



Astrofisica Generale II — 1

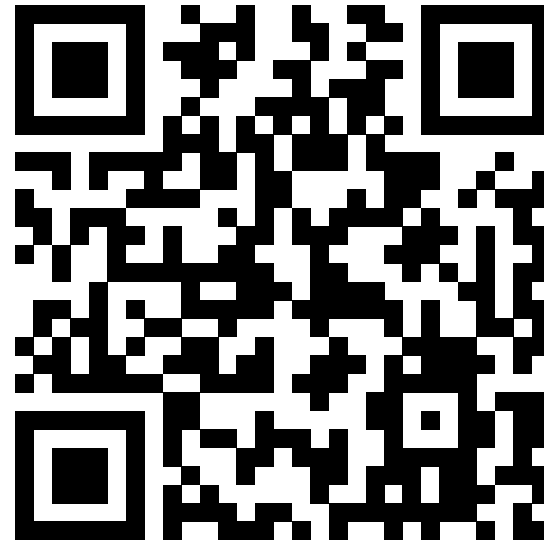
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These slides

- They are available at ziotom78.github.io/lezioni-astronomia/.



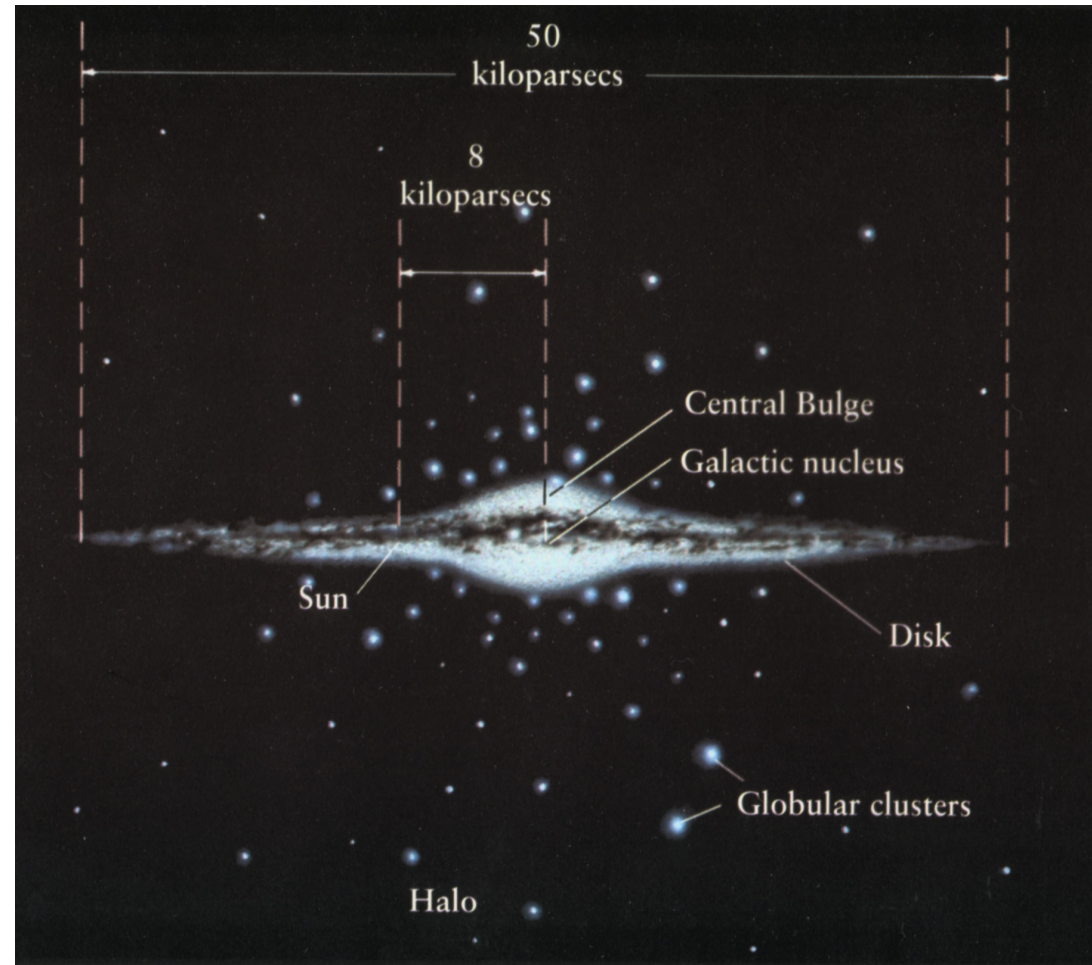
- Each time I will make a PDF copy of the slides available on the website.



