

# SDDCO Brokerage Advisors LLC

MEMBER: FINRA/SIPC

485 MADISON AVE, 15<sup>TH</sup> FLOOR, NEW YORK, NY 10022

TEL: 212-751-4424 ▲ FAX: 212-751-4425



## PRIVACY NOTICE

SEC Regulation S-P requires SDDCO Brokerage Advisors LLC (“SDDCO-BA”) to provide a statement to you once each year regarding the use of your nonpublic financial information. SDDCO-BA and its employees strongly believe in protecting the confidentiality and security of personal information we collect from you. SDDCO-BA may collect nonpublic personal information about you from the following sources:

- ✓ Information we receive from you on engagements, applications, and other forms
- ✓ Information about your transactions with us, our affiliates, or others; and
- ✓ Information we receive from a consumer reporting agency.

SDDCO-BA may disclose nonpublic information about you in order to process transactions into which you may enter; to respond to inquiries from you or your representative; or, to fulfill legal and regulatory requirements. SDDCO-BA does not make any disclosures of information to other companies, which may want to sell their products or services to you.

Our employees are instructed to protect the confidentiality of information in the firm’s possession and are required to comply with SDDCO-BA’s established policies. SDDCO-BA is firmly committed to protecting your privacy. We will continue to safeguard your privacy and the confidentiality of the information you provide to us.