended from a solution to form the device's active layer. Sunlight is absorbed mainly by one component (typically a molecular donor) with the generation of strongly bound electron-hole pairs (excitons). The excitons diffuse to the heterojunction, in which they dissociate into electrons and holes, collected at the corresponding electrodes. Two classes of organic semiconductors are currently used for donor and acceptor materials:  $\pi$ -conjugated small molecules and polymers. A representative small molecule used in high-efficiency solar cells (13) is shown in **Figure 1**e (R24)

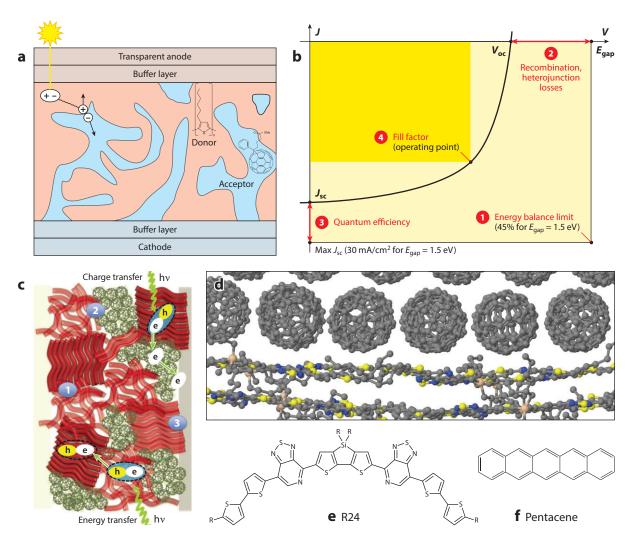


Figure 1

(a) Scheme of a bulk heterojunction organic solar cell, showing the chemical structures of typical donor and acceptor materials [P3HT (poly-3-hexylthiophene) and PCBM (phenyl- $C_{61}$ -butyric acid methyl ester), respectively]. (b) Four factors defining the power conversion efficiency of a solar cell (energy balance limit, recombination and heterojunction losses, quantum efficiency, and fill factor), discussed in Section 2.2. (c) A bulk heterojunction microstructure showing the fundamental charge and energy transfer processes. Numbers 1, 2, and 3 depict the crystalline/amorphous, heterojunction, and organic/semiconductor (or metal) interfaces, respectively. (d) An R24/ $C_{60}$  interface simulated using the classical molecular dynamics approach. (e) The chemical structure of the p-DTS(PTTh<sub>2</sub>)<sub>2</sub> = R24 molecule, where DTS, PT, and Th denote dithienosilole, pyridylthiadiazole, and thiophene moieties, respectively. (f) The chemical structure of pentacene.

and is used as an illustrative example throughout the review, together with the thoroughly studied pentacene molecule (**Figure 1***f*).

## 2.1. Structure and Electronic Processes in the Active Layer

Most of the underlying electronic dynamics occurs in the active layer, whose function is to absorb sunlight and separate charges (Figure 1c). That is why understanding and controlling the

morphology of this layer are important for rational designs of photovoltaic devices (14). When discussing its complex morphology, we need to consider three scales: the structure of the bulk donor and acceptor materials, the microstructure of the interface (Figure 1d), and the global macrostructure of the phase separation (Figure 1a). The domain size in a bulk heterojunction is limited from above by the exciton diffusion length and from below by the charge separation efficiency, with the optimum size typically a few tens of nanometers. Nucleation agents and other additives are added to control this size (13, 14). New morphologies can be explored based on donor-acceptor molecular frameworks (15) and block copolymers (16).

Upon light absorption, an electronic excitation is created that is delocalized in space and energy. It subsequently relaxes to the lowest excited state, transforming into a Frenkel exciton, which can be localized on a single molecule [the typical case for photovoltaic materials, such as R24 (Figure 1e)] or remain delocalized [the case of pure molecular crystals at low temperatures, such as pentacene (Figure 1f)] (17). This process of internal conversion takes hundreds of femtoseconds (18, 19). The excitons then diffuse toward a heterojunction. For optimized R24:PCBM blends with a domain size of approximately 20 nm, the estimated diffusion time is of the order of 1 ps if a singlecrystal diffusion coefficient (20) is used. The exciton dissociation rates for the interface shown in **Figure 1***d* are faster. Traps and geometrical distortions modify the above estimates. Additionally, the direct generation of charge transfer (CT) states in bulk materials and at interfaces is possible (2, 21). Overall, in high-performance organic solar cells, exciton diffusion and dissociation are highly efficient and fast processes, as evidenced by nearly 100% internal photon conversion efficiency (13, 22) and ultrafast pump-probe dynamics (23).

Charge separation is the most intricate process in organic solar cells. In a narrow sense, it is a process of the spatial separation of a geminate electron-hole pair from a CT state at a heterojunction. Timescales and length scales of this process strongly depend on the local geometry and built-in electrostatic field. Typically, the lower limit for the time is of the order of 1 ps, and the upper limit for the spatial separation is approximately 10 nm, as observed within a single polymer chain (24). Thus-generated free charge carriers diffuse or drift toward electrodes within the donor or acceptor material. This process is determined by the global geometry of the active layer and the distribution of charge carrier hopping and recombination parameters (25).

A quantitative simulation of all these electronic processes in a bulk heterojunction is hardly possible. Our understanding of organic solar cell operations is based on modeling individual phenomena in a bulk material or planar interface or using empirical models, as presented below.

#### 2.2. Power Conversion Efficiency Components

In this section, we discuss the main factors determining the PCE to clarify what constituents might be improved. A typical voltage-current curve of a solar cell is shown in **Figure 1***b*. The PCE of a photovoltaic cell is usually written as

$$\eta = \frac{J_{\rm sc} \times V_{\rm oc} \times FF}{P_{\rm in}},\tag{1}$$

where  $J_{\rm sc}$  is the short-circuit current,  $V_{\rm oc}$  is the open-circuit voltage, FF is the fill factor reflecting the cell's series and shunt resistances, and  $P_{\rm in}$  is the incoming radiation flux. The fill factor is the ratio of  $J \times V$  at a device operating point (usually the maximum power point) and  $J_{\rm sc} \times V_{\rm oc}$ . To be able to compare different devices and differentiate the contributions of various factors in the PCE, one can partition the latter into the following product (26):

$$\eta = \eta_{\rm abs}(E_{\rm g}) \times \frac{eV_{\rm oc}}{E_{\rm g}} \times \frac{J_{\rm sc}}{J_{\rm sc}^{\rm max}(E_{\rm g})} \times \text{FF}.$$
(2)

Here, the first factor

$$\eta_{\rm abs}(E_{\rm g}) = \frac{\int_0^{\lambda_{\rm abs}} \Phi_{\rm in}(\lambda) \lambda \, d\lambda}{\int_0^\infty \Phi_{\rm in}(\lambda) \lambda_{\rm abs} \, d\lambda} \tag{3}$$

is the energy balance limit at which we assume that each photon below the absorption edge  $\lambda_{\rm abs} \equiv 2\pi\hbar c/E_{\rm g}$  creates an electron-hole pair harvested immediately after the electron and hole relax to the band edges, and  $\Phi_{in}$  is the spectral density of the incoming radiation (W/m<sup>2</sup>/nm). The second factor,  $eV_{\rm oc}/E_{\rm g} < 1$ , with e the elementary charge, is the measure of recombination and heterojunction losses (3, 4, 26, 27), reflecting the voltage drop compared to the fundamental gap of the material. Finally,  $J_{sc}/J_{sc}^{max}(E_g)$  is an external quantum efficiency, which includes, in particular, optical losses such as transmission and reflection. Here

$$J_{\rm sc}^{\rm max}(E_{\rm g}) = \frac{e}{2\pi\hbar\epsilon} \int_{0}^{\lambda_{\rm abs}} \Phi_{\rm in}(\lambda)\lambda \, d\lambda \tag{4}$$

is the maximum short-circuit current, corresponding to one electron generated per every aboveband-gap photon. Next we analyze these quantities for organic solar cells by comparing them with inorganic devices (see Table 1). The energy balance limit has a maximum of 0.5 for  $E_{\rm g}=1.1~{\rm eV}$ (when the multiple carrier generation per photon is neglected). Because the band gap of organic materials can be readily tuned, this parameter already attains its maximum value for modern highly efficient organic cells. The next parameter, open-circuit voltage, is the most intriguing because its maximum value is uncertain (3, 4, 26), especially for devices smaller than the incoming light wavelength (28), typical for organic solar cells. The Shockley-Queisser limit for the product of the first two factors,  $\eta_{abs}(E_g)eV_{oc}/E_g$ , is approximately 0.3 (0.4) for nonconcentrated (concentrated) sunlight (29). For the record-breaking GaAs single cell listed in Table 1, this value is 0.36 under nonconcentrated sunlight. For highly efficient organic solar cells, the open-circuit voltage approaches that for inorganic cells (Table 1). The maximum value of the other two parameters, the quantum efficiency and fill factor, is 1. As for the fill factor, values for OPVs approach those for inorganic cells. In contrast, the quantum efficiency of organic solar cells is rather low. The origin of such a low short-circuit current is mainly from the low absorption efficiency because of the very small thickness of the active layer (100-200 nm) (30). The internal quantum efficiency of organic solar cells (the number of generated electrons per absorbed photon) can exceed 90% on average and approaches 100% at the main absorption band spectral region (22). The problem is that increasing absorption by increasing the active layer thickness results in a decrease in the internal quantum efficiency because charge carriers cannot reach electrodes without losses (14). Increasing the charge carrier mobility and improving the morphology of the active layer for better charge

Table 1 Partitioned power conversion efficiency<sup>a</sup> for organic photovoltaic (OPV) and best inorganic solar cells

	OPV (13)	OPV(best) <sup>b</sup>	Si (151)	GaAs (151)
Energy balance limit, $\eta_{abs}(E_g)$	0.45	0.5	0.49	0.45
Recombination losses, $eV_{\rm oc}/E_{\rm g}$	0.5	0.6 (152)	0.63	0.79
Quantum efficiency, $J_{ m sc}/J_{ m sc}^{ m max}(E_{ m g})$	0.5	0.6 (22)	0.97	0.93
Fill factor, max $JV/J_{ m sc}V_{ m oc}$	0.6	0.8 (2)	0.83	0.87
Power conversion efficiency	7%	14%	25%	29%

<sup>&</sup>lt;sup>a</sup>The net power conversion efficiency is a product of four quantities given in the above rows (see Equation 2).

