

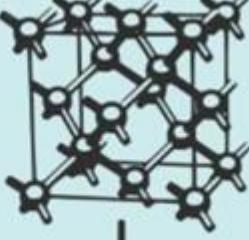
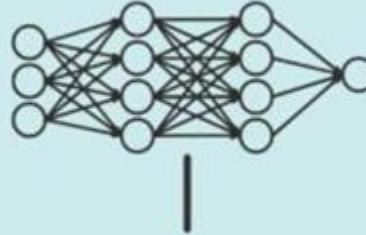
Computational Chemistry and Materials Modeling:

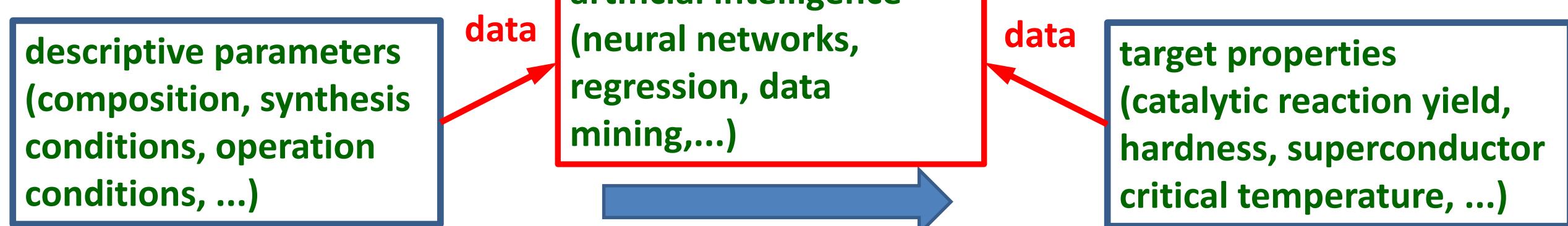
Exploring Materials Space

Sergey Levchenko

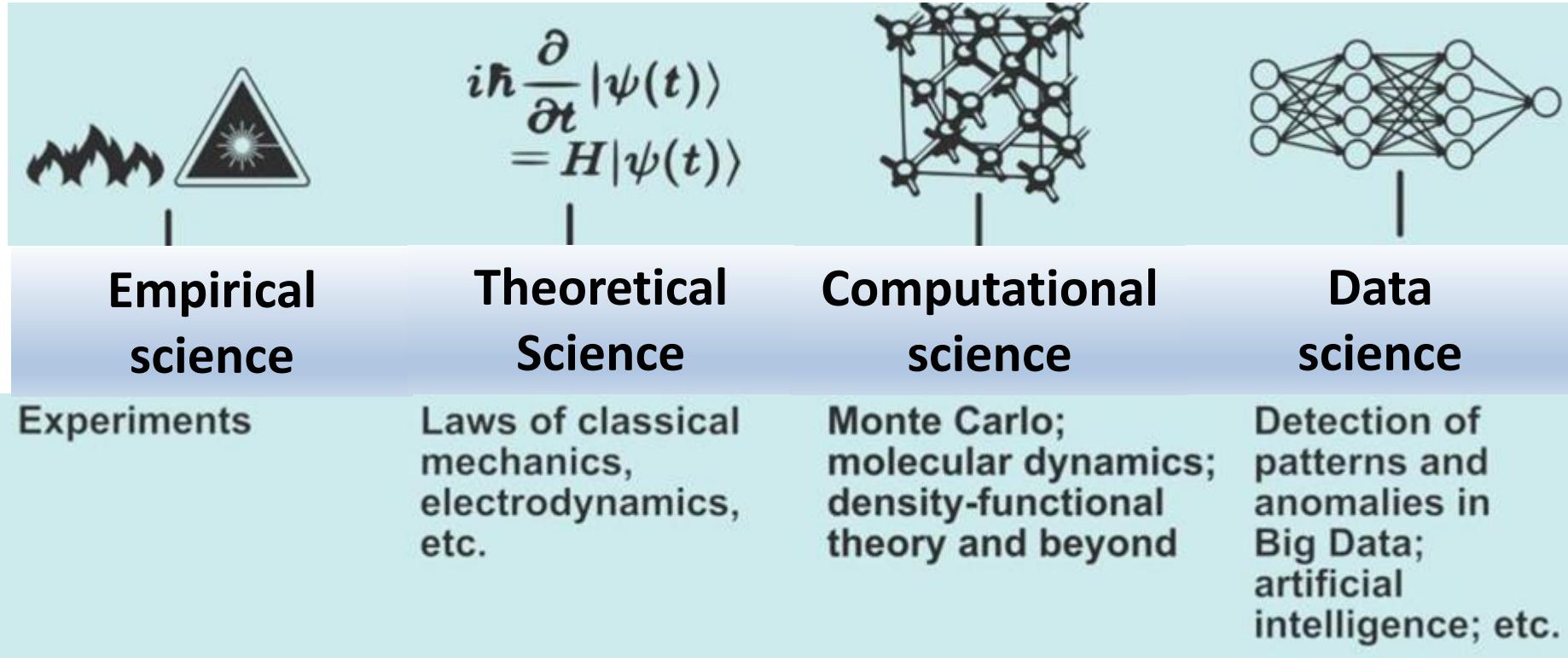
*Center for Energy Science and Technology (CEST)
Skolkovo Institute of Science and Technology
Moscow, Russia*

Research paradigm shift

	$i\hbar \frac{\partial}{\partial t} \psi(t)\rangle = H \psi(t)\rangle$		
Empirical science	Theoretical Science	Computational science	Data science
Experiments	Laws of classical mechanics, electrodynamics, etc.	Monte Carlo; molecular dynamics; density-functional theory and beyond	Detection of patterns and anomalies in Big Data; artificial intelligence; etc.



Research paradigm shift



descriptive parameters
(composition, synthesis conditions, operation conditions, ...)

artificial intelligence
scikit-learn
(<https://scikit-learn.org/stable/>)

target properties
(catalytic reaction yield, hardness, superconductor critical temperature, ...)

data

data

High-throughput computational materials design

Top-down design:

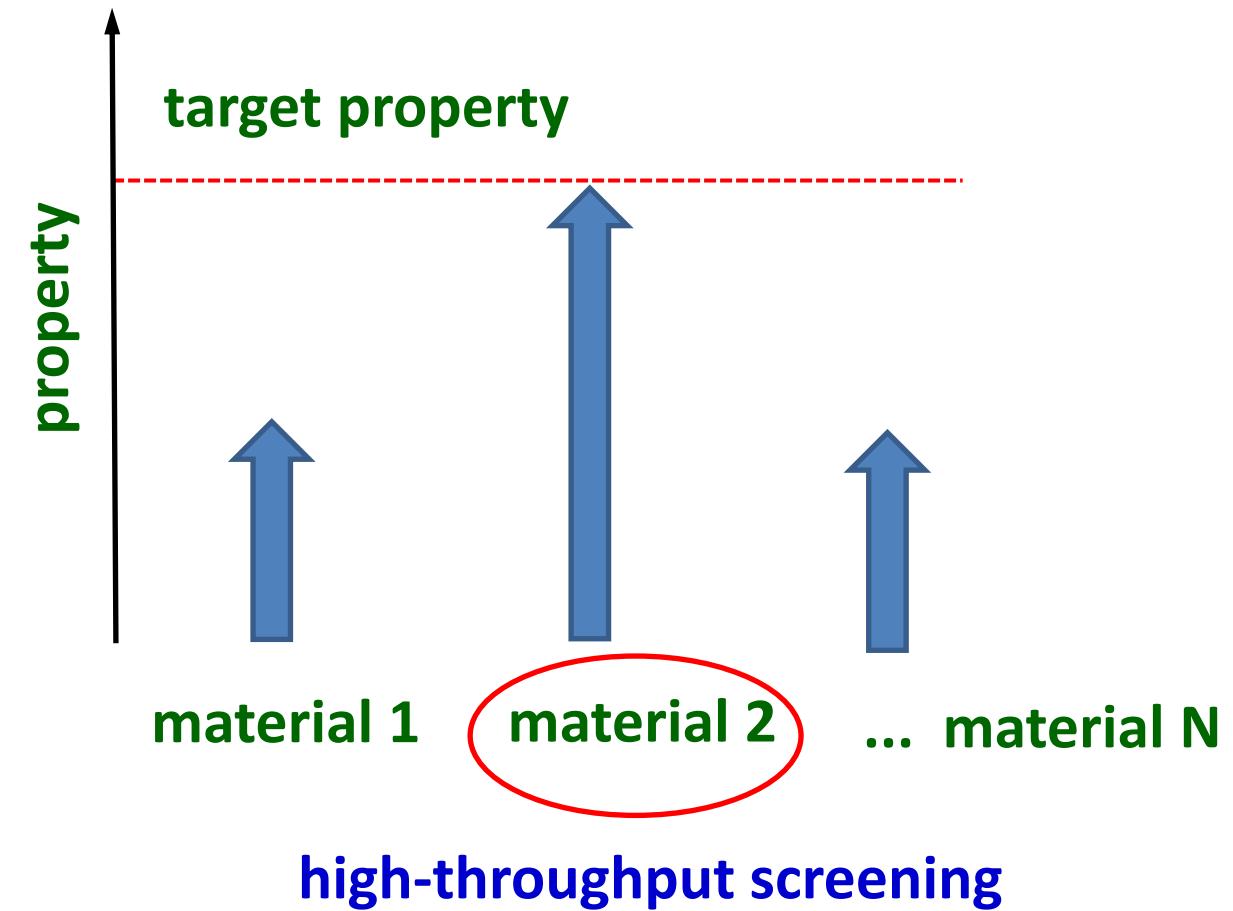
target property (high activity
and selectivity of a catalyst)

additional constraints
(high stability, low toxicity,...)

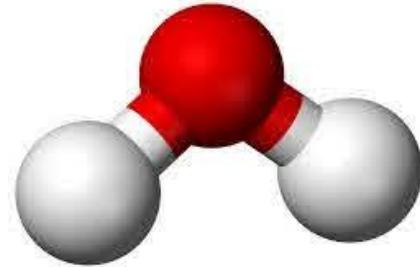
synthesis recipe

not clear how to achieve this!

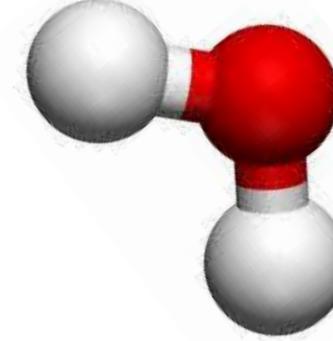
Bottom-up design:



Descriptors



molecule transfer
and rotation



structure descriptor: Cartesian coordinates → changes, but properties do not change!

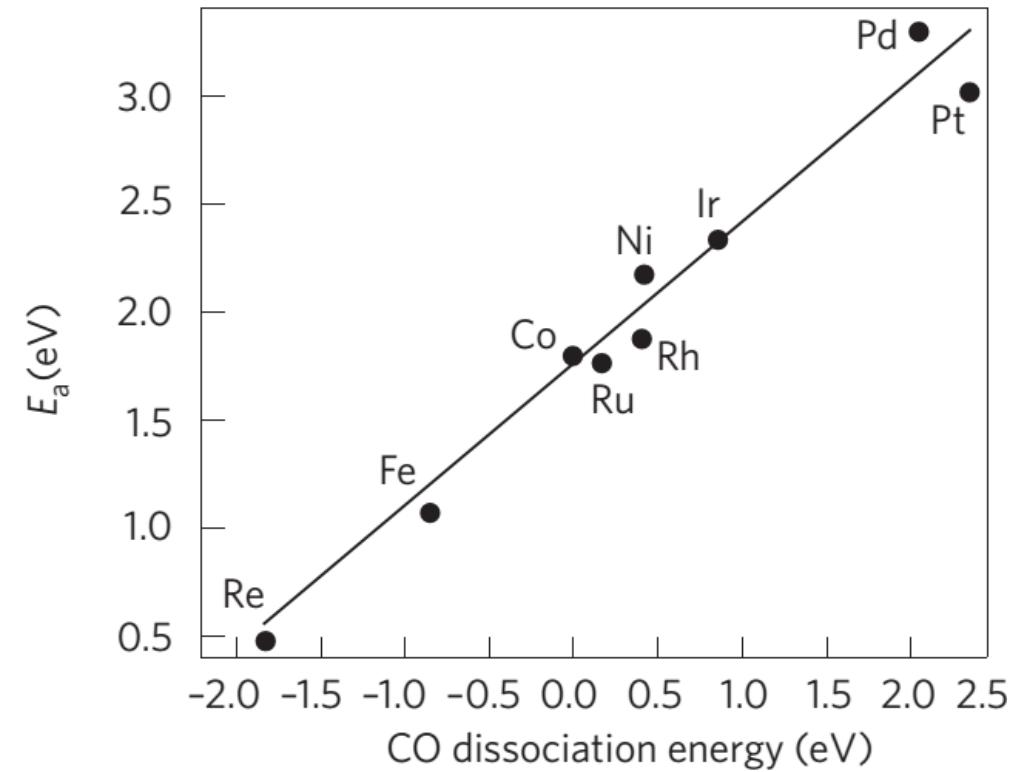
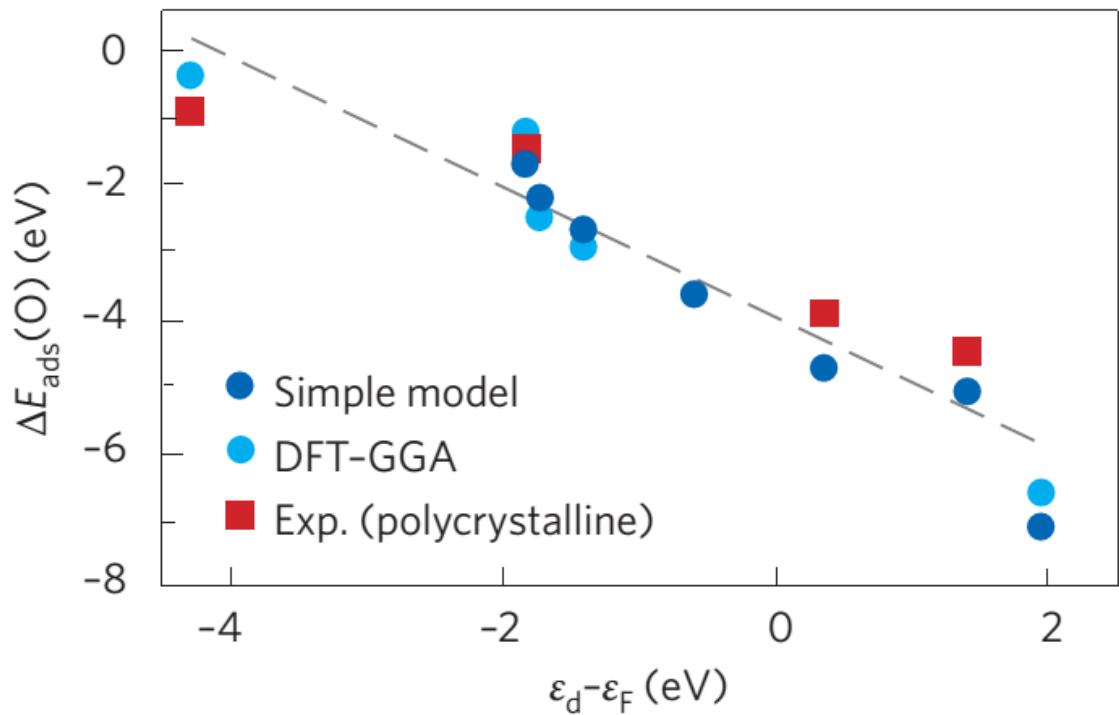
descriptive parameters
(composition, synthesis
conditions, operation
conditions)

artificial intelligence
(neural networks,
regression, data
mining,...)



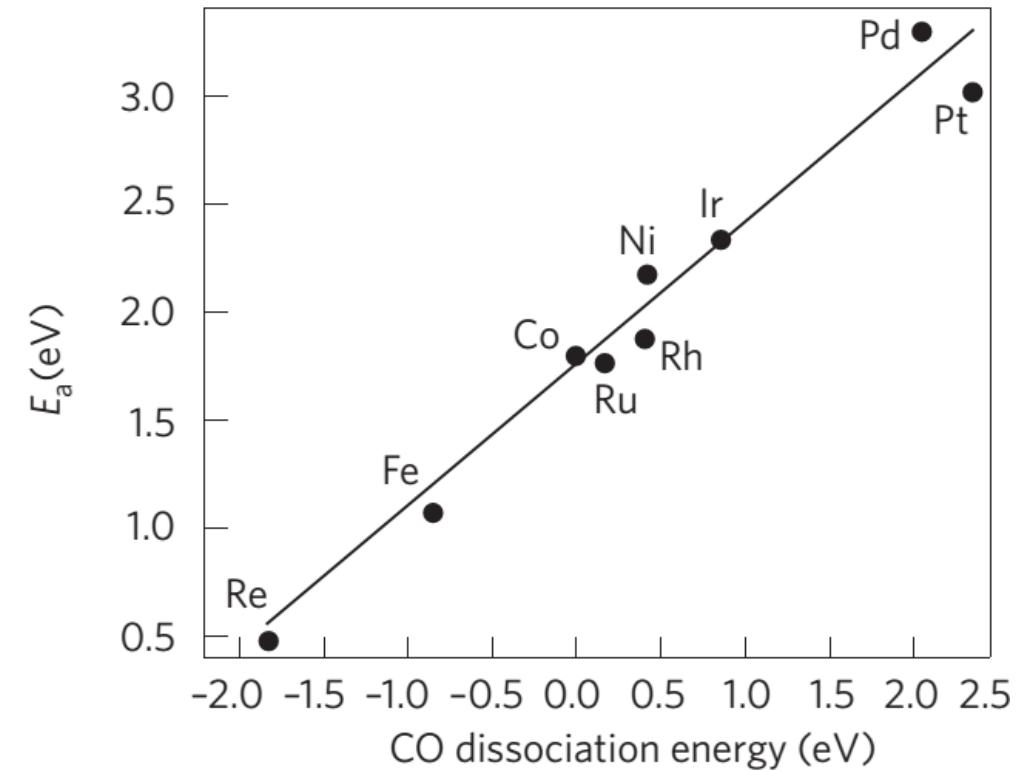
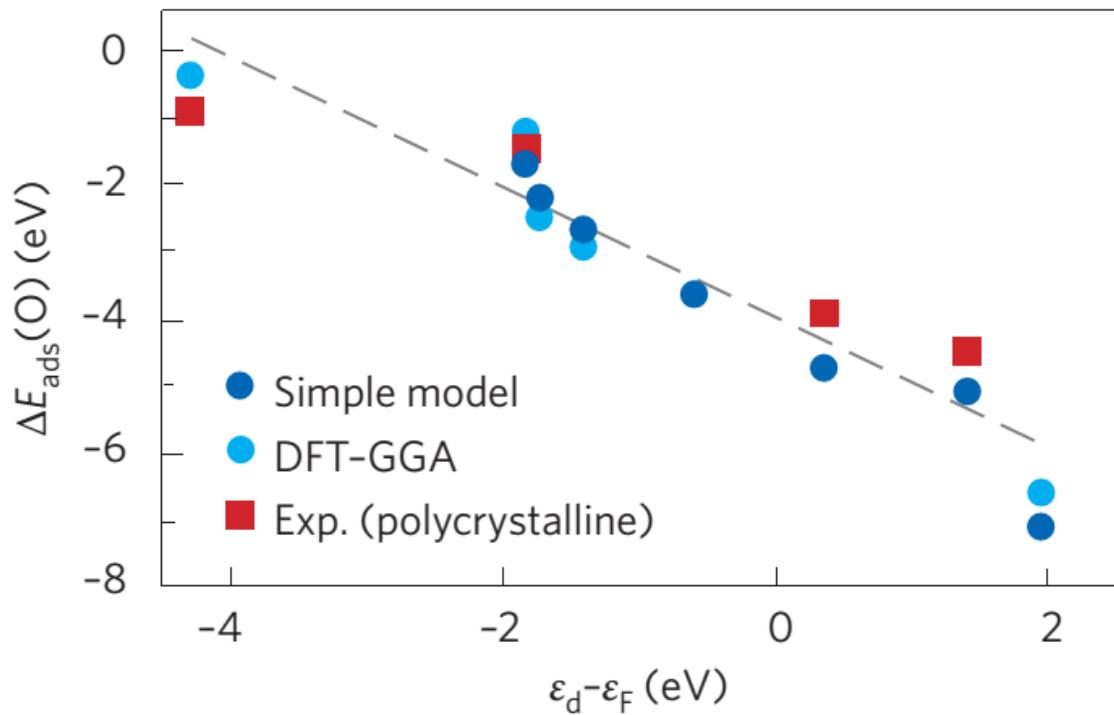
machine will learn symmetries, not (other) physics

Descriptors



Simple(r) properties (bulk d-band center position and CO dissociation energy) are correlated to more complex properties (adsorption energy and reaction barrier)

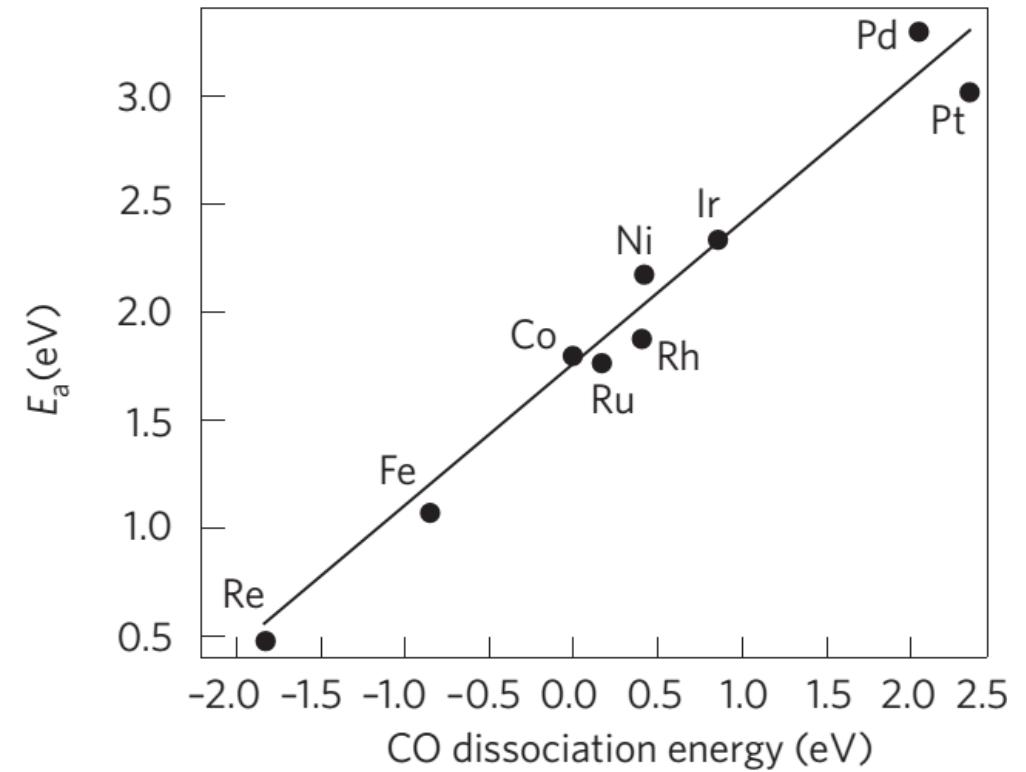
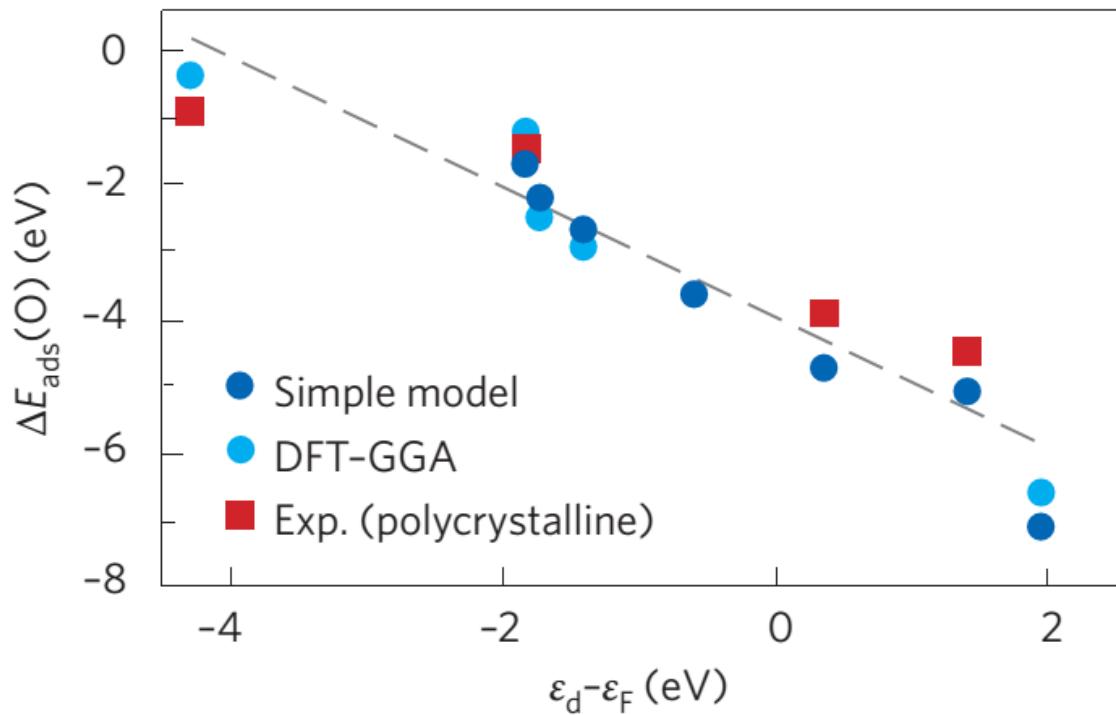
Descriptors



A simple physical model (Newns-Anderson) motivates the d-band center descriptor

What if we don't know such a model, or we need a more accurate and more widely applicable model?

