

# Signals and Systems

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## Lecture 8: Feedback and Control

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Zhejiang University

04/16/2024

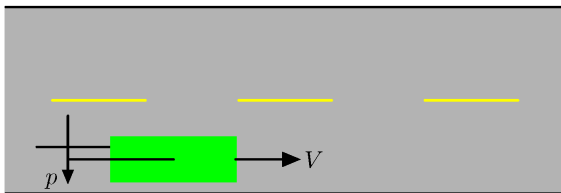
Partly adapted from the materials provided on  
the MIT OpenCourseWare

# Today's goal

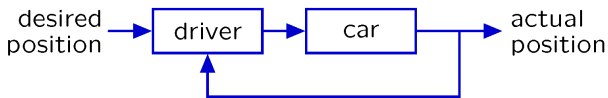
Use systems theory to gain insight into how to control a system.

# Feedback and Control

Feedback is pervasive in natural and artificial systems.

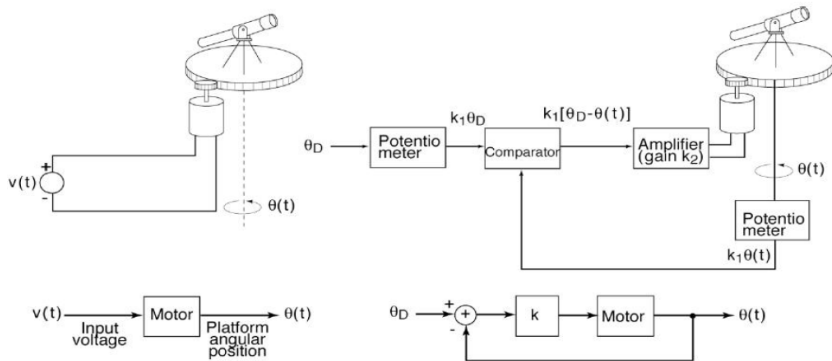


Turn steering wheel to stay centered in the lane.



# Feedback and Control

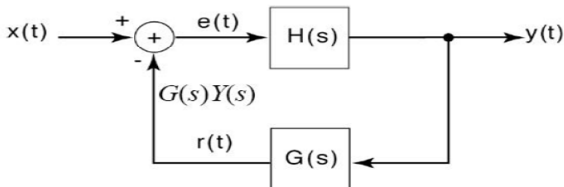
## One Motivating Example — pointing a telescope



# Feedback and Control

## System Function of a Closed-loop System

**Example:** A basic Feedback System — By its nature, we are dealing with real physical systems.  $\Rightarrow$  They are all *causal*.



$$E(s) = X(s) - R(s) = X(s) - G(s)Y(s)$$

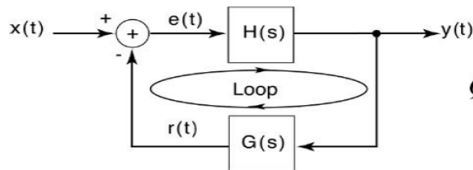
$$Y(s) = H(s)E(s) = H(s)[X(s) - G(s)Y(s)]$$

$\Downarrow$

$$Q(s) = \frac{Y(s)}{X(s)} = \frac{H(s)}{1 + G(s)H(s)} \quad \text{— System function of the close-loop}$$

# Feedback and Control

## General formula for a closed-loop system: **Black's Formula**



$$Q(s) = \frac{Y(s)}{X(s)} = \frac{H(s)}{1 + G(s)H(s)}$$

Can show for any closed-loop systems, the system function is given by **Black's formula** (H. S. Black in the 1920's, along with Nyquist and Bode):

$$\text{Closed-loop system function} = \frac{\text{forward gain}}{1 - \text{loop gain}}$$

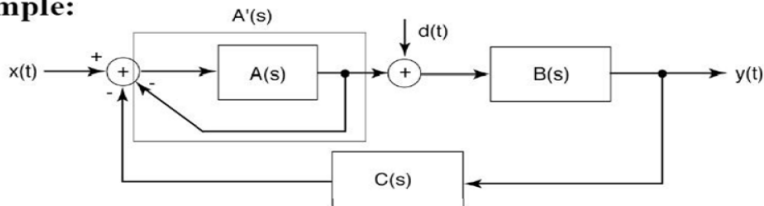
Forward gain — total gain along the forward path from the *input* to the *output*  
the gain of an adder is = 1

Loop gain — total gain along the closed loop — shared by all the system functions

# Feedback and Control

## Applications of Black's Formula

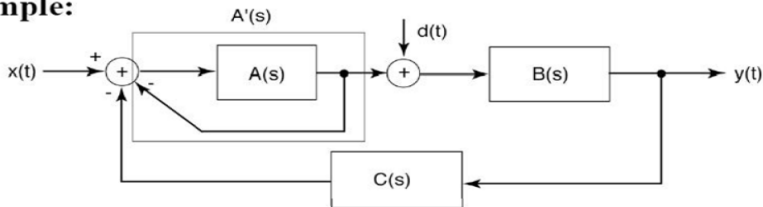
**Example:**



# Feedback and Control

## Applications of Black's Formula

**Example:**



$$1) \quad \frac{Y(s)}{X(s)} = ? = \frac{\text{Forward gain}}{1 - \text{loop gain}} = \frac{A' B}{1 + A' B C}$$

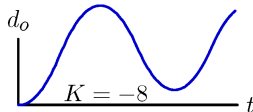
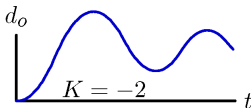
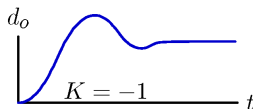
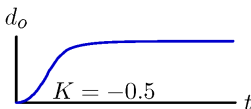
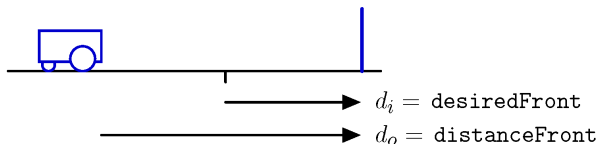
$$A' = \frac{A}{1 + A} \quad \Rightarrow \quad \frac{Y(s)}{X(s)} = \frac{AB}{1 + A + ABC}$$

$$2) \quad \frac{Y(s)}{D(s)} = ? = \frac{\text{Forward gain}}{1 - \text{loop gain}} = \frac{B}{1 + A' B C} = \frac{B(1 + A)}{1 + A + ABC}$$



# Example: wallFinder System

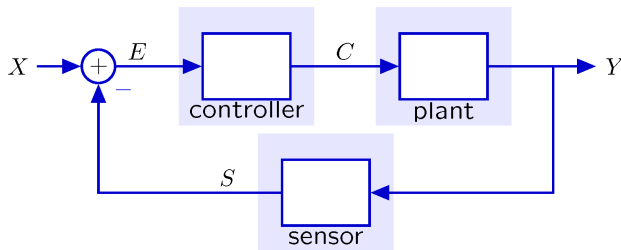
Approach a wall, stopping a desired distance  $d_i$  in front of it.



