



# Distributed Consensus-Based Cooperative Adaptive Cruise Control (CACC) Systems

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# Outline

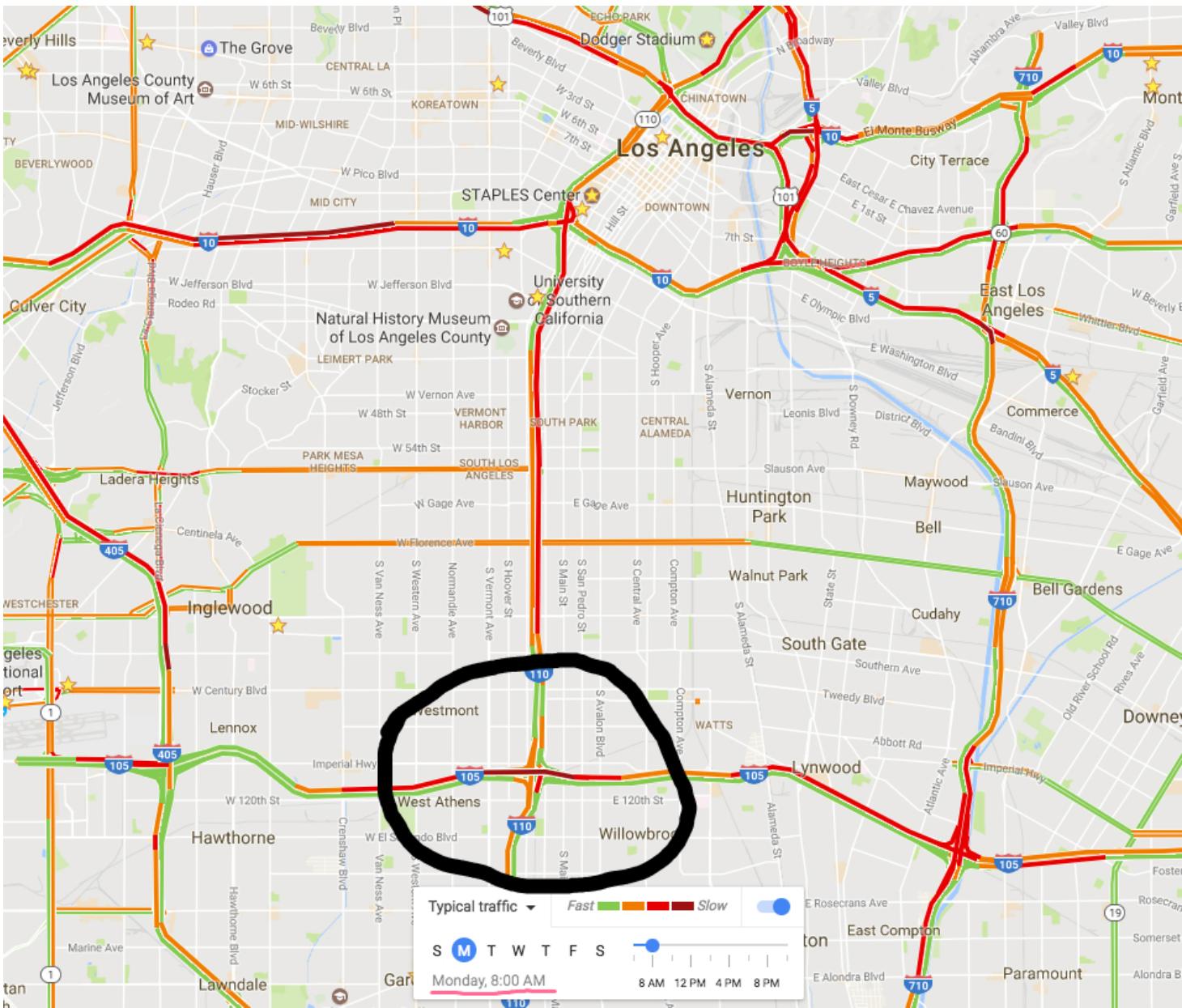
- **Motivation of the Research**
- **Distributed Consensus-Based CACC System**
- **Cluster-Wise Cooperative EAD System**
- **Other Research Topics**



# Outline

- **Motivation of the Research**
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# Wasted Fuel and Wasted Time

- In 2016, Los Angeles tops the global ranking with **104 hour/commuter** spent in traffic congestion
- In 2014, **3.1 billion gallons** of energy were wasted worldwide due to traffic congestion
- In 2013, fuel waste and time lost in traffic congestion cost **\$124 billion** in the U.S.





# Automated Vehicle Technology

- **Definition of automated vehicles**

At least some aspects of a safety-critical control function (e.g. , steering, acceleration, or braking) occur without direct driver input

- **Sensing techniques**

Radar, Lidar, GPS, odometry, computer vision, etc.



(source: google)



(source: google)

- **Level of automation by NHTSA**

- Level 0: Driver is in complete and sole control
- Level 1: One or more specific control function is involved
- Level 2: Two or more functions work in unison
- Level 3: Driver cede full control under certain conditions
- Level 4: Driver is not expected to control at any time



# Connected Vehicle Technology

- **Definition of connected vehicles**

Vehicles that are equipped with Internet access, and usually also with a wireless local area network

- **Communication flow**

- Based primarily on dedicated short-range communications (DSRC)
- Between vehicles (V2V)
- Between vehicles and infrastructure (V2I/I2V)



(source: connectedvehicle.org)



(source: USDOT)



# Merging of Connectivity and Automation

- **Automated Vehicles**
  - **Pros:** In general, partial or full vehicle automation can help **safety**
  - **Cons:** **Mobility and environmental impacts** may remain the same or could even get worse, e.g., adaptive cruise control (ACC) has been shown to have negative traffic mobility impacts
- **Connected Vehicles**
  - **Pros:** Introduction of a significant amount of **information** to support decision making
  - **Cons:** Increase in the driver's cognitive load, thus causing extra **distraction** and system disturbance
- **Therefore, a potentially better solution: Connected + Automated**