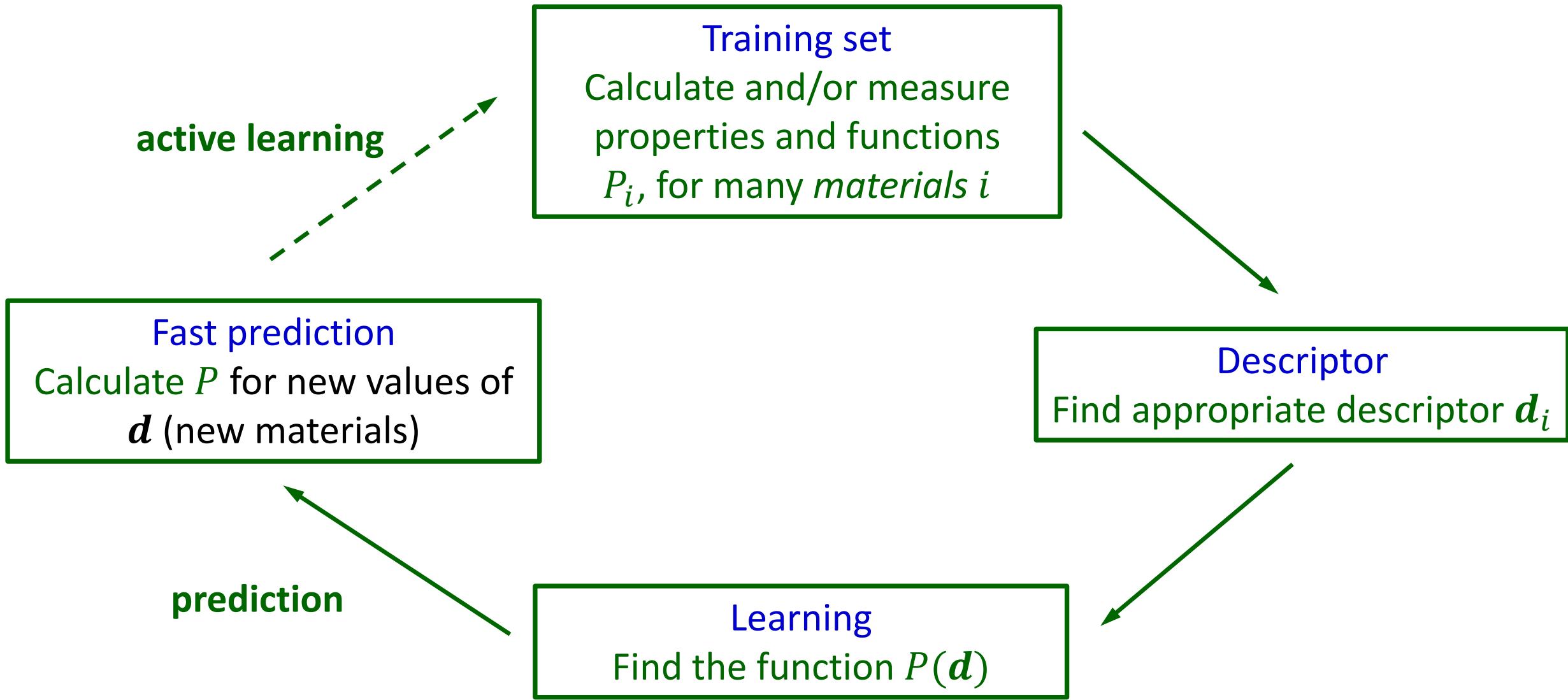
Descriptors



A simple physical model (Newns-Anderson) motivates the *d*-band center descriptor

Find descriptor from DATA!

Supervised data analysis



Descriptors

- 1) A descriptor d_i uniquely characterizes the material i as well as property-relevant elementary processes**

- 2) The determination of the descriptor must not involve calculations or measurements as intensive as those needed for the evaluation of the property to be predicted**

Target property model: Kernel ridge regression versus feature selection

Regression models: Basis set expansion in materials space

kernel ridge regression

$$P(\mathbf{d}) = \sum_{i=1}^N c_i \exp(-\|\mathbf{d}_i - \mathbf{d}\|_2^2 / 2\sigma^2)$$

$$\sum_{i=1}^N (P(\mathbf{d}_i) - P_{\textcolor{blue}{i}})^2 +$$

$$\lambda \sum_{i,j=1}^{N,N} c_i c_j \exp(-\|\mathbf{d}_i - \mathbf{d}_j\|_2^2 / 2\sigma^2)$$

$$\|\mathbf{d}_i - \mathbf{d}_j\|_2^2 = \sum_{\alpha=1}^{\Omega} (d_{i,\alpha} - d_{j,\alpha})^2$$

minimize

linear

$$P(\mathbf{d}) = \mathbf{d}\mathbf{c}$$

$$\sum_{i=1}^N (P(\mathbf{d}_i) - P_{\textcolor{blue}{i}})^2 + \lambda \|\mathbf{c}\|_0$$

Target property model: Kernel ridge regression versus feature selection

kernel (Gaussian, Laplacian, linear ($d_i \cdot d_j$))

kernel ridge regression

$$P(\mathbf{d}) = \sum_{i=1}^N c_i \exp(-\|\mathbf{d}_i - \mathbf{d}\|_2^2 / 2\sigma^2)$$

$$\sum_{i=1}^N (P(\mathbf{d}_i) - P_{\mathbf{i}})^2 +$$

$$\lambda \sum_{i,j=1}^{N,N} c_i c_j \exp(-\|\mathbf{d}_i - \mathbf{d}_j\|_2^2 / 2\sigma^2)$$

minimize

penalty on similar data points

linear

$$P(\mathbf{d}) = \mathbf{d}\mathbf{c}$$

$$\sum_{i=1}^N (P(\mathbf{d}_i) - P_{\mathbf{i}})^2 +$$

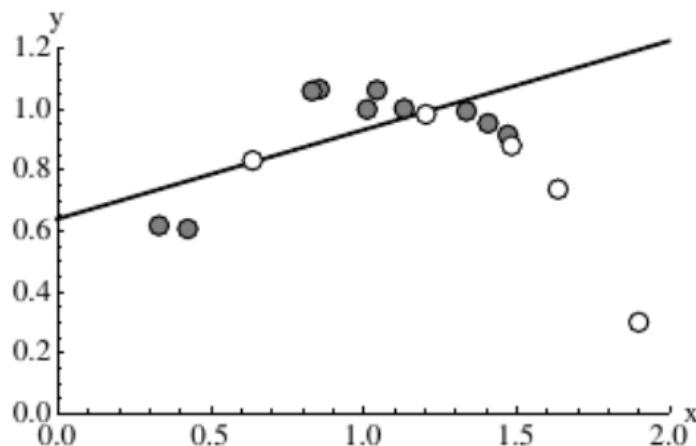
$$\lambda \|\mathbf{c}\|_0$$

penalty on the number of non-zero coefficients $\|\mathbf{c}\|_0$

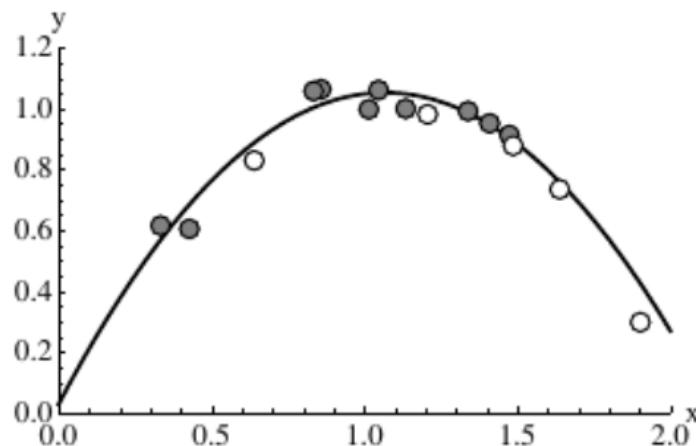
Regression: Importance of regularization

● training ○ validation

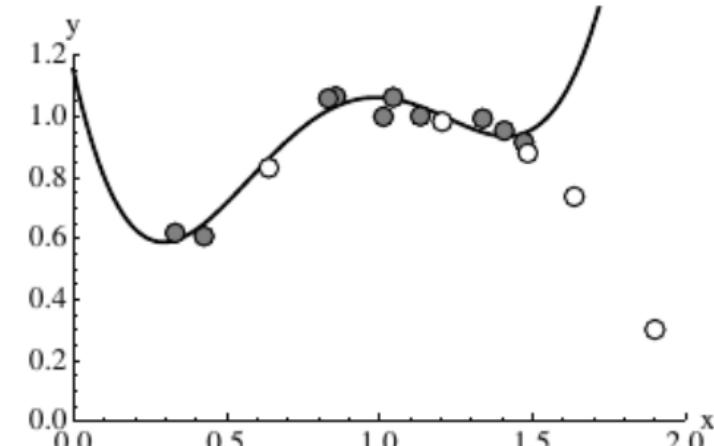
Underfitting



Fitting



Overfitting



Training/
validation
error

0.123 / 0.443

0.044 / 0.068

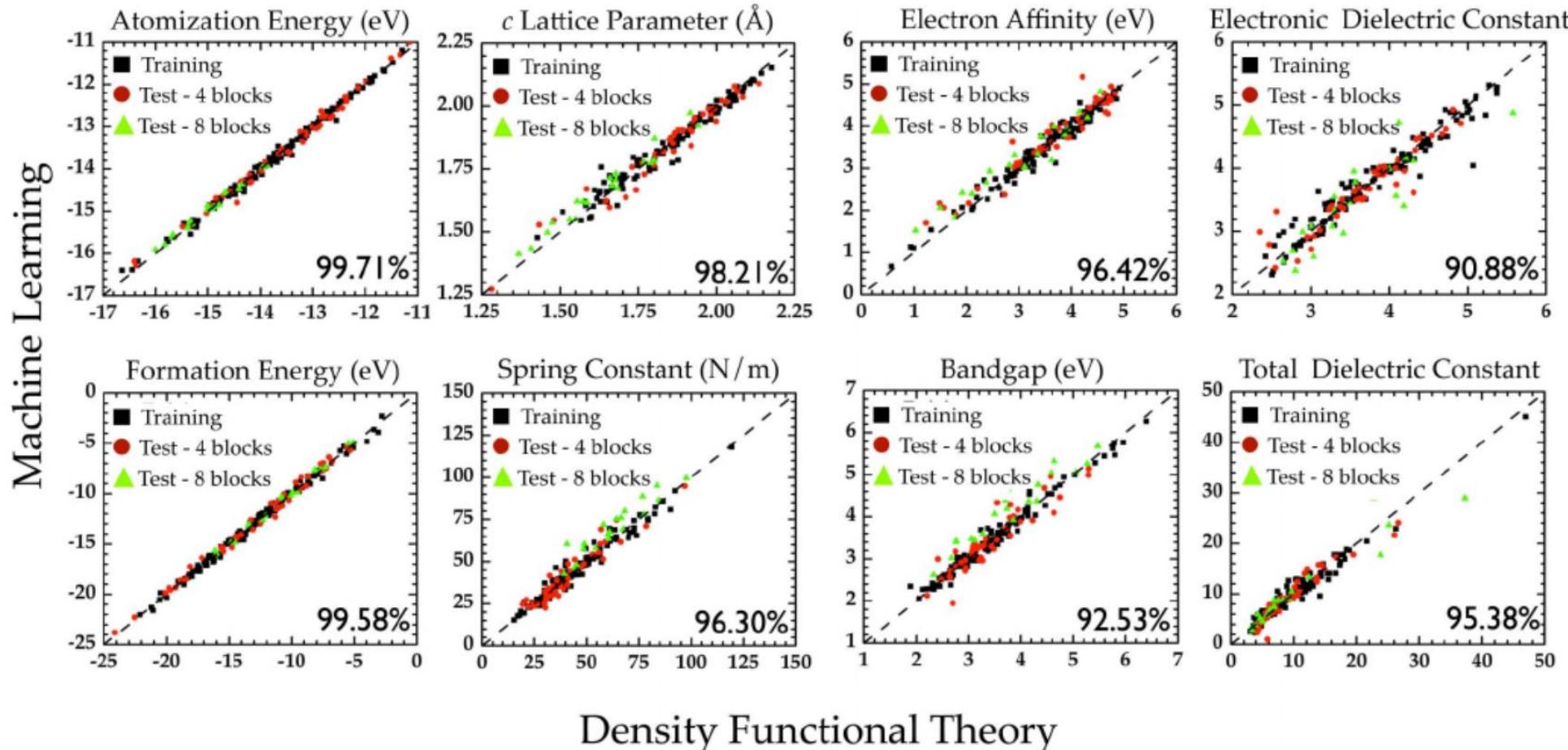
0.036 / 0.939

$$\min_c \sum_i (P(d_i, c) - P_i)^2 + \lambda f(c), \min_{\lambda} (\text{validation error}) \rightarrow \lambda$$

(Gaussian) kernel ridge regression example

Data: 175 linear 4-blocks periodic polymers. 7 blocks: CH₂, SiF₂, SiCl₂, GeF₂, GeCl₂, SnF₂, SnCl₂,

Descriptor: 20 dimensions [# building blocks of type *i*, of *ii* pairs, of *iii* triplets]



Descriptors

- 1) A descriptor d_i uniquely characterizes the material i as well as property-relevant elementary processes
- 2) The determination of the descriptor must not involve calculations as intensive as those needed for the evaluation of the property to be predicted
- 3) The dimension Ω of the descriptor should be as low as possible (for a certain accuracy request)

Choose a physically motivated basis set!

Descriptors

- 1) A descriptor d_i uniquely characterizes the material i as well as property-relevant elementary processes
- 2) The determination of the descriptor must not involve calculations as intensive as those needed for the evaluation of the property to be predicted
- 3) The dimension Ω of the descriptor should be as low as possible (for a certain accuracy request)

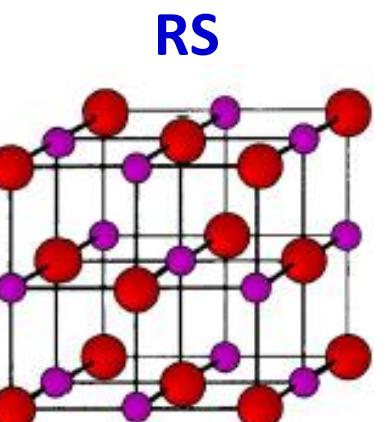
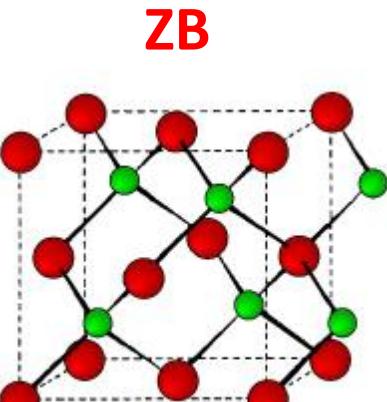
Idea: calculate many *physically motivated* quantities (features), and use these features as a basis for the physical model under compactness constraints

Proof of Concept: Descriptor for the Classification “Zincblende/Wurtzite (ZB/W) or Rocksalt (RS)?”

82 binary octet compounds

hydrogen	1	H	1.0070
lithium	3	beryllium	4
Li	Be	9.0122	
sodium	11	magnesium	12
Na	Mg	24.305	
potassium	19	calcium	20
K	Ca	40.078	
rubidium	37	strontium	38
Rb	Sr	87.62	
caesium	55		
Cs	Ba	132.91	
francium	57-70	lutetium	71
copper	29	hafnium	72
Cu	Zn	137.33	
silver	47	tantalum	73
Ag	Cd	174.97	
cadmium	48	tungsten	74
		rhenium	75
lanthanide series		osmium	76
actinide series		iridium	77

scandium	21	titanium	22	vanadium	23	chromium	24	manganese	25	iron	26	cobalt	27	nickel	28	
Sc	Ti	44.956	47.867	50.942	51.996	54.938	55.845	58.933	58.693							
yttrium	39	zirconium	40	niobium	41	molybdenum	42	technetium	43	ruthenium	44	rhodium	45	palladium	46	
Y	Zr	88.906	91.224	92.906	95.94	[98]	101.07	102.91	106.42							
barium	56	lutetium	71	hafnium	72	tantalum	73	tungsten	74	rhenium	75	osmium	76	platinum	78	
Cs	Ba	*	Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	
radium	57-70	174.97	178.49	180.95	183.84	186.21	196.23	198.23	192.22	195.08	196.97	200.59	204.38	207.2	208.98	
zinc	89-102	lawrencium	103	rutherfordium	104	dubnium	105	seaborgium	106	bohrium	107	hassium	108	meitnerium	109	
Zn	Lr	[261]	Rf	[261]	Db	[262]	Sg	[266]	Bh	[269]	Hs	[268]	Mt	[271]	Uun	[272]
copper	29															
Cu	Zn	65.39														
silver	47															
Ag	Cd															
lanthanum	57	cerium	58	praseodymium	59	neodymium	60	promethium	61	samarium	62	europeum	63	gadolinium	64	
La	Ce	138.91	140.12	140.91	144.24	[145]	150.36	151.96	157.25	158.93	162.50	164.93	167.26	168.93	173.04	
actinium	89	thorium	90	protactinium	91	uranium	92	neptunium	93	plutonium	94	americium	95	curium	96	
Ac	Th	[227]	232.04	231.04	238.03	[237]	[244]	[243]	[247]	[243]	[247]	[247]	[251]	[252]	[257]	
lanthanide series																
actinide series																

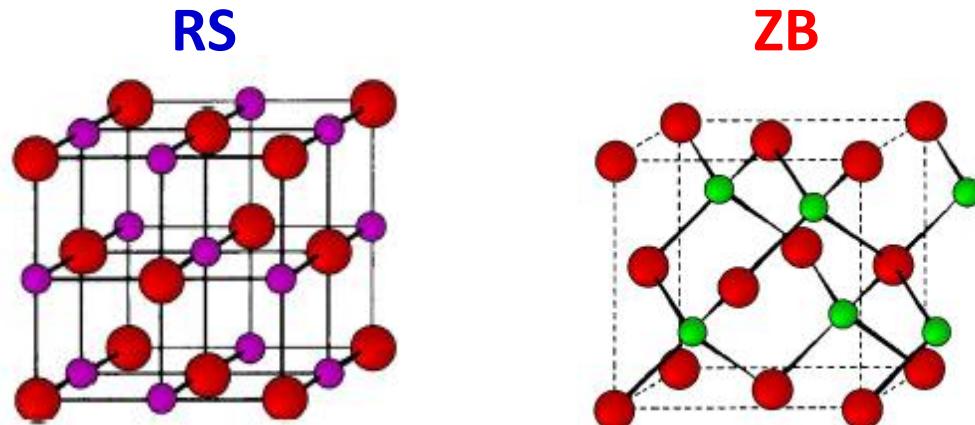


Proof of Concept: Descriptor for the Classification “Zincblende/Wurtzite (ZB/W) or Rocksalt (RS)?”

Crystal-structure prediction was and is one of the most important, basic challenges of materials science and engineering.

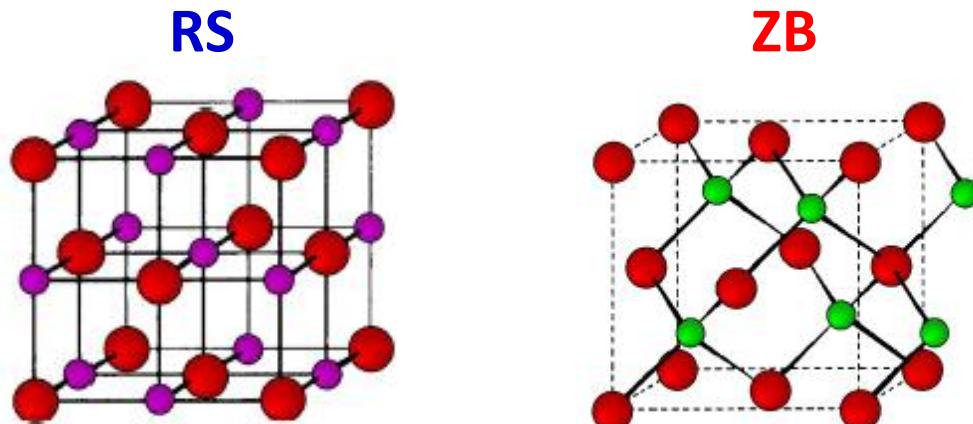
Energy differences between different structures are very small.

For Si: 0.01% of the energy of a Si atom, or 0.1% of the 4 valence electrons.



Proof of Concept: Descriptor for the Classification “Zincblende/Wurtzite (ZB/W) or Rocksalt (RS)?”

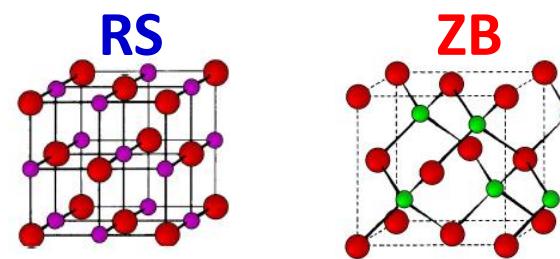
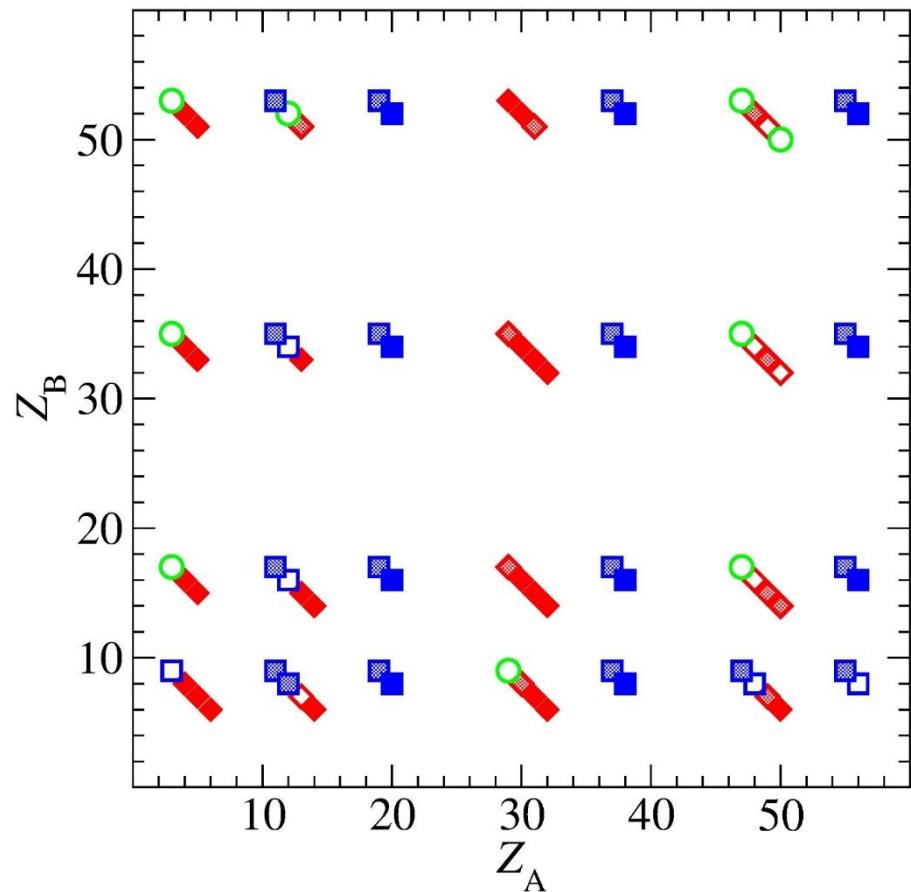
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J. John and A.N. Bloch, Phys. Rev. Lett. 33, 1095 (1974) J. R. Chelikowsky and J. C. Phillips, Phys. Rev. B 33, 2453 (1978)
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Proof of Concept: Descriptor for the Classification “Zincblende/Wurtzite (ZB/W) or Rocksalt (RS)?”

Can we predict not yet calculated structures from Z_A and Z_B ? Can we create a map: “The ZB/W community lives here and the RS community there?”

