

# CS6533/CS4533 Lecture 3 Slides/Notes

## HW1 Discussion; 3D Transformations (Notes, Ch 2,3,4)

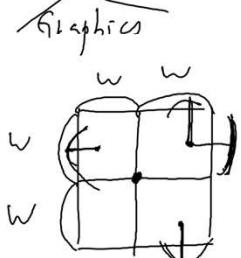
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HW1 Part (d)

Input file circles  $(c_{x_i}, c_{y_i}, r_i)$  for each circle  $i$ .  
in world coord. system.

Sol. Window width & height are each  $2w$ .



From input . find

$$\max_x = \max_i \{ c_{x_i} + r_i, |c_{x_i} - r_i| \}$$

$$\max_y = \max_i \{ c_{y_i} + r_i, |c_{y_i} - r_i| \}$$

$$\max_{\text{abs-coord}} = \max \{ \max_x, \max_y \}$$

If  $\max_{\text{abs-coord}} > w$ , then we need to scale down.  $(c_x, c_y, r)$

for all circles:

$$c_x \leftarrow (c_x \cdot w) / \max_{\text{abs-coord}}, \quad r \leftarrow (r \cdot w) / \max_{\text{abs-coord}}$$

$$c_y \leftarrow (c_y \cdot w) / \max_{\text{abs-coord}}$$

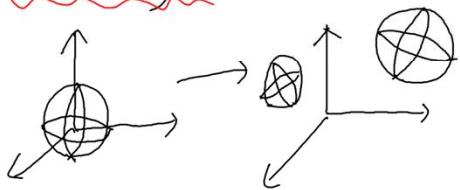
\*  
check for values  
 $< 0$  to see if  
the scaling is  
as desired.

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## 3D Transformations

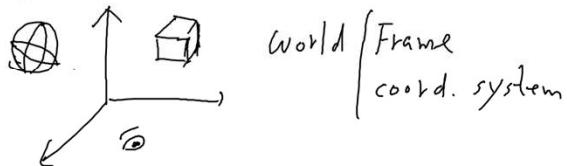
Motivations: Why do we study transformations?

### ① Modeling Transformation



Scaling  
Rotation (change orientation)  
Translation (↑ position)

### ② Coordinate System Change.



'What should be the final graphics image when seen from the viewer?'  
World Frame  $\xrightarrow{\text{transf.}}$  Eye Frame

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## I. Vector Space, Basis & Linear Transformations.

### (1) Basis Vectors

Let  $V$  be a vector space. A set of vectors  $\vec{b}_1, \vec{b}_2, \dots, \vec{b}_n$  is a basis for the vector space  $V$  if

①  $\vec{b}_1, \vec{b}_2, \dots, \vec{b}_n$  are linearly indept. i.e.  $a_1 \vec{b}_1 + a_2 \vec{b}_2 + \dots + a_n \vec{b}_n = 0$  for scalars  $a_1, \dots, a_n$

$(\vec{b}_1, \vec{b}_2, \dots, \vec{b}_n \text{ SPAN } V)$

② Any vector  $\vec{v} \in V$  can be expressed as a linear combination of  $\vec{b}_1, \dots, \vec{b}_n$

$$\text{i.e. } \vec{v} = \sum_{i=1}^n c_i \vec{b}_i \text{ for some scalars } c_1, c_2, \dots, c_n$$

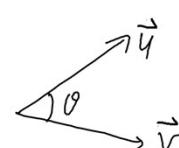
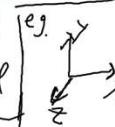
[meaning: any  $\vec{b}_i$  can NOT be expressed as a linear combination of the other  $n-1$  vectors  $\vec{b}_j, j \neq i$ ]

