

# Galois theory

Jiaheng Zhao

November 15, 2021

# Contents

<b>1</b>	<b>Extension of fields</b>	<b>3</b>
1.1	Fields and field extensions . . . . .	3
1.2	Algebraicity . . . . .	4
1.3	Algebraic closure . . . . .	4
1.4	Normal extensions . . . . .	5
1.5	Separable extensions . . . . .	6
1.6	Trace and norm . . . . .	8
1.7	Purely inseparable extensions . . . . .	9
1.8	Transcendental extension . . . . .	9
<b>2</b>	<b>Galois theory</b>	<b>10</b>
2.1	Finite Galois correspondence . . . . .	10
2.2	Infinite Galois correspondence . . . . .	13
<b>3</b>	<b>Computation of Galois group</b>	<b>14</b>
3.1	Galois group of polynomials . . . . .	14
3.2	Quartic polynomials . . . . .	15
<b>4</b>	<b>Applications of Galois theory</b>	<b>16</b>
4.1	Finite fields . . . . .	16
4.2	Cyclotomic extension . . . . .	17
4.3	Hilbert's theorem 90 . . . . .	19
4.4	Cyclic extensions . . . . .	20
4.5	Kummer theory and Artin-Schreier theory . . . . .	21
4.6	Solvability by radicals . . . . .	22
<b>A</b>	<b>Profinite groups</b>	<b>22</b>
A.1	Topological groups . . . . .	22
A.2	Profinite groups . . . . .	23
<b>B</b>	<b>Möbius inversion formula</b>	<b>24</b>
<b>C</b>	<b>Group cohomology</b>	<b>25</b>

# 1 Extension of fields

## 1.1 Fields and field extensions

**Definition 1.1.** A **field** is a commutative ring such that  $F^\times = F - \{0\}$  form an abelian group under the multiplication operation.

**Lemma 1.2.** For any ring  $R$ , there is a unique ring homomorphism  $i_R : \mathbb{Z} \rightarrow R$ . If  $R$  is a field, then  $\text{Ker}(i_R)$  is either 0 or  $p\mathbb{Z}$  for  $p$  a prime.

*Proof.* The image of  $i_R$  must be an integral domain and as a result  $\text{Ker}(i_R)$  must be a prime ideal.  $\square$

**Definition 1.3.** We say that a field  $F$  is **of characteristic 0** if  $\text{Ker}(i_F) = 0$ . We say that  $F$  is **of characteristic  $p$**  if  $\text{Ker}(i_F) = p\mathbb{Z}$ .

From now on we use  $\text{char}(F)$  to denote the characteristic of a field.

**Theorem 1.4.** Let  $F, E$  be fields and  $f : F \rightarrow E$  be a ring homomorphism, then  $f$  is injective. In this case we say that  $E$  is an **extension** of  $F$ , denoted by  $E/F$ .

*Proof.*  $\text{Ker}(f)$  is an ideal of  $F$  and  $F$  has no non-trivial ideals. Thus  $\text{Ker}(f) = 0$ .  $\square$

**Definition 1.5.** Let  $E/F$  and  $K/F$  be two extensions of  $F$ . We use  $\text{hom}_F(E, K)$  to denote the set of field embeddings from  $E$  to  $K$  which preserves  $F$ , and call it **the set of  $F$ -embeddings from  $E$  to  $K$** .

Let  $E/F$  be a field extension, then we can view  $E$  as an  $F$ -algebra and in particular an  $F$ -vector space. If  $E$  is finite dimensional over  $F$ , we say that  $E/F$  is a **finite extension**. We use  $[E : F]$  to denote the dimension and call it the **degree** of the field extension.

**Lemma 1.6** (Tower property). Let  $L/E$  and  $E/F$  be finite extensions, then

$$[L : F] = [L : E][E : F].$$

*Proof.* Let  $\{\alpha_i\}_{i \in I}$  be a basis of  $E/F$  and  $\{\beta_j\}_{j \in J}$  be a basis of  $L/E$ . Then  $\{\alpha_i \beta_j\}$  form a basis of  $L/F$ . To see this, first note that the set  $\{\alpha_i \beta_j\}$  generates  $L$  with coefficients in  $F$ . Then we show linear independency. Take a family of coefficients  $\{c_{i,j} \in F\}$  such that

$$\sum_{i,j} c_{i,j} \alpha_i \beta_j = 0.$$

Let  $d_j = \sum_i c_{i,j} \alpha_i \in E$ , then  $\sum_j d_j \beta_j = 0$ . We conclude that  $d_j = 0$  for all  $j$ , so that  $c_{i,j} = 0$  for all  $i, j$ .  $\square$

**Definition 1.7.** Let  $E/F$  be an extension and  $S \subset E$  be a set. The **field generated by  $S$**  is the minimal subextension  $K/F$  such that  $S \subset K$ , which is denoted by  $F(S)$ . If  $S$  is finite we say that  $F(S)$  is **finitely generated** over  $F$ .

**Definition 1.8.** An extension  $E/F$  is called **simple** if  $E = F(\alpha)$  for some  $\alpha \in E$ .

## 1.2 Algebraicity

**Definition 1.9** (Algebraic and transcendental elements). Let  $E/F$  be a field extension. An element  $\alpha \in E$  is called **algebraic over  $F$**  if there exists some  $R \in F[X]$  such that  $R(\alpha) = 0$ . Otherwise we say that  $\alpha$  is **transcendental over  $F$** .

**Definition 1.10.**  $E/F$  is called an **algebraic extension** if every  $\alpha \in E$  is algebraic over  $F$ .

**Theorem 1.11.** Let  $E/F$  be an extension and  $\alpha \in E$  algebraic over  $F$ . Then there exists a unique monic irreducible polynomial  $P_\alpha$  such that  $P_\alpha(\alpha) = 0$ . We call  $P_\alpha$  the **minimal polynomial** of  $\alpha$ .

*Proof.* Let  $Z_\alpha = \{R \in F[X] : R(\alpha) = 0\}$ . Choose a monic polynomial  $P_\alpha$  in  $Z_\alpha$  such that  $P_\alpha$  has minimal degree. Then  $P_\alpha$  must be unique and irreducible.  $\square$

**Proposition 1.12.** Let  $P \in F[X]$  be a irreducible polynomial of degree  $n$ , define the  $F$ -algebra  $E := F[X]/(P)$ . Then  $E/F$  is a field extension such that  $[E : F] = n$ .

*Proof.*  $(P) \subset F[X]$  is a maximal ideal since  $F[X]$  is a PID. Let  $\pi : F[X] \rightarrow F[X]/(P)$ ,  $a \mapsto \bar{a} = a + (P)$  be the quotient map, then  $1, \bar{X}, \bar{X}^2, \dots, \bar{X}^{n-1}$  form a basis of the extension.  $\square$

**Theorem 1.13.** Let  $F(\alpha)/F$  be a simple extension with  $\alpha$  algebraic over  $F$ . Then there is a canonical isomorphism of fields  $F[X]/P_\alpha \rightarrow F(\alpha)$  by sending  $\bar{X}$  to  $\alpha$ .

*Proof.* The embedding  $F[X]/P_\alpha \rightarrow F(\alpha)$  is induced by the universal property. We see that it is an isomorphism by counting dimension.  $\square$

**Corollary 1.14.** Let  $\alpha$  and  $\beta$  be distinct roots of  $P_\alpha$ , then there is a canonical isomorphism  $F(\alpha) \rightarrow F(\beta)$  sending  $\alpha$  to  $\beta$ .

**Theorem 1.15.** An extension  $E/F$  is finite if and only if it is a finitely generated algebraic extension.

## 1.3 Algebraic closure

**Definition 1.16.** We say a field  $E$  is **algebraic closed** if any algebraic extension of  $E$  is trivial. Equivalently,  $E$  is algebraically closed if any non-constant  $P \in E[X]$  has a root.

**Definition 1.17.** Let  $\bar{F}/F$  be an algebraic extension. We call  $\bar{F}$  the **algebraic closure** of  $F$  if  $\bar{F}$  is algebraically closed.

**Theorem 1.18** (E.Steinitz). For any field  $F$ , its algebraic closure  $\bar{F}$  exists and is unique up to  $F$ -isomorphisms.

**Lemma 1.19.** *Consider a finite extension  $F(\alpha)$  generated by a single element  $\alpha$  (whose minimal polynomial is denoted by  $P_\alpha$ ) and fix an algebraic closure  $\overline{F}/F$  of  $F$ . Then there is a bijection*

$$\text{hom}_F(F(\alpha), \overline{F}) \Leftrightarrow \{\beta \in \overline{F} : P_\alpha(\beta) = 0\}$$

*Proof.* View  $F(\alpha)$  as the quotient algebra  $F[X]/P_\alpha$  and apply its universal property.  $\square$

**Remark 1.20.** From the lemma we can see that

$$|\text{hom}_F(F(u), \overline{F})| \leq \deg P_\alpha = [F(\alpha) : F] \quad (1)$$

The equality holds iff  $P_\alpha$  has no multiple roots.

