

Constraining rare B decays by $\mu^+\mu^- \rightarrow tc$ at future lepton colliders

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ABSTRACT: Motivated by the recent rare B decays measurements, we study the matching procedure of operators O_9, O_{10} in the low energy effective Hamiltonian and operators in the Standard Model effective theory (SMEFT). It is noticed that there are more related operators in the SMEFT whose coefficients can not be determined only from the low-energy data from B physics. We demonstrate how to determine these coefficients with some new physics models, like Z' model and leptoquark models, and then consider how to probe these operators of SMEFT at high energy by using the process $\mu^+\mu^- \rightarrow tc$ at future muon colliders, which can provide complementary information except for $\mu^+\mu^- \rightarrow bs$ on the underlying models which lead to rare B decay processes. We perform a Monte Carlo study (a hadron level analysis) to show how to separate the signal events from the SM background events and estimate the sensitivity to the Wilson coefficients for different models.

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1 Introduction

Searching for new physics is the prime target of both the high energy frontier and high precision frontier. In the rare decay of B mesons, long-standing discrepancies were reported between the Standard Model predictions and experimental measurements, with a hint of non-lepton flavor universality(LFU), especially in the muon-related final states. These hints are observed in a $B \rightarrow K\mu^+\mu^-$, $B_s \rightarrow \phi\mu^+\mu^-$, $B_s \rightarrow \mu^+\mu^-$ and angular distribution of $B \rightarrow K^*\mu^+\mu^-$ [1–5]. For the LFU violation, the hints were reported by LHCb [6–11] in the ratio

$$R_K = \frac{BR(B \rightarrow K\mu^+\mu^-)}{BR(B \rightarrow Ke^+e^-)}, R_{K^*} = \frac{BR(B \rightarrow K^*\mu^+\mu^-)}{BR(B \rightarrow K^*e^+e^-)} \quad (1.1)$$

Although large hadronic uncertainties can enter in some of these absolute branching fractions and angular observables for the Standard Model predictions, $R_K, R_{K^*}, B_s \rightarrow \mu^+\mu^-$ are considered relatively theoretically clean. The deviation in those measurements might lead to indirect evidence for new physics [12–20]. This picture has suddenly changed with the very recent experimental updates from CMS collaboration on $BR(B_{(d,s)} \rightarrow \mu^+\mu^-)$ with the full Run 2 data [21], and LHCb analysis of R_K and R_{K^*} with the full Run 1 and 2 dataset [22, 23]. The newly reported measurements are in agreement with the Standard Model values, however, the new physics effects can still come into play due to both theoretical and experimental uncertainties [24]. While that measurement provides

hints into new physics, the exact mechanics(models) behind those hints are still unknown, and low-energy measurement cannot fully reveal the nature behind that.

On the other hand, by scattering high-energy particles, collider experiments provide unique opportunities to access underlying UV theories. Among various current and future colliders[25], a multi-TeV muon collider[26, 27] is ideal for such studies. Being fundamental particles, the entire energy of incoming muons is available to produce short-distance scattering rather than being spread among partons of hadrons, and thus a 14 TeV muon collider can be as effective as a 100 TeV proton-proton collider[28]. Such high energy reach strongly benefits searching new heavy particles, such as minimal dark matter models[29, 30], as well as indirect measurement at high energies[31]. Moreover, vector boson fusion processes are found to be important at muon colliders[32], and enable access to difficult parameters such as the Higgs quartic self-coupling[33]. More importantly, muon colliders have a special feature: the initial states are muons, directly related to those low-energy anomalies. The muon $g - 2$ anomaly can be probed directly at muon colliders[34]. In the context of muon $g - 2$ anomaly, studies have been performed on testing it under the SMEFT formalism[34], and model-exhaustive analysis[34–37].

The multi-TeV reach and better precision advantages of muon colliders, make it a perfect place to probe muon-related B physics in low energy. There are already proposals studying $\mu^+\mu^- \rightarrow b\bar{s}$ or $\bar{b}s$ at multi TeV scale to discuss the impact of low energy rare B decays impact [38, 39]. At the current stage, some rare B decay processes can be nicely parameterized by effective four-fermion operators at the B-physics scale ($\mu = 4.8$ GeV):

$$O_9 = (\bar{s}\gamma_\mu P_L b)(\bar{\ell}\gamma^\mu \ell), \quad (1.2)$$

$$O_{10} = (\bar{s}\gamma_\mu P_L b)(\bar{\ell}\gamma^\mu \gamma_5 \ell), \quad (1.3)$$

For the new physics effects described by operators O_9 and O_{10} , when we go above the weak scale ($\mu = M_Z$ for instance), the Wilson coefficients of such two operators depend on the specific models (e.g. leptoquark, scalars, Z' , etc).

In this work, we adopt the same assumption that the new physics scale is around $\mu = 35$ TeV and it is challenging to discover the new physics signature at the LHC. We also assume that these new physics above the weak scale ($\mu = M_Z$) can be described by the framework of the standard model effective field theory (SMEFT). Under appropriate assumptions, it is noteworthy that there is at least one more operator needed in order to match the SMEFT to low energy operators O_9 and O_{10} . These three operators in the SMEFT are given in Eqs. (2.12-2.14). Different new physics models can lead to different matching conditions across the weak scale.