<sup>&</sup>lt;sup>b</sup>OPV(best) refers to the best individual parameters that are not necessarily found in one device. We note that in Reference 22, only 70% of the incoming light is absorbed, so the internal quantum efficiency is 0.9.

transport are among possible solutions of the problem of low quantum efficiency. Additionally, improving light collection has been proposed as an alternative approach (30).

**HOMO:** highest occupied molecular orbital

**LUMO:** lowest occupied molecular orbital

**DFT**: density functional theory

## 3. BASIC STRUCTURAL AND ELECTRONIC PROPERTIES OF ORGANIC SEMICONDUCTORS

The theoretical modeling of OPV materials and devices starts with calculations of basic structural and electronic properties. Among them are the highest occupied and lowest unoccupied molecular orbitals (HOMOs/LUMOs) and excited state energies of a bulk material. The electronic structure of molecular solids is usually a perturbation of the electronic structure of individual molecules in the sense that the individual molecules' one-electron energy levels broadened into bands by intermolecular interactions do not overlap (17, 31). A typical polaron bandwidth at room temperature does not exceed a few tenths of an electron volt; among the largest values is 0.4 eV for pentacene (32). Therefore, the HOMO/LUMO energies of a bulk material can be approximated by the ionization potential and electron affinity of a single molecule in a polarizable medium with some effective dielectric constant. Cyclic voltammetry is the primary experimental technique for validating theoretical approaches (33). Ultraviolet-visible (UV-Vis) absorption spectra of molecular solids may differ in shape from those of monomers in a solution (34), especially at low temperatures. However, the relative positions and intensities of absorption bands corresponding to different electronic transitions are similar for monomers and their ensembles, with a typical shift of the absorption edge within a few tenths of an electron volt (13, 34). Polymers are more complicated for theoretical descriptions, but their electronic structure can be well approximated by oligomers (35). Fully  $\pi$ -conjugated two-dimensional frameworks (15) are even more complicated, but currently they are of limited use in organic electronics.

Typical molecules used in organic electronics include tens to hundreds of atoms. Therefore, density functional theory (DFT) is currently the primary model chemistry approach for these systems. Because of delocalized low-dimensional  $\pi$ -electron systems and strong electron-phonon coupling (35, 36), these molecules are quite challenging for an accurate evaluation of their structural and electronic properties [not mentioning the truly strongly correlated cases (37) irrelevant for photovoltaic applications]. To begin with, reliable wave-function methods, such as the coupledcluster technique, are computationally tractable only for small molecules rarely used in organic electronics (38). Only the MP2 approach is feasible for the evaluation of some ground state properties of practical-size systems. At the DFT level, however, simple density functionals such as LDA and PBE give highly inaccurate results (39). The most commonly used density functional for small organic molecules is B3LYP combined with the 6-31G\* basis set (40). However, for extended  $\pi$ -conjugated systems, B3LYP is unreliable (38, 41, 42), despite its routine use for the geometry optimization and calculation of vibronic couplings for exciton and charge transport. Moreover, B3LYP cannot be used for the description of dispersive intermolecular interactions (43). Recently developed range-separated density functionals including variable fractions of orbital exchange (44, 45), such as CAM-B3LYP, LC-ωPBE, and ωB97X, eliminate the spurious longrange self-repulsion intrinsic to LDA (44) and provide good accuracy for conjugated molecules (38, 39) and their multimers (46). However, current implementations are still imperfect: For noncovalent interactions, empirical dispersion corrections are needed (43); for conjugated molecules, the best-fit range-separation parameter depends strongly on the conjugation length (39), and the methods for ab initio tuning of this parameter are still under development (44, 47).

The use of semiempirical, tight-binding, force field methods is strictly limited to the systems and quantities for which they were parameterized. Among well-parameterized semiempirical methods with conjugated molecules in their training sets is PM7, showing universality and accuracy for

geometries and formation energies ( $\sim$ 10 kcal/mol). With regard to force fields, a good transferability is expected for MM3 combining a classical force field with a tight-binding description of  $\pi$ -electrons. Other relevant low-cost approaches include self-consistent charge density functional tight binding (48), the molecular mechanics plus Pariser–Parr–Pople (PPP) model for  $\pi$ -electrons (49), and simple tight-binding models (50). Fine-tuning of a force field (51), PPP Hamiltonian (52), or even a density functional (39) is important to increase accuracy and improve reliability.

An important question is whether geometries obtained by such low-cost methods can be used in combination with ab initio electronic structure calculations. Here the problem lies in possible artifacts and the inability to describe important effects. For example, the creation of deep traps for charge carriers typically has a large electronic energy penalty, which may not be properly account for by classical force fields. From this point of view, the use of approaches explicitly considering  $\pi$ -electrons has an advantage over a purely classical description.

**PES:** potential energy surface

#### 4. DYNAMIC ELECTRONIC PROCESSES

An important feature of organic semiconductors is a strong electron-phonon coupling influencing nearly all dynamic processes in these materials (35). For a  $\pi$ -conjugated molecule, there are two distinct kinds of such couplings associated with fast (bond stretching) and slow (librations) molecular motions. The former originates from molecular fragments of alternating single and double bonds (including resonance Lewis structures) (36). In such systems, the HOMO and LUMO are predominantly localized on double and single bonds, respectively, so that HOMO-LUMO excitation or extra charge on these orbitals creates a force trying to revert the pattern of bond length alternation. The latter kind of strong coupling originates from nonrigid dihedral angles across a conjugated system. A planar conformation realizes the maximum  $\pi$ -conjugation along the backbone. With an increase of the dihedral angle, the conjugation strength decreases rapidly. This coupling, for example, propels the femtosecond planarization of oligofluorenes (19) across single bonds and ultrafast photoisomerization across double bonds (53). Additional complexity adds a so-called nonlocal electron-phonon coupling originating from a strong dependence of electron transfer integrals between molecules on an intermolecular geometry (54). Many dynamical phenomena include multiple vibrational and electronic degrees of freedom.

Consequently, a description of such processes as light absorption and energy and charge transport in organic semiconductors must incorporate both electronic and molecular degrees of freedom simultaneously (55). However, first-principles quantum dynamics of all these degrees of freedom (56, 57) is computationally prohibitive even for few-atom molecules. Two basic techniques to overcome this problem are used to treat vibrational degrees of freedom, distinctly employing either a classical description or approximate potential energy surfaces (PESs). In practice, these techniques are usually referred to as nonadiabatic molecular dynamics (NAMD) and the effective Hamiltonian approach, respectively. In this section, we briefly mention the first method, which is detailed in many other reviews (58, 59), and primarily focus on the second one.

Commonly used atomistic NAMD methods are frequently referred to as mixed quantum-classical dynamics treating the slow vibrational (nuclear) motion by classical mechanics, but the forces that govern the classical motion incorporate the influence of nonadiabatic transitions between electronic states (55). In popular surface-hopping approaches, such transitions are considered as stochastic jumps between electronic levels (58). For simulations in organic electronics, the current state-of-the-art NAMD methods still have important fundamental and technical limitations. Fundamentally, they require proper accounting of the interactions between classical and quantum subsystems (55). Computationally, evaluating excited electronic states at each point of the molecular dynamics (MD) trajectory is numerically demanding. For small-scale simulations,

time-dependent DFT can be used, but for the description of intermolecular charge/energy transfer between medium-sized molecules, a simplified quantum chemistry approach is needed, such as a semiempirical (18) or even a tight-binding (59) framework. Moreover, strongly coupled, bond length alternation vibrational modes have high frequencies, of the order of 0.2 eV, so a classical treatment of these modes may introduce systematic errors owing to the lack of tunneling processes and to unphysical energy flow between different vibrational modes due to the inability to describe zero-point energy, for example (60). Alas, approaches correctly describing quantum modes dramatically increase computational demands (61). Finally, an accurate description of decoherence effects during nonadiabatic transitions and interference between them (e.g., in the case of bandlike transport) can make NAMD simulations computationally prohibitive for extended systems (62).