**Theorem 1.21.** *Let  $\overline{F}/F$  be an algebraic closure and  $E/F$  be arbitrary algebraic extension. Then there exists  $F$ -embeddings  $\iota \in \text{hom}_F(E, \overline{F})$ . When  $E/F$  is finite we have  $|\text{hom}_F(E, \overline{F})| \leq [E : F]$ .*

*Proof.* Let  $E = F(x_1, \dots, x_n)$ . By repeated use of inequality (1) we have

$$|\text{hom}_F(F(x_1, \dots, x_n), \overline{F})| \leq \prod_{i=1}^n [F(x_1, \dots, x_i) : F(x_1, \dots, x_{i-1})] = [E : F],$$

$\square$

## 1.4 Normal extensions

**Definition 1.22.** Let  $\mathcal{P} \subset F[X]$  be a family of non-constant polynomials. If  $E/F$  satisfies

1. Every  $P \in \mathcal{P}$  factors into linear factors over  $E$ . That is  $P = c_P \prod_{j=1}^{n_P} (X - \alpha_{P,j})$  where  $c_P \in F^\times, \alpha_{P,j} \in E$ .
2. All roots  $\{\alpha_{P,j} : P \in \mathcal{P}, 1 \leq j \leq n_P\}$  generate  $E$  over  $F$ .

then we call  $E$  the **splitting fields** of  $\mathcal{P}$  over  $F$ .

**Theorem 1.23.** *Let  $\mathcal{P} \subset F[X]$  be a family of non-constant polynomials. Then the splitting field of  $\mathcal{P}$  exists. If  $P \in F[X]$  is a non-constant polynomial of degree  $n$  and  $E$  is the splitting field of  $P$ , then  $[E : F] \leq n!$ .*

**Lemma 1.24.** *For an algebraic extension  $L/F$ , any  $F$ -embeddings  $\iota : L \rightarrow L$  is an isomorphism of fields. That is  $\text{End}_F(L) = \text{Aut}_F(L)$ .*

*Proof.* It suffices to show that  $\iota$  is surjective. Take  $y \in L$  and  $P_y \in F[X]$  be its minimal polynomial. Let  $\{y = y_1, \dots, y_n\} \subset L$  be the set of roots of  $P_y$  in  $L$ . Since  $\iota$  induces a permutation over  $\{y_1, \dots, y_n\}$ , thus  $y \in \text{im}(\iota)$ .  $\square$

**Definition 1.25.** A field extension  $E/F$  is called **normal** if it is a splitting field of some  $\mathcal{P} \subset F[X]$  consisting of non-constant polynomials.

**Theorem 1.26.** *TFAE:*

1.  $E/F$  is a normal extension,
2. If an irreducible polynomial  $P \in F[X]$  has a root in  $E$ , then it splits into a product of linear factors over  $E$ ,
3. Fix an algebraic closure  $\overline{F}/E$  and view  $E$  as a subfield of  $\overline{F}$ . Then any  $\iota \in \text{hom}_F(E, \overline{F})$  satisfies  $\iota(E) = E$ .

**Proposition 1.27.** *Let  $L/F$  be a normal extension,  $E/F$  its sub-extension, then any  $\iota \in \text{hom}_F(E, L)$  can be extended to some  $\tilde{\iota} \in \text{Aut}_F(L)$*

*Proof.* Fix an algebraic closure  $\overline{F}$  of  $F$  and view  $L$  as a subfield of  $\overline{F}$ . Then we can always extend a  $\iota \in \text{hom}_F(E, L) \subset \text{hom}_F(E, \overline{F})$  to some  $\tilde{\iota} \in \text{hom}_F(L, \overline{F})$  by Theorem 1.21. Normality guarantees that  $\text{hom}_F(L, \overline{F}) = \text{End}_F(L)$  and Theorem 1.24 guarantees that  $\text{End}_F(L) = \text{Aut}_F(E)$ .  $\square$

## 1.5 Separable extensions

**Definition 1.28.** An irreducible polynomial  $P \in F[X]$  is called **separable** if it has no multiple roots in its splitting field. An algebraic element  $\alpha \in E$  in an extension  $E/F$  is **separable** if its minimal polynomial is separable.

**Definition 1.29.** We say that an algebraic extension  $E/F$  is **separable** if for all  $x \in E$  the minimal polynomial  $P_x$  of  $x$  is separable.

**Lemma 1.30.** *A non-zero polynomial  $P \in F[X]$  has multiple roots (over its splitting field  $L$ ) if and only if  $(P, P') \neq 1$ .*

**Proposition 1.31.** *If  $E/F$  is finite separable, then  $|\text{hom}_F(E, \overline{F})| = [E : F]$ .*

*Proof.* Similar to the proof of Theorem 1.21. But separability guarantees that the equality holds.  $\square$

**Definition 1.32.** Let  $E/F$  be an algebraic extension, then its **separable degree** is defined as  $[E : F]_s := |\text{hom}_F(E, \overline{F})|$ .

**Lemma 1.33.** *Let  $F(x)/F$  be a finite extension and let  $P_x$  be the minimal polynomial of  $x$ . Then  $[F(x) : F]_s$  equals the number of roots of  $P_x$  (without counting multiplicity) in  $\overline{F}$ .*

*Proof.* This is direct from 1.19.  $\square$

**Theorem 1.34.** *For irreducible  $P \in F[X]$ , the following statements are equivalent*

1.  $P$  has multiple roots in the algebraic closure  $\overline{F}$ ,
2.  $P$  has multiple roots in its splitting field,
3.  $P' = 0$ ,

4.  $\text{char}(F) = p > 0$ , and  $P$  has the form  $\sum_{k \geq 0}^n X^{pk}$ .

**Corollary 1.35.** *Let  $F$  be a field with characteristic  $p > 0$ . Then an inseparable irreducible polynomial in  $P \in F[X]$  has the form*

$$P(X) = P^{\natural}(X^{p^m})$$

where  $P^{\natural}$  is separable and of course irreducible.

**Theorem 1.36.** *Let  $E/F$  be a finite extension, then  $[E : F]_s | [E : F]$*

*Proof.* It suffices to prove this for simple extensions. Let  $F(x)/F$  be a finite simple extension and we write  $P_x$  as

$$P_x(X) = P_x^{\natural}(X^{p^m})$$

Then by Lemma 1.33  $[E : F]_s = \deg P_x^{\natural}(X)$ . So obviously  $\deg P_x^{\natural} | \deg P_x$  and the latter is  $[E : F]$ .  $\square$

**Definition 1.37.** The number  $\frac{[E:F]}{[E:F]_s}$  is called the **inseparable degree** and is denoted by  $[E : F]_i$ .

**Definition 1.38.** We say that a field  $F$  is **perfect** if any algebraic extension of  $F$  is separable.

**Proposition 1.39.** *The following fields are perfect:*

1. *Fields of characteristic 0,*
2. *Finite fields.*

*Proof.* Fields of characteristic 0 are obviously perfect. For finite fields see Theorem 4.2.  $\square$

**Definition 1.40.** We say that a field  $L$  is **separably closed** if any separable irreducible polynomial in  $L[X]$  has a root in  $L$ . If  $E/F$  is algebraic and  $E$  is separably closed then  $E$  is called a **separable closure** of  $F$ , which we denote by  $F^{\text{sep}}$ .

**Proposition 1.41.** *The separable closure  $F^{\text{sep}}/F$  is a normal extension.*

*Proof.*  $F^{\text{sep}}$  can be identified with the splitting field of all separable polynomials over  $F$ .  $\square$

## 1.6 Trace and norm

Let  $E/F$  be a finite extension.

**Definition 1.42.** For  $\alpha \in E$ , we define the map  $m_\alpha : E \rightarrow E$ ,  $\beta \mapsto \alpha\beta$  which is  $F$ -linear. The **trace** of  $\alpha$  is defined to be  $\text{tr}_{E/F}(\alpha) = \text{tr}(m_\alpha) \in F$ . The **norm** of  $\alpha$  is defined to be  $N_{E/F}(\alpha) = \det(m_\alpha) \in F$ .

**Lemma 1.43.** Let  $E = F(\alpha)$  with  $\alpha$  algebraic such that  $P_\alpha(X) = X^n + a_{n-1}X^{n-1} + \dots + a_0$  to be the minimal polynomial of  $\alpha$ . Then  $\text{tr}_{E/F}(\alpha) = -a_{n-1}$  and  $N_{E/F}(\alpha) = (-1)^n a_0$ .

**Theorem 1.44.** For finite extension  $E/F$  and  $x \in E$ , fix an algebraic closure  $\overline{F}|F$ , then we have

$$N_{E/F}(x) = \prod_{\sigma \in \text{hom}_F(E, \overline{F})} \sigma(x)^{[E:F]_i}$$

$$\text{tr}_{E/F}(x) = [E:F]_i \sum_{\sigma \in \text{hom}_F(E, \overline{F})} \sigma(x)$$

where  $[E:F]_i$  is the inseparable degree.

