

Lec 2. Fabrication

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associate professor

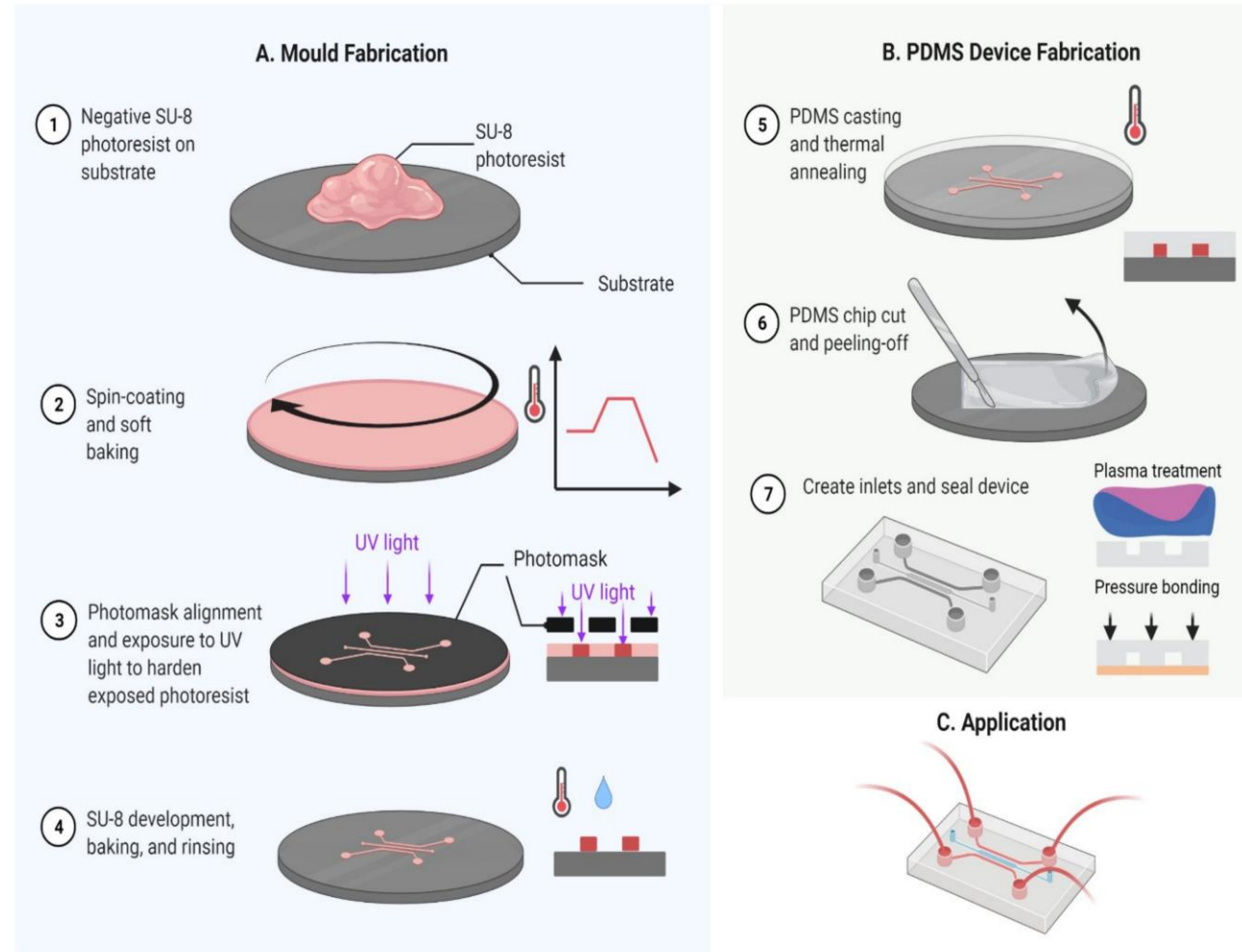


深圳大学
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Lecture overview

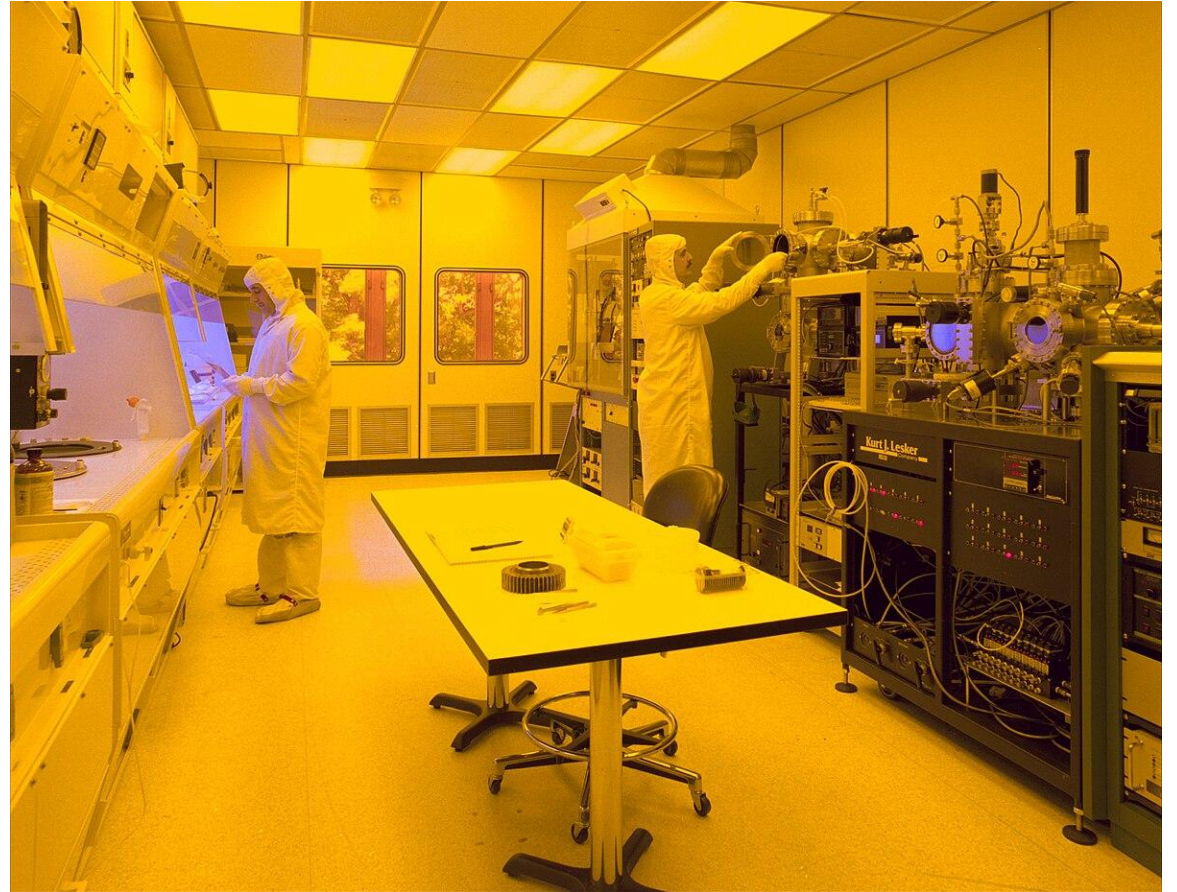
1. Photolithography using SU-8
2. Soft lithography

Process Overview

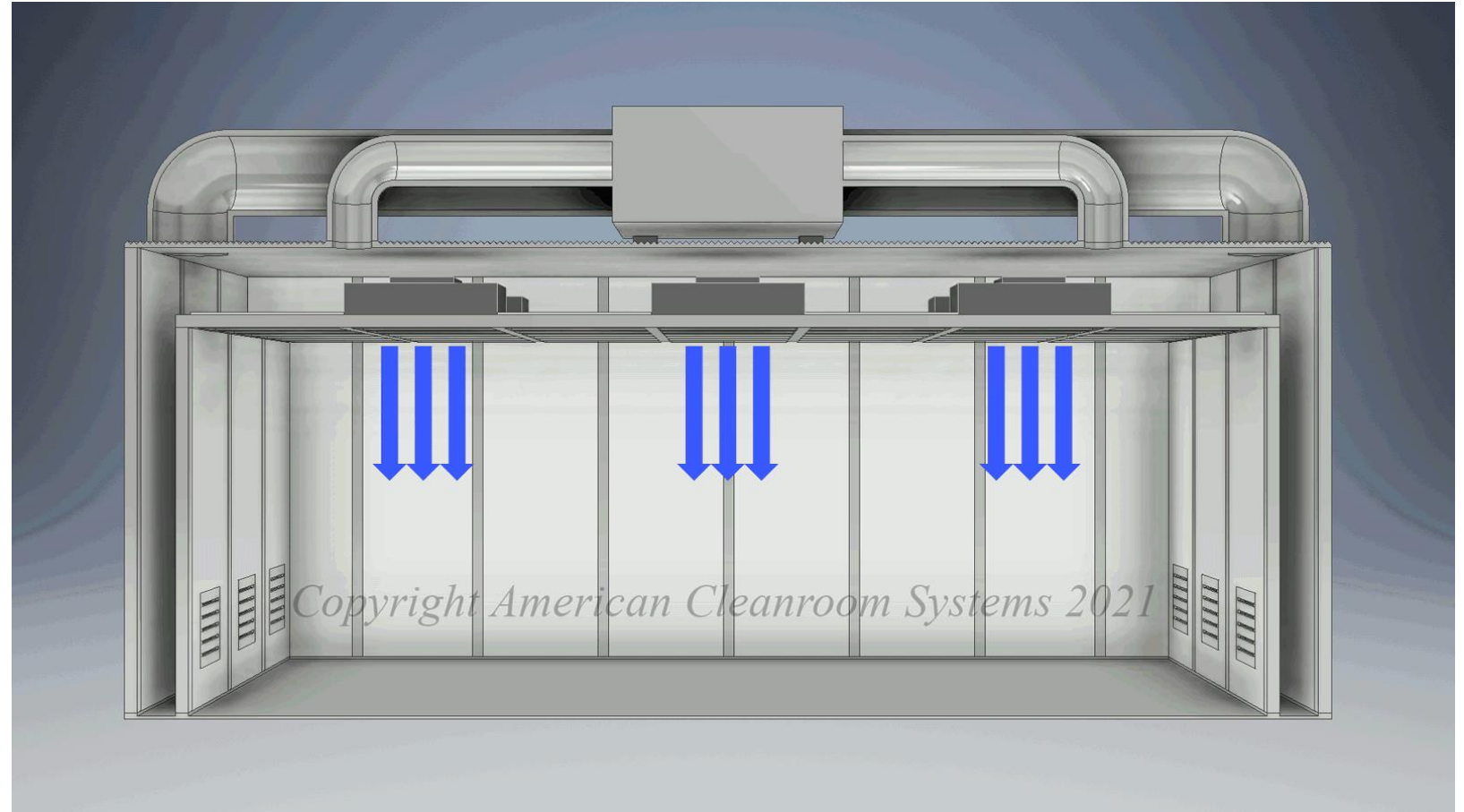
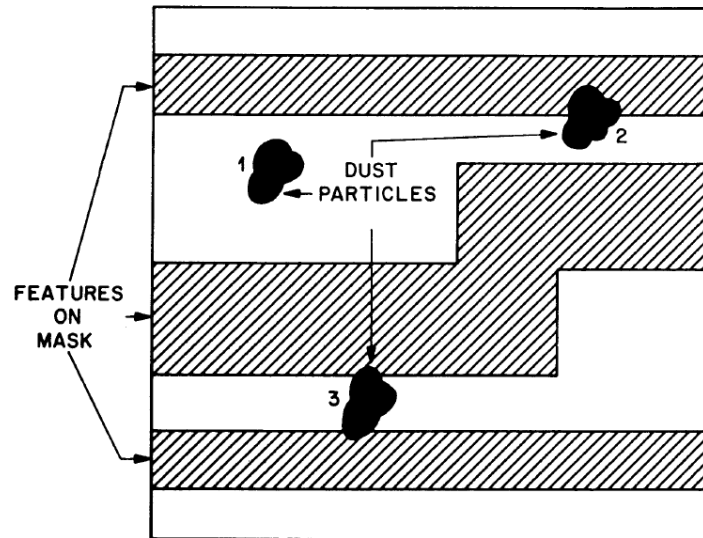


Clean room

- Clean
- Yellow light
 - minimal UV exposure



Clean room-Why



Clean room class

- A class X clean room is usually defined to be one that has a dust count of X particles (diameters of $0.5\text{ }\mu\text{m}$ or larger) per cubic foot