#### 4.1. Holstein-Peierls Hamiltonian

In the second approach, we want to be able to treat vibrational modes quantum mechanically at the cost of having simplified PESs. A common approximation is harmonic PESs and linear electronphonon couplings. If, additionally, a one-particle approximation is assumed for an electronic subsystem, then we obtain the Holstein-Peierls model (63-65), which is the simplest Hamiltonian accounting for strong electron-phonon interactions in organic semiconductors:

$$\sum_{ij} H_{ij}^{1p} \mathbf{c}_i^{\dagger} \mathbf{c}_j + \sum_{\alpha} \hbar \omega_{\alpha} \left( \mathbf{b}_{\alpha}^{\dagger} \mathbf{b}_{\alpha} + \frac{1}{2} \right) + \sum_{ij\alpha} \hbar \omega_{\alpha} g_{\alpha ij} (\mathbf{b}_{\alpha}^{\dagger} + \mathbf{b}_{\alpha}) \mathbf{c}_i^{\dagger} \mathbf{c}_j. \tag{5}$$

Here  $c_i^{\dagger}$  ( $c_i$ ) and  $b_{\alpha}^{\dagger}$  ( $b_{\alpha}$ ) are the creation (annihilation) operators for electronic quasi-particles (electrons, holes, or Frenkel excitons) and vibrational normal modes (or phonons and vibrons), respectively;  $g_{\alpha ij}$  are dimensionless electron-phonon couplings. The diagonal elements of the oneparticle Hamiltonian  $H^{1p}$  are called on-site energies,  $H_{ii}^{1p} \equiv \varepsilon_i$ ; the other elements are transfer integrals,  $t_{ij}$ . Similarly, couplings  $g_{\alpha ii} \equiv g_{\alpha i}$  are called local; the others are nonlocal. The Hamiltonian with purely local, fully separated  $(g_{\alpha i}g_{\alpha j}=0 \text{ for } i\neq j)$  couplings is called a Holstein Hamiltonian. Nonlocal couplings are related to Peierls instability, such as the dimerization of trans-polyacetylene (66). For a system consisting of small-enough molecules (including a cluster, crystal, or amorphous solid), the sites can be associated with individual molecules. The essential condition here is for  $t_{ij}$  to be small enough compared to the separation between intramolecular energy levels. Otherwise, more than one site (electronic degree of freedom) per molecule is required. The situation with extended systems (e.g., polymers, dendrimers, or frameworks) is more complicated, but a one-particle Hamiltonian can usually be well defined (67). We note that the Hamiltonian in Equation 5 can be considered either as an empirical or first-principles model, depending on whether its parameters are fitted to experimental data or quantum chemistry calculations. Finally, vibrational modes can be rigorously coarse grained with the energy bin of the order of the bath temperature (see Equation 10). Quite often, consideration of only a few modes with strong couplings in Equation 5 suffices.

There are three challenges in using the Holstein-Peierls model. First, despite its visual simplicity, the Hamiltonian in Equation 5 is not exactly solvable. Moreover, no efficient numerical solvers exist for a general case. Second, the approximations under which this Hamiltonian is valid are rarely fully satisfied in organic semiconductors. In other words, Equation 5 is only a minimal model of electronic dynamics in organic materials. Third, in first-principles simulations of realworld materials, it is important to have efficient and reliable schemes for an ab initio evaluation

of the parameters of the Hamiltonian in Equation 5  $(H_{ii}^{1p}, \omega_{\alpha}, g_{ij\alpha})$ . In the rest of this subsection, we discuss the last two issues.

There are three intrinsic approximations of the Holstein-Peierls model corresponding to the three terms in Equation 5. First, the electron-electron interaction is treated statically (i.e., in a mean-field fashion). This is acceptable for a bulk material in nonconcentrated-sunlight photovoltaic devices (with the incident solar flux being 5 photons/ns/µm²) but may be unacceptable at interfaces in which excitons, electrons, and holes can be accumulated. Next, the harmonic approximation for intramolecular atomic motion is valid only for small rigid molecules, such as pentacene, whereas typical molecules used in photovoltaics have few-to-many highly anharmonic librations (68). Notably, intermolecular motions are highly anharmonic at room temperature because of the close proximity to the melting point. Finally, the linear local electron-phonon coupling approximation gives mirror symmetry for absorption and emission spectra, which is not the case for many conjugated molecules. For nonlocal couplings, the linear approximation is barely acceptable. Of course, any of the above effects can be taken into account by modifying the Hamiltonian in Equation 5, but such generalizations are nontrivial and lie far beyond the scope of the present review.

A first-principles evaluation of the parameters of an effective electronic Hamiltonian is based on the fitting of single-particle and total energies to quantum chemistry calculations, which is a wellelaborated procedure for crystalline inorganic semiconductors (69) and conjugated polymers (70). For molecular solids in organic electronics, simplified approaches are used, except for very small molecules (71). A common scheme is the dimer approximation in which the three quantities,  $H_{ij}^{1p}$ ,  $H_{ii}^{1p}$ , and  $H_{ii}^{1p}$ , are estimated from first-principles calculations of the ij dimer in its "ground state" (no quasi-particle present) geometry using molecular orbitals or energies (see 20, and references therein). In addition, simplified approaches exist that require only self-consistent field calculations of monomers (72, 73). A poorly studied issue of the dimer approximation is the influence of other molecules on calculated transfer integrals, such as the solvent screening of exciton transfer at large intermolecular separations (20, 74). The dependence of the calculated transfer integrals on the basis set is inessential (75), whereas the dependence on the density functional is noticeable: Upon an increase of the orbital exchange in the DFT model, transfer integrals can increase by a factor of two (76). Among semiempirical approaches, ZINDO is the only known reliable method for calculating transfer integrals.

The evaluation of local vibronic couplings,  $g_{i\alpha}$ , is a straightforward procedure with the use of two geometries: the "ground state" and "excited state" (one quasi-particle present). Computations of nonlocal couplings as well as lattice phonons are more elaborate (54, 71, 77). The main challenge here for nonrigid molecules results from the intramolecular-lattice mode mixing, anharmonism, and nonlinear electron-phonon coupling. Another challenge is the strong dependence of vibronic couplings on a computational method including a basis set (20, 78).

A more severe problem may arise with the determination of quasi-particle sites in the Hamiltonian in Equation 5. For molecular systems in which each molecule hosts one adiabatic polaron state (meaning that all other states are separated by an energy gap), the sites are naturally assigned to individual molecules: HOMO for holes, LUMO for electrons, first singlet or triplet excitations  $(S_1 \text{ or } T_1)$  for excitons. For extended molecules such as polymers, this procedure may be nontrivial. If the polymer conjugation length is larger than the polaron size (42), then the polaron wave functions significantly overlap spatially, and intramolecular nondiagonal electron-phonon couplings appear. In the opposite case, the quasi-particle sites are ill-defined because of a strong coupling between an electronic wave function and molecular conformation. In this case, an anharmonic PES must be used (at least for dihedrals) (70). For these reasons, NAMD is currently the best tool for first-principles modeling of polymers (49, 58).

#### 4.2. Mean-Field Polaron Approximation

It is hardly possible to mention all existing approaches in solving the Holstein-Peierls and similar Hamiltonians (63, 79). Among those used in modeling organic semiconductors are the alreadymentioned surface-hopping technique (58, 80), direct numerical solution (56, 81), the truncation of boson excitations (34), projected modes (82), and a variational approach (83), as well as various approximations based on the generalized master equation (84). Pertinent to organic semiconductors, the most universal approach with respect to the model parameter space is the mean-field polaron (MFP) approximation (63, 85).

Here, we illustrate the idea of the MFP approach for the Holstein model. The general case in Equation 5 is conceptually the same but is technically more involved (71) (see the **Supplemental** Appendix). The starting point is to apply the polaron transformation (63) to the Holstein Hamiltonian:  $H \to e^{S}He^{-S}$ , where  $S = \sum_{i\alpha} g_{\alpha i}(b_{\alpha} - b_{\alpha}^{\dagger})n_{i}$  and  $n_{i} = c_{i}^{\dagger}c_{i}$ . This transformation formally eliminates the electron-phonon interaction term in Equation 5, yielding the polaron Hamiltonian,

$$\mathbf{H}^{\text{polaron}} = \sum_{i} (\varepsilon_i - \lambda_i) \mathbf{n}_i + \sum_{i \neq j} \left[ t_{ij} \prod_{\alpha} \mathbf{D}_{\alpha} (g_{i\alpha} - g_{j\alpha}) \right] \mathbf{c}_i^{\dagger} \mathbf{c}_j, \tag{6}$$

where

$$\lambda_i = \sum_{\alpha} S_{\alpha i} \hbar \omega_{\alpha} \tag{7}$$

is the polaron relaxation energy,  $S_{\alpha i}=g_{\alpha i}^2$  are Huang–Rhys factors, and  $D_{\alpha}(g)=e^{g(b_{\alpha}-b_{\alpha}^{\dagger})}$  is the displacement operator,  $D(g)\psi(\xi) = \psi(\xi + g)$ , whose matrix elements over harmonic oscillator eigenfunctions are Franck-Condon factors. Because these factors are smaller than unity, the transfer integrals are renormalized in the direction of band narrowing.

In the MFP approximation, the displacement operators are substituted by their effective (meanfield) values whenever is needed (it is important to take late averages). For the thermal average over the Boltzmann distribution, the MFP transfer integrals are given by

$$t_{ij}^{\text{polaron}} = t_{ij} \exp\left(-\sum_{\alpha} R_{\alpha ij} \coth\frac{\hbar\omega_{\alpha}}{2T}\right),$$
 (8)

where  $R_{\alpha ij} = (S_{\alpha i} + S_{\alpha j})/2$ , and *T* is a temperature (85).