**Corollary 1.45.** Let  $E/F$  be a finite separable extension. Then we have

$$N_{E/F}(x) = \prod_{\sigma \in \text{hom}_F(E, \overline{F})} \sigma(x)$$

$$\text{tr}_{E/F}(x) = \sum_{\sigma \in \text{hom}_F(E, \overline{F})} \sigma(x)$$

These formulas are quite useful in algebraic number theory. We will demonstrate a few applications. First recall Dedekind's theorem on characters:

**Theorem 1.46** (Dedekind-Artin). Let  $\Gamma$  be a monoid and  $R$  be a commutative domain. Then  $\text{hom}(\Gamma, (R, \times))$  is  $R$ -linearly independent. That is to say, if  $\chi_1, \dots, \chi_n : \Gamma \rightarrow (R, \times)$  are distinct homomorphism and  $r_1, \dots, r_n \in R$  such that  $\sum_{i=1}^n r_i \chi_i(g) = 0$  for all  $g \in \Gamma$ , then  $r_i = 0$  for all  $i$ .

*Proof.* By induction on  $n$ . For  $n = 1$ , it suffices to take  $g = 1$ . For  $n \geq 2$  it suffices to show that  $r_n = 0$ . Choose  $h \in \Gamma$  such that  $\chi_1(h) \neq \chi_n(h)$ . Then

$$\sum_{i=2}^n r_i (\chi_i(h) - \chi_1(h)) \chi_i(g) = \sum_{i=1}^n r_i \chi_i(hg) - \chi_1(h) \sum_{i=1}^n r_i \chi_i(g) = 0.$$

By induction hypothesis,  $r_n(\chi_n(h) - \chi_1(h)) = 0$  which implies  $r_n = 0$ . □

**Theorem 1.47.** If  $L/K$  is finite separable, then the bilinear form  $\text{Tr} : L \times L \rightarrow K$ , sending  $(x, y)$  to  $\text{tr}_{L/K}(x, y)$  is non-degenerate.



*Proof.* If  $\forall y \in L$  we have  $\text{Tr}_{L/K}(xy) = 0$ , then

$$\sum_{i=1}^n \sigma_i(xy) = \sum_{i=1}^n \sigma_i(x)\sigma_i(y) = 0, \quad \forall y \in L.$$

Apply Theorem 1.46 to  $\Gamma = L^\times$ , we obtain  $\sigma_i(x) = 0$  for all  $i$ . Hence  $x = 0$ .  $\square$

## 1.7 Purely inseparable extensions

**Definition 1.48.** Let  $E/F$  be an extension and  $x \in E$  be algebraic over  $F$ . If the minimal polynomial of  $x$  has the form  $P_x = X^{p^m} - a \in F[X]$ , then we say that  $x$  is **purely inseparable** over  $F$ .

**Definition 1.49.** An algebraic extension is **purely inseparable** if it is generated by a family of purely inseparable elements.

**Corollary 1.50.** *A purely inseparable extension is normal.*

**Lemma 1.51.** *If an algebraic extension  $E/F$  is both separable and purely inseparable, then  $[E : F] = 1$ .*

**Example 1.52.** If  $K/F$  be an extension and  $\alpha \in K$  is separable over  $F$ ,  $\beta \in K$  is purely inseparable over  $F$ , then  $F(\alpha, \beta) = F(\alpha + \beta)$ . This is because the extension  $F(\alpha, \beta) = F(\alpha + \beta)(\alpha) = F(\alpha + \beta)(\beta)$  is both separable and purely inseparable over  $F(\alpha + \beta)$ .

## 1.8 Transcendental extension

**Definition 1.53.** Let  $\Omega/F$  be an extension. A subset  $\chi \subset \Omega$  is called **algebraically independent** over  $F$  if the following condition is satisfied: for all  $n \geq 1$  and distinct  $n$  elements  $x_1, \dots, x_n \in \chi$  and polynomial  $P \in F[X_1, \dots, X_n]$ , we have

$$P(x_1, \dots, x_n) = 0 \Leftrightarrow P = 0.$$

**Lemma 1.54.** *Any extension  $\Omega/F$  has maximal algebraically independent subset.*

*Proof.* Any chain of algebraically independent subsets of  $\Omega$  has an upper bound by taking the union. We conclude by Zorn's lemma.  $\square$

**Definition 1.55.** A maximal algebraically independent subset of  $\Omega$  over  $F$  is a transcendental basis of the extension  $\Omega/F$ .

Let  $\mathcal{B}$  be a transcendental basis of  $\Omega/F$ . From the definition of transcendental basis we see that

- The subextension  $F(\mathcal{B})/F$  can be identified with the field of rational functions over the set  $\mathcal{B}$ .
- $\Omega/F(\mathcal{B})$  is an algebraic extension.

- Conversely, any subset of  $\Omega$  satisfying the above two properties is a transcendental basis.

**Lemma 1.56.** *Let  $\mathcal{B}, \mathcal{B}'$  be transcendental basis of  $\Omega/F$ . If  $\mathcal{B}$  is infinite then  $|\mathcal{B}'| \geq |\mathcal{B}|$ .*

**Lemma 1.57** (Exchange property). *Let  $\mathcal{B}, \mathcal{B}'$  be two finite transcendental basis of  $\Omega/F$ ,  $b' \in \mathcal{B}' \setminus \mathcal{B}$ , then there exists  $b \in \mathcal{B} \setminus \mathcal{B}'$  such that  $(\mathcal{B}' \setminus \{b'\}) \cup \{b\}$  is still a transcendental basis.*

**Theorem 1.58.** *Let  $\mathcal{B}, \mathcal{B}'$  be two transcendental basis of  $\Omega/F$ , then we have  $|\mathcal{B}| = |\mathcal{B}'|$ .*

**Definition 1.59.** The cardinality of a transcendental basis of  $\Omega/F$  is called the **transcendental degree** of  $\Omega/F$ , which is denoted by  $\text{tr.deg}(\Omega/F)$ .

**Corollary 1.60.** *Let  $\Omega_1, \Omega_2$  are extensions of  $F$  and are algebraically closed. Then there is an isomorphism of  $F$ -algebras  $\Omega_1 \cong \Omega_2$  if and only if  $\text{tr.deg}(\Omega_1/F) = \text{tr.deg}(\Omega_2/F)$ .*

**Example 1.61.** Contrary to  $\mathbb{R}$ , whose only endomorphism is  $\text{Id}$ ,  $\mathbb{C}$  is isomorphic to infinitely many subfields of itself. Let  $\mathcal{B}$  be a transcendental basis of  $\mathbb{C}$  over  $\mathbb{Q}$ , which must be infinite. Then there is a bijection  $\alpha : \mathcal{B} \rightarrow \mathcal{B}'$  with  $\mathcal{B}'$  is a proper subset of  $\mathcal{B}$ . Let  $\mathbb{C}'$  be the algebraic closure of  $\mathbb{Q}(\mathcal{B}')$  then  $\mathbb{C} \cong \mathbb{C}'$ .

## 2 Galois theory

### 2.1 Finite Galois correspondence

**Definition 2.1.** An extension  $E/F$  is called **Galois** if it is normal and separable. The group  $\text{Aut}_F(E)$  is called the **Galois group** of  $E$  over  $F$ , which is denoted by  $\text{Gal}(E/F)$ .

**Lemma 2.2.** *Let  $E/F$  be a finite Galois extension. Then  $|\text{Gal}(E/F)| = [E : F]$ .*

*Proof.* Separability implies  $|\text{hom}_F(E, \overline{F})| = [E : F]$ . Normality implies  $\text{hom}_F(E, \overline{F}) = \text{Aut}_F(E)$ .  $\square$

For a given extension  $E/F$  we introduce a pair of basic operations:

- To each subgroup  $H$  of  $\text{Aut}_F(E)$  we attach the corresponding **fixed field**  $E^H$ :

$$E^H := \{\alpha \in E : \forall \tau \in H, \tau(\alpha) = \alpha\}.$$

- To any subextension  $K/F$  of  $E$  we attach the subgroup  $\text{Aut}_K(E)$  of  $\text{Aut}_F(E)$ .

Obviously we have the relation relation:

$$H_1 \subset H_2 \Rightarrow E^{H_2} \subset E^{H_1}$$

$$K_1 \subset K_2 \Rightarrow \text{Aut}_{K_2}(E) \subset \text{Aut}_{K_1}(E).$$

**Lemma 2.3.** *Let  $E/K/F$  be a tower a field extension, then for  $\sigma \in \text{Aut}_F(E)$  we have*

$$\text{Aut}_{\sigma(K)}(E) = \sigma \text{Aut}_K(E) \sigma^{-1}$$

**Lemma 2.4.** *For a Galois extension  $E/F$  we have  $E^{\text{Gal}(E/F)} = F$ , and the map  $K \mapsto \text{Aut}_K(E) = \text{Gal}(E/K)$  is injective.*

*Proof.* Obviously  $F \subset E^{\text{Gal}(E/F)}$ . Take  $x \in E^{\text{Gal}(E/F)}$ , and denote its minimal polynomial by  $P_x$ . Then  $P_x$  has no multiple roots and splits into linear factors. Choose a root  $y$  of  $P_x$  then there is a canonical isomorphism  $\iota : F(x) \rightarrow F(y)$ . We can extend it to some  $\sigma \in \text{Gal}(E/F)$  which sends  $x$  to  $y$ . We immediately conclude that  $y = x$  and so  $P_x$  is linear.  $\square$

**Lemma 2.5** (E.Artin). *Let  $E$  be a field,  $H$  a finite subgroup of  $\text{Aut}(E)$ , then  $E/E^H$  is Galois and  $\text{Gal}(E/E^H) = H$ .*

*Proof.* Take  $x \in E$  and let  $\mathcal{O} = \{\tau(x) : \tau \in H\}$ , i.e. the orbit of  $x$  under  $H$ . Let  $Q_x(X) = \prod_{y \in \mathcal{O}} (X - y)$ , then  $Q_x \in E^H(X)$  and  $Q_x(x) = 0$ . Since  $Q_x$  splits over  $E$  and has no multiple roots, we know that  $E/E^H$  is Galois. Moreover  $\deg Q_x = |\mathcal{O}| \leq |H|$ .