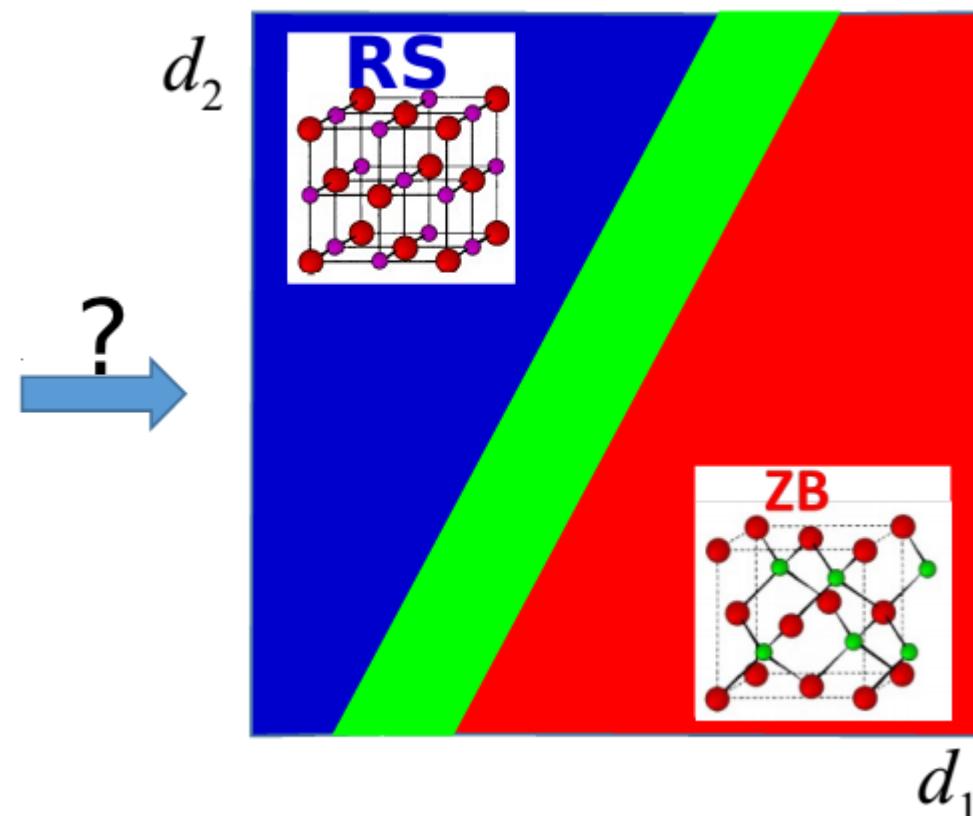
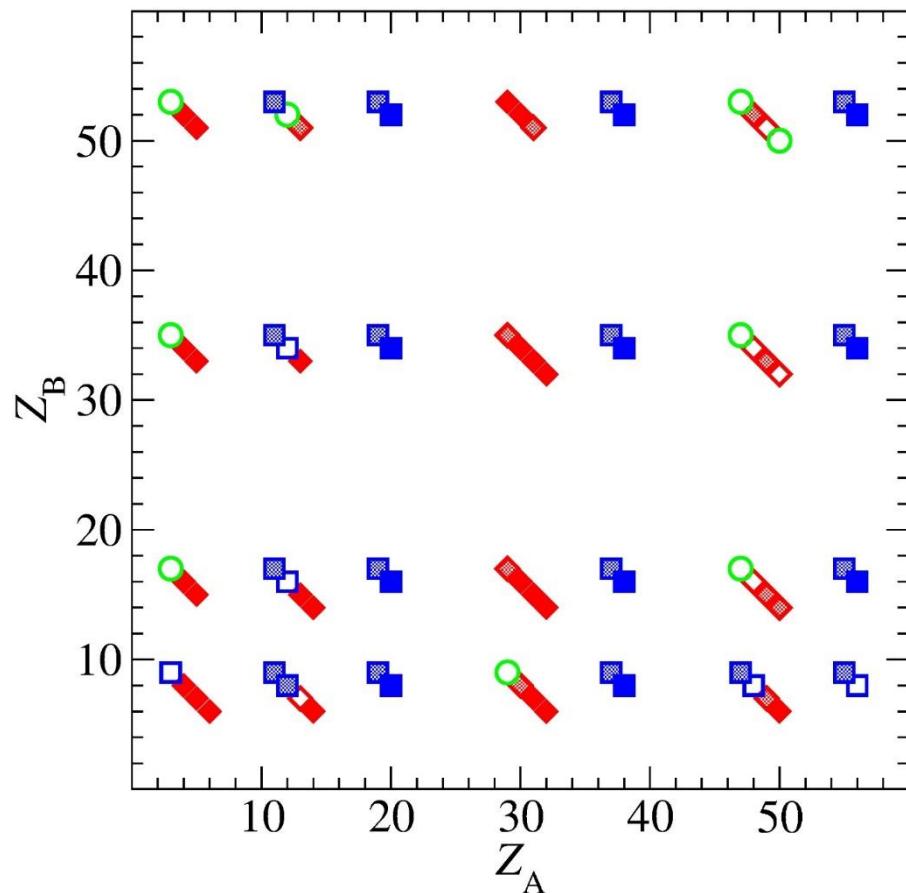


$$\Delta = E(\text{RS}) - E(\text{ZB})$$

- ◆ ZB, $\Delta > 0.2 \text{ eV}$
- ◆ ZB, $0.1 \text{ eV} < \Delta \leq 0.2 \text{ eV}$
- ◆ ZB, $0.05 \text{ eV} < \Delta \leq 0.1 \text{ eV}$
- $-0.05 \text{ eV} < \Delta \leq 0.05 \text{ eV}$
- RS, $-0.1 \text{ eV} < \Delta \leq -0.05 \text{ eV}$
- RS, $-0.2 \text{ eV} < \Delta \leq -0.1 \text{ eV}$
- RS, $\Delta \leq -0.2 \text{ eV}$

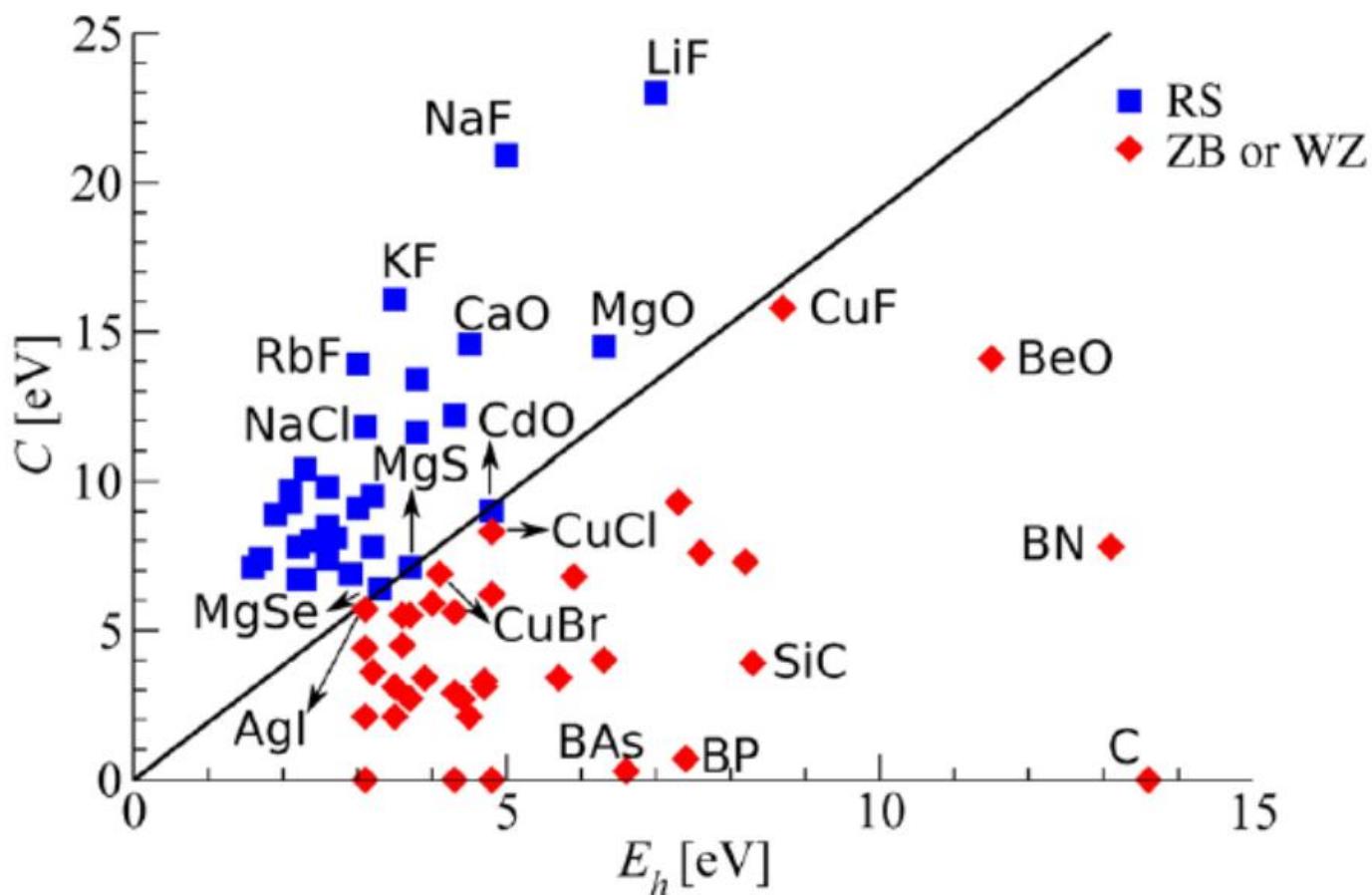
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Can we predict not yet calculated structures from Z_A and Z_B ? Can we create a map: “The ZB/W community lies here and the RS community there?”
No complexity reduction → need a better basis



Proof of Concept: Descriptor for the Classification “Zincblende/Wurtzite (ZB/W) or Rocksalt (RS)?”

Can we predict not yet calculated structures from Z_A and Z_B ? Can we create a map: “The ZB/W community lives here and the RS community there?”



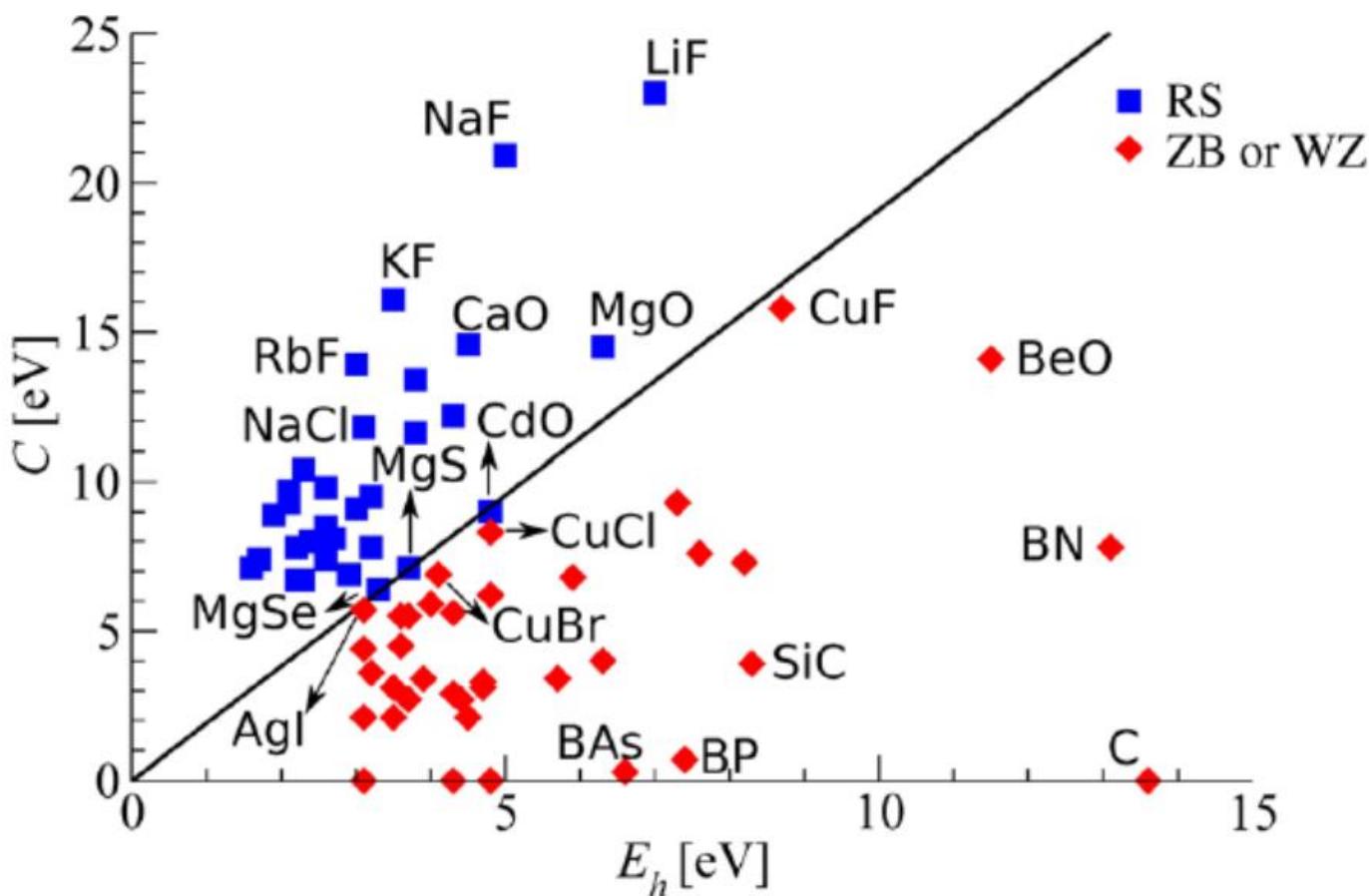
descriptor can be determined
spectroscopically - properties of the solid

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Proof of Concept: Descriptor for the Classification “Zincblende/Wurtzite (ZB/W) or Rocksalt (RS)?”

Can we predict not yet calculated structures from Z_A and Z_B ? Can we create a map: “The ZB/W community lives here and the RS community there?”



descriptor can be determined spectroscopically - properties of the solid

Can we create a map based on calculations simpler than bulk?

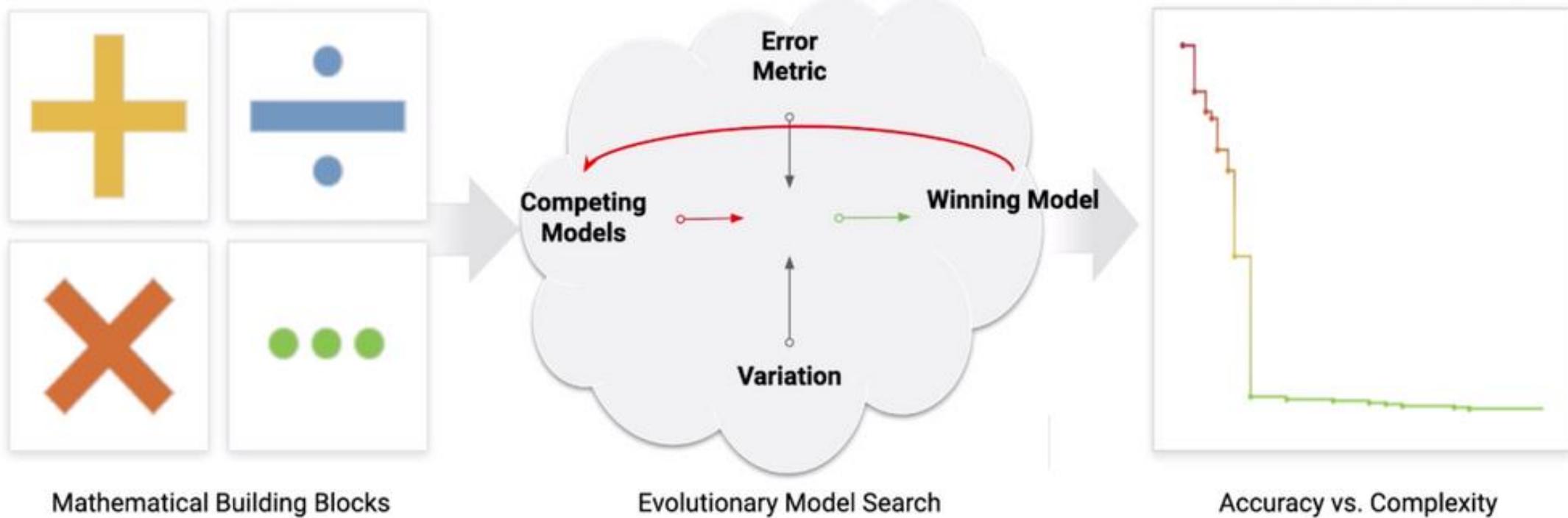
Primary features and feature space

ID	Description	free atoms	Symbols	#
A1	Ionization Potential (IP) and Electron Affinity (EA)		IP(A) EA(A) IP(B) EA(B) [1]	4
A2	Highest occupied (H) and lowest unoccupied (L) Kohn-Sham levels		H(A) L(A) H(B) L(B)	4
A3	Radius at the max. value of s , p , and d valence radial probability density		$r_s(A)$ $r_p(A)$ $r_d(A)$ $r_s(B)$ $r_p(B)$ $r_d(B)$	6

ID	Description	free dimers	Symbols	#
A4	Binding energy		$E_b(AA)$ $E_b(BB)$ $E_b(AB)$	3
A5	HOMO-LUMO KS gap		HL(AA) HL(BB) HL(AB)	3
A6	Equilibrium distance		$d(AA)$ $d(BB)$ $d(AB)$	3

How to find the best model for our target property (energy difference between different crystal structures)?

Symbolic regression: Eureqa



Uses evolutionary algorithm to find the best formula describing target property

Assumes “gene” structure of the formula → bias

May result in an unnecessarily complex model

Primary features and feature space

ID	Description	free atoms	Symbols	#
A1	Ionization Potential (IP) and Electron Affinity (EA)		IP(A) EA(A) IP(B) EA(B) [1]	4
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A6	Equilibrium distance		$d(AA)$ $d(BB)$ $d(AB)$	3

ID	description	prototype formula	#
B1	absolute differences and sums of A1	$ IP(A) \pm IP(B) $	12
B2	absolute differences and sums of A2	$ L(B) \pm H(A) $	12
B3	absolute differences and sums of A3	$ r_p(A) \pm r_s(A) $	30
C3	squares of A3 and B3 (only sums)	$r_s(A)^2, (r_p(A) + r_s(A))^2$	21
D3	exponentials of A3 and B3 (only sums)	$\exp(r_s(A)), \exp(r_p(A) \pm r_s(A))$	21
E3	exponentials of squared A3 and B3 (only sums)	$\exp(r_s(A)^2), \exp(r_p(A) \pm r_s(A)^2)$	21

We start with 23 primary features and build > 10,000 non-linear combinations

Mathematical formulation of the problem

P_j -- property value ($E_{ZB} - E_{RS}$) for material j (a function in materials space)

$d_{j,l}$ -- value of feature l related to material j (e.g., $|r_s(A_j) - r_p(B_j)|$) (a basis function in materials space)

c_l -- coefficient of the expansion of the property function in terms of basis functions:

$$P_j = \sum_l d_{j,l} c_l \quad \text{How to find } c_l?$$

$$\sum_j \left(P_j - \sum_l d_{j,l} c_l \right)^2 + \lambda \|c\|_n \rightarrow \text{argmin}(c)$$

regularization term to explore and ensure compactness of the expansion (reduce complexity)

Mathematical formulation of the problem

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$\|c\|_0$ -- number of non-zero coefficients → NP hard! (need to try all combinations)

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$\|c\|_0$ -- number of non-zero coefficients \rightarrow NP hard! (need to try all combinations)

$\|c\|_2 = \sum_l |c_l|^2$ -- ridge regression \rightarrow not most compact!

$\|c\|_1 = \sum_l |c_l|$ -- LASSO (Least Absolute Shrinkage and Selection Operator) \rightarrow convex problem, equivalent to the NP-hard if features (columns of d) are uncorrelated

Compressed (compressive?) sensing



Raw: 15MB



JPEG: 150KB

Expand in a basis (wavelets) → use LASSO to select most important basis functions → store compressed image

Mathematical formulation of the problem

P_j -- property value ($E_{ZB} - E_{RS}$) for material j (a function in materials space)

$d_{j,l}$ -- value of feature l related to material j (e.g., $|r_s(A_j) - r_p(B_j)|$) (a basis function in materials space)

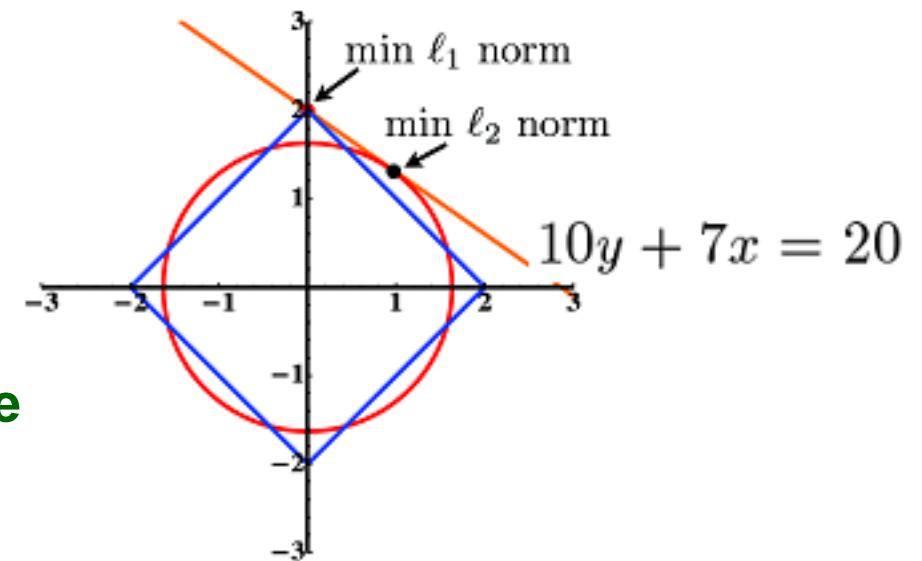
c_l -- coefficient of the expansion of the property function in terms of basis functions:

$$P_j = \sum_l d_{j,l} c_l$$

How to find c_l ?

$$\sum_j \left(P_j - \sum_l d_{j,l} c_l \right)^2 + \lambda \|c\|_n \rightarrow \text{argmin}(c)$$

$\|c\|_1 = \sum_l |c_l|$ -- LASSO (Least Absolute Shrinkage and Selection Operator) \rightarrow convex problem, equivalent to the NP-hard if features (columns of D) are uncorrelated (no linear dependence in the basis set)



The descriptors selected with LASSO

$$\frac{IP(B) - EA(B)}{r_p(A)^2} \underset{1D}{\boxed{\text{1D}}}, \frac{|r_s(A) - r_p(B)|}{\exp(r_s(A))} \underset{2D}{\boxed{\text{2D}}}, \frac{|r_p(B) - r_s(B)|}{\exp(r_d(A))} \underset{3D}{\boxed{\text{3D}}}$$

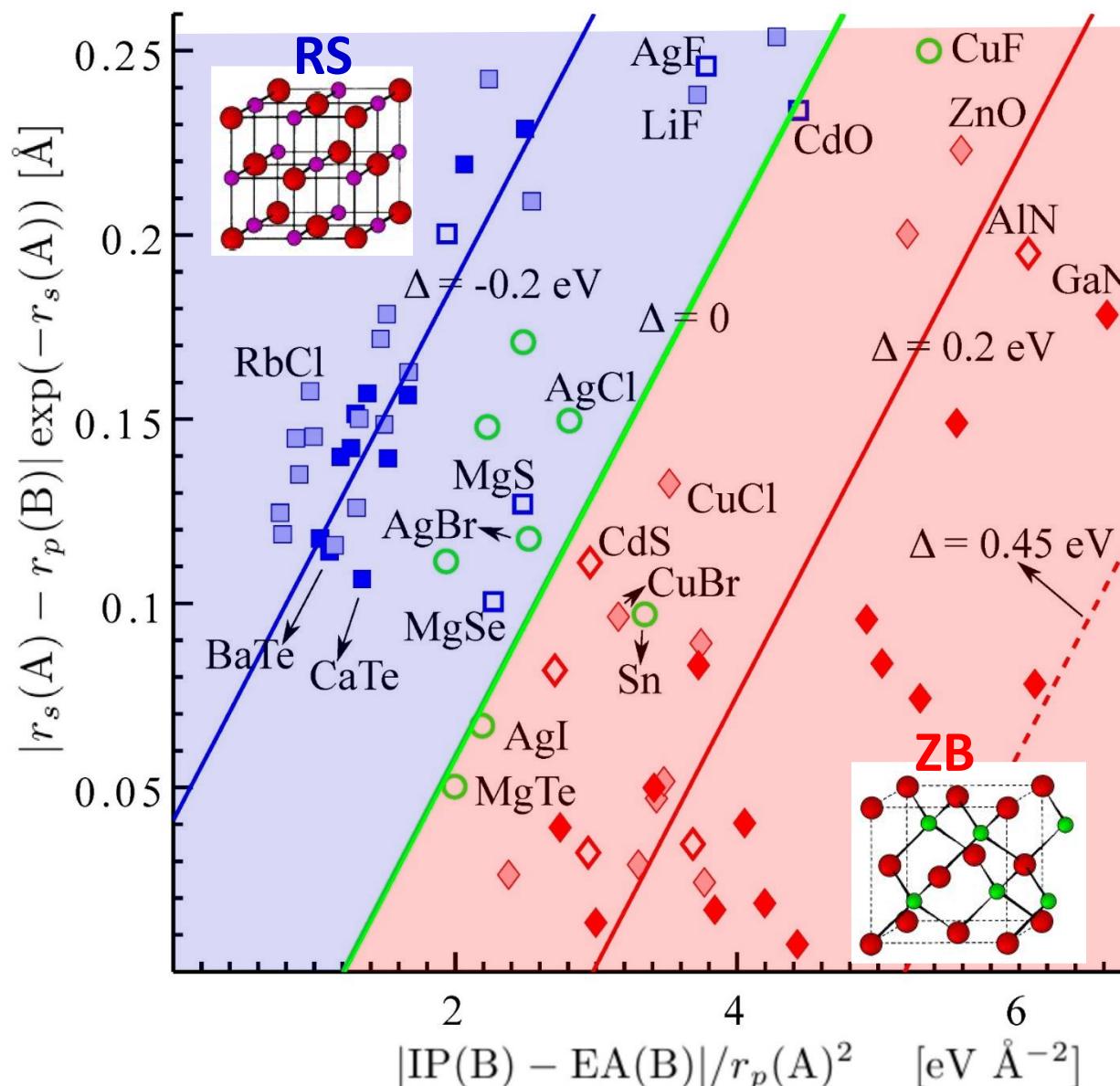
$$\Delta E = 0.117 \frac{EA(B) - IP(B)}{r_p(A)^2} - 0.342 \quad \text{1D}$$

$$\Delta E = 0.113 \frac{EA(B) - IP(B)}{r_p(A)^2} + 1.542 \frac{|r_s(A) - r_p(B)|}{\exp(r_s(A))} - 0.137 \quad \text{2D}$$

$$\begin{aligned} \Delta E = & 0.108 \frac{EA(B) - IP(B)}{r_p(A)^2} + 1.790 \frac{|r_s(A) - r_p(B)|}{\exp(r_s(A))} + \\ & + 3.766 \frac{|r_p(B) - r_s(B)|}{\exp(r_d(A))} - 0.0267 \end{aligned} \quad \text{3D}$$

Same features are selected for higher-dimensional descriptors, but this does not have to be the case

“The Map” -- compressed sensing -- LASSO, 2D descriptor



- $\Delta = E(\text{RS}) - E(\text{ZB})$
- ◆ ZB, $\Delta > 0.2 \text{ eV}$
- ◆ ZB, $0.1 \text{ eV} < \Delta \leq 0.2 \text{ eV}$
- ◆ ZB, $0.05 \text{ eV} < \Delta \leq 0.1 \text{ eV}$
- $-0.05 \text{ eV} < \Delta \leq 0.05 \text{ eV}$
- RS, $-0.1 \text{ eV} < \Delta \leq -0.05 \text{ eV}$
- RS, $-0.2 \text{ eV} < \Delta \leq -0.1 \text{ eV}$
- RS, $\Delta \leq -0.2 \text{ eV}$

$$P(j) = d(j)c$$

The complexity and science is in the descriptor (identified from $>10,000$ features).