# Merging of Connectivity and Automation

## Autonomous Vehicle

Operates in isolation from other vehicles using internal sensors



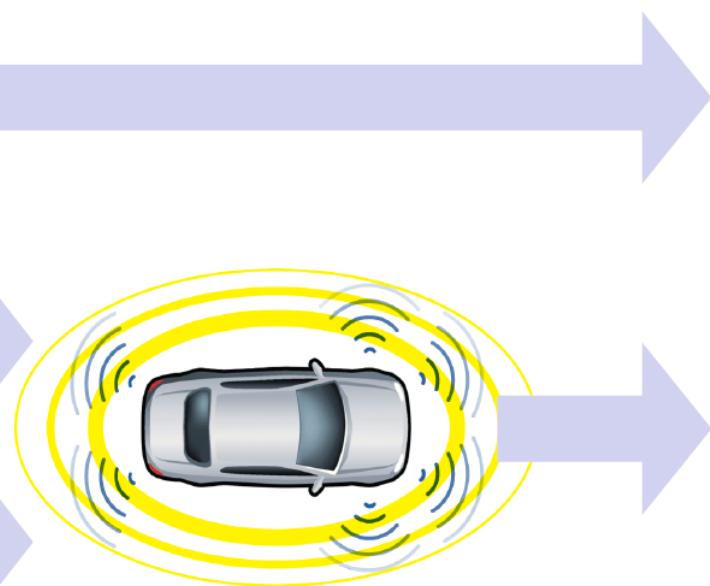
## Connected Vehicle

Communicates with nearby vehicles and infrastructure



## Connected Automated Vehicle

Leverages autonomous and connected vehicle capabilities

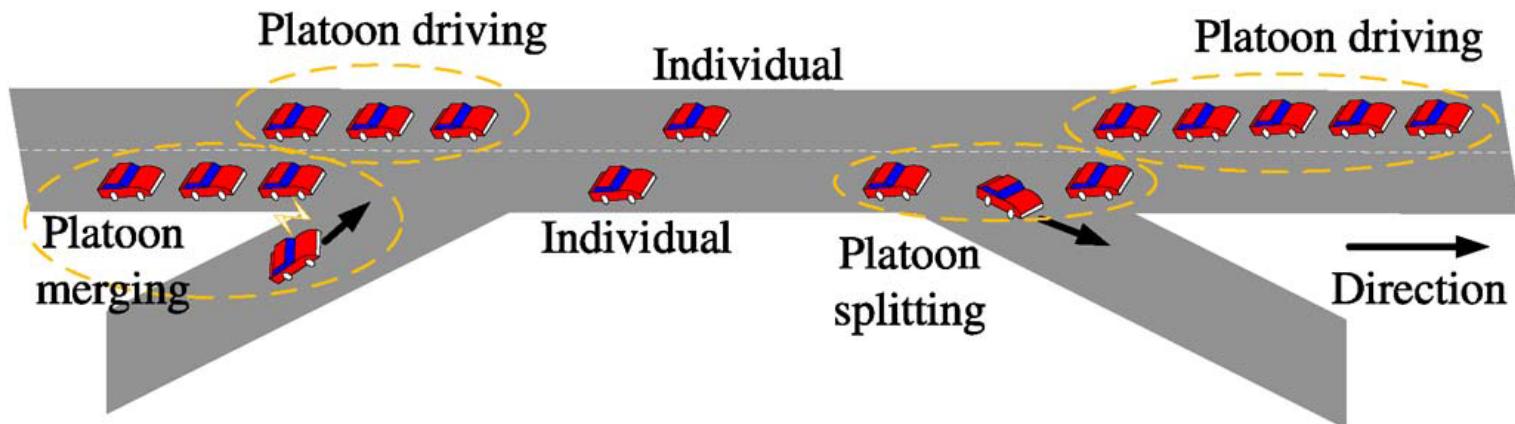


U.S. Department of Transportation  
ITS Joint Program Office



# Cooperative Adaptive Cruise Control (CACC)

- Take advantage of connected vehicle technology and automated vehicle technology
- Form platoons and driven at harmonized speed with smaller time gap



(D. Jia *et al.*, 2016)



# Advantages of CACC

- **Safer** than human driving by taking a lot of danger out of the equation
- **Roadway capacity is increased** due to the reduction of inter-vehicle time gap
- **Fuel consumption and pollutant emissions are reduced** due to the mitigation of aerodynamic drag of following vehicles



(S. Oncu *et al.*, 2014)



(source: [www.youtube.com/watch?v=LljnfGXos4c](https://www.youtube.com/watch?v=LljnfGXos4c)) 12



# Outline

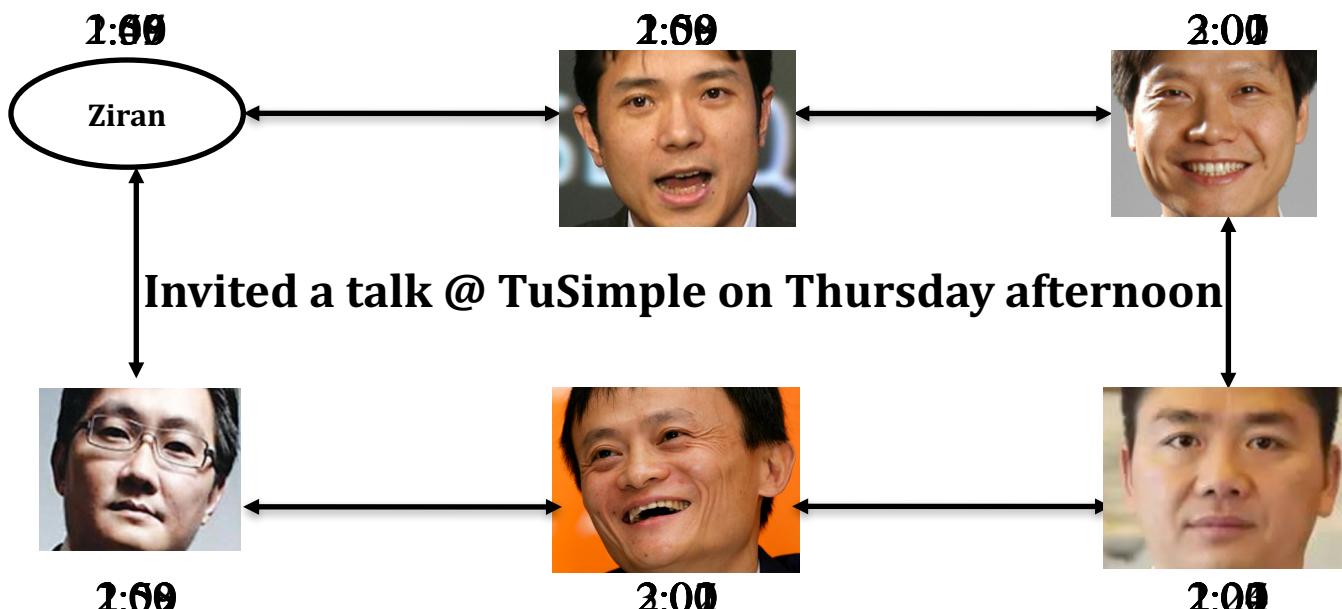
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# Distributed Consensus Networks

**Reach agreement or consensus upon the value of a variable of interest**

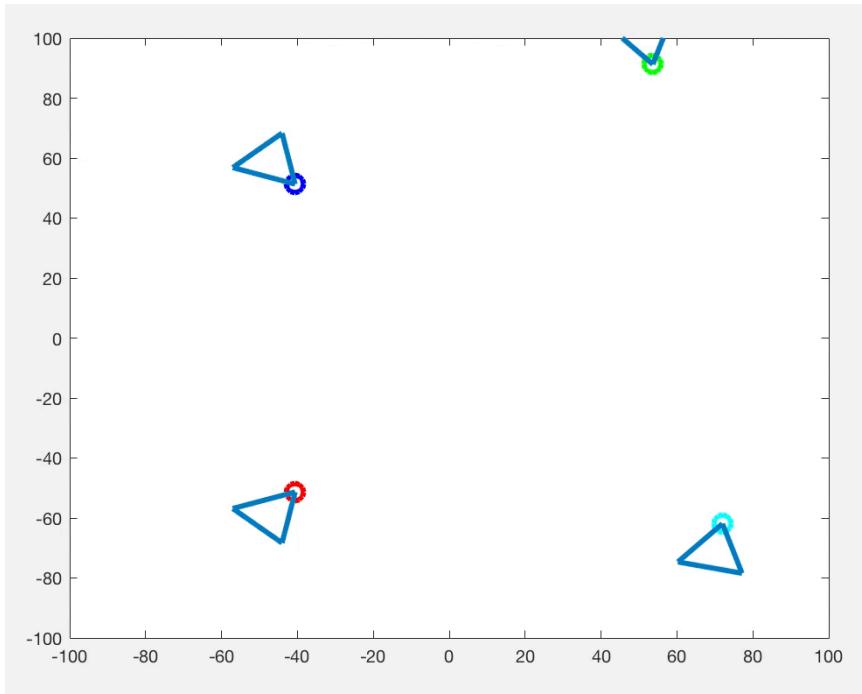
$$x_i[k + 1] = \sum_{j=1}^n a_{ij}[k] x_j[k], \quad i = 1, \dots, n$$