What causes these different types of responses?

# Structure of a Control Problem

(Simple) Control systems have three parts.



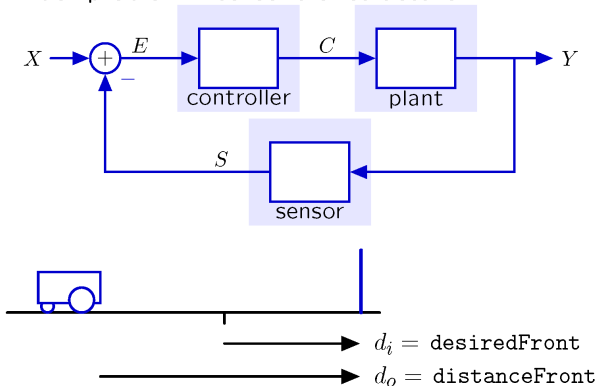
The **plant** is the system to be controlled.

The **sensor** measures the output of the plant.

The **controller** specifies a command  $C$  to the plant based on the *difference* between the input  $X$  and sensor output  $S$ .

# Analysis of wallFinder System

Cast wallFinder problem into control structure.



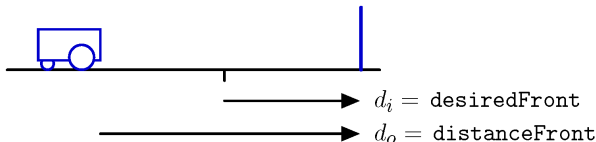
proportional controller:  $v[n] = Ke[n] = K(d_i[n] - d_s[n])$

locomotion:  $d_o[n] = d_o[n-1] - Tv[n-1]$

sensor with no delay:  $d_s[n] = d_o[n]$

# Analysis of wallFinder System: Block Diagram

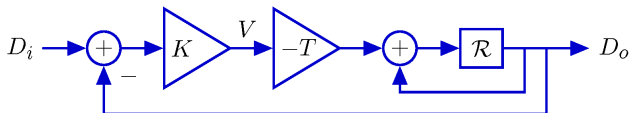
Visualize as block diagram.



proportional controller:  $v[n] = Ke[n] = K(d_i[n] - d_s[n])$

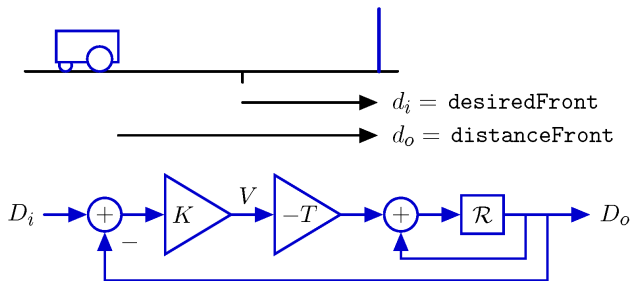
locomotion:  $d_o[n] = d_o[n-1] - Tv[n-1]$

sensor with no delay:  $d_s[n] = d_o[n]$



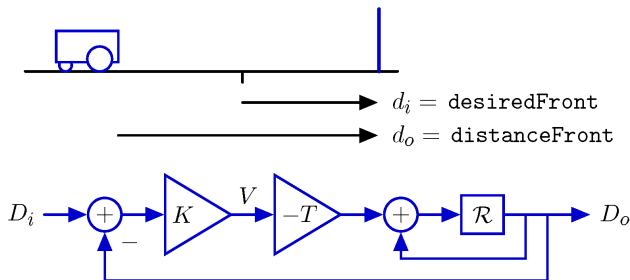
# Analysis of wallFinder System: System Function

Solve.



# Analysis of wallFinder System: System Function

Solve.



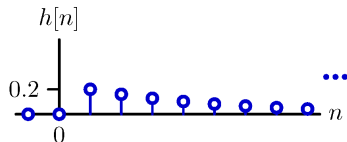
$$\frac{D_o}{D_i} = \frac{\frac{-KTR}{1 - \mathcal{R}}}{1 + \frac{-KTR}{1 - \mathcal{R}}} = \frac{-KTR}{1 - \mathcal{R} - KTR} = \frac{-KTR}{1 - (1 + KT)\mathcal{R}}$$

# Analysis of wallFinder System: Poles

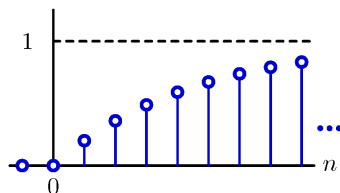
The system function contains a single **pole** at  $z = 1 + KT$ .

$$\frac{D_o}{D_i} = \frac{-KT\mathcal{R}}{1 - (1 + KT)\mathcal{R}}$$

Unit-sample response for  $KT = -0.2$ :



Unit-step response  $s[n]$  for  $KT = -0.2$ :



What determines the speed of the response? Could it be faster?

# Check Yourself

Find  $KT$  for fastest convergence of unit-sample response.

$$\frac{D_o}{D_i} = \frac{-KT\mathcal{R}}{1 - (1 + KT)\mathcal{R}}$$

1.  $KT = -2$
2.  $KT = -1$
3.  $KT = 0$
4.  $KT = 1$
5.  $KT = 2$
0. none of the above



# Check Yourself

Find  $KT$  for fastest convergence of unit-sample response.

$$\frac{D_o}{D_i} = \frac{-KT\mathcal{R}}{1 - (1 + KT)\mathcal{R}}$$

If  $KT = -1$  then the pole is at  $z = 0$ .