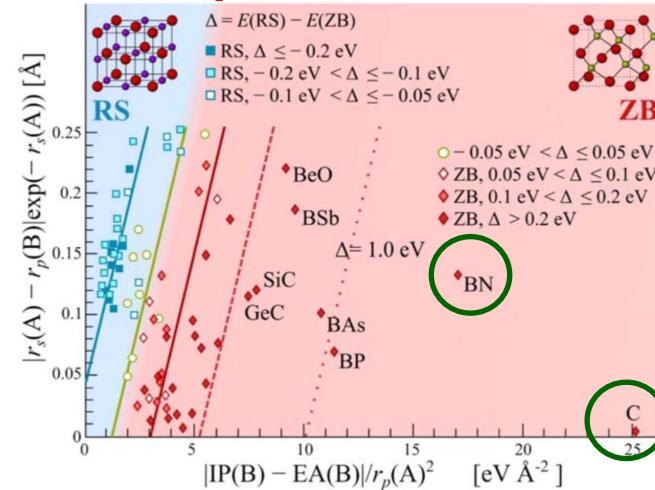
L.M. Ghiringhelli, J. Vybiral, S.V. Levchenko, C. Draxl, and M. Scheffler, Phys. Rev. Lett. **114**, 105503 (2015).

Predictive power of the model

Hadn't we known about diamond ... we'd have predicted it!

When both carbon diamond and BN are excluded from training:

	$\Delta E(\text{LDA})$	$\Delta E(\text{predicted})$
C	-2.64 eV	-1.44 eV
BN	-1.71 eV	-1.37 eV



Hadn't we known about any carbon-containing binary ... we'd have predicted carbon chemistry (from atomic features)

If all C containing binaries (C, SiC, GeC, and SnC) are excluded from training, i.e. no explicit information on C is given to the model:

	$\Delta E(\text{LDA})$	$\Delta E(\text{predicted})$
C	-2.64 eV	-1.37 eV
SiC	-0.67 eV	-0.48 eV
GeC	-0.81 eV	-0.46 eV
SnC	-0.45 eV	-0.23 eV

Predictive power of the model

Mean absolute error (MAE), and maximum absolute error (MaxAE), in eV, (first two lines) and for a leave-10%-out cross validation (CV), averaged over 150 random selections of the training set (last two lines). For (Z_A^*, Z_B^*) , each atom is identified by a string of three random numbers.

Descriptor	Z_A, Z_B	Z_A^*, Z_B^*	1D	2D	3D	5D
MAE	$1*10^{-4}$	$3*10^{-3}$	0.12	0.08	0.07	0.05
MaxAE	$8*10^{-4}$	0.03	0.32	0.32	0.24	0.20
MAE, CV	0.13	0.14	0.12	0.09	0.07	0.05
MaxAE, CV	0.43	0.42	0.27	0.18	0.16	0.12

Gaussian-kernel ridge regression

LASSO

Predictive power of the model

Mean absolute error (MAE), and maximum absolute error (MaxAE), in eV, (first two lines) and for a leave-10%-out cross validation (CV), averaged over 150 random selections of the training set (last two lines). **For (Z_A^*, Z_B^*) , each atom is identified by a string of three random numbers.**

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Gaussian-kernel ridge regression **LASSO**

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Gaussian-kernel ridge regression **LASSO**

CH_4 chemical decomposition under shock-compression conditions (high T and p)

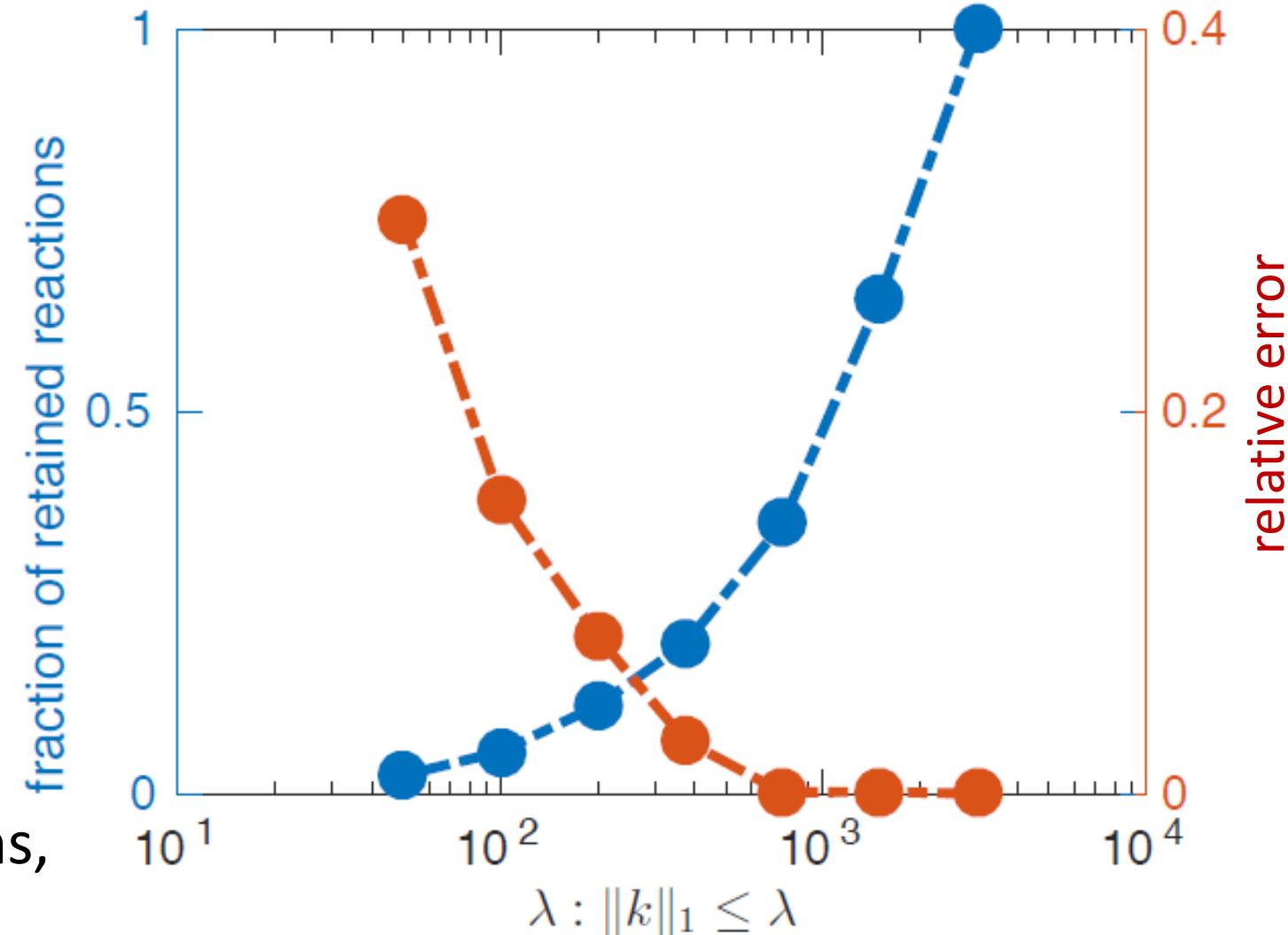
Yang, Q., Sing-Long, C. A., Reed, E. J., MRS Advances 1 (2016)

**Methane at $T = 3,300 \text{ K}$,
 $p = 40.53 \text{ GPa}$:** MD simulations (using a force-field description) find 2,613 different chemical reactions. Using compressed sensing it is shown that only 11% of them are relevant.

$$\min_{\hat{k}} \|A\hat{k} - b\|_2$$

subject to $\hat{k} \geq 0, \|\hat{k}\|_1 \leq \lambda$

The A matrix has 2,613 columns, 2,395,918,510 rows



Lattice Anharmonicity and Thermal Conductivity from Compressive Sensing of First-Principles Calculations

$$F_a = -\Phi_a - \Phi_{ab} u_b - \frac{1}{2} \Phi_{abc} u_b u_c - \dots$$

force on atom a
(training data)

force constant tensor
 $\partial^2 E / \partial u_a \partial u_b$
(unknown)

displacement of atom c
(training data)

$$\min_{\Phi} \left(\lambda \sum_I |\Phi_I| + \sum_a (F_a - A_{aJ} \Phi_J)^2 \right) \rightarrow \Phi$$

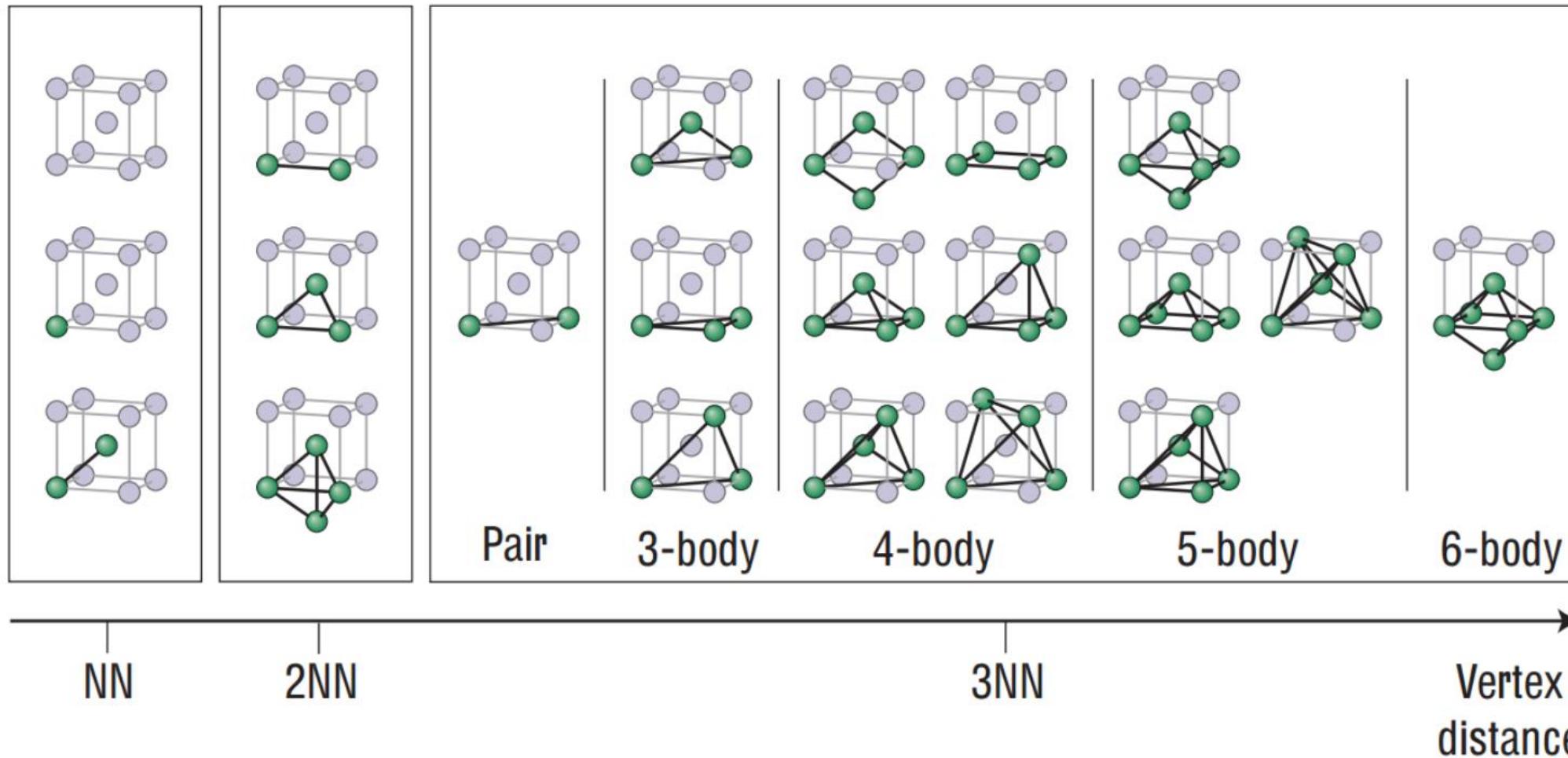
$$A_{aJ} = \begin{bmatrix} -1 & u_b^1 & -\frac{1}{2} u_b^1 u_c^1 & \dots \\ \vdots & \vdots & \vdots & \\ -1 & u_b^L & -\frac{1}{2} u_b^L u_c^L & \dots \end{bmatrix}$$

→ predictive model for anharmonic lattice dynamics

Compressive Sensing for Cluster Expansion

$$E(\sigma) = E_0 + \sum_f \Pi_f(\sigma) J_f$$

$$\min_{J_f} \left(\lambda \sum_f |J_f| + \sum_i (E^{DFT}(\sigma_i) - E^{CE}(\sigma_i))^2 \right) \rightarrow J_f$$

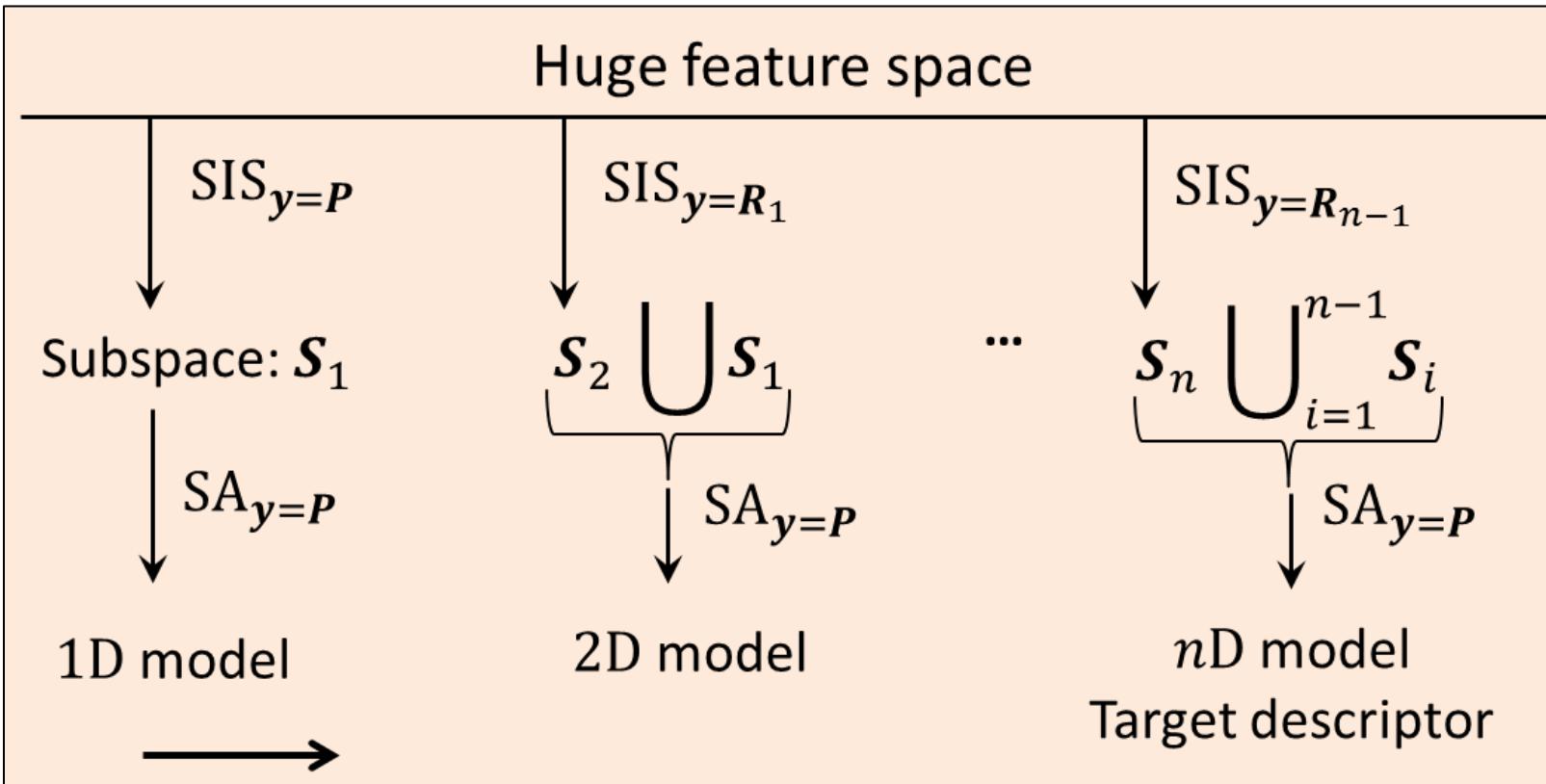


Enabling Feature Spaces with Billions of Elements by Sure Independence Screening

$\|c\|_1 = \sum_l |c_l|$ -- LASSO \rightarrow convex problem, equivalent to the NP-hard if features are uncorrelated \rightarrow not the case when many features are generated \rightarrow Sure Independence Screening plus Selection Operator (SISSO)

1. Systematically construct a huge feature space (10^{11}) from primary features: $\hat{R} = \{+, -, \cdot, ^{-1}, ^2, ^3, \sqrt{}, \exp, \log, /-\}$ (use physically meaningful combinations!)
2. Select top ranked features using *Sure Independence Screening (SIS)*^[1] (correlation learning). Select n features corresponding to the n largest projection on the target property, i.e. largest components of the vector ($\mathbf{D}^T \mathbf{y}$)
 - \mathbf{y} : vector with the target property (e.g., rock salt-zincblende energy differences; 82 elements)
 - \mathbf{D} : matrix of the feature space (e.g., 82 x 100 billion elements)
3. Apply a sparsifying operator (l_0 regularization) to the selected features to determine 1D, 2D,... descriptors