$$\frac{1:00 + 1:00 + 2:00 + 2:00 + 3:00 + 3:00}{6} = 2:00$$

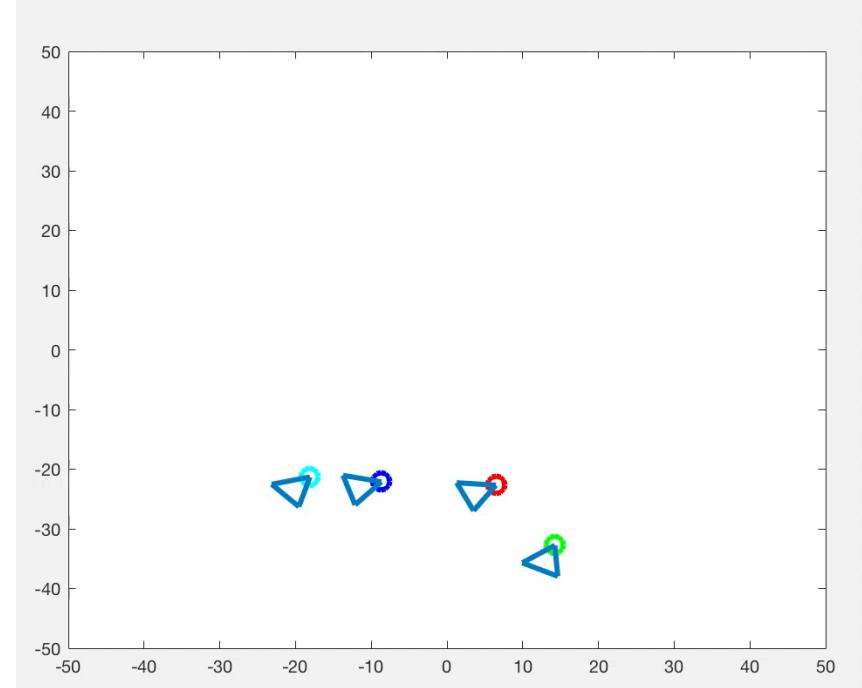


# Distributed Consensus Networks



Converge to a desired location

Arrive at their desired locations while preserving the desired formation shape





# Distributed Consensus Algorithm for the CACC system

$$\begin{cases} \dot{x}_i(t) = v_i(t) \\ \dot{v}_i(t) = -a_{ij}[x_i(t) - x_j(t - \tau_{ij}(t))] + l_{if} + l_{jr} + \dot{x}_j(t - \tau_{ij}(t))(t_{ij}^g + \tau_{ij}(t))b_i \\ \quad - \gamma a_{ij} [\dot{x}_i(t) - \dot{x}_j(t - \tau_{ij}(t))] \end{cases} \quad i = 2, \dots, n, j = i - 1$$

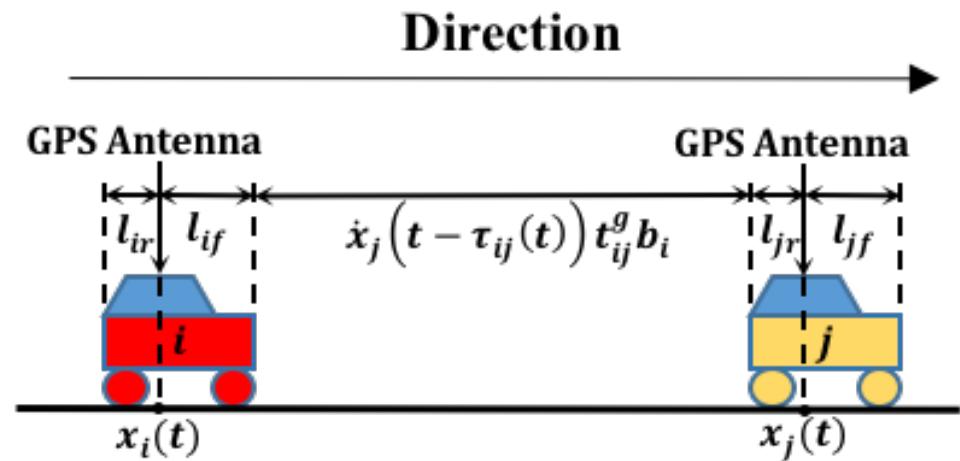
$x_i(t)$	Longitudinal position of vehicle $i$ at time $t$	$t_{ij}^g$	Inter-vehicle time gap
$\dot{x}_i(t)$	Longitudinal speed of vehicle $i$ at time $t$	$l_{if}$	Length between GPS antenna to front bumper
$\ddot{x}_i(t)$	Longitudinal acceleration of vehicle $i$ at time $t$	$l_{jr}$	Length between GPS antenna to rear bumper
$a_{ij}$	$(i, j)$ th entry of the adjacency matrix	$b_i$	Braking factor of vehicle $i$
$\tau_{ij}(t)$	Communication delay at time $t$	$\gamma$	Tuning parameter



# Distributed Consensus Algorithm for the CACC system

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Predecessor following topology





# Distributed Consensus Algorithm for the CACC system

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position consensus

velocity consensus

$i = 2, \dots, n, j = i - 1$

- **Consensus is reached by a platoon of vehicles if**

$$\begin{cases} \|x_i(t) - x_j(t - \tau_{ij}(t))\| \rightarrow l_{if} + l_{jr} + \dot{x}_j(t - \tau_{ij}(t))(t_{ij}^g + \tau_{ij}(t))b_i \\ \|\dot{x}_i(t) - \dot{x}_j(t - \tau_{ij}(t))\| \rightarrow 0 \end{cases}$$

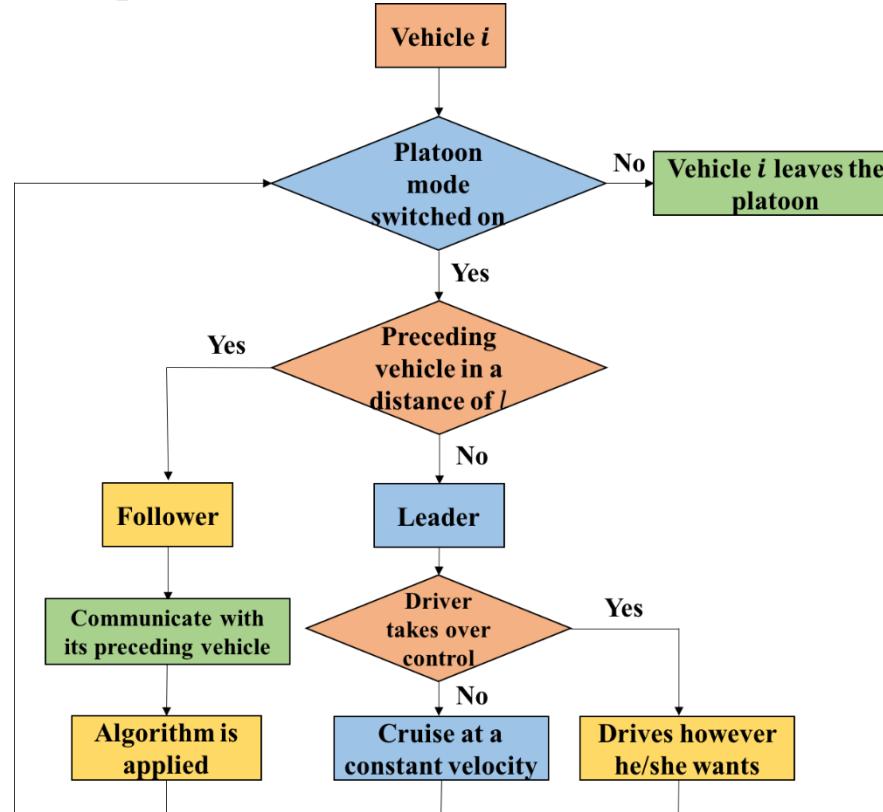


# Distributed Consensus Protocol for the CACC system

- **Assumption**

Every vehicle in the system is equipped with appropriate sensors

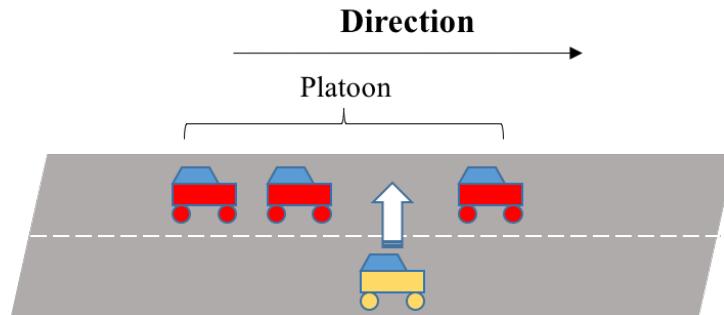
- **Protocol 1: Normal platoon formation**