Obviously  $H \leq \text{Gal}(E/E^H)$ . It suffices to show that  $[E : E^H] \leq |H|$ . We know that for any  $x \in E$ ,  $[E^H(x) : E^H] \leq |H|$ . Take that  $x \in E$  such that  $[E^H(x) : E^H]$  is maximal, then we must have  $E = E^H(x)$ . Otherwise take  $y \in E - E^H(x)$  then we have

$$E^H(x, y) \supsetneq E^H(x) \supset E^H$$

However, since  $E^H(x, y)$  is finite separable we can write it as the form  $E^H(z)$  which contradicts with the choice of  $x$ .  $\square$

**Theorem 2.6** (Finite Galois correspondence). *Let  $E/F$  be a finite Galois extension.*

1. *There are mutually inverse bijections:*

$$\begin{aligned} \{\text{intermediate fields}\} &\xleftrightarrow{\sim} \{\text{subgroups of } \text{Gal}(E/F)\} \\ E/K/F &\longmapsto \text{Gal}(E/K) \\ E^H &\longleftarrow H \leq \text{Gal}(E/F) \end{aligned}$$

*which are order-reversing,*

2. *For any intermediate field  $K$  and  $\sigma \in \text{Gal}(E/F)$ , we have*

$$\text{Gal}(E/\sigma(K)) = \sigma \text{Gal}(E/K) \sigma^{-1}$$

*the extension  $K/F$  is Galois if and only if  $\text{Gal}(E/K) \triangleleft \text{Gal}(E/F)$ ,*

3. Furthermore, we have a bijection

$$\begin{aligned}\Phi : \text{Gal}(E/F)/\text{Gal}(E/K) &\xrightarrow{\sim} \text{hom}_F(K, E) \\ \sigma \cdot \text{Gal}(E/K) &\longmapsto \sigma|_K\end{aligned}$$

between pointed sets. It induces a group isomorphism  $\text{Gal}(E/F)/\text{Gal}(E/K) \xrightarrow{\sim} \text{Gal}(K/F)$  when  $K/F$  is Galois.

*Proof.* The first two statements are simple translations of Lemma 2.4, 2.5 and 2.3. For third statement,  $\sigma, \sigma'$  satisfies  $\sigma|_K = \sigma'|_K$  if and only if  $\sigma^{-1}\sigma'|_K = \text{Id}_K$  so  $\Phi$  is injective. Surjectivity follows from Proposition 1.27.  $\square$

**Theorem 2.7.** Let  $E_1, E_2 \subset \overline{F}$  be subfields and  $E_1/F, E_2/F$  be Galois extensions. Then the canonical embedding  $\iota : \text{Gal}(E_1E_2/F) \rightarrow \text{Gal}(E_1/F) \times \text{Gal}(E_2/F)$  is an isomorphism if and only if  $E_1 \cap E_2 = F$ .

*Proof.*  $\Leftarrow$ : when  $E_1 \cap E_2 = F$ , we can construct a reverse map  $\chi : \text{Gal}(E_1/F) \times \text{Gal}(E_2/F) \rightarrow \text{Gal}(E_1E_2/F)$  by sending  $(\sigma, \tau)$  to  $\tilde{\sigma}\tilde{\tau}$ . Here  $\tilde{\tau}$  is the unique field automorphism such that  $\tilde{\tau}|_{E_2} = \tau$  and  $\tilde{\tau}|_{E_1} = \text{Id}$ . Such an extension is possible only when  $E_1 \cap E_2$  is trivial.  $\tilde{\sigma}$  is defined by a similar extension. Obviously  $\tilde{\tau}$  and  $\tilde{\sigma}$  commute with each other so  $\chi$  is really a group homomorphism. It is direct to see that  $\chi$  and  $\iota$  are reverse to each other.

$\Rightarrow$ : If  $(\sigma, \tau)$  lies in the image of  $\iota$ , then  $\sigma|_{E_1 \cap E_2} = \tau|_{E_1 \cap E_2}$ . Since  $\iota$  is an isomorphism, for all  $(\sigma, \tau) \in \text{Gal}(E_1/F) \times \text{Gal}(E_2/F)$  we have  $\sigma|_{E_1 \cap E_2} = \tau|_{E_1 \cap E_2}$  and thus  $\sigma|_{E_1 \cap E_2} = \tau|_{E_1 \cap E_2} = \text{Id}$ . So  $\text{Gal}(E_1E_2/F)|_{E_1 \cap E_2} = \text{Id}$ . Therefore  $E_1 \cap E_2 = F$ .  $\square$

The converse of the above theorem is also true:

**Theorem 2.8.** Let  $E/F$  be an Galois extension with Galois group  $G$ . Suppose that there are subgroups  $H_1, H_2 \leq G$  such that  $G = H_1 \times H_2$ . Let  $E_1 = E^{H_1}$ ,  $E_2 = E^{H_2}$  then we have

1.  $E_1/F$  and  $E_2/F$  are Galois extensions such that  $\text{Gal}(E_1/F) = H_2$ ,  $\text{Gal}(E_2/F) = H_1$ ;
2.  $E_1E_2 = E$ ;
3.  $E_1 \cap E_2 = F$ .

*Proof.* The first statement is obvious since  $H_1$  and  $H_2$  are normal subgroups of  $G$  and  $G/H_1 \cong H_2$ ,  $G/H_2 \cong H_1$ . The second statement follows from the fact that  $H_1 \cap H_2 = 1$ . The third statement follows from the fact  $H_1H_2 = G$ .  $\square$

## 2.2 Infinite Galois correspondence

**Definition 2.9** (Krull topology). For a Galois extension  $E/F$ , we can equip  $\text{Gal}(E/F)$  with a topology structure such that the neighbourhood basis at an arbitrary element  $\sigma$  has the following form:

$$\sigma \text{Gal}(E/K), \quad K/F : \text{finite Galois subextension}$$

This topology structure is called the **Krull topology** over  $\text{Gal}(E/F)$ .

**Lemma 2.10.** *For any Galois extension  $E/F$ , the topological group  $\text{Gal}(E/F)$  is a profinite group in the sense of Definition A.7. More explicitly, there is an isomorphism of topological groups*

$$\text{Gal}(E/F) \xrightarrow{\sim} \varprojlim_{K/F} \text{Gal}(K/F)$$

Here the limit is taken over all finite Galois subextensions  $K/F$ .

**Lemma 2.11.** *For any finite subextension  $K/F$  with  $K \subset E$ , the subgroup  $\text{Gal}(E/K)$  is open.*

*Proof.* Firstly we notice that for every  $\alpha \in E$ , the stabilizer  $\text{Stab}(\alpha)$  is open. Indeed,  $\alpha$  lies in some finite Galois extension  $L/F$ . For example we may take  $L$  to be the normal closure of  $F(\alpha)$ . So  $\text{Stab}(\alpha) \supset \text{Gal}(E/L)$  and  $\text{Gal}(E/L)$  is open in  $\text{Gal}(E/F)$ . So  $\text{Stab}(\alpha)$  is still open by Lemma A.5. Since  $K/F$  is finite we may write  $K = F(x_1, \dots, x_n)$  and so  $\text{Gal}(E/K) = \bigcap_{i=1}^n \text{Stab}(x_i)$  and so  $\text{Gal}(E/K)$  is open.  $\square$

**Lemma 2.12.** *For any subextension  $K/F$ , the subgroup  $\text{Gal}(E/K)$  is closed.*

*Proof.* Similar to Lemma 2.11. Note that  $\text{Stab}(\alpha)$  is also closed by Lemma A.5. Then  $\text{Gal}(E/K) = \bigcap_{x \in K} \text{Stab}(x)$ .  $\square$

**Lemma 2.13.** *The topological group  $G := \text{Gal}(E/F)$  satisfies the following property*

1.  $G$  is a compact Hausdorff space. When  $E/F$  is finite then it is equipped with discrete topology,
2. Any open subgroup  $H$  is also closed such that  $(G : H) < \infty$ ,
3. If we equip  $E$  with discrete topology, then the action map  $\text{Gal}(E/F) \times E \rightarrow E$  is continuous.

