

# CS6533/CS4533 Lecture 4

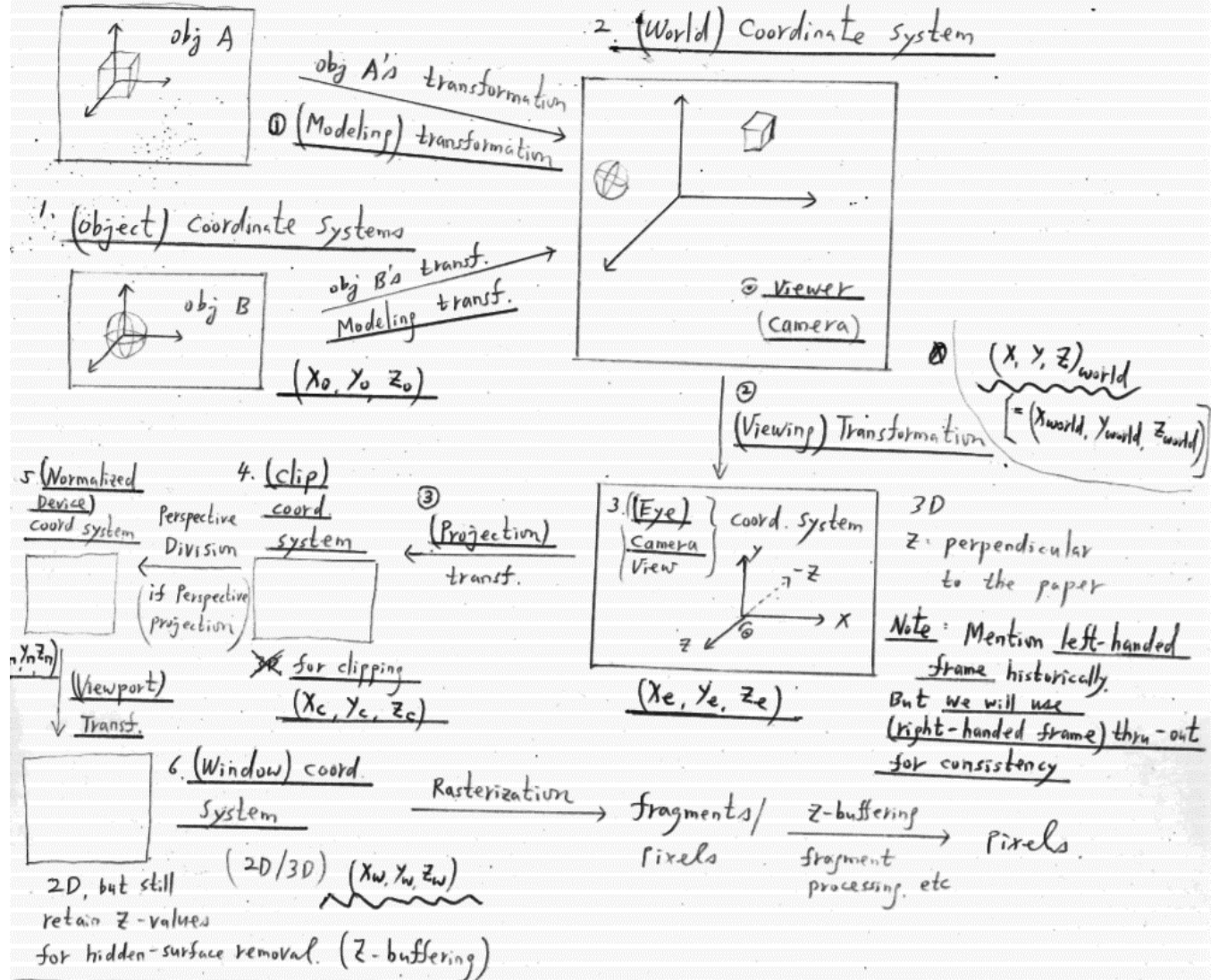
## Slides/Notes

**Viewing and Projection**  
**(Ch 5, 10, 11, 12.1, Notes)**

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# Viewing & Projection

## \* Coordinate Systems & Transformations



cf. World coord:  $(X, Y, Z)_{world} = (X_{world}, Y_{world}, Z_{world})$   
Window:  $(X_w, Y_w, Z_w)$

1. Note: { Modeling Transf: Rotation, Translation, Scaling } Same types  
{ Viewing: coord system change. } ⇒ Combined into (model-view matrix)  
(using Translation & Rotation)

2. Projection: Different type ⇒ Use a separate (Projection matrix)

## Viewing & Projection Process : 2 Parts

1. Describe the position & orientation of camera.  
Viewing) use model-view matrix to transform object, world frame  $\rightarrow$   $\left\{ \begin{array}{l} \text{(eye)} \\ \text{(camera)} \end{array} \right\}$  frame.