# Distributed Consensus Protocol for the CACC system

- **Protocol 2: Merging and splitting maneuvers**

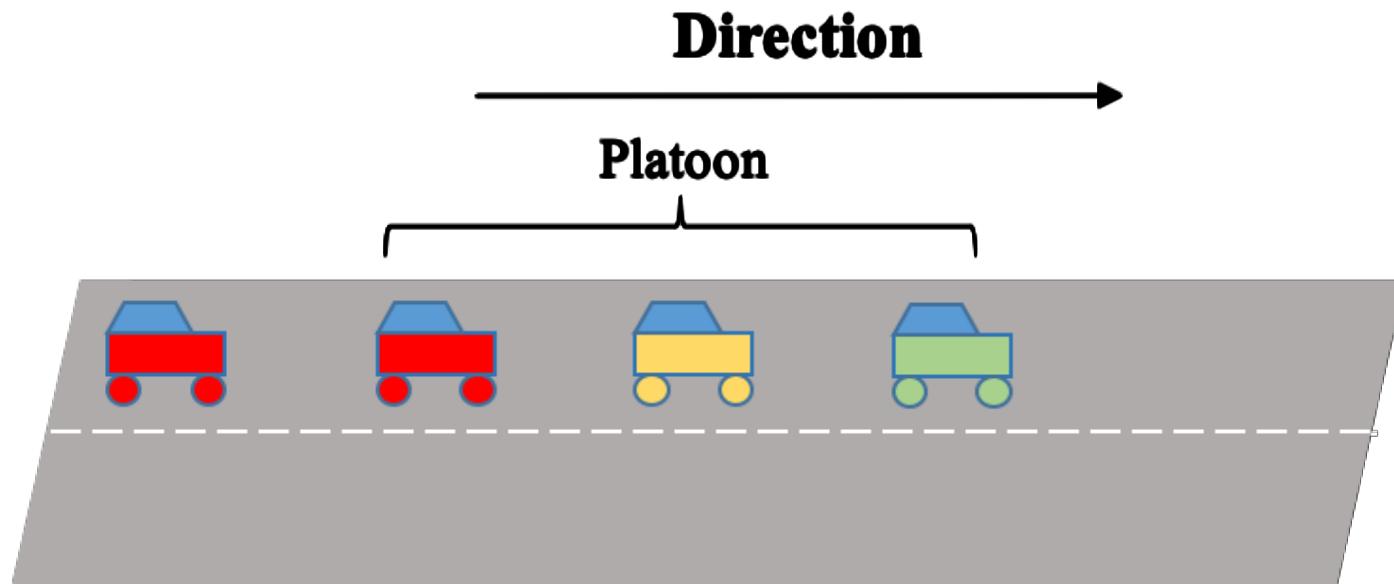


1. Vehicle  $i$  communicates with the platoon and decides the  $j$ th vehicle of the platoon.
2. A “ghost” vehicle with respect to vehicle  $j - 1$  in the platoon will be created on the lane vehicle  $i$  is on.
3. Vehicle  $i$  autonomously adjusts its absolute position and velocity with the “ghost” vehicle by distributed consensus algorithm proposed.
4. A “ghost” vehicle with respect to vehicle  $i$  is created in front of vehicle  $j + 1$ , and vehicle  $j + 1$  starts to create a gap for vehicle  $i$  by distributed consensus algorithm proposed.
5. Vehicle  $i$  merges into the platoon.



# Distributed Consensus Protocol for the CACC system

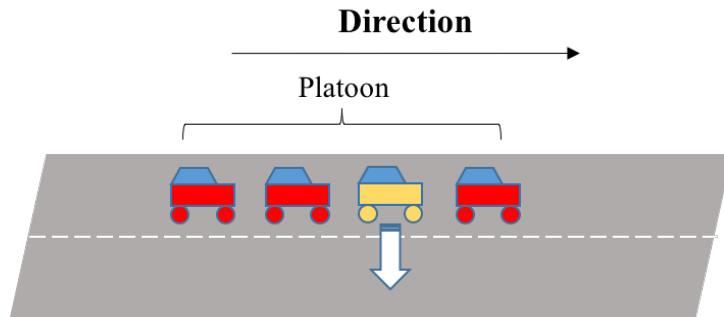
- **Protocol 2: Merging and splitting maneuvers**





# Distributed Consensus Protocol for the CACC system

- **Protocol 2: Merging and splitting maneuvers**



1. After the splitting mode is activated, the driver can take over the lateral control of the vehicle and perform the lane change without adjusting the velocity longitudinally.
2. After vehicle  $j$  completes the lane change, vehicle  $j+1$  will sense that its preceding vehicle changes from vehicle  $j$  to vehicle  $j - 1$ , and therefore adjust its velocity to close the gap.
3. A new platoon is formed, where vehicle  $j + 1$  becomes vehicle  $j$ , and vehicle  $j + 2$  becomes vehicle  $j + 1$ , and so on.

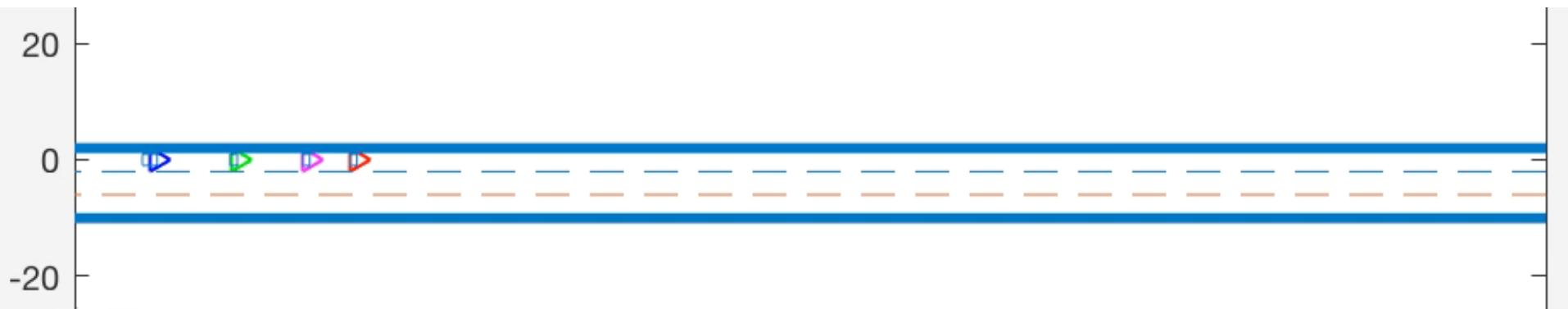


# Simulation Study

- Scenario 1: Normal platoon formation

TABLE 1: Values of vehicle parameters.

Parameters	Vehicle 1	Vehicle 2	Vehicle 3	Vehicle 4
GPS antenna to front bumper $l_{if}$	3 m	3 m	3 m	6 m
GPS antenna to rear bumper $l_{ir}$	2 m	2 m	2 m	4 m
braking factor $b_i$	1	1	1.1	1.6
initial velocity $\dot{x}_{i0}$	30 m/s	33 m/s	36 m/s	39 m/s
desired velocity $\dot{x}_i$	30 m/s	30 m/s	30 m/s	30 m/s
initial time gap $t_{ij0}^g$	0.91 s	1.11 s	1.67 s	
initial weighted inter-vehicle distance $d_{ij0}$	30 m	40 m	65 m	
desired time gap $t_{ij}^g$	0.43 s	0.48 s	0.69 s	
desired time headway $t_{ij}^h$	0.6 s	0.64 s	0.86 s	
desired weighted inter-vehicle distance $d_{ij}$	13 m	14.3 m	20.8 m	
desired unweighted inter-vehicle distance $d_{ij}/b_i$	13 m	13 m	13 m	

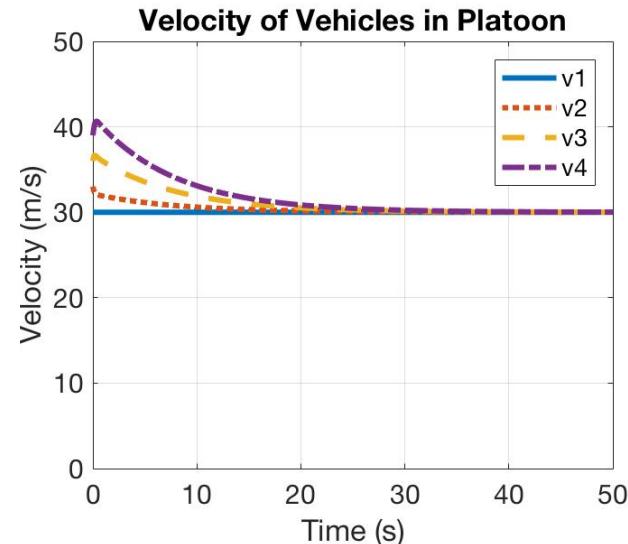
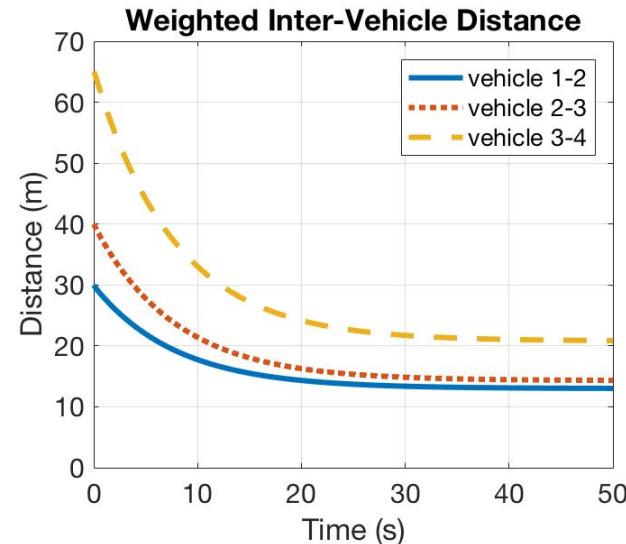
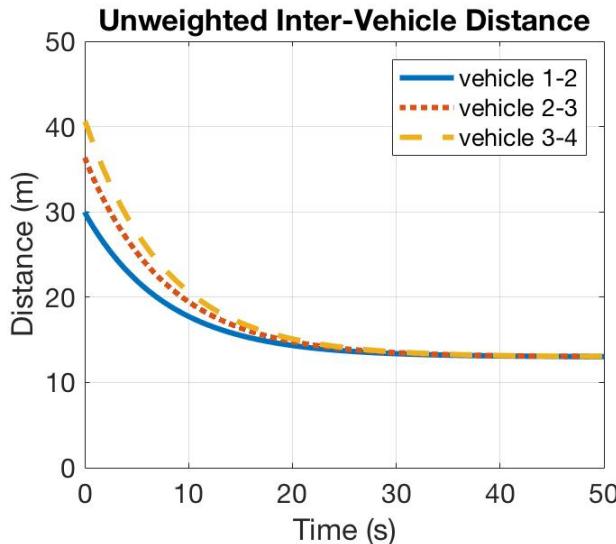