**Lemma 2.14.** *Let  $H$  be a subgroup of  $G$ . Then  $\text{Gal}(E/E^H) = \overline{H}$  is the closure of  $H$ .*

*Proof.* The direction  $\overline{H} \subset \text{Gal}(E/E^H)$  is easy. Let  $\sigma \in \text{Gal}(E/E^H)$ , by the definition of Krull topology it suffices to show that for every intermediate field  $K$  of  $E/F$  such that  $K/F$  is finite Galois,  $\sigma \text{Gal}(E/K) \cap H \neq \emptyset$ . Let  $\phi : \text{Gal}(E/F) \rightarrow \text{Gal}(K/F)$  be the restriction map. Since  $\phi(\sigma)$  fixes  $K^{\phi(H)} = K \cap E^H$ , we know that  $\phi(\sigma) \in \phi(H)$ .  $\square$

**Corollary 2.15.** *Let  $H$  be a closed subgroup of  $G$ , then  $\text{Gal}(E/E^H) = H$ .*

**Theorem 2.16** (Infinite Galois correspondence). *Let  $E/F$  be Galois. Then*

1. *There are mutually inverse bijections:*

$$\begin{aligned} \{\text{intermediate fields}\} &\xleftrightarrow{\sim} \{\text{closed subgroups of } \text{Gal}(E/F)\} \\ E/K/F &\longmapsto \text{Gal}(E/K) \\ E^H &\longleftarrow H \leq \text{Gal}(E/F) \end{aligned}$$

*which are order-reversing, and  $G$ -equivariant. As a result normal closed subgroups correspond to Galois subextensions.*

2. *For any intermediate fields  $K$  there is a bijection*

$$\begin{aligned} \text{Gal}(E/F)/\text{Gal}(E/K) &\xrightarrow{\sim} \text{hom}_F(K, E) \\ \sigma \cdot \text{Gal}(E/K) &\longmapsto \sigma|_K \end{aligned}$$

*Moreover when  $K/F$  is Galois, there is an isomorphism of topological groups*

$$\text{Gal}(E/F)/\text{Gal}(E/K) \xrightarrow{\sim} \text{Gal}(K/F)$$

*where the LHS is equipped the quotient topology.*

3. *Open subgroups correspond to finite subextensions.*

### 3 Computation of Galois group

#### 3.1 Galois group of polynomials

Let  $f \in F[X]$  be a separable polynomial and  $E/F$  be the splitting field of  $F$ . Then  $E/F$  is Galois. We use  $G_f$  to denote its Galois group. Since  $G$  permute all roots of  $f$ , it can be viewed as a subgroup of  $S_n$  where  $n = \deg f$ .

**Theorem 3.1.**  *$G_f$  acts transitively on the set of roots of  $f$  if and only if  $f(X)$  is irreducible.*

*Proof.* Two elements are in the same orbit if and only if they have the same minimal polynomial.  $\square$

**Definition 3.2.** Consider a monic polynomial  $f(X) = X^n + a_{n-1}X^{n-1} + \dots + a_0$  and  $f(X) = \prod_{i=1}^n (X - \alpha_i)$  in some splitting field. Set

$$\Delta(f) = \prod_{1 \leq i < j \leq n} (\alpha_i - \alpha_j), \quad D(f) = (\Delta(f))^2 = \prod_{1 \leq i < j \leq n} (\alpha_i - \alpha_j)^2.$$

$D(f)$  is called the **discriminant** of  $f$ .

$D(f)$  is nonzero if and only if  $f$  is separable.

**Lemma 3.3.** *Let  $f \in f[X]$  be a separable polynomial and let  $\sigma \in G_f$ . Then:*

1.  $\sigma\Delta(f) = \text{sign}(\sigma)\Delta(f)$ , where  $\text{sign}(\sigma)$  is the signature of  $\sigma$ .
2.  $\sigma D(f) = D(f)$ .

**Theorem 3.4.** *Let  $f(X) \in F[X]$  be separable of degree  $n$ . Let  $E$  be a splitting field of  $F$  and let  $G_f$  be the Galois group. Then*

1.  $D(f) \in F$ .
2. *The subfield of  $E$  corresponding to  $A_n \cap G_f$  is  $F[\Delta(f)]$ . Hence by finite Galois correspondence (Theorem 2.6) we have  $G_f \subset A_n \Leftrightarrow \Delta(f) \in F \Leftrightarrow D(f) \in F^{\times 2}$ .*

*Proof.* Obvious from the above lemma. □

### 3.2 Quartic polynomials

Galois group of quadratic and cubic polynomials are easy to compute. We consider quartic polynomials here. In this section we use  $V$  to denote the following normal subgroup of  $S_4$ :

$$V = \{1, (12)(34), (13)(24), (14)(23)\}$$

Let  $f(X)$  be a separable quartic polynomial and  $E$  be a splitting field of  $f(X)$  such that  $f(X) = \prod (X - \alpha_i)$  in  $E$ . Consider the partially symmetric elements

$$\begin{aligned}\alpha &= \alpha_1\alpha_2 + \alpha_3\alpha_4 \\ \beta &= \alpha_1\alpha_3 + \alpha_2\alpha_4 \\ \gamma &= \alpha_1\alpha_4 + \alpha_2\alpha_3\end{aligned}$$

The group  $S_4$  permute  $\{\alpha, \beta, \gamma\}$  transitively. The stabilizer of each of  $\alpha, \beta, \gamma$  must therefore be a subgroup of index 3 in  $S_4$ , and hence has order 8.

**Lemma 3.5.** *The fixed field of  $G_f \cap V$  is  $F[\alpha, \beta, \gamma]$ . Hence  $F[\alpha, \beta, \gamma]$  is Galois over  $F$  with Galois group  $G_f/G_f \cap V$ .*

Let  $M = F[\alpha, \beta, \gamma]$ , and let  $g(X) = (X - \alpha)(X - \beta)(X - \gamma) \in M[X]$ , which is called the **resolvent cubic** of  $f$ . Obviously  $g(X)$  is fixed by  $G_f$  and thus it has coefficients in  $F$ .

**Theorem 3.6.** *Let  $f \in F[X]$  be an irreducible separable quartic polynomial and  $M = F[\alpha, \beta, \gamma]$ . Then*

- *If  $[M : F] = 1$ , then  $G_f = V$ ;*
- *If  $[M : F] = 2$ , then  $G_f$  is conjugate to  $C_4$  or  $D_4$ ; moreover, in this case,  $G$  is conjugate to  $D_4$  if and only if  $f$  remains irreducible in  $M$ .*
- *If  $[M : F] = 3$ , then  $G = A_4$ ;*

- If  $[M : F] = 6$ , then  $G = S_4$ .

**Example 3.7.** Assume that  $\text{char}(F) \neq 2$ . Let  $P(X) = X^4 + cX^2 + e \in F[X]$  be an irreducible polynomial. Then,

1. If  $e$  is a square in  $F$ , then  $G_P = V$ .
2. If  $e(c^2 - 4e)$  is a square in  $F$ , then  $G$  is conjugate to  $C_4$ .
3. If neither  $e$  nor  $e(c^2 - 4e)$  is a square in  $F$ , then  $G$  is conjugate to  $D_4$ .

**Example 3.8.** Let  $P(X) = X^4 + pX + p$  over  $\mathbb{Q}$  with  $p$  a prime. By Eisenstein's criterion we see that  $P(X)$  is irreducible. The resolvent cubic is  $Q(X) = X^3 - 4pX - p^2$ . When  $p \neq 3, 5$ ,  $Q(X)$  is irreducible over  $\mathbb{Z}$ , and hence is irreducible over  $\mathbb{Q}$ .  $\Delta(Q) = p^3(256 - 27p) \notin (\mathbb{Q}^\times)^2$ , thus  $G_P = S_4$ . When  $p = 3$ ,  $Q(X) = X^3 - 12X - 9 = (X + 3)(X^2 - 3X - 3)$ . Then  $M = \mathbb{Q}(\sqrt{21})$  and  $[M : \mathbb{Q}] = 2$ . In this case  $P(X)$  is irreducible over  $M$  and so  $G_P$  is conjugate to  $D_4$ . When  $p = 5$ ,  $P(X) = X^4 + 5X + 5$  and  $Q(X) = (X - 5)(X^2 + 5X + 5)$ . We have  $[M : \mathbb{Q}] = 2$  but this time  $P(X) = (X^2 + \sqrt{5}X + \frac{5-\sqrt{5}}{2})(X^2 - \sqrt{5}X + \frac{5+\sqrt{5}}{2})$  is reducible over  $M$ . Hence  $G_P$  is conjugate to  $C_4$ .

## 4 Applications of Galois theory

### 4.1 Finite fields

Every finite field must have positive characteristic, otherwise it would contain a copy of  $\mathbb{Q}$ . Let us fix a primes number  $p$  in what follows.

**Theorem 4.1.** *Every finite fields of characteristic  $p$  has cardinality  $q = p^m$  for some  $m \geq 1$ . Moreover, there exists a finite field with  $q$  elements for every  $p$ -power  $q$ , which is unique up to isomorphism.*

*Proof.* As a matter of fact, for a  $p$ -power  $q$  and  $|E| = q$ ,  $E$  can be identified with the splitting field of  $X^q - X$  over  $\mathbb{F}_p$ .  $\square$

We denote a finite field of cardinality  $q$  by  $\mathbb{F}_q$ . The automorphism  $\text{Fr}_q : \mathbb{F}_q \rightarrow \mathbb{F}_q, x \mapsto x^q$  is called the **Frobenius automorphism**.