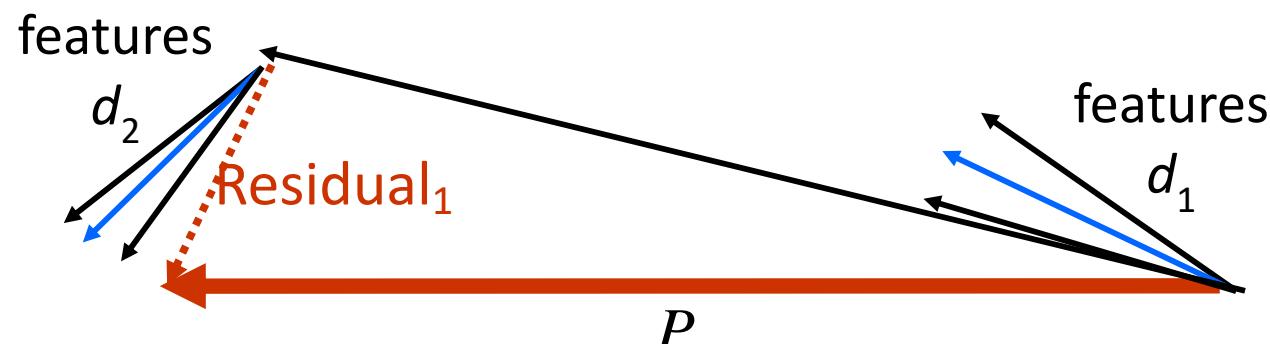
SISSO: Iterative residual fitting



y : response vector

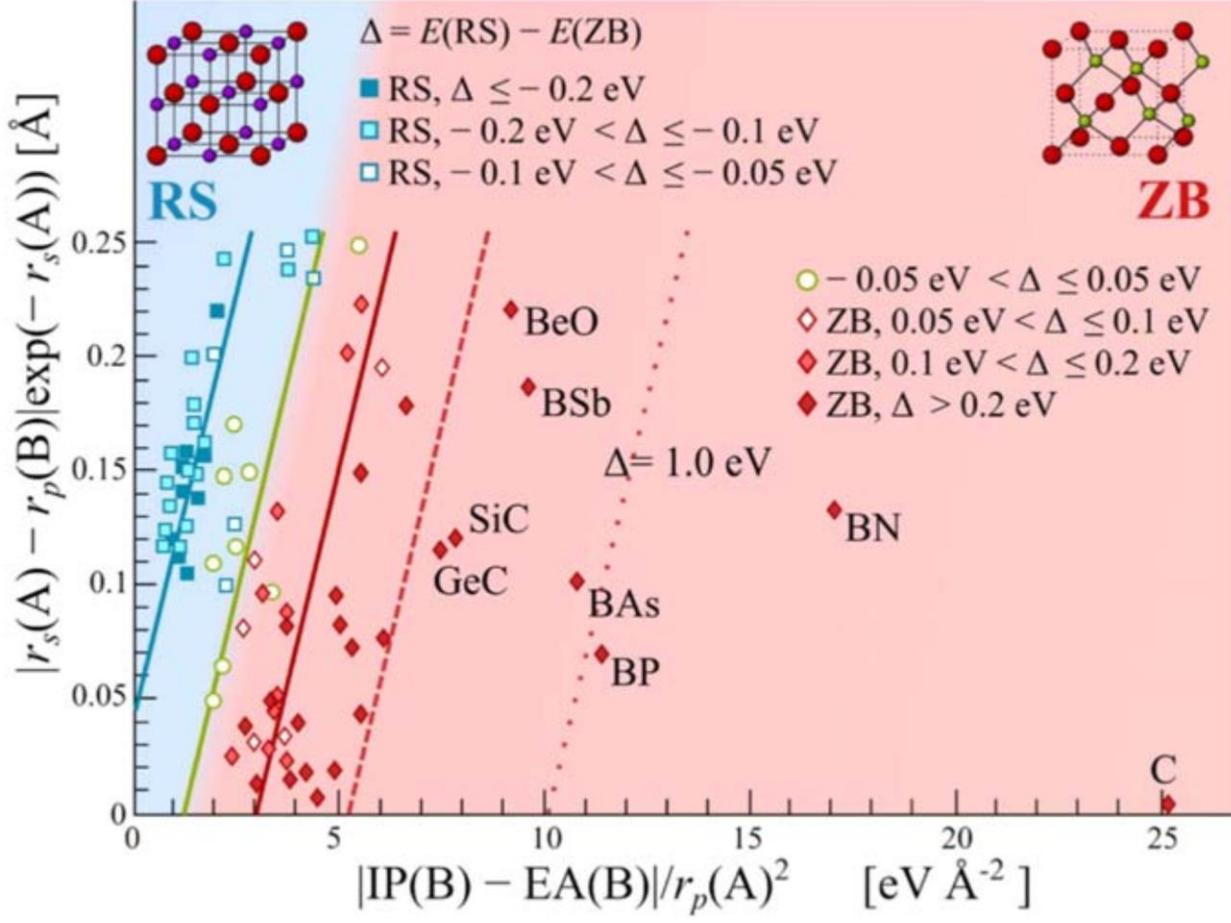
P : target material property

Residual: $R = P - \sum_i c_i d_i$

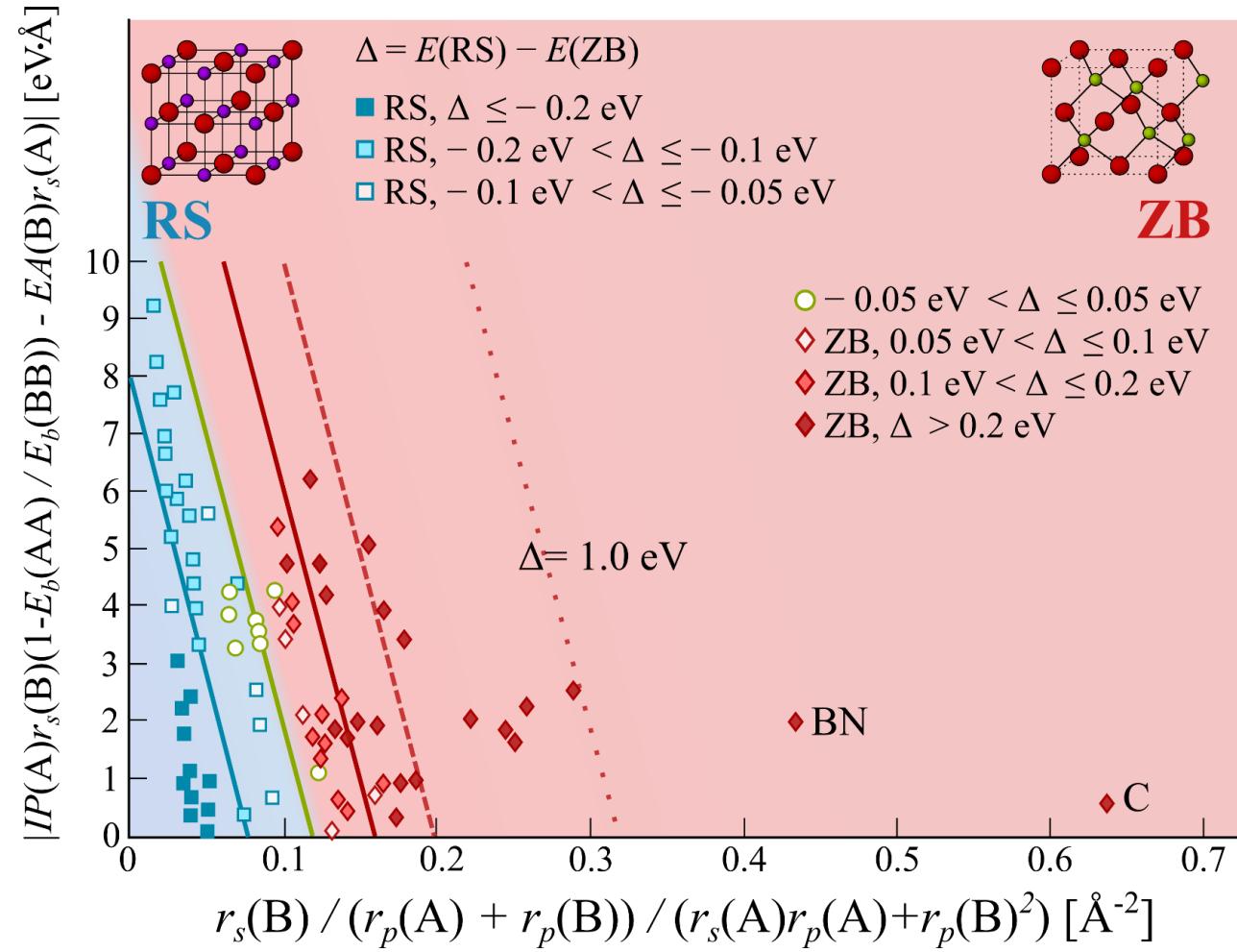


SISSO: Performance

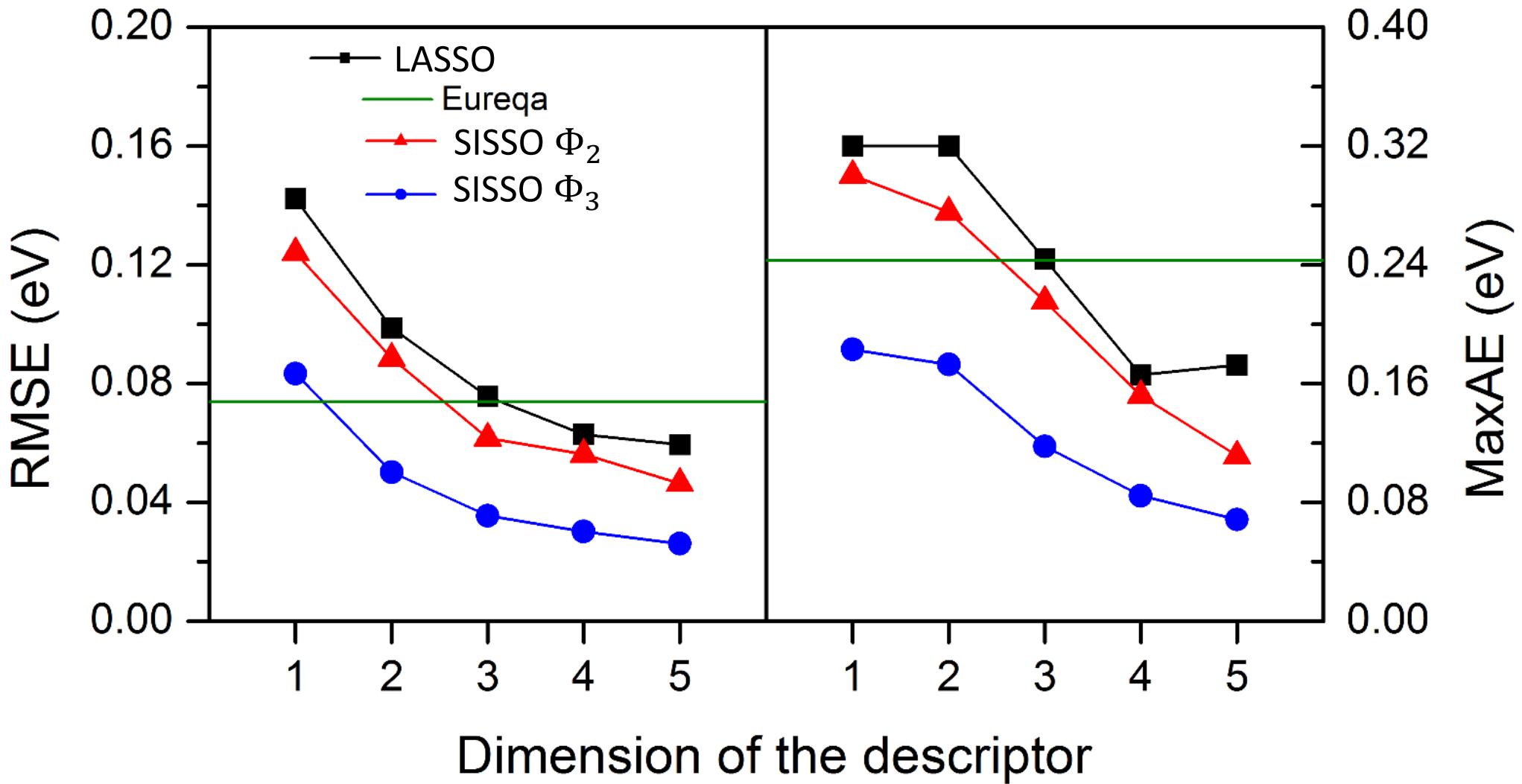
LASSO($+l_0$)



SISSO



SISSO: Performance



SISSO: Multitask and categorical

Multitask: Construct simultaneously SISSO models for several properties with the same descriptor

$$\min_{\mathbf{c}} \left(\lambda \|c_i^k\|_0 + \sum_k \frac{1}{N_{\text{samples}}^k} \sum_{\substack{\text{samples} \\ \text{in } k}} (P^k - \mathbf{d}\mathbf{c}^k)^2 \right) \rightarrow \mathbf{c}$$

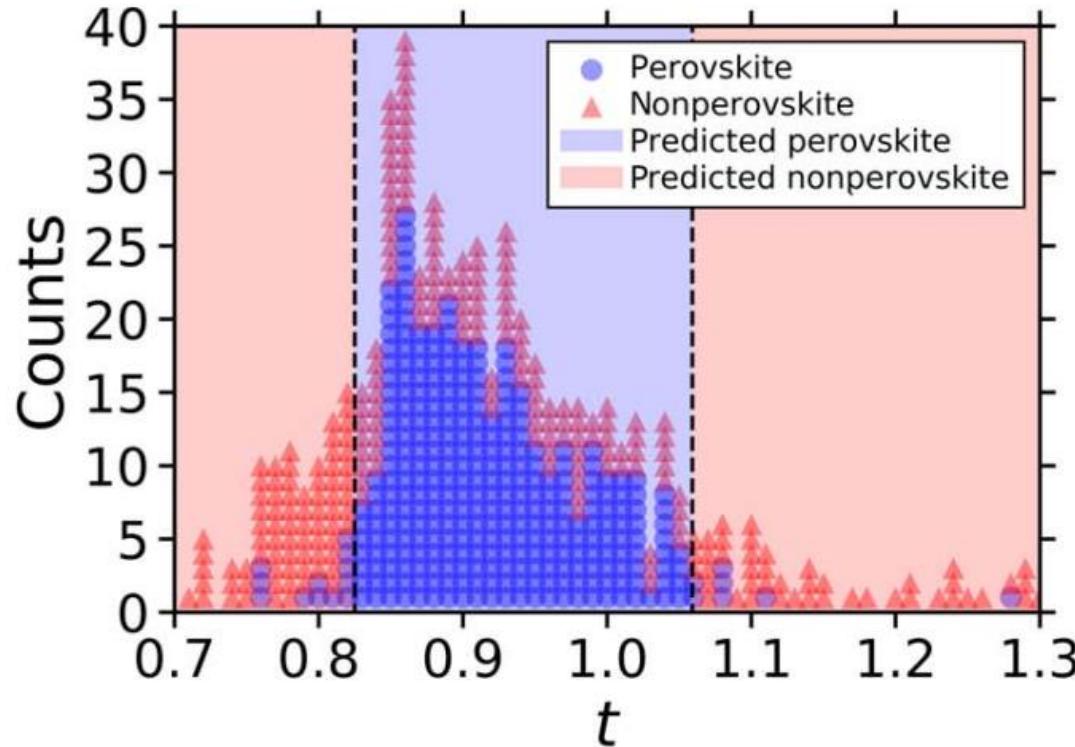
Categorical (can be also multitask): Property - material belongs to a given class (yes/no)

$$\min_{\mathbf{c}} \left(\lambda \|c_i^k\|_0 + \sum_{I=1}^{N_{\text{classes}}} \sum_{J \neq I} O_{IJ}(\mathbf{d}, \mathbf{c}) \right) \rightarrow \mathbf{c}$$

number of data in the overlap region between domains of different classes in d -space

SISSO: Examples

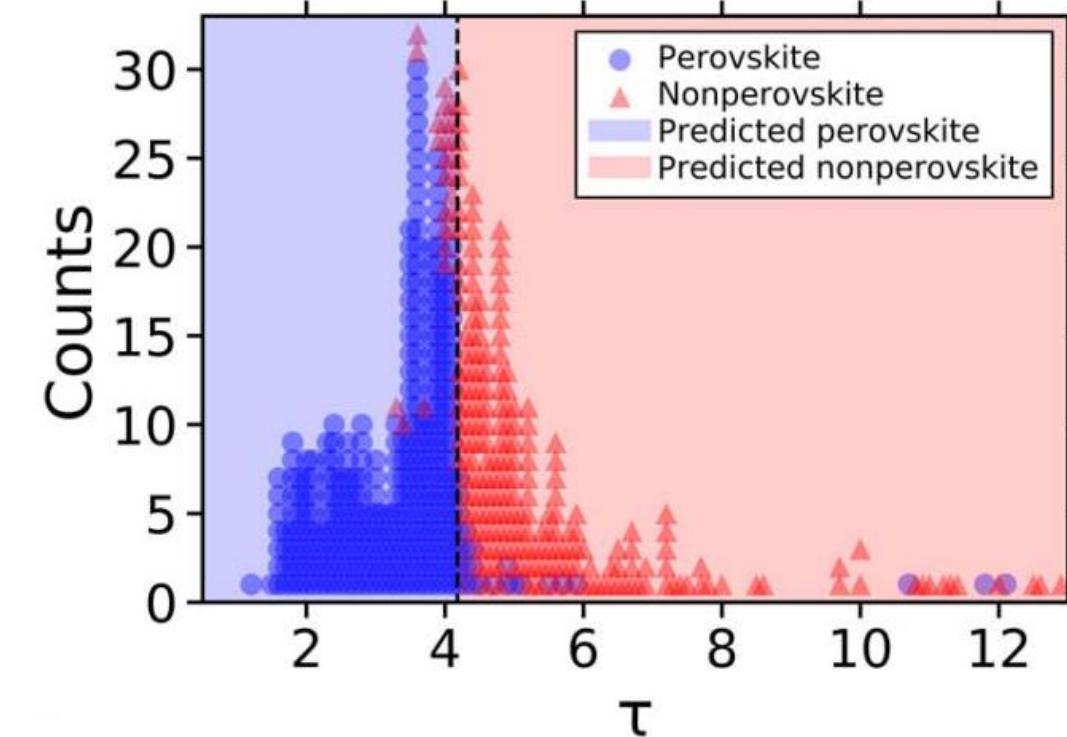
- Perovskite phase stability (improved tolerance factor)



Goldschmidt factor: accuracy 79%

$$0.825 < \frac{r_A+r_X}{\sqrt{2}(r_B+r_X)} < 1.059$$

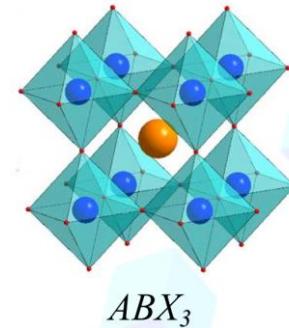
↗
ionic radii



New factor: accuracy 92%

$$\frac{r_X}{r_B} - n_A \left(n_A - \frac{r_A/r_B}{\ln(r_A/r_B)} \right) < 4.18$$

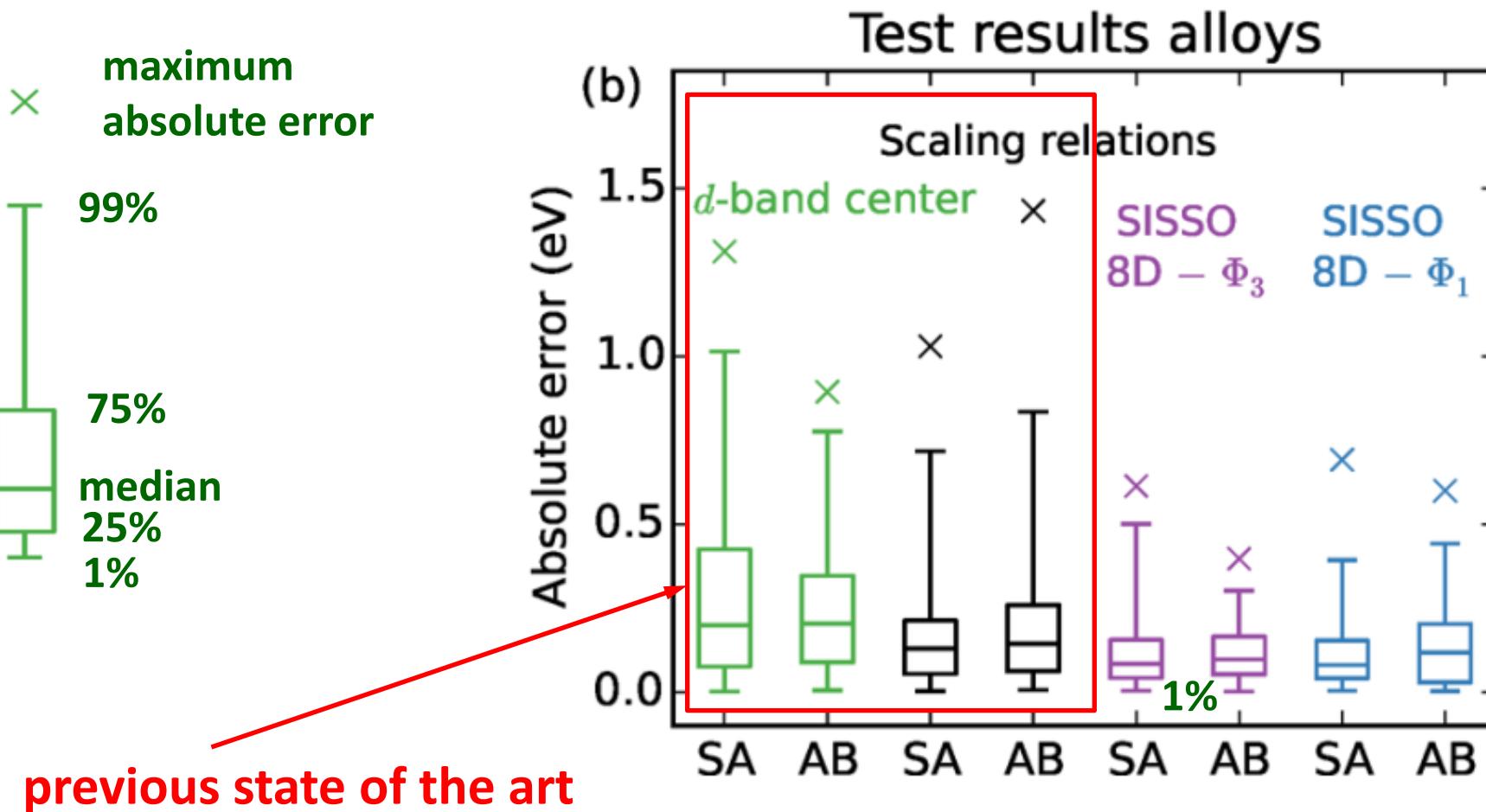
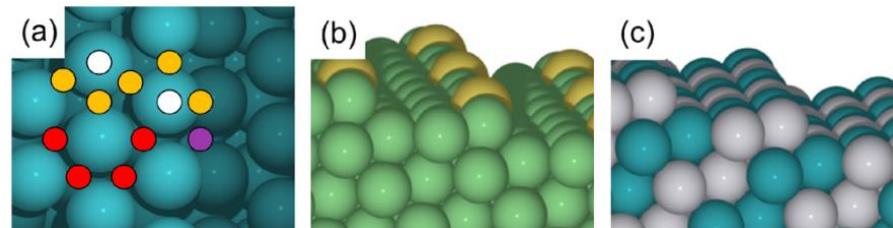
oxidation state



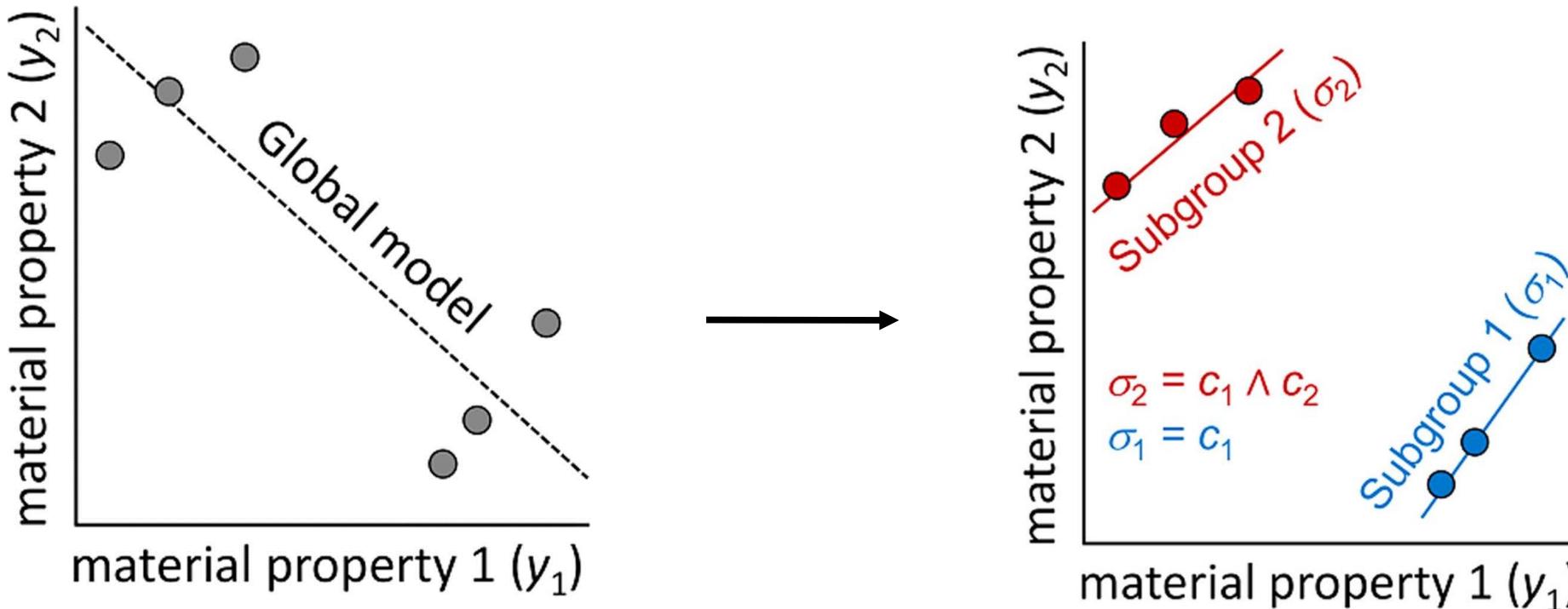
SISSO: Examples

- Adsorption of molecules on metal surfaces

Adsorption of C, CH, CO, H, O, OH)



Data mining: Subgroup discovery



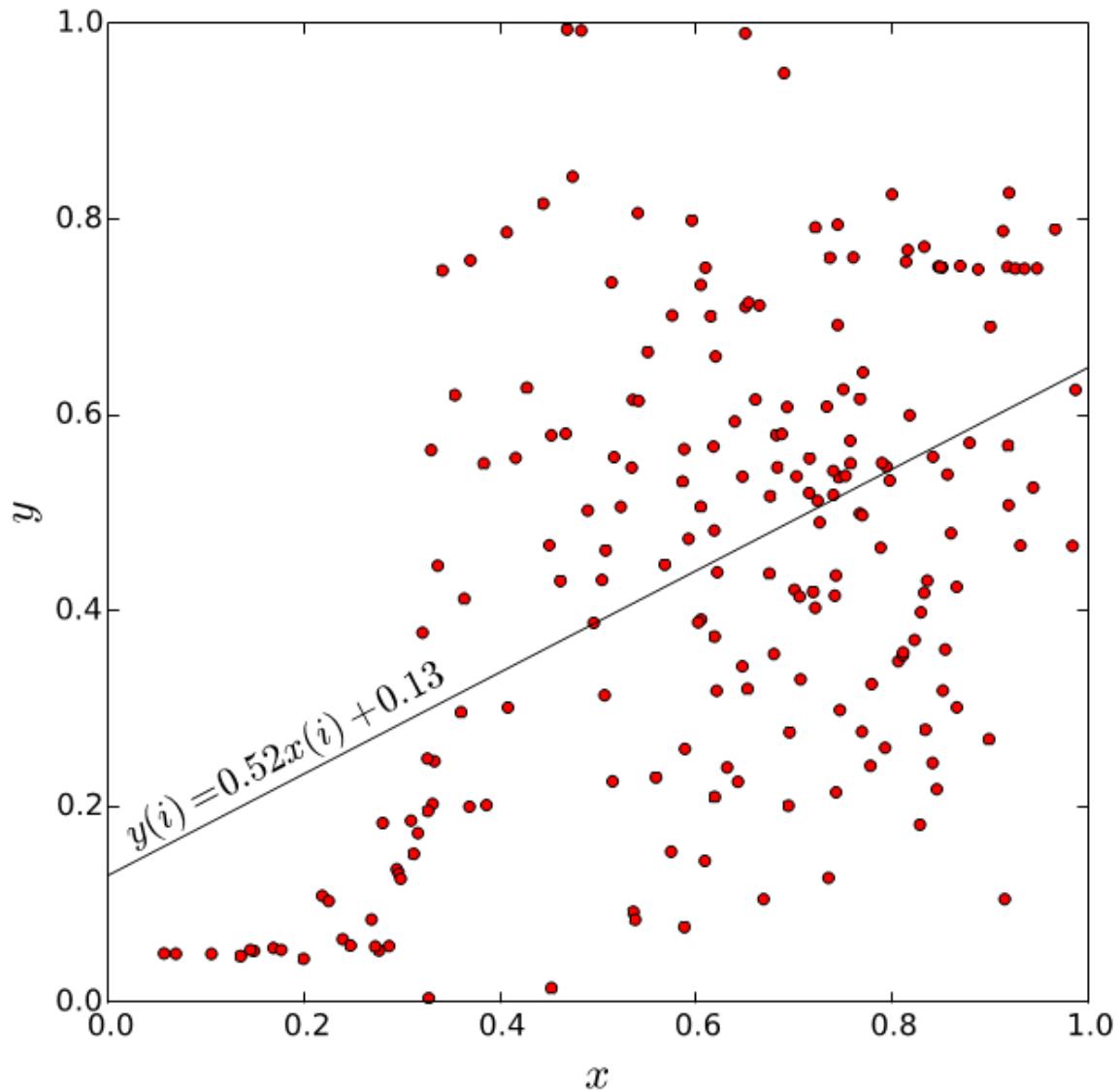
Subgroups are defined by selectors σ expressed as “AND” combinations of statements like “band gap < 2 eV”, “atom radius > 1.4 Å”, etc.