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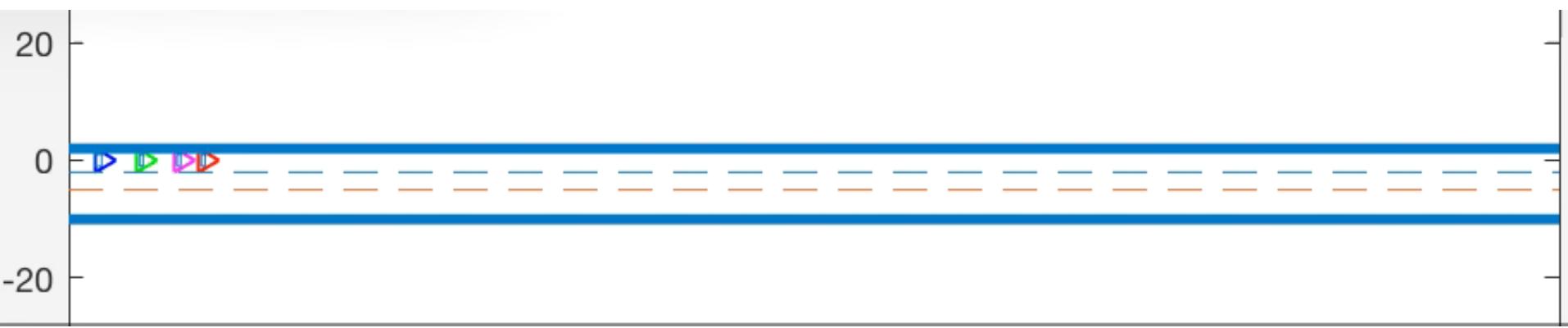
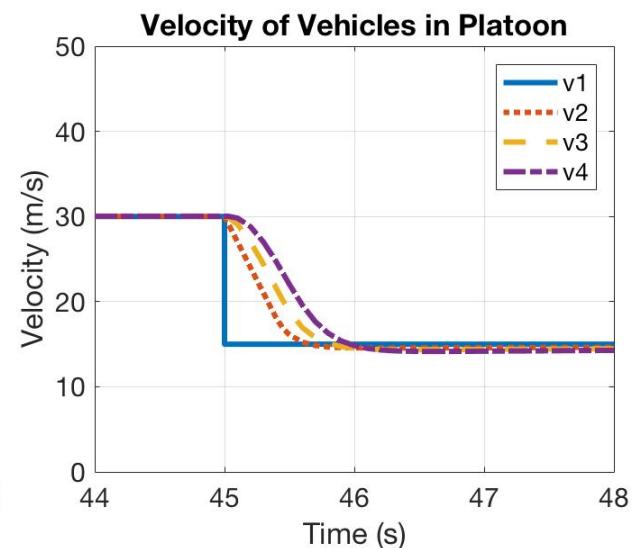
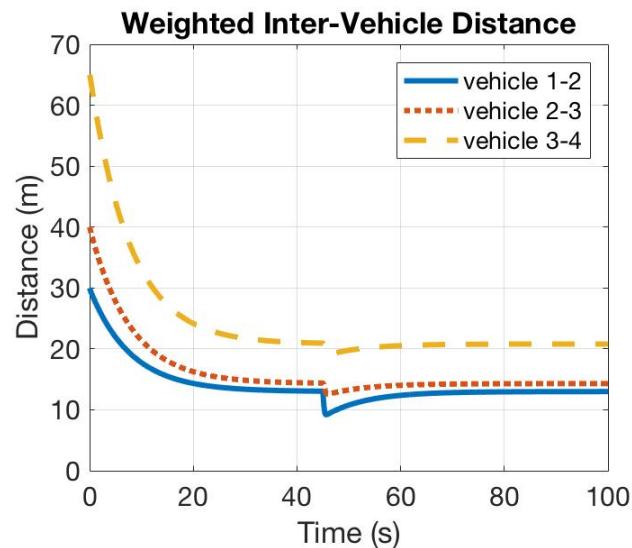
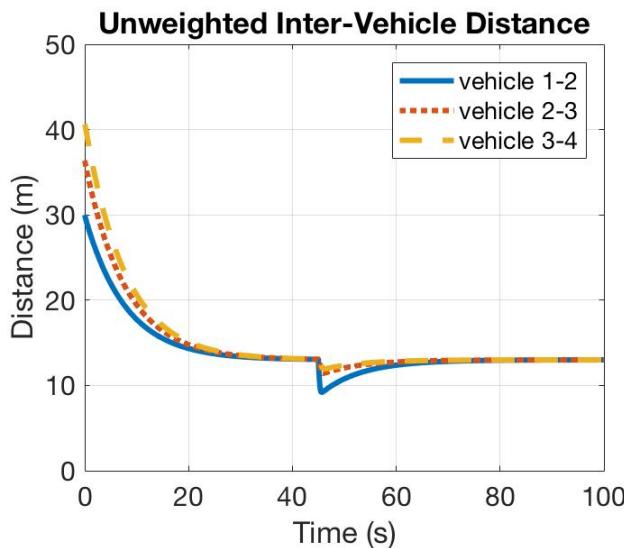
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# Simulation Study

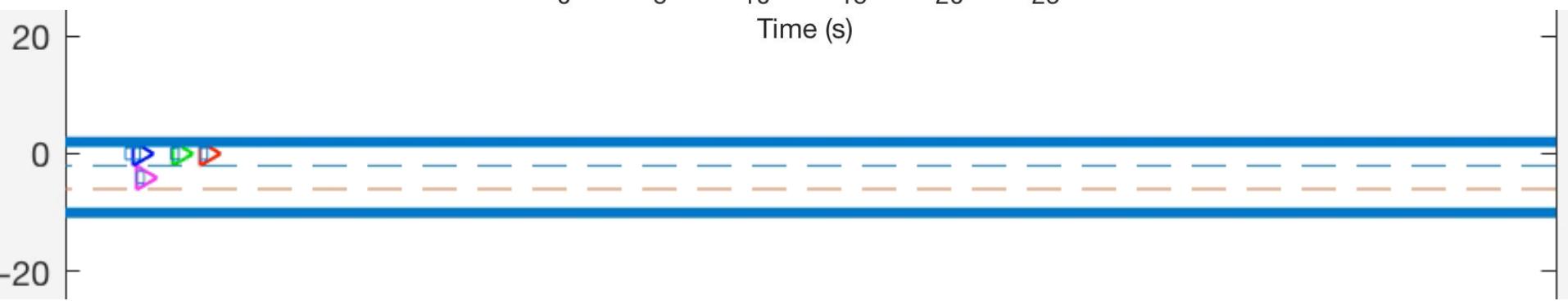
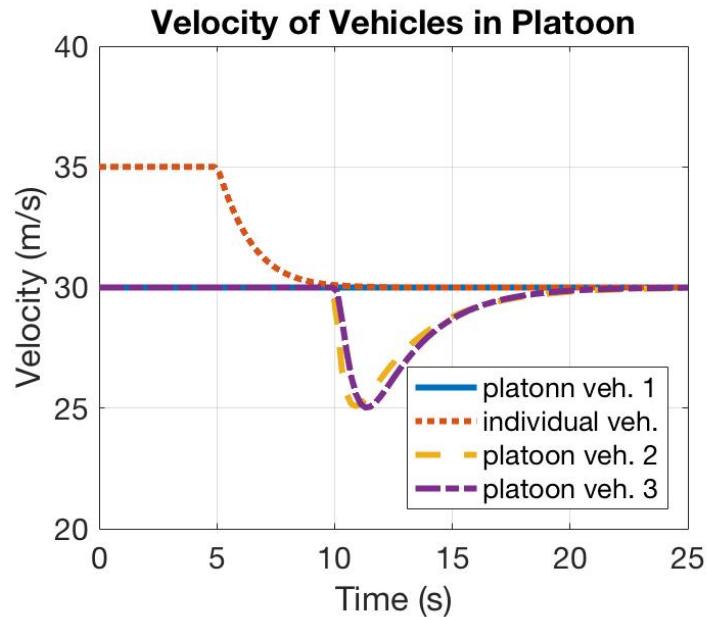
- Scenario 2: Platoon restoration from disturbances





