A bridge to Mandarin demonstratives: An experimental report

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Guest lecture for *Language and Logic* Fudan University, May 21, 2024

Roadmap

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Theoretical background

English definites

(There is a dog.)



English definites

(There is a dog.)



It/The dog/That dog wants to cross the road.

Mandarin definites

Mandarin makes definite references with two forms of descriptions:

- 1. Bare nouns (BN)
 - (1) gou yao guo malu.
 dog want cross road
 'The dog wants to cross the road.'
- 2. Demonstrative descriptions with na (DEM)
 - (2) na-tiao gou yao guo malu. na-cl dog want cross road 'That dog wants to cross the road.'

	Pointing	Modifier	Index	Bridging
BN	X			
DEM	✓			

Unlike bare nouns, *na*-descriptions can be combined with a pointing gesture.

- (3) a. na-tiao→ gou hen da. na-tiao→ gou hen xiao. na-cl dog very big na-cl dog very small 'That dog is big, but that dog is small.'
 - b. #gou→ hen da. gou→ hen xiao.
 dog very big dog very small
 Intended: 'The dog is big. The dog is small.'

	Pointing	Modifier	Index	Bridging
BN	Х	X / 		
DEM	✓	✓		

Na-descriptions can be combined with a modifier: relative clauses, prepositional phrases, names, and even nouns...

- (4) zai jiao de (na-tiao) gou hen da. prog bark mod (na-cl) dog very big 'The/that dog that is barking is very big.'
- (5) men hou de (na-tiao) gou hen da. door behind mod na-cl dog very big 'The/that dog behind the door is very big.'

	Pointing	Modifier	Index	Bridging
BN	X	X / 		
DEM	✓	✓		

Na-descriptions can be combined with a modifier: relative clauses, prepositional phrases, names, and even nouns...

- (6) Wangcai *(na-tiao) gou hen da. Wangcai na-cl dog very big 'That dog, Wangcai, is very big.'
- (7) kafei dian *(na-tiao) gou hen da.
 coffee shop na-cl dog very big
 'That dog at/associated with the coffee place is very big.'

	Pointing	Modifier	Index	Bridging
BN	Х	X/✓	✓	
DEM	✓	✓	✓	

Na-descriptions can be used anaphorically.

(8) wo mai-le yi-tiao gou¹. (na-tiao) gou₁ hen da. I buy-past one-cl dog. na-cl dog very big 'l bought a dog. The/that dog is very big.'

*more complex anaphora show preference for DEM (see discussion in Dayal & Jiang 2021; Jenks 2018)

Across languages, DEM is assumed to require more than just the NP description (Wolter 2006).

We have seen *na*-descriptions used with different linkers:

▶ Direct linker: a pointing gesture →

► Linguistic linker: RC, PP, name, noun...

► Contextual linker: an index i

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Can na-descriptions be used without any linkers?

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Can na-descriptions be used without any linkers?

Diagnostics: part-whole bridging!

Bridging

Two types of bridging (Clark 1975; Hawkins 1978; Schwarz 2009):

- 1. Part-whole bridging relies on situational uniqueness
 - (9) Yesterday Jane was driving. **The steering wheel** was cold.
- 2. Producer-product bridging relies on relational anaphora
 - (10) Jake bought a book today. **The author** is French.

Definites in bridging

Two types of bridging (Clark 1975; Hawkins 1978; Schwarz 2009):

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- 2. Producer-product bridging relies on relational anaphora

Some languages make morpho-syntactic distinctions between ...

- ightarrow uniqueness-denoting definiteness in part-whole bridging, and
- $\,\rightarrow\,$ anaphoricity-denoting definiteness in producer-product bridging.

Language	Uniqueness	Anaphoricity
English (Schwarz 2009)	the	the
Fering (Ebert 1971a)	а	di
German (Schwarz 2009)	zum	zu dem

Mandarin na in bridging

Two theories on Mandarin demonstratives:

- 1. na-descriptions require anaphoricity (Jenks 2018):
 - → Mandarin na is disallowed in uniqueness-based part-whole bridging.
- 2. na-descriptions require anti-uniqueness (Dayal & Jiang 2021)
 - → As English *that* constructions cannot be used as anaphora in bridging, Mandarin *na* is ruled out for the same reason.