There are two major problems with the MFP approach. First, as is common for mean-field theories, it is unclear how accurately electron-phonon correlations are treated. Second, in molecular  $\pi$ -conjugated systems, there are slow modes with strong electron-phonon couplings (librations and intermolecular motions), which do not equilibrate during the motion of electronic quasiparticles. A detailed discussion of this and other technical challenges is given in Section S2 of the Supplemental Appendix.

#### 4.3. Nature of Electronic States

Because of strong electron-phonon coupling (i.e., intermolecular electronic couplings and polaron relaxation energy are often of comparable magnitudes), charge and excitation carriers in organic semiconductors (quasi-electrons, holes, Frenkel excitons) are polarons, which involve inseparable electronic and molecular degrees of freedom. An adiabatic polaron gives a clear visual picture and straightforward computational protocol for identifying such states (42) (see Figure 2a). Despite the lack of a phase transition in the Holstein-Peierls model (79), the physical properties of the polaron are quite different in different regions of the parameter space. In the simplest possible case (one phonon mode per site and zero temperature), this space consists of two dimensionless

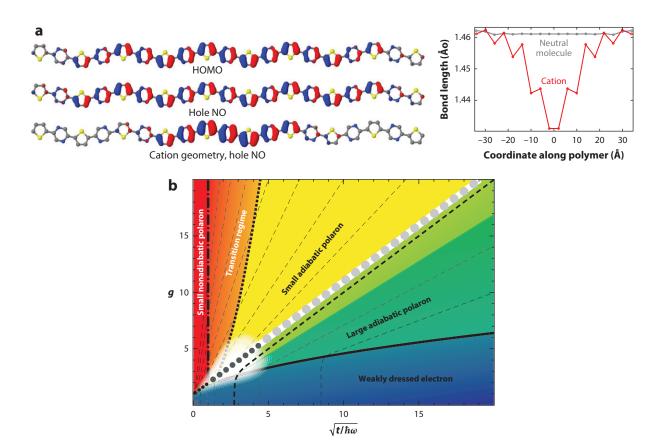


Figure 2 (a) Illustration of an adiabatic hole polaron. Its spatial localization is clearly visible in both the electronic wave function and deformed molecular structure. (b) Parameter space of the one-dimensional Holstein model. Notably, the vibrational modes of the R24 molecule on this diagram cover the entire range of parameter values. Panel b adapted with permission from Reference 150.

parameters: g and  $t/\hbar\omega$ . Even in this case, there are several contrasting regions in the parameter space (see **Figure 2***b*).

The region that is easiest to understand is that of fast vibrational modes,  $\hbar\omega \gg t$ , corresponding to C-C stretching modes in conjugated molecules. In this case, the polaron is a coherently evolving superposition of electronic and vibrational degrees of freedom, and the MFP approach correctly describes this state for all values of g and T. In particular, the transition from a band-like motion to the hopping regime is caused by the exponential bandwidth reduction and is described by the formula given in Equation 8. Because there is no phase transition in the Holstein-Peierls Hamiltonian itself, the polarons are localized by coupling to environment or static disorder, which is ever present in real systems, when the bandwidth becomes small enough. In the case of C-C stretching modes, the band-narrowing factor is nearly temperature independent (71) and is given by  $e^{-S}$ , where S is the total Huang-Rhys factor for these modes. That is why these modes never localize polarons in realistic  $\pi$ -conjugated systems ( $\hbar\omega\sim0.2$  eV,  $g\sim1$ ) below their thermal decomposition temperature.

At the opposite side of the diagram in **Figure 2***b* are slow modes,  $\hbar\omega \ll t$ . In materials with a charge carrier mobility that is not too small (t > 50 meV), lattice modes and librations usually

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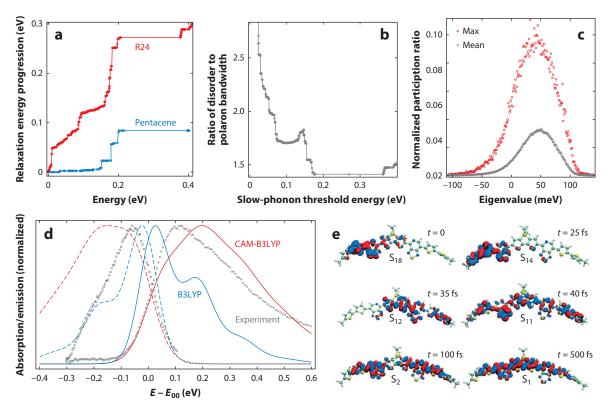


Figure 3

(a) Intramolecular relaxation energy progression of the hole polaron for the R24 and pentacene molecules. The small rigid pentacene molecule has fewer strongly coupled modes compared to the large and flexible R24 molecule, displaying a quasi-continuous distribution of coupling strength. (b) Ratio of disorder to polaron bandwidth for an R24 crystal. Independent of how modes are partitioned into fast and slow ones, the combined effect of static and dynamic disorder localizes excitons. (c) Localized excitons in an R24 crystal from the statistically sampled participation ratio (normalized to be 1 for a fully delocalized wave function; the supercell size is 20 nm or 5,000 molecules). (d) Absorption and emission spectra for the R24 molecule as calculated employing commonly used B3LYP and CAM-B3LYP functionals with a different amount of orbital exchange along with experimental data. (e) Nonradiative relaxation (internal conversion) from a high-energy absorption band to the lowest singlet excited state (S1) in the R24 molecule, which involves multiple electronic states with different spatial extents of their wave functions. Panel e adapted with permission from Reference 18.

satisfy this condition. As a zero-point approximation, such modes can be considered as quasistatic disorder for intermolecular charge and energy transfer. Contrary to fast modes dynamically bound to excitons and charge carriers, slow modes are decoupled from the latter and localize them efficiently.

Generally, all three classes of strong electron-phonon interactions mentioned in the beginning of this section are important: The individual contributions of lattice and vibrational modes all together can cover the entire parameter space in Figure 2b just for one system. A representative distribution of local vibronic couplings is given by the R24 molecule in Figure 3a: A quasicontinuous distribution is observed with both slow and fast, and strongly and weakly coupled, modes. This fact severely complicates an accurate theoretical description of the electronic properties of such materials. In many situations, the overall coupling is strong enough to fully localize excitons and charge carriers. Such localization is not necessarily limited to a single monomer.

We illustrate the above speculations with two case studies: pentacene and R24. In rigid conjugated molecules, such as pentacene, the only strongly coupled vibrations are modes corresponding to C-C stretching (see Figure 3a). These modes just dress electronic states, without changing them substantially. The influence of lattice modes (six per molecule) is more intricate because of the low frequency, of the order of 10 meV for optical phonons (71, 77), and several complicating factors, such as mode mixing, anharmonism, and nonlinear coupling. For acenes at low-enough temperatures, of the order of 100 K, both first-principles (71, 86, 87) and experimental (32) investigations show a band-like electronic structure with a band shape very close to that without phonons (87), in agreement with MFP theory. Also in agreement with MFP theory is the temperature dependence of the band narrowing (88, 89). However, we are still lacking a rigorous experimental validation of first-principles calculations of electron-phonon effects. At room temperature, charge carriers may be dynamically localized in some acenes (71, 90).

In soft conjugated molecules, such as R24, there are many low-energy, strongly coupled intramolecular modes (see Figure 3a). Methods for an accurate solution of the Holstein Hamiltonian with such a broad distribution of  $\omega_{\alpha}$  and  $S_{\alpha}$  are still lacking. Nevertheless, there is a simple, yet robust approach: We can divide all modes into fast and slow ones, consider the former as thermally averaged in the MFP method, and consider the latter as static disorder drawn from a thermal distribution. In particular, for excitons in an R24 crystal at 300 K, the disorder created by the frozen slow modes is always larger than the exciton bandwidth narrowed by the fast modes (Figure 3b), so that excitons in this system are localized (the result is independent of the fast/slow mode threshold). Figure 3c shows a typical distribution of the participation ratios: All states are localized; in particular, the thermally relaxed Frenkel excitons are localized on one

To summarize, a very approximate picture of electronic states in organic semiconductors emerges as follows: These states are polarons comprising C-C stretching modes, moving in a quasi-static disordered landscape created by thermal fluctuations of librational and lattice modes. A more accurate description requires an accurate modeling of electron-phonon correlations in the white region of the diagram in **Figure 2**b.