Different from the proposal presented in [38], where the polarization of muon beams and charge tagging of jets in the final state is assumed, in this work, in order to reveal the nature of new physics related to the rare B decays, we propose to measure the process $\mu^+\mu^- \rightarrow tc$ (here tc denotes both $t\bar{c}$ and $\bar{t}c$). This study can provide complementary information to the processes $\mu^+\mu^- \rightarrow b\bar{s}$ ($\bar{b}s$). The four fermion operators for $\mu^+\mu^- \rightarrow tc$ naturally arise from the operator matching conditions from the low energy effective field theory to the SMEFT, since left-handed top and charm quarks form electroweak SU(2)

doublets respectively as the partners of the left-handed bottom and strange quarks in SMEFT operators. The process $\mu^+\mu^- \rightarrow tc$ can also help to distinguish different new physics models, which yield different operator matching conditions. We will consider one Z' model and three leptoquark models.

The leptoquark particles are predicted in the grand unification models and they can either be scalar or vector bosons. Usually, these particles are superheavy (e.g. 10^{13} GeV), as required by the experimental data of proton decays. Very light leptoquarks (e.g. 1 TeV or a few 10 TeV) are consistent with experimental data if their couplings to the first generation of matter fields are weak. Light leptoquarks are also predicted in the Pati-Salam model, where lepton numbers are treated as the fourth color quantum number. These light leptoquarks can be accessible even at the LHC and future collider projects. Recently, leptoquarks have attracted much attention in order to interpret the previously claimed B anomalies. A comprehensive on the phenomenology of leptoquarks can be found in [40].

Our new findings in this work include 1) The dominant SM background events for the process $\mu^+\mu^- \rightarrow tc$ are different from the background of the process $\mu^+\mu^- \rightarrow bs$. Due to the highly boosted top quark in the final states, jet substructure analysis is crucial to distinguish signal and background events. 2) In the case that there is no new resonance found in the TeV muon colliders, measurement of the process $\mu^+\mu^- \rightarrow tc$, can provide crucial information on the potential nature of the new physics which leads to the low energy rare B decay processes. 3) It is noticed that the final state $W^\pm jj$ can have a very large cross section (e.g. 100fb with collision energy $\sqrt{s} = 10$ TeV), and such a final state is mainly from the weak final state radiation processes. Suppressing the weak final state radiation might be important for signal findings.

This paper is organized as given below. In section 2, we demonstrate the relations between the Wilsonian coefficients of the low-energy effective Hamiltonian and those of the SMEFT. In section 3, we present the values of Wilson coefficients of the SMEFT derived from different new physics models. These new physics models can accommodate the rare B decay processes. In section 4, we perform a Monte Carlo simulation to explore the sensitivity of future muon colliders to these new physics scenarios. We end this work with a few discussions and conclusions. In Appendix, we present the renormalization group equations of Wilsonian coefficients in the SMEFT and effective Hamiltonian, respectively.

2 Matching and running of different operator bases

Interestingly, these rare B decay processes can be simultaneously explained in a model-independent way with the effective four-fermion operators. In many B-physics studies, new physics effects strongly prefer an effective Hamiltonian with O_9 and O_{10} with Wilson coefficients of dimension 6 interactions at the renormalization scale $\mu = 4.8$ GeV ,

$$\mathcal{H}_{eff} = \mathcal{H}_{eff}^{SM} - \mathcal{N} \sum_{\ell=e,\mu} \sum_{i=9,10} \left(c_i O_i^{bs\ell\ell} + c'_i O_i^{bs\ell\ell} \right) + h.c., \quad (2.1)$$

where the normalization factor \mathcal{N} is

$$\mathcal{N} = \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{e^2}{16\pi^2}. \quad (2.2)$$

The operators O_9 and O_{10} are

$$O_9^{bs\ell\ell} = (\bar{s}\gamma_\mu P_L b)(\bar{\ell}\gamma^\mu \ell), \quad (2.3)$$

$$O_{10}^{bs\ell\ell} = (\bar{s}\gamma_\mu P_L b)(\bar{\ell}\gamma^\mu \gamma_5 \ell), \quad (2.4)$$

where $P_L = (1 - \gamma_5)/2$ is the left-handed projection operator. For O'_i , P_L is replaced by right-handed projection operator $P_R = (1 + \gamma_5)/2$.

For the purpose of this paper, to study the related operator O_9 and O_{10} defined in the low energy B physics scale in higher colliding energy scale, we need to treat the running and matching of the operators carefully. Especially the subtleties that arise when the energy runs across the weak scale. Our study finds that in the energy scale above the weak scale, the impact of O_9 and O_{10} operators needs to be reparametrized by three different operators, rather than two, in the so-called Warsaw basis, known as the Standard model effective theory (SMEFT). Here we introduce different bases and coefficient matching as below.

At the scale below the weak scale, potential new physics effects are described by a low energy effective field theory (LEFT). The LEFT Lagrangian with dimension 6 operators can be written as

$$\mathcal{L}_{LEFT} = \mathcal{L}_{QCD+QED} + \frac{1}{v^2} \sum_i L_i Q_i,$$

where L_i are the Wilson Coefficients of LEFT, and $v = 246$ GeV is the vacuum expectation value.

In this paper, we use the convention of Ref. [41]. The most relevant operators are

$$Q_{ed}^{V,LL}(p, r, s, t) = (\bar{e}_{Lp}\gamma^\mu e_{Lr})(\bar{d}_{Ls}\gamma_\mu d_{Lt}), \quad (2.5)$$

$$Q_{de}^{V,LR}(p, r, s, t) = (\bar{d}_{Lp}\gamma^\mu d_{Lr})(\bar{e}_{Rs}\gamma_\mu e_{Rt}), \quad (2.6)$$

where p, r, s, t are generation indices of quark or lepton. Since the EW symmetry is broken, here e and d are the lepton field and down-type quark field. L and R are the chiral indices of fermions.