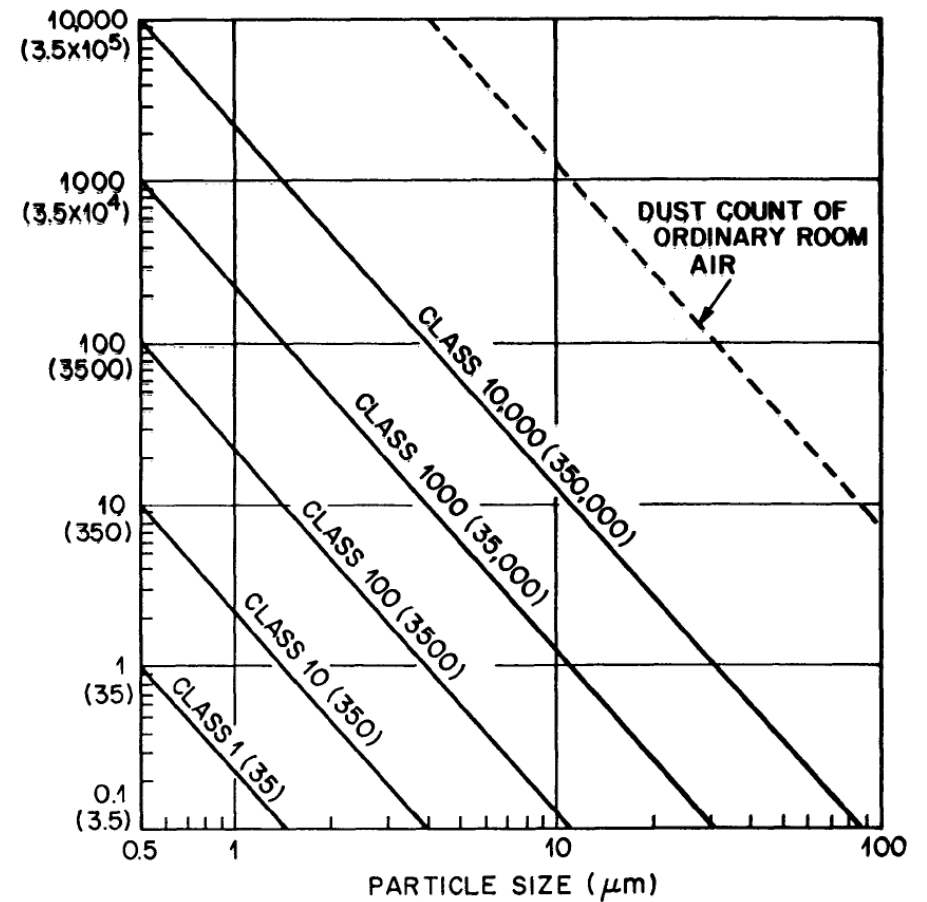
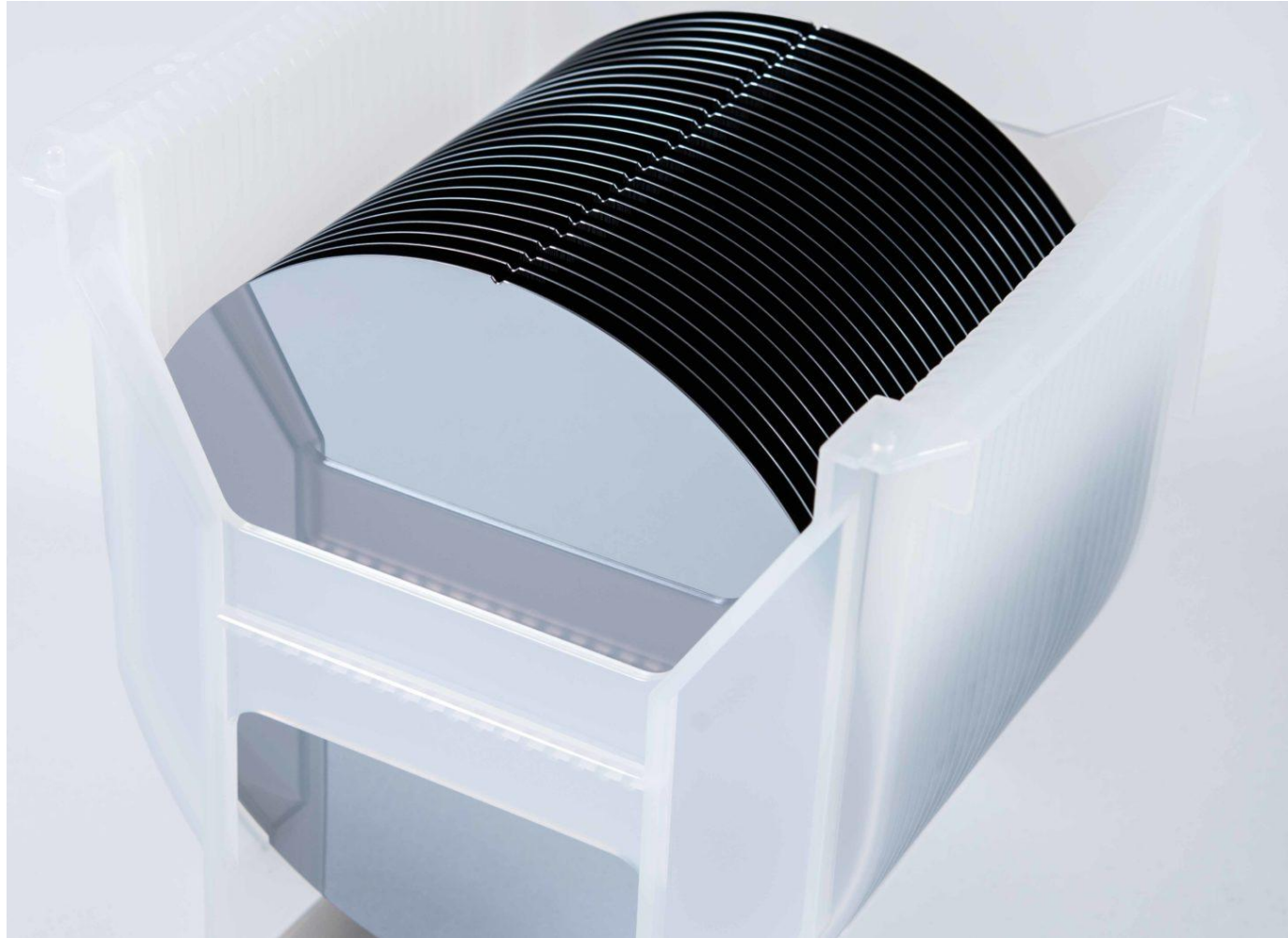


Figure 5.3: Particle-size distribution curve.

- What if you don't have a clean room for fabrication?
 - Lower resolution: probably OK!
 - Try to keep things clean minimize contamination



Silicon wafer



Wafer comes with various size

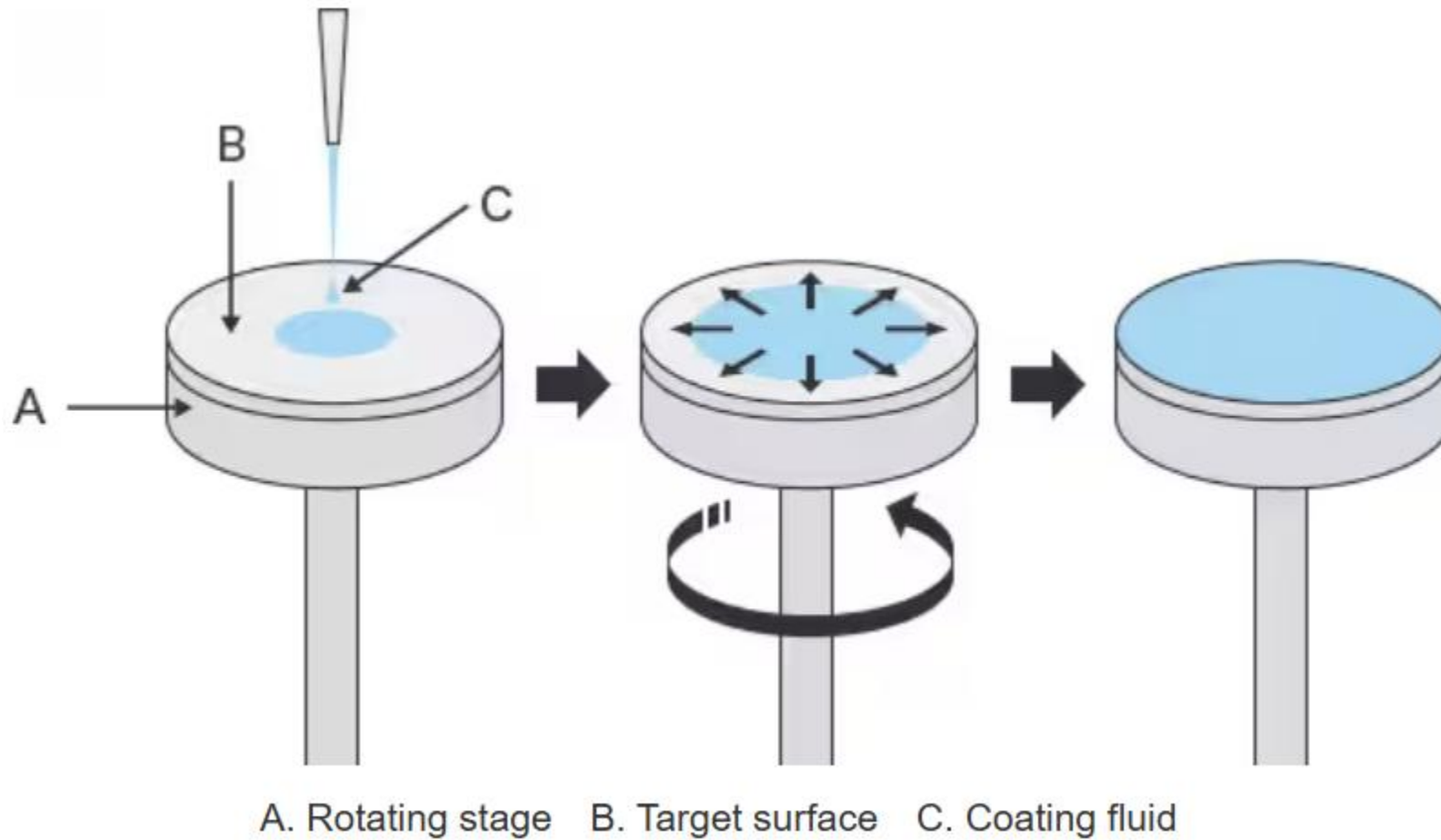
- 4-inch (100mm): ~ ¥ 50/piece; 25 pieces per box.
- 5-inch (125mm)
- 6-inch (150mm)
- 8-inch (200mm)
- 12-inch (300mm)



Tips

- Use new wafer for each fabrication

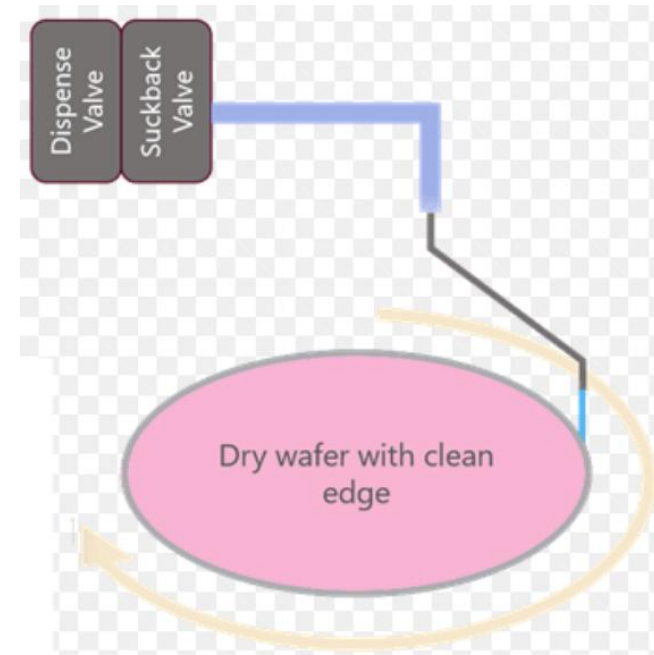
Spin Coating



Spin Coating

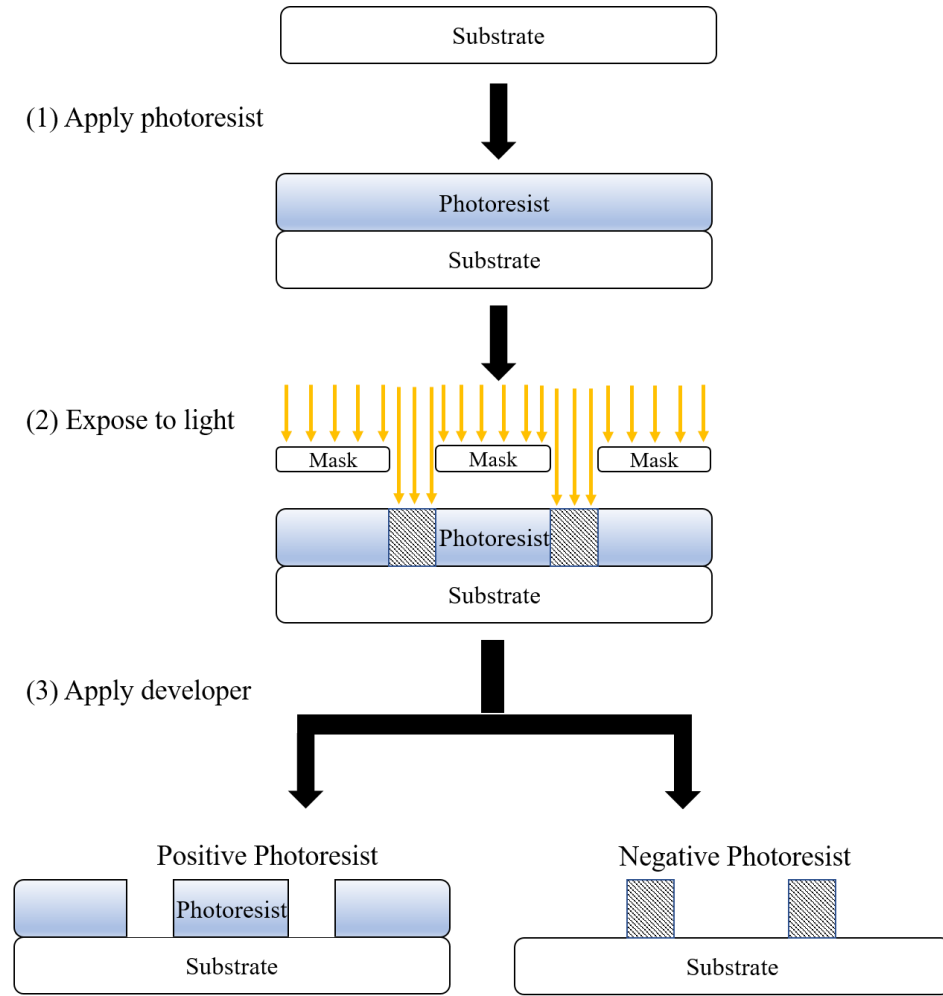
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- <https://www.bilibili.com/video/BV1j467YSE8s/>

Edge bead

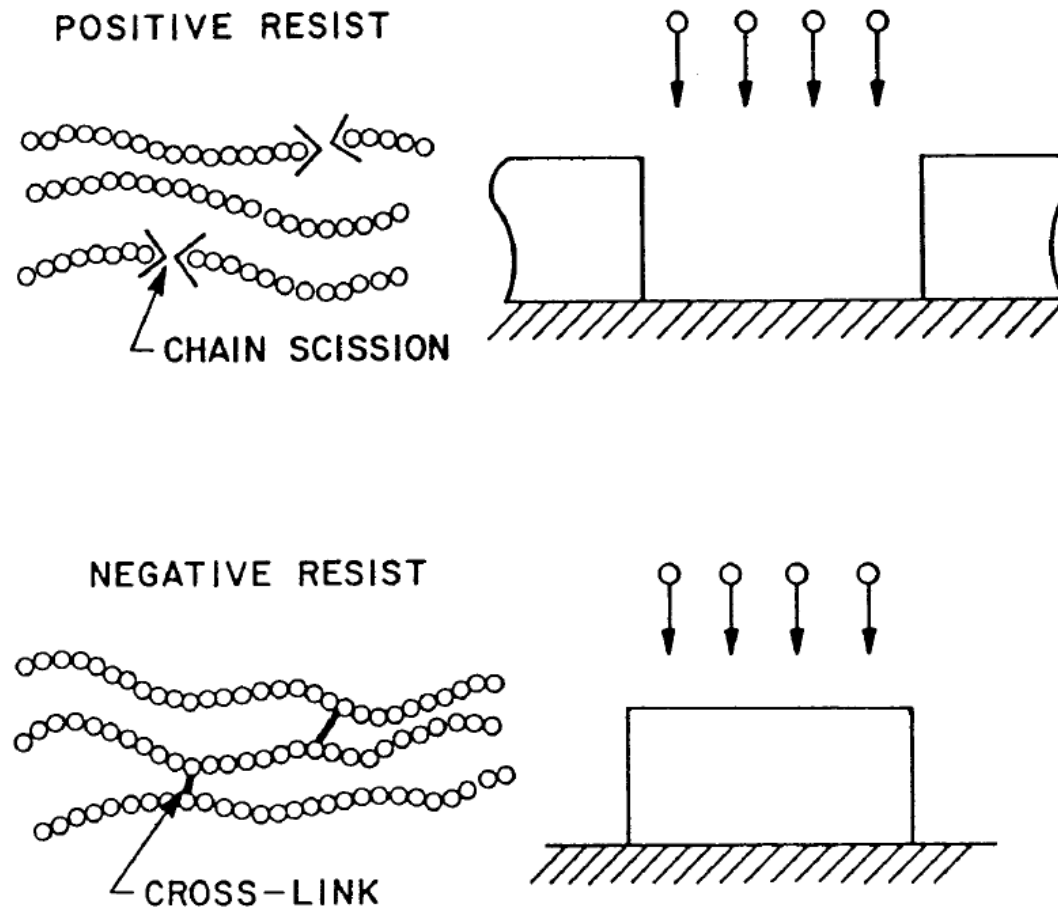


Photoresist

- A photoresist is a light-sensitive material, usually a polymer, used in photolithography to create precise patterns on a substrate's surface, like a silicon wafer, for electronics manufacturing.