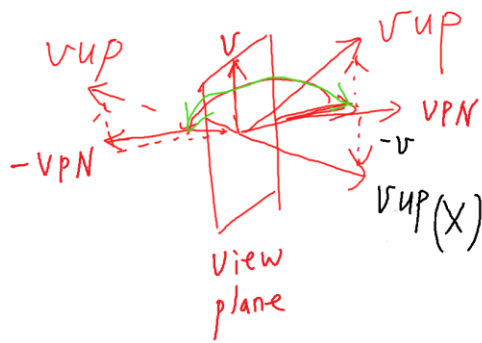
2. Decide type of projection :  $\left\{ \begin{array}{l} \text{parallel} \\ \text{perspective} \end{array} \right\} \Rightarrow$  (projection) matrix  
what part to image. clipping / view volume

\* Concatenate projection matrix  $P$  with model-view matrix  $M$

vertex  $\rightarrow$  model-view  $\rightarrow$  projection

$$g = (PM)P$$





\* Note:  $V_{up}$  can be anywhere in the direction range  $V_{up}$  since in this range  $V_{up}$  projecting onto the view plane results in the  $+V$  direction, which indicates the top of the camera

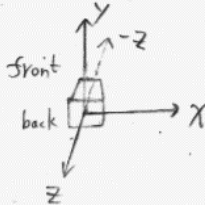
Any direction below  $V_{up}$  eg.

$V_{up}$  is wrong, since its projection onto the view plane is in the  $-V$  direction.

# Viewing (Part 1): Specifying the camera position & orientation.

then transform World frame  $\rightarrow$  Camera/Eye frame

Here: camera frame is a (right-handed) frame, with the camera/eye at the origin looking at the (-z) direction.

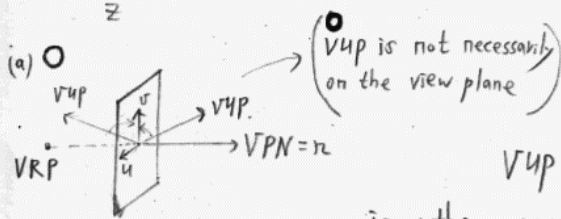


① Specify VRP - view reference point

ie camera/eye position

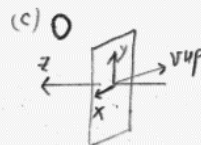
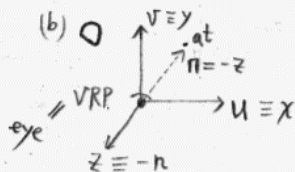
VPN - View Plane normal

is normal vector to the view projection plane  
vector of line of sight



VUP - view up vector (camera top direction)  
in the world frame for

② Construct the camera frame: \* Project VUP onto the projection plane to get V



\*  $n \times V = U$  to get U  
\* VRP as origin

③ Transform world frame  $\rightarrow$  camera frame

\* Typically: use function LookAt (eye, at, VUP)

eye  $\rightarrow$  at  
line of sight  
 $= VPN = n = -z$

(eye, at, VUP)  
in (world frame)

to obtain a 4x4 matrix to transform world frame  $\rightarrow$  camera frame

right-handed

\* Derivation of matrix M for LookAt():

(1)  $\underline{z} = -n = \text{normalize}(\text{eye} - \text{at}) = (z_1, z_2, z_3)$  (from fig (b))

$\underline{x} = u = \text{normalize}(VUP \times \underline{z}) = (x_1, x_2, x_3)$  ( $\approx$  fig (c))

$\underline{y} = v = \text{normalize}(\underline{z} \times \underline{x}) = (y_1, y_2, y_3)$  ( $\approx$  fig (c))

origin = eye =  $(\text{eye}_1, \text{eye}_2, \text{eye}_3)$

\* We need to normalize since we need  $\underline{x} \cdot \underline{x} = \underline{y} \cdot \underline{y} = \underline{z} \cdot \underline{z} = 1$