Language	Uniqueness	Anaphoricity
Mandarin (Jenks 2018)	BN	DEM
Mandarin (Dayal & Jiang 2021)	BN	BN

Mandarin na in bridging

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Language	Uniqueness	Anaphoricity
Mandarin (Jenks 2018)	BN	DEM
Mandarin (Dayal & Jiang 2021)	BN	BN

Study 1: Sentence ratings task

Study 1

Motivations:

- \rightarrow It is still debated whether *na* can be used in bridging.
- \rightarrow The limited data allow for only categorical predictions.

This study:

- → Na-descriptions are felicitous as both uniqueness- and anaphoricity-denoting definites (c.f. Jenks 2018).
- ightarrow The anti-uniqueness presupposition makes too strong a prediction for Mandarin na (c.f. Dayal & Jiang 2021).

96 bridging sentences evenly distributed in ...

▶ bridging type (part-whole, producer-product)

► anaphor noun type (BN, DEM)

► antecedent noun type (BN, DEM, INDEF)

► animacy of the antecedent noun (animate, inanimate)

Ex: an inanimate, part-whole bridging item with BN antecedent & BN anaphor

(11) qunian wo mai le **che**, wo zong wangji jiancha shache. last.year I buy ASP car I always forget check brake 'I bought **the car** last year. I always forget to check **the brake**.'

Ex: an animate, producer-product bridging item with BN antecedent & DEM anaphor

(12) zuotian wo mai le **shu**. wo hen xiang jianjian na wei zuozhe. yesterday I buy asp book I very want meet na cl author 'Yesterday I bought **the book**. I really want to meet **that author**.'

Part-whole relations (antecedent, anaphor):

- ▶ Inanimate: (car, brake), (house, roof), (bike, seat), (laptop, screen)
- ► Animate: (horse, forehead), (dog, nose), (shark, mouth), (cat, tail)

Producer-product relations (antecedent, anaphor):

- ► Inanimate: (lock, key), (account, password), (TV, remote), (phone, charger)
- ► **Animate**: (book, author), (painting, painter), (film, director), (presentation, speaker)

Each participant sees ...

- 8 part-whole bridging
 I bought the car last year. I always forget to check the brake.
- 8 producer-product bridging Yesterday I bought the book. I really want to meet the author.
- 8 semantically odd (contradiction; thematic mismatch)
 Jake is a married bachelor.
- 8 pragmatically odd (redundant information)
 Yuki arrived. Yuki sat down. Yuki turned on her laptop.
- ► 8 neutral

 Mason thinks it's raining outside.

and rates the naturalness of these sentences on a 7-point Likert scale.

(More on the choice of instructions and control items in Zhu & Ahn (2023)!)

Sample stimuli



一辆自行车在后院里, 我准备去擦一下那个车座。

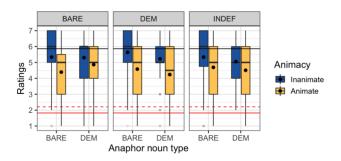
请给句子的自然程度打分,1分为最不自然,7分为最自然。

最不自然 〇 〇 〇 〇 〇 〇 最自然 1 2 3 4 5 6 7

Try this experiment at https://farm.pcibex.net/r/GEULTQ/!

Results [n: 120; 18-64; gender-balanced]

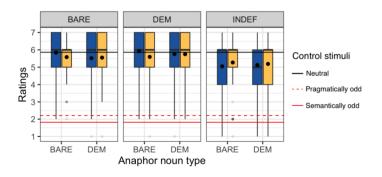
Figure 1: Part-whole bridging: Average ratings by antecedent noun type (top), anaphor noun type (bottom), and animacy (color)



No significant main effect was found for either **antecedent noun type** or **anaphor noun type** (p > 0.1).

Results [n: 120; 18-64; gender-balanced]

Figure 2: Producer-product bridging: Average ratings by antecedent noun type (top), anaphor noun type (bottom), and animacy (color)



No significant main effect was found for either **antecedent noun type** or **anaphor noun type** (p > 0.1).

Results

Our sentence ratings task shows that ...

- ▶ Anaphor noun type is not significant in both bridging types (p > 0.1).
- All bridging items are rated significantly higher than pragmatically/semantically odd controls (p < 0.001).
- Na allows both part-whole and producer-product bridging uses.