Photoresist



Photoresist

Typical three components

- **Resin**

- a binder that provides physical properties such as adhesion, chemical resistance, etc)

- **Sensitizer**

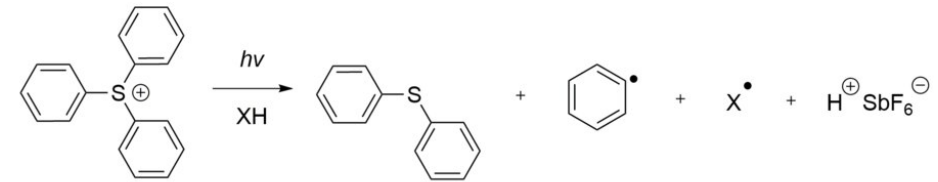
- which has a photoactive compound)

- **Solvent**

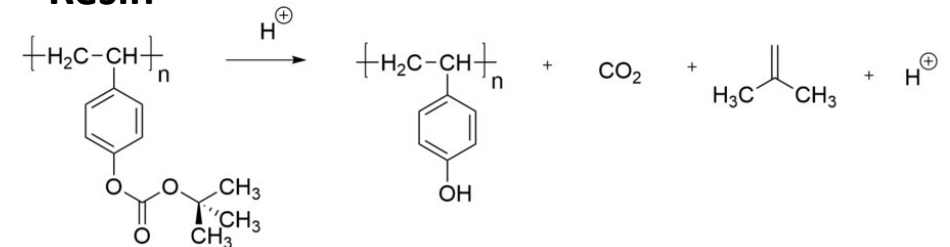
- which keeps the resist liquid).

Positive photoresist

Photo-sensitizer (PAG)

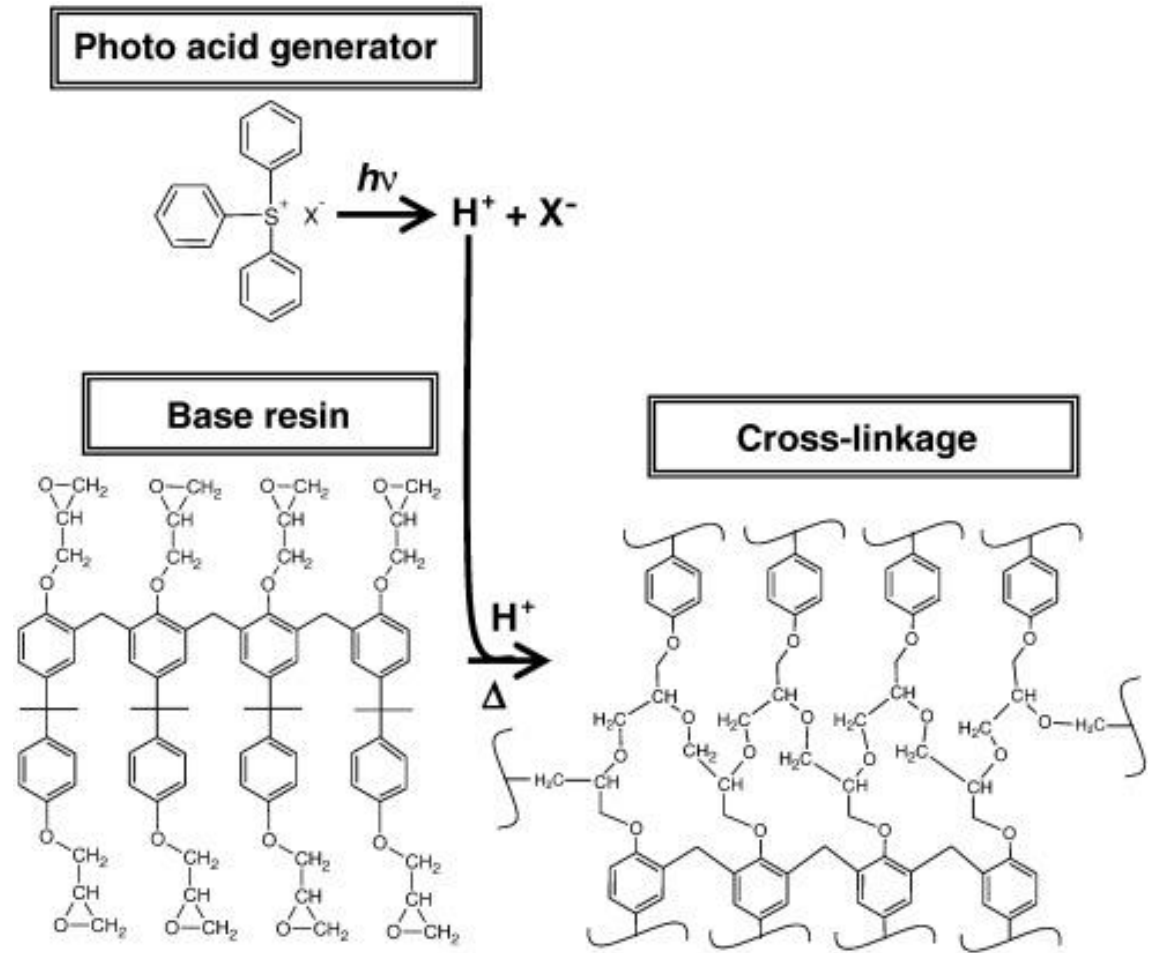


Resin

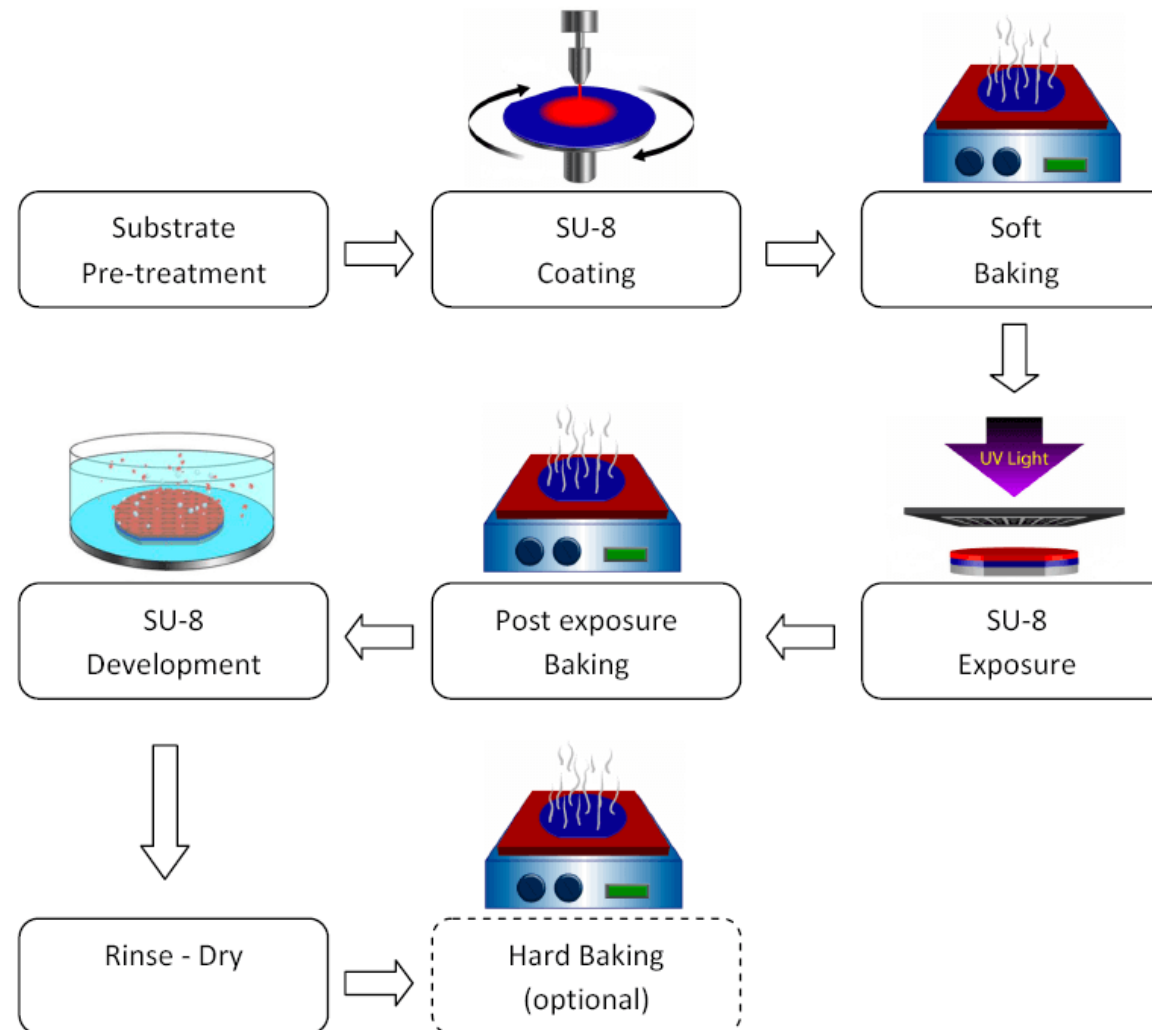


Negative photoresist

- SU-8
- **Resin:** SU-8 oligomers (an epoxy-based novolac resin, multifunctional glycidyl ether with eight epoxy groups per monomer).
- **Sensitizer (PAG):** A triarylsulfonium salt (like triphenylsulfonium hexafluoroantimonate or hexafluorophosphate).
- **Solvent:** Typically cyclopentanone, γ -butyrolactone, or similar, to allow spin-coating.

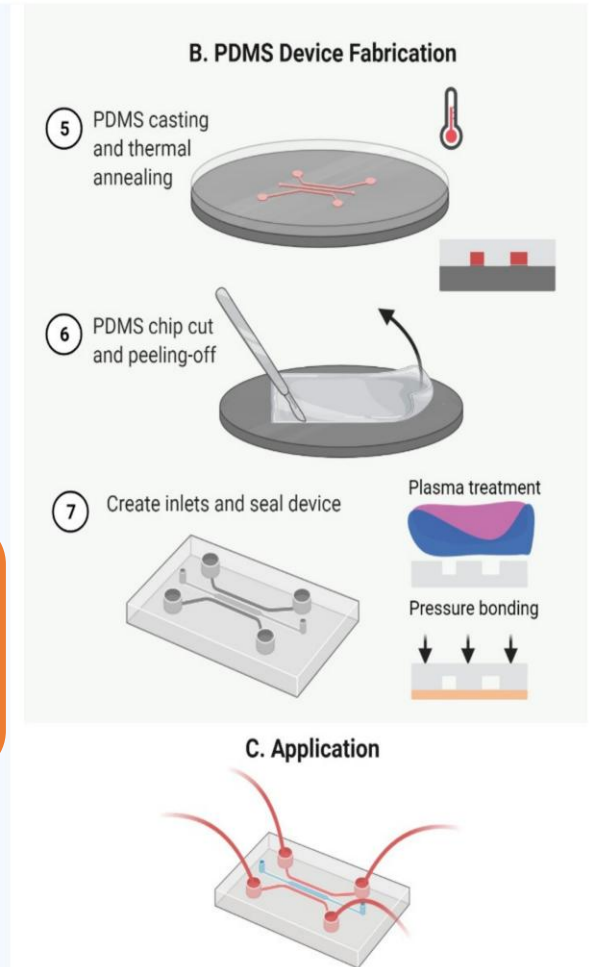
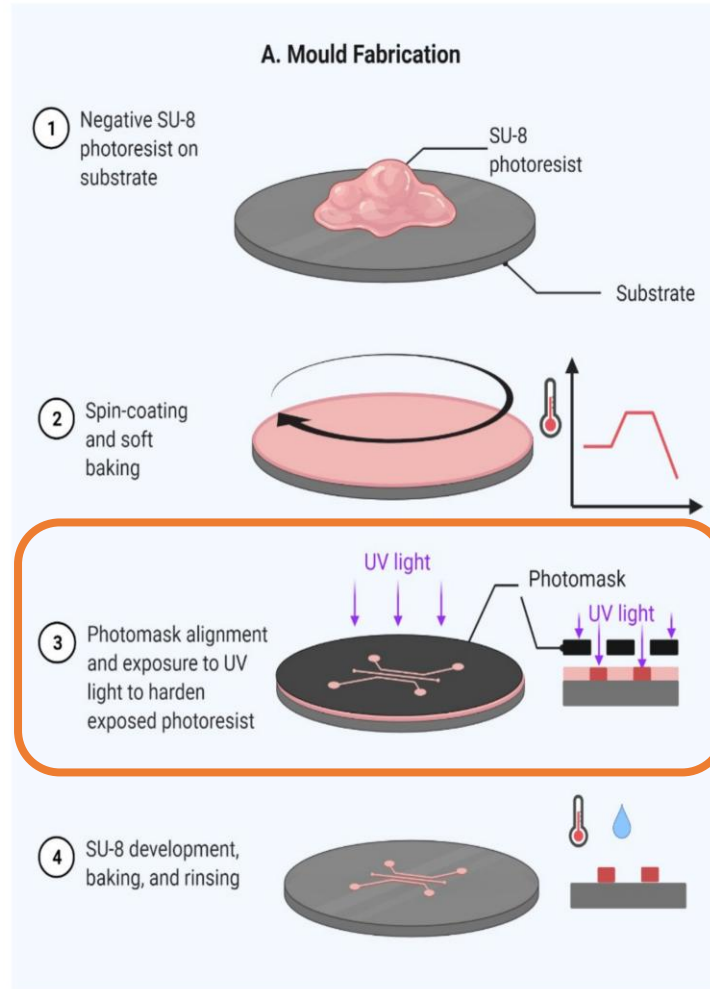


Typical process of SU-8



Photolithography

- Photomasks
- UV exposure



Photomasks

- Film photomasks

~ ¥ 100

- **Description:**

- A transparent plastic sheet (polyester or PET) with the desired pattern printed in black (usually by a high-resolution laser printer or photoplotter).
- The black regions are opaque to UV light, while the transparent regions let UV pass through to expose the SU-8.