SGD algorithm: find subgroups that maximize *quality function*

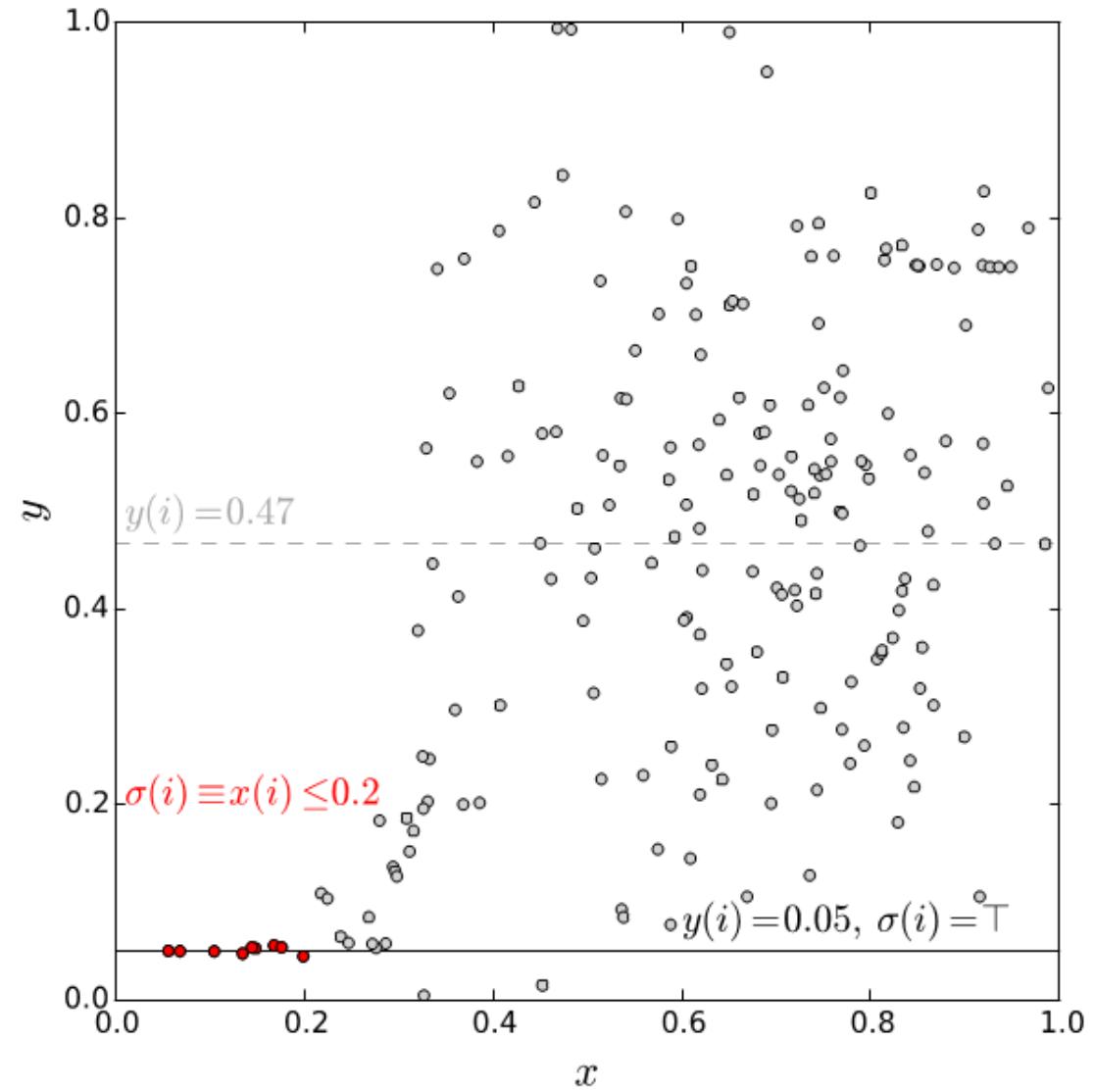
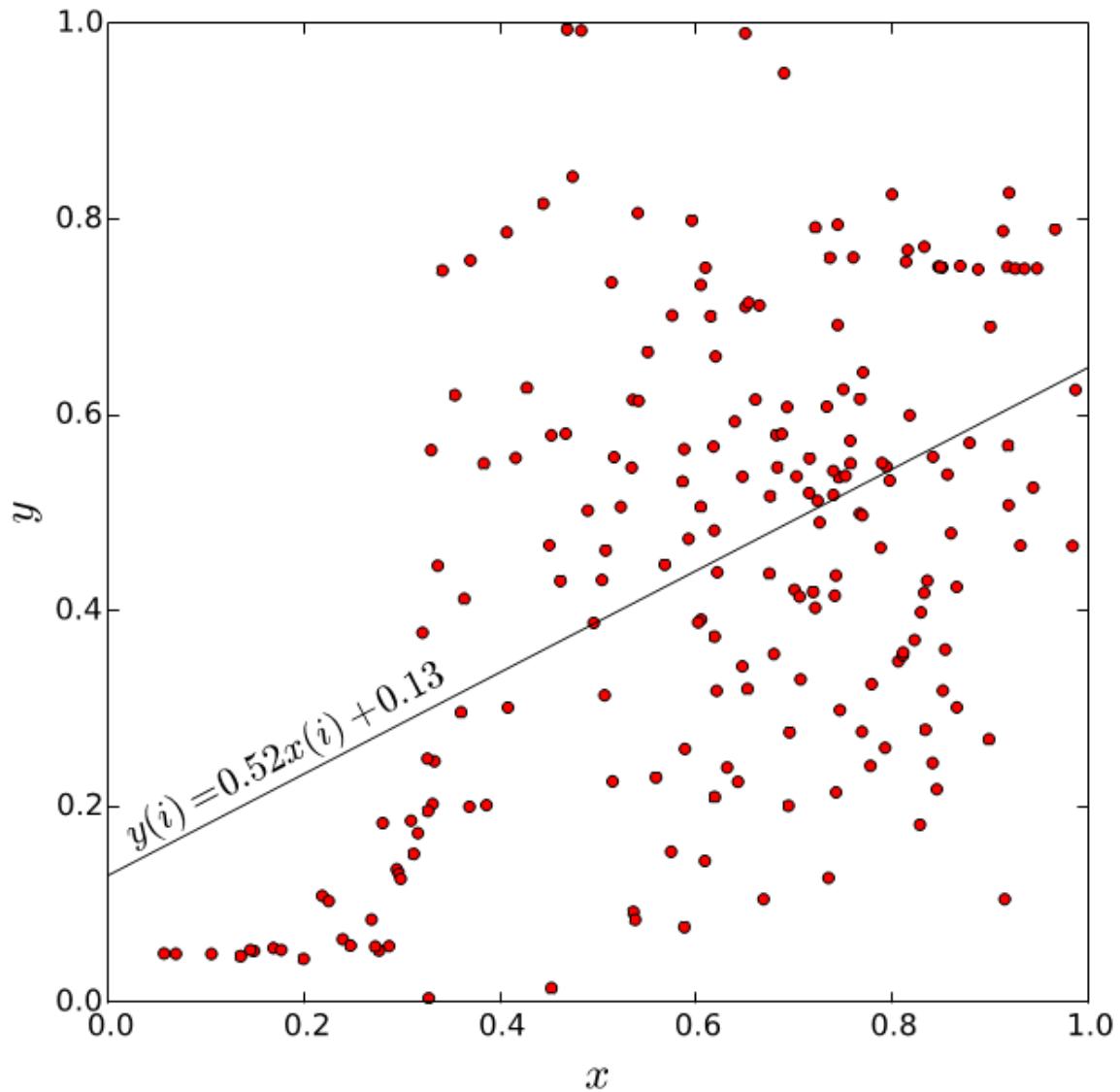
$$f = N_{\text{subgroup}} / N_{\text{all}} \times |\text{mean}_{\text{subgroup}} - \text{mean}_{\text{all}}| \times (1 - \text{variance}_{\text{subgroup}} / \text{variance}_{\text{all}})$$

Numerical separators (“band gap < 2 eV”) from k-means clustering (unsupervised learning)
Search for subgroups: Monte Carlo or branch-and-bound algorithm

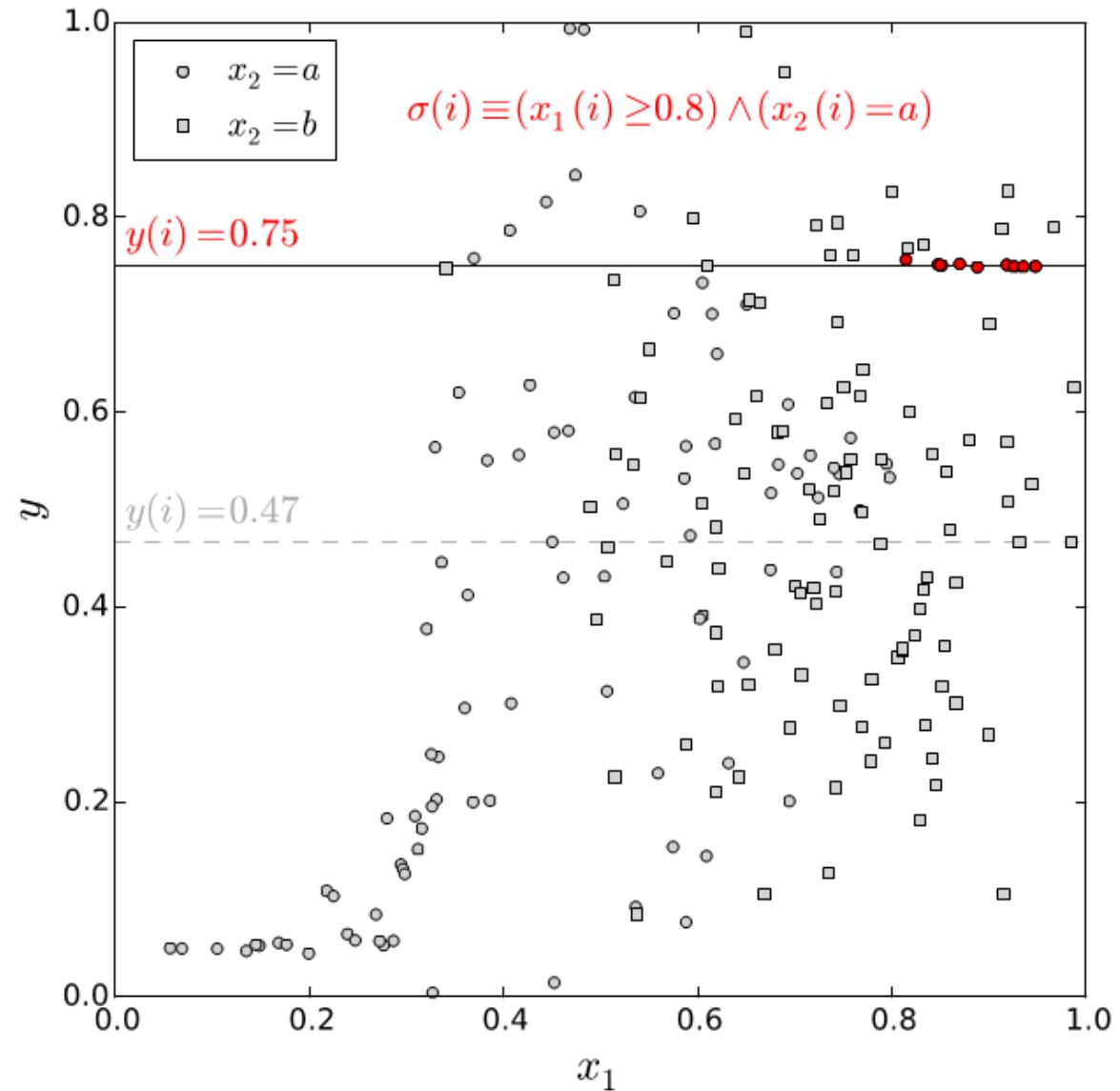
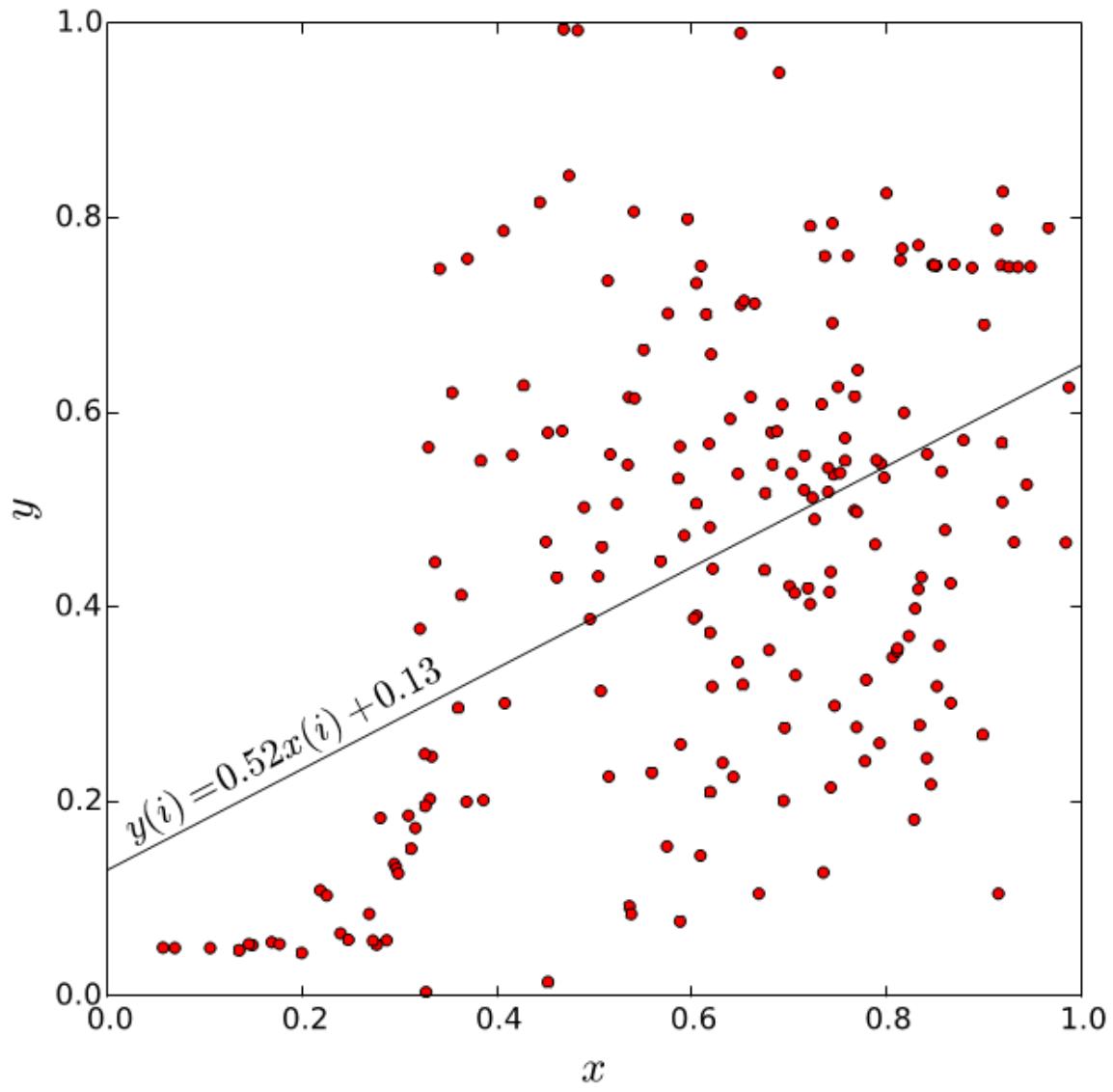
Data mining: Subgroup discovery



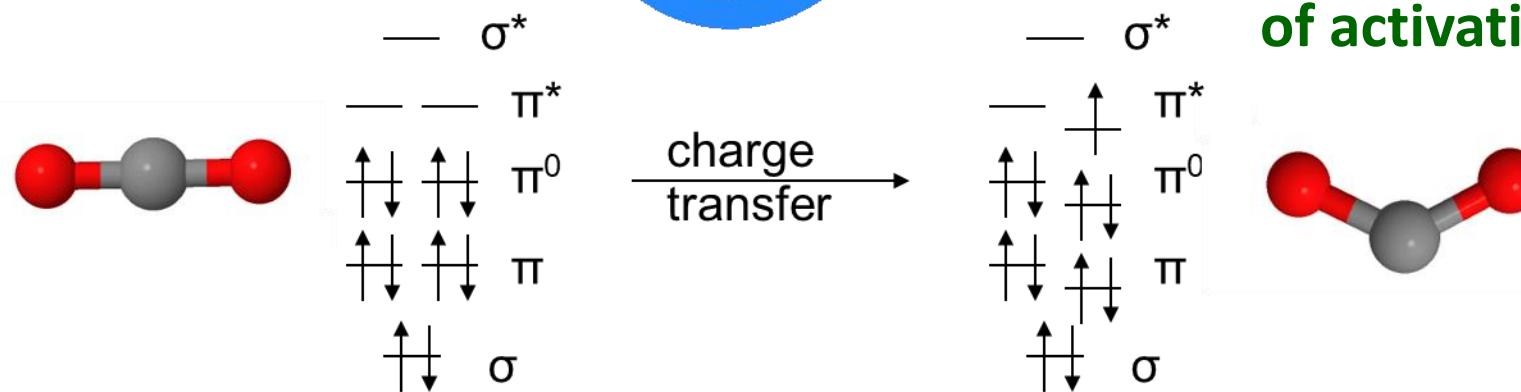
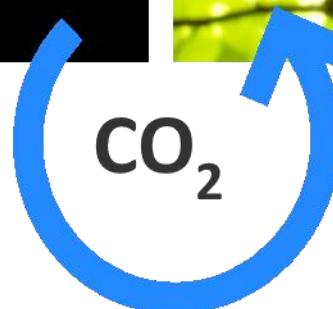
Data mining: Subgroup discovery



Data mining: Subgroup discovery

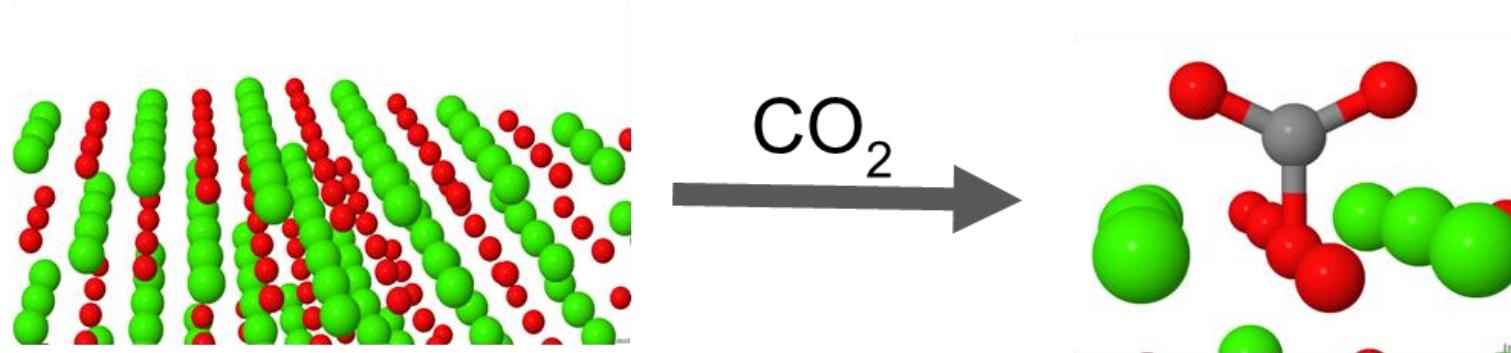
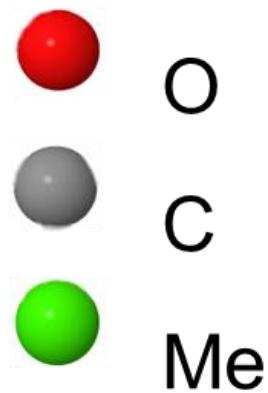


Subgroup discovery: CO₂ activation by adsorption



C-O bond elongation, O-C-O bending angle → indicators of activation

Subgroup discovery: CO₂ activation by adsorption



dry reforming of methane:
 $\text{CO}_2 + \text{CH}_4 = 2\text{H}_2 + 2\text{CO}$

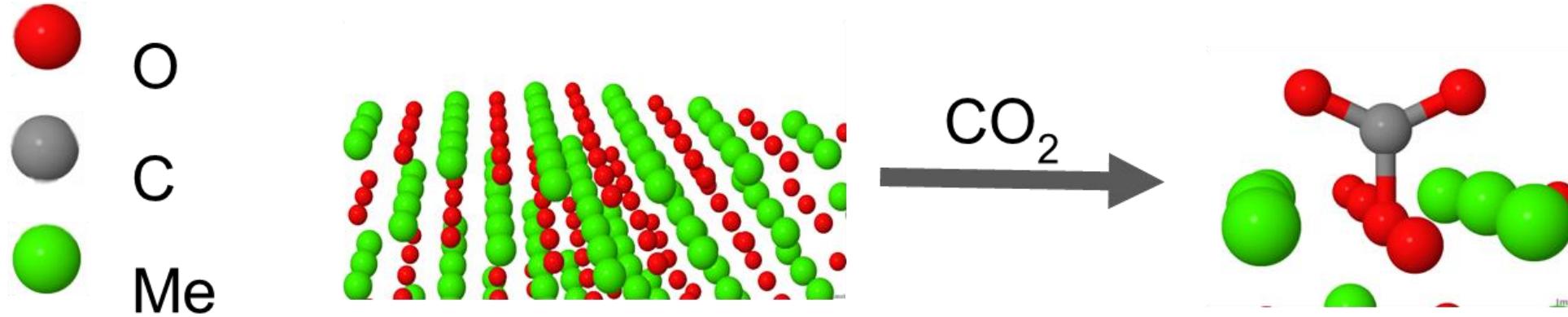
Sabatier reaction:
 $\text{CO}_2 + 4\text{H}_2 = \text{CH}_4 + 2\text{H}_2\text{O}$

partial hydrogenation:
 $\text{CO}_2 + 3\text{H}_2 = \text{CH}_3\text{OH} + \text{H}_2\text{O}$

Oxides:

- stable (structurally and compositionally) under increased temperatures;
- more resistant for poisoning;
- activation is frequently observed

Subgroup discovery: CO₂ activation by adsorption



C-O bond elongation, O-C-O bending angle → indicators of activation →

Which surface properties lead to desired indicators?