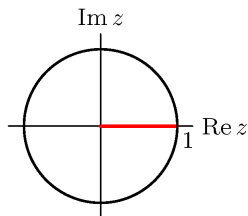
$$\frac{D_o}{D_i} = \frac{-KT\mathcal{R}}{1 - (1 + KT)\mathcal{R}} = \mathcal{R}$$

Unit-sample response has a single non-zero output sample, at  $n = 1$ .

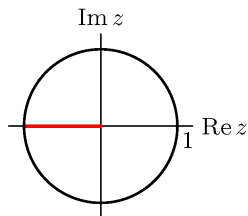
# Analysis of wallFinder System: Poles

The poles of the system function provide insight for choosing  $K$ .

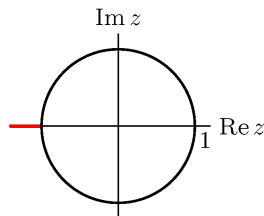
$$\frac{D_o}{D_i} = \frac{-KT\mathcal{R}}{1 - (1 + KT)\mathcal{R}} = \frac{(1 - p_o)\mathcal{R}}{1 - p_o\mathcal{R}} ; \quad p_o = 1 + KT$$



$0 < p_0 < 1$   
 $-1 < KT < 0$   
monotonic  
converging



$-1 < p_0 < 0$   
 $-2 < KT < -1$   
alternating  
converging



$p_0 < -1$   
 $KT < -2$   
alternating  
diverging

# Check Yourself

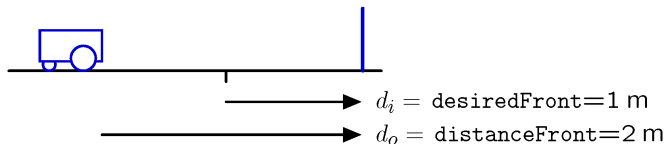
Find  $KT$  for fastest convergence of unit-sample response.

$$\frac{D_o}{D_i} = \frac{-KTR}{1 - (1 + KT)\mathcal{R}}$$

1.  $KT = -2$
2.  $KT = -1$
3.  $KT = 0$
4.  $KT = 1$
5.  $KT = 2$
0. none of the above

# Analysis of wallFinder System

The optimum gain  $K$  moves robot to desired position in **one** step.



$$KT = -1$$

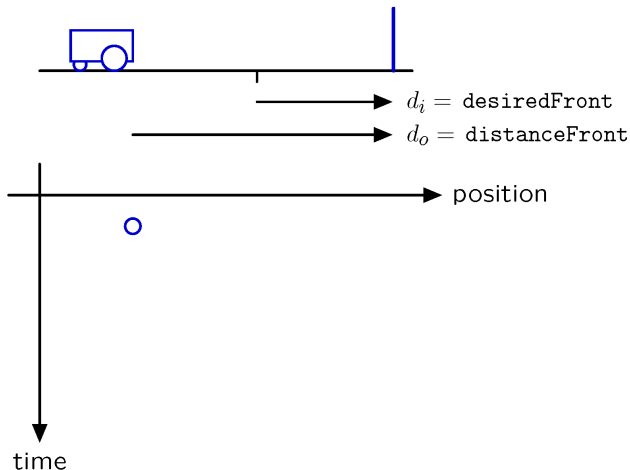
$$K = -\frac{1}{T} = -\frac{1}{1/10} = -10$$

$$v[n] = K(d_i[n] - d_o[n]) = -10(1 - 2) = 10 \text{ m/s}$$

exactly the right speed to get there in one step!

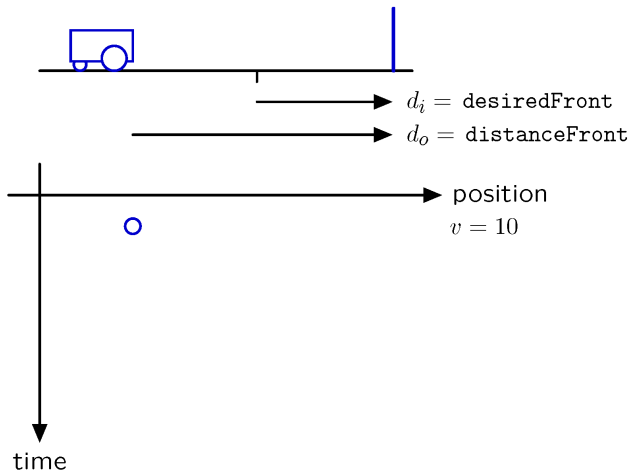
# Analyzing wallFinder: Space-Time Diagram

The optimum gain  $K$  moves robot to desired position in **one** step.



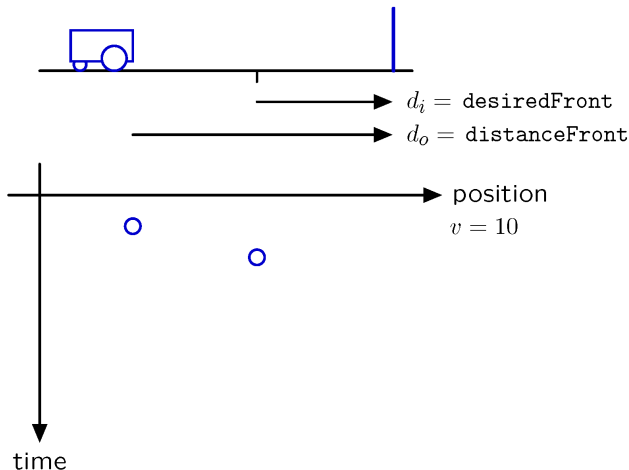
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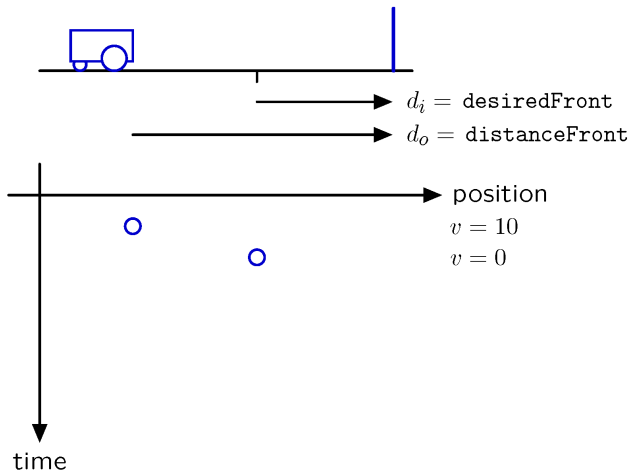
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# Analyzing wallFinder: Space-Time Diagram