**Theorem 4.2.** *Let  $E/\mathbb{F}_q$  be an extension of finite fields with characteristic  $p$ . Then  $E/F$  is Galois and  $\text{Gal}(E/F)$  is the cyclic group generated by  $\text{Fr}_q$ .*

*Proof.* Let  $[E : F] = n$ . It suffices to show that  $\text{Fr}_q \in \text{Gal}(E/\mathbb{F}_q)$  is an element of order  $n$ . We know that any element in  $E$  satisfies  $x^{q^n} = x$  so  $\text{Fr}_q^n = \text{Id}_E$ . If there is some  $d|n$  such that  $x^{q^d} = x$ . However there are at most  $q^d$  elements satisfying this equation so  $d = n$ .  $\square$

**Proposition 4.3.** *Let  $F$  be a finite field and  $|F| = q$ . Let  $l$  be a prime number. Then there are  $\frac{q^l - q}{l}$  distinct monic irreducible polynomials of degree  $l$  in  $F[X]$ .*



*Proof.* View  $F$  as a subfield of  $E$  with  $|E| = q^l$ . Introduce the following equivalence relation over  $E \setminus F$ :  $a \sim b$  if and only if  $a$  and  $b$  share the same minimal polynomial. Then we have

1. Each equivalence class has exactly  $l$  distinct elements;
2. There is a canonical bijection between the quotient set  $(E \setminus F)/\sim$  and the set of monic irreducible polynomials in  $F[X]$ .

□

**Proposition 4.4.** *Let  $E/F$  be an extension of finite fields, then the norm map  $N_{E/F} : E^\times \rightarrow F^\times$  is surjective.*

*Proof.* Let  $|F| = q$  and  $[E : F] = l$ . Then

$$N_{E/F}(a) = \prod_{i=0}^{l-1} a^{q^i} = a^{\frac{q^l-1}{q-1}}.$$

The kernel of  $N_{E/F}$  can be identified with the set of roots of the equation  $x^{\frac{q^l-1}{q-1}} = 1$ , and so  $|\text{Ker}(N_{E/F})| \leq \frac{q^l-1}{q-1}$ . So  $|\text{Im}(N_{E/F})| \geq (q^l - 1)/\frac{q^l-1}{q-1} = q - 1$ . □

## 4.2 Cyclotomic extension

**Definition 4.5.** Fix an algebraic closure  $\overline{F}/F$  and  $n \in \mathbb{Z}_{\geq 1}$ . An element  $\zeta$  satisfying  $\zeta^n = 1$  is called an  **$n$ -th root of unity**. Let  $\mu_n(F) \subset F^\times$  denote the group of  $n$ -th roots of unity in  $F$ . We say  $\zeta \in F^\times$  is a **primitive  $n$ -th root of unity** if it has order  $n$ .

If  $\zeta$  is a primitive  $n$ -th root of unity of  $F$ , then  $\text{char}(F) \nmid n$ .

**Proposition 4.6.** *Let  $F(\zeta_n)/F$  be a field extension with  $\zeta_n$  a primitive  $n$ -th root of unity. Then  $F(\zeta_n)/F$  is the splitting field of the separable polynomial  $P(X) = X^n - 1$  over  $F$ , and thus  $F(\zeta_n)/F$  is Galois. Moreover we have a group embedding  $G = \text{Gal}(F(\zeta_n)/F) \rightarrow (\mathbb{Z}/n\mathbb{Z})^\times$ .*

*Proof.*  $\forall \sigma \in G$ ,  $\sigma(\zeta_n)$  is still a generator of  $\mu_n(F)$ , so that  $\sigma(\zeta_n) = \zeta_n^a$  for some  $a \in (\mathbb{Z}/n\mathbb{Z})^\times$ . □

**Definition 4.7.** The  **$n$ -th cyclotomic polynomial** is defined as  $\Phi_n(X) = \prod_{\zeta} (X - \zeta)$  where  $\zeta$  runs over the set of  $n$ -th primitive root of unity. It is obvious that  $\deg(\Phi_n) = \varphi(n)$ .

**Lemma 4.8.** *The following equality holds:*

$$\prod_{d|n} \Phi_d = X^n - 1.$$

*Proof.* It follows from the formula  $X^n - 1 = \prod_{\zeta \in \mu_n} (X - \zeta)$ .  $\square$

**Definition 4.9.** We have the following:

$$\Phi_n = \prod_{d|n} (X^d - 1)^{\mu(n/d)}$$

where  $\mu$  is the Möbius function, see Theorem B.4.

*Proof.* By applying Möbius inversion formula.  $\square$

**Lemma 4.10.** Let  $F$  be a field of characteristic 0 or  $p$  not dividing  $n$ , and let  $\zeta$  be a primitive  $n$ -th root of unity in some extension of  $F$ . TFAE

1. The  $n$ -th cyclotomic polynomial  $\Phi_n$  is irreducible,
2. The degree  $[F[\zeta] : F] = \varphi(n)$ ,
3. The injective homomorphism

$$\text{Gal}(F[\zeta]/F) \rightarrow (\mathbb{Z}/n\mathbb{Z})^\times$$

is an isomorphism.

**Theorem 4.11.**  $\Phi_n$  is irreducible over  $\mathbb{Q}[X]$ .

**Corollary 4.12.**  $\text{Gal}(\mathbb{Q}(\zeta_n) : \mathbb{Q}) \cong (\mathbb{Z}/n\mathbb{Z})^\times$ .

**Example 4.13.** Let  $E = \mathbb{Q}(\zeta_p)$  with  $p \neq 2$  a prime. Then  $N_{E/\mathbb{Q}}(1 - \zeta_p) = \prod_{i=1}^{p-1} (1 - \zeta_p^i) = \Phi_p(1) = p$ .

Given  $m, n \in \mathbb{Z}_+$ , let  $(m, n)$  denote their greatest common divisor and  $[m, n]$  denote their least common multiple.

**Proposition 4.14.** We have  $\mathbb{Q}(\zeta_m)\mathbb{Q}(\zeta_n) = \mathbb{Q}(\zeta_m, \zeta_n) = \mathbb{Q}(\zeta_{[m, n]})$ .

*Proof.* Let  $\zeta_{mn}$  be a primitive  $mn$ -th root of unity, then we choose  $\zeta_m = (\zeta_{mn})^n$  and  $\zeta_n = (\zeta_{mn})^m$ . Therefore,

$$\zeta_m^{\mathbb{Z}} \zeta_n^{\mathbb{Z}} = \zeta_{mn}^{(m, n)\mathbb{Z}} = \zeta_{mn/(m, n)}^{\mathbb{Z}} = \zeta_{[m, n]}^{\mathbb{Z}}.$$

$\square$

**Proposition 4.15.** If  $(m, n) = 1$ , then  $\mathbb{Q}(\zeta_m) \cap \mathbb{Q}(\zeta_n) = \mathbb{Q}$ .

*Proof.* Define  $G_N := \text{Gal}(\mathbb{Q}(\zeta_N)/\mathbb{Q})$ . Then there is a canonical map  $\iota : G_{mn} \cong (\mathbb{Z}/mn\mathbb{Z})^\times \rightarrow G_m \times G_n \cong (\mathbb{Z}/m\mathbb{Z})^\times \times (\mathbb{Z}/n\mathbb{Z})^\times$ . Since  $(m, n) = 1$  by Chinese remainder theorem  $\iota$  is an isomorphism. We conclude by Theorem 2.7.  $\square$

**Lemma 4.16.** *Let  $F$  be a field containing a primitive  $n$ -th root of unity. Then*

$$\sum_{\zeta \in \mu_n^{\text{prim}}} \zeta = \mu(n), \quad \prod_{\zeta \in \mu_n^{\text{prim}}} \zeta = \begin{cases} 1 & n \neq 2, \\ -1 & n = 2. \end{cases}$$

where  $\mu_n^{\text{prim}}$  denotes the set of primitive  $n$ -th root of unity in  $F$  and  $\mu(n)$  is the Möbius function.

*Proof.* Let  $f(n) = \sum_{\zeta \in \mu_n^{\text{prim}}} \zeta$ . Then

$$\sum_{d|n} f(d) = \sum_{\zeta \in \mu_n} \zeta = \iota(n)$$

for the definition of  $\iota$ , see Theorem B.4. We see that  $f(n) = \mu(n)$  by the definition of Möbius function.  $\square$

**Theorem 4.17.** *Let  $F$  be a field and  $E = F(\zeta_n)$ , where  $\zeta_n$  is a primitive  $n$ -th root of unity, satisfying  $[E : F] = \varphi(n)$ . Then  $\mu_n^{\text{prim}}(E)$  is  $F$ -linearly independent if and only if  $n$  is square-free.*

*Proof.*  $\Rightarrow$ : Assume that  $n$  is not square-free then  $\mu(n) = 0$ . By above lemma we see that  $\sum_{\zeta \in \mu_n^{\text{prim}}(E)} \zeta = \mu(n) = 0$ , thus  $\mu_n^{\text{prim}}(E)$  is not  $F$ -linearly independent.