Use subgroup discovery to find materials that optimize activation indicators

$$f = \frac{N_{\text{subgroup}}}{N_{\text{all}}} \times (\text{mean}_{\text{subgroup}} - \text{mean}_{\text{all}}) \times (1 - \frac{\text{variance}_{\text{subgroup}}}{\text{variance}_{\text{all}}})$$

Maximize C-O bond length or O-C-O bending

Subgroup discovery: CO₂ activation by adsorption

$A^{2+}B^{4+}O_3$, $A^{3+}B^{3+}O_3$, $A^{1+}B^{5+}O_3$, AO, BO₂, A₂O₃ (B_2O_3), A₂O, BO

1 H 1.008	2 He 4.0026
3 Li 6.94	4 Be 9.0122
11 Na 22.990	12 Mg 24.305
19 K 39.098	20 Ca 40.078
37 Rb 85.468	38 Sr 87.62
55 Cs 132.91	56 Ba 137.33
87 Fr (223)	88 Ra (226)
3 Sc 44.956	4 Ti 47.867
5 V 50.942	6 Cr 51.996
23 Mn 54.938	25 Fe 55.845
27 Co 58.933	28 Ni 58.693
29 Cu 63.546	30 Zn 65.38
31 Ga 69.723	32 Ge 72.630
33 As 74.922	34 Se 78.97
35 Br 79.904	36 Kr 83.798
38 Y 88.906	39 Zr 91.224
41 Nb 92.906	42 Mo 95.95
43 Tc (98)	44 Ru 101.07
45 Rh 102.91	46 Pd 106.42
47 Ag 107.87	48 Cd 112.41
49 In 114.82	50 Sn 118.71
51 Sb 121.76	52 Te 127.60
53 I 126.90	54 Xe 131.29
57-71 * 178.49	72 Hf 180.95
73 Ta 183.84	74 W 186.21
75 Re 190.23	76 Os 192.22
77 Ir 195.08	78 Pt 196.97
79 Au 200.59	80 Hg 204.38
81 Tl 204.38	82 Pb 207.2
83 Bi (209)	84 Po (210)
85 At (210)	86 Rn (222)
89-103 # (265)	104 Rf (268)
105 Db (271)	106 Sg (270)
107 Bh (277)	108 Hs (276)
109 Mt (281)	110 Ds (280)
111 Rg (285)	112 Cn (286)
113 Nh (289)	114 Fl (289)
115 Mc (289)	116 Lv (293)
117 Ts (294)	118 Og (294)

* Lanthanide series

57 La 138.91	58 Ce 140.12	59 Pr 140.91	60 Nd 144.24	61 Pm (145)	62 Sm 150.36	63 Eu 151.96	64 Gd 157.25	65 Tb 158.93	66 Dy 162.50	67 Ho 164.93	68 Er 167.26	69 Tm 168.93	70 Yb 173.05	71 Lu 174.97
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Actinide series

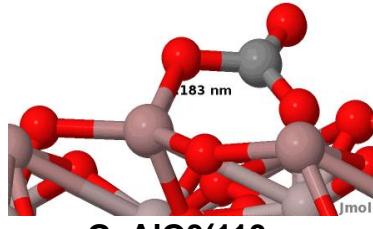
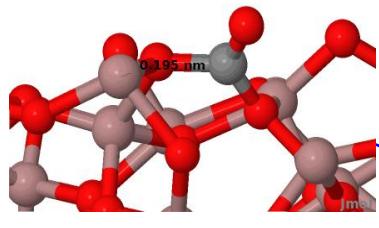
89 Ac (227)	90 Th 232.04	91 Pa 231.04	92 U 238.03	93 Np (237)	94 Pu (244)	95 Am (243)	96 Cm (247)	97 Bk (247)	98 Cf (251)	99 Es (252)	100 Fm (257)	101 Md (258)	102 No (259)	103 Lr (262)
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71 oxide materials

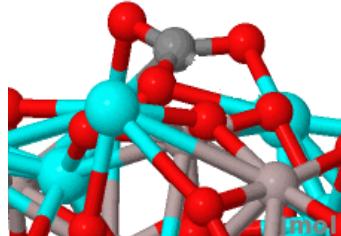
141 surfaces with Miller indexes ≤ 2

270 adsorption sites

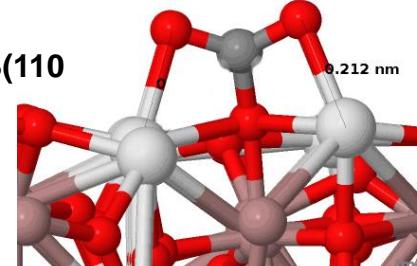
Subgroup discovery: CO_2 activation by adsorption



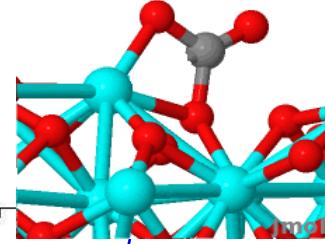
ortho-InAlO₃(121)



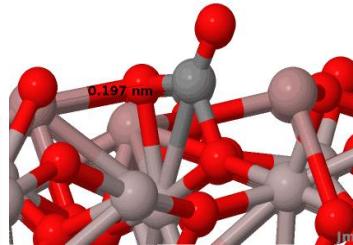
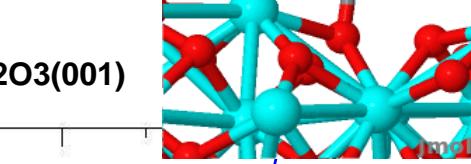
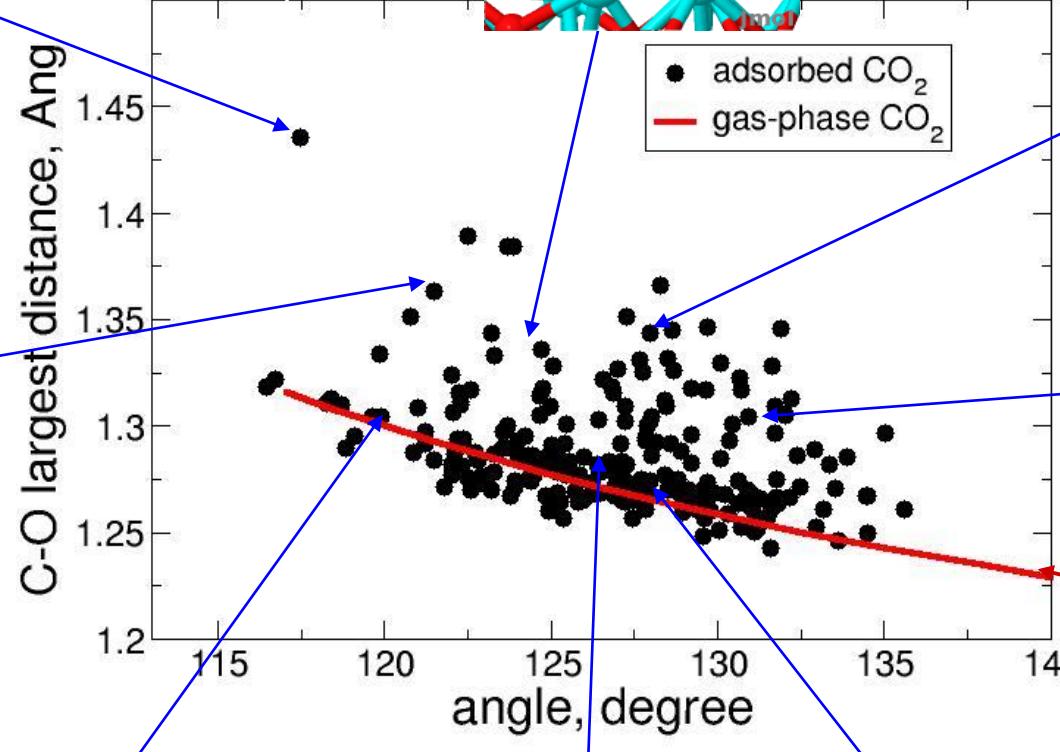
ScGaO₃(110)



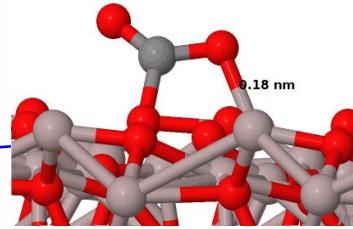
In₂O₃(001)



C-O largest distance, Ang



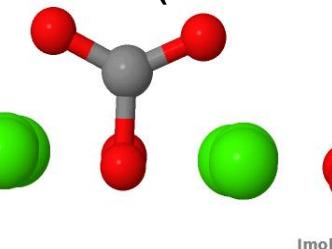
GaAlO₃(110)



Al₂O₃(010)

charged gas-phase CO_2

CaO(001)



jmol

Primary features

Atom:

electron affinity $r_{l(\text{HOMO})}, r_{l-1}, r_{l+1}$	ionization potential atomic numbers	electronegativity
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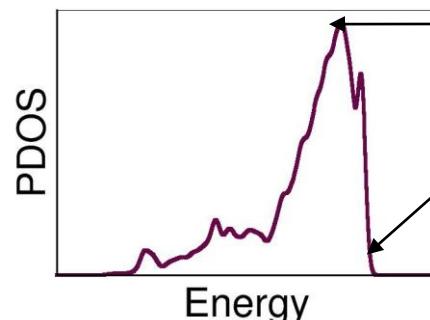
Material:

work function	band gap	Cbm	surface form. energy
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Site-specific features:

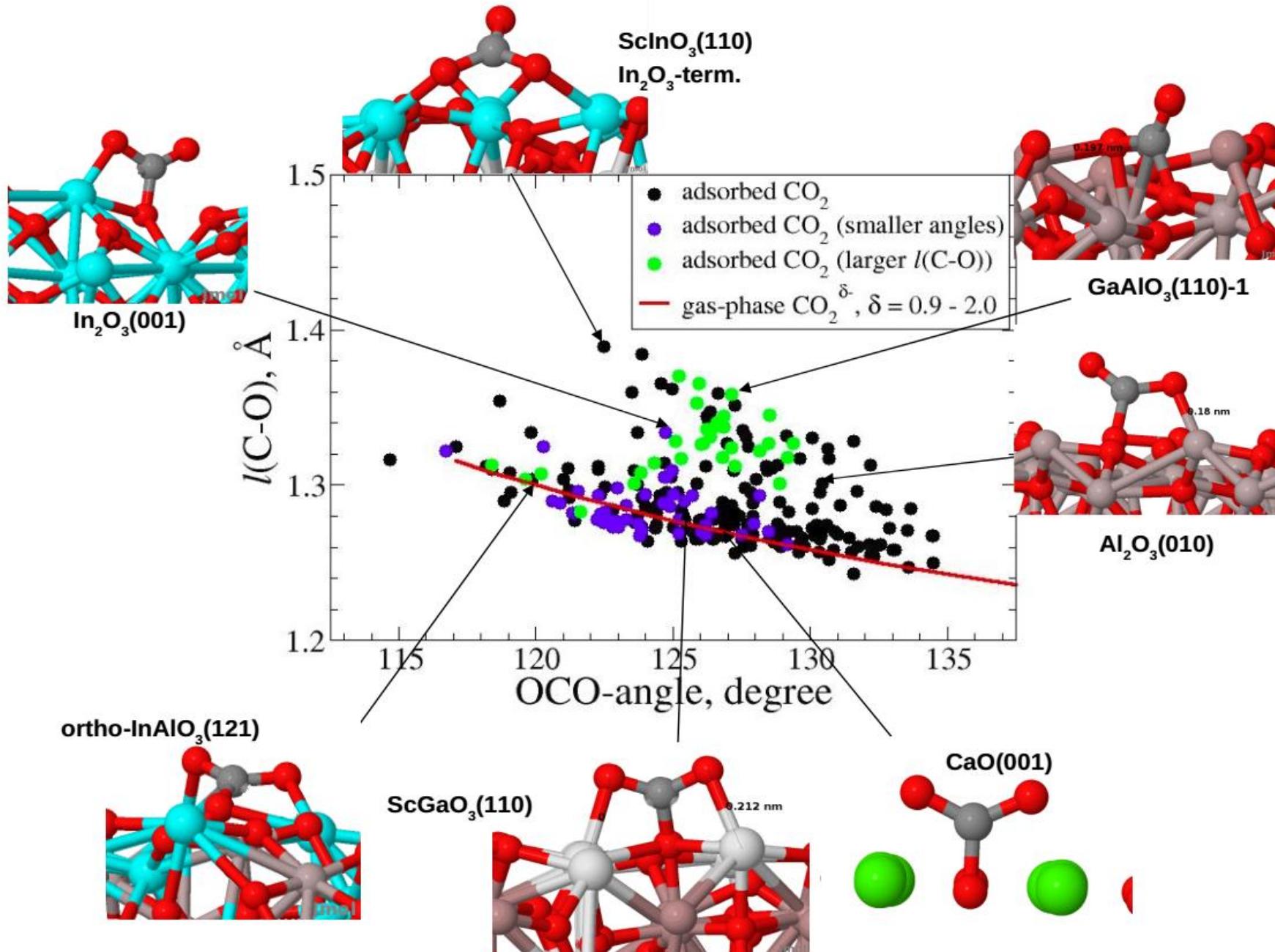
electrostatic potential	Hirshfeld charge	bond-valence of O
coordination number of O	vdW C_6 -coefficient	polarizability
distances to 1 st , 2 nd , 3 ^d nearest cations		local-structure parameters

features of
O 2p-PDOS



energy of maximum
energy of top
1st, 2nd, 3^d, 4th moments
DOS moments: center, width, skewness, kurtosis

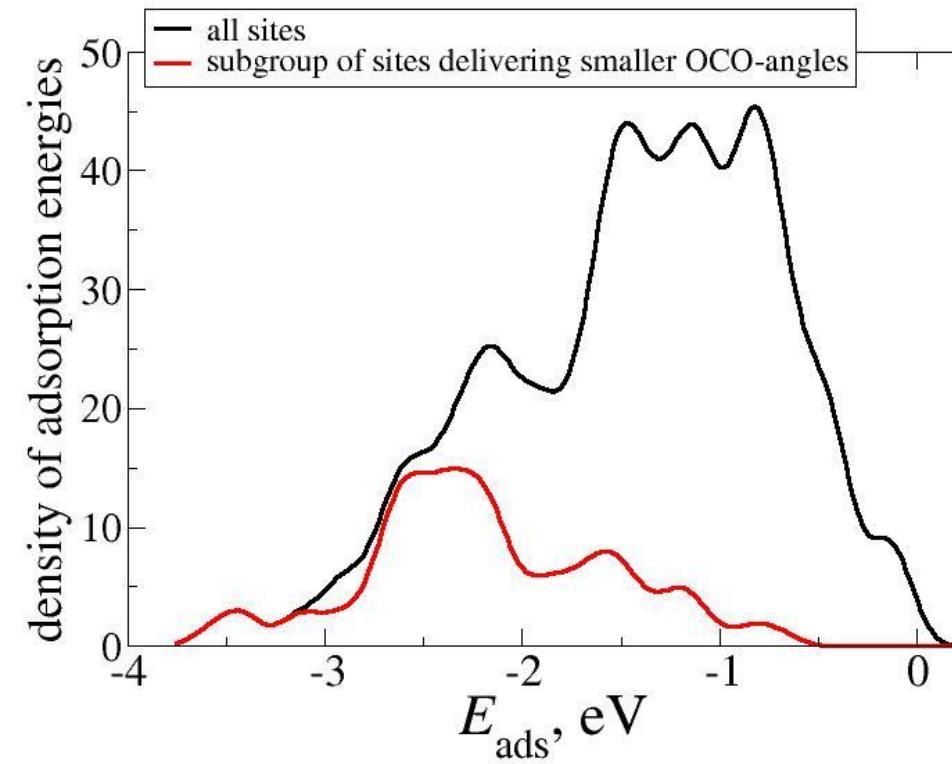
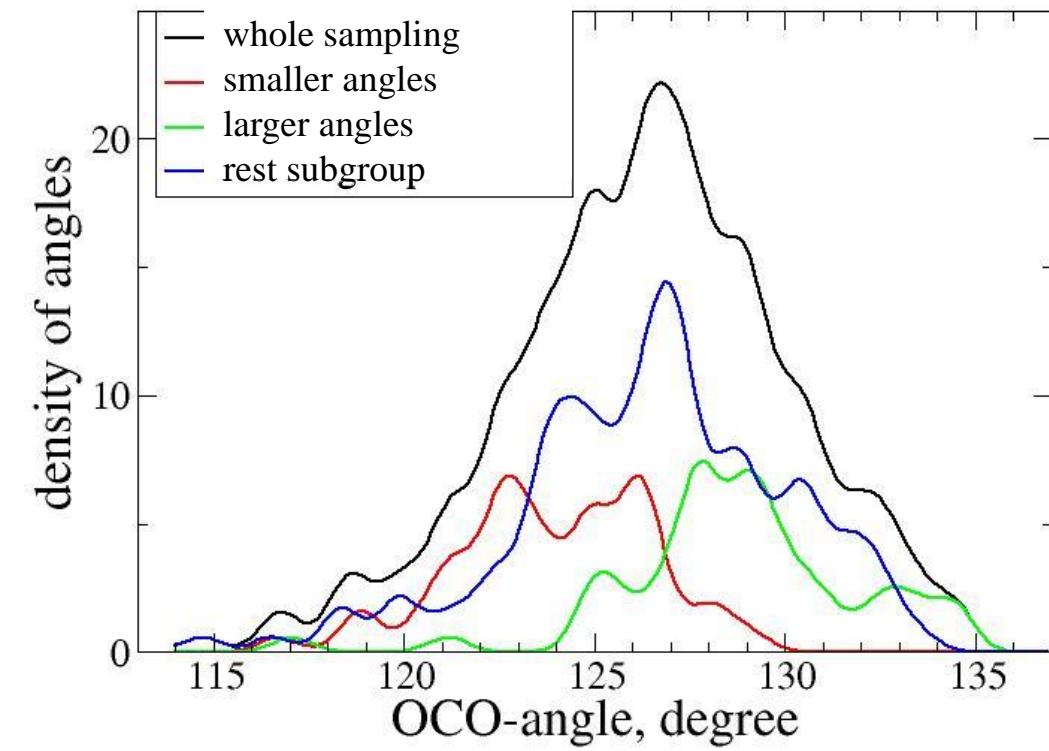
Subgroup discovery: Adsorbed CO₂ properties



Subgroup discovery: Analysis of the OCO angle

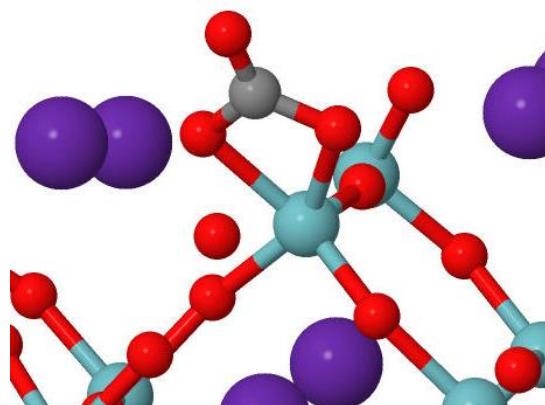
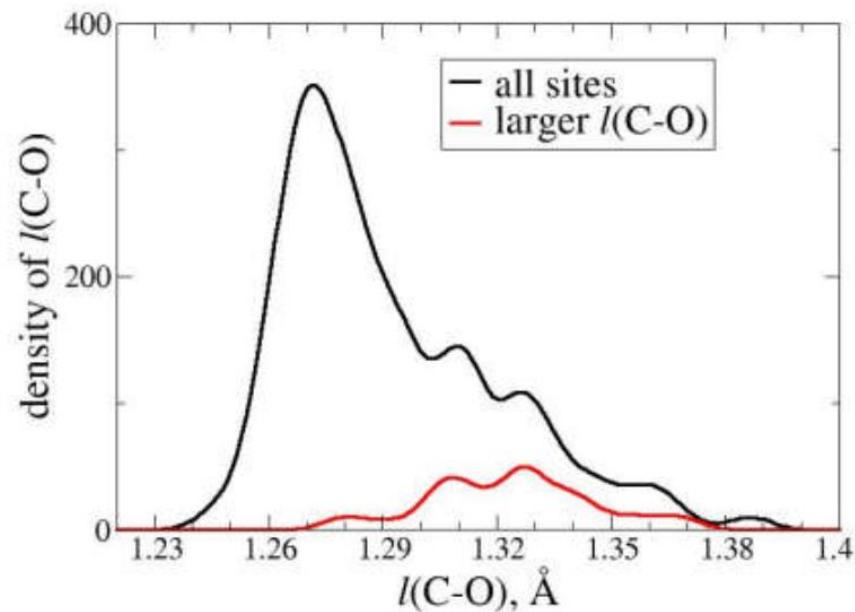
sites delivering smaller angles (59 adsorption sites):

(energy of O 2p band maximum > -6.0 eV) AND
(distance from O-site to first nearest cation > 1.8 Å) AND
(distance from O-site to second nearest cation > 2.1 Å)



Most of the site delivering smaller OCO angles are on ionic (basic) materials

Subgroup discovery: Analysis of the C-O bond length



sites delivering larger $l(\text{CO})$ (33 sites):

(cation charge < 0.5e) AND
(work function ≥ 5.2 eV) AND
(distance from O site to second nearest cation ≥ 2.14 Å)

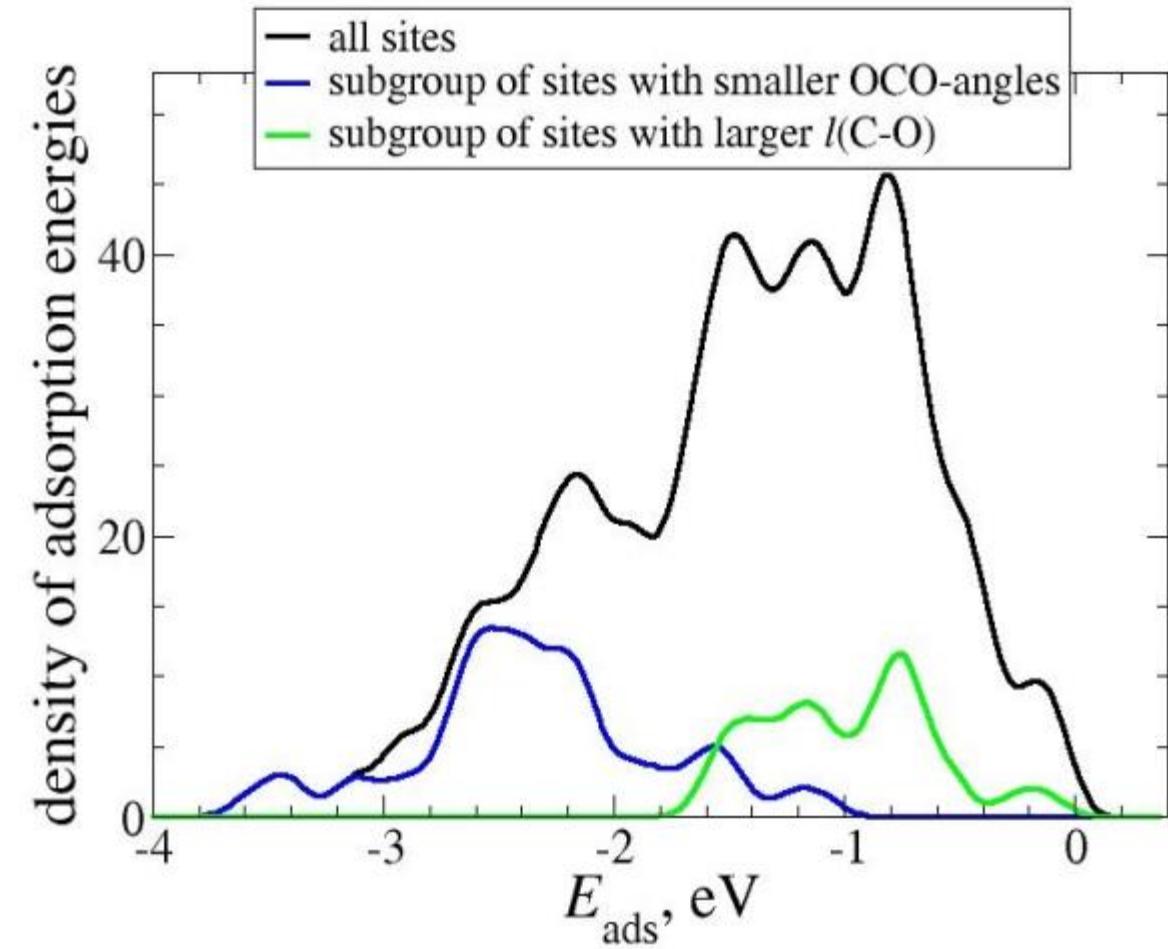
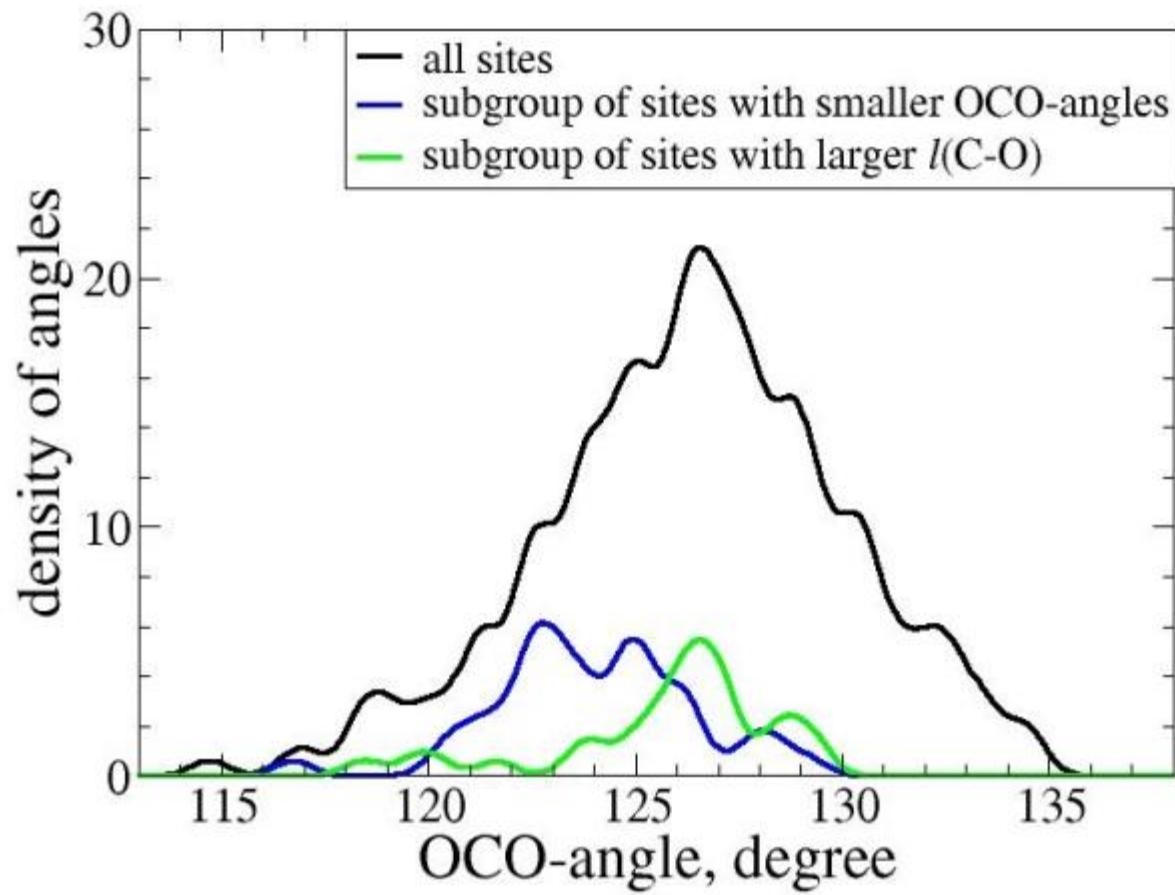
LaGaO_3 – cathode material in high-temperature electrochemical CO_2 reduction;

KNbO_3 – photocatalytic reduction of CO_2 into CH_4 ;

NaNbO_3 – photocatalyst for CO_2 reduction with $\sim 70\%$ of CO selectivity;

NaSbO_3 – material for CO_2 capture and storage (CCS)