#### 4.4. Ultraviolet-Visible and Ultraviolet Photoelectron Spectroscopies

The easiest direct test of an electron-phonon Hamiltonian is how accurately it can reproduce the shape of vibrationally resolved UV-Vis and ultraviolet photoelectron spectra (see also 91 for vibronic effects in the infrared spectra). In practice, quite often it is the only available efficient validation tool for theory. In particular, spectra of an ensemble of isolated molecules in vacuum or solution can be used to test local couplings obtained from first-principles calculations or to derive the parameters of the Holstein Hamiltonian from the experimentally measured spectra (for UV-Vis, see 20, 92-94; for ultraviolet photoelectron spectroscopy, see 95, 96). Importantly, the absorption and emission spectra of an isolated dipole-allowed electronic transition can be derived exactly within the Holstein Hamiltonian [independent boson or displaced oscillator model (63)] through the transition spectral density:

$$\sigma(E) = \sum_{nn'} \rho_n \langle n | n' \rangle \delta(E - E_{nn'}) \equiv \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} \hat{\sigma}(\tau) e^{i\tau E/\hbar} d\tau, \qquad (9)$$

where  $\rho_n$  is the population of the initial vibrational state n, the prime denotes the final state of the transition,  $\langle n|n'\rangle$  is the Franck-Condon factor,  $E_{nn'}$  is the electronic plus vibrational transition energy, and  $\hat{\sigma}(\tau)$  is the phonon correlator in the time domain,  $\hat{\sigma}(\tau) = \langle e^{i\tau H_{\text{vib}}/\hbar} e^{-i\tau H_{\text{vib}}'/\hbar} \rangle$ . For

the displaced oscillator model,

$$\hat{\sigma}(\tau) = \exp\left[\sum_{\alpha} S_{\alpha} \left(\coth \frac{\hbar \omega_{\alpha}}{2T} \left(\cos \omega_{\alpha} \tau - 1\right) - i \sin \omega_{\alpha} \tau\right) - i \omega_{00} \tau\right] \hat{\delta}(\tau), \tag{10}$$

where  $\omega_{00}$  is the frequency of the 0-0 transition, and  $\hat{\delta}$  is the Fourier transform of the lineshape function. The choice of the latter should not influence the result, especially for such large molecules as R24, whose low-frequency quasi-continuum of vibrational modes serves as a thermodynamic bath. Figure 3*d* shows simulated UV-Vis spectra for the R24 molecule in a solution. It is clearly seen that vibronic couplings are highly sensitive to the choice of the density functional. Vibronic mixing (the nontrivial Duschinsky matrix) is usually neglected for conjugated molecules (20, 92–94). However, there exists a generalization of Equation 10 for this case (97). Anharmonism may be important to explain the asymmetry between absorption and emission spectra (94).

Two important parameters can usually be robustly estimated from the experimental spectra of molecules in solution: the intramolecular polaron relaxation energy,  $\lambda$ , and vibrational bandwidth, W:

$$2\lambda = \bar{E}^{\text{absorption}} - \bar{E}^{\text{emission}} = 2\sum_{\alpha} S_{\alpha} \hbar \omega_{\alpha}, \tag{11}$$

$$W^{2} = \int \sigma(E)(E - \bar{E})^{2} dE = \sum_{\alpha} S_{\alpha} \hbar^{2} \omega_{\alpha}^{2} \coth \frac{\hbar \omega_{\alpha}}{2T},$$
 (12)

where  $\bar{E} = \int \sigma(E)E \, dE$ .

For multimers and solids, the calculation of vibrationally resolved spectra is complicated (relative to the independent boson model) by the presence of closely spaced multiple excitations (see 17, figure 1) coupled through electron-phonon interaction. The most illustrative example is a symmetric dimer in an H- or J-aggregate configuration: One of the two excited states has a zero transition dipole in the equilibrium geometry of the dimer but quickly gains the dipole moment upon geometry fluctuations (the intermolecular Herzberg–Teller effect). Spectra in such cases can be evaluated by considering only strongly coupled quantum modes and only limited population of each of these modes (34). In particular, for dimers, the experimentally observed  $S_1/S_2$  splitting follows the polaron renormalized intermolecular couplings given by Equation 8 (98). NAMD-based calculations of spectra can reproduce most of the spectral shape features, including vibrational broadening, Stokes shift, asymmetry between absorption and emission spectra, and Davydov splitting, but typically cannot address Franck–Condon progressions due to strongly coupled quantum modes.

#### 4.5. Exciton and Charge Carrier Transport

Studies of energy and electron transfer in molecular systems have a long history (99). In most materials used in OPVs, the exciton and charge carrier transport proceeds through small polaron hopping. Although quantum correlations or coherences usually have minor effects in this process, there are strong classical correlations due to slow modes. NAMD can account for the latter, barring possible systematic errors in calculations of hopping probabilities. For the Holstein model in the MFP approximation, the hopping rates can be easily calculated using the perturbation theory over the intermolecular electronic couplings t (Fermi's golden rule):

$$w = \frac{2\pi}{\hbar} |t|^2 K,\tag{13}$$

where *K* is the so-called spectral overlap:

$$K = \int \sigma_{\rm D}(-E)\sigma_{\rm A}(E) \, dE \equiv \frac{1}{2\pi \hbar} \int_{-\infty}^{\infty} \hat{\sigma}_{\rm D}(\tau)\hat{\sigma}_{\rm A}(\tau) \, d\tau, \tag{14}$$

where D/A represents the donor/acceptor. A simplified approach to account for nonlocal couplings is to replace  $|t^2|$  by its average value (100). However, slow modes do not have time to equilibrate between hopping events (see Equation S7 in the Supplemental Appendix). The transport coefficients can then be calculated either by kinetic Monte Carlo simulations or by direct formulas. In the simplest case of a primitive lattice crystal, the diffusion tensor is given by  $\mathbf{D} = (1/2) \sum_{i} w_{0j} \mathbf{r}_{0j} \otimes \mathbf{r}_{0j}$ . The zero-field mobility can be obtained from  $\mathbf{D}$  by using the Einstein relationship  $\mu T = eD$ . For the general case, readers are referred to Reference 20.

Band-like transport is expected for pure crystals at low-enough temperatures. The MFP approximation can describe both hopping and band-like motion on the same footing (85). Here polaron and phonon Hamiltonians are separated. As a result, the formula for zero-field mobility is the same as for hopping but with a modified spectral overlap:

$$K = \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} \hat{\sigma}_{D}(\tau) \hat{\sigma}_{A}(\tau) \hat{\sigma}_{polaron}(\tau) d\tau, \qquad (15)$$

where the polaron correlator in the case of a primitive lattice is given by (85)

$$\hat{\sigma}_{\text{polaron}}(\tau) = \frac{1}{N_{\text{cells}}} \sum_{k,k'} \rho(k) \exp\left[i \frac{\varepsilon(k) - \varepsilon(k')}{\hbar} \tau\right]. \tag{16}$$

Here  $\varepsilon(k) = \sum_j t_{0j}^{\text{polaron}} e^{-i \mathbf{k} \mathbf{r}_{0j}}$  is polaron dispersion, and  $\rho(k)$  is the normalized thermal population (usually Boltzmann distribution). Both  $\hat{\sigma}_{polaron}(\tau)$  and  $\hat{\sigma}_{phonon}(\tau) = \hat{\sigma}_{D}(\tau)\hat{\sigma}_{A}(\tau)$  at small  $\tau$  decay as  $\exp(-W^2\tau^2/2\hbar^2)$ , where W is the corresponding bandwidth. The phonon bandwidth in the Holstein model is given by Equation 12. The polaron bandwidth is  $W_{\text{polaron}}^2 = \langle \Delta \varepsilon \rangle^2 + \langle \Delta \varepsilon \rangle_T^2$ , where the brackets with T represent the bandwidth of thermally populated states [weighted with  $\rho(k)$ ]. Therefore, if  $W_{\text{polaron}} \gg W_{\text{phonon}}$ , then we have band-like transport. In the opposite case, we have small polaron hopping. Inhomogeneous broadening, scattering by static disorder, can be incorporated phenomenologically through the introduction of an empirical lineshape function  $\hat{\delta}(\tau)$  into the integral in Equation 15 (e.g., the Gaussian function in 85).

An important conclusion of the MFP formula discussed above for zero-field mobility is that hopping models can be used far beyond their applicability (beyond the hopping regime) provided that the spectral overlap is properly rescaled to account for particle delocalization. In fact, on length scales larger than the scattering length, both the hopping and band models have the same diffusive limit (101). Moreover, in the case of narrow bands,  $\hbar J$  can be interpreted as the polaron scattering time by comparing a mobility derived within the singe scattering time approximation (102) with that obtained within the MFP approach.

#### 5. ORGANIC SOLAR CELLS AND THEIR MODELING

As mentioned in Section 1, this review does not aim to provide comprehensive coverage of OPVs. Rather, it complements a set of largely nonoverlapping excellent reviews (2, 103-105) with an emphasis on recent progress.

An organic solar cell is a complex system with structural inhomogeneity on scales from tenths to hundreds of nanometers, involving physical processes on scales from femtoseconds to at least nanoseconds (see Figure 1c). A complete description of this system requires a multiscale modeling approach. A typical implementation of this approach in OPVs is as follows: On the scale of a few molecules, DFT is the best tool we currently have. To move to a larger scale, one can use a model

Hamiltonian, whose parameters are determined from DFT calculations. Next, for modeling of electronic processes in complex mesoscale structures, a master equation is appropriate, whose rates are determined through the model Hamiltonian. Usually, at this scale, the only way to obtain the geometry is via classical MD. Finally, at scales at which the microscopic details are completely washed out, continuum models can be applied.

There are a lot of studies investigating organic semiconductors and solar cells on each of these scales separately. The main challenge in first-principles multiscale modeling is to seamlessly combine different approaches on various scales (i.e., to perform coarse graining). Because a multitude of uncontrolled approximations are present at each scale, it is not easy to control the final accuracy of the calculated macroscopic quantities of interest. Presently, we have reliable schemes for two-scale modeling that allow for an evaluation of the exciton diffusion length and charge carrier mobility in bulk single-phase, chemically pure organic semiconductors. The next milestone, three-scale modeling, requires "molecule as a site" first-principles coarse graining. Such a routine is well established for large-scale MD and other simulations of structural properties (106). However, attempts to incorporate electronic processes have only recently been reported (107).

At the device scale, the methodological difference between organic and inorganic materials vanishes: The description is based on a diffusion equation for exciton or charge carrier transport and a Poisson equation for electrostatics. For this reason, we omit a discussion of this scale modeling and refer the readers to other works/reviews (3, 4, 103, 108).