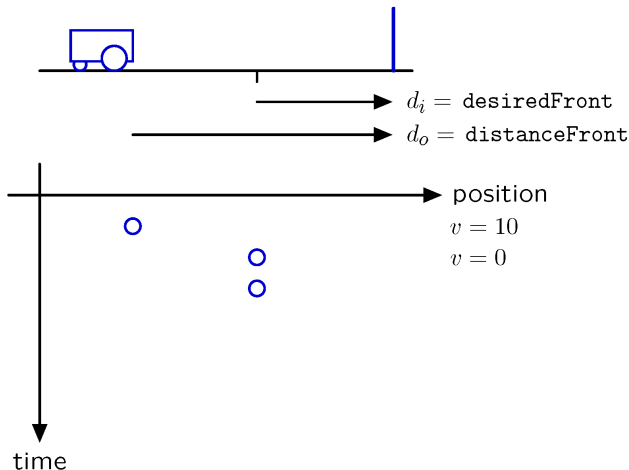
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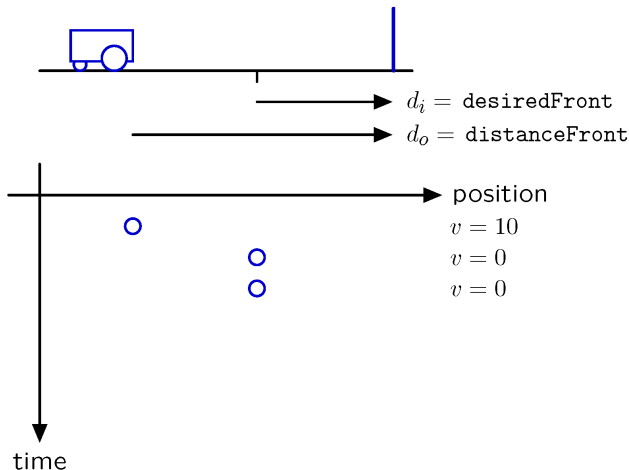
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The optimum gain  $K$  moves robot to desired position in **one** step.



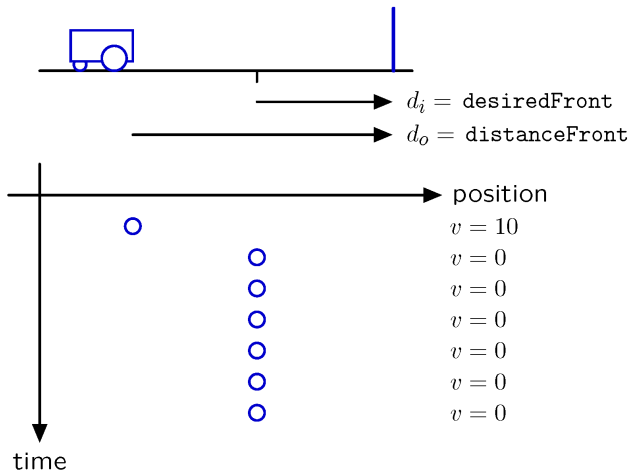
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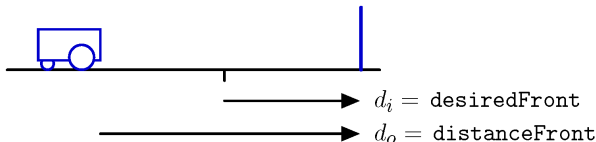
# Analyzing wallFinder: Space-Time Diagram

The optimum gain  $K$  moves robot to desired position in **one** step.



# Analysis of wallFinder System: Adding Sensor Delay

Adding delay tends to destabilize control systems.



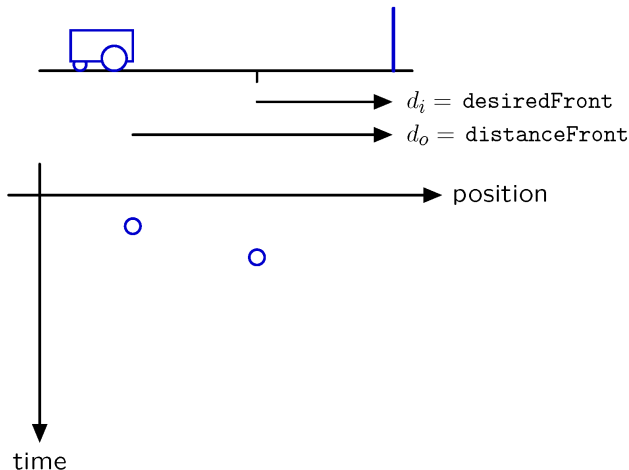
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locomotion:  $d_o[n] = d_o[n-1] - Tv[n-1]$