Study 2: Production study

Study 2

Motivations:

- → To assess the bridging use of Mandarin definites through a production task.
- → To study the competition between bare nouns and demonstratives across linguistic contexts.

This study:

- → Mandarin speakers accept demonstratives as bridging anaphora similarly in both part-whole and producer-product bridging (c.f. Jenks 2018).
- → Sanity check: demonstratives are degraded without linguistic antecedents or with plural antecedents, while they are more acceptable with a singular antecedent.

55 message exchanges each consisting of ...

- a background sentence providing the linguistic context
- ▶ a test sentence containing a blank to be filled with a nominal
- ▶ a placeholder reply suggesting the naturalness of the previous messages



Try this experiment at https://farm.pcibex.net/r/ZnCkqT/!

Each participant sees ...

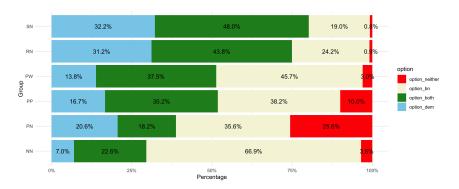
- 6 part-whole bridging
 W was using COMPUTER. She found that (THAT-CL) SCREEN ...
- ► 6 producer-product bridging
 W was using COMPUTER. She found that (THAT-CL) CHARGER ...
- ► 5 singular indefinite noun antecedent (SN) controls
 W was using ONE-CL COMPUTER. She found that (THAT-CL) COMPUTER ...
- ► 5 plural indefinite noun antecedent (PN) controls
 W was using TWO-CL COMPUTER. She found that (THAT-CL) COMPUTER ...
- ▶ 12 repeated bare noun antecedent (RN) controls
 W was using COMPUTER. She found that (THAT-CL) COMPUTER ...
- ▶ 10 no nominal antecedent (NN) controls
 W was just working. She found that (THAT-CL) COMPUTER ...

and selects one option out of BN, DEM, BOTH, and NEITHER.

Predictions

- ► Target conditions (PW and PP): If demonstratives support bridging uses, then they should be similarly accepted in these conditions.
- ► Baseline conditions (NN and PN): No singular referent can be identified in these conditions, therefore blocking the use of demonstratives.
- ► Anaphoric conditions (SN and RN): A singular referent can be identified in these conditions. If demonstratives support anaphoric uses, then they should be accepted in these conditions.

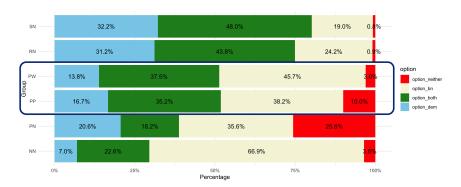
Results [n: 100; age- and gender-balanced]



Percentages of accepted demonstratives across conditions:

- ► Target conditions: 51.3% (PW); 51.9% (PP)
- ► Baseline conditions: 29.6% (NN); 38.8% (PN)
- ► Anaphoric conditions: 80.2% (SN); 75% (RN)

Results [n: 100; age- and gender-balanced]



A chi-square test confirmed that ...

- ► There is **no** association between the bridging type (PW vs. PP) and participants' acceptance of **demonstratives** (p > 0.05).
- ► There **is** an association between the bridging type (PW vs. PP) and participants' acceptance of **bare nouns** (p < 0.05).

Discussion

Discussion I: theoretical implications on bridging

Our empirical observations

Both bare nouns and demonstrative descriptions containing *na* readily allow uniqueness-based bridging.

Himmelmann's (1996) observation

Demonstratives disallow bridging uses.

Jenks's (2018) prediction

Na descriptions require anaphoricity.

DEM should be rated lower than BN in part-whole bridging.

Dayal & Jiang's (2021) prediction

Na descriptions, like English demonstratives, require anti-uniqueness. Similar to *that*, they should be rated very low in both types of bridging.

Discussion I: English that in bridging

Ex: an animate producer-product item with DEM antecedent and DEF anaphor

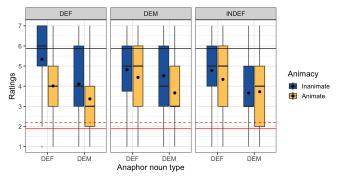


Yesterday I bought that book. I really want to meet the author.