#### 5.1. Design of Organic Photovoltaic Materials

So far, the most successful and productive use of first-principles modeling in OPVs lies in the evaluation of structural and electronic properties of photovoltaic materials. The practical need requires not only the characterization of existing materials, but also the prediction of new ones. An illustrative example is the Harvard Clean Energy Project Database, containing two million candidate compounds for OPVs with calculated HOMO/LUMO energies (5). There are two basic approaches explored here: the analysis of intramolecular properties relying on the existence of structure-property relationships between single-molecule and bulk material properties and the direct modeling of the latter (e.g., the exciton diffusion length or charge carrier mobility).

The simplest, and very efficient, implementation of the first approach is to use HOMO/LUMO energies and the optical band gap of a molecular donor for the optimization of  $\eta_{abs}(E_{\sigma})$  and  $V_{oc}$  of the device (1, 5). There are hundreds of compounds already synthesized with these two parameters nearly fully optimized. Thereby, the main efforts should now be put into improving  $J_{sc}$  and the fill factor, which strongly depends on the intermolecular and mesoscopic structure of the material. This calls for an experiment-based investigation of structure-property relationships between the chemical structure and macroscopic characteristics, with an emphasis on how small changes in the chemical composition can drive large changes in the physical properties of bulk materials (109, 110). Evidently, the molecular shape is an important factor influencing the intermolecular packing. Theoretical studies may help to uncover possible mechanisms of controlling the shape of flexible molecules by tuning the energetics of dihedral degrees of freedom (111).

The main challenge of bulk material modeling is the prediction of intermolecular packing. Even for molecular crystals, the intrinsic disorder, polymorphism, and dependence on the fabrication process severely complicate an accurate prediction of realistic structures (112). Modeling amorphous molecular and polymer solids is even more challenging. An instructive example comes from inorganic semiconductors: Amorphous silicon models correctly reproducing the structure factor have existed for decades, yet over all this time they have been improving in order to explain a full set of experimental data (113). A stumbling block in the organic semiconductor realm is the strong dependence of charge carrier transfer rates on the intermolecular geometry. For these reasons, it is important to compare theoretical predictions with experimentally observed structures whenever possible (110, 114).

If the underlying structure is known, an evaluation of the exciton diffusion length and charge carrier mobility becomes a relatively routine procedure within the Holstein-Peierls Hamiltonian framework. As a result, the mechanisms and microscopic details of charge transport in organic semiconductors have been thoroughly investigated using first-principles modeling (20, 31, 64, 65, 102, 115-117). To discuss charge carrier (and exciton) mobility in molecular systems, we find it convenient to use an exact expression for the zero-field mobility with nearest-neighbor hopping on a primitive lattice (20):

$$\mu_0 = \frac{2\pi e}{\hbar} f a^2 t^2 \frac{K(T)}{T} \approx f \times (a[\mathring{A}] \cdot t)^2 \times \frac{K(T)}{T} \frac{\text{cm}^2}{\text{V} \cdot \text{s}},$$
(17)

where f is the lattice form factor; a and t are the nearest-neighbor distance and electronic coupling, respectively; K is the spectral overlap; and T is the temperature. First-principles calculations allow us to evaluate all these parameters to understand the main factors influencing charge carrier mobility. In particular, the lattice form factor varies from 1 for  $\pi$ -stacks (e.g., R24), 3/2 for herringbone lattices (e.g., pentacene), and 2 for close packings (e.g., fullerene) to somewhat larger values for a long-range transfer (e.g., ~7 for excitons in R24). The hopping distance, a, can be as small as van der Waals distances (e.g., 3.5 Å for nonshifted  $\pi$ -stacks and 5 Å for close-packed herringbone lattices). Slip  $\pi$ -stacks and large molecules allow for larger values [e.g., 10 Å for a polymorph of R24 (110) and fullerene]. The polaron wave-function size sets the upper limit for a. Intermolecular couplings of the order of 100 meV are routinely reported for good organic semiconductors. The last factor, K(T)/T, is responsible for the temperature dependence and is the only factor evading an accurate description and thorough understanding. At room temperature, the hopping approximation is valid for most organic semiconductors, so Equation S4 in the Supplemental Appendix gives a rough upper limit for K. Assuming  $W \sim$ 0.2 eV and considering the values discussed above for the rest of the parameters, we end up with the charge carrier mobilities in the range 1–100 cm<sup>2</sup>/V/s for good organic semiconductors, which correspond to measured values. In other words, based on the current knowledge of conjugated molecules, there are no theoretical premises for small-molecule crystals to have mobilities much higher than 100 cm<sup>2</sup>/V/s.

For amorphous solids, K and t are effectively renormalized by on-site and off-diagonal disorder, respectively. As a result, macroscopic charge carrier mobilities in disordered, impure, and polycrystalline semiconductors are usually orders of magnitude smaller than those in the corresponding chemically pure single crystals, again in full accordance with observations. The situation with polymers is different: The intrinsic intrachain charge carrier mobility exceeds the observed bulk mobility by many orders of magnitude (102, 118). Therefore, with technological advances in obtaining better morphology of bulk polymer semiconductors, we may expect mobilities larger than those in small-molecule crystals (119). First-principles modeling of noncrystalline and impure organic semiconductors is still lacking robust quantitative accuracy, and empirical hopping models (120-122) remain very useful for the description of phenomena intrinsic to disordered systems, such as the Poole-Frenkel law for the field dependence of mobility (123).

A similar analysis can be performed for the exciton diffusion length. The calculated length exceeds 100 nm for perfect crystals (20, 124) and is reduced for disordered systems mainly by on-site energy variation (125). Experiments typically give substantially lower values (126, 127), implying that exciton diffusion is trap limited. This fact is consistent with the observation that the concentration of exciton quenchers (for amorphous solids) is nearly universal, approximately one trap per (20 nm)<sup>3</sup> (128). Consequently, this value roughly sets the limit for the maximum domain size in bulk heterojunction solar cells.

#### 5.2. Organic-Organic Donor-Acceptor Interfaces

Electronic dynamics at heterojunction interfaces are critical for OPVs. Experimental and theoretical investigations of bulk heterojunctions are very limited because of the multiscale complexity of a real three-dimensional interface. Much simpler, although microscopically equivalent, is a planar donor-acceptor interface, allowing for full control and thorough analysis on the mesoscale at least. From a theoretical standpoint, such a one-dimensional inhomogeneity allows for a simplified formulation of atomistic (129) and continuum (108) models. In first-principles photovoltaic simulations, one needs to calculate the energies of CT states on an interface and to estimate the rates of two processes: CT state formation from an exciton that arrived at the interface by diffusion (exciton dissociation) and complete spatial separation of charges starting from that CT state (charge separation). These rates are to be compared with competing processes resulting in radiative or nonradiative electron-hole recombination (including triplet states). The generation of CT states directly through light absorption or by ultrafast relaxation from an excited state is possible (2) but not particularly efficient (130).

The main challenge for the atomistic modeling of interfaces is the same as for bulk materials: generating representative geometries (129). A macroscopically planar interface between two molecular crystals is not just a superposition of two crystals: Phase intermixing (131, 132) and molecular interdiffusion (133) are observed in MD simulations. In addition, electronic structure is sensitive to molecular orientation and thermal fluctuations. Therefore, calculated properties must be properly sampled statistically (129, 134, 135). The level of theory used in interface modeling varies from ab initio wave-function methods (136), NAMD (21, 137), and quantum dynamics (138) for model geometries to classical MD and simplified electronic Hamiltonians for statistically sampled simulations (134, 135, 139). Transfer integrals for exciton dissociation are usually evaluated as LUMO transfer integrals because all the LUMOs, anion natural orbitals, electron natural transition orbitals, and natural orbitals (singlet or triplet) have essentially the same spatial form of the wave function (see **Supplemental Figure 4**).

The results of calculations show that electronic properties for molecules at the interface differ from those in the bulk material because of geometry modulations by incommensurate lattices and the local electrostatic field by a built-in interfacial dipole (129, 140–142). The latter may induce bending of electronic levels of the order of tenths of an electron volt, extending to several nanometers in depth (141). The energy of CT states,  $E_{\rm CT}$ , with respect to the bulk exciton,  $E_{\rm exc} \lesssim E_{\rm g}$  (here the difference results from exciton relaxation), and the charge separated state,  $E_{\rm CS} \gtrsim eV_{\rm oc}$  (here the difference results from generation-recombination balance), is crucial for photovoltaic performance. A high fill factor necessitates  $E_{\rm CT} \gtrsim E_{\rm CS}$  to separate charges without an external field (130). In contrast to some inorganic interfaces in which  $E_{\rm CT}$  is expected to be relevant to the HOMO/LUMO of the donor/acceptor, such a simple picture is hardly applicable to the OPV case due to strong Coulomb interactions, built-in electric fields, and other effects of the local environment. A high  $J_{\rm sc}$  (low recombination) requires  $E_{\rm exc} - E_{\rm CT} \gg T$  provided that the CT state itself does not have fast recombination channels. At the same time,  $E_{\rm exc} - E_{\rm CT}$  must be low enough to have a high  $eV_{\rm oc}/E_{\rm g}$  ratio. The best trade-off value of  $E_{\rm exc} - E_{\rm CT}$  has been found empirically to be a few tenths of an electron volt (1, 143). This value is similar to the

reorganization energy for an exciton-to-CT reaction, so the exciton dissociation proceeds resonantly in the Marcus formula (i.e., at the maximum possible rate) (see Supplemental Figure 1). The average electron transfer integrals are moderate (~10 meV) (134), but the resonance and multiple channels (especially into PCBM) make the exciton dissociation a very fast process (<1 ps) (21). In contrast, charge separation is orders of magnitude slower (144). Three strategies are known to produce the required countergradient to overcome the strong long-range Coulomb attraction between an electron and a hole in low-dielectric constant materials and have  $E_{\rm CT} \gtrsim E_{\rm CS}$  (140, 145, 146): an interfacial dipole, phase intermixing (147), and an entropic factor (148). Additionally, the introduction of a spacer layer between the donor and acceptor materials can significantly suppress recombination (149).