sensor **with delay**:  $d_s[n] = d_o[n-1]$

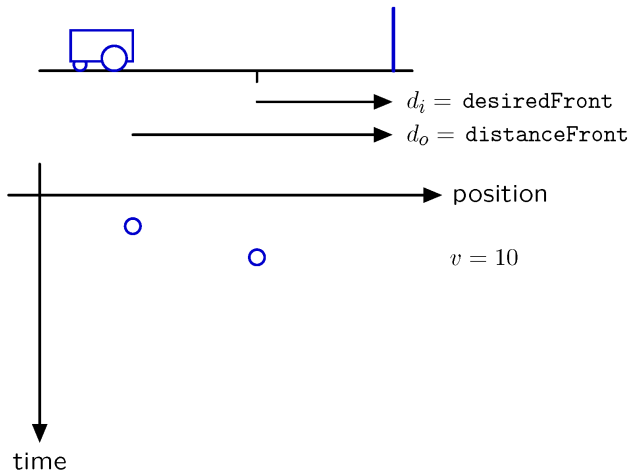
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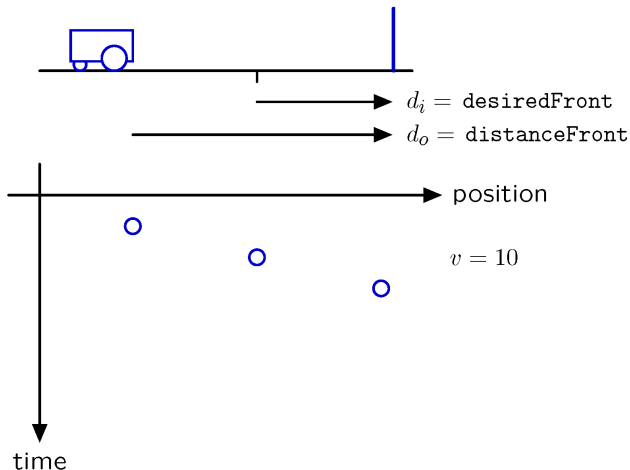
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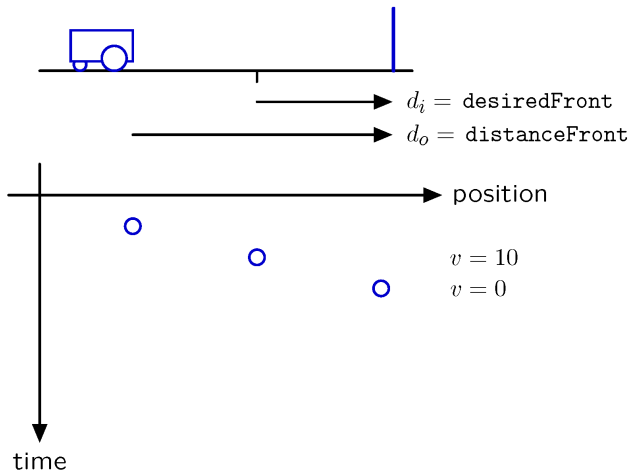
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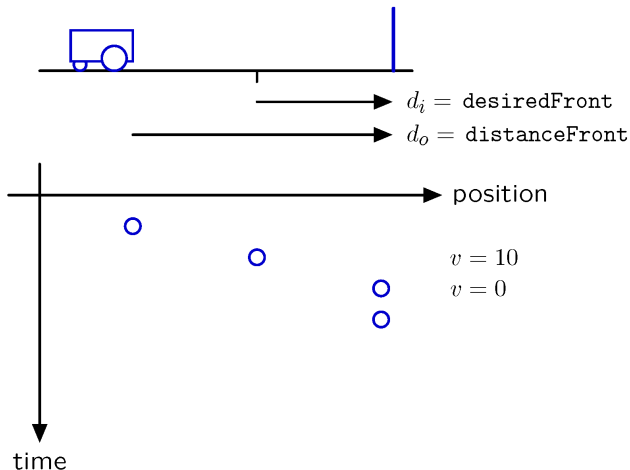
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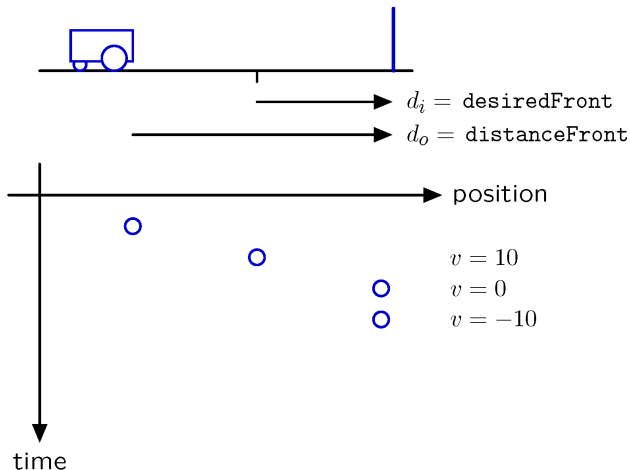
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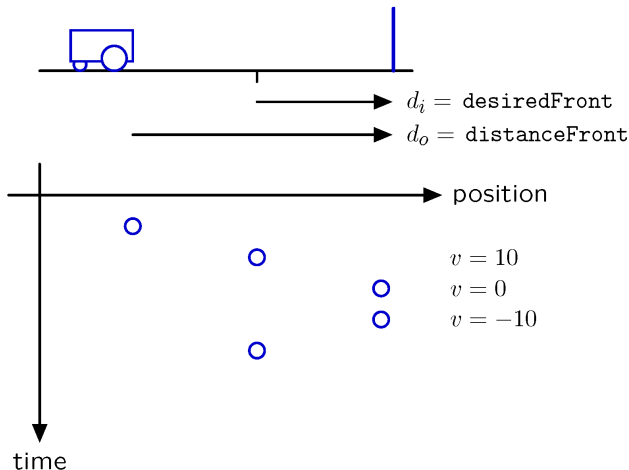
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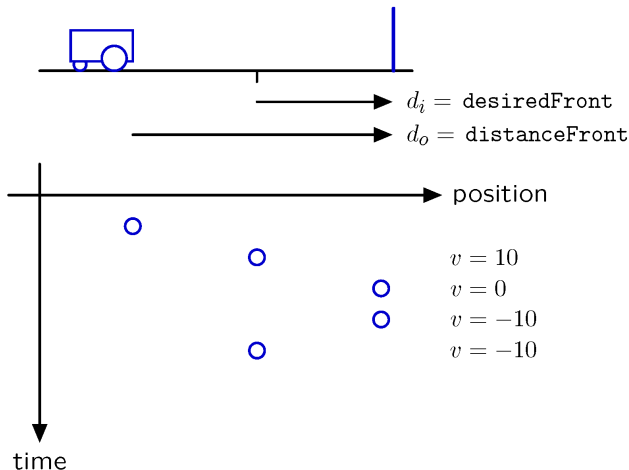
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Adding delay tends to destabilize control systems.