The Milky Way



Structure of the Milky Way





Masses and sizes

Component	Mass	Shape and size
Stellar halo	$10^9 M_{\odot}$	Sphere ($r > 20$ kpc)
Disk (gas)	$10^{10} M_{\odot}$	Disk ($r = 25$ kpc, $h = 0.15$ kpc)
Central bulge	$10^{10} M_{\odot}$	Ellipsoid ($6 \times 2 \times 2$ kpc)
Disk (stars)	$10^{11} M_{\odot}$	Disk ($r = 15$ kpc, $h = 1$ kpc)
Dark matter halo	$10^{12} M_{\odot}$	Sphere ($r > 60$ kpc?)



Stellar clusters



NGC 290 (open cluster)





M22 (globular cluster)





Open clusters



Globular clusters



of stars

$10^3 - 10^4$

$10^4 - 10^6$

Size

10 pc

20–100 pc (core: 5 pc)

Gas and dust?

Yes

No

Planetary
nebulae?

No

Yes

of known
clusters

10^3

~160

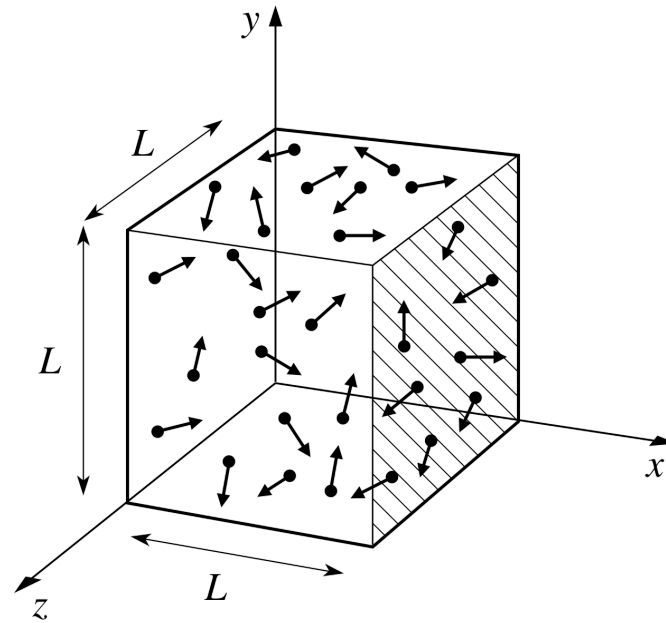
Where?

Disk

Stellar halo (~1% of total
mass)



Thermodynamics and astrophysics



Being systems composed of many particles, can we think of using classical thermodynamics to describe them?



Thermodynamics and Astrophysics

- **NO!** Ideal gas theory only works in systems without long-range forces!
- From this point of view, gravity is a problem!

Properties of systems with long range interactions are still poorly understood despite being of importance in most areas of physics.

(*Dynamics and Thermodynamics of Systems with Long Range Interactions*, Springer)



Virial Theorem

- There is a suitable tool for the description of gravitationally bound systems: the *virial theorem*.
- Let's consider a physical system of N particles confined in a volume V by internal forces.
- Each particle is located at point P_i , the resulting force on it is \vec{F}_i , and K_i is its kinetic energy.



Time Averages

- The quantities P_i , \vec{F}_i and K_i vary over time.
- However, we are more interested in their **average value** than in their instantaneous evolution.
- Given a time-dependent quantity $f = f(t)$, the value of

$$\langle f \rangle_t = \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^\tau f(t) dt$$

is the time average of f .



Definition of «Virial»

- Given an origin O of the reference frame, the quantity

$$G \equiv \sum_{i=1}^N (P_i - O) \cdot \vec{p}_i = \sum_{i=1}^N \vec{r}_i \cdot \vec{p}_i,$$

where $\vec{r}_i = P_i - O$ is the vector pointing towards the i -th particle and \vec{p}_i the momentum, is called **virial**.

- If the particles are located in a limited volume V , then
 1. G is a limited quantity;
 2. After a certain time, G tends to become constant.



Upper bounds for the virial

- If the system is confined in a volume V and its energy is finite, then there exist upper bounds P and R for the momentum p_i and r_i .
- Consequently,

$$|G| = \left| \sum_{i=1}^N \vec{r}_i \cdot \vec{p}_i \right| \leq \sum_{i=1}^N |\vec{r}_i| \cdot |\vec{p}_i| \leq NRP,$$

and the hypothesis is proven.