- **Advantages:**

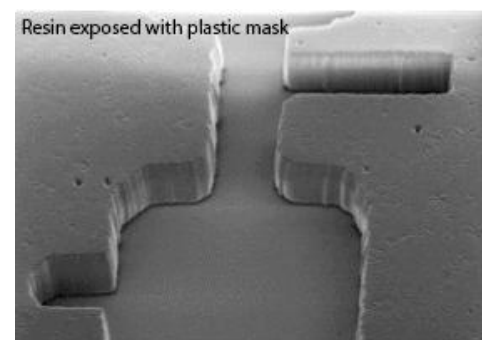
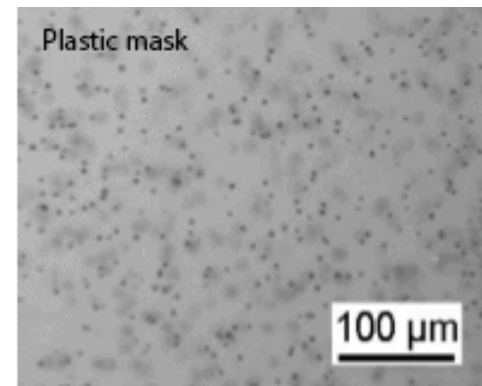
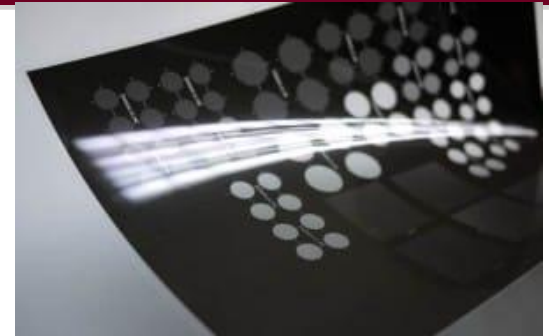
- **Low cost** — inexpensive to produce.
- **Fast turnaround** — can be designed and printed within hours.
- **Good for prototyping** and iterative design changes.

- **Limitations:**

- **Lower resolution** (~10–20 μm features typically).
- **Edge roughness and defects** due to printing quality.
- **Not very durable**; prone to scratches, dust, and warping.
- UV transmission can be inconsistent if the film is not perfectly flat against the resist.

- **Applications:**

- Early-stage research, rapid prototyping, proof-of-concept experiments, or when feature sizes are relatively large (>20 μm).



Photomasks

- **Chrome photomask**

~ ¥ 1000

- **Description:**

- A high-precision glass (quartz or soda-lime) plate coated with an opaque **chromium layer**.
- The chromium is patterned via photolithography or e-beam lithography to create highly accurate transparent and opaque regions.

- **Advantages:**

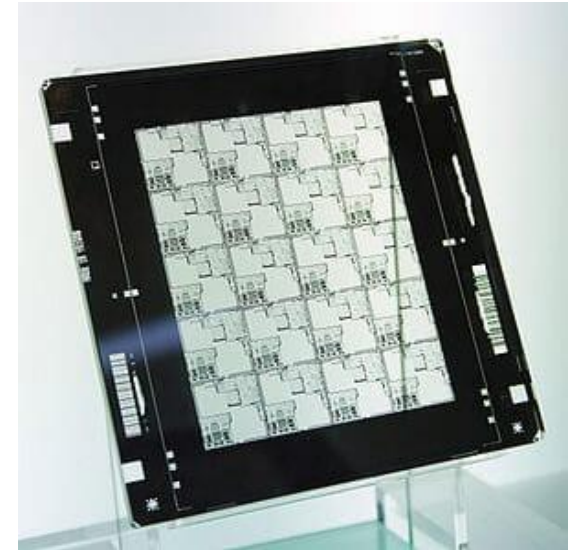
- **High resolution** (sub-micron features possible).
- **Excellent edge definition** and pattern fidelity.
- **Durable** — resistant to scratches and stable over many exposures.
- **Uniform UV transmission** through the transparent glass areas.

- **Limitations:**

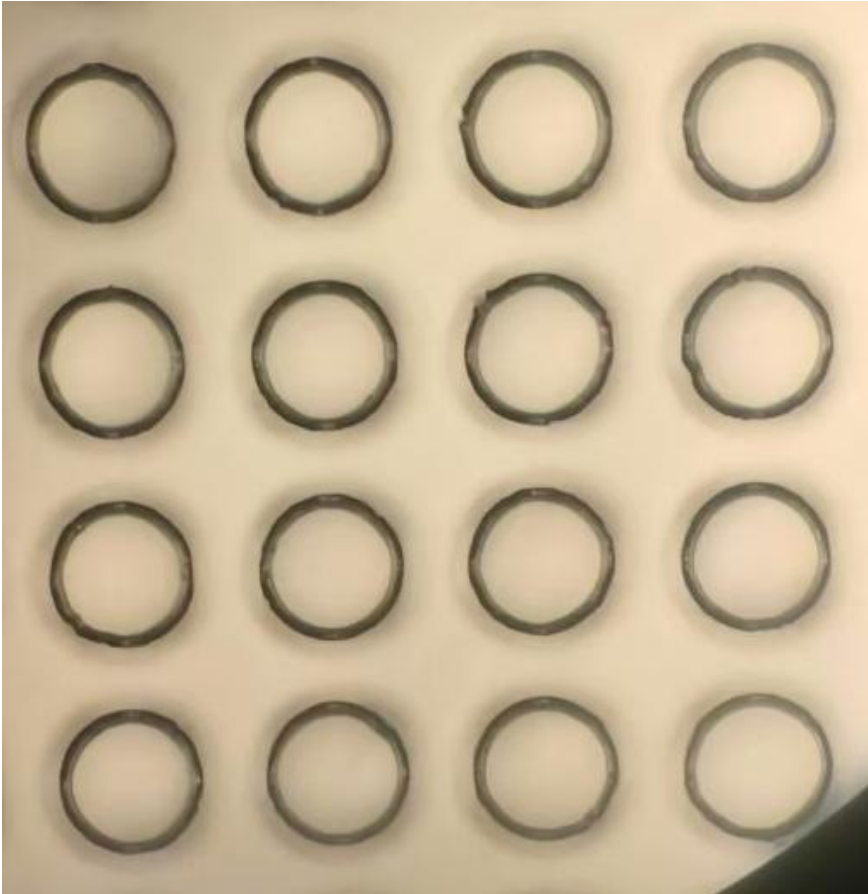
- **High cost** — fabrication is expensive compared to film masks.
- **Longer lead time** — requires professional mask fabrication services.

- **Applications:**

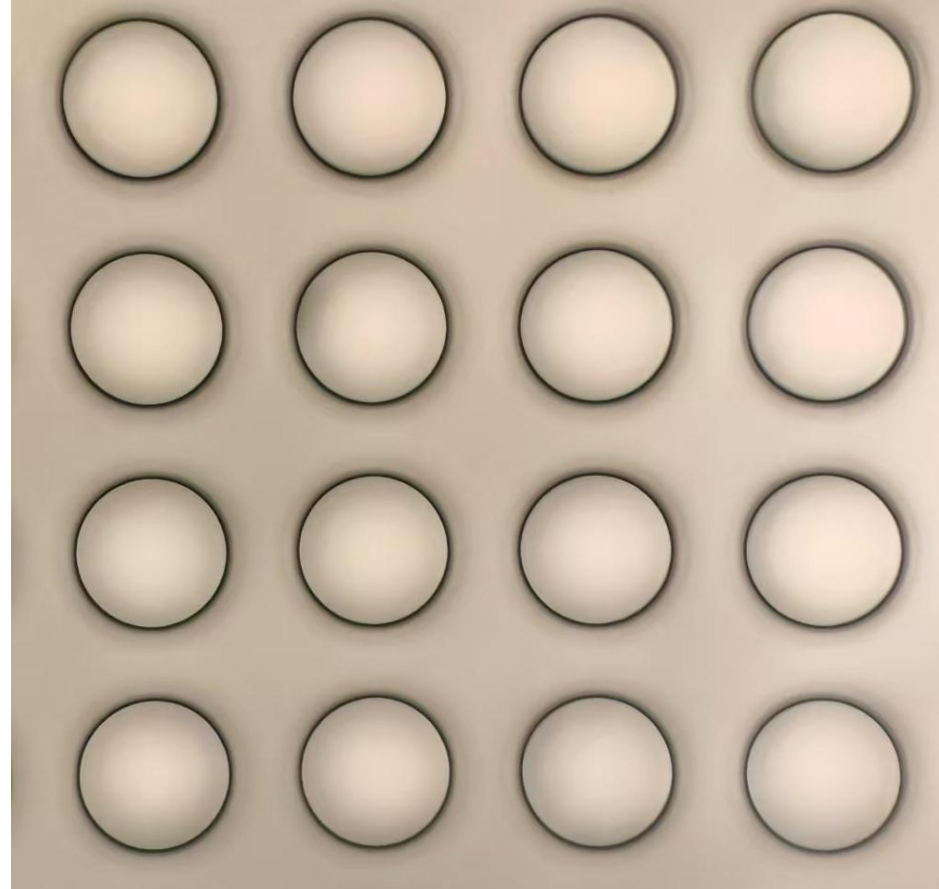
- Critical patterning in MEMS, microfluidics, photonics, and high-resolution microfabrication where precision is essential.



Film

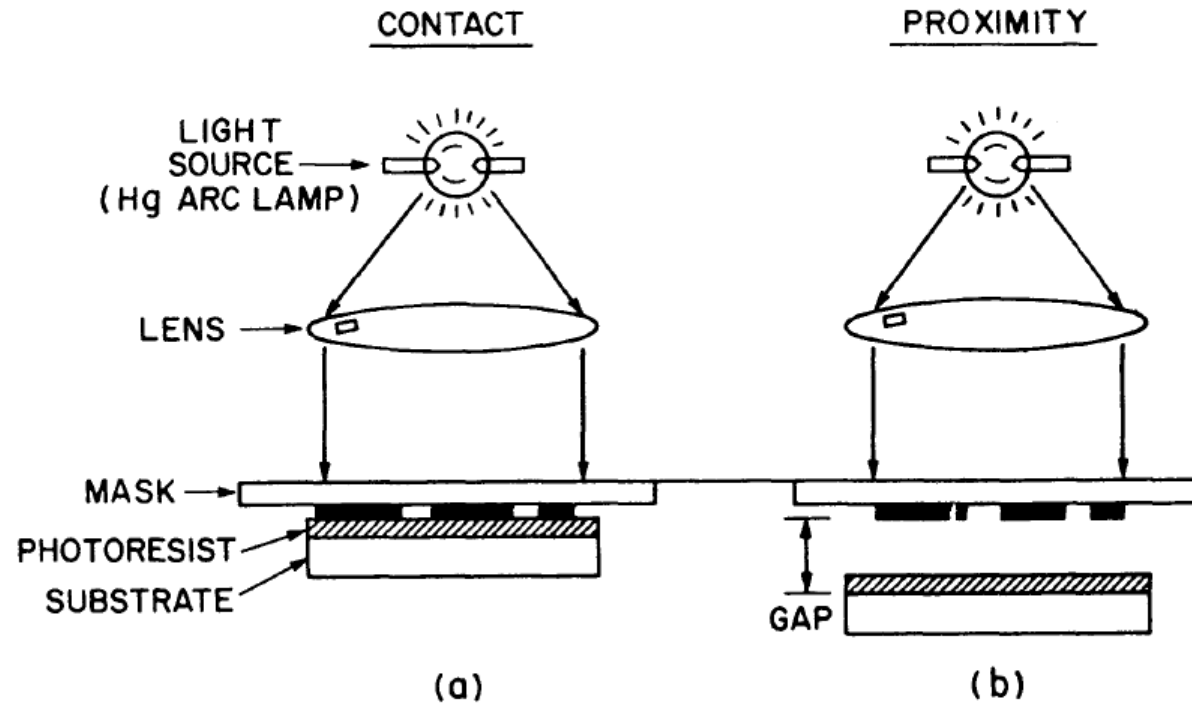


Chrome

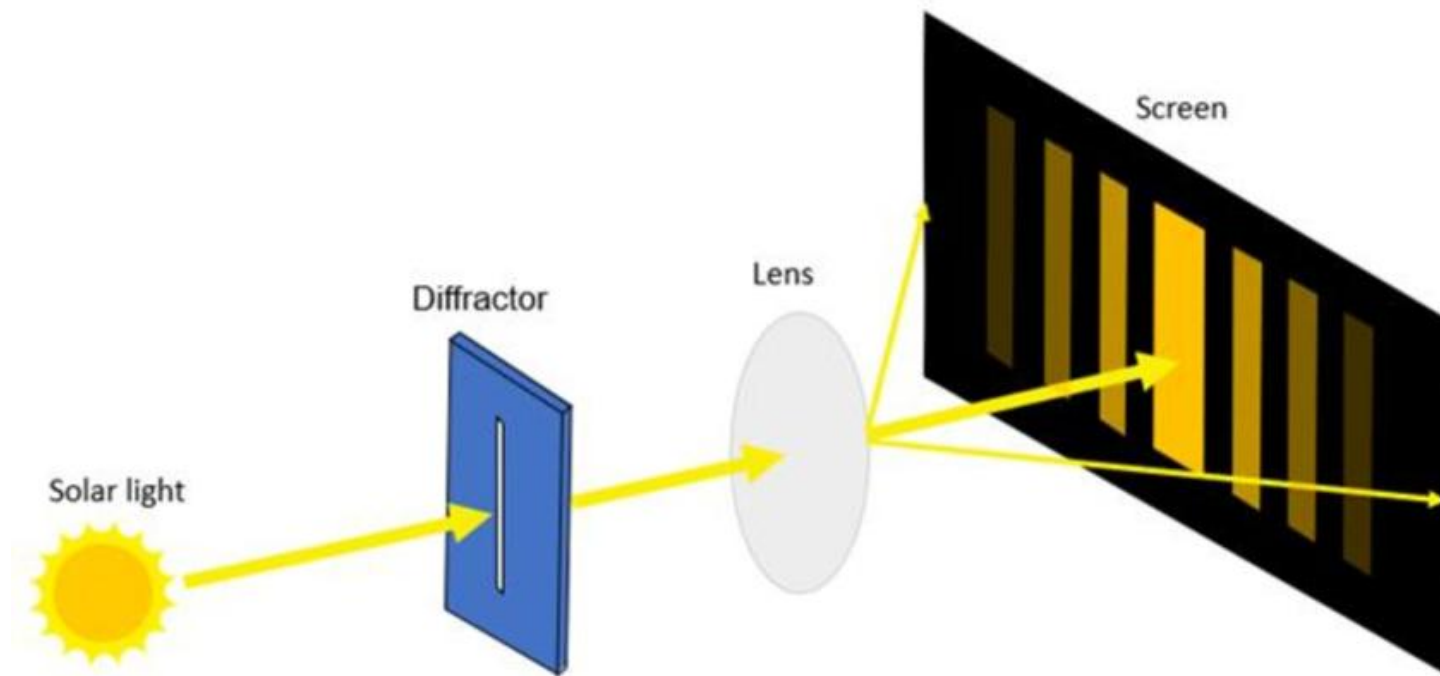


Exposure

- contact printing, proximity printing



Fresnel diffraction



- contact printing, proximity printing

The resolution b depends on the wavelength and the distance s between the mask and the photoresist layer