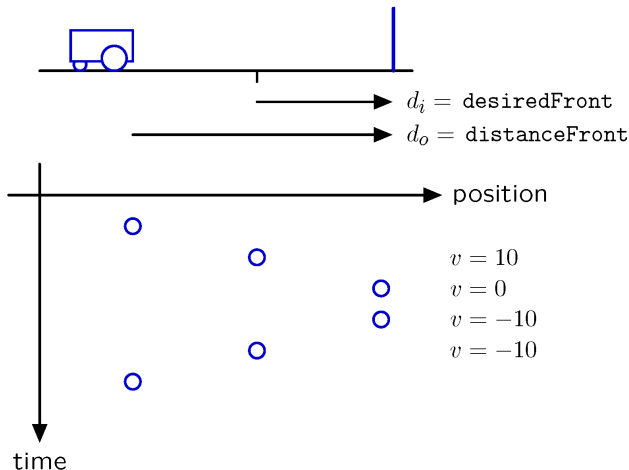
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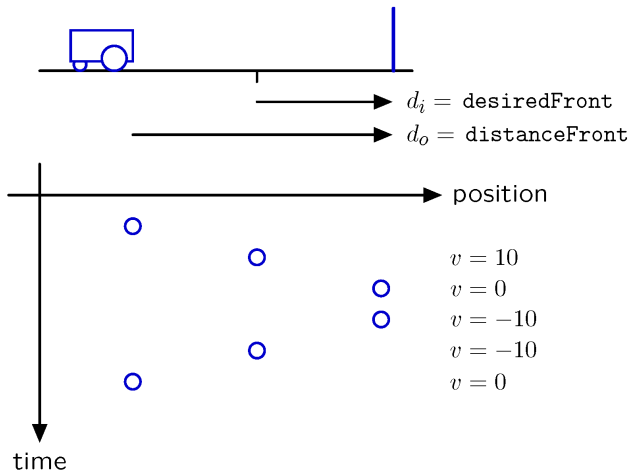
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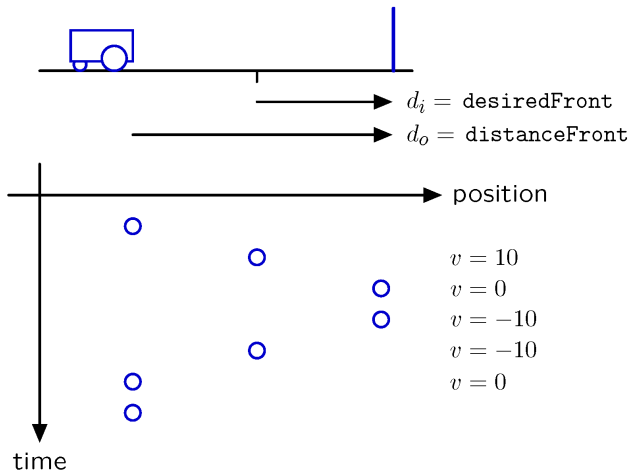
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Adding delay tends to destabilize control systems.



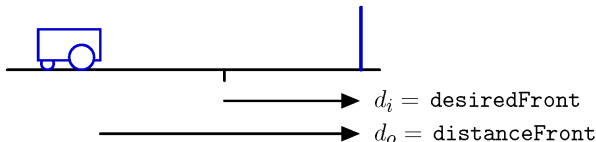
# Analysis of wallFinder System: Adding Sensor Delay

Adding delay tends to destabilize control systems.



# Analysis of wallFinder System: Block Diagram

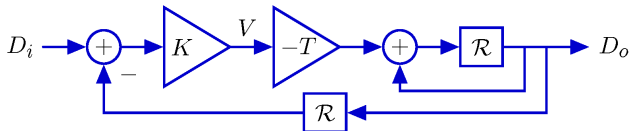
Incorporating sensor delay in block diagram.



proportional controller:  $v[n] = Ke[n] = K(d_i[n] - d_s[n])$

locomotion:  $d_o[n] = d_o[n-1] - Tv[n-1]$

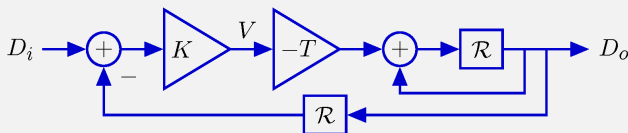
sensor with no delay:  $d_s[n] = d_o[n-1]$





# Check Yourself

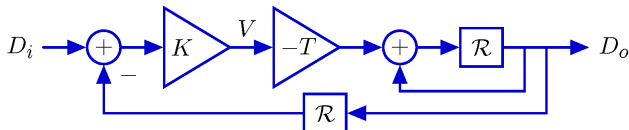
Find the system function  $H = \frac{D_o}{D_i}$ .



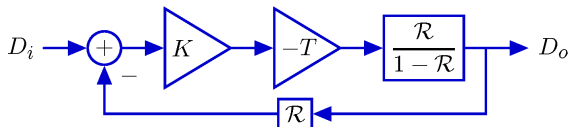
1.  $\frac{KTR}{1 - \mathcal{R}}$
2.  $\frac{-KTR}{1 + \mathcal{R} - KTR^2}$
3.  $\frac{KTR}{1 - \mathcal{R}} - KTR$
4.  $\frac{-KTR}{1 - \mathcal{R} - KTR^2}$
5. none of the above

# Check Yourself

Find the system function  $H = \frac{D_o}{D_i}$ .



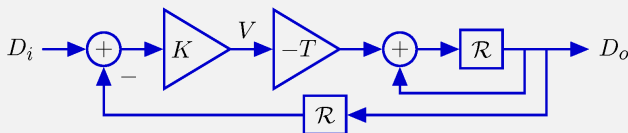
Replace accumulator with equivalent block diagram.



$$\frac{D_o}{D_i} = \frac{\frac{-KTR}{1 - \mathcal{R}}}{1 + \frac{-KTR^2}{1 - \mathcal{R}}} = \frac{-KTR}{1 - \mathcal{R} - KTR^2}$$

# Check Yourself

Find the system function  $H = \frac{D_o}{D_i}$ .