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2

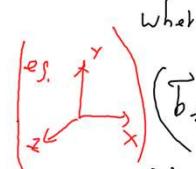
- \*  $\vec{b}_1, \dots, \vec{b}_n$  are called the basis vectors.
  - \* The number of basis vectors,  $n$ , is called the dimension  $\mathcal{V}$  of the vector space  $\mathcal{V}$ . In graphics,  $n=3$ .
  - \* Consider dimension  $n=3$ .  
For any vector  $\vec{v} \in \mathcal{V}$ ,  $\exists$  scalars  $c_1, c_2, c_3$  s.t.  $\vec{v} = c_1 \vec{b}_1 + c_2 \vec{b}_2 + c_3 \vec{b}_3$ .
- $\Rightarrow (\vec{b}_1, \vec{b}_2, \vec{b}_3)$ : row of basis vectors       $= (\vec{b}_1, \vec{b}_2, \vec{b}_3) \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix}$
- row matrix      column matrix
- $\begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix}$ : (column) coordinate vector; e.g.  $\vec{v} = \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix}$  basis:  $\vec{b}_1 = e_1 = (1, 0, 0) \mathbf{x}$   
 $\vec{b}_2 = e_2 = (0, 1, 0) \mathbf{y}$   
 $\vec{b}_3 = e_3 = (0, 0, 1) \mathbf{z}$

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- (2) Inner Product & Cross Product.
- A. Inner Product.  $\vec{u}, \vec{v}$  are vectors            inner product:  $\vec{u} \cdot \vec{v}$
- $\vec{u} \cdot \vec{v}$  returns a scalar value (real number)
- \* It allows to define the square length (or length) of a vector  $\vec{v}$ .
  - \*  $|\vec{v}|^2 \stackrel{\text{def}}{=} \vec{v} \cdot \vec{v}$  (i.e.  $|\vec{v}| = \sqrt{\vec{v} \cdot \vec{v}}$ )
  - \*  $\vec{u} \cdot \vec{v} = |\vec{u}| \cdot |\vec{v}| \cos \theta$ .
  - \* Two vectors  $\vec{u}, \vec{v}$  are orthogonal ( $\vec{u} \perp \vec{v}$ ) if  $\theta = 90^\circ$   
 $\Leftrightarrow \cos \theta = 0 = \vec{u} \cdot \vec{v}$
  - \* A basis is orthonormal if
    - ① all basis vectors are of length 1, and e.g. 
    - ② all  $\vec{u}_i \cdot \vec{u}_j$  are pairwise orthogonal

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$\forall I_n$  orthonormal basis :  $\vec{u} = \sum_i c_i \vec{b}_i$ ,  $\vec{v} = \sum_i d_i \vec{b}_i$  for vectors  $\vec{u}, \vec{v}$

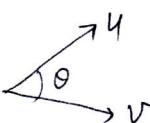
Usually we use such basis  where  $|\vec{b}_i| = 1 \quad \forall i$ .  $\vec{b}_i \cdot \vec{b}_j = 0 \quad \forall i \neq j$ .

$$\vec{u} \cdot \vec{v} = \left( \sum_i c_i \vec{b}_i \right) \cdot \left( \sum_j d_j \vec{b}_j \right) = \sum_i \sum_j (c_i d_j) (\vec{b}_i \cdot \vec{b}_j) = \sum_i c_i d_i \cdot 1$$

$I_n 3D$   $\vec{u} = (c_1, c_2, c_3)$   $\vec{u} \cdot \vec{v} = c_1 d_1 + c_2 d_2 + c_3 d_3$   
 $\vec{v} = (d_1, d_2, d_3)$

## (2) Inner Product & Cross Product.

A. Inner Product:  $\vec{u}, \vec{v}$  are vectors



$\vec{u} \cdot \vec{v}$  returns a scalar value (real number)

\* It allows to define the (squared length) (or simply (length)) of a vector:

$$|\vec{v}|^2 := \vec{v} \cdot \vec{v} \quad (\text{i.e. } |\vec{v}| = \sqrt{\vec{v} \cdot \vec{v}}).$$

$$* \vec{u} \cdot \vec{v} = |\vec{u}| |\vec{v}| \cos \theta. \quad \cos \theta = \frac{\vec{u} \cdot \vec{v}}{|\vec{u}| |\vec{v}|}$$

Two vectors are (orthogonal) if  $\theta = 90^\circ \Leftrightarrow \cos \theta = 0 = \vec{u} \cdot \vec{v}$

\* A basis is (orthonormal) if all the basis vectors are (unit length) and (pairwise orthogonal). Note: Usually we use orthonormal basis