#### 6. PERSPECTIVE

OPV technologies have come a long way due to groundbreaking advances in materials synthesis, experimental characterization, and theoretical modeling. Our progress in simulating organic semiconducting materials and electronic devices has reached the level of quantitative accuracy for ordered systems (single crystals, crystal-crystal interfaces). Similarly, first-principles modeling has become at least qualitative for disordered materials (thin films, bulk heterojunctions), and a detailed empirical description has emerged for device architectures (solar cells, light emitters). Table 1 demonstrates that, compared to traditional photovoltaic technologies, further improvements in OPV most likely can be achieved with quantum efficiency (Figure 1b). This requires a fundamental understanding of various physical mechanisms leading to carrier losses and would particularly benefit from advanced theoretical simulations. Driven by this challenge, further progress is anticipated in extending multiscale modeling to larger scales (e.g., coarse graining to statistical hopping models) while improving the predictability of both first-principles and empirical approaches.

Advances in the underlying theoretical methodologies and the development of more efficient and flexible quantum-chemical and MD codes parallel to progress in computer technologies are important for the overall success of predictive materials design. In particular, more accurate density functional models for electronic structure calculations as well as force fields for structure and morphology prediction are being developed worldwide. Faster and more accurate NAMD approaches (e.g., correctly describing tunneling processes) are emerging. Nevertheless, accurate solvers of the Holstein-Peierls Hamiltonian for  $\pi$ -conjugated molecular systems have yet to be developed. Simulated amorphous and bulk heterojunction morphologies have yet to be thoroughly tested to determine if they are statistically equivalent to real materials. Many other technical challenges are discussed above.

On the way to the acceptance of OPV technologies, despite multiple technological and fundamental limitations, numerous electronic properties of organic semiconductors and devices remain far from their theoretical maxima, such as solar cell PCE, the conductivity of polymers, and the exciton diffusion length in crystals. Even though many structure-property relationships and design rules have already been established for OPV materials, in our modeling we have yet to obtain answers to a practically important question: What is the maximum PCE that can be achieved for a device based on a given molecule(s)?

#### DISCLOSURE STATEMENT

The authors are not aware of any affiliations, memberships, funding, or financial holdings that might be perceived as affecting the objectivity of this review.

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## Supplemental Appendix for

# Theoretical Description of Structural and Electronic Properties of Organic Photovoltaic Materials

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S1 Extended list of references used in the review			
S2 Technical challenges: quantum modes, slow modes, electron-phonon coherences  S2.1 Quantum modes	S3		
S3 Additional formulas S3.1 Mean field polaron approximation for nonlocal couplings			
S4 Additional figures	<b>S</b> 6		

## S1 Extended list of references used in the review

- Organic electronics (1; 2; 3).
- Organic photovoltaics (4; 5; 6; 7; 8; 9; 10; 11; 12; 13; 14; 15; 16), commercial devices (17; 18), stability (19).
- General photovoltaics (20; 21; 22), PCE limit (23; 24; 25; 21; 26), improving light collection (27; 28), regularly updated data for PCE records (29), AM1.5G solar spectrum (30) in W/m<sup>2</sup>/nm.
- Energy and electron transfer in molecular systems (31; 32; 33; 34; 35; 36; 37; 38).
- Surface hopping (39; 40; 41; 42; 43; 44; 45).
- Organic semiconductors modeling: HOMO/LUMO energies and optical bandgap for solar cells (46; 47; 48; 49), charge transport (50; 51; 52; 53; 54; 55; 56; 57; 58; 59; 60; 61; 62), bulk-heterojunction morphology (63: 64).
- Experiment vs. theory: UV-Vis spectra (65: 66: 67: 62), UPS spectra (68: 69: 70: 71: 72).
- Experimental investigations of organic photovoltaic materials: structure-property relationships (73; 74; 75; 76; 77; 78; 79), rational design (80; 81; 82; 83), processing additives (81; 84; 85; 86).
- Structure and polymorphism of molecular crystals (87; 88; 89; 90; 91).

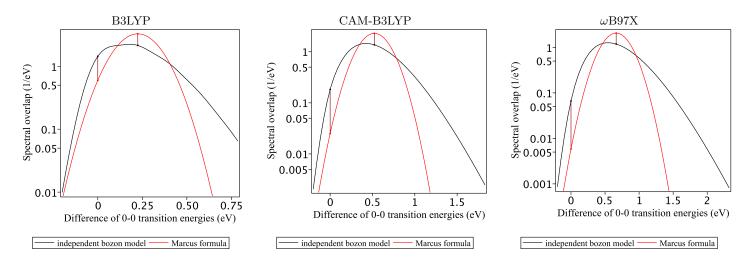


Figure S1: Exciton spectral overlap for R24 calculated by classical and quantum formulas.

# S2 Technical challenges: quantum modes, slow modes, electron-phonon coherences

## S2.1 Quantum modes

To understand how the classical treatment of quantum modes influences the calculated exciton/charge transport parameters we start with considering the example of R24 molecule, whose distribution of intramolecular vibronic couplings is shown in Fig. 3a. In the corresponding molecular crystal the transport of excitons and charge carriers can be correctly described by the small polaron hopping. In this case the difference between classical and quantum treatment of vibrations appears in the spectral overlap. The classical description is provided by Marcus formula, the quantum formula is given by Eq. (14). The results of comparison is given in Fig. S1, where it can be clearly seen that neglecting quantum effects may result in orders of magnitude errors in calculated transport properties, especially at large energy level offsets (56; 92; 93).

In a general case the above results can be rationalized in the two cases: small energy offset and maximum spectral overlap (both are marked in Fig. S1). In the first case the spectral overlap can approximated as follows (62):

$$J \approx \frac{1}{\sqrt{4\pi T \lambda'}} \exp\left[-\frac{(\lambda' + \Delta E_{0-0})^2}{4T\lambda'} - S''\right], \text{ for } |\Delta E_{0-0}| \lesssim \lambda', \tag{S1}$$

where  $\lambda'$  is the molecular reorganization energy due to classical modes and S'' is the total Huang–Rhys factor due to quantum modes, they are given by

$$\lambda' = \sum_{\alpha} S_{\alpha} \hbar \omega_{\alpha} \frac{\varepsilon_{\alpha}}{\sinh \varepsilon_{\alpha}}, \quad S'' = \sum_{\alpha} S_{\alpha} \tanh \frac{\varepsilon_{\alpha}}{2} - \frac{\lambda'}{4T}, \quad \text{where } \varepsilon_{\alpha} = \frac{\hbar \omega_{\alpha}}{2T}.$$
 (S2)

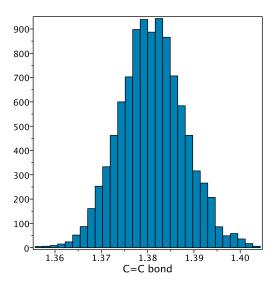
Now it is easy to show that the purely classical Marcus formula underestimates transfer rates exponentially:

$$\frac{\operatorname{rate}(\operatorname{exact})}{\operatorname{rate}(\operatorname{Marcus})}\bigg|_{\Delta E_{0-0}=0} = \exp\bigg[\sum_{\alpha} S_{\alpha}\left(\frac{\varepsilon_{\alpha}}{2} - \tanh\frac{\varepsilon_{\alpha}}{2}\right)\bigg] \approx \exp\bigg[S''\left(\frac{\hbar\omega''}{4T} - 1\right)\bigg],\tag{S3}$$

that gives a factor of 3 for  $\hbar\omega'' = 0.2\,\mathrm{eV}$  and S'' = 1. In the classical description of a quantum mode the transition is overbarrier with the factor  $\mathrm{e}^{-\lambda''/4T}$  instead of  $\mathrm{e}^{-S''}$  due to quantum tunneling. The formula (S1) shows that the Marcus formula still may be used if corrected for quantum modes.

In the region of maximum spectral overlap the two overlapping spectra can be approximated by the gaussians. For two identical gaussians their overlap is given by

$$J \approx \frac{1}{\sqrt{4\pi W^2}},\tag{S4}$$



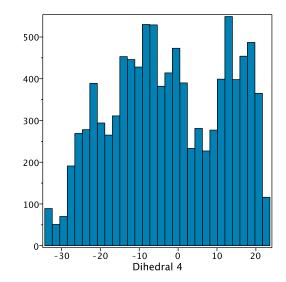


Figure S2: Shown are 1 ps MD histogram for C-C stretching mode (fast mode) and 10 ps MD histogram for dihedral across a single  $\pi$ -conjugated bond in R24 molecule (slow mode).

where W is given by Eq. (12), yielding

$$\frac{\text{rate(exact)}}{\text{rate(Marcus)}}\bigg|_{\Delta E_{0-0} = -\lambda} \approx \sqrt{\frac{\sum_{\alpha} \lambda_{\alpha}}{\sum_{\alpha} \lambda_{\alpha} \operatorname{coth} \varepsilon_{\alpha}}} \sim \frac{1}{\sqrt{1 + \frac{\lambda''}{\lambda} \left(\frac{\hbar \omega''}{2T} - 1\right)}}.$$
 (S5)

Therefore in this case the Marcus formula overestimate transfer rates but not as dramatically as for the zero offset case. Importantly, because of the normalization condition for a spectral overlap,  $\int J(\Delta E_{0-0}) d\Delta E_{0-0} = 1$ , the Marcus formula works well in the wide region around the maximum transition rate (57).

