

## Measuring complexity

- Goals in designing programs
  1. It returns the correct answer on all legal inputs
  2. It performs the computation efficiently
- Typically (1) is most important, but sometimes (2) is also critical, e.g., programs for collision detection
- Even when (1) is most important, it is valuable to understand and optimize (2)

## How do we measure complexity?

- Given a function, would like to answer: “How long will this take to run?”
- Could just run on some input and time it.
- Problem is that this depends on:
  1. Speed of computer
  2. Specifics of Python implementation
  3. Value of input
- Avoid (1) and (2) by measuring time in terms of number of basic steps executed

## Computational complexity

- How much time will it take a program to run?
- How much memory will it need to run?
- Need to balance minimizing computational complexity with conceptual complexity
  - Keep code simple and easy to understand, but where possible optimize performance

## Measuring basic steps

- Use a **random access machine (RAM)** as model of computation
  - Steps are executed sequentially
  - Step is an operation that takes constant time
    - Assignment
    - Comparison
    - Arithmetic operation
    - Accessing object in memory
- For point (3), measure time in terms of size of input

But complexity might depend on value of input?

```
def linearSearch(L, x):  
    for e in L:  
        if e == x:  
            return True  
    return False
```

- If x happens to be near front of L, then returns True almost immediately
- If x not in L, then code will have to examine all elements of L
- Need a general way of measuring

## Example

```
def fact(n):  
    answer = 1  
    while n > 1:  
        answer *= n  
        n -= 1  
    return answer
```

- Number of steps
  - 1 (for assignment)
  - $5*n$  (1 for test, plus 2 for first assignment, plus 2 for second assignment in while; repeated n times through while)
  - 1 (for return)
- $5*n + 2$  steps
- But as n gets large, 2 is irrelevant, so basically  $5*n$  steps

## Cases for measuring complexity

- **Best case:** minimum running time over all possible inputs of a given size
  - For linearSearch – constant, i.e. independent of size of inputs
- **Worst case:** maximum running time over all possible inputs of a given size
  - For linearSearch – linear in size of list
- **Average (or expected) case:** average running time over all possible inputs of a given size
- We will focus on worst case – a kind of **upper bound** on running time

## Example

- What about the multiplicative constant (5 in this case)?
- We argue that in general, multiplicative constants are not relevant when comparing algorithms

## Example

```
def sqrtExhaust(x, eps):
    step = eps**2
    ans = 0.0
    while abs(ans**2 - x) >= eps and ans <= max(x, 1):
        ans += step
    return ans
```

- If we call this on 100 and 0.0001, will take one billion iterations of the loop
  - Have roughly 8 steps within each iteration

## Example

```
def sqrtBi(x, eps):
    low = 0.0
    high = max(1, x)
    ans = (high + low)/2.0
    while abs(ans**2 - x) >= eps:
        if ans**2 < x:
            low = ans
        else:
            high = ans
        ans = (high + low)/2.0
    return ans
```

- If we call this on 100 and 0.0001, will take thirty iterations of the loop
  - Have roughly 10 steps within each iteration
- 1 billion or 8 billion versus 30 or 300 – it is size of problem that matters

## Measuring complexity

- Given this difference in iterations through loop, multiplicative factor (number of steps within loop) probably irrelevant
- Thus, we will focus on measuring the complexity as a function of input size
  - Will focus on the largest factor in this expression
  - Will be mostly concerned with the worst case scenario

## Asymptotic notation

- Need a formal way to talk about relationship between running time and size of inputs
- Mostly interested in what happens as size of inputs gets very large, i.e. approaches infinity

## Example

```
def f(x):  
    for i in range(1000):  
        ans = i  
    for i in range(x):  
        ans += 1  
    for i in range(x):  
        for j in range(x):  
            ans += 1
```

Complexity is  $1000 + 2x + 2x^2$ , if each line takes one step

## Example

- $1000 + 2x + 2x^2$
- If  $x$  is small, constant term dominates
  - E.g.,  $x = 10$  then 1000 of 1220 steps are in first loop
- If  $x$  is large, quadratic term dominates
  - E.g.  $x = 1,000,000$ , then first loop takes 0.000000005% of time, second loop takes 0.0001% of time (out of 2,000,002,001,000 steps)!