Please rate the naturalness of the sentence (1 = least natural, 7 = most natural).

Discussion I: English that in bridging [n: 120; 18-64; gender-balanced]

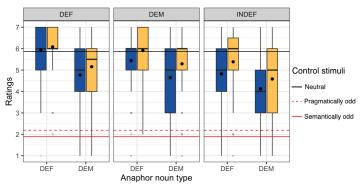
Figure 3: Part-whole bridging: ratings as function of anaphor type (bottom), grouped by antecedent type (top)



- ▶ Anaphor noun type is **significant** for part-whole bridging (p < 0.05).
- ► That is dispreferred in part-whole bridging.
- Cross-linguistic differences are attested.

Discussion I: English that in bridging [n: 120; 18-64; gender-balanced]

Figure 4: Producer-product bridging: ratings as function of anaphor type (bottom), grouped by antecedent type (top)



- ► Anaphor noun type is **significant** for producer-product bridging (ρ < 0.01).
- ► *That* is dispreferred in producer-product bridging.
- Cross-linguistic differences are attested.

Discussion II: another form of bridging

Mandarin na allows bridging without explicit linguistic antecedents.

- (13) wo jiehun le. na-ge ren you san-tao fang.

 I marry le na-cl person have three-cl house
 'I got married. That person (= the person l'm married to) has
 three houses'
 - ► The context (= the marrying event) uniquely identifies the referent.
 - ► In contrast, English and German demonstratives disallow such use and require explicit linguistic antecedents.

Discussion III: a uniqueness-denoting na

	Pointing	Modifier	Index	Bridging
BN	×	X / 	✓	√
DEM	✓	✓	✓	✓

Lack of contrast between BN and DEM in bridging

- ► na covers more uniqueness-based definite space than BN
- ▶ a reanalysis of *na* as an overt counterpart of ι ?

Mandarin na as uniqueness-denoting definite marker

- ► definite articles derive from demonstratives diachronically (Diessel 1999; Himmelmann 1996)
- strong definite analyzed as an intermediate stage between a deictic demonstrative and a definite article proper (Simonenko 2022)

Conclusion

Conclusion

1. We provided systematic empirical observations

- → Mandarin na-descriptions are felicitous in part-whole bridging
- ightarrow also felicitous in bridging without explicit linguistic antecedents

2. We provided a novel theoretical option

- → The predictions of existing theories are too strong
- → Mandarin *na* as a uniqueness-denoting definite marker

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Study 1 Stimuli

Bridging type	Animacy	Syntactic position	Nominal pair
PW	Inanimate	subj+subj	(laptop, screen)
PW	Inanimate	subj+obj	(bike, seat)
PW	Inanimate	obj+subj	(house, roof)
PW	Inanimate	obj+obj	(car, brake)
PW	Animate	subj+subj	(cat, tail)
PW	Animate	subj+obj	(shark, mouth)
PW	Animate	obj+subj	(dog, nose)
PW	Animate	obj+obj	(horse, back)
PP	Inanimate	subj+subj	(phone, charging cable)
PP	Inanimate	subj+obj	(television, remote)
PP	Inanimate	obj+subj	(account, password)
PP	Inanimate	obj+obj	(lock, key)
PP	Animate	subj+subj	(presentation, speaker)
PP	Animate	subj+obj	(film, director)
PP	Animate	obj+subj	(painting, painter)
PP	Animate	obj+obj	(book, author)
	PW PW PW PW PW PW PW PP PP PP PP PP PP	PW Inanimate PW Inanimate PW Inanimate PW Inanimate PW Animate PW Animate PW Animate PW Animate PW Inanimate PW Inanimate PP Inanimate PP Inanimate PP Inanimate PP Inanimate PP Inanimate PP Animate PP Animate PP Animate	PW Inanimate subj+subj PW Inanimate subj+obj PW Inanimate obj+subj PW Inanimate obj+obj PW Inanimate obj+obj PW Animate subj+subj PW Animate subj+subj PW Animate obj+subj PP Inanimate subj+subj PP Inanimate subj+subj PP Inanimate obj+subj PP Inanimate obj+subj PP Inanimate obj+subj PP Inanimate obj+subj PP Animate subj+subj

Table 1: Stimuli of Study 1