

# Dinur's Proof of the PCP Theorem

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- ▶ Dinur presents a new proof that  $\text{NP} = \text{PCP}_{\frac{1}{2}}[c \log n, q, 1]$  where  $c$  and  $q$  are constants.

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  - ▶  $\forall (u, v) \in E,$

$$c_{(u,v)}(\sigma(u), \sigma(v)) = \begin{cases} 1, & \sigma(u) \neq \sigma(v) \\ 0, & \text{otherwise} \end{cases}$$

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  - ▶  $CG \in NP$ . Coloring is the proof, verification complexity is linear in number of edges

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- ▶ Boost the accuracy of the solution to show that  $\text{NP} \subseteq \text{PCP}_{(1-\epsilon)^c}[O(\log n), 8c, 1]$

# Regarding Expanders

- ▶ Edge expansion property for a graph  $G = \langle V, E \rangle$ :  
$$\varphi(G) = \min_{|S| \leq \frac{n}{2}} \left\{ \frac{E(S, \bar{S})}{|S|} \right\} \text{ such that } S \subseteq V.$$

# The Gap Amplification Lemma

## Lemma: Gap Amplification

There exists a constant  $0 < \alpha < 1$ , an alphabet  $\Sigma$ , and a polynomial time reduction mapping the CG  $G = \langle \langle V, E \rangle, \Sigma, \mathcal{C} \rangle$  to  $G = \langle \langle V', E' \rangle, \Sigma', \mathcal{C}' \rangle$  such that:



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## Step 2: Power Step

Assuming that the degree of  $G$  is constant, we amplify  $\text{UNSAT}(G)$  while blowing up  $|G|$  and  $|\Sigma|$  by a constant factor.

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  - ▶ Now, we have that  $|V'| = \sum_{v \in V} d_v = 2|E|$  and  $|E'| = \text{frac}(d+1)|V'|/2 = (d+1)|E|$ , so  $|G'|$  is  $\Theta(|G|)$ .

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  - ▶ If  $\text{UNSAT}(G) = 0$ , then  $\text{UNSAT}(G') = 0$  by assigning each vertex in  $G_{d_v}$  to the color of  $v$
  - ▶ Now, we need to show that if  $\text{UNSAT}(G) \neq 0$ , then  $\text{UNSAT}(G')$  is not much smaller

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- ▶  $B$  is the set of edges violated by  $\sigma$  and  $B'$  is the set of edges violated by  $\sigma'$
- ▶  $S = \{v \in V' \mid \sigma'(v) \text{ is not the popular color}\}$

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Case 1:  $|B'| \geq \frac{\mu|E|}{2}$

$$\text{UNSAT}(G') = \frac{|B'|}{|E'|} \geq \frac{\mu|E|}{2|E'|} = \frac{\mu}{2(d+1)} = \frac{\text{UNSAT}(G)}{2(d+1)}$$

In both cases, since  $\text{UNSAT}(G')$  is optimal, we have proven that  $\text{UNSAT}(G) \leq k \cdot \text{UNSAT}(G')$  for some constant  $k$

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- ▶  $|S_a^v| < \frac{d_v}{2}$
- ▶ From the expansion property,  $|E(S_a^v, \bar{S}_a^v)| \geq \varphi_0 |S_a^v|$

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- ▶  $|S_a^v| < \frac{d_v}{2}$
- ▶ From the expansion property,  $|E(S_a^v, \bar{S}_a^v)| \geq \varphi_0 |S_a^v|$
- ▶ All edge constraints in  $E(S_a^v, \bar{S}_a^v)$  are violated!

In both cases, since  $\text{UNSAT}(G')$  is optimal, we have proven that  $\text{UNSAT}(G) \leq k \cdot \text{UNSAT}(G')$  for some constant  $k$

# The Gap Amplification Lemma

Case 1:  $|B'| \geq \frac{\mu|E|}{2}$

$$\text{UNSAT}(G') = \frac{|B'|}{|E'|} \geq \frac{\mu|E|}{2|E'|} = \frac{\mu}{2(d+1)} = \frac{\text{UNSAT}(G)}{2(d+1)}$$

Case 2:  $|S| \geq \frac{\mu|E|}{2}$

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- ▶  $|B'| \geq \frac{\sum |E(S_a^v, \bar{S}_a^v)|}{2} \geq \frac{\varphi_0 |S|}{2} \geq \frac{\mu \varphi_0}{4} |E| \geq \frac{\mu \varphi_0}{4(d+1)} |E'| = \text{UNSAT}(G) \frac{\varphi_0}{4(d+1)}$

In both cases, since  $\text{UNSAT}(G')$  is optimal, we have proven that  $\text{UNSAT}(G) \leq k \cdot \text{UNSAT}(G')$  for some constant  $k$

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# Main Lemma

## Main Lemma

There exists a constant  $\beta > 0$  such that if  $\text{UNSAT}(G) \leq \frac{1}{t}$  then  $\text{UNSAT}(G') \geq \beta\sqrt{t}\text{UNSAT}(G)$