## Example

- So really only need to consider the nested loops (quadratic component)
- Does it matter that this part takes  $2x^2$  steps, as opposed to say  $x^2$  steps?
  - For our example, if our computer executes 100 million steps per second, difference is 5.5 hours versus 2.25 hours
  - On the other hand if we can find a linear algorithm, this would run in a fraction of a second
  - So multiplicative factors probably not crucial, but order of growth is crucial

## Rules of thumb for complexity

- Asymptotic complexity
  - Describe running time in terms of number of basic steps
  - If running time is sum of multiple terms, keep one with the largest growth rate
  - If remaining term is a product, drop any multiplicative constants
- Use “Big O” notation (aka Omicron)
  - Gives an upper bound on asymptotic growth of a function

## Complexity classes

- $O(1)$  denotes constant running time
- $O(\log n)$  denotes logarithmic running time
- $O(n)$  denotes linear running time
- $O(n \log n)$  denotes log-linear running time
- $O(n^c)$  denotes polynomial running time ( $c$  is a constant)
- $O(c^n)$  denotes exponential running time ( $c$  is a constant being raised to a power based on size of input)

## Constant complexity

- Complexity independent of inputs
- Very few interesting algorithms in this class, but can often have pieces that fit this class
- Can have loops or recursive calls, but number of iterations or calls independent of size of input

## Logarithmic complexity

- Complexity grows as log of size of one of its inputs
- Example:
  - Bisection search
  - Binary search of a list

## Logarithmic complexity

```
def intToStr(i):  
    digits = '0123456789'  
    if i == 0:  
        return '0'  
    result = ''  
    while i > 0:  
        result = digits[i%10] + result  
        i = i/10  
    return result
```

## Logarithmic complexity

```
def intToStr(i):
    digits = '0123456789'
    if i == 0:
        return '0'
    result = ''
    while i > 0:
        result = digits[i%10]
            + result
        i = i/10
    return result
```

- Only have to look at loop as no function calls
- Within while loop constant number of steps
- How many times through loop?
  - How many times can one divide i by 10?
  - $O(\log(i))$

## Linear complexity

- Complexity can depend on number of recursive calls

```
def fact(n):
    if n == 1:
        return 1
    else:
        return n*fact(n-1)
```

- Number of recursive calls?
  - Fact(n), then fact(n-1), etc. until get to fact(1)
  - Complexity of each call is constant
  - $O(n)$

## Linear complexity

- Searching a list in order to see if an element is present
- Add characters of a string, assumed to be composed of decimal digits

```
def addDigits(s):
    val = 0
    for c in s:
        val += int(c)
    return val
```

- $O(\text{len}(s))$

## Log-linear complexity

- Many practical algorithms are log-linear
- Very commonly used log-linear algorithm is merge sort
- Will return to this

## Polynomial complexity

- Most common polynomial algorithms are quadratic, i.e., complexity grows with square of size of input
- Commonly occurs when we have nested loops or recursive function calls

## Quadratic complexity

```
def isSubset(L1, L2):  
    for e1 in L1:  
        matched = False  
        for e2 in L2:  
            if e1 == e2:  
                matched = True  
                break  
        if not matched:  
            return False  
    return True
```

- Outer loop executed  $\text{len}(L1)$  times
- Each iteration will execute inner loop up to  $\text{len}(L2)$  times
- $O(\text{len}(L1) * \text{len}(L2))$
- Worst case when  $L1$  and  $L2$  same length, none of elements of  $L1$  in  $L2$
- $O(\text{len}(L1)^2)$

## Quadratic complexity

```
def isSubset(L1, L2):  
    for e1 in L1:  
        matched = False  
        for e2 in L2:  
            if e1 == e2:  
                matched = True  
                break  
        if not matched:  
            return False  
    return True
```

## Quadratic complexity

Find intersection of two lists, return a list with each element appearing only once

```
def intersect(L1, L2):  
    tmp = []  
    for e1 in L1:  
        for e2 in L2:  
            if e1 == e2:  
                tmp.append(e1)  
    res = []  
    for e in tmp:  
        if not (e in res):  
            res.append(e)  
    return res
```