(2) ① Translate (-eye) =  $\begin{bmatrix} 1 & 0 & 0 & -\text{eye}_1 \\ 0 & 1 & 0 & -\text{eye}_2 \\ 0 & 0 & 1 & -\text{eye}_3 \\ 0 & 0 & 0 & 1 \end{bmatrix}$

② Rotate:  $\begin{bmatrix} x_1 & x_2 & x_3 & 0 \\ y_1 & y_2 & y_3 & 0 \\ z_1 & z_2 & z_3 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = R$

$M = R \cdot \text{Translate}(-\text{eye})$

Verification for  $M [eye]_{world} = (origin)_{eye}$  ?

$$\begin{aligned}
 M &= R \cdot \text{Translate}(-eye) = R \cdot \begin{bmatrix} 1 & 0 & 0 & -eye_1 \\ 0 & 1 & 0 & -eye_2 \\ 0 & 0 & 1 & -eye_3 \\ 0 & 0 & 0 & 1 \end{bmatrix} \\
 M \cdot (eye)_{world} &= M \cdot \begin{bmatrix} eye_1 \\ eye_2 \\ eye_3 \\ 1 \end{bmatrix} = R \cdot \begin{bmatrix} 1 & 0 & 0 & -eye_1 \\ 0 & 1 & 0 & -eye_2 \\ 0 & 0 & 1 & -eye_3 \\ 0 & 0 & 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} eye_1 \\ eye_2 \\ eye_3 \\ 1 \end{bmatrix} = R \cdot \begin{bmatrix} \cancel{eye_1} + 0 + 0 + \cancel{(-eye_1) \cdot 1} \\ 0 + \cancel{eye_2} + 0 - \cancel{eye_2} \\ 0 + 0 + \cancel{eye_3} - \cancel{eye_3} \\ 0 + 0 + 0 + 1 \end{bmatrix} \\
 &= R \cdot \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} \quad \begin{array}{l} \text{* origin is the fixed pt of rotation.} \\ \text{So } R \cdot (origin) \text{ does NOT change the origin.} \end{array} \\
 \underbrace{\begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}}_{origin} &= \underbrace{\begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}}_{origin} = (origin)_{eye} \checkmark
 \end{aligned}$$

### \* Verification

$$\textcircled{1} M \cdot \begin{bmatrix} \text{eye}_1 \\ \text{eye}_2 \\ \text{eye}_3 \\ 1 \end{bmatrix}_{\text{world}} = (\text{origin})_{\text{eye}} \quad ? \quad M \cdot \begin{bmatrix} \text{eye}_1 \\ \text{eye}_2 \\ \text{eye}_3 \\ 1 \end{bmatrix} = R \cdot \begin{bmatrix} \text{eye}_1 + 0 + 0 - \text{eye}_1 \\ 0 + \text{eye}_2 + 0 - \text{eye}_2 \\ 0 + 0 + \text{eye}_3 - \text{eye}_3 \\ 1 \end{bmatrix} = R \cdot \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} = \text{origin} \checkmark$$

$$\textcircled{2} M \cdot \begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix}_{\text{world}} = (e_1)_{\text{eye}} \quad ? \quad M \cdot \begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix} = \begin{bmatrix} [x]_0 \\ [y]_0 \\ [z]_0 \\ 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix} \quad \left( \because \text{Translate}(-\text{eye}) \text{ has no effect on vector } x \right)$$

$$= \begin{bmatrix} x \cdot x + 0 \\ y \cdot x + 0 \\ z \cdot x + 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} = e_1 \checkmark$$

$$\text{Similarly, } M \cdot \begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix}_{\text{world}} = \begin{bmatrix} x \cdot y + 0 \\ y \cdot y + 0 \\ z \cdot y + 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} = (e_2)_{\text{eye}} \checkmark$$

$$M \cdot \begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix}_{\text{world}} = \begin{bmatrix} x \cdot z + 0 \\ y \cdot z + 0 \\ z \cdot z + 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} = (e_3)_{\text{eye}} \checkmark$$