$$b = 1.5\sqrt{\lambda s}$$

Table 3.1

Spectrum of Mercury Lamps

<i>Types</i>	<i>I-line</i>	<i>H-line</i>	<i>G-line</i>	<i>E-line</i>	—	—	—
Wavelength (nm)	365.0	404.7	435.8	546.1	577.0	579.1	623.4

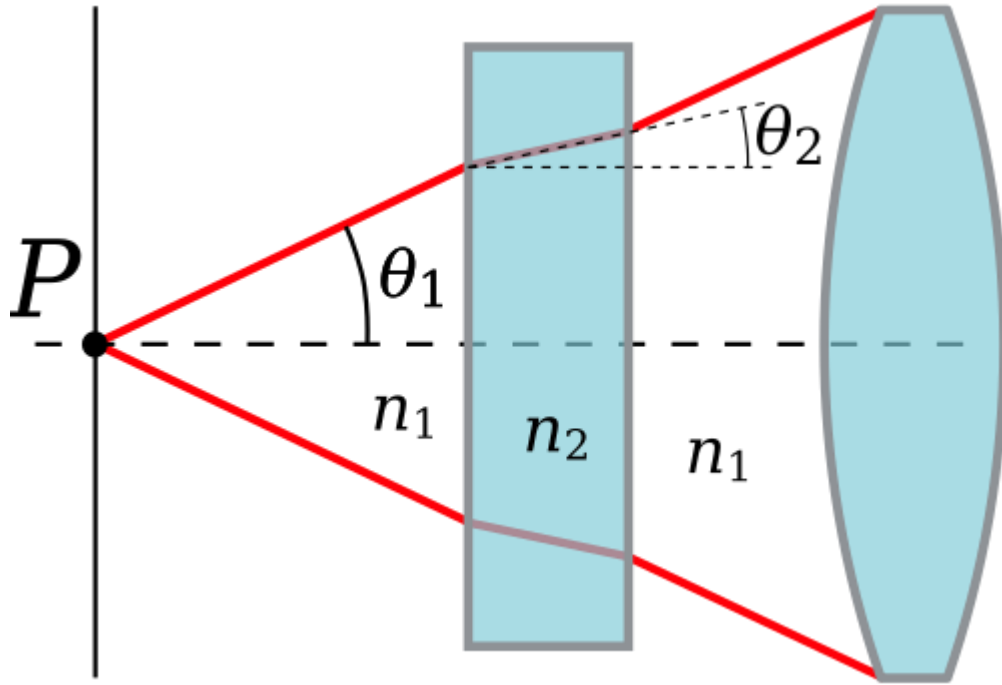
Example 3.1: Resolution of Proximity Photolithography

A resist layer at the bottom of a 5-mm-deep channel and a 20-mm-deep channel is to be patterned. The photoresist is exposed to ultraviolet (UV) light of a 400-nm wavelength. Compare the resolutions at the bottom of the two channels.

Solution. Following (3.1), the resolutions at the bottom of the two channels can be estimated as:

$$b_1 = 1.5\sqrt{\lambda s_1} = 1.5\sqrt{0.4 \times 5} = 2.1 \text{ } \mu\text{m}$$
$$b_2 = 1.5\sqrt{\lambda s_2} = 1.5\sqrt{0.4 \times 20} = 4.2 \text{ } \mu\text{m}$$

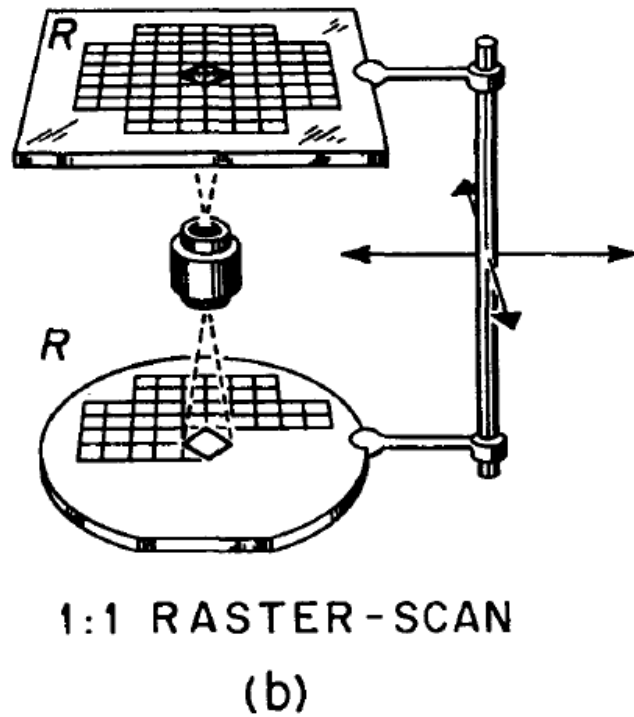
Numerical aperture



$$NA = n_1 \sin \theta_1 = n_2 \sin \theta_2.$$

where n is the [index of refraction](#) of the medium in which the lens is working (1.00 for [air](#), 1.33 for pure [water](#), and typically 1.52 for [immersion oil](#)^[1] see also [list of refractive indices](#)), and ϑ is the [half-angle](#) of the maximum cone of light that can enter or exit the lens.

Projection printing



The resolution of projection printing system is estimated as:

$$b = \frac{\lambda}{2NA}$$

Light source

Table 3.1

Spectrum of Mercury Lamps

<i>Types</i>	<i>I-line</i>	<i>H-line</i>	<i>G-line</i>	<i>E-line</i>	—	—	—
Wavelength (nm)	365.0	404.7	435.8	546.1	577.0	579.1	623.4

Various light sources

- Deep UV

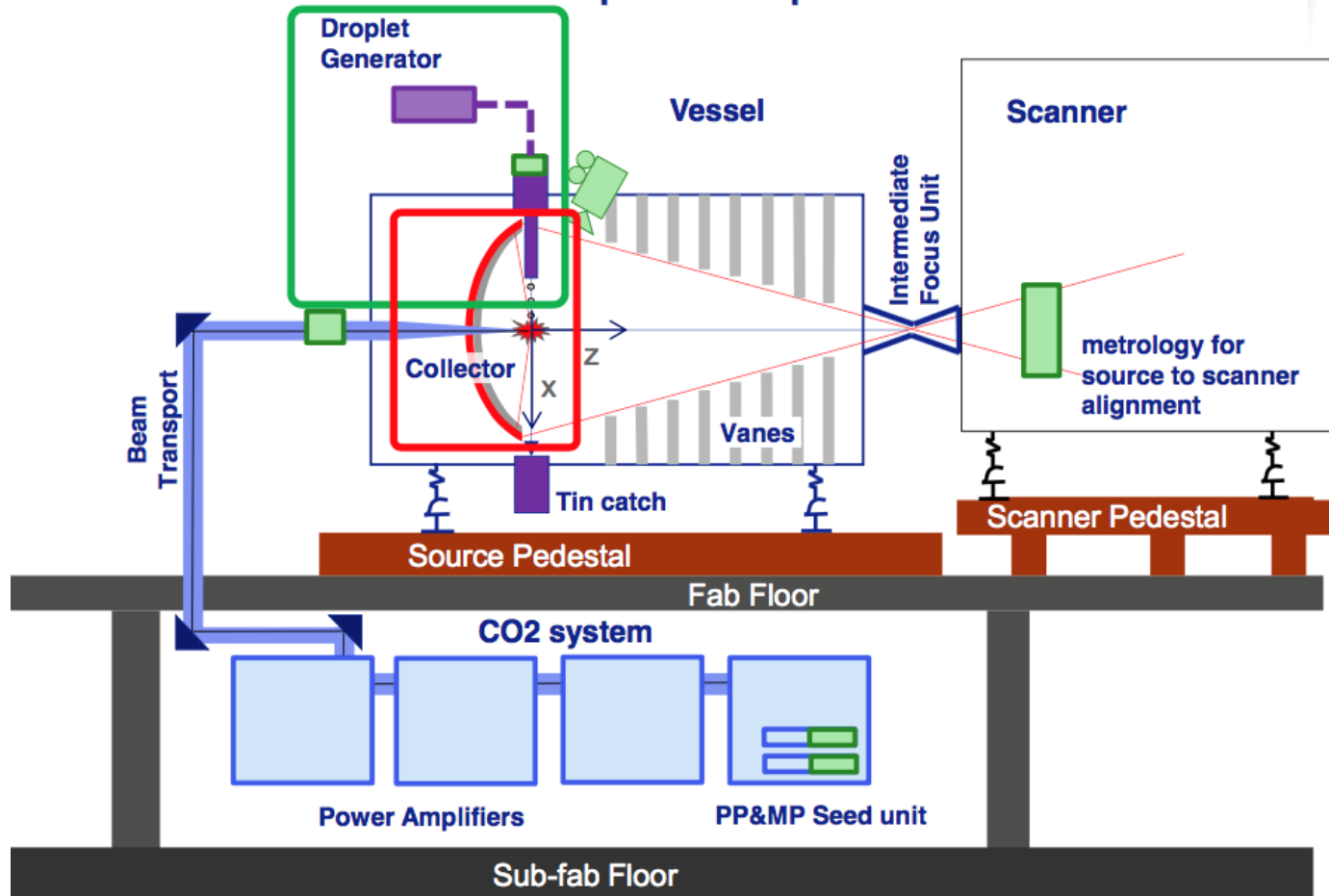
Excimer Laser Basics

- **Excimer** = “excited dimer”
 - These are molecules that exist only in an excited (high-energy) state, not in a stable ground state.
 - Common pairs: **Krypton + Fluorine (KrF)** and **Argon + Fluorine (ArF)**.
- **Process:**
 1. A **gas mixture** (rare gas + halogen + buffer gas like neon or helium) is placed in the laser chamber.
 2. A **high-voltage electrical discharge** excites the gas, creating excimer molecules (e.g., KrF*).
 3. When these unstable molecules decay back to their separate atoms, they release a photon with a precise wavelength:
 - KrF → **248 nm**
 - ArF → **193 nm**

Extreme ultraviolet (EUV)

- 13.5 nm

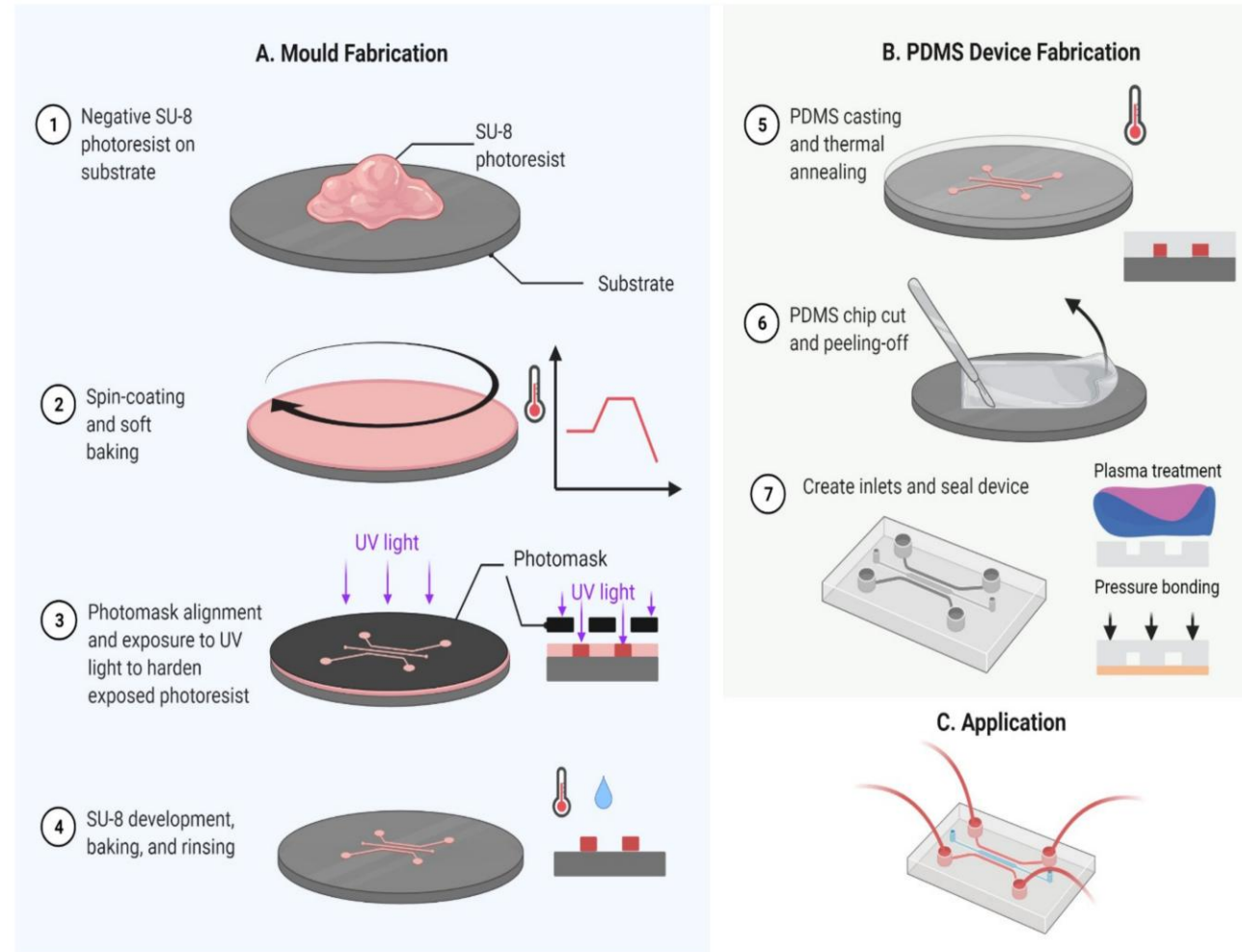
EUV Source - Principle of operation



Extreme ultraviolet (EUV)