## Quadratic complexity

```
def intersect(L1, L2):
    tmp = []
    for e1 in L1:
        for e2 in L2:
            if e1 == e2:
                tmp.append(e1)
    res = []
    for e in tmp:
        if not (e in res):
            res.append(e)
    return res
```

- First nested loop takes  $\text{len}(L1) * \text{len}(L2)$  steps
- Second loop takes at most  $\text{len}(L1)$  steps
- Latter term overwhelmed by former term
- $O(\text{len}(L1) * \text{len}(L2))$

## Exponential complexity

```
def genSubsets(L):
    res = []
    if len(L) == 0:
        return [[]] #list of empty list
    smaller = genSubsets(L[:-1])
    # get all subsets without last element
    extra = L[-1:]
    # create a list of just last element
    new = []
    for small in smaller:
        new.append(small+extra)
    # for all smaller solutions, add one with last element
    return smaller+new
    # combine those with last element and those without
```

## Exponential complexity

- Recursive functions where more than one recursive call for each size of problem
  - Towers of Hanoi
- Many important problems are inherently exponential
  - Unfortunate, as cost can be high
  - Will lead us to consider approximate solutions more quickly

## Exponential complexity

```
def genSubsets(L):
    res = []
    if len(L) == 0:
        return [[]]
    smaller = genSubsets(L[:-1])
    extra = L[-1:]
    new = []
    for small in smaller:
        new.append(small+extra)
    return smaller+new
```

- Assuming append is constant time
- Time includes time to solve smaller problem, plus time needed to make a copy of all elements in smaller problem



## Exponential complexity

```
def genSubsets(L):  
    res = []  
    if len(L) == 0:  
        return [[]]  
    smaller = genSubsets(L[:-1])  
    extra = L[-1:]  
    new = []  
    for small in smaller:  
        new.append(small+extra)  
    return smaller+new
```

- But important to think about size of smaller
- Know that for a set of size  $k$  there are  $2^k$  cases
- So to solve need  $2^{n-1} + 2^{n-2} + \dots + 2^0$  steps
- Math tells us this is  $O(2^n)$

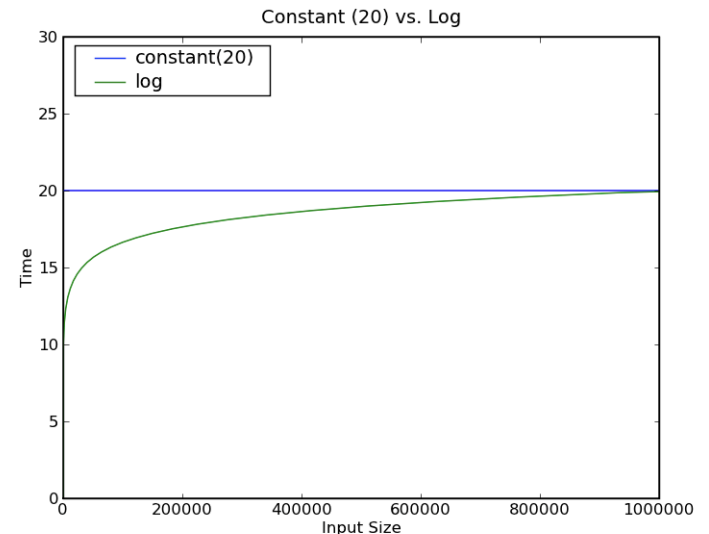
## Comparing complexities

- So does it really matter if our code is of a particular class of complexity?
- Depends on size of problem, but for large scale problems, complexity of worst case makes a difference

## Complexity classes

- $O(1)$  denotes constant running time
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## Constant versus logarithmic



