

Dinur's Proof of the PCP Theorem

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Problem Statement

The PCP Theorem

Main Result

Previous Approaches

Dinur's Approach

Technical Overview of the Proof

Definitions

The PCP Theorem

Proof of Lemmas

The PCP Theorem

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- ▶ Dinur presents a new proof that $\text{NP} = \text{PCP}_{\frac{1}{2}}[c \log n, q, 1]$ where c and q are constants.

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 - ▶ $\forall (u, v) \in E,$

$$c_{(u,v)}(\sigma(u), \sigma(v)) = \begin{cases} 1, & \sigma(u) \neq \sigma(v) \\ 0, & \text{otherwise} \end{cases}$$

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 - ▶ $CG \in NP$. Coloring is the proof, verification complexity is linear in number of edges

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- ▶ Boost the accuracy of the solution to show that $\text{NP} \subseteq \text{PCP}_{(1-\epsilon)^c}[O(\log n), 8c, 1]$

Regarding Expanders

- ▶ Edge expansion property for a graph $G = \langle V, E \rangle$:
$$\varphi(G) = \min_{|S| \leq \frac{n}{2}} \left\{ \frac{E(S, \bar{S})}{|S|} \right\} \text{ such that } S \subseteq V.$$

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Lemma: Gap Amplification

There exists a constant $0 < \alpha < 1$, an alphabet Σ , and a polynomial time reduction mapping the CG $G = \langle \langle V, E \rangle, \Sigma, \mathcal{C} \rangle$ to $G = \langle \langle V', E' \rangle, \Sigma', \mathcal{C}' \rangle$ such that:

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Convert G to a constant degree expander. Worsens $\text{UNSAT}(G)$ by a constant factor, blows up $|G|$ by a constant factor

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Convert G to a constant degree expander. Worsens $\text{UNSAT}(G)$ by a constant factor, blows up $|G|$ by a constant factor

Step 2: Power Step

Assuming that the degree of G is constant, we amplify $\text{UNSAT}(G)$ while blowing up $|G|$ and $|\Sigma|$ by a constant factor.

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 - ▶ Now, we have that $|V'| = \sum_{v \in V} d_v = 2|E|$ and $|E'| = \text{frac}(d+1)|V'|/2 = (d+1)|E|$, so $|G'|$ is $\Theta(|G|)$.

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 - ▶ If $\text{UNSAT}(G) = 0$, then $\text{UNSAT}(G') = 0$ by assigning each vertex in G_{d_v} to the color of v
 - ▶ Now, we need to show that if $\text{UNSAT}(G) \neq 0$, then $\text{UNSAT}(G')$ is not much smaller

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- ▶ B is the set of edges violated by σ and B' is the set of edges violated by σ'
- ▶ $S = \{v \in V' \mid \sigma'(v) \text{ is not the popular color}\}$

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Case 1: $|B'| \geq \frac{\mu|E|}{2}$

$$\text{UNSAT}(G') = \frac{|B'|}{|E'|} \geq \frac{\mu|E|}{2|E'|} = \frac{\mu}{2(d+1)} = \frac{\text{UNSAT}(G)}{2(d+1)}$$

In both cases, since $\text{UNSAT}(G')$ is optimal, we have proven that $\text{UNSAT}(G) \leq k \cdot \text{UNSAT}(G')$ for some constant k

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- ▶ All edge constraints in $E(S_a^v, \bar{S}_a^v)$ are violated!
- ▶ $|B'| \geq \frac{\sum |E(S_a^v, \bar{S}_a^v)|}{2} \geq \frac{\varphi_0 |S|}{2} \geq \frac{\mu \varphi_0}{4} |E| \geq \frac{\mu \varphi_0}{4(d+1)} |E'| = \text{UNSAT}(G) \frac{\varphi_0}{4(d+1)}$

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- ▶ Add self-loops to each vertex to get G''

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- ▶ The new superimposed graph has the same vertex set as the original constraint graph, but its edges are the union of the 2 graphs (G' and the expander)
- ▶ Add self-loops to each vertex to get G''
- ▶ Impose dummy constraints on each new edge

The Gap Amplification Lemma

Step 1B

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- ▶ G'' is still an expander with constant degree $d + 2 + \tilde{d}$

The Gap Amplification Lemma

Step 1B

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Main Lemma

Main Lemma

There exists a constant $\beta > 0$ such that if $\text{UNSAT}(G) \leq \frac{1}{t}$ then $\text{UNSAT}(G') \geq \beta\sqrt{t}\text{UNSAT}(G)$

Proof:

- ▶ Let $\sigma' : V \mapsto \Sigma'$ be the best coloring for G' , hence $\alpha' = \text{UNSAT}(G')$ is the fraction of walk constraints violated by σ'
- ▶ Let $\sigma : V \mapsto \Sigma$ be $\sigma(v) = \arg \max_{a \in \Sigma} \Pr[X_{v,t/2} = a]$ where $X_{v,i}$ is the opinion that a vertex has of v that is i random steps away from v
- ▶ If $\sigma(v) = a$ then $\Pr[X_{v,t/2} = a] \geq \frac{1}{\Sigma}$
- ▶ Let B be the set of edges that are violated by σ in G , then $\frac{|B|}{|E|} \geq \text{UNSAT}(G) = \alpha$

Proof of Main Lemma

We want to show that bad walks do not overlap very much

- ▶ Let w be a random t -walk in G' , we now define the following random variable:

$$N = \begin{cases} \text{number of bad edges in } I & C_w \text{ is violated by } \sigma' \\ 0 & \text{otherwise} \end{cases}$$

- ▶ $\text{UNSAT}(G') \geq \Pr[N > 0]$, we wish to lower bound $\Pr[N > 0]$
- ▶ Claim 1: $\exists \mu > 0$ such that $E[N] \geq \frac{2\mu\sqrt{t}|B|}{|E|}$
- ▶ Claim 2: $\exists C > 0$ such that $E[N^2] \leq \frac{C\sqrt{t}|B|}{|E|}$
- ▶ Choosing $\beta = \frac{4\mu^2}{C}$ completes the proof:

$$\begin{aligned} \Pr[N > 0] &\geq \frac{E[N]^2}{E[N^2]} \quad (\text{By the second moments inequality}) \\ &= \frac{\frac{4\mu^2 t |B|^2}{|E|^2}}{\frac{C\sqrt{t}|B|}{|E|}} = \frac{4\mu^2 \sqrt{t} |B|}{C} \geq \beta \text{UNSAT}(G) \sqrt{t} \end{aligned}$$

Proof of Claim 1

- For a random walk w , define 2 random variables:

$$Z_i = \begin{cases} 1, & i^{\text{th}} \text{ edge of } w \text{ is in } B \\ 0, & \text{otherwise} \end{cases}$$

$$Y_i = \begin{cases} 1, & w \text{ is a rejecting } t\text{-walk and } Z_i = 1 \\ 0, & \text{otherwise} \end{cases}$$

- Note: $\forall i, Y_i \leq Z_i$

Proof of Claim 1

- ▶ Let $N = \sum_{i \in I} Y_i$, then we have:

$$\begin{aligned} E[N] &= \sum_{i \in I} E[Y_i] \\ &= \sum_{i \in I} \Pr[Y_i = 1] \\ &= \sum_{i \in I} \Pr[Y_i = 1 \mid Z_i = 1] \Pr[Z_i = 1] \end{aligned}$$

We know that $\Pr[Z_i = 1] = \frac{|B|}{|E|}$ and

$\Pr[Y_i = 1 \mid Z_i = 1] \geq \frac{\tau^2}{|\Sigma|^2} = \mu$, so we get:

$$\begin{aligned} &\geq \sum_{i \in I} \mu \frac{|B|}{|E|} \\ &= \frac{2\mu\sqrt{t}|B|}{|E|} \end{aligned}$$

Proof of Claim 2

- Since $Y_i \leq Z_i$, we know that $N \leq \sum_{i \in I} Z_i$, therefore:

$$\begin{aligned} E[N^2] &\leq E[(\sum_{i \in I} Z_i)^2] \\ &\leq 2 \sum_{i \in I} \sum_{j \geq i} E[Z_i Z_j] \\ &= 2 \sum_{i \in I} \Pr[Z_i = 1] \sum_{j \geq i} \Pr[Z_j = 1 \mid Z_i = 1] \\ &= 2 \frac{|B|}{|E|} \sum_{i \in I} \sum_{j \geq i} \underbrace{\Pr[Z_j = 1 \mid Z_i = 1]}_{\downarrow} \end{aligned}$$

Probability that a random walk has its $(j - i + 1)^{\text{th}}$ edge in B given that the first edge in the walk is in B

Proof of Claim 2

$$\begin{aligned} E[N^2] &\leq 2 \frac{|B|}{|E|} \sum_{i \in I} \sum_{j \geq i} \Pr[Z_j = 1 \mid Z_i = 1] \\ &\leq 2 \frac{|B|}{|E|} \sum_{i \in I} \sum_{j \geq i} \left(\frac{|B|}{|E|} + \lambda^{j-i} \right) \\ &\leq C \frac{|B|}{|E|} 2\sqrt{t}\sqrt{t} \left(\frac{1}{\sqrt{t}} \right) \\ &\leq \frac{C\sqrt{t}|B|}{|E|} \end{aligned}$$