Note\*  $M = (M^{-1})^{-1}$

$$M^{-1} = [R \cdot \text{Translate}(-\text{eye})]^{-1} = (\text{Translate}(-\text{eye}))^{-1} \cdot R^{-1} = \text{Translate}(\text{eye}) \cdot R^T = \text{Translate}(\text{eye}) \begin{bmatrix} [x] & [y] & [z] & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 & 0 & \text{eye}_1 \\ 0 & 1 & 0 & \text{eye}_2 \\ 0 & 0 & 1 & \text{eye}_3 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_1 & y_1 & z_1 & 0 \\ x_2 & y_2 & z_2 & 0 \\ x_3 & y_3 & z_3 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} x_1 & y_1 & z_1 & \text{eye}_1 \\ x_2 & y_2 & z_2 & \text{eye}_2 \\ x_3 & y_3 & z_3 & \text{eye}_3 \\ 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} [x] & [y] & [z] & [\text{eye}] \\ 0 & 0 & 0 & 1 \end{bmatrix} = A$$

$M = A^{-1}$  (This is the method of Textbook Sec 5.2.3 Look at Note that the matrix given there is just A But final M is  $M = A^{-1}$ )

### \* Verification

$$\textcircled{1} M^{-1} (\text{origin})_{\text{eye}} = M^{-1} \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} \text{eye}_1 \\ \text{eye}_2 \\ \text{eye}_3 \\ 1 \end{bmatrix} = (\text{eye})_{\text{world}} \checkmark$$

$$\textcircled{2} M^{-1} \cdot (e_1)_{\text{eye}} = M^{-1} \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ 0 \end{bmatrix} = (\text{vector } x)_{\text{world}} \checkmark$$

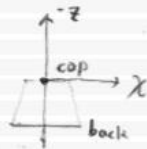
$$\textcircled{3} M^{-1} \cdot (e_2)_{\text{eye}} = M^{-1} \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} y_1 \\ y_2 \\ y_3 \\ 0 \end{bmatrix} = (\text{vector } y)_{\text{world}} \checkmark$$

$$\textcircled{4} M^{-1} \cdot (e_3)_{\text{eye}} = M^{-1} \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} z_1 \\ z_2 \\ z_3 \\ 0 \end{bmatrix} = (\text{vector } z)_{\text{world}} \checkmark$$

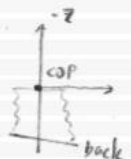


# Projection (Part 2)

perspective  
parallel



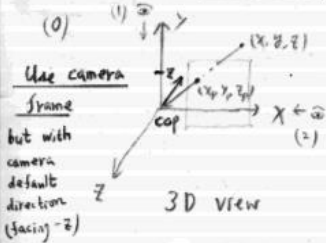
back of camera  
⊥ z axis



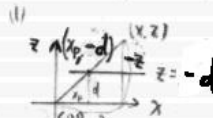
general case (oblique)

Overview of Plan:  
(1) Derive resulting pt  $q$  of orig. pt  $p$  by def. of projection  
(2) Derive projection matrix  $M$ :  $q = Mp$

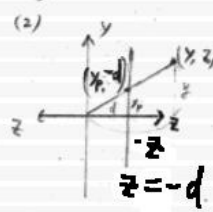
## Simple perspective projection: (back ⊥ z axis)



Use camera frame  
but with camera default direction (facing -z)



top view



side view

$$z_p = -d, z < 0$$

(d > 0)

$$\frac{x}{-z} = \frac{x_p}{d} \Rightarrow x_p = \frac{x \cdot d}{-z}$$

$$\frac{y}{-z} = \frac{y_p}{d} \Rightarrow y_p = \frac{y \cdot d}{-z}$$

$$p = \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

$$M = \begin{bmatrix} d & 0 & 0 & 0 \\ 0 & d & 0 & 0 \\ 0 & 0 & d & 0 \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

$$\Rightarrow q = Mp = \begin{bmatrix} xd \\ yd \\ zd \\ -z \end{bmatrix}$$

projection matrix  
for simple perspective projection

Def: perspective parallel/orthogonal

View plane

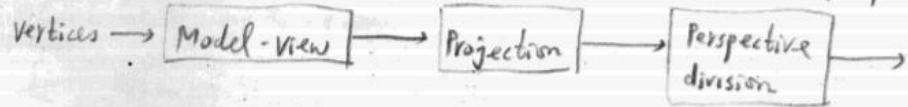
COP at infinity

projection lines are // to each other. All are ⊥ to the view plane.