One can derive the relations between Q_i and O_i easily:

$$Q_{ed}^{V,LL} = \frac{1}{2} (O_9 - O_{10}), \quad (2.7)$$

$$Q_{de}^{V,LR} = \frac{1}{2} (O_9 + O_{10}). \quad (2.8)$$

So we have

$$L_{ed}^{V,LL} = \frac{\mathcal{N}v^2}{2} (c_9 - c_{10}), \quad (2.9)$$

$$L_{de}^{V,LR} = \frac{\mathcal{N}v^2}{2} (c_9 + c_{10}). \quad (2.10)$$

The constraints of c_9 and c_{10} can be found in Ref. [42].

Assuming the new physics appears at a scale above the weak scale Λ , the SMEFT Lagrangian with dimension 6 operators (\mathcal{O}_i) is defined as

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \frac{1}{\Lambda^2} \sum_i C_i \mathcal{O}_i, \quad (2.11)$$

where C_i are called Wilson Coefficients.

A complete set of non-redundant dimension 6 operators has been derived in Ref. [43], so-called Warsaw basis. The most relevant operators in this paper are

$$\mathcal{O}_{lq}^{(1)}(p, r, s, t) = (\bar{l}_p \gamma^\mu l_r)(\bar{q}_s \gamma_\mu q_t), \quad (2.12)$$

$$\mathcal{O}_{lq}^{(3)}(p, r, s, t) = (\bar{l}_p \gamma^\mu \tau^I l_r)(\bar{q}_s \gamma_\mu \tau^I q_t), \quad (2.13)$$

$$\mathcal{O}_{qe}(p, r, s, t) = (\bar{q}_p \gamma^\mu q_r)(\bar{e}_s \gamma_\mu e_t), \quad (2.14)$$

where q, l, e are the left-handed quark doublet, left-handed lepton doublet, and right-handed lepton singlet, respectively. p, r, s, t are generation indices of quark or lepton.

When the electroweak symmetry breaking occurs, the SM heavy particles (top, Higgs, W^\pm, Z) are integrated out, and the SMEFT should be matched to LEFT. The full matching conditions at the tree level have been derived by Ref. [41]. In this paper, we only consider the operators with flavor indices $(p, r, s, t) = (2, 2, 2, 3)$ or $(p, r, s, t) = (2, 3, 2, 2)$, so the matching conditions are simplified to

$$L_{ed}^{V,LL}(2, 2, 2, 3) = \frac{v^2}{\Lambda^2} [C_{lq}^{(1)}(2, 2, 2, 3) + C_{lq}^{(3)}(2, 2, 2, 3)], \quad (2.15)$$

$$L_{de}^{V,LR}(2, 3, 2, 2) = \frac{v^2}{\Lambda^2} C_{qe}(2, 3, 2, 2). \quad (2.16)$$

The related renormalization group equations for the SMEFT and LEFT can be found in Appendix A and Appendix B, respectively.

In Table. 1, we list the runnings of three benchmark points: 1) BP1, $c_9 = -1.0, c_{10} = -0.1$, as an example of general new physics scenario, 2) BP2, $c_9 = c_{10} = 0.25$, as an example that C_{qe} is the main contribution on at scale beyond M_Z , 3) BP3, $c_9 = -c_{10} = -0.39$, as an example that $C_{lq}^{(1)}$ and $C_{lq}^{(3)}$ are the main contributions at scale beyond M_Z . The BP3 is the best fit in Ref. [42]. It is observed that the operator mixings induced by the RGE running have no large effects to change the size of Wilson coefficients the SMEFT at high energy machine.

3 The Matching Conditions of New Physics

At muon colliders, the operators we input are actually Eq.(2.12) to Eq. (2.14). In massless limit,¹ the differential cross section for $\mu^+ \mu^- \rightarrow tc$ and $\mu^+ \mu^- \rightarrow bs$ are:

$$\frac{d\sigma(\mu^+ \mu^- \rightarrow X)}{d \cos \theta} = \frac{3s}{256\pi} [|C_{LL}^X|^2 (1 + \cos \theta)^2 + |C_{qe}|^2 (1 - \cos \theta)^2] \quad (3.1)$$

¹For simplicity, in this section we work under the massless limit. Nevertheless, for the numerical results discussed in later sections, full mass dependence is included

	BP1	BP2 ($c_9 = c_{10}$)	BP3($c_9 = -c_{10}$)
c_9	-1.00	0.25	-0.39
c_{10}	-0.10	0.25	+0.39
$L_{ed}^{V,LL}(m_B)$	-2.13×10^{-5}	0.00	-1.85×10^{-5}
$L_{de}^{V,LR}(m_B)$	-2.60×10^{-5}	1.18×10^{-5}	0.00
$L_{ed}^{V,LL}(m_Z)$	-2.16×10^{-5}	2.83×10^{-8}	-1.86×10^{-5}
$L_{de}^{V,LR}(m_Z)$	-2.60×10^{-5}	1.18×10^{-5}	-4.41×10^{-8}
$(C_{lq}^{(1)} + C_{lq}^{(3)})(m_Z)$	-3.56×10^{-2}	4.67×10^{-5}	-3.10×10^{-2}
$C_{qe}(m_Z)$	-4.29×10^{-2}	1.95×10^{-2}	-7.28×10^{-5}
$(C_{lq}^{(1)} + C_{lq}^{(3)})(\Lambda)$	-3.75×10^{-2}	1.03×10^{-4}	-3.20×10^{-2}
$C_{qe}(\Lambda)$	-4.42×10^{-2}	2.00×10^{-2}	-2.02×10^{-4}