$\Leftarrow$ : Since  $n$  is square-free, decompose  $n$  as  $n = p_1 p_2 \dots p_k$  with  $p_i \neq p_j$ ,  $i \neq j$ . We make induction on  $k$ . For  $k = 1$ , that is,  $n = p$  is a prime, we know that  $[F(\zeta_p) : F] = \varphi(p) = p - 1$ . Since  $(1, \zeta_p, \zeta_p^2, \dots, \zeta_p^{p-2})$  is linearly independent,  $(\zeta_p, \zeta_p^2, \dots, \zeta_p^{p-2}, \zeta_p^{p-1})$  must also be linearly independent. Now our conclusion follows from the fact the  $\mu_m^{\text{prim}} \mu_n^{\text{prim}} = \mu_{mn}^{\text{prim}}$  if  $(m, n) = 1$ .  $\square$

### 4.3 Hilbert's theorem 90

**Lemma 4.18.** *Let  $E/F$  be a finite Galois extension and let  $G = \text{Gal}(E/F)$ . For any  $\beta \in E$ ,  $\text{tr}_{E/F}(\sigma(\beta) - \beta) = 0$ . For any  $\beta \in E^\times$ ,  $N_{E/F}(\sigma(\beta)/\beta) = 1$ .*

*Proof.* Direct from Corollary 1.45.  $\square$

A natural question is to ask whether the reverse direction of the lemma is true.

**Definition 4.19.** Let  $E/F$  be a Galois extension. We say that  $E/F$  is

- **abelian**, if  $\text{Gal}(E/F)$  is an abelian group,
- **cyclic**, if  $\text{Gal}(E/F)$  is a cyclic group.

An abelian extension  $E/F$  is called of **exponent**  $n$  if  $\text{Gal}(E/F)$  is a group of exponent  $n$ .

**Theorem 4.20** (Hilbert's Theorem 90). *Let  $E/F$  be a finite cyclic extension and let  $\sigma \in \text{Gal}(E/F)$  be a generator.*

1. If  $\alpha \in E$  satisfies  $\text{tr}_{E/F}(\alpha) = 0$ , then  $\alpha = \sigma(\beta) - \beta$  for some  $\beta \in E^\times$ .
2. If  $\alpha \in E^\times$  satisfies  $N_{E/F}(\alpha) = 1$ , then  $\alpha = \sigma(\beta)/\beta$  for some  $\beta \in E^\times$ .

We will use some terminology from group cohomology, see Appendix C.

**Lemma 4.21.** *Let  $G = \langle \sigma \rangle$  be a cyclic group of order  $n$ . Then we have an isomorphism  $Z^1(G, M) \xrightarrow{\sim} \text{Ker}(N)$ , where  $N : M \rightarrow M$  is defined by  $N(m) = \sum_{g \in G} gm$ . The isomorphism moreover induces an isomorphism  $H^1(G, M) \cong \text{Ker}(N)/\text{Im}(\sigma - \text{Id})$ .*

For  $M = E$  where  $E/F$  is a cyclic Galois extension, then we have  $N = \text{tr}_{E/F}$ . For  $M = E^\times$  we have  $N = N_{E/F}$ . So we have reduced Hilbert's Theorem 90 to the following theorem.

**Theorem 4.22.** *Let  $E/F$  be a finite Galois extension and let  $G = \text{Gal}(E/F)$ . Then  $H^1(G, E) = 0$  and  $H^1(G, E^\times) = 1$ .*

*Proof.* Let  $f : G \rightarrow E^\times$  be a crossed homomorphism. Note that this means  $f(\sigma\tau) = f(\sigma)\sigma(f(\tau))$  for all  $\sigma, \tau \in G$ . Apply Theorem 1.46 to  $\Gamma = E^\times$ ,  $\sum_{\tau \in G} f(\tau)\tau : E^\times \rightarrow E$  is not the zero map. There exists  $x \in E^\times$  such that  $y := \sum_{\tau \in G} f(\tau)\tau(x) \neq 0$ . Then, for every  $\sigma \in G$ ,

$$\sigma(y) = \sum_{\tau \in G} \sigma(f(\tau))\sigma\tau(x) = \sum_{\tau \in G} f(\sigma\tau)f(\sigma)^{-1}\sigma\tau(x) = f(\sigma)^{-1}y.$$

In other words,  $f(\sigma) = \sigma(y^{-1})/y^{-1}$ . □

#### 4.4 Cyclic extensions

Let  $F$  be a field containing a primitive  $n$ -th root of unity with  $n \geq 2$ , and write  $\mu_n$  for the group of  $n$ -th roots of unity in  $F$ . Then  $\mu_n$  is a cyclic subgroup of  $F^\times$  of order  $n$  with generator, say,  $\zeta$ . In this section we classify the cyclic extensions of degree  $n$  of  $F$ .

Consider a field  $E = F(\alpha)$  generated by an element  $\alpha$  whose  $n$ -th power (but no smaller power) is in  $F$ . Then  $\alpha$  is a root of  $X^n - a$  with  $a \notin F^{\times n}$ .

**Lemma 4.23.** *The extension  $F(\alpha)/F$  is Galois. The Galois group is cyclic and is isomorphic to  $\mu_n$ .*

*Proof.* The remaining roots are the elements  $\zeta^i\alpha$ ,  $1 \leq i \leq n-1$ . Since these all lie in  $E$ ,  $E$  is a Galois extension. For every  $\sigma \in G = \text{Gal}(E/F)$ ,  $\sigma(\alpha)$  is also a root of  $X^n - a$ , and so  $\sigma(\alpha) = \zeta^i\alpha$  for some  $i$ . Hence  $\sigma(\alpha)/\alpha \in \mu_n$ . The map

$$G \rightarrow \mu_n, \quad \sigma \mapsto \sigma(\alpha)/\alpha$$

is a homomorphism. It is injective because  $\alpha$  generates  $E$  over  $F$ . If it is not surjective then  $\alpha^d \in F$  for some  $d|n$ ,  $d < n$  which leads to a contradiction. □

The converse of the above lemma is also true, thus we have a complete understanding of cyclic extensions:

**Proposition 4.24.** *Let  $F$  be a field containing a primitive  $n$ -th root of unity with  $n \geq 2$ . Let  $E$  be a Galois extension of  $F$  with cyclic Galois group of order  $n$ , then  $E = F(\alpha)$  for some  $\alpha$  with  $\alpha^n \in F$  and no smaller powers of  $\alpha$  lies in  $F$ .*

*Proof.* Let  $\sigma$  generate  $G$  and let  $\zeta$  generate  $\mu_n$ . As  $1, \sigma, \dots, \sigma^{n-1}$  are distinct homomorphisms  $F^\times \rightarrow F^\times$ , Theorem 1.46 shows that  $\sum_{i=0}^{n-1} \zeta^i \sigma^i$  are distinct homomorphisms is not the zero function, and so there is a  $\gamma$  such that  $\alpha := \sum \zeta^i \sigma^i \gamma \neq 0$ . Now  $\sigma \alpha = \zeta^{-1} \alpha$ . □

## 4.5 Kummer theory and Artin-Schreier theory

Let  $F$  be a field containing a primitive  $n$ -th root of unity and  $E/F$  be a finite Galois extension with Galois group  $G$ . From the exact sequence

$$1 \rightarrow \mu_n \longrightarrow E^\times \longrightarrow E^{\times n} \rightarrow 1$$

we obtain a cohomology sequence

$$1 \rightarrow \mu_n \longrightarrow F^\times \longrightarrow F^\times \cap E^{\times n} \rightarrow H^1(G, \mu_n) \rightarrow 1$$

Thus we obtain an isomorphism

$$F^\times \cap E^{\times n} / F^{\times n} \rightarrow \text{hom}(G, \mu_n).$$

**Theorem 4.25** (Classical Kummer theory). *The map*

$$E \mapsto F^\times \cap E^{\times n}$$

*defines a one-to-one correspondence between the sets of*

1. *finite abelian extensions of  $F$  of exponent  $n$  contained in some fixed algebraic closure  $\Omega$  of  $F$ , and*
2. *subgroups  $B$  of  $F^\times$  containing  $F^{\times n}$  as a subgroup of finite index.*

*The extension corresponding to  $B$  is  $F[B^{1/n}]$ , the smallest subfield of  $\Omega$  containing  $F$  and an  $n$ -th root of each element of  $B$ .*

Let  $F$  be a field of characteristic  $p > 1$ . Let  $E/F$  be a finite Galois extension with Galois group  $G$ . Let  $P(X) = X^p - X$ . We have a short exact sequence of  $G$ -modules

$$0 \rightarrow \mathbb{F}_p \rightarrow E \xrightarrow{P} P(E) \rightarrow 0$$

which induces the long exact sequence

$$0 \rightarrow \mathbb{F}_p \rightarrow F \xrightarrow{P} P(E) \cap F \rightarrow H^1(G, \mathbb{F}_p) \rightarrow H^1(G, E) = 0.$$

**Theorem 4.26** (Artin-Schreier theory). *Let  $F^{\text{sep}}$  be a separable closure of  $F$ . Then the map  $E \mapsto P(E) \cap F$  induces a bijective correspondence between*

1.  $E/F$  finite separable abelian extension of exponent  $p$
2. Subgroups  $B$  of  $F$  containing  $P(F)$ .