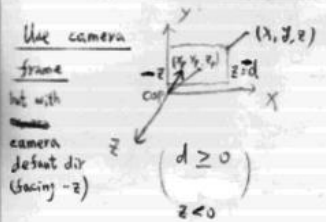
Remark: Homogeneous coord. system if w=0.  $\begin{bmatrix} x \\ y \\ z \\ w \end{bmatrix} \equiv \begin{bmatrix} x/w \\ y/w \\ z/w \\ 1 \end{bmatrix}$

equivalent representation but different computational cost  $\Rightarrow$  perspective division

perspective division:

$$q' = \begin{bmatrix} \frac{xd}{-z} \\ \frac{yd}{-z} \\ \frac{zd}{-z} \\ 1 \end{bmatrix} = \begin{bmatrix} x_p \\ y_p \\ z_p \\ 1 \end{bmatrix}$$


## Simple Orthogonal Projection: (back ⊥ z axis)

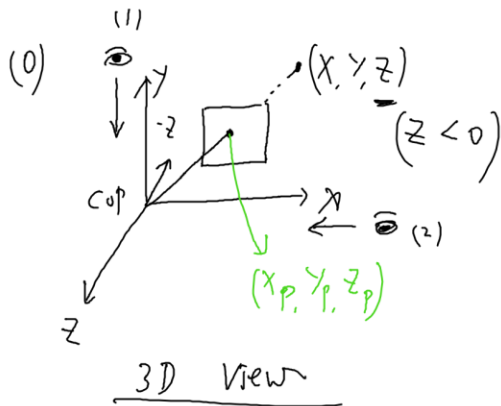


Use camera frame  
but with camera default dir (facing -z)

$$\begin{bmatrix} x_p \\ y_p \\ z_p \end{bmatrix} = \begin{bmatrix} x \\ y \\ -d \end{bmatrix} = \begin{bmatrix} x_p \\ y_p \\ z_p \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & d \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

(value of d does not matter as long as d > 0)

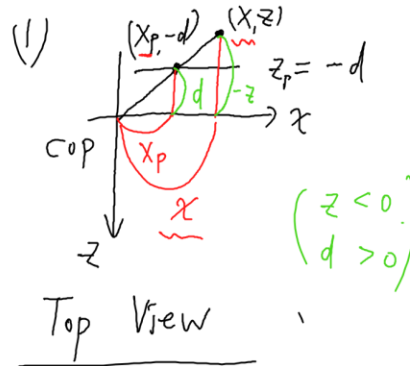
q M P



View plane:  $z_p = -d$  ( $d > 0$ )

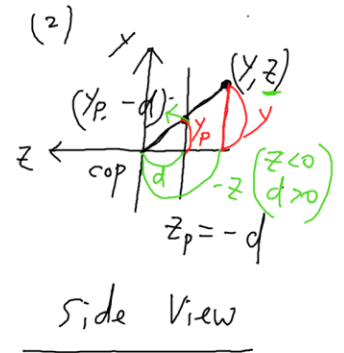
$z < 0$

$$(x_p, y_p, z_p) = \left( \frac{x \cdot d}{-z}, \frac{y \cdot d}{-z}, -d \right)$$



$$\frac{x_p}{x} = \frac{d}{-z}$$

$$\Rightarrow x_p = \frac{x \cdot d}{-z}$$



$$\frac{y_p}{y} = \frac{d}{-z}$$

$$\Rightarrow y_p = \frac{y \cdot d}{-z}$$

Now Express Perspective Projection by Matrix Multiplication:

$$\begin{bmatrix} \frac{x \cdot d}{-z} \\ \frac{y \cdot d}{-z} \\ -d \\ 1 \end{bmatrix} = \begin{bmatrix} A & B & C & D \\ \hline & & & \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

$$\frac{x \cdot d}{-z} = Ax + By + Cz + D$$

We want:  $A, B, C, D$  be constants independent of  $x, y, z$ .

$\Rightarrow$  NOT possible!!

Key Idea: Use Homogeneous Coord. System.

If  $w \neq 0$ :

$$\begin{bmatrix} x \\ y \\ z \\ w \end{bmatrix} \xrightarrow{\text{Perspective Division}} \begin{bmatrix} x/w \\ y/w \\ z/w \\ 1 \end{bmatrix}$$

$w \neq 1$ :

pt. with coord.  $(x/w, y/w, z/w)$

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## Perspective Division


Desired Matrix

to get the projection point  $g$ .