# Simulation Study

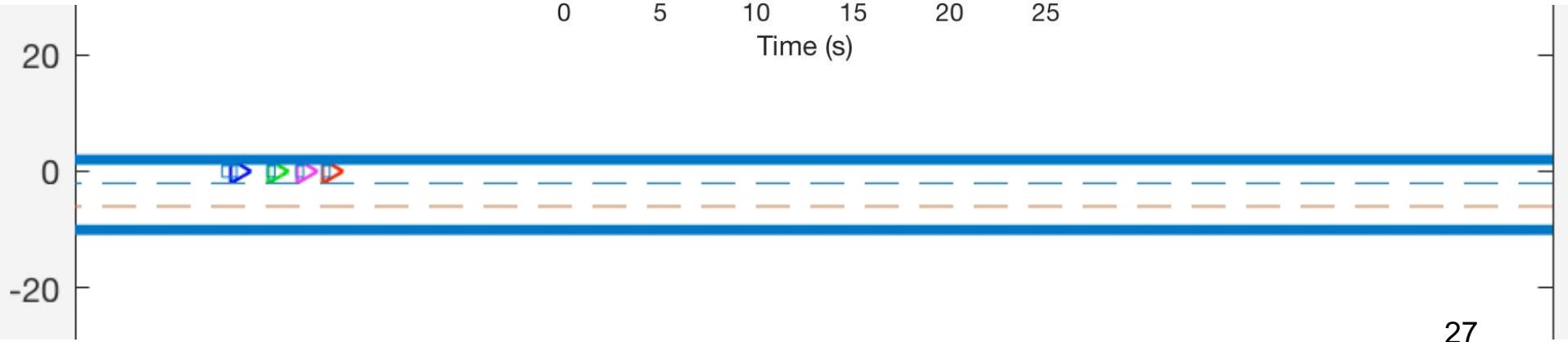
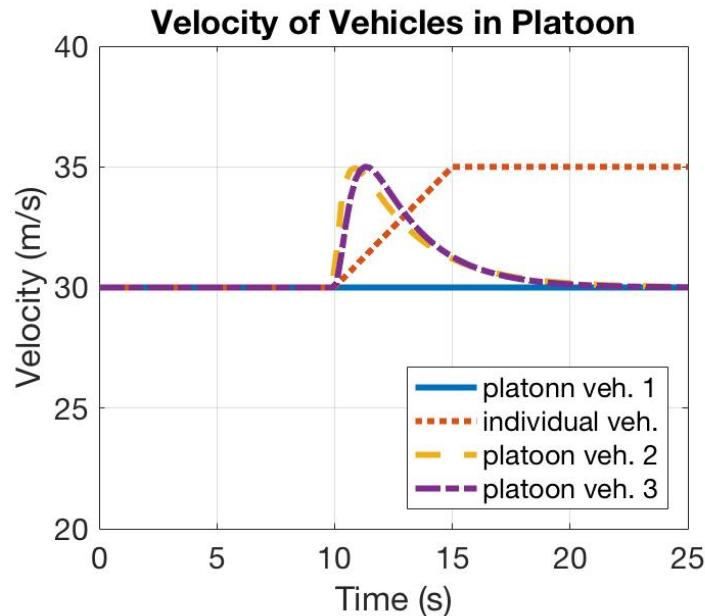
- Scenario 3: Merging and splitting maneuvers





# Simulation Study

- Scenario 3: Merging and splitting maneuvers



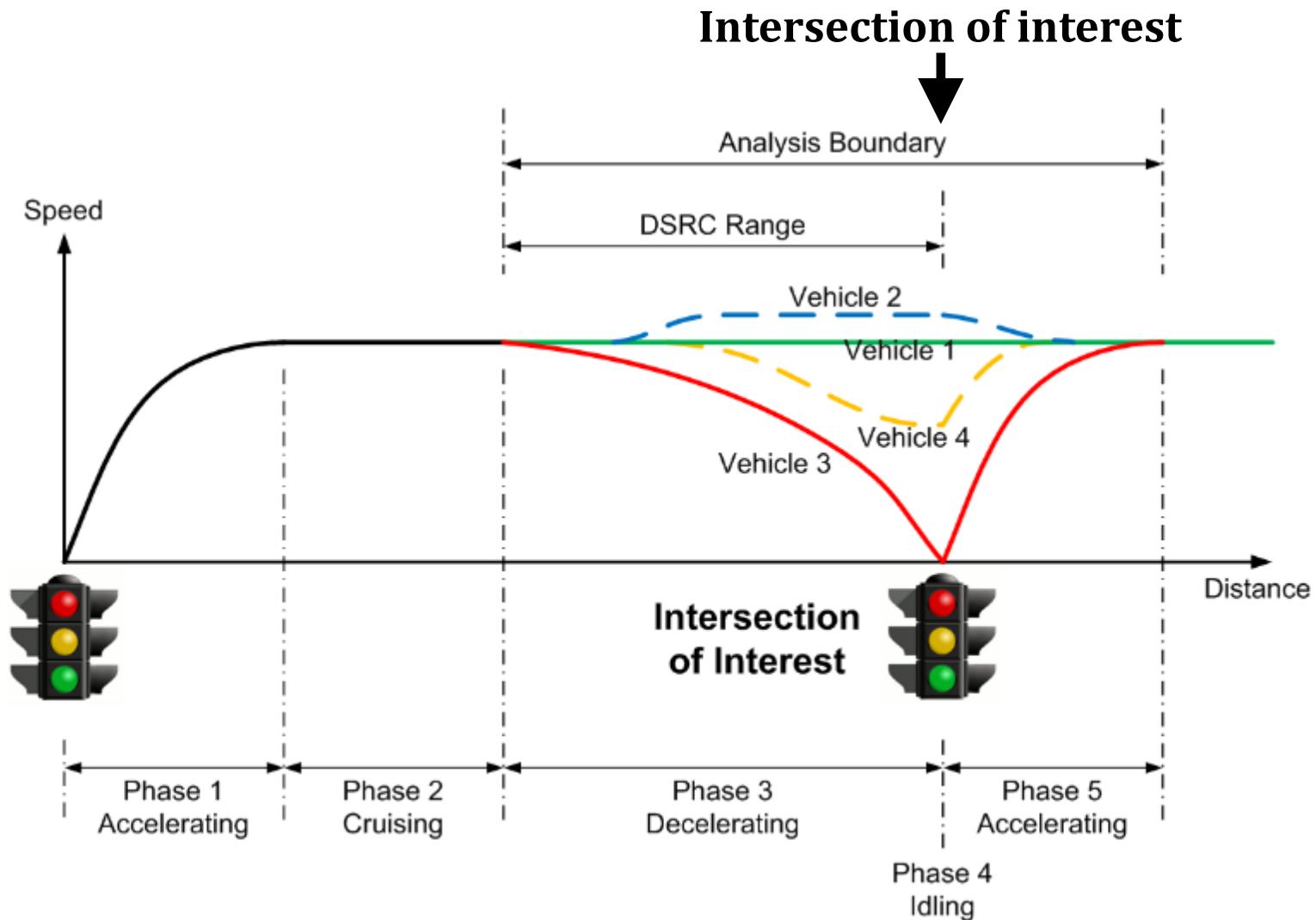


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- Distributed Consensus-Based CACC System
- **Cluster-Wise Cooperative EAD System**
- Other Research Topics