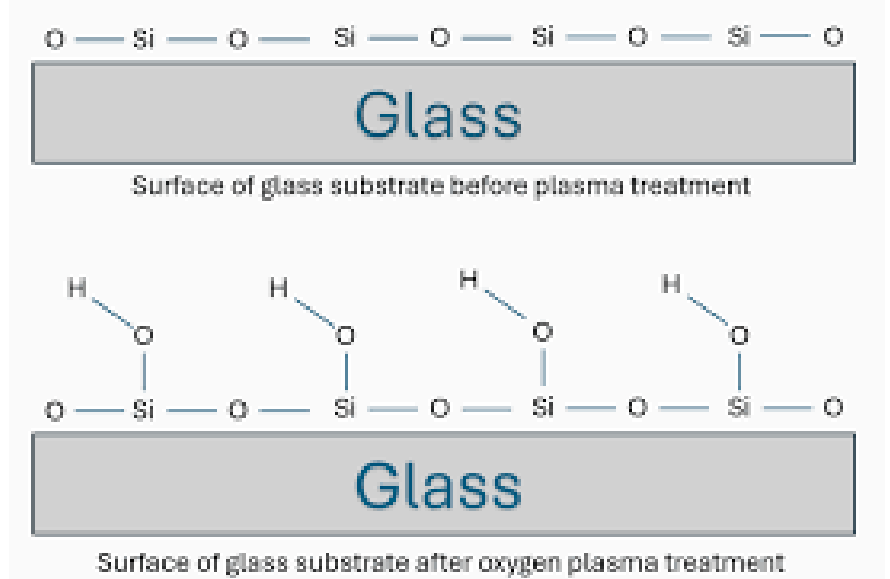
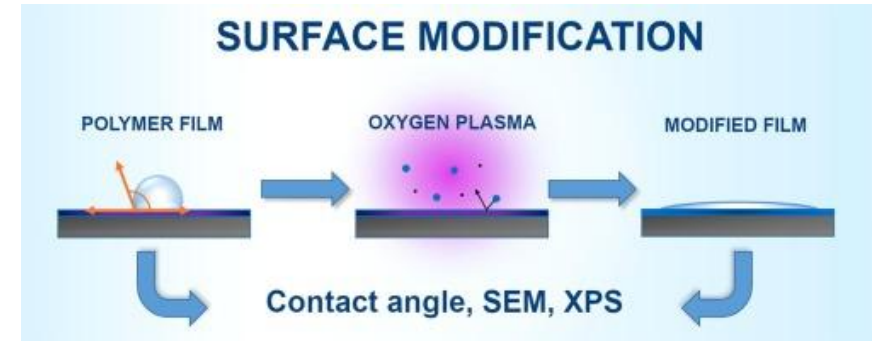
Process Overview



Surface treatment: oxygen plasma

Principle of Oxygen Plasma Treatment

- **Plasma** is a partially ionized gas containing electrons, ions, and reactive species.
- When oxygen gas (O_2) is energized in a plasma chamber (typically by RF power), it forms **reactive oxygen species** ($O\cdot$, O_2^+ , O_3 , etc.).
- These highly reactive species bombard the surface, breaking chemical bonds and introducing new functional groups.

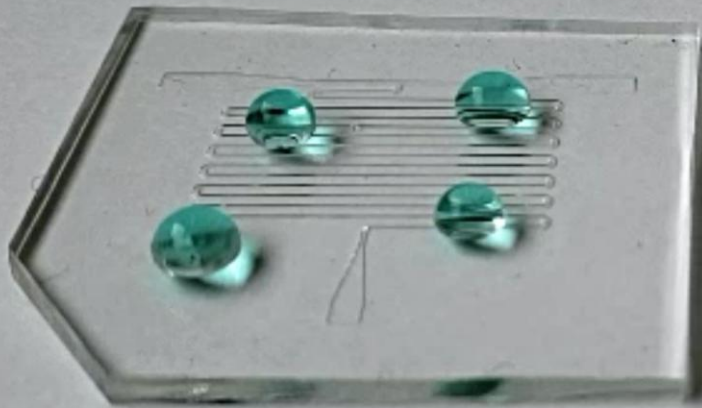




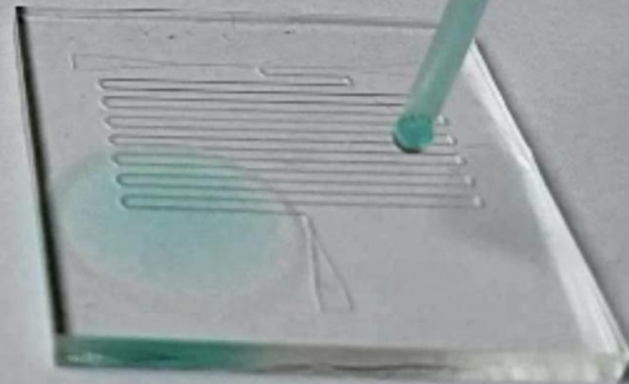
On PDMS Surfaces

- Untreated PDMS is hydrophobic because its surface is dominated by **$-\text{Si}(\text{CH}_3)_2$ groups** (siloxane backbone with methyl side groups).
- Oxygen plasma:
 - Breaks the $-\text{Si}-\text{CH}_3$ bonds on the surface.
 - Converts them into **silanol groups** ($-\text{Si}-\text{OH}$).
- Result: PDMS surface also becomes **hydrophilic** and chemically active.

Surface Wetting (PDMS)



**Not Plasma
Treated**



**Plasma
Treated**

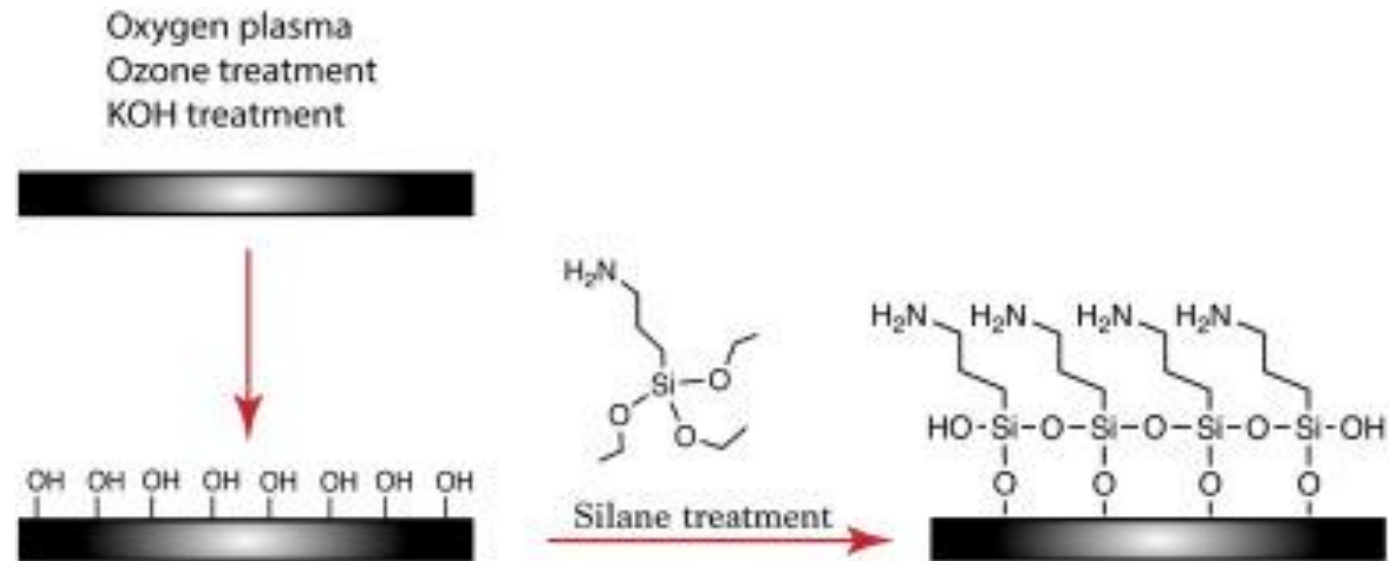
Limitations

- The hydrophilic effect on PDMS is **not permanent**:
 - The surface gradually recovers its hydrophobicity due to reorientation of polymer chains and migration of unmodified PDMS oligomers to the surface.
 - This process is called **hydrophobic recovery** (happens within hours to days).
- To extend bonding effectiveness:
 - Use freshly treated surfaces.
 - Bond immediately after plasma treatment.
 - Store treated PDMS in water or perform further surface coatings (e.g., PEG, silanes).

Silanization

- **Why Silanization is Needed**
- When fabricating PDMS microfluidic devices, PDMS prepolymer is poured over a **master mold** (often made of SU-8 patterned on silicon).
- **Problem:** PDMS strongly adheres to SU-8 and glass after curing, making demolding difficult and risking damage to the fragile SU-8 structures.
- **Solution:** Silanization coats the master with a thin **anti-adhesive monolayer**, preventing bonding and allowing easy PDMS release.

Silanization



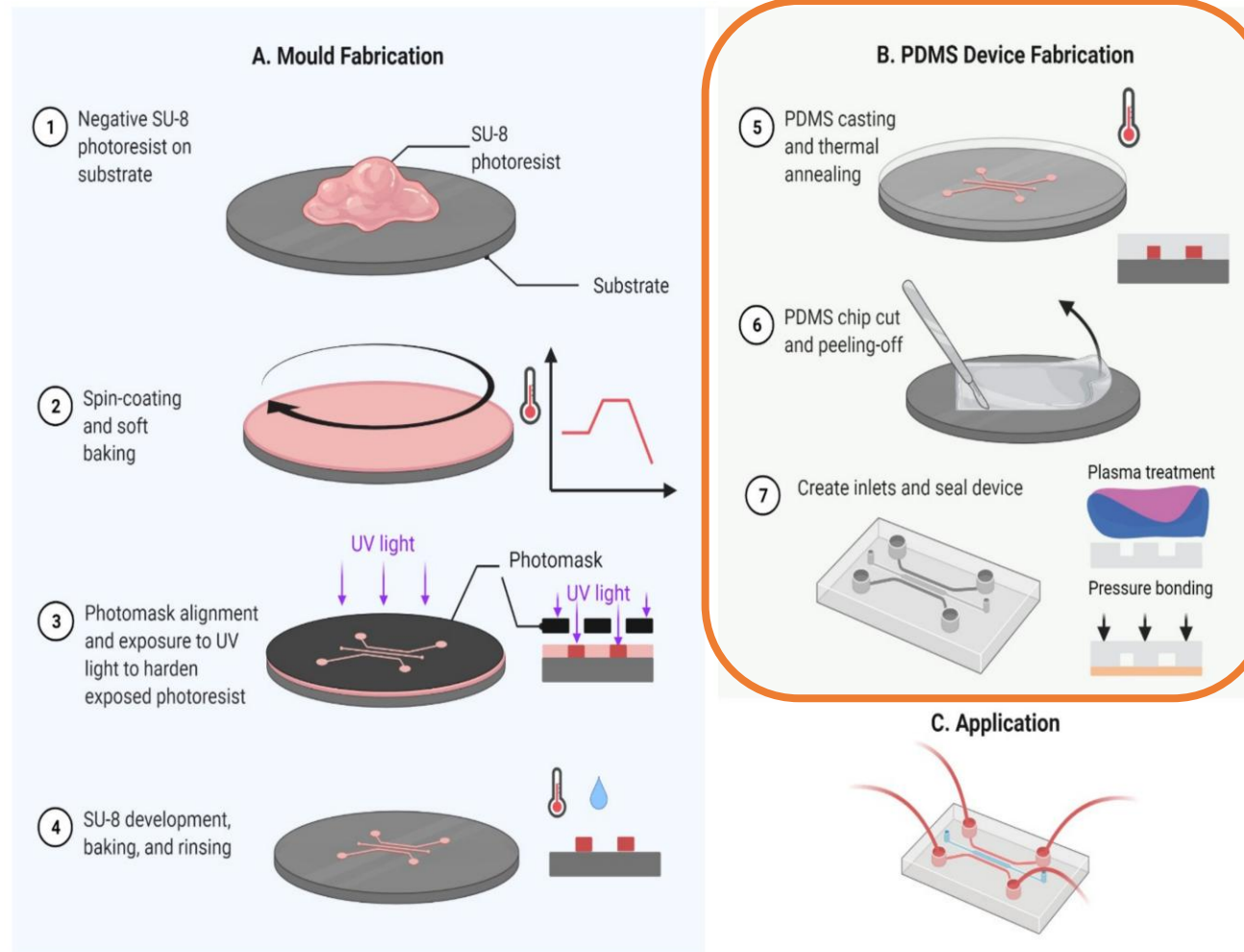
Methods of silanization

Methods of Silanization

- **Vapor-phase silanization** (common for SU-8 molds):
 - Place the substrate in a desiccator with a few drops of silane.
 - Under vacuum, silane vapor coats the surface uniformly.
- **Liquid-phase silanization:**
 - Immerse the substrate in a dilute silane solution (in ethanol or toluene).
 - Rinse and dry after reaction.