Simple

Orthogonal Projection (back  $\perp$  z axis)

Use camera frame  
but with camera default dir (facing -z)



$(x, y, z)$

$z = d$

$d \geq 0$

$z < 0$

$$\begin{pmatrix} x_p \\ y_p \\ z_p \end{pmatrix} = \begin{pmatrix} x \\ y \\ -d \end{pmatrix} = \begin{pmatrix} x_p \\ y_p \\ z_p \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & d \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

(value of d does not matter as long as  $d \geq 0$ )

Note: There is **no division** involved.

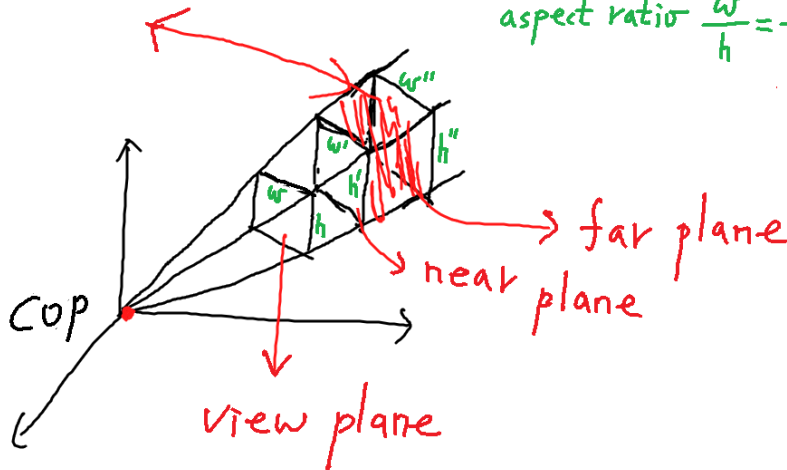
(Therefore, we call the division in the perspective projection **perspective division**.)

# In Perspective Projection:

View { Volume  
Frustum

Clipping op:  
\* objects outside the view  
volume are clipped out.

$$\text{aspect ratio } \frac{w}{h} = \frac{w'}{h'} = \frac{w''}{h''}$$



→ Perspective ( ' ) camera frame

② `gluPerspective(fovy, aspect, near, far)`

Easier to use than `glFrustum()`

field of view degree  $\in [0^\circ, 180^\circ]$

again must be  $> 0$  (view from cop)

Note: cop is in the center of graphics window & view volume is symmetric

Recover the same info as in `glFrustum()`:

field of view: (side view)

Diagram showing a side view of the frustum with height  $h$  at distance  $near$  and  $w$  at distance  $far$ .  $\tan \frac{\theta}{2} = \frac{h}{near} \Rightarrow h$ ,  $aspect = \frac{w}{h} \Rightarrow w$ .

Diagram showing a 3D coordinate system with cop at the origin, and axes x, y, z. A frustum is shown with width  $w$  and height  $h$  at a distance  $z$ .  $aspect = \frac{w}{h}$ .

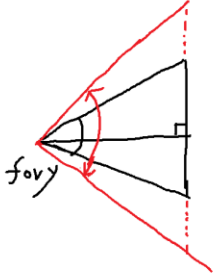
③ After specifying obj and cop in the world,  $D$  is fixed (Also, window is fixed)  $\Rightarrow \uparrow$  more objects are in the scene objects appear smaller  $\Rightarrow$  zoom out.  $\theta \downarrow$  : zoom in.

Diagram showing a side view of the frustum with height  $h$  at distance  $D$  and  $w$  at distance  $far$ .  $\tan \frac{\theta}{2} = \frac{h}{D}$ ,  $\theta = fovy$ .

Q: Where is the view plane?  
A: In theory, we need the distance from cop to the view plane i.e.  $z_p = d > 0$  or  $R3$  (In the projection matrix  $M$ ,  $d$  is used in  $M$ ) But in OpenGL,  $d$  is implemented as a default value and we don't / can't change it (actually no need to change it).

In OpenGL, View plane = near clipping plane.

Perspective (fovy, aspect, near, far)



When fovy increases, more objects enter the fixed-sized graphics window.

$\Rightarrow$  objects appear smaller

$\Rightarrow$  zoom out effect.

Similarly, when fovy  $\downarrow$   $\Rightarrow$  objects appear bigger

$\Rightarrow$  zoom in effect.

Perspective Normalization: Transform (distort) the entire scene so that

except that the aspect ratio of the view plane becomes (1)  
(It will be (restored) later in (viewport) transformation.)

the view volume is (axis-parallel) and the "same" perspective projection result is still kept

$\Rightarrow$  (clipping) becomes very simple