Time Variation of the Virial

- The time variation of the virial has zero average:

$$\begin{aligned} \left| \left\langle \dot{G} \right\rangle_t \right| &= \left| \lim_{t \rightarrow \infty} \frac{1}{\tau} \int_0^\tau \dot{G}(t) dt \right| \\ &= \left| \lim_{\tau \rightarrow \infty} \frac{G(\tau) - G(0)}{\tau} \right| \\ &\leq \lim_{\tau \rightarrow \infty} \frac{2NRP}{\tau} = 0. \end{aligned}$$

- After a certain *relaxation time*, G becomes approximately constant.



Virial Theorem

The virial theorem states that in a system confined in a volume V , after the relaxation time, the following equality holds:

$$2 \langle K \rangle_t = - \left\langle \sum_{i=1}^N \vec{r}_i \cdot \vec{F}_i \right\rangle_t ,$$

where $K = \sum_{i=1}^N K_i$ is the total kinetic energy of the system.



Proof of the theorem

Using the property $\left\langle \dot{G} \right\rangle_t = 0$ we immediately obtain the thesis:

$$\begin{aligned} \left\langle \frac{d}{dt} \sum_{i=1}^N \vec{r}_i \cdot \vec{p}_i \right\rangle_t &= 0, \\ \left\langle 2 \sum_{i=1}^N K_i + \sum_{i=1}^N \vec{r}_i \cdot \vec{F}_i \right\rangle_t &= 0, \\ 2 \left\langle \sum_{i=1}^N K_i \right\rangle_t &= - \left\langle \sum_{i=1}^N \vec{r}_i \cdot \vec{F}_i \right\rangle_t. \end{aligned}$$

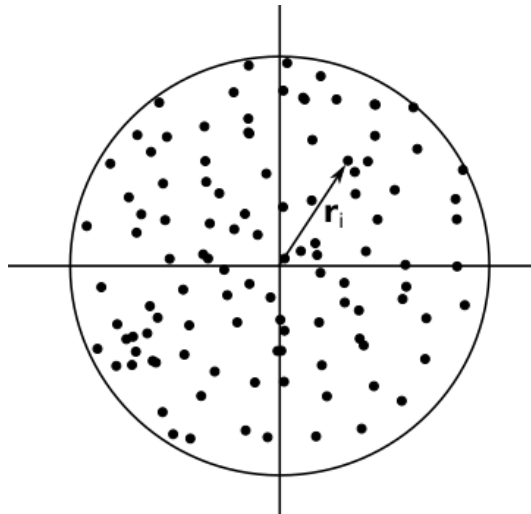


Case of central forces

We now show that for forces with potential $U_i = kr_i^\alpha$ («central forces») in spherical systems the virial theorem reduces to:

$$\alpha \langle U \rangle_t = 2 \langle K \rangle_t ,$$

where $U = \sum_{i=1}^N U_i$ is the total potential energy.





$$\vec{F}_i = -\vec{\nabla} U_i(r_i) = -\partial_r U_i(r_i) \hat{e}_r = -\alpha k r_i^{\alpha-1} \hat{e}_r,$$

implies that

$$\begin{aligned} 2 \langle K \rangle_t &= - \left\langle \sum_{i=1}^N \vec{r}_i \cdot \vec{F}_i \right\rangle_t = \\ &= \left\langle \sum_{i=1}^N \vec{r}_i \cdot \vec{\nabla} U_i(r_i) \right\rangle_t = \\ &= \left\langle \sum_{i=1}^N \alpha k r_i^\alpha \right\rangle_t = \alpha \langle U_{\text{tot}} \rangle_t. \end{aligned}$$



The Virial Theorem in Gravitational Systems

- In a system of bodies of mass m where the only force is gravitational, $U = kr^{-1}$ (with $k = -Gm^2$), and therefore $\alpha = -1$:

$$\langle U \rangle_t = -2 \langle K \rangle_t .$$

- In a virialized system dominated by gravity, the potential energy is *twice* (in absolute value) the kinetic energy.
- (Actually, the relationship $U \propto r^{-1}$ is valid only far from the center, where instead $U \propto M(r)/r \propto r^2$ and the motion is like that of a spring).



gravatational collapse of spongebob (REUPLOAD)



Gravitational collapse of Spongebob



“Virialized” Systems

- A system for which the virial theorem holds is called “virialized”
- Virialized systems exhibit considerable symmetry, because the kinetic energy of their components is statistically distributed
- It is a condition similar to that of thermodynamic equilibrium



Potential Energy Level

- Remember that potential energy is defined up to an additive constant (it derives from an indefinite integral).
- The virial theorem, however, assumes a very specific constant for U : since we assumed that $U = kr^{-1}$, it means that we assume that the potential energy of i and j tends to zero if the two particles are moved infinitely far apart.