\* In orthonormal basis,  $\vec{u} = \sum_i c_i \vec{b}_i, \vec{v} = \sum_i d_i \vec{b}_i$  where  $\vec{b}_i \cdot \vec{b}_i = |\vec{b}_i|^2 = 1$

$$\begin{aligned} \vec{u} \cdot \vec{v} &= \left( \sum_i c_i \vec{b}_i \right) \left( \sum_j d_j \vec{b}_j \right) = \sum_i \sum_j (c_i d_j) (\vec{b}_i \cdot \vec{b}_j) \\ &= \sum_i c_i d_i \end{aligned}$$

$$\text{In 3D. } \vec{u} = (c_1, c_2, c_3) \quad \vec{v} = (d_1, d_2, d_3) \quad \vec{u} \cdot \vec{v} = c_1 d_1 + c_2 d_2 + c_3 d_3$$

Geometric Meaning:  
\*  $\vec{u} \times \vec{v}$  gives a third vector  $\perp$  to both  $\vec{u}$  and  $\vec{v}$

B. Cross Product: In 3D, two vectors  $\vec{u} = \sum_i c_i \vec{b}_i, \vec{v} = \sum_i d_i \vec{b}_i$

$$\vec{u} \times \vec{v} = (|\vec{u}| |\vec{v}| \sin \theta) \vec{n}.$$

$\vec{n}$  is the (unit vector) perpendicular to the plane spanned by  $\vec{u}$  &  $\vec{v}$  in the direction by right-hand rule

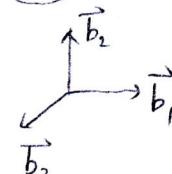
(4 fingers curling from  $\vec{u}$  to  $\vec{v}$ , the thumb points to the direction of  $\vec{n}$ )

In a (right-handed orthonormal) basis

$$\vec{u} \times \vec{v} = \left( \sum_i c_i \vec{b}_i \right) \times \left( \sum_j d_j \vec{b}_j \right)$$

$$= \sum_i \sum_j c_i d_j (\vec{b}_i \times \vec{b}_j)$$

$$\left( \begin{array}{l} \vec{b}_1 \times \vec{b}_2 = \vec{b}_3 \\ \vec{b}_2 \times \vec{b}_3 = \vec{b}_1 \\ \vec{b}_3 \times \vec{b}_1 = \vec{b}_2 \\ \vec{b}_i \times \vec{b}_i = 0 \quad \forall i \end{array} \right)$$



$$(c_1, c_2, c_3)$$

$$\times (d_1, d_2, d_3)$$

$$\rightarrow (c_2 d_3 - c_3 d_2, c_3 d_1 - c_1 d_3, c_1 d_2 - c_2 d_1)$$

### (3) Linear Transformations

A linear transformation  $\mathcal{L}$  is a transformation from  $V$  to  $V$  satisfying:

$$\textcircled{1} \quad \mathcal{L}(\vec{u} + \vec{v}) = \mathcal{L}(\vec{u}) + \mathcal{L}(\vec{v}) \quad \forall \text{ vectors } \vec{u}, \vec{v} \in V, \text{ and}$$

$$\textcircled{2} \quad \mathcal{L}(a\vec{u}) = a\mathcal{L}(\vec{u}) \quad \forall \text{ vector } \vec{u} \in V \text{ and real number (scalar) } a.$$

Now let  $[\vec{b}_1, \vec{b}_2, \vec{b}_3]$  be a basis of the 3D vector space  $V$ .

$$\text{For any } \vec{v} \in V, \text{ we have } \vec{v} = \sum_i c_i \vec{b}_i = [\vec{b}_1, \vec{b}_2, \vec{b}_3] \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix}$$

$$\mathcal{L}(\vec{v}) = \mathcal{L}\left(\sum_i c_i \vec{b}_i\right) = \sum_i c_i \mathcal{L}(\vec{b}_i) = [\mathcal{L}(\vec{b}_1), \mathcal{L}(\vec{b}_2), \mathcal{L}(\vec{b}_3)] \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix}$$

$$\text{But } \mathcal{L}(\vec{b}_i) \text{ is still a vector in } V, \text{ thus } \mathcal{L}(\vec{b}_i) = \sum_j M_{ji} \vec{b}_j = [\vec{b}_1, \vec{b}_2, \vec{b}_3] \begin{bmatrix} M_{11} \\ M_{21} \\ M_{31} \end{bmatrix}$$