Table 1. The coefficients $L_{ed}^{V,LL}$, $L_{de}^{V,LR}$, $C_{lq}^{(1)} + C_{lq}^{(3)}$ and C_{qe} at scale $m_B = 5$ GeV, $m_Z = 91.19$ GeV and $\Lambda = 10$ TeV are listed, with difference input of c_9 and c_{10} . Here, we assume $C_{lq}^{(1)} = L_{ed}^{V,LL} \times \Lambda^2/v^2$, $C_{lq}^{(3)} = 0$.

where

$$C_{LL}^{bs} = C_{lq}^{(1)} + C_{lq}^{(3)}, C_{LL}^{tc} = C_{lq}^{(1)} - C_{lq}^{(3)} \quad (3.2)$$

Integrating over $\cos \theta$, we can obtain the inclusive cross section as:

$$\sigma(\mu^+\mu^- \rightarrow X) = \frac{1}{32\pi} s(|C_{LL}^X|^2 + |C_{qe}|^2) \quad (3.3)$$

In a general new physical model, both $C_{lq}^{(1)}$ and $C_{lq}^{(3)}$ can be both non-zero, and thus both processes $\mu^+\mu^- \rightarrow tc$ and $\mu^+\mu^- \rightarrow bs$ receives new physical contribution, to be measured in future muon colliders. We note that for $\mu^+\mu^- \rightarrow bs$, the corresponding Wilson coefficients C_{LL}^{bs} is directly in charge of $b \rightarrow s\mu^+\mu^-$ transition in B -physics, and hence constrained by those measurements. On the other hand, C_{LL}^{tc} is unconstrained. As a general argument, the underlying new physical which induces C_{LL}^{bs} or equivalently $C_{lq}^{(1)}, C_{lq}^{(3)}$, would induce also C_{LL}^{tc} with size at similar order.

Therefore, we expect that the cross-section of $\mu^+\mu^- \rightarrow tc$ is comparable to $\mu^+\mu^- \rightarrow bs$, and as we will show in Section 4, due to the presence of top quark in the final state of $\mu^+\mu^- \rightarrow tc$, it is easier to measure than $\mu^+\mu^- \rightarrow bs$. To be more specific, below we discuss four types of new physics models labeled as Model I-IV, where the relations between C_{LL}^{tc} and C_{LL}^{bs} are given, and later in Section 4 we will show how to distinguish them by measuring both $\mu^+\mu^- \rightarrow tc$ and $\mu^+\mu^- \rightarrow bs$.

Model I A Z' model with flavor symmetry $U(1)_{L_\mu-L_\tau}$. In this model, the $L_\mu - L_\tau$ is promoted into a $U(1)$ gauge symmetry, with a massive gauge boson Z' . Originally it was proposed for muon $g-2$ anomaly [44] and neutrino mixing [45]. Later it is realized that the coupling to quarks can be described by high dimensional operators, which can be generated through new heavy quarks [46]. Such interaction can induce flavor

violation [47]. Since the effective interaction between $bs\mu\mu(tc\mu\mu)$ is mediated by an s -channel Z' , an electroweak singlet, clearly we have $C_{lq}^{(3)} = 0, C_{LL}^{bs} = C_{LL}^{tc} = C_{lq}^{(1)}$. Consequently, we have $\sigma(tc) \sim \sigma(bs)$.

Model II A scalar triplet leptoquark S_3 model. In this model, the new physics is mediated by a heavy scalar leptoquark, which belongs to $SU(2)_L$ triplet. The corresponding Lagrangian can be written as [40]

$$\mathcal{L}_{NP} = \sum_{i,j} \lambda_{ij} \bar{Q}_i^c (i\tau^2) \tau^I L_j S_3^I + \text{h.c.} \quad (3.4)$$

In this model, both $C_{lq}^{(1)}$ and $C_{lq}^{(3)}$ can be generated at tree-level, which is given by [48]

$$[C_{lq}^{(1)}]_{prst} = 3 \frac{\lambda_{sp}^* \lambda_{tr}}{4M^2} \quad (3.5)$$

$$[C_{lq}^{(3)}]_{prst} = \frac{\lambda_{sp}^* \lambda_{tr}}{4M^2}. \quad (3.6)$$

where M is the mass of the leptoquark S_3 . Therefore, we have $C_{LL}^{bs} = 2C_{LL}^{tc}$, and $\sigma(tc) = \frac{1}{4}\sigma(bs)$

Model III A scalar singlet leptoquark S_1 model. In this model, new physics is mediated by a heavy scalar leptoquark, which belongs to $SU(2)_L$ singlet. There are three possible hypercharge assignments, and we consider the case $Y = \frac{1}{3}$ here. The corresponding Lagrangian is

$$\mathcal{L}_{NP} = \sum_{i,j} \lambda_{ij} \bar{Q}_i^c (i\tau_2) L_j S_1 + \text{h.c.} \quad (3.7)$$

At the tree level, the relevant Wilson coefficients are

$$[C_{lq}^{(1),\text{tree}}]_{prst} = \frac{\lambda_{sp}^{L*} \lambda_{tr}^L}{4M^2} \quad (3.8)$$

$$[C_{lq}^{(3),\text{tree}}]_{prst} = -\frac{\lambda_{sp}^{L*} \lambda_{tr}^L}{4M^2} \quad (3.9)$$

Interestingly, we can see that at tree-level $C_{LL}^{bs} = 0$. The leading contribution to C_{LL}^{bs} starts from one-loop level [49]. Consequently, we expect that $C_{LL}^{bs} \ll C_{LL}^{tc}$, and hence $\sigma(tc) \gg C_{LL}^{bs}$. For the experiment bounds ($C_9 = -1$ benchmark point), we get

$$\sum_i |\lambda_{ui\mu}|^2 \text{Re} \frac{(\lambda\lambda^\dagger)_{bs}}{V_{tb}V_{ts}^*} - 1.74 |\lambda_{t\mu}|^2 \sim 12.5 \hat{M}^2 \quad (3.10)$$

where \hat{M} is the mass of the leptoquark in terms of unit TeV, and from $B_s - \bar{B}_s$ mixing we have

$$\frac{(\lambda\lambda^\dagger)_{bs}}{V_{tb}V_{ts}^*} \sim (1.87 + 0.45i) \hat{M} \quad (3.11)$$

For perturbativity, we have $|\lambda_{u_i\mu}|^2 < 4\pi$, which yield

$$M \lesssim 1.9\text{TeV} \quad (3.12)$$

Consequently, we expect that at the energy range of future muon colliders, a pair of the singlet scalars S_1 can be produced and be observed directly.