## S2.2 Slow modes

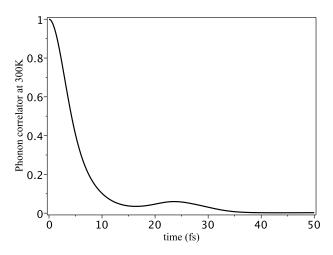
See also Refs. (94; 95; 96; 97). Modes whose vibrational relaxation time (98) is smaller than the timescale for dynamic electronic processes of interest (quasiparticle hopping time) interact with the electronic subsystem in a mean field fashion, that can be accurately described in MFP approach. At the very end are quantum modes evolving coherently with the quasiparticles and rarely changing their quantum state upon energy or electron transfer. In contrast, slow modes have no time to equilibrate between sequential electron transfers, see Fig. S2, resulting in strong statistical correlations between the latter. At the very end are nearly "frozen" classical modes creating an effective static disorder. This disorder allows for a mean field description, the so called effective medium approximation (99; 100; 101), which however is very different from a thermally averaged MFP. The true challenge is to describe all the modes in a unified approach. Estimation of the vibrational relaxation time is also nontrivial. The primary thermalization mechanism is anharmonism and mode coupling (98; 102; 103) as well as other many-body interactions (104) and coupling to the environment.

Quantitative estimates of the effect can be made for classic modes. In fact, at not too low temperatures all slow modes are classical. Let consider two identical molecules with one mode per each molecule. For the displaced oscillator in the ground state the phonon correlator is given by

$$\hat{\sigma}_1(t) = \left\langle e^{i\omega t \xi_0(\xi_1 - \xi_0/2) - i\omega_{00}t} \right\rangle, \tag{S6}$$

where  $\xi_0$  is the displaced minimum,  $\xi_0^2 = 2S$ , and the averaging procedure will be discussed below. In the excited/charged state the coordinate is shifted by  $\xi_0$  so that the total correlator is

$$\hat{\sigma}(t) = \hat{\sigma}_1(t)\hat{\sigma}_2(t) = \left\langle e^{i\omega t\xi_0(\xi_1 - \xi_2)} \right\rangle. \tag{S7}$$



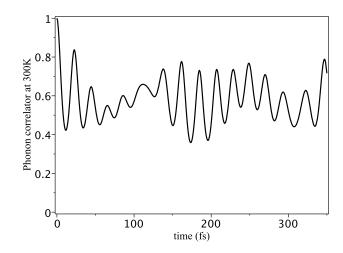


Figure S3: Intramolecular phonon correlator at 300 K for R24 molecule (left panel) and pentacene (right panel).

Here  $\hbar\omega\xi_0(\xi_1-\xi_2)$  is the energy misfit between the two configurations. In the equilibrium the first coordinate is distributed according to

$$\rho_1(\xi_1) = \frac{1}{\sqrt{\pi a^2}} e^{-\xi_1^2/a^2}, \text{ where } a^2 = \frac{2T}{\hbar \omega},$$
(S8)

whereas the distribution of the second coordinate is just shifted by  $\xi_0$ . If we average (S7) using these equilibrium distributions we obtain the usual result: the Stokes shift by  $\lambda = 2S\hbar\omega$  and the spectral broadening by  $2\lambda T$ . In the limiting case when the donor has no time to relax and the distributions of  $\xi_1$  and  $\xi_2$  are the same, the spectral broadening remains the same whereas the Stokes shift is absent.

### S2.3 Short-time electron-phonon coherences

Short-time electron-phonon coherences appears as population oscillations in energy or electron transfer frequently observed in pump-probe experiments (105). These oscillations can be described only by high-level solvers of the Holstein Hamiltonian, which actually is a strongly correlated electron-phonon system. It is unclear how these correlations may impact the applicability of the rates calculated by the small-polaron hopping formula. However for large molecules with quasicontinuous distribution of electron-phonon couplings spread across hundreds of vibrational modes there should be no population oscillations at all due to ultrafast decay of phonon correlator. Such molecules are typical for organic photovoltaics, e.g. for R24 molecule, whose mode distribution is given in Fig. 3a, the phonon correlator indeed decays in few femtoseconds, see Fig. S3. This is to be contrasted with small symmetric molecules with few dominant strongly coupled modes, like pentacene whose phonon correlator shows long-time oscillations. In practice this means that for large molecules exciton or charge carrier can hop between molecules with tens of femtoseconds inverse rate and it is still can be incoherent hopping (62).

## S3 Additional formulas

## S3.1 Mean field polaron approximation for nonlocal couplings

If nonlocal couplings are present in Eq. (5), the formulas (7) and (8) remain the same but with  $S_{\alpha ij} = \sum_k g_{\alpha ik} g_{\alpha kj}$  (note that  $\lambda$  acquires two indexes) and  $2R_{\alpha ij} = |g_{\alpha i} - g_{\alpha j}|^2 + \sum_{k \neq i,j} (g_{\alpha ik}^2 + g_{\alpha jk}^2)$  (106). Also, a phonon-mediated polaron-polaron interaction arises, which may be important for superconductivity, but within the framework of effective one-particle Hamiltonian entering Eq. (5) that interaction just renormalizes  $\mathsf{H}^{1p}$  (106).

## S3.2 Relation between transition spectral density and UV-Vis spectra

$$I_{\text{abs}}(E) = \frac{4\pi^2 E}{3\hbar c} |d|^2 \sigma^{\text{ground}}(E), \qquad I_{\text{emi}}(E) = \frac{4E^3}{3\hbar^4 c^3} |d|^2 \sigma^{\text{excited}}(-E), \tag{S9}$$

where d is the electronic transition dipole assumed to be constant during the transition, E is the photon energy, and  $\sigma(E)$  is the transition spectral density. The normalization for I(E) is as follows:  $\int I_{\text{emi}}(E) dE$  gives the number of emitted photons per second (the inverse of the radiative lifetime); for a given spectral density of photon flux,  $F = \frac{dN}{dS dt dE}$ , the integral  $\int I_{\text{abs}}(E)F(E) dE$  gives the number of absorbed photons per second.

## S4 Additional figures

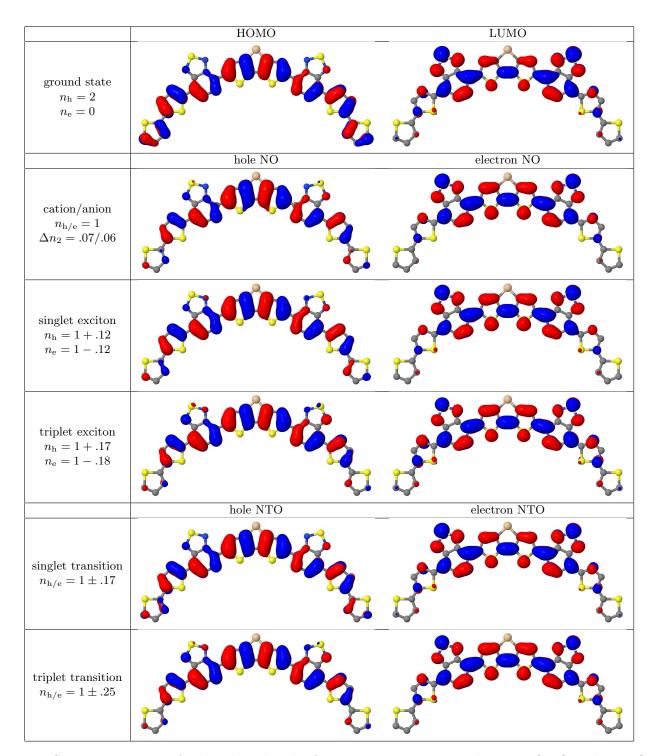


Figure S4: Various types of molecular orbitals of R24 molecule corresponding to HOMO and LUMO.

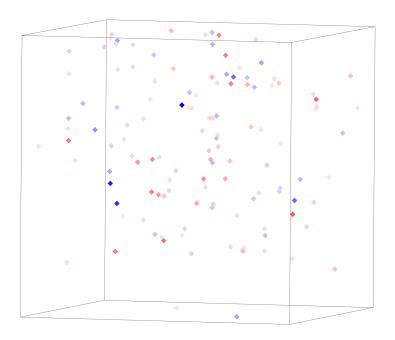


Figure S5: The most delocalized eigenfunction in 20 nm crystal (periodic boundary conditions) of R24 molecules. See also Fig. 3c.

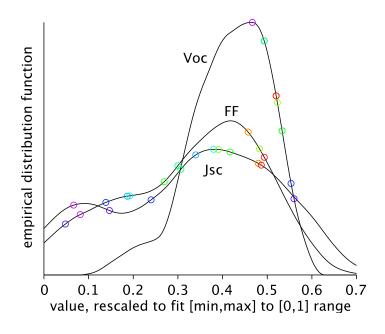


Figure S6: Solid line: empirical distribution over 67 organic solar cells provided by J. McClean (Harvard Clean Energy Project (47)). Dots correspond to refinement of R24:PCBM system (84; 76).

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