Subgroup discovery: Alternative mechanisms of CO₂ activation



Longer C-O implies smaller OCO angles, but not too small → no catalyst poisoning

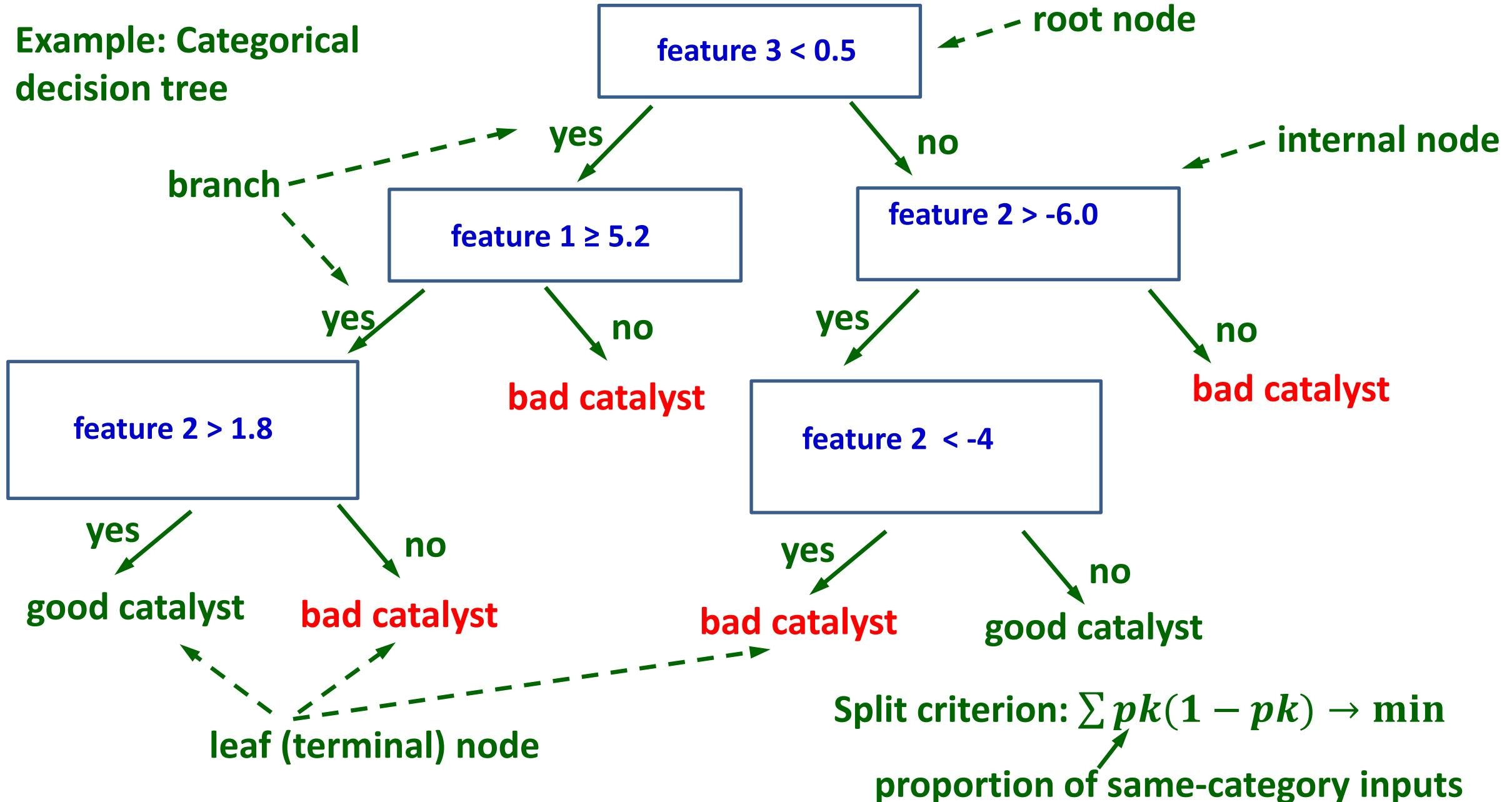
SISSO and SGD software

SISSO: <https://github.com/rouyang2017/SISSO>

Subgroup discovery: <https://bitbucket.org/realKD/creedo/wiki/Home>

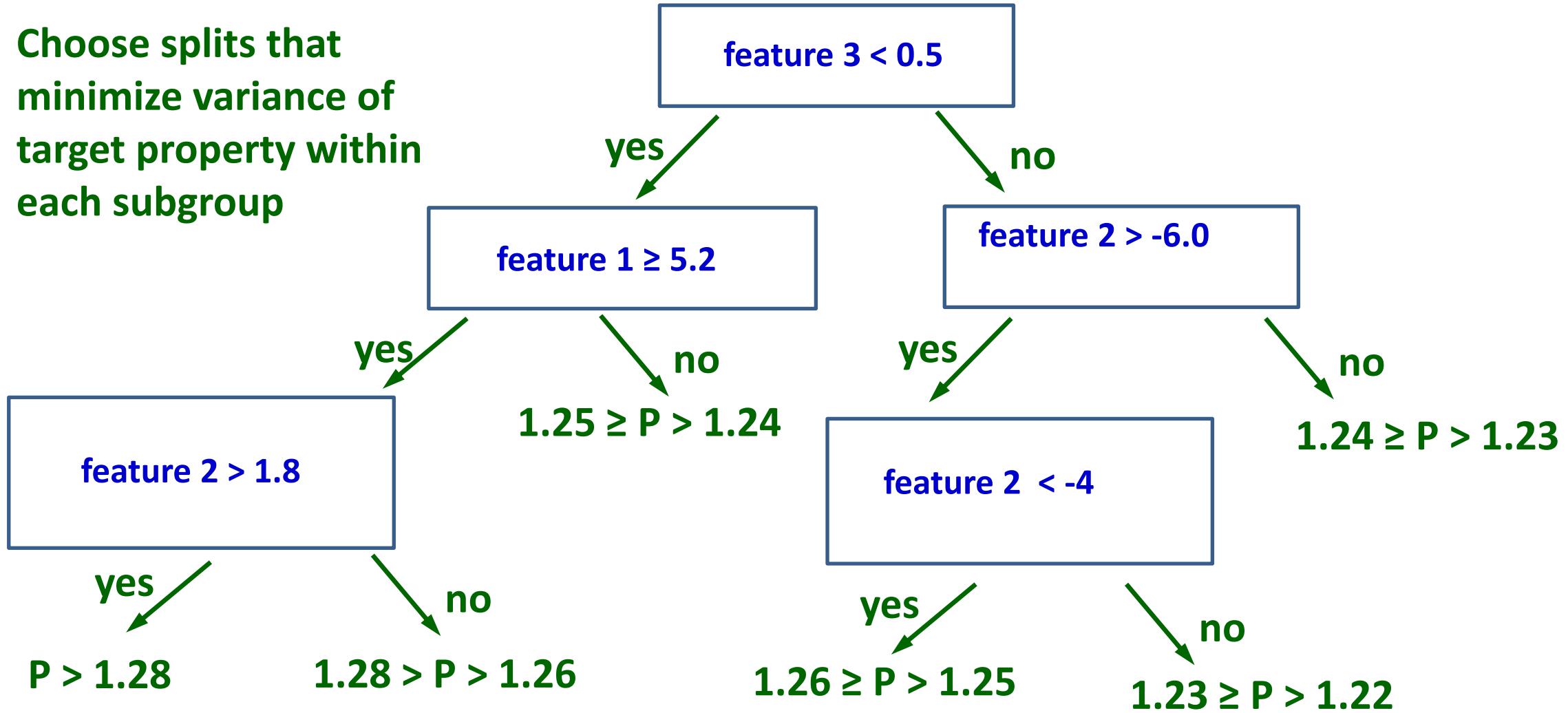
Decision trees

Example: Categorical decision tree



Decision tree regression

Choose splits that minimize variance of target property within each subgroup



Split criterion: $\sum(\text{target property} - \langle \text{target property} \rangle)^2 \rightarrow \min \text{ within each subgroup}$

Decision tree properties

- Simple to understand and interpret
- Global (important difference to subgroup discovery, which finds *locally unique* groups)
- Easy to overfit (can use LASSO-type penalty to solve this problem)
- Small change in data can lead to large change in the tree
- Relatively inaccurate

Random forest®

- 1) Perform tree regression or classification on several randomly selected subsets of data
- 2) In each tree, at each split choose randomly a fixed number of features, for which the best split is determined
- 3) Average predictions from the obtained trees

Properties:

- More accurate than a single tree (“each tree keeps other trees from making mistakes”)
- Interpretability of the model is lost
- Can be used to select primary features for other approaches such as SISSO

Random forest®

Interesting application: Identify most important surface structural features that determine surface stability

THE JOURNAL OF
PHYSICAL CHEMISTRY C

Cite This: *J. Phys. Chem. C* 2019, 123, 2321–2328

Article

pubs.acs.org/JPCC

Automatic Prediction of Surface Phase Diagrams Using Ab Initio Grand Canonical Monte Carlo

Robert B. Wexler,[†] Tian Qiu,[†] and Andrew M. Rappe^{*}

J | A | C | S
JOURNAL OF THE AMERICAN CHEMICAL SOCIETY

Cite This: *J. Am. Chem. Soc.* 2018, 140, 4678–4683

Article

pubs.acs.org/JACS

Chemical Pressure-Driven Enhancement of the Hydrogen Evolving Activity of Ni₂P from Nonmetal Surface Doping Interpreted via Machine Learning

Robert B. Wexler,[†] John Mark P. Martinez,[‡] and Andrew M. Rappe^{*,†}

Computational databases

General idea: Create infrastructure for storing, querying, and analyzing computational materials science data



The Materials Project

Harnessing the power of supercomputing and state of the art electronic structure methods, the Materials Project provides open web-based access to computed information on known and predicted materials as well as powerful analysis tools to inspire and design novel materials.

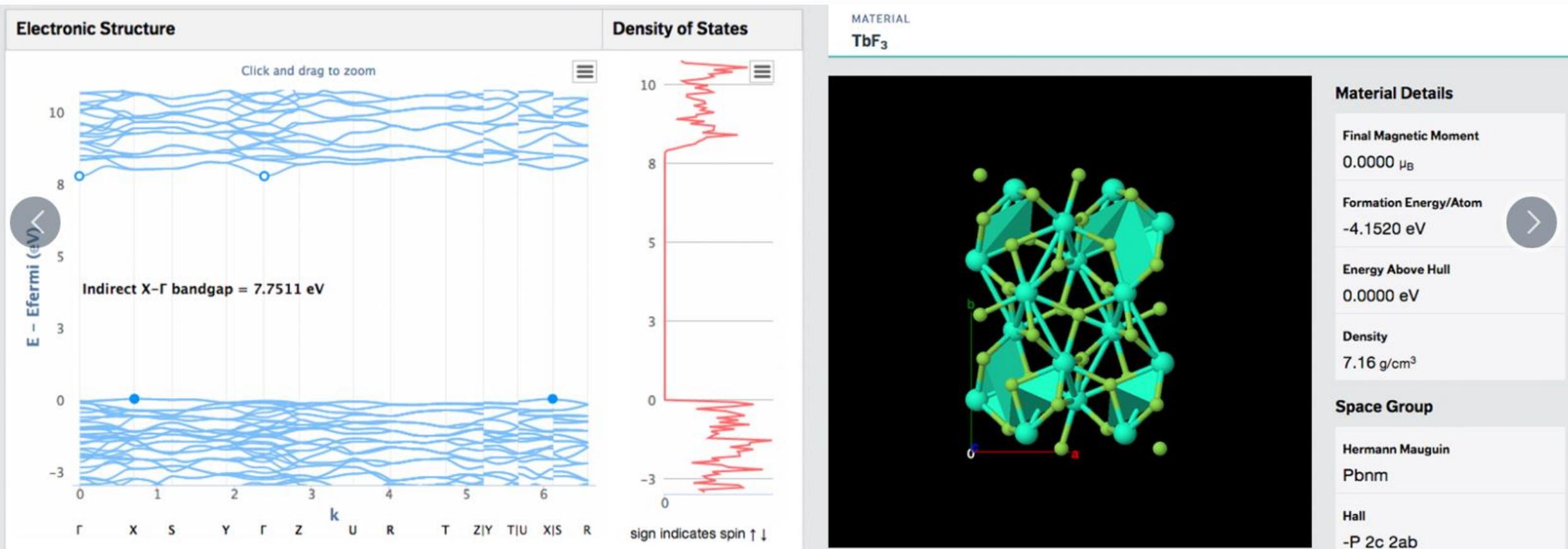
[Learn more](#) [Tutorials](#)[Sign In or Register](#)

to start using

Leaders: Kristin Persson (Lawrence Berkeley National Laboratory), Gerbrand Ceder (University of California at Berkeley)

Structures are mostly from ICSD database (<https://icsd.products.fiz-karlsruhe.de/>)

Materials Project: Features



EXPLORE MATERIALS

Search for materials information by chemistry, composition, or property

EXPLORE BATTERIES

Find candidate materials for lithium batteries. Get voltage profiles and oxygen evolution data.

VISUALIZE STABILITY

Generate phase and pourbaix diagrams to find stable phases and study reaction pathways

INVENT STRUCTURES

Design new compounds with our structure editor and substitution algorithms

CALCULATE

Calculate the enthalpy of 10,000+ reactions and compare with experimental values

Materials Project: Features

Database Statistics

131,613

76,194

49,705

530,243

INORGANIC COMPOUNDS

BANDSTRUCTURES

MOLECULES

NANOPOROUS MATERIALS

14,071

3,411

4,730

16,128

ELASTIC TENSORS

PIEZOELECTRIC TENSORS

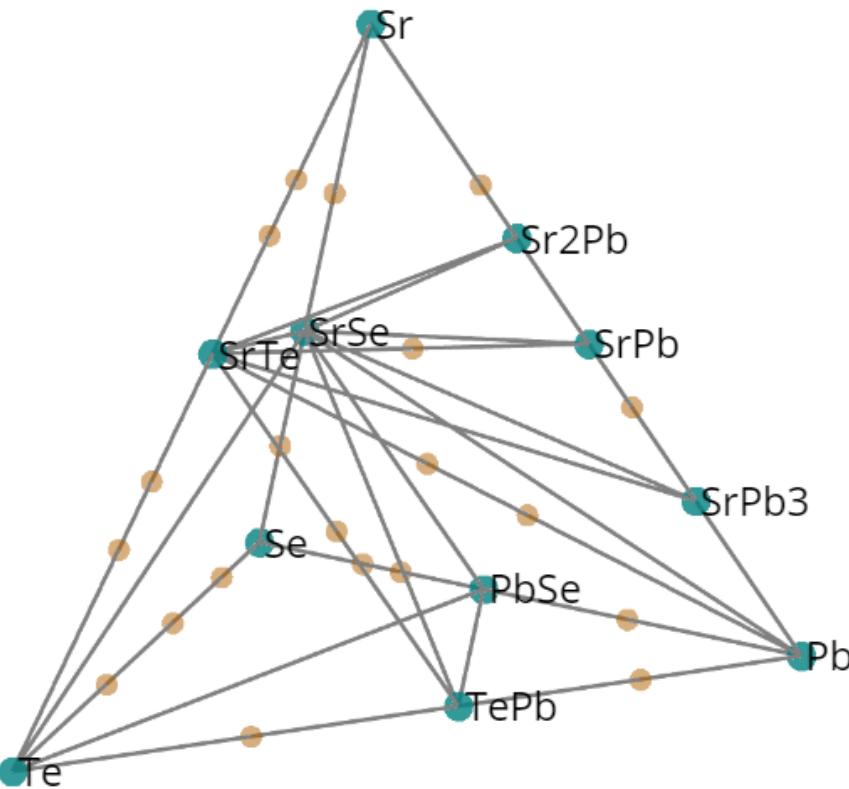
INTERCALATION ELECTRODES

CONVERSION ELECTRODES

All calculations are performed with GGA or GGA+U

Typical data: relaxed crystal structure, band structure, DOS, energy from the convex hull, elastic properties, X-ray absorption and diffraction spectra, piezoelectric tensors,

The OQMD is a database of DFT calculated thermodynamic and structural properties of **815,654** materials, created in [Chris Wolverton's](#) group at Northwestern University.



Shortcuts

Search

Material Compositions

Query

Materials Data

Create

Phase Diagrams

Determine

Ground State Compositions (GCLP)

Visualize

Crystal Structures

RESTful API

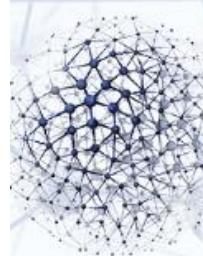
OQMD API
Optimade API

The Open Quantum Materials Database: Features

All calculations are performed with GGA or GGA+U

Structures include also hypothetical materials (not known experimentally)

Typical data: Formation and decomposition energies



AFLOW SCHOOL – Online

Welcome to AFLW, a globally available database of **3,312,125** material compounds with over **566,373,375** calculated properties, and growing.

323,516

band structures

125,496

Bader charges

6,049

elastic properties

6,038

thermal properties

1,724

binary systems

356,343

binary entries

30,071

ternary systems

2,400,160

ternary entries

150,621

quaternary systems

450,567

quaternary entries

AFLOW also offers online applications for property predictions using [machine learning](#), [prototype encyclopedia](#), and the generation of [convex hulls](#).

Automatic FLOW library: Features

Leader: Stefano Curtarolo (Duke University)

Calculations performed with GGA, GGA+U, ACBN0 (pseudo-hybrid)

Typical data: Relaxed geometries, electronic and phonon band structures, magnetic properties, thermodynamic properties

Provides tools for performing high-throughput calculations

[About](#)[Services](#)[Support](#)[Videos](#)[Tutorials](#)[Events](#)

NOMAD Lab

NOMAD Tutorial Series
1-2 Dec, 2020 - register now!

Oct 13, 2020 [NOMAD Tutorial 2 on Materials Encyclopedia: Registration open](#)



REPOSITORY &
ARCHIVE



MATERIALS
ENCYCLOPEDIA



ARTIFICIAL
INTELLIGENCE TOOLKIT



NOMAD
CoE

The NOMAD (Novel Materials Discovery) Laboratory

Leader: Matthias Scheffler (Fritz Haber Institute of Max Planck Society)

Both a database and a repository (store your data)

Includes data from AFLOW, OQMD, Materials Project

Automatic parsing of inputs and outputs from all major electronic-structure packages

Common format (metadata) for data from different electronic-structure packages

Parsable data: Total energies, geometry optimization, molecular dynamics, thermodynamic properties



AiiDA

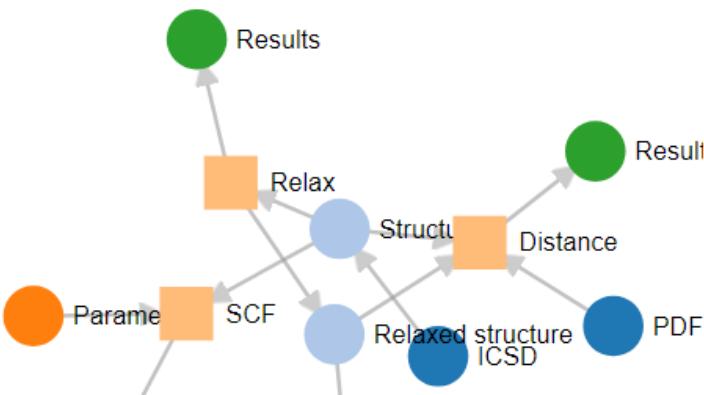
Automated Interactive Infrastructure and Database for Computational Science

-  [Workflows](#)
-  [Data provenance](#)
-  [Plugin framework](#)
-  [HPC Interface](#)
-  [Open Science](#)
-  [Open source](#)

If you use AiiDA please cite:

AiiDA 1.0: S.P. Huber et al. arXiv:2003.12476 (2020)

AiiDA 0.x: G. Pizzi et al. Comp. Mat. Sci. 111, 218-230 (2016) (open access)



Most recent news

2020 Questionnaire results – AiiDA papers & testimonials

The results of the annual questionnaire on AiiDA-powered research projects are out! Find them on...

AiiDA v1.2.0 released

A new AiiDA release v1.2.0 is available! You can find more information at our download...

Pre-prints of upcoming AiiDA & Materials Cloud papers now available

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Thanks to the folks at NumFOCUS, AiiDA is participating in the Google Summer of Code...

AiiDA v1.1.1 released

A new AiiDA release v1.1.1 is available! You can find more information at our download...

Notes from AiiDA hackathon on plugin and workflow development

The AiiDA hackathon held at CINECA from February 17th-21st 2020 featured a number of presentations...

AiiDA v1.1.0 released

A new AiiDA release v1.1.0 is available! You can find more information at our download...

Automated Interactive Infrastructure and Database for Computational Science (AiiDA)

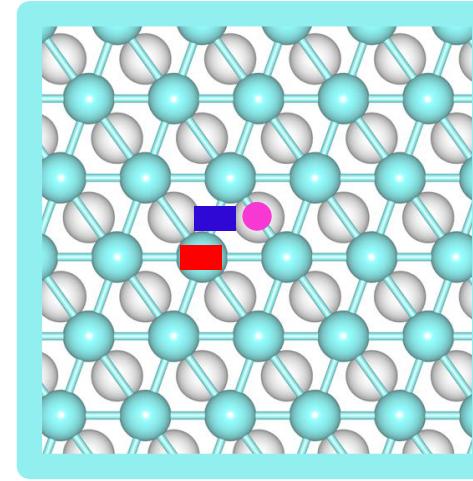
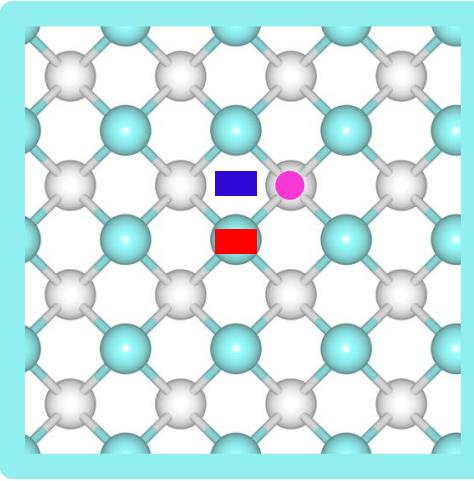
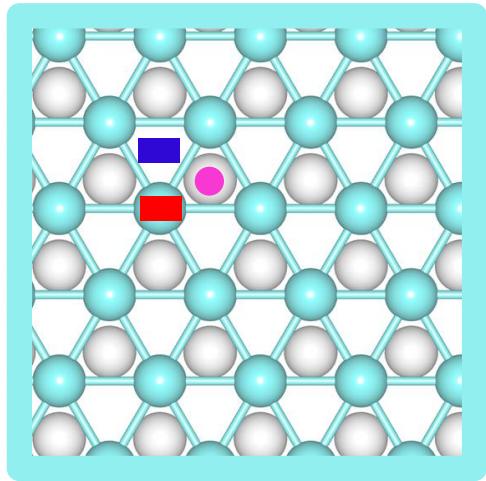
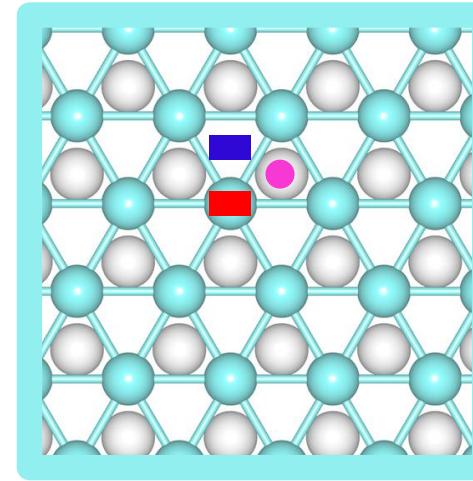
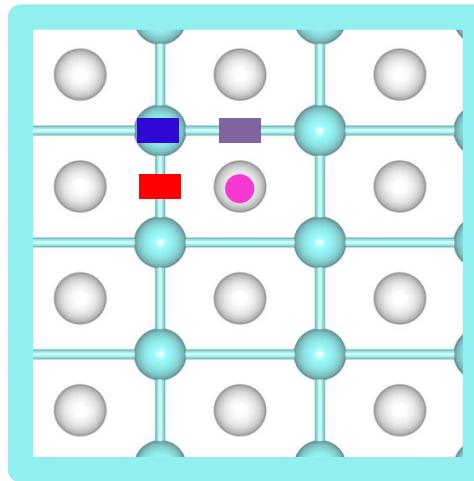
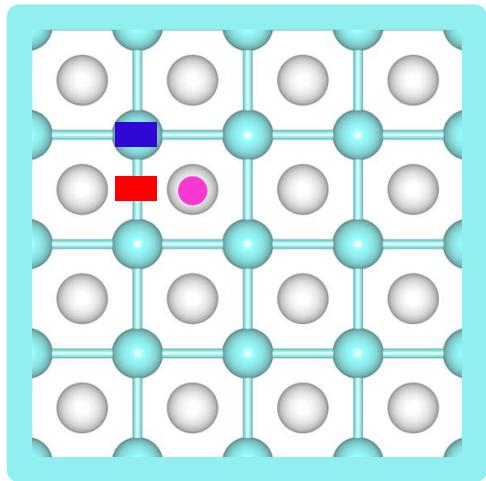
Leader: Nicola Marzari (EPFL, Switzerland)

Provides tools for performing high-throughput calculations



SISSO tutorial

Example: Water molecule adsorption energy on metal surfaces: *d*-band center versus SISSO



Training data:
45 different transition metal surfaces
adsorption energies of the most stable adsorption configurations
(totally 45 data points)

SISSO tutorial: Primary features

Class	Name	Abbreviation
Atomic	Atom radius	R
	Electronegativity	E
	HOMO	H
	LUMO	L
	Ionization energy	I
Bulk	d band center	DB
	Fermi energy	F
Surface	d band center	DS
	Chemical potential	C
	Coordination number	CN
	Effective coordination number	ECN