Model IV A U_1 vector leptoquark model. Originally proposed in [50], see also [51]. The Lagrangian is given by

$$\mathcal{L}_{NP} = -\frac{1}{2}U_{1,\mu\nu}^\dagger U^{1,\mu\nu} + M_U^2 U_{1,\mu}^\dagger U_1^\mu + g_U U_{1,\mu} \lambda_{ij} \bar{Q}_i \gamma^\mu L_j + \text{h.c.} \quad (3.13)$$

The relevant Wilson coefficients are

$$[C_{lq}^{(1),\text{tree}}]_{prst} = g_U^2 \frac{\lambda_{sp}^{L*} \lambda_{tr}^L}{2M^2} \quad (3.14)$$

$$[C_{lq}^{(3),\text{tree}}]_{prst} = g_U^2 \frac{\lambda_{sp}^{L*} \lambda_{tr}^L}{2M^2} \quad (3.15)$$

Clearly, in this case we have $C_{LL}^{tc} \sim 0, C_{LL}^{bs} \gg C_{LL}^{tc}$.

4 Signatute of $\mu^+\mu^- \rightarrow t\bar{c}$ at future muon colliders

4.1 Cross sections of signal and main background processes

To study the $\mu^+\mu^- \rightarrow tc$ process at future muon collider, we generate a UFO model with relevant operators by Feynrules [52], and import it to Madgraph5_aMC@NLO[53]. We calculate the cross sections of signals and backgrounds from $E_{cm} = 1$ TeV to 30 TeV. The energy dependencies of the cross sections are shown in Fig. 1.

For the $\mu^+\mu^- \rightarrow tc$ and bs processes, we consider the BP3 as an example where $c_9 = -c_{10} = -0.39$. The cross section of the main signal process $\mu^+\mu^- \rightarrow tc$ derived from the 4-fermion interactions are proportional to collision s^2 , and it increases with the increase of the collision energy, as shown in Fig. 1. For Wilson coefficients C_{lq}^1 and C_{lq}^3 , we consider their relations in Model I and II. As we expect, their cross sections have relation $\sigma(tc) \sim \sigma(bs)$ for Model I, and $\sigma(tc) \sim \frac{1}{4}\sigma(bs)$ for Model II.

The main background processes include $b\bar{b}$, $c\bar{c}$, $q\bar{q}$ ($q = u, d, s$), W^+W^- , ZZ , $t\bar{t}$, and Wjj ($j = u, d, s, c$). These processes are from S-channel and decrease with the increase of the collision energy. It is noteworthy that the cross sections of diboson processes W^+W^- can be much larger than those of di-quarks final states. The process $W^\pm jj$ is also included, which describes the weak final state radiation. It is remarkable that the cross section of $W^\pm jj$ is much larger than those of other processes and is almost 10^2 fb and is constant even when the collision energy increases. Therefore, suppressing the background events of $\mu^+\mu^- \rightarrow W^\pm jj$ will be crucial and necessary.

Near the threshold, the cross section of all background processes is huge compared to the signal process. With the increase of collision energy, the signal cross section can even be larger than those of background processes if the collision energy is large enough (say

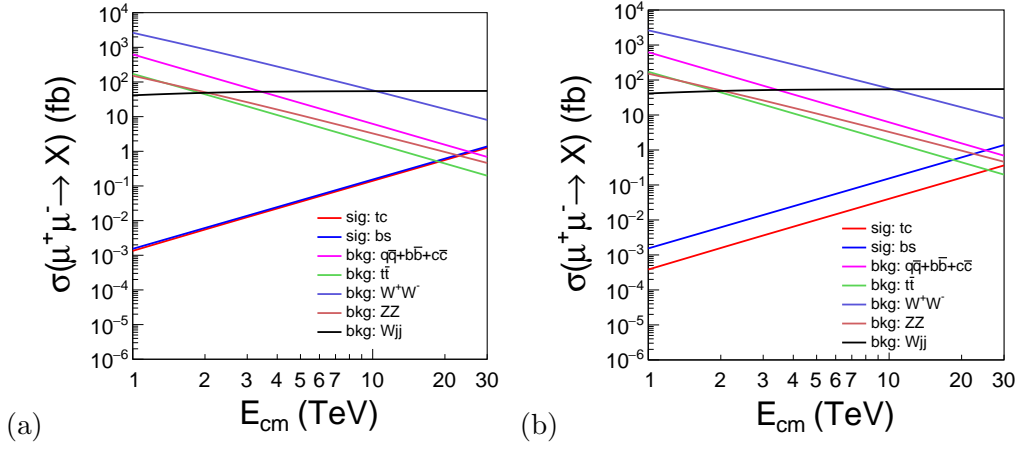


Figure 1. The energy dependencies of the cross sections of $\mu^+\mu^- \rightarrow X$ are displayed. For the signal, $X = t\bar{c}$, while X is the final state of the background. The BP3 with $c_9 = -c_{10} = -0.39$ is shown here. Their values are evaluated to the cutoff $\Lambda = 10$ TeV by our RGEs and matching conditions in the Appendix. Two models are considered: (a) Model I, i.e. $C_{lq}^{(1)} = L_{ed}^{V,LL} \times \Lambda^2/v^2$ and $C_{lq}^{(3)} = 0$, and (b) Model II, i.e. $C_{lq}^{(1)} = 3C_{lq}^{(3)} = \frac{3}{4}L_{ed}^{V,LL} \times \Lambda^2/v^2$.