Applications (1/2)

- As an example, let's estimate the average temperature of the Sun using the virial theorem.
- The Sun is a bounded spherical volume system, certainly relaxed, so the theorem is applicable.



Applications (1/2)

The gravitational potential energy of the Sun (sphere of radius R) is

$$U = \frac{3}{5} G \frac{M^2}{R},$$

while the total kinetic energy is

$$K = \sum_{i=1}^N \frac{3}{2} kT$$

(we assume that the temperature is constant inside).



Applications (1/2)

Using the virial theorem

$$2 \langle K \rangle_t = - \langle U \rangle_t$$

we obtain that the **virial temperature** is

$$T = \frac{1}{5} \frac{GM_{\odot}^2}{NkR_{\odot}} \sim 10^6 \div 10^7 \text{ K.}$$

It roughly corresponds to the core temperature.



Applications (2/2)

- Let's now calculate the average binding energy per nucleon in an atomic nucleus.
- Also in this case we have a system of particles obviously relaxed and confined in a limited volume, but it is **not classical**: let's try to apply the virial theorem anyway.



Applications (2/2)

- An atomic nucleus has a radius $R \sim 10^{-15}$ m.
- The average classical kinetic energy $p^2 / (2m)$ can be estimated from the uncertainty principle:

$$\Delta p_x \Delta x \sim \frac{\hbar}{2} \quad \Rightarrow \quad p_x \approx \frac{\hbar}{2R}.$$

- Since $p^2 = p_x^2 + p_y^2 + p_z^2 \approx 3p_x^2 \approx 3\hbar^2 / 4R^2$, then

$$K \approx A \frac{p^2}{2m_p} \approx A \frac{3\hbar^2}{8R^2 m_p} \sim A \frac{\hbar^2}{R^2 m_p}.$$



Applications (2/2)

Under the hypothesis that $U \propto r^\alpha$, and that $|\alpha|$ is not too far from unity, from the virial theorem it holds that $K \sim U$ (same order of magnitude), i.e.

$$A \frac{\hbar^2}{R^2 m_p} \sim U$$

We are interested in the binding energy **per nucleon**, i.e., U/A :

$$U/A \sim \frac{\hbar^2}{R^2 m_p} \sim 10 \text{ MeV/nucleon.}$$



Globular cluster dynamics

- Globular clusters are spherically symmetric, therefore virialized.
- Using the virial theorem, we calculate the following quantities for a typical cluster:
 1. Escape velocity;
 2. Root mean square velocity;
 3. Mass.