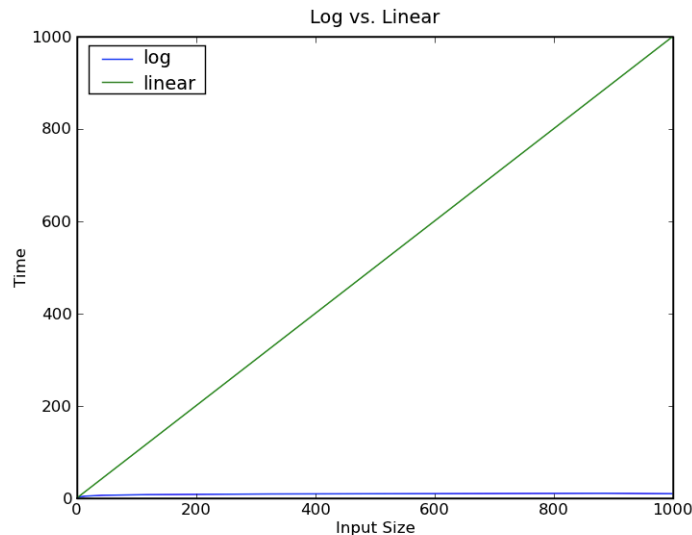
## Observations

- A logarithmic algorithm is often almost as good as a constant time algorithm
- Logarithmic costs grow very slowly

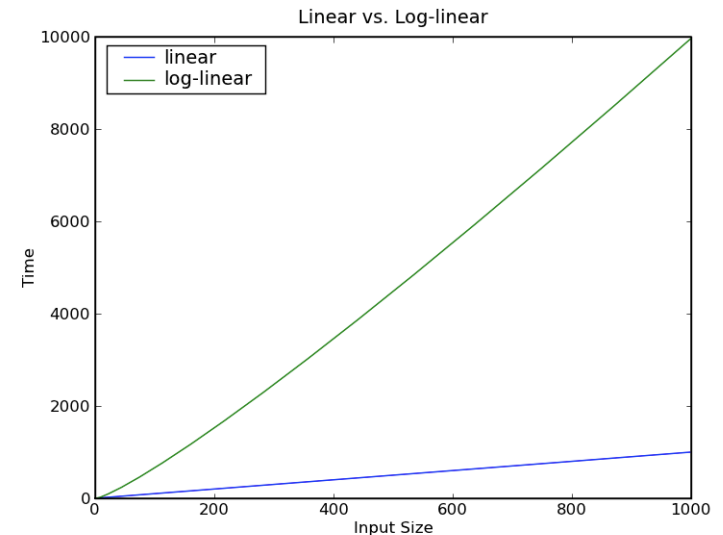
## Observations

- Logarithmic clearly better for large scale problems than linear
- Does not imply linear is bad, however

### Logarithmic versus Linear



### Linear versus Log-linear



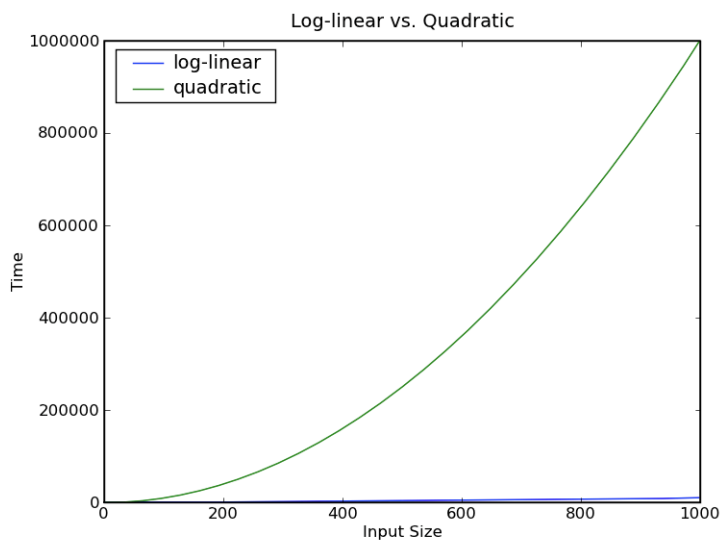
## Observations

- While  $\log(n)$  may grow slowly, when multiplied by a linear factor, growth is much more rapid than pure linear
- $O(n \log n)$  algorithms are still very valuable

## Observations

- Quadratic is often a problem, however.
- Some problems inherently quadratic but if possible always better to look for more efficient solutions

### Log-linear versus Quadratic



### Quadratic versus Exponential

- Exponential algorithms very expensive
  - Right plot is on a log scale, since left plot almost invisible given how rapidly exponential grows
- Exponential generally not of use except for small problems