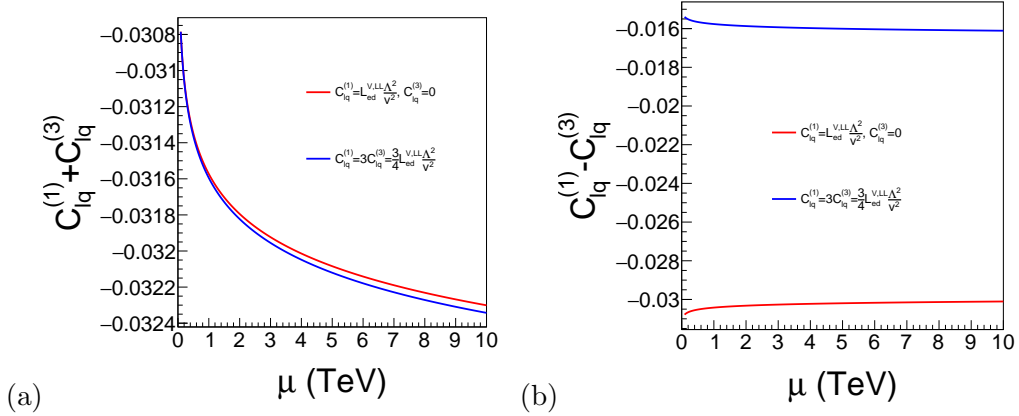


Figure 2. The runnings of (a) $C_{lq}^{(1)} + C_{lq}^{(3)}$ and (b) $C_{lq}^{(1)} - C_{lq}^{(3)}$ from EW scale to $\Lambda = 10$ TeV are displayed. Two NP scenarios are considered: 1) Model I, $C_{lq}^{(1)} = L_{ed}^{V,LL} \Lambda^2/v^2$ and $C_{lq}^{(3)} = 0$, and 2) Model II, $C_{lq}^{(1)} = 3C_{lq}^{(3)} = \frac{3}{4}L_{ed}^{V,LL} \times \Lambda^2/v^2$.

$\sqrt{s} = 30$ TeV) for some new physics models. Nonetheless, when $\sqrt{s} = 10$ TeV, it might be still challenging to discover the signal events since the cross section of background processes is several orders larger than that of the signals processes.

As we have seen in Fig. 1, the process $\mu^+\mu^- \rightarrow t\bar{c}$ can only be observable when $E_{cm} > 10$ TeV. At a such high energy, we can expect two energetic jets to be observed at the detector for either signal or background events.

In Fig. 1, the BP3 with $c_9 = -c_{10} = -0.39$ given in Table 1 is considered. Their

values are evaluated to the EW scale by our RGEs Eq. B.1 and Eq. B.2. And then they are matched to SMEFT by Eq. 2.15 and Eq. 2.16. From Eq. 2.15, we also know that the constraint from B physics can be only applied to the sum of $C_{lq}^{(1)} + C_{lq}^{(3)}$ ². So any new physics scenarios with $C_{lq}^{(1)}$ and $C_{lq}^{(3)}$ as free parameters cannot be constrained by the data from B physics experiments. In Fig. 2, we show the running of $C_{lq}^{(1)} + C_{lq}^{(3)}$ and $C_{lq}^{(1)} - C_{lq}^{(3)}$ from EW scale to new physics scale at $\Lambda = 10$ TeV for the BP3 case with $c_9 = -c_{10} = -0.39$. We have considered two simple scenarios at EW scale (M_Z): 1) Model I with $C_{lq}^{(1)} = L_{ed}^{V,LL} \frac{\Lambda^2}{v^2}$ and $C_{lq}^{(3)} = 0$; 2) Model II with $C_{lq}^{(1)} = 3C_{lq}^{(3)} = \frac{3}{4} L_{ed}^{V,LL} \frac{\Lambda^2}{v^2}$. Considering the experimental uncertainties, it is challenging to distinguish these two cases from the effects of RGE running.

It is noteworthy that although these two NP scenarios, i.e. Model I and Model II, produce the same c_9 and c_{10} at $\mu = M_B$, their high energy behaviors are different from each other.

4.2 Jet Level Analysis

It should be mentioned that for a muon collider, the collision environment is relatively clean and there is no serious pileup and underlying event which occurred at a hadron collider, but there exist beam-induced backgrounds which arise from the muon decay. By using the jet grooming techniques, it is possible to suppress such beam-induced backgrounds [54]. Therefore, we will neglect the beam induced backgrounds in our study.

Meanwhile, since it is more and more realistic to use the particle flow method to measure the jet energy, which can reduce the uncertainty of jet energy down to 5% – 20% [55]. Actually, one benefit of the particle flow method can also help us to distinguish a light jet, a W/Z boson jet, and a top jet: a W/Z/top jet can have more charged tracks in a detector when compared with a light jet.

