# **Kissing number**

In geometry, the **kissing number** of a <u>mathematical space</u> is defined as the greatest number of non-overlapping unit <u>spheres</u> that can be arranged in that space such that they each touch a common unit sphere. For a given <u>sphere packing</u> (arrangement of spheres) in a given space, a kissing number can also be defined for each individual sphere as the number of spheres it touches. For a <u>lattice</u> packing the kissing number is the same for every sphere, but for an arbitrary sphere packing the kissing number may vary from one sphere to another.

Other names for kissing number that have been used are **Newton number** (after the originator of the problem), and **contact number**.

In general, the **kissing number problem** seeks the maximum possible kissing number for  $\underline{n}$ -dimensional spheres in (n + 1)-dimensional Euclidean space. Ordinary spheres correspond to two-dimensional closed surfaces in three-dimensional space.

Finding the kissing number when centers of spheres are confined to a line (the one-dimensional case) or a plane (two-dimensional case) is trivial. Proving a solution to the three-dimensional case, despite being easy to conceptualise and model in the physical world, eluded mathematicians until the mid-20th century. Solutions in higher dimensions are considerably more challenging, and only a handful of cases have been solved exactly. For others investigations have determined upper and lower bounds, but not exact solutions.

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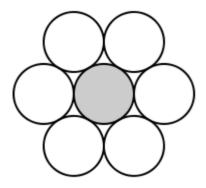
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## **Known greatest kissing numbers**

In one dimension, [4] the kissing number is 2:



In two dimensions, the kissing number is 6:

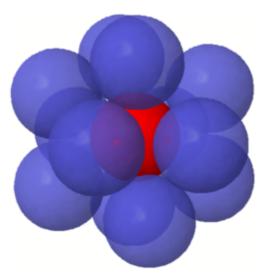


**Proof**: Consider a circle with center C that is touched by circles with centers  $C_1$ ,  $C_2$ , .... Consider the rays  $C_i$ . These rays all emanate from the same center C, so the sum of angles between adjacent rays is 360°.

Assume by contradiction that there are more than six touching circles. Then at least two adjacent rays, say C  $C_1$  and C  $C_2$ , are separated by an angle of less than 60°. The segments C  $C_i$  have the same length -2r – for all i. Therefore, the triangle C  $C_1$   $C_2$  is isosceles, and its third side  $-C_1$   $C_2$  – has a side length of less than 2r. Therefore, the circles 1 and 2 intersect – a contradiction. [5]

In three dimensions, the kissing number is 12, but the correct value was much more difficult to establish than in dimensions one and two. It is easy to arrange 12 spheres so that each touches a central sphere, but there is a lot of space left over, and it is not obvious that there is no way to pack in a 13th sphere. (In fact, there is so much extra space that any two of the 12 outer spheres can exchange places through a continuous movement without any of the outer spheres losing contact with the center one.) This was the subject of a famous disagreement between mathematicians <u>Isaac Newton</u> and <u>David Gregory</u>. Newton correctly thought that the limit was 12; Gregory thought that a 13th could fit. Some incomplete proofs that Newton was correct were offered in the nineteenth century, most notably one by <u>Reinhold Hoppe</u>, but the first correct proof (according to Brass, Moser, and Pach) did not appear until 1953. [1][2][6]

The twelve neighbors of the central sphere correspond to the maximum bulk <u>coordination number</u> of an atom in a <u>crystal lattice</u> in which all atoms have the same size (as in a chemical element). A coordination number of 12 is found in a <u>cubic close-packed</u> or a hexagonal close-packed structure.



A highly symmetrical realization of the kissing number 12 in three dimensions is by aligning the centers of outer spheres with vertices of a <u>regular icosahedron</u>. This leaves slightly more than 0.1 of the radius between two nearby spheres.

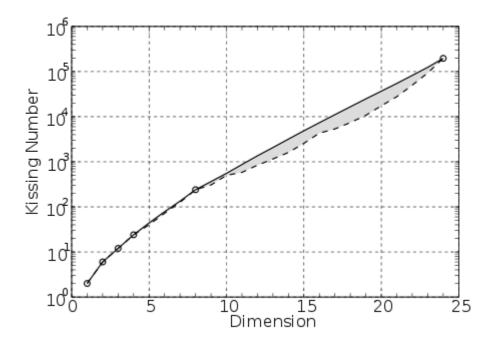
In four dimensions, it was known for some time that the answer was either 24 or 25. It is straightforward to produce a packing of 24 spheres around a central sphere (one can place the spheres at the vertices of a suitably scaled  $\underline{24\text{-cell}}$  centered at the origin). As in the three-dimensional case, there is a lot of space left over—even more, in fact, than for n = 3—so the situation was even less clear. In 2003, Oleg Musin proved the kissing number for n = 4 to be 24, using a subtle trick.  $\underline{[7][8]}$ 

The kissing number in n dimensions is unknown for n > 4, except for n = 8 (240), and n = 24 (196,560). The results in these dimensions stem from the existence of highly symmetrical lattices: the  $E_8$  lattice and the Leech lattice.