Similarly for  $\mathcal{L}(\vec{b}_2)$  and  $\mathcal{L}(\vec{b}_3)$

$$\therefore \mathcal{L}(\vec{v}) = [\mathcal{L}(\vec{b}_1), \mathcal{L}(\vec{b}_2), \mathcal{L}(\vec{b}_3)] \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix} = [\vec{b}_1, \vec{b}_2, \vec{b}_3] \begin{bmatrix} M_{11} & M_{12} & M_{13} \\ M_{21} & M_{22} & M_{23} \\ M_{31} & M_{32} & M_{33} \end{bmatrix} \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix}$$

i.e. Linear transformation

$\vec{v} \Rightarrow \mathcal{L}(\vec{v})$  can be expressed by matrix multiplication:

$$\begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix} \Rightarrow \begin{bmatrix} M_{11} & M_{12} & M_{13} \\ M_{21} & M_{22} & M_{23} \\ M_{31} & M_{32} & M_{33} \end{bmatrix} \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix}$$

$3 \times 3$  matrix  $M$

\* Plan:

- ① Define the transformation
- ② Derive  $P \rightarrow Q$  using def. and simple geometry
- ③ Derive matrix  $M$  s.t.  $Q = MP$ .

$$\textcircled{1} \quad \text{Scaling: } P = \begin{bmatrix} X \\ Y \\ Z \end{bmatrix}, \quad Q = \begin{bmatrix} X' \\ Y' \\ Z' \end{bmatrix} \quad \text{where} \quad \begin{cases} X' = \beta_x X \\ Y' = \beta_y Y \\ Z' = \beta_z Z \end{cases}$$

$$Q = \begin{bmatrix} X' \\ Y' \\ Z' \end{bmatrix} = \begin{bmatrix} \beta_x & 0 & 0 \\ 0 & \beta_y & 0 \\ 0 & 0 & \beta_z \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \end{bmatrix}$$

$$S = S(\beta_x, \beta_y, \beta_z) =$$

$$S^{-1}(\beta_x, \beta_y, \beta_z) = S\left(\frac{1}{\beta_x}, \frac{1}{\beta_y}, \frac{1}{\beta_z}\right)$$

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Now let  $[\vec{b}_1, \vec{b}_2, \vec{b}_3]$  be a basis of the 3D vector space  $V$ .  
 For any  $\vec{v} \in V$ , we have  $\vec{v} = \sum_i c_i \vec{b}_i = [\vec{b}_1, \vec{b}_2, \vec{b}_3] \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix}$  for some scalar values (real numbers)  $c_1, c_2, c_3$ .

$\mathcal{L}(\vec{v}) = \mathcal{L}\left(\sum_i c_i \vec{b}_i\right) = \sum_i c_i \mathcal{L}(\vec{b}_i) = [\mathcal{L}(\vec{b}_1), \mathcal{L}(\vec{b}_2), \mathcal{L}(\vec{b}_3)] \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix}$

But  $\mathcal{L}(\vec{b}_i)$  is still a vector in  $V$ , thus  $\mathcal{L}(\vec{b}_i) = \sum_j M_{ji} \vec{b}_j = [\vec{b}_1, \vec{b}_2, \vec{b}_3] \begin{bmatrix} M_{11} \\ M_{21} \\ M_{31} \end{bmatrix}$  for some real numbers  $M_{11}, M_{21}, M_{31}$ .

Similarly for  $\mathcal{L}(\vec{b}_2)$  and  $\mathcal{L}(\vec{b}_3)$

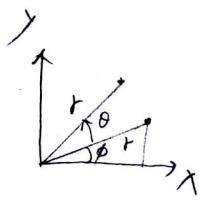
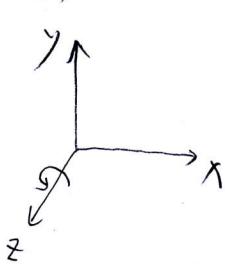
$\therefore \mathcal{L}(\vec{v}) = [\mathcal{L}(\vec{b}_1), \mathcal{L}(\vec{b}_2), \mathcal{L}(\vec{b}_3)] \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix} = [\vec{b}_1, \vec{b}_2, \vec{b}_3] \begin{bmatrix} M_{11} & M_{12} & M_{13} \\ M_{21} & M_{22} & M_{23} \\ M_{31} & M_{32} & M_{33} \end{bmatrix} \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix}$  ie Linear transformation