To further investigate the kinematic features of jets from signal processes, we input events generated with BP3 and Model I to PYTHIA8 [56] for parton shower and hadronization, and FastJet [57] to reconstruct jets. It is expected that jet algorithms developed for electron-positron colliders can also be applied well to muon colliders. Therefore, we use the generalised k_t algorithm for e^+e^- collisions, which is extended from a simple k_t algorithm [58]. This algorithm defines two distances:

$$d_{ij} = \min(E_i^{2p}, E_j^{2p}) \frac{1 - \cos \theta_{ij}}{1 - \cos R}, \quad (4.1)$$

$$d_{iB} = E_i^{2p}, \quad (4.2)$$

where p and R are input by user. If a d_{ij} is smallest then particle i and j are recombined, while d_{iB} is smallest then i is called an “inclusive jet”. In this context, we choose $p = 1$.

It should be pointed out that the denominator $(1 - \cos R)$ is replaced by $(3 + \cos R)$ while choosing $\pi < R < 3\pi$ in FastJet. In this case, d_{iB} is always larger than d_{ij} so that

²The generation indices are always $(p, r, s, t) = (2, 2, 2, 3)$ for $C_{lq}^{(1)}$ and $C_{lq}^{(3)}$ and $(p, r, s, t) = (2, 3, 2, 2)$ for C_{qe} in this paper.

only one inclusive jet can be found. If we also choose $p = 1$, the generalised k_t algorithm is identical to the original k_t algorithm [58], which only has a single distance:

$$d_{ij} = \min(E_i^2, E_j^2) (1 - \cos \theta_{ij}), \quad (4.3)$$

and one can extract “exclusive jet” only. For the high energy muon collider, muon beams may radiate energetic particles which can have large angles to the beams. Such kind of particles are included in the exclusive jets. So this algorithm is not sufficient in our context.

Below we will demonstrate a case study with the collision energy $\sqrt{s} = 10$ TeV at the jet level analysis, with the BP3 and Model I as theoretical input. We demand all signal and background events have hadronic decays and neglect those semi-leptonic and pure leptonic final states.

Since the dominant background processes are $WW(ZZ)$, tt , and jj which can have more than two energetic jets in the final state, in order to avoid the combinatoric issues, it is better to have less number of jets in the final state. For example, when $R = 0.05$ and $E_j > 100$, the number of jets for a signal event can be much more than 10, which is difficult to analyze. Then appropriate jet parameters, like cone parameter and energy cut, are crucial for the jet numbers and the preselection of signal events. Due to the fact that both W/Z bosons and top quarks are highly boosted in the final state (each of them carries an energy 5 TeV), it is crucial to set the value of jet parameter so as to capture the whole decay products of W/Z bosons and top quarks. Therefore, in this study, we will focus on the boosted events in our analysis. Considering that the future ECAL (HCAL) sub-detectors can have a granularity good enough, there is no doubt that a future detector of a muon collider is able to resolve the substructure of such a highly boosted top jet. In principle, a future detector of a muon collider should be very similar to the detectors of CEPC and ILC.

The optimization of parameters p and R is a complicated task. As a first attempt, we choose $p = 1$ so it works similarly to a k_t algorithm for hadron collider [59, 60]. For the $t\bar{c}(\bar{t}c)$ final state, we hope to find a R parameter to reconstruct a heavy jet around top mass and a light jet. We have scanned the R parameter from 0.05 to 0.15 and have found that $R = 0.10$ can satisfy this requirement.

In Fig. 3(a), we display the energy distribution of the leading three jets in $t\bar{c}(\bar{t}c)$ final with $R = 0.10$ and $p = 1$. These jets are sorted by energy. Obviously, the first two jets have energy around $E_{cm}/2$, which means that they probably originated from a hard process. We can also observe that the energy of the 3rd jet can reach several hundred GeV or TeV levels. In such a high energy collider, parton shower can radiate some high energy particles and can be detected as a hard jet. To reduce these radiations, we implement a cut $E(j) > 500$ GeV to jets for each event. After this cut, we plot the number of jets in Fig. 3(b). As we can see, the peak is $N_{jets} = 2$ for two final state processes, and the peak for $W^\pm jj$ background is 3. Therefore to demand the number of jets $N_j = 2$ for each event can heavily suppress the background events of $\mu^+\mu^0 \rightarrow W^\pm jj$.

Fig. 4 shows the invariant masses of the two most energetic jets of signal and background events. Here, we label the heavier jet as HJ in Fig. 4(a) and the lighter one as LJ in Fig. 4(b). As we expect, HJ has a peak around the top mass and the distribution of

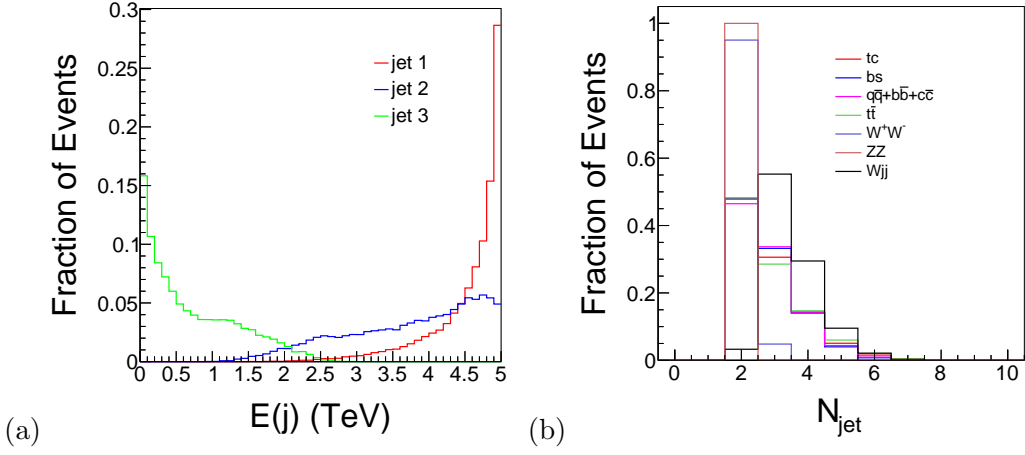


Figure 3. (a) The jet energy distributions of process $\mu^+\mu^- \rightarrow t\bar{c}$ are displayed, where jets are sorted by energy. (b) The number of jets after implementing cut $E(j) > 500$ GeV.