*The other direction of this correspondence is given by*

$$B \mapsto F(P^{-1}(\Delta)).$$

**Example 4.27.** For any  $a \in \mathbb{F}_p^\times$ ,  $P(X) = X^p - X - a$  is irreducible in  $\mathbb{F}_p(X)$ . If  $\alpha$  is a root of  $P$ , then  $\alpha, \alpha + 1, \dots, \alpha + p - 1$  are  $p$  distinct roots of  $P(X)$ , and so  $\mathbb{F}_p(\alpha)$  is the splitting field of  $P(X)$ . The Galois group  $\text{Gal}(\mathbb{F}_p(\alpha)/\mathbb{F}_p)$  is cyclic which is generated by  $\sigma : \alpha \mapsto \alpha + 1$ .

## 4.6 Solvability by radicals

Recall that a group  $G$  is **solvable** if there exists a composition series  $G = G_0 > G_1 > \dots > G_m = 1$  such that  $G_{i+1} \triangleleft G_i$  has abelian quotient.

**Definition 4.28.** Let  $E/F$  be a finite separable extension.

1. We say that  $E/F$  is a **radical** extension if there exists a tower of extensions  $F = E_0 \subset E_1 \subset \dots \subset E_m$  such that  $E \subset E_m$  and that for each  $0 \leq i \leq m - 1$ ,  $E_{i+1} = E_i(\alpha)$ , where one of the following holds
  - (a.)  $\alpha$  is a root of  $X^n - a$ ,  $a \in E_i^\times$  and  $\text{char}(F) \nmid n$ ;
  - (b.)  $\alpha$  is a root of  $X^p - X - a$ ,  $a \in E_i$  and  $p = \text{char}(F) > 0$ .
2. We say that  $E/F$  is a **solvable** extension if  $\text{Gal}(K/F)$  is a solvable group, where  $K/F$  denote the Galois closure of  $E/F$ .

**Theorem 4.29** (É.Galois). *A finite separable extension  $E/F$  is solvable if and only if it is radical.*

# A Profinite groups

## A.1 Topological groups

**Definition A.1.** A **topological group**  $G$  is a group  $G$  equipped with a topological structure such that the multiplication map  $m : G \times G \rightarrow G$  and the inverse map  $i : G \rightarrow G$  are both continuous.

**Definition A.2.** Let  $\text{TopGrp}$  be the category of topological groups and continuous group homomorphisms.

**Lemma A.3.** *The left translation  $l_g : h \mapsto gh$  and the right translation map  $r_g : h \mapsto hg$  are both auto-homeomorphisms of  $G$  for each  $g \in G$ .*

By the lemma, the topology structure of  $G$  is completely determined by a neighbourhood basis of the neutral element 1.

**Lemma A.4.** *Let  $G$  be a topological group, TFAE*

1.  $G$  is Hausdorff,
2.  $\{1\} \subset G$  is a closed subset,
3.  $\bigcap_{1 \in U, U \text{ open}} U = \{1\}$ .

**Lemma A.5.** *Let  $G$  be a topological group. Then*

1. If  $H \leq G$  is a topological subgroup and  $U \subset H$  with  $U$  open, then  $H$  is open.
2. Any open subgroup of  $G$  is closed and any closed subgroup of  $G$  of finite index is open.
3. Any open subgroup  $H$  of a compact topological group  $G$  has finite index.

Let  $I$  be a category and  $I^{\text{op}} \rightarrow \text{TopGrp}$  be a functor. Denote the limit of the functor by  $\varprojlim_i G_i$ . Since any limit is an equalizer of a product, we can view  $\varprojlim_i G_i$  as a sub-topological group of the product  $\prod_{i \in I} G_i$ . We denote the projections  $\varprojlim_i G_i \rightarrow G_k$  by  $p_k$ .

**Lemma A.6.** *The topological group  $\varprojlim_i G_i$  has a neighbourhood basis at 1 of the following form:*

$$\mathcal{U}_{I_0} = \bigcap_{i \in I_0} p_i^{-1}(U_i)$$

where  $I_0 \subset I$  is a finite subset and  $1 \in U_i$  is open in  $G_i$ . If  $I$  is filtered, it suffices to take the upper bound  $j$  of  $I_0$ .

*Proof.* This is simply the definition of product topology.  $\square$

## A.2 Profinite groups

**Definition A.7.** A topological group is called **profinite** if it has the form  $\varprojlim_{i \in I} G_i$  such that  $I$  is a filtered category and  $G_i$  is a finite group equipped with the discrete topology.

**Proposition A.8.** *A group is profinite if and only if it is compact Hausdorff and totally disconnected.*

*Proof.* " $\Rightarrow$ " is easy. Each  $G_i$  is compact, Hausdorff, and totally disconnected. Thus so is  $\prod_{i \in I} G_i$  and its closed subset  $\varprojlim_{i \in I} G_i$ .

" $\Leftarrow$ " is much more difficult. Since  $G$  is totally disconnected and locally compact, the open subgroups of  $G$  form a base of neighbourhoods of 1. Such a subgroup  $U$  has finite index in  $G$  since  $G$  is compact; hence its conjugates  $gUg^{-1}$  are finite in number and their intersection  $V$  is both normal and open in  $G$ . Such  $V$ 's are thus a base of neighbourhoods of 1; the map  $G \rightarrow \varprojlim G/V$  is injective, continuous and its image is dense; a compactness argument then shows that it is an isomorphism.  $\square$

## B Möbius inversion formula

**Definition B.1.** An **arithmetic function** is a function  $f : \mathbb{N} \rightarrow \mathbb{C}$ .

**Definition B.2.** Let  $f, g$  be arithmetic functions. Their **convolution**  $f * g$  is defined as

$$(f * g)(n) := \sum_{ij=n} f(i)g(j)$$

**Theorem B.3.** *The set of arithmetic functions form an commutative monoid with convolution being the multiplication map.*

*Proof.* Commutativity and associativity are direct to check. The unit is defined as

$$\iota(n) := \begin{cases} 0, & \text{if } n \neq 1 \\ 1, & \text{if } n = 1 \end{cases}$$

□

Now let  $u$  be the constant arithmetic function valued at 1, i.e.  $u(n) = 1$  for all  $n \in \mathbb{N}$ .

**Theorem B.4.**  *$u$  is an invertible arithmetic function. Its inverse is called the **Möbius function**, which is denoted by  $\mu$ .*

*Proof.* Let  $n = p_1^{k_1} \dots p_m^{k_m}$  be the prime decomposition of  $n$ . Define  $\mu$  as follows:

$$\mu(n) = \begin{cases} 1 & \text{if } n = 1, \\ (-1)^m & \text{if } k_i = 1 \ \forall i, \\ 0 & \text{otherwise.} \end{cases}$$

Now we show that  $u * \mu = \iota$ . That is  $\sum_{d|n} \mu(d) = \iota(n)$ . When  $n = 1$  this is obvious. When  $n > 1$  we write  $n$  as  $n = p_1^{k_1} \dots p_m^{k_m}$  then we have

$$\begin{aligned} \sum_{d|n} \mu(d) &= \mu(1) + (\mu(p_1) + \dots \mu(p_m)) + \sum_{i < j} \mu(p_i p_j) + \dots + \mu(p_1 \dots p_m) \\ &= C_m^0 + (-1)C_m^1 + \dots + C_m^m (-1)^m \\ &= (1 - 1)^m = 0. \end{aligned}$$

□

**Corollary B.5** (Möbius inversion formula). *Let  $f, g$  be arithmetic functions then  $f = g * u \Leftrightarrow g = f * \mu$ . More explicitly we have  $f(n) = \sum_{d|n} g(d) \Leftrightarrow g(n) = \sum_{d|n} f(d) \mu(n/d)$ .*

*Proof.* It is a simple translation of the above theorem. □



## C Group cohomology

Let  $G$  be a group, and  $A$  a left  $G$ -module. Let  $C^n(G, A)$  be the abelian group of set maps  $\text{hom}_{\text{Set}}(G^n, A)$  and  $C^0(G, A) = A$ , with coface maps  $d^n : C^n(G, A) \rightarrow C^{n+1}(G, A)$  defined by

$$(d^n f)(g_1, \dots, g_{n+1}) = g_1 f(g_2, \dots, g_{n+1}) + \sum_{i=1}^n (-1)^{i+1} f(g_1, \dots, g_i g_{i+1}, \dots, g_{n+1}) + (-1)^{n+1} f(g_1, \dots, g_n)$$

It can be easily checked that  $d^{i+1} \circ d^i = 0$ . Thus we can talk about its cohomology group. For our purpose we only consider  $H^1(G, A)$ . Let  $Z^1(C, A) = \text{Ker}(d^1)$  and  $B^1(C, A) = \text{Im}(d^0)$ . Elements of  $Z^1(C, A)$  are called **crossed homomorphisms**. They satisfy

$$f(\sigma\tau) = f(\sigma) + \sigma f(\tau)$$

for all  $\sigma, \tau \in G$ . A crossed homomorphism  $f$  is called **principal** if it lies in  $B^1(C, A)$ , i.e. it has the form  $f(\tau) = \tau m - m$  for some  $m \in A$ . The first cohomology group  $H^1(G, A)$  is simply  $Z^1(C, A)/B^1(C, A)$ .