## Proof:

- ▶ Let  $\sigma' : V \mapsto \Sigma'$  be the best coloring for  $G'$ , hence  $\alpha' = \text{UNSAT}(G')$  is the fraction of walk constraints violated by  $\sigma'$
- ▶ Let  $\sigma : V \mapsto \Sigma$  be  $\sigma(v) = \arg \max_{a \in \Sigma} \Pr[X_{v,t/2} = a]$  where  $X_{v,i}$  is the opinion that a vertex has of  $v$  that is  $i$  random steps away from  $v$
- ▶ If  $\sigma(v) = a$  then  $\Pr[X_{v,t/2} = a] \geq \frac{1}{\Sigma}$
- ▶ Let  $B$  be the set of edges that are violated by  $\sigma$  in  $G$ , then  $\frac{|B|}{|E|} \geq \text{UNSAT}(G) = \alpha$

# Proof of Main Lemma

We want to show that bad walks do not overlap very much

- ▶ Let  $w$  be a random  $t$ -walk in  $G'$ , we now define the following random variable:

$$N = \begin{cases} \text{number of bad edges in } I & C_w \text{ is violated by } \sigma' \\ 0 & \text{otherwise} \end{cases}$$

- ▶  $\text{UNSAT}(G') \geq \Pr[N > 0]$ , we wish to lower bound  $\Pr[N > 0]$
- ▶ Claim 1:  $\exists \mu > 0$  such that  $E[N] \geq \frac{2\mu\sqrt{t}|B|}{|E|}$
- ▶ Claim 2:  $\exists C > 0$  such that  $E[N^2] \leq \frac{C\sqrt{t}|B|}{|E|}$
- ▶ Choosing  $\beta = \frac{4\mu^2}{C}$  completes the proof:

$$\begin{aligned} \Pr[N > 0] &\geq \frac{E[N]^2}{E[N^2]} \quad (\text{By the second moments inequality}) \\ &= \frac{\frac{4\mu^2 t |B|^2}{|E|^2}}{\frac{C\sqrt{t}|B|}{|E|}} = \frac{4\mu^2 \sqrt{t} |B|}{C} \geq \beta \text{UNSAT}(G) \sqrt{t} \end{aligned}$$

# Proof of Claim 1

- For a random walk  $w$ , define 2 random variables:

$$Z_i = \begin{cases} 1, & i^{\text{th}} \text{ edge of } w \text{ is in } B \\ 0, & \text{otherwise} \end{cases}$$

$$Y_i = \begin{cases} 1, & w \text{ is a rejecting } t\text{-walk and } Z_i = 1 \\ 0, & \text{otherwise} \end{cases}$$

- Note:  $\forall i, Y_i \leq Z_i$



# Proof of Claim 1

- ▶ Let  $N = \sum_{i \in I} Y_i$ , then we have:

$$\begin{aligned} E[N] &= \sum_{i \in I} E[Y_i] \\ &= \sum_{i \in I} \Pr[Y_i = 1] \\ &= \sum_{i \in I} \Pr[Y_i = 1 \mid Z_i = 1] \Pr[Z_i = 1] \end{aligned}$$

We know that  $\Pr[Z_i = 1] = \frac{|B|}{|E|}$  and

$\Pr[Y_i = 1 \mid Z_i = 1] \geq \frac{\tau^2}{|\Sigma|^2} = \mu$ , so we get:

$$\begin{aligned} &\geq \sum_{i \in I} \mu \frac{|B|}{|E|} \\ &= \frac{2\mu\sqrt{t}|B|}{|E|} \end{aligned}$$

## Proof of Claim 2

- Since  $Y_i \leq Z_i$ , we know that  $N \leq \sum_{i \in I} Z_i$ , therefore:

$$\begin{aligned} E[N^2] &\leq E[(\sum_{i \in I} Z_i)^2] \\ &\leq 2 \sum_{i \in I} \sum_{j \geq i} E[Z_i Z_j] \\ &= 2 \sum_{i \in I} \Pr[Z_i = 1] \sum_{j \geq i} \Pr[Z_j = 1 \mid Z_i = 1] \\ &= 2 \frac{|B|}{|E|} \sum_{i \in I} \sum_{j \geq i} \underbrace{\Pr[Z_j = 1 \mid Z_i = 1]}_{\downarrow} \end{aligned}$$

Probability that a random walk has its  $(j - i + 1)^{\text{th}}$  edge in  $B$  given that the first edge in the walk is in  $B$

## Proof of Claim 2

$$\begin{aligned} E[N^2] &\leq 2 \frac{|B|}{|E|} \sum_{i \in I} \sum_{j \geq i} \Pr[Z_j = 1 \mid Z_i = 1] \\ &\leq 2 \frac{|B|}{|E|} \sum_{i \in I} \sum_{j \geq i} \left( \frac{|B|}{|E|} + \lambda^{j-i} \right) \\ &\leq C \frac{|B|}{|E|} 2\sqrt{t}\sqrt{t} \left( \frac{1}{\sqrt{t}} \right) \\ &\leq \frac{C\sqrt{t}|B|}{|E|} \end{aligned}$$