If arrangements are restricted to *lattice* arrangements, in which the centres of the spheres all lie on points in a <u>lattice</u>, then this restricted kissing number is known for n = 1 to 9 and n = 24 dimensions. [11] For 5, 6, and 7 dimensions the arrangement with the highest known kissing number found so far is the optimal lattice arrangement, but the existence of a non-lattice arrangement with a higher kissing number has not been excluded.

## Some known bounds

The following table lists some known bounds on the kissing number in various dimensions.<sup>[3]</sup> The dimensions in which the kissing number is known are listed in boldface.



Rough volume estimates show that kissing number in n dimensions  $\underline{\text{grows}}$   $\underline{\text{exponentially}}$  in n. The base of exponential growth is not known. The grey area in the above plot represents the possible values between known upper and lower bounds. Circles represent values that are known exactly.

Dimension	Lower bound	Upper bound
1	2	
2	<u>6</u>	
3	12	
4	<u>24<sup>[7]</sup></u>	
5	40	44
6	72	78
7	<u>126</u>	134
8	240	
9	306	364
10	500	554
11	582	870
12	840	1,357
13	1,154 <sup>[12]</sup>	2,069
14	1,606 <sup>[12]</sup>	3,183
15	2,564	4,866
16	4,320	7,355
17	5,346	11,072
18	7,398	16,572
19	10,668	24,812
20	17,400	36,764
21	27,720	54,584
22	49,896	82,340
23	93,150	124,416
24	196,560	

# Generalization

The kissing number problem can be generalized to the problem of finding the maximum number of non-overlapping <u>congruent</u> copies of any <u>convex body</u> that touch a given copy of the body. There are different versions of the problem depending on whether the copies are only required to be congruent to the original body, <u>translates</u> of the original body, or translated by a lattice. For the <u>regular tetrahedron</u>, for example, it is known that both the lattice kissing number and the translative kissing number are equal to 18, whereas the congruent kissing number is at least 56. [13]

# **Algorithms**

There are several <u>approximation algorithms</u> on <u>intersection graphs</u> where the approximation ratio depends on the kissing number. For example, there is a polynomial-time 10-approximation algorithm to find a maximum non-intersecting subset of a set of rotated unit squares.

#### **Mathematical statement**

The kissing number problem can be stated as the existence of a solution to a set of <u>inequalities</u>. Let  $x_n$  be a set of *N D*-dimensional position vectors of the centres of the spheres. The condition that this set of spheres can lie round the centre sphere without overlapping is:

$$\exists x \; \left\{ orall_n \{x_n^T x_n = 1\} \wedge orall_{m,n:m 
eq n} \{(x_n - x_m)^T (x_n - x_m) \geq 1\} 
ight\} rac{[15]}{n}$$

Thus the problem for each dimension can be expressed in the existential theory of the reals. However, general methods of solving problems in this form take at least exponential time which is why this problem has only been solved up to four dimensions. By adding additional variables,  $y_{nm}$  this can be converted to a single quartic equation in N(N-1)/2 + DN variables:

$$\exists xy \ \left\{ \sum_n (x_n^T x_n - 1)^2 + \sum_{m,n:m < n} \left( (x_n - x_m)^T (x_n - x_m) - 1 - (y_{nm})^2 
ight)^2 = 0 
ight\} ^{[16]}$$

Therefore, to solve the case in D=5 dimensions and  $N=\underline{40}+1$  vectors would be equivalent to determining the existence of real solutions to a quartic polynomial in 1025 variables. For the D=24 dimensions and  $N=\underline{196560}+1$ , the quartic would have 19,322,732,544 variables. An alternative statement in terms of distance geometry is given by the distances squared  $R_{mn}$  between then  $m^{th}$  and  $n^{th}$  sphere.

$$\exists R \left\{ \forall_n \{R_{0n} = 1\} \land \forall_{m,n:m < n} \{R_{mn} \ge 1\} \right\}$$

This must be supplemented with the condition that the <u>Cayley–Menger determinant</u> is zero for any set of points which forms an (D+1) simplex in D dimensions, since that volume must be zero. Setting  $R_{mn} = 1 + y_{mn}^2$  gives a set of simultaneous polynomial equations in just y which must be solved for real values only. The two methods, being entirely equivalent, have various different uses. For example, in the second case one can randomly alter the values of the y by small amounts to try to minimise the polynomial in terms of the y.