$\vec{v} \Rightarrow \mathcal{L}(\vec{v})$  can be expressed by matrix multiplication:

## ② Rotation :

(i) Rotation about the z-axis by an angle  $\theta$

$$R_Z(\theta);$$



$$\begin{cases} x = r \cos \phi \\ y = r \sin \phi \end{cases}$$

$$\begin{cases} x' = r \cos(\phi + \theta) \\ y' = r \sin(\phi + \theta) \\ z' = z \end{cases}$$

$$x' = r \cos(\phi + \theta) = r [\cos\phi \cos\theta - \sin\phi \sin\theta] = (\cos\phi)r \cos\theta - (\sin\phi)r \sin\theta \\ = (\cos\theta)x - (\sin\theta)y.$$

$$y' = r \sin(\phi + \theta) = r [\sin \phi \cos \theta + \cos \phi \sin \theta] = (r \sin \phi) \cos \theta + (r \cos \phi) \sin \theta \\ = (\sin \theta) x + (\cos \theta) y$$

$$\begin{bmatrix} x' \\ y' \\ z' \end{bmatrix} = \begin{bmatrix} (\cos\theta)x - (\sin\theta)y \\ (\sin\theta)x + (\cos\theta)y \\ z \end{bmatrix} = \begin{bmatrix} \cos\theta & -\sin\theta & 0 \\ \sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix}$$

~~$\neq$~~   ~~$R_z(\theta)$~~

\* Similarly, we can derive  $R_x(\theta)$  (rotation about x-axis by an angle  $\theta$ )  
 $R_y(\theta)$  (,, " y-axis ,,, )

\* We can undo the rotation by rotating  $-\theta$ :

$$R^{-1}(\theta) = R(-\theta) \quad [R = \text{any of } R_x, R_y, R_z \text{, and in fact any rotation}]$$

\* All  $\cos \theta$ 's are on the diagonal.  $\sin \theta$ 's are off diagonal

$$\cos(-\theta) = \cos \theta \quad \sin(-\theta) = -\sin \theta \quad \Rightarrow R^{-1}(\theta) = R(-\theta) = \underline{R^T(\theta)} \quad \text{transpose}$$

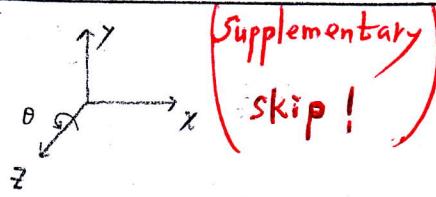
\* Any rotation about the origin can be expressed as  $R = R_z R_y R_x$

$$\underline{R^{-1}} = (\underline{R_z} \underline{R_y} \underline{R_x})^{-1} = \underline{R_x}^{-1} \underline{R_y}^{-1} \underline{R_z}^{-1} = \underline{R_x}^T \underline{R_y}^T \underline{R_z}^T = (\underline{R_z} \underline{R_y} \underline{R_x})^T = \underline{R^T}$$

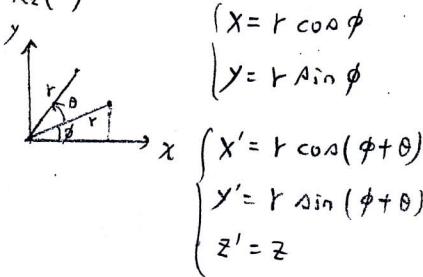
∴ For any rotation matrix  $R^{-1} = R^T$

\* Not commutative:  $R_x R_y \neq R_y R_x$  (use textbook as an example)

## Derivation of $R_x(\theta)$ , $R_y(\theta)$ , $R_z(\theta)$ :



(1)  $R_z(\theta)$ :