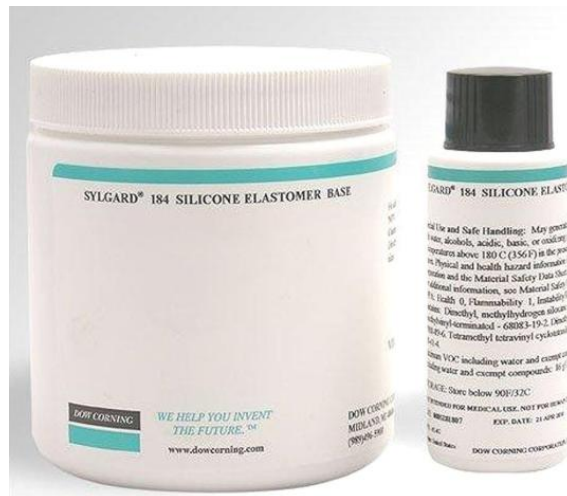


Soft lithography



Key materials: PDMS

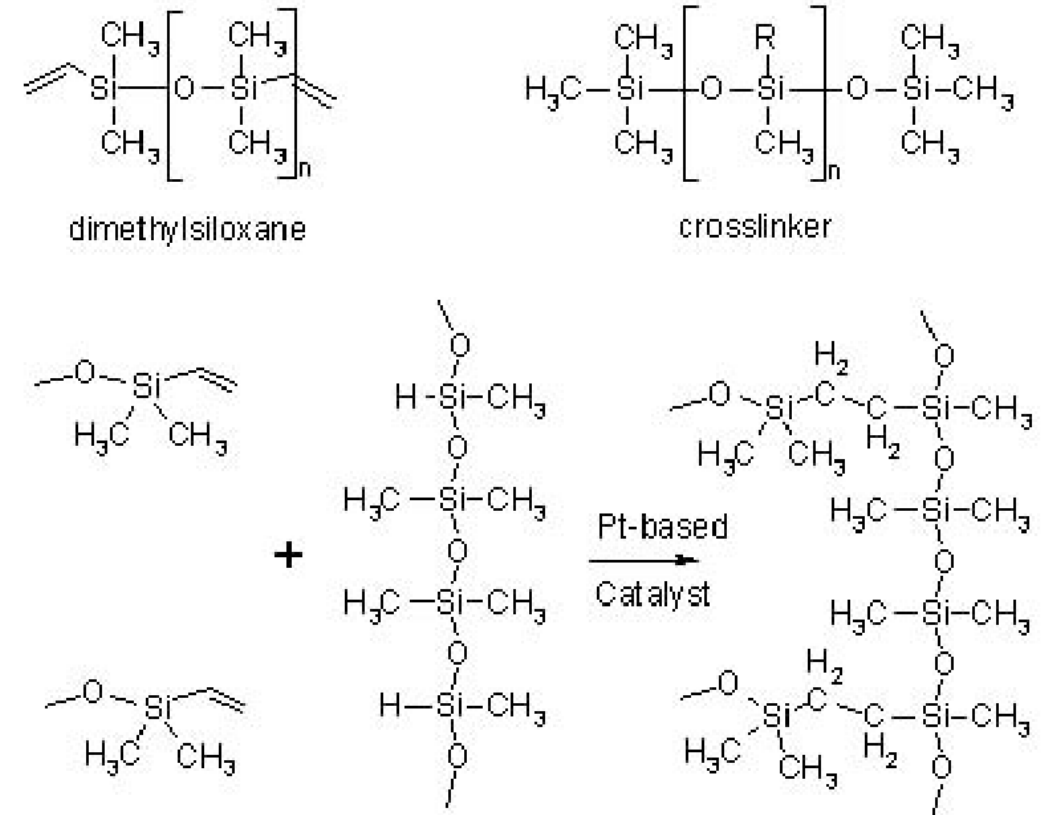
- Polymer
 - Monomer + cross-linker
 - Base + curing agent (hardener)



Crosslinking of PDMS

Reaction (Hydrosilylation)

- **Reaction type:** Platinum-catalyzed hydrosilylation.
- **Catalyst:** A Pt-based catalyst (e.g., Karstedt's catalyst, platinum divinyltetramethyldisiloxane complex).
- **Mechanism:**
 - A **Si-H group** from the crosslinker reacts with a **vinyl group** ($-\text{CH}=\text{CH}_2$) on PDMS.
 - The Pt catalyst facilitates **addition of Si-H across the C=C double bond**.
 - Result: a new **Si-C bond**, effectively linking two polymer chains.



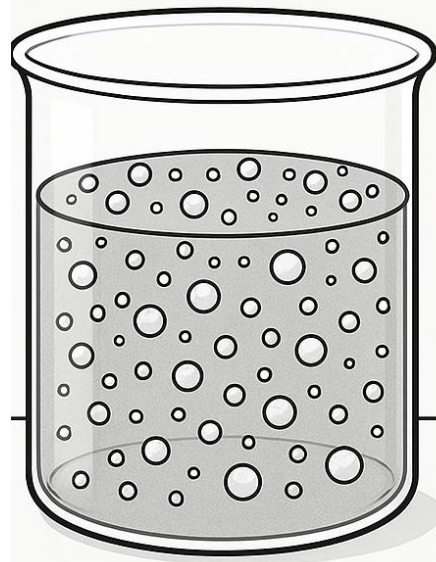
Result of Curing

- Multiple crosslinking reactions occur throughout the bulk material.
- The PDMS chains become interconnected into a **3D elastomeric network**.
- This network gives PDMS its characteristic properties:
 - Elasticity (soft, rubbery).
 - Transparency.
 - Chemical inertness.
 - Gas permeability.
- Different base:curing agent ratio:
 - 10:1 vs 20:1
 - More curing agent makes stiffer PDMS

Degassing



**Before
Degassing**



**After
Degassing**

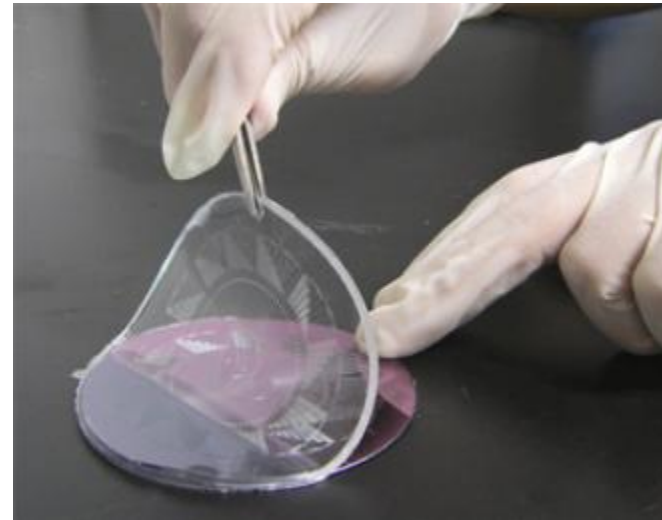
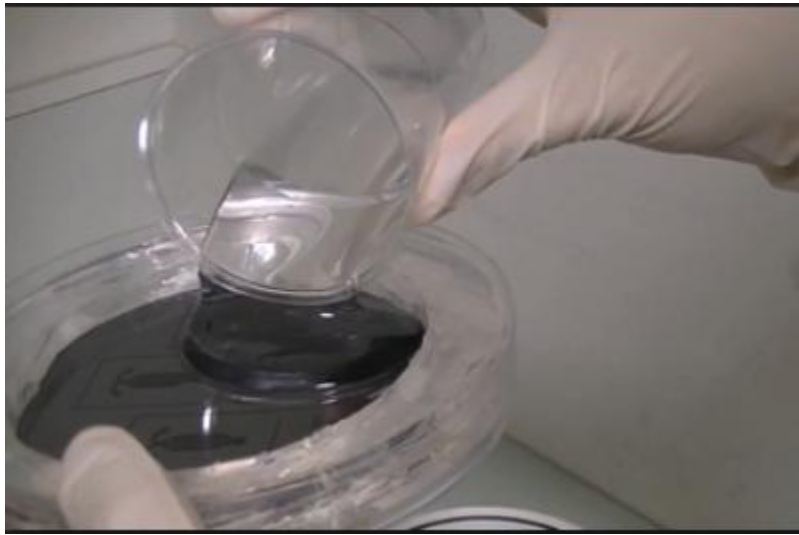


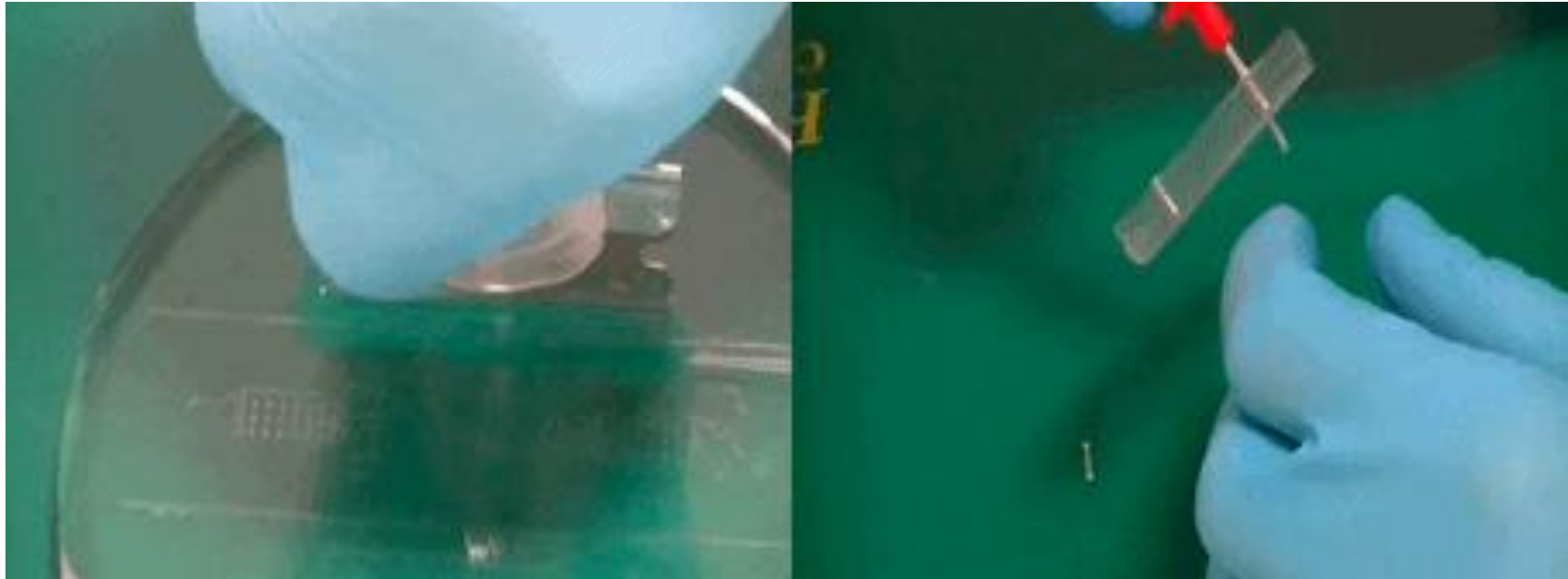
Why Degassing is Needed

- PDMS is supplied as a **two-part kit**:
 - **Base polymer** (vinyl-terminated PDMS oligomer).
 - **Crosslinker** (siloxane with Si-H groups + Pt catalyst).
- When these two are mixed:
 - Air bubbles are introduced (by stirring/handling).
 - The mixture has relatively **high viscosity**, so bubbles cannot escape easily.
- If not removed:
 - Bubbles remain trapped in the cured PDMS → weak spots, optical distortions, microchannel blockages.

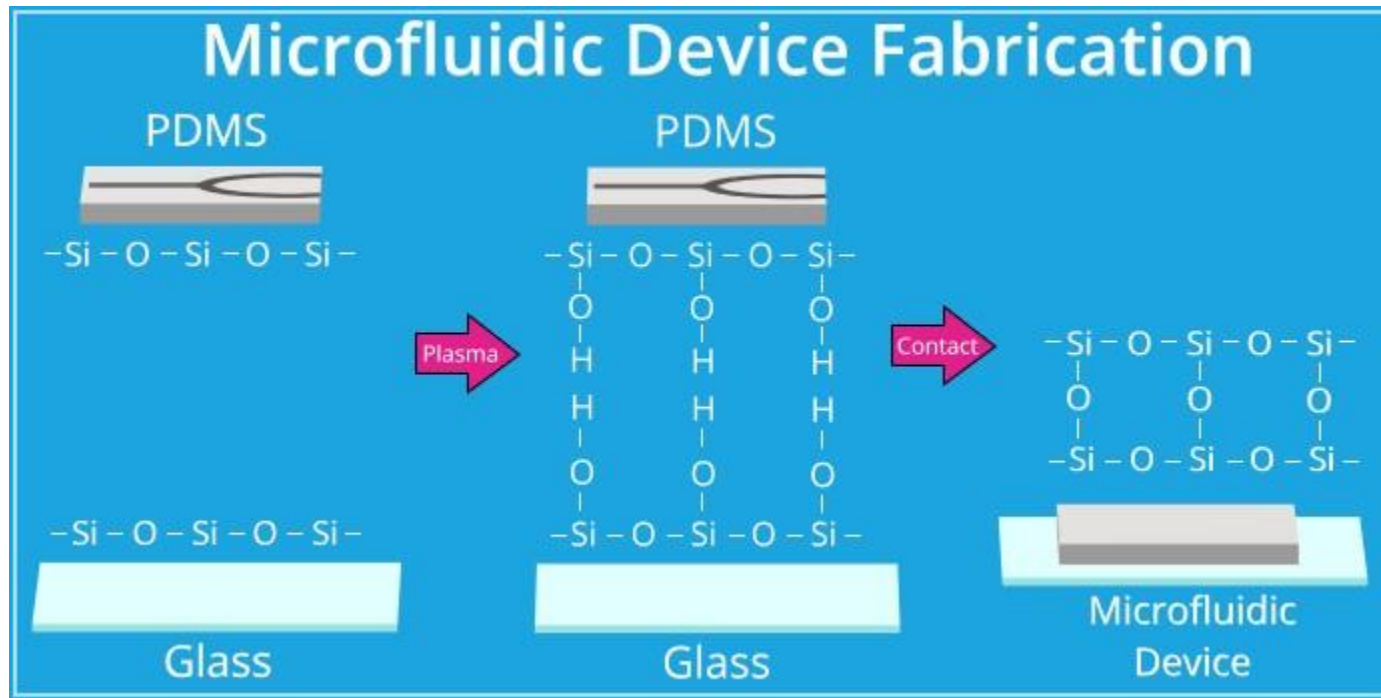
Mechanism of Bubble Removal

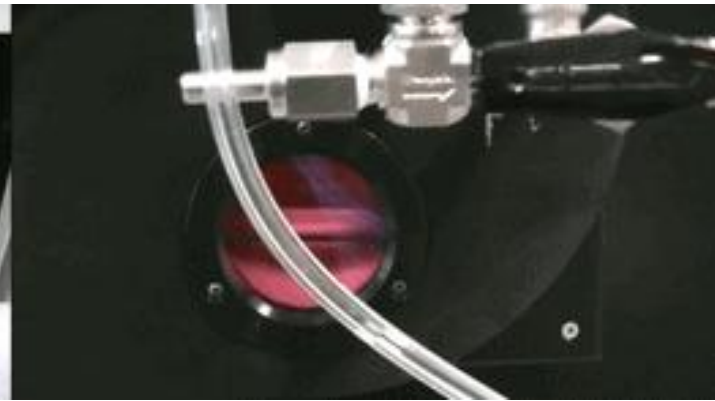
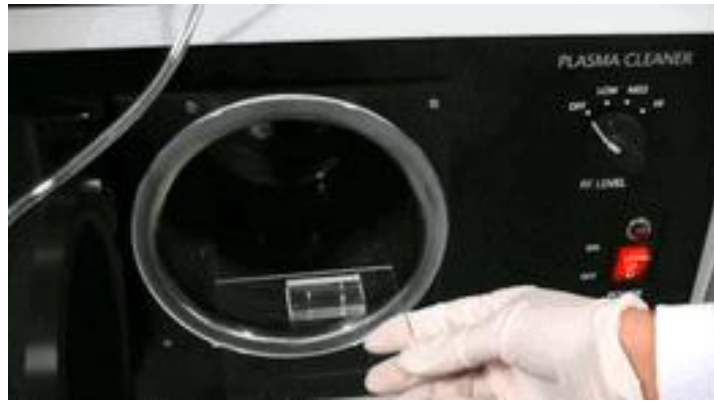
- **Gas solubility principle:** At lower pressure, gases are less soluble in liquids (Henry's law). Vacuum lowers the partial pressure of air above PDMS, so dissolved gases escape.
- **Bubble growth & release:**
 - Trapped bubbles expand under vacuum (Boyle's law).
 - Expanded bubbles experience buoyancy and rise to the surface, where they burst.
- **Viscous medium:** PDMS is thick, so bubbles move slowly → vacuum must be applied long enough.
- **Observation:** During degassing, the mixture often “foams up” initially (bubbles expand greatly), then collapses as bubbles escape.