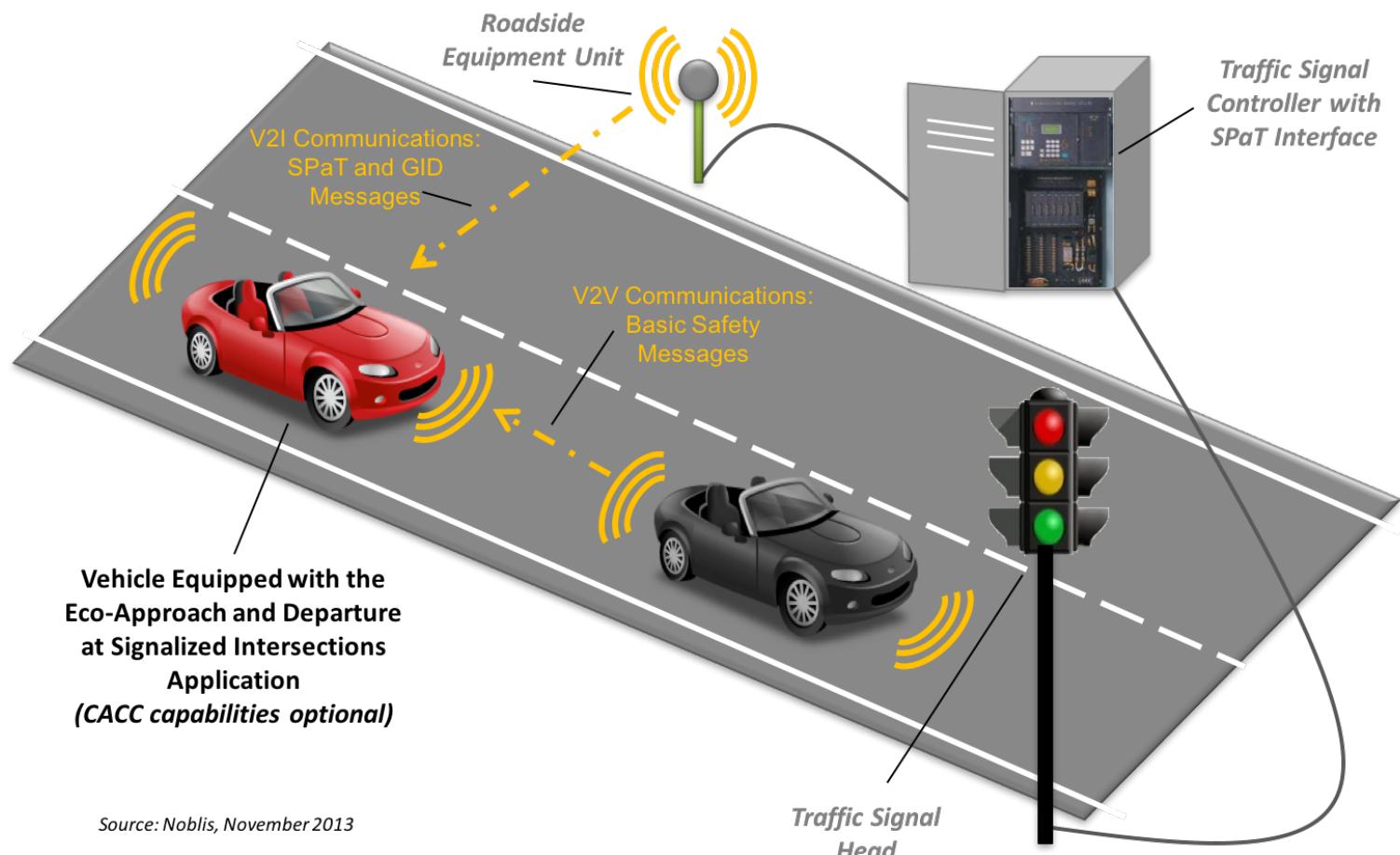


# Vehicles Approaching an Intersection





# Eco-Approach and Departure (EAD) at Signalized Intersections



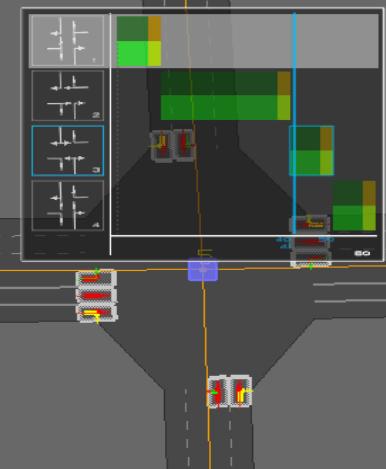


# EAD Microscopic Simulation

baseline



eco approach & departure

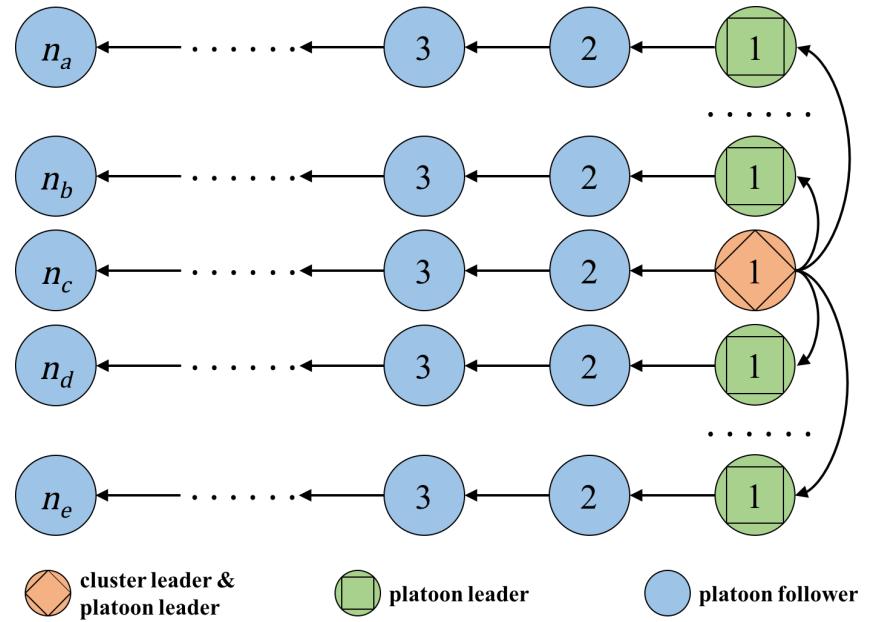




# Cluster-Wise Cooperative EAD at Signalized Intersections

- **Methodology**

- 1) Initial Vehicle Clustering
- 2) Intra-Cluster Sequence Optimization
- 3) Cluster Formation Control
- 4) Cooperative Eco-Approach and Departure



- **Advantages**

- 1) Increase traffic flow throughput at a certain arterial segment
- 2) Decrease the travel time to go through signalized intersections
- 3) Decrease the total energy consumption and pollutant emissions