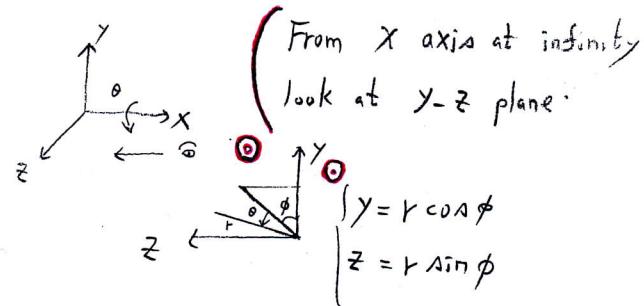


$$\begin{aligned} X' &= r [\cos \phi \cos \theta - \sin \phi \sin \theta] \\ &= (r \cos \phi) \cos \theta - (\sin \theta) (r \sin \phi) \\ &= (\cos \theta) x - (\sin \theta) y \end{aligned}$$

$$\begin{aligned} y' &= r [\sin \phi \cos \theta + \cos \phi \sin \theta] \\ &= (\cos \theta) y + (\sin \theta) x \\ &= (\sin \theta) x + (\cos \theta) y \end{aligned}$$

$$R_z(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\cancel{*}^{(2)} R_X(\theta) =$$



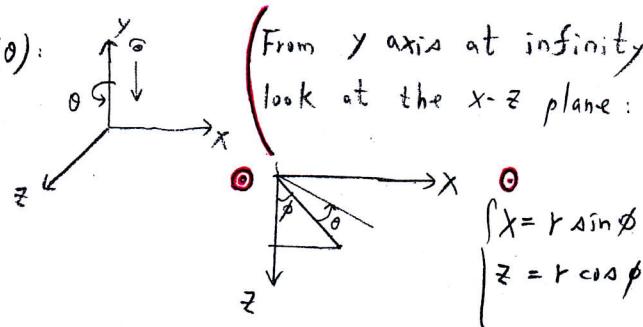
$$\begin{aligned} y' &= r \cos(\phi + \theta) = r [\cos \phi \cos \theta - \sin \phi \sin \theta] \\ &= (r \cos \phi) \cos \theta - (\sin \theta) (r \sin \phi) \quad \left. \begin{array}{l} \text{Same as} \\ \text{before} \end{array} \right\} \\ &= (\cos \theta) x - (\sin \theta) z \end{aligned}$$

$$\begin{aligned} z' &= r \sin(\phi + \theta) = r [\sin\phi \cos\theta + \cos\phi \sin\theta] \\ &= (r \sin\phi) \cos\theta + (r \cos\phi) \sin\theta \\ &= (\sin\theta) y + (\cos\theta) z \end{aligned} \quad \left. \begin{array}{l} \text{same as} \\ \text{before} \end{array} \right\}$$

$$x' = x$$

$$\therefore R_x(\theta) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta & 0 \\ 0 & \sin\theta & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

(3)



$$\begin{aligned}
 x' &= r \sin(\phi + \theta) = r [\sin \phi \cos \theta + \cos \phi \sin \theta] \\
 &= (r \sin \phi) \cos \theta + (r \cos \phi) \sin \theta \\
 &= (\cos \theta) x + (\sin \theta) z
 \end{aligned}
 \quad \left. \begin{array}{l} \text{same as} \\ \text{before} \end{array} \right\}$$

$$\begin{aligned} z' &= r \cos(\phi + \theta) = r [\cos\phi \cos\theta - \sin\phi \sin\theta] \\ &= (r \cos\phi) \cos\theta - (\sin\theta) (r \sin\phi) \\ &= (-\sin\theta)x + (\cos\theta)z \end{aligned} \quad \left. \begin{array}{l} \text{same as} \\ \text{before.} \end{array} \right.$$

$$y' = y$$

$$\therefore R_y(\theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

③ Translation:  $P \xrightarrow{d=(dx, dy, dz)} Q$   $P = \begin{bmatrix} X \\ Y \\ Z \\ 1 \end{bmatrix}$   $Q = P + d = \begin{bmatrix} X+dx \\ Y+dy \\ Z+dz \\ 1 \end{bmatrix} = \begin{bmatrix} X' \\ Y' \\ Z' \\ 1 \end{bmatrix}$

Matrix representation:  $Q = Mp$ :  $\begin{bmatrix} X+dx \\ Y+dy \\ Z+dz \\ 1 \end{bmatrix} = \begin{bmatrix} a & b & c \\ d & e & f \\ g & h & i \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \\ 1 \end{bmatrix} \Rightarrow X+dx = ax+by+cz$   
 $a=1 \quad b=c=0$

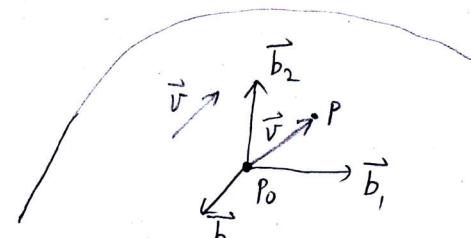
How about  $dx$ ?