Bonding

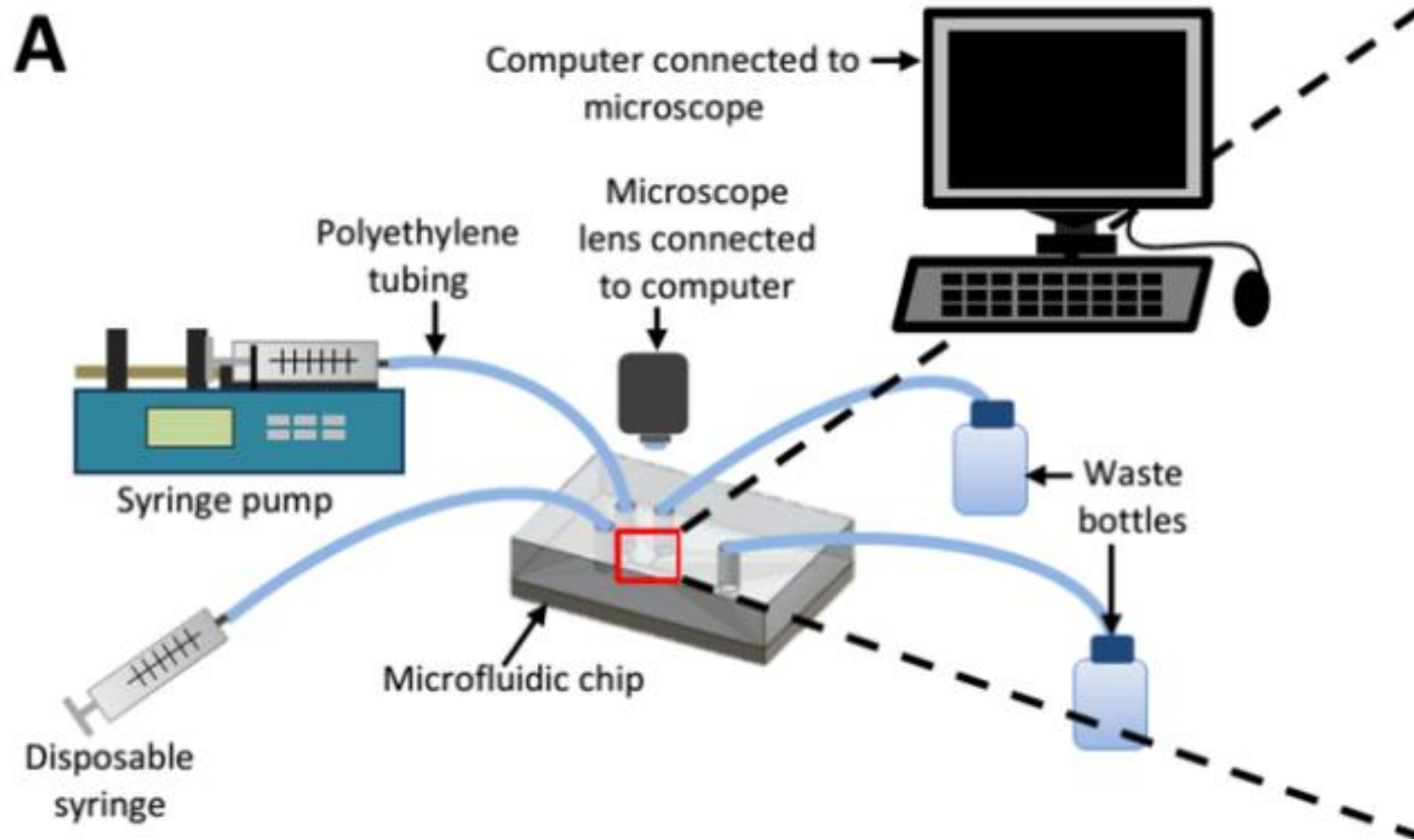




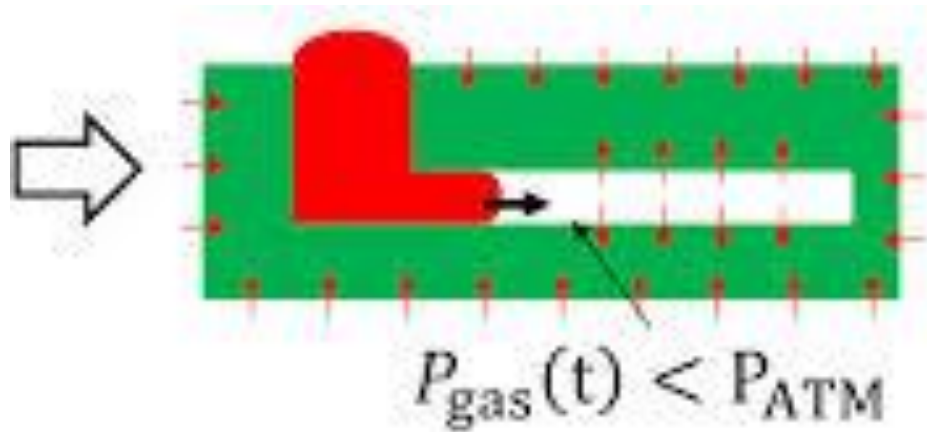
Tips

- Keep surface as clean as possible

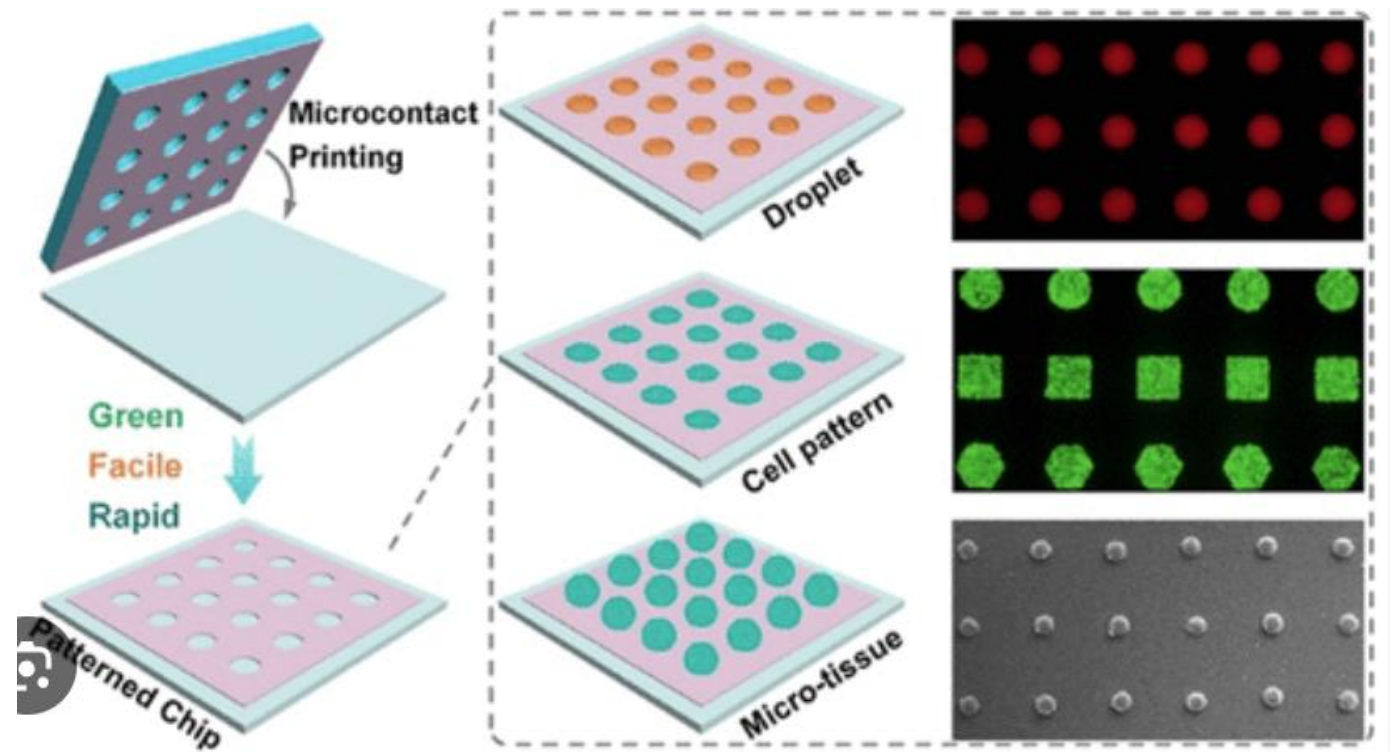
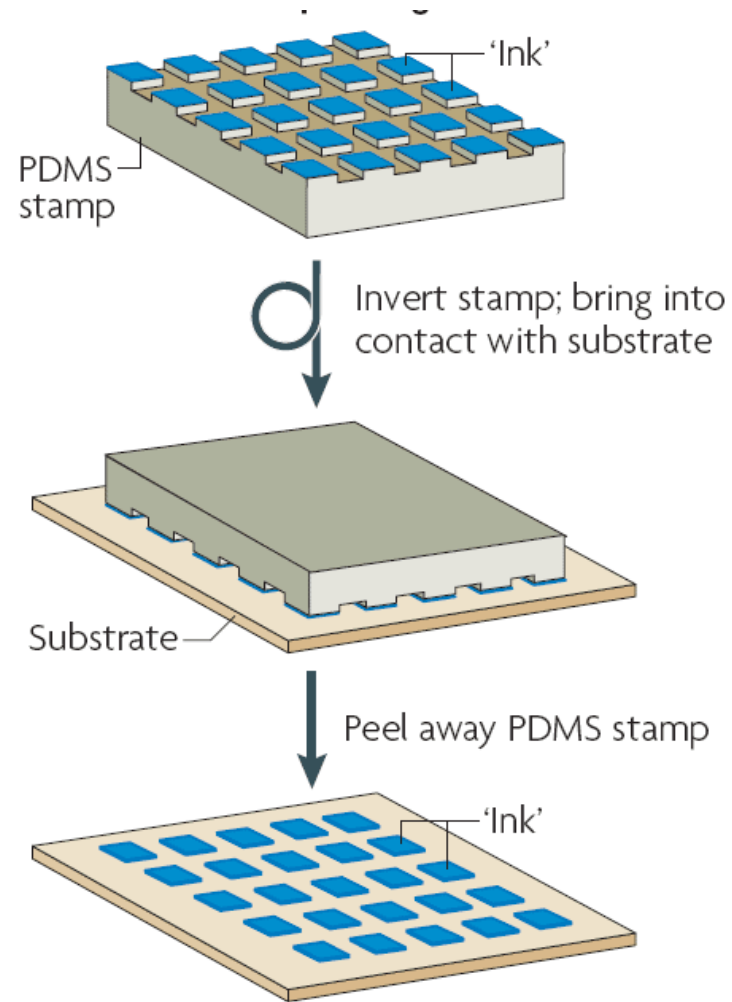
Experimental setup



PDMS is gas permeable



Microcontact printing



Steps in Microcontact Printing

- **Master Fabrication**

- A rigid master mold is created using photolithography (commonly SU-8 on silicon).
- This master contains the relief features (raised and recessed regions).

- **Stamp Preparation**

- PDMS prepolymer is poured over the master and cured.
- After peeling, you obtain a PDMS stamp with the **negative relief pattern** of the master.

- **Inking**

- The PDMS stamp is coated with “ink,” typically:
 - **Alkanethiols** for gold substrates.
 - **Proteins, DNA, polymers, or silanes** for other applications.
- Inking is done by dipping or dropping a solution onto the stamp.

- **Printing (Contacting)**

- The inked PDMS stamp is gently placed in contact with the target substrate.
- Molecules transfer from the raised regions of the stamp to the surface.
- This creates a **chemical pattern** that matches the relief features of the stamp.

Proteins for Cell Patterning (Biointerfaces)

- **Ink:** Extracellular matrix proteins (e.g., fibronectin, laminin, collagen).
- **Substrate:** Glass, PDMS, or tissue-culture plastics.
- **Goal:**
 - Pattern adhesive proteins on a non-fouling background (e.g., PEG-coated surface).
 - Cells only attach where proteins are present.
 - Used to **control cell shape, migration, and differentiation** in tissue engineering.
 - Example: Printing fibronectin islands to guide stem cell differentiation based on cell geometry.

DNA Oligonucleotides (Biosensing)

- **Ink:** Single-stranded DNA (ssDNA) probes.
- **Substrate:** Glass or silicon oxide functionalized with silanes (e.g., APTES).
- **Goal:**
 - Pattern capture probes in arrays.
 - Used to create **DNA microarrays** for gene expression analysis or biosensors.
 - Example: Complementary fluorescently labeled DNA hybridizes only to printed regions.

Practice:

- Design the fabrication flow for microcontact printing