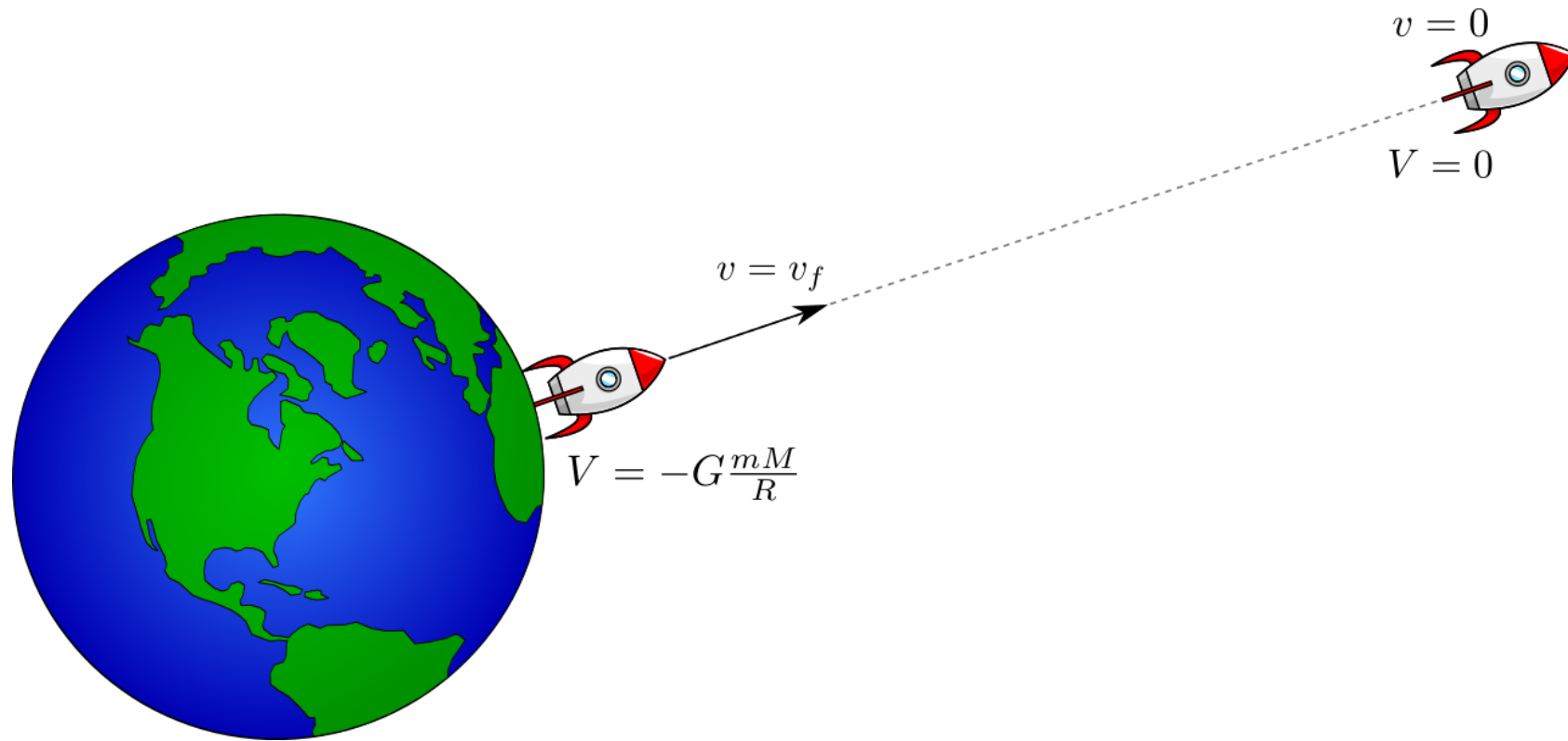


Escape Velocity

- Is it reasonable to assume that a globular cluster is bound? To answer this, we need to estimate the escape velocity.
- If the average velocity of the stars were greater than the escape velocity, then the cluster could not be bound: it would «evaporate» letting its stars escape into space.



Escape Velocity



To estimate the escape velocity v_f we impose the conservation of energy between the two instants shown in the figure.



Escape Velocity

- In the case of a star initially located at a distance R from the center of mass of the cluster, the energy conservation equation becomes:

$$\frac{1}{2}M_*v_f^2 - G\frac{M_*M_{\text{GC}}}{R} = 0,$$

- If $M_{\text{GC}} \sim 10^6 M_{\odot}$ and $R \sim 10$ pc, we have that

$$v_f = \sqrt{\frac{2GM_{\text{GC}}}{R}} \sim 30 \text{ km/s.}$$

- Note that for an escaping particle the total energy is **zero**.



Root Mean Square Velocity

We want to calculate the root mean square velocity of the stars in a globular cluster. This quantity is related to the kinetic energy K :

$$\begin{aligned} K &= \sum_{i=1}^N \frac{1}{2} M_* v_i^2 = \frac{1}{2} M_* N \frac{1}{N} \sum_{i=1}^N v_i^2 \\ &= \frac{1}{2} M_{\text{GC}} v_{\text{rms}}^2 \end{aligned}$$



Root Mean Square Velocity

Consequently, from the virial theorem

$$2 \langle K \rangle_t = - \langle U \rangle_t = - \left\langle \frac{3}{5} \frac{GM_{\text{GC}}^2}{R} \right\rangle_t$$

we have that

$$v_{\text{rms}} = \sqrt{\frac{3GM_{\text{GC}}}{5R}} \sim 15 \text{ km/s.}$$



Escape Velocity and Root Mean Square Velocity

Since

$$\left(v_{\text{rms}} = \sqrt{\frac{3GM_{\text{GC}}}{5R}} \right) < \left(v_f = \sqrt{\frac{2GM_{\text{GC}}}{R}} \right),$$

this confirms the hypothesis that the globular cluster (and in general any virialized gravitational system) is a bound system.



Virial mass of a GC

- Let's now calculate the total mass of a globular cluster from observational parameters.
- The potential and kinetic energy of the cluster is

$$K = \frac{1}{2} M_{\text{GC}} \langle v^2 \rangle_t, \quad U = -\frac{3}{5} \frac{G M_{\text{GC}}^2}{R}.$$

- From the fact that $2 \langle K \rangle_t + \langle U \rangle_t = 0$ we have that

$$M_{\text{GC}} = \frac{5}{3G} \langle v^2 \rangle_t R.$$



Virial Mass of a GC

For our typical cluster with $R = 5$ pc and $v = 15$ km/s we have that

$$M \sim 10^{39} \text{ g} \approx 5 \times 10^5 M_{\odot}.$$

This value of the mass is called **virial mass**.