# Cluster-Wise Cooperative EAD at Signalized Intersections

Values of Simulation Parameters

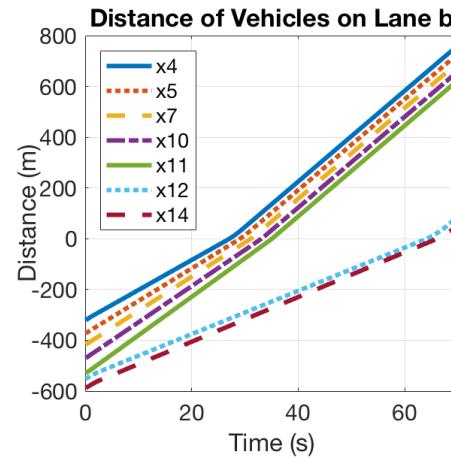
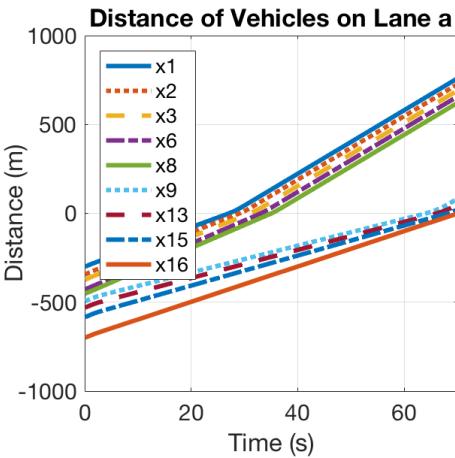
Parameter	Value
Number of Cars ( $N$ )	16
Number of Lanes ( $J$ )	2
Simulation Time Step	0.1 s
Communication Delay ( $\tau_{ij}$ )	60 ms
Roadway Speed Limit ( $v^{limit}$ )	17.88 m/s
Maximum Acceleration ( $a_i^{max}$ )	3.5 m/s <sup>2</sup>
GPS Antenna to Front Bumper ( $l_{if}$ )	3 m
GPS Antenna to Rear Bumper ( $l_{jr}$ )	2 m
Braking Factor ( $b_i$ )	1
Desired Time Headway ( $t_{ij}^h$ ) for Ego-EAD	2 s
Desired Time Headway ( $t_{ij}^h$ ) for Coop-EAD	1 s
Red Window (not allowed to travel through)	27 s
Green Window (allowed to travel through)	8 s
Yellow Window (not allowed to travel through)	2 s

Values of Vehicle Parameters

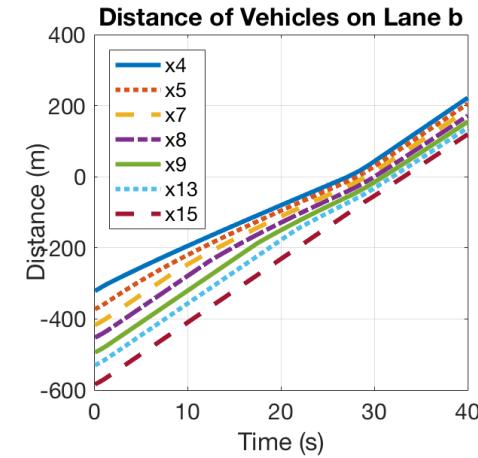
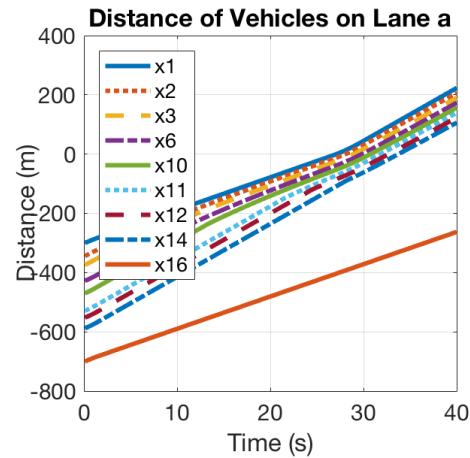
Vehicle Index	Lane/Sequence Index	Initial Speed	Initial Distance to Intersection
1	a/1	13.41 m/s <sup>2</sup>	300 m
2	a/2	14.32 m/s <sup>2</sup>	344 m
3	a/3	14.42 m/s <sup>2</sup>	374 m
4	b/1	14.10 m/s <sup>2</sup>	321 m
5	b/2	12.39 m/s <sup>2</sup>	372 m
6	a/4	13.09 m/s <sup>2</sup>	428 m
7	b/3	13.12 m/s <sup>2</sup>	417 m
8	a/5	12.44 m/s <sup>2</sup>	452 m
9	a/6	12.77 m/s <sup>2</sup>	494 m
10	b/4	13.88 m/s <sup>2</sup>	470 m
11	b/5	13.29 m/s <sup>2</sup>	529 m
12	b/6	12.67 m/s <sup>2</sup>	552 m
13	a/7	12.64 m/s <sup>2</sup>	530 m
14	b/7	13.08 m/s <sup>2</sup>	588 m
15	a/8	13.22 m/s <sup>2</sup>	584 m
16	a/9	13.30 m/s <sup>2</sup>	700 m



# Cluster-Wise Cooperative EAD at Signalized Intersections



Vehicle Trajectories of Ego-EAD



Vehicle Trajectories of Coop-EAD

## Comparison of Energy Consumption and Pollutant Emissions of Ego-EAD and Coop-EAD

	<i>HC (g/s)</i>	<i>CO (g/s)</i>	<i>NO<sub>X</sub> (g/s)</i>	<i>CO<sub>2</sub> (g/s)</i>	<i>PM2.5 (g/s)</i>	<i>Energy (KJ/s)</i>
Ego-EAD	0.041	1.161	0.144	159.852	0.011	2222.938
Coop-EAD	0.037	1.398	0.141	142.253	0.009	1978.150
Reduction%	10.23	13.25	2.29	11.01	19.91	11.01



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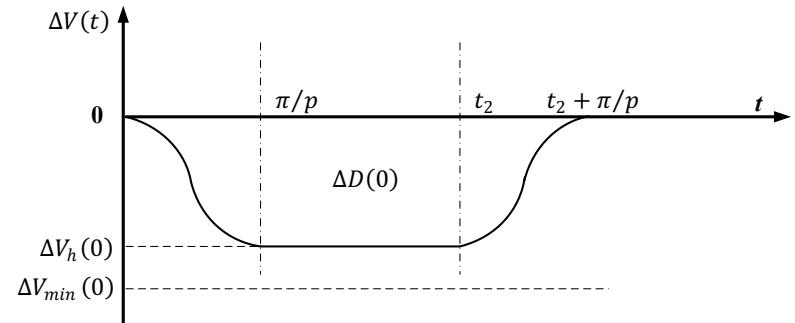
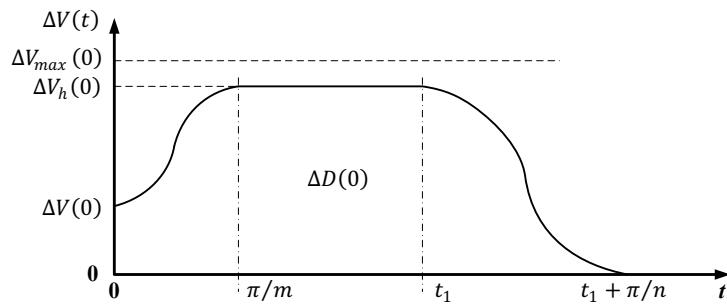
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# Other Research Topics

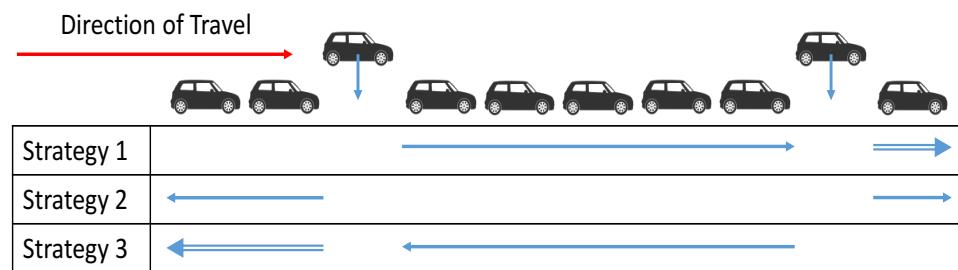
- **Platoon-Wide Eco-CACC System**

Reduce energy consumption at different stages of the CACC operation



- **Intra-Platoon Vehicle Sequence Optimization for Eco-CACC**

Optimize the vehicle sequence when vehicle joins to minimize the total acceleration and deceleration maneuvers





# Other Research Topics

- **Connected Eco-Bus: An Innovative Vehicle-Powertrain Eco-Operation System for Efficient Plug-In Hybrid Electric Buses**
  - i. Sponsored by USDOE ARPA-E, cooperating with Oak Ridge National Laboratory and US Hybrid
  - ii. Adopt Eco-Approach and Departure, Eco-Cruise, Efficiency-Based Powertrain Control and Machine Learning-Based Powertrain Control technology
  - iii. Achieve 20% transformational fuel efficiency improvements for transit buses



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 **OAK RIDGE**  
National Laboratory

 **US Hybrid**

**arpa-e**  
CHANGING WHAT'S POSSIBLE

 **ERTA**  
Riverside Transit Agency



# Q & A Time

**Thank you very much for the attention!**

**WeChat**



**Website**