### See also

- Equilateral dimension
- Spherical code
- Soddy's hexlet
- Cylinder sphere packing

#### **Notes**

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- 3. Mittelmann, Hans D.; Vallentin, Frank (2009). "High accuracy semidefinite programming bounds for kissing numbers". *Experimental Mathematics*. **19**: 174–178. arXiv:0902.1105 (https://arxiv.org/abs/0902.1105). Bibcode:2009arXiv0902.1105M (https://ui.adsabs.harvard.edu/abs/2009arXiv0902.1105M).

- 4. Note that in one dimension, "spheres" are just pairs of points separated by the unit distance. (The vertical dimension of one-dimensional illustration is merely evocative.) Unlike in higher dimensions, it is necessary to specify that the interior of the spheres (the unit-length open intervals) do not overlap in order for there to be a finite packing density.
- 5. See also Lemma 3.1 in Marathe, M. V.; Breu, H.; Hunt, H. B.; Ravi, S. S.; Rosenkrantz, D. J. (1995). "Simple heuristics for unit disk graphs". *Networks*. **25** (2): 59. arXiv:math/9409226 (https://arxiv.org/abs/math/9409226). doi:10.1002/net.3230250205 (https://doi.org/10.1002%2Fnet.3 230250205).
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- 8. Pfender, Florian; Ziegler, Günter M. (September 2004). "Kissing numbers, sphere packings, and some unexpected proofs" (http://www.ams.org/notices/200408/fea-pfender.pdf) (PDF). Notices of the American Mathematical Society: 873–883..
- 9. <u>Levenshtein, Vladimir I.</u> (1979). "О границах для упаковок в n-мерном евклидовом пространстве" [On bounds for packings in *n*-dimensional Euclidean space]. <u>Doklady Akademii</u> Nauk SSSR (in Russian). **245** (6): 1299–1303.
- 10. Odlyzko, A. M., Sloane, N. J. A. New bounds on the number of unit spheres that can touch a unit sphere in n dimensions. J. Combin. Theory Ser. A 26 (1979), no. 2, 210—214
- 11. Weisstein, Eric W. "Kissing Number" (https://mathworld.wolfram.com/KissingNumber.html). *MathWorld*.
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- 13. Lagarias, Jeffrey C.; Zong, Chuanming (December 2012). "Mysteries in packing regular tetrahedra" (http://www.ams.org/notices/201211/rtx121101540p.pdf) (PDF). Notices of the American Mathematical Society: 1540–1549.
- 14. Kammer, Frank; Tholey, Torsten (July 2012). "Approximation Algorithms for Intersection Graphs". *Algorithmica*. **68** (2): 312–336. doi:10.1007/s00453-012-9671-1 (https://doi.org/10.1007%2Fs00453-012-9671-1).
- 15. Numbers m and n run from 1 to N.  $\mathbf{x} = (\mathbf{x}_n)_N$  is the sequence of the N positional vectors. As the condition behind the second universal quantifier  $(\forall)$  does not change if m and n are exchanged, it is sufficient to let this quantor extend just over m, n : m < n. For simplification the sphere radiuses are assumed to be 1/2.
- 16. Concerning the matrix  $y = (y_{mn})_{N \times N}$  only the entries having m < n are needed. Or, equivalent, the matrix can be assumed to be antisymmetric. Anyway the matrix has just $N(N-1)\$  free scalar variables. In addition, there are N D-dimensional vectors  $x_n$ , which correspondent to a matrix  $x = (x_{nd})_{N \times D}$  of N column vectors.

## References

- T. Aste and <u>D. Weaire *The Pursuit of Perfect Packing*</u> (Institute Of Physics Publishing London 2000) ISBN 0-7503-0648-3
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#### **External links**

Grime, James. "Kissing Numbers" (https://www.youtube.com/watch?v=LZ7X\_YOfJqY) (video).
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