1.  $\frac{KTR}{1 - \mathcal{R}}$

2.  $\frac{-KTR}{1 + \mathcal{R} - KTR^2}$

3.  $\frac{KTR}{1 - \mathcal{R}} - KTR$

4.  $\frac{-KTR}{1 - \mathcal{R} - KTR^2}$

5. none of the above

# Analyzing wallFinder: Poles

Substitute  $\mathcal{R} \rightarrow \frac{1}{z}$  in the system functional to find the poles.

$$\frac{D_o}{D_i} = \frac{-KTR}{1 - \mathcal{R} - KTR^2} = \frac{-KT\frac{1}{z}}{1 - \frac{1}{z} - KT\frac{1}{z^2}} = \frac{-KTz}{z^2 - z - KT}$$

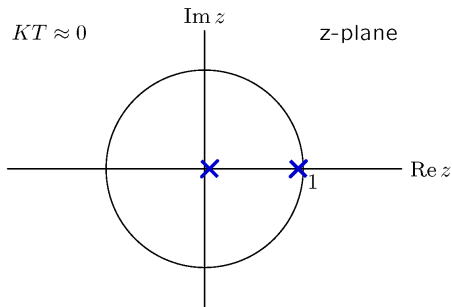
The poles are then the roots of the denominator.

$$z = \frac{1}{2} \pm \sqrt{\left(\frac{1}{2}\right)^2 + KT}$$

# Feedback and Control: Poles

If  $KT$  is small, the poles are at  $z \approx -KT$  and  $z \approx 1 + KT$ .

$$z = \frac{1}{2} \pm \sqrt{\left(\frac{1}{2}\right)^2 + KT} \approx \frac{1}{2} \pm \sqrt{\left(\frac{1}{2} + KT\right)^2} = 1 + KT, -KT$$



Pole near 0 generates fast response.

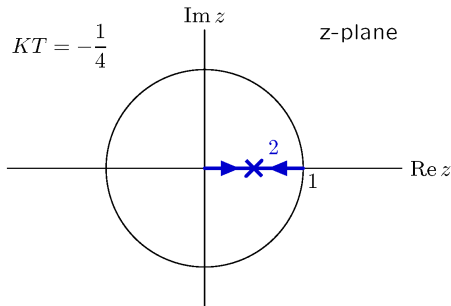
Pole near 1 generates slow response.

Slow mode (pole near 1) dominates the response.

# Feedback and Control: Poles

As  $KT$  becomes more negative, the poles move toward each other and collide at  $z = \frac{1}{2}$  when  $KT = -\frac{1}{4}$ .

$$z = \frac{1}{2} \pm \sqrt{\left(\frac{1}{2}\right)^2 + KT} = \frac{1}{2} \pm \sqrt{\left(\frac{1}{2}\right)^2 - \frac{1}{4}} = \frac{1}{2}, \frac{1}{2}$$

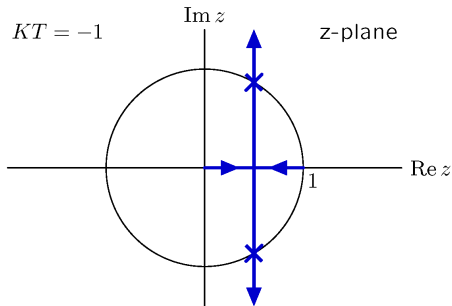


Persistent responses decay. The system is stable.

# Feedback and Control: Poles

If  $KT < -1/4$ , the poles are complex.

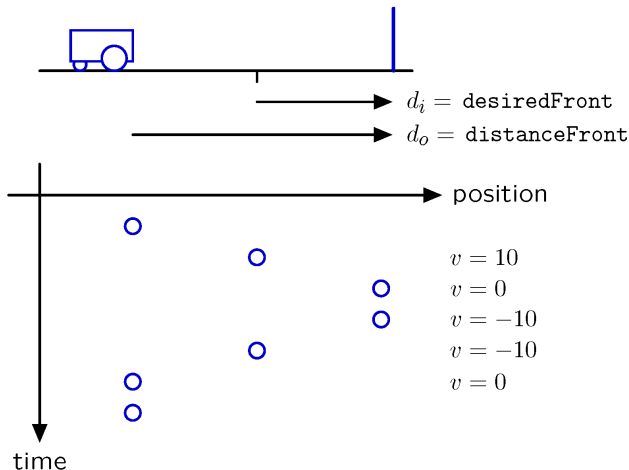
$$z = \frac{1}{2} \pm \sqrt{\left(\frac{1}{2}\right)^2 + KT} = \frac{1}{2} \pm j\sqrt{-KT - \left(\frac{1}{2}\right)^2}$$



Complex poles  $\rightarrow$  oscillations.

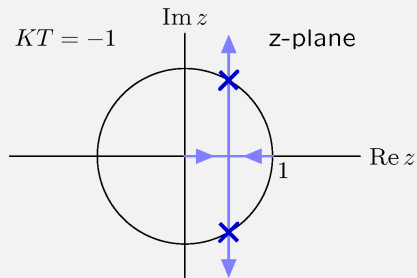
# Same oscillation we saw earlier!

Adding delay tends to destabilize control systems.





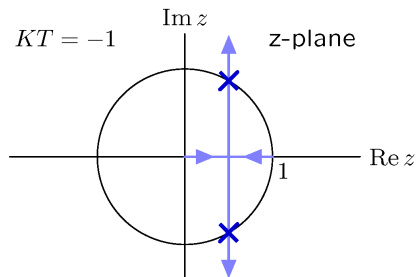
# Check Yourself



What is the period of the oscillation?

- |      |      |                  |
|------|------|------------------|
| 1. 1 | 2. 2 | 3. 3             |
| 4. 4 | 5. 6 | 0. none of above |

# Check Yourself

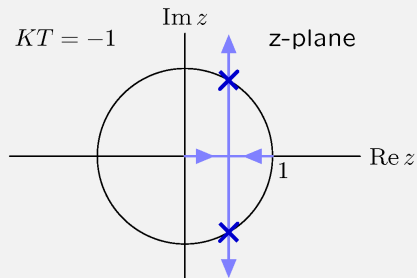


$$p_0 = \frac{1}{2} \pm j \frac{\sqrt{3}}{2} = e^{\pm j\pi/3}$$

$$p_0^n = e^{\pm j\pi n/3}$$

$$\underbrace{e^{\pm j0\pi/3}}_1, e^{\pm j\pi/3}, e^{\pm j2\pi/3}, e^{\pm j3\pi/3}, e^{\pm j4\pi/3}, e^{\pm j5\pi/3}, \underbrace{e^{\pm j6\pi/3}}_{e^{\pm j2\pi}=1}$$

# Check Yourself

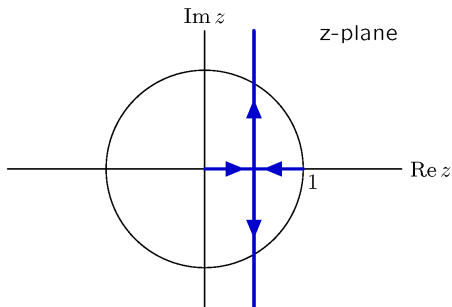


What is the period of the oscillation?