⇒ There is NOT enough expressive power to make it work

We need new concepts: Affine space & Homogeneous coordinate system

## II. Affine Space and Homogeneous Coordinate System

\* Affine Space: vector space + points



Frame (or coordinate system): Basis vectors + origin point  $P_0$ .  $\{\vec{b}_1, \vec{b}_2, \vec{b}_3\}$ : basis vectors

\* Vectors are NOT fixed w.r.t. origin. But each point  $p$  is fixed relative to the origin  $P_0$

$P = P_0 + \vec{v}$  ( $\vec{v} = p - p_0$ )

Vector  $\vec{v}$  is uniquely expressed by:  $\vec{v} = x \vec{b}_1 + y \vec{b}_2 + z \vec{b}_3$  (+ o.  $P_0$ )

Point  $P$ :  $P = P_0 + \vec{v} = x \vec{b}_1 + y \vec{b}_2 + z \vec{b}_3 + 1 \cdot P_0$

$\vec{v} = (x, y, z)$  the same? (origin point  $P_0$  is NOT shown)

Sol: Use Homogeneous coord. System:  $P = [\vec{b}_1 \ \vec{b}_2 \ \vec{b}_3 \ P_0] \begin{bmatrix} X \\ Y \\ Z \\ 1 \end{bmatrix}$

$$\vec{v} = [\vec{b}_1 \ \vec{b}_2 \ \vec{b}_3 \ P_0] \begin{bmatrix} X \\ Y \\ Z \\ 0 \end{bmatrix}$$

i.e. Extending to 4D 4th component:  $\begin{cases} 0 & \text{if vector} \\ 1 & \text{if point} \end{cases}$

Consistency check: Vector + Vector = Vector      Vector + pt = pt      pt + pt = ? (Never happens!)      pt - pt = Vector  
 4th component:  $0 + 0 = 0 \quad \checkmark \quad 0 + 1 = 1 \quad \checkmark \quad 1 + 1 = 2$  (happens!)       $1 - 1 = 0 \quad \checkmark$

\* Translation:  $Q = \begin{bmatrix} X' \\ Y' \\ Z' \\ 1 \end{bmatrix} = \begin{bmatrix} X+dx \\ Y+dy \\ Z+dz \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & dx \\ 0 & 1 & 0 & dy \\ 0 & 0 & 1 & dz \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \\ 1 \end{bmatrix} = \begin{bmatrix} [3 \times 3] & dx \\ 2 & dy \\ 0 & dz \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \\ 1 \end{bmatrix} \quad (I: \text{identity matrix})$

\*  $T^{-1}(dx, dy, dz) = T(-dx, -dy, -dz)$

= T

- Note : \* Using Homogeneous Coord. System and  $4 \times 4$  matrix multiplication to perform a 3D transformation is called an affine transformation
- \* Translation is NOT a linear transformation, but is an affine transformation
- \* We can use affine transformation to perform linear transformation (eg. scaling, rotation) on points:

Let  $\ell$  be a  $3 \times 3$  matrix for linear transformation (such as scaling, rotation)

$$\begin{bmatrix} x' \\ y' \\ z' \end{bmatrix} = \begin{bmatrix} 3 \times 3, \ell \\ \text{---} \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} \Rightarrow \begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 3 \times 3, \ell \\ \text{---} \\ 0 \\ 0 \\ 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

\* Scaling

$S(\beta_x, \beta_y, \beta_z)$ :

Fixed pt is at the origin.