- |      |      |                  |
|------|------|------------------|
| 1. 1 | 2. 2 | 3. 3             |
| 4. 4 | 5. 6 | 0. none of above |

# Feedback and Control: Poles

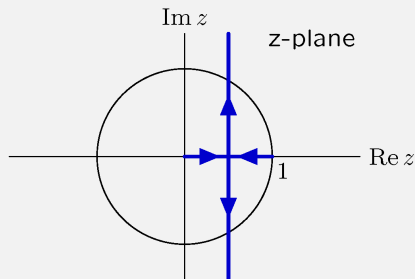
The closed loop poles depend on the gain.



If  $KT : 0 \rightarrow -\infty$ : then  $z_1, z_2 : 0, 1 \rightarrow \frac{1}{2}, \frac{1}{2} \rightarrow \frac{1}{2} \pm j\infty$

# Check Yourself

Find  $KT$  for fastest response.



closed-loop poles

$$\frac{1}{2} \pm \sqrt{\left(\frac{1}{2}\right)^2 + KT}$$

- |       |                   |                   |
|-------|-------------------|-------------------|
| 1. 0  | 2. $-\frac{1}{4}$ | 3. $-\frac{1}{2}$ |
| 4. -1 | 5. $-\infty$      | 0. none of above  |

# Check Yourself

$$z = \frac{1}{2} \pm \sqrt{\left(\frac{1}{2}\right)^2 + KT}$$

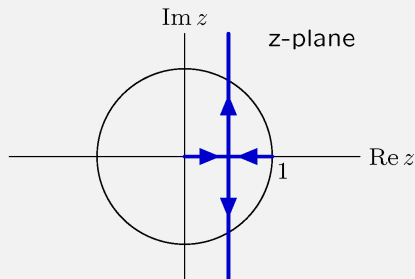
The dominant pole always has a magnitude that is  $\geq \frac{1}{2}$ .

It is smallest when there is a double pole at  $z = \frac{1}{2}$ .

Therefore,  $KT = -\frac{1}{4}$ .

# Check Yourself

Find  $KT$  for fastest response.



closed-loop poles

$$\frac{1}{2} \pm \sqrt{\left(\frac{1}{2}\right)^2 + KT}$$

1. 0

2.  $-\frac{1}{4}$

3.  $-\frac{1}{2}$

4. -1

5.  $-\infty$

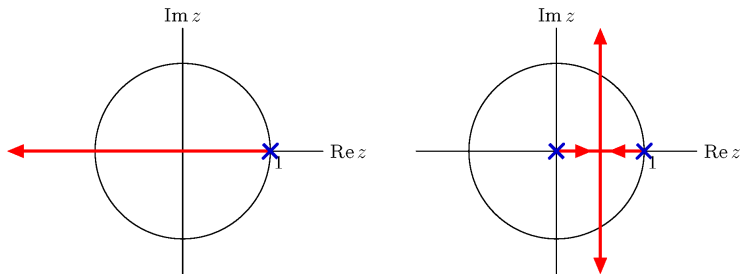
0. none of above

# Destabilizing Effect of Delay

Adding delay in the feedback loop makes it more difficult to stabilize.

Ideal sensor:  $d_s[n] = d_o[n]$

More realistic sensor (with delay):  $d_s[n] = d_o[n - 1]$



Fastest response without delay: single pole at  $z = 0$ .

Fastest response with delay: double pole at  $z = \frac{1}{2}$ . **much slower!**

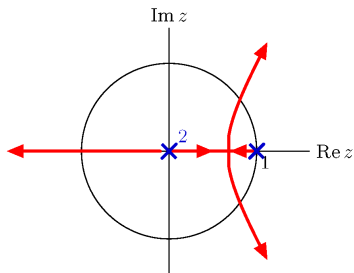
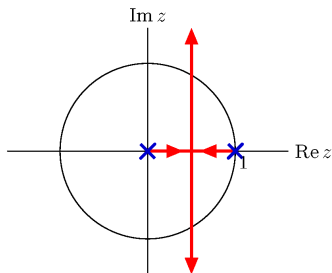


# Destabilizing Effect of Delay

Adding more delay in the feedback loop is even worse.

More realistic sensor (with delay):  $d_s[n] = d_o[n - 1]$

Even more delay:  $d_s[n] = d_o[n - 2]$



Fastest response with delay: double pole at  $z = \frac{1}{2}$ .

Fastest response with more delay: double pole at  $z = 0.682$ .

→ **even slower**

# Feedback and Control: Summary

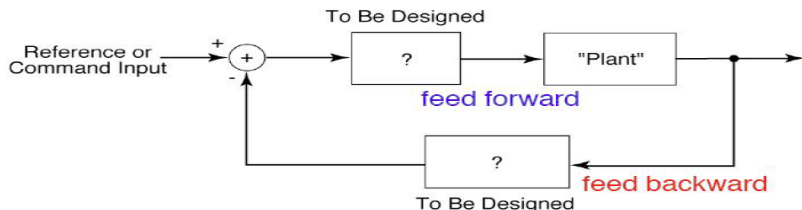
Feedback is an elegant way to design a control system.

Stability of a feedback system is determined by its dominant pole.

Delays tend to decrease the stability of a feedback system.

# Feedback and Control: Summary

## A Typical **Feedback** System



Why use Feedback?

- Reducing Nonlinearities
- Reducing Sensitivity to Uncertainties and Variability
- Stabilizing Unstable Systems
- Reducing Effects of Disturbances
- Tracking
- Shaping System Response Characteristics (bandwidth/speed)

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# Assignments

- Reading Assignment: Chap. 11.0-11.2
- Homework 4
- Mid-term Exam: 04/25/2024, 10:00-12:00, West 2-204