\* Rotation

$R(\theta, \vec{v})$ :

rotation about axis (whose vector is  $\vec{v}$ ) going thru origin:

Fixed pt is the origin

∴ The corresponding  $4 \times 4$  matrix  $L$  is

$$L = \begin{bmatrix} \ell & 0 \\ 0 & 1 \end{bmatrix}$$

\* If  $\ell$  is rotation (resp. scaling), the properties of rotation (resp. scaling) stay the same for  $L$ .

$$= \begin{bmatrix} x'+0 \\ y'+0 \\ z'+0 \\ 0+1 \end{bmatrix} = \begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix}$$

Cf: The  $4 \times 4$  matrix  $T$  for translation is:

(where  $i$  is a  $3 \times 3$  identity matrix

$t$  is a column vector  $\begin{bmatrix} dx \\ dy \\ dz \end{bmatrix}$

$$T = \begin{bmatrix} 3 \times 3, i \\ \text{---} \\ 0 & 0 & 0 \\ 1 \end{bmatrix} \begin{bmatrix} dx \\ dy \\ dz \end{bmatrix}^t = \begin{bmatrix} i & t \\ 0 & 1 \end{bmatrix}$$

\* In general, a  $4 \times 4$  matrix for affine transformation is

$$M = \begin{bmatrix} 3 \times 3, \ell \\ \text{---} \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} dx \\ dy \\ dz \end{bmatrix}^t = \begin{bmatrix} \ell & t \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} i \\ \text{---} \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \ell \\ \text{---} \\ 0 & 0 & 0 & 1 \end{bmatrix} = T \cdot L \quad (\neq L \cdot T)$$

e.g.  
i.e. Decomposed into  
 ①  $L$  (scaling and/or rotation with fixed pt at the origin), then  
 ②  $T$  (translation).

## \* Concatenation of Transformations

eg.  $M \leftarrow M_1 M_2 M_3$ . Applying  $M$  to obj:

①\*

$$M \cdot \text{obj} = (M_1 M_2 M_3) \cdot \text{obj}$$

↓



Order is very important, since  $M_1 M_2 \neq M_2 M_1$

## \* Standard Transformation Functions:

$$\text{glRotatef(angle, } V_x, V_y, V_z) \longleftrightarrow \text{Rotate(angle, } V_x, V_y, V_z)$$

→ in degrees

(The rotation axis goes thru the origin  
→ Origin is the fixed pt.)

↓  
specifies the vector of the  
rotation axis

$$\text{glScalef(P}_x, P_y, P_z) \longleftrightarrow \text{Scale(P}_x, P_y, P_z)$$

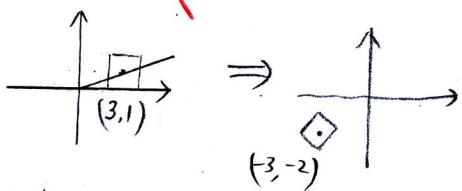
Fixed pt at the origin

$$\text{glTranslatef(dx, dy, dz)} \longleftrightarrow \text{Translate(dx, dy, dz)}$$

\* Helper functions  
Rotate(), Scale(),  
Translate()  
each returns a  
4x4 matrix.  
\* They can be multiplied together

\* In general, (first translate so that the object center is at the origin,  
① perform rotations / scalings (with center at the origin)  
then translate the obj center to the final location.

Eg 1.



Correct:

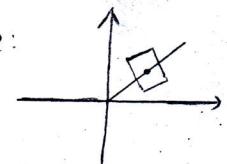
$$\textcircled{1} T(-3, -1)$$

$$\textcircled{2} R(45^\circ)$$

$$\textcircled{3} T(-3, -2)$$

Common mistake:

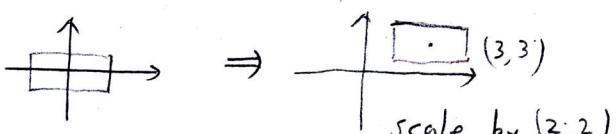
$$\textcircled{1} R(45^\circ)$$



center also moves

R: rotation about the origin (fixed at origin)

Eg 2



Correct:

$$\textcircled{1} S(2, 2)$$

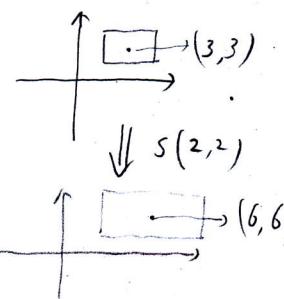
$$\textcircled{2} T(3, 3)$$

S: scaling with fixed pt at the origin

Wrong:

$$\textcircled{1} T(3, 3)$$

$$\textcircled{2} S(2, 2)$$



center also moves

Note: Textbook Sec. 3.6 (Transformation for Normal Vectors)

is skipped here

Cover it later when discussing (shading).