LJ is flat for the signal. For the backgrounds with heavy particles (t , W , and Z), we also observe peaks around their masses. It is because these particles are highly boosted in such a high energy machine.

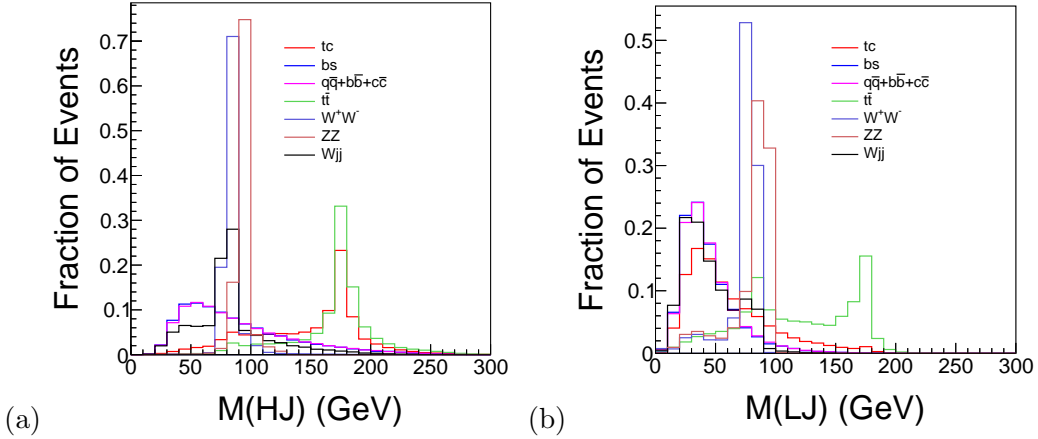


Figure 4. The invariant mass of (a) the heavier jet and (b) the lighter jet in signal and backgrounds are displayed.

B-tagging and C-tagging techniques can help signal and background separation. As shown in [61], the larger the transverse momentum, the better the B tagging efficiency. Therefore we expect a higher B-tagging efficiency for a 10 TeV collision. Although B mesons and D mesons have similar proper times at 10^{-12} seconds, their masses are different. If C-tagging techniques [62] can be applied in our analysis, we expect better results can be obtained.

Since we only consider hadronic decay, the top quark should decay to b quark and W boson, and W further decays to light quarks. So the HJ of a signal event should also be

tagged as a b -jet in a future detector. To consider the b -tagging effects, we track all decayed products of b -hadrons after the hadronization. If the constituents of a jet have a b -hadron, we can label this jet is a true b -jet. The same procedure can be applied to label c -jet.

Fig. 5(a) and Fig. 5(b) show the number of true b -jets and c -jets for signals and backgrounds with quarks. Obviously, a b -jet and a c -jet are found in the $t\bar{c}(\bar{t}c)$ signal. For backgrounds with two b quarks ($t\bar{t}$ and $b\bar{b}$), two b -jets can be found in most events. For the $c\bar{c}$ backgrounds, most events include two c -jets. Most of the $q\bar{q}$ events do have not both b - and c -jet, but a small fraction of such events can have b - or c -jets in the final state. For example, in the parton shower, a quark has a certain probability to radiate a gluon, and subsequently, this gluon may split to heavy quarks like $b\bar{b}$ and $c\bar{c}$. Such a kind of process is easier to occur for an energetic light quark, which may lead to an increase in the mistagging rate of light quarks. For backgrounds with gauge bosons, as we plot in Fig. 5(c) and Fig. 5(d), ZZ , W^+W^- or $W^\pm jj$ can decay to b or c quark, so we also observe some b - or c -jets are found in these events.

With this flavor tagging information, we can implement a b -tagging cut. In our analysis, the b -tagging rate is $\epsilon_b = 0.7$, while the mistagging rate is $\epsilon_c = 0.1$ and $\epsilon_q = 0.01$ for c -jets and light jets, respectively.

Below we introduce some simple cuts to separate signal and background events:

- Cut1: $N_{jet} = 2$, $N_{lepton} = 0$ and $M_{jj} > 8$ TeV,
- Cut2: $150 \text{ GeV} < M(HJ) < 200 \text{ GeV}$,
- Cut3: $M(LJ) < 75 \text{ GeV}$,
- Cut4: the heavy jet is b -tagged and the light jet is not tagged.

The results of the cut flows are listed in Table. 2. As we can see, the cuts for the invariant masses of heavier and lighter jets are efficient to reduce backgrounds with heavy particles. The b -tagging cut is efficient to reduce backgrounds with W boson. After these cuts, the huge backgrounds are reduced successfully, and the signal events can be observed with remarkable significance.

For a purpose of comparison and contrast, we also perform an analysis of the process $\mu^+\mu^- \rightarrow b\bar{s}(\bar{b}s)$. We introduce the following cuts to separate signal and background events

- Cut1: $N_{jet} = 2$, $N_{lepton} = 0$, and $M_{jj} > 8$ TeV,
- Cut2: $M(HJ) < 75 \text{ GeV}$,
- Cut3: One jet is b -tagged.

The results of cut flows are listed in Table. 3.

As we discussed above, there should be no massive jets in such signal events, so we can veto the heavier jets by demanding the heavier jet should not have too much larger jet mass. The jet mass cut of the heavier jets can work to reject backgrounds like $t\bar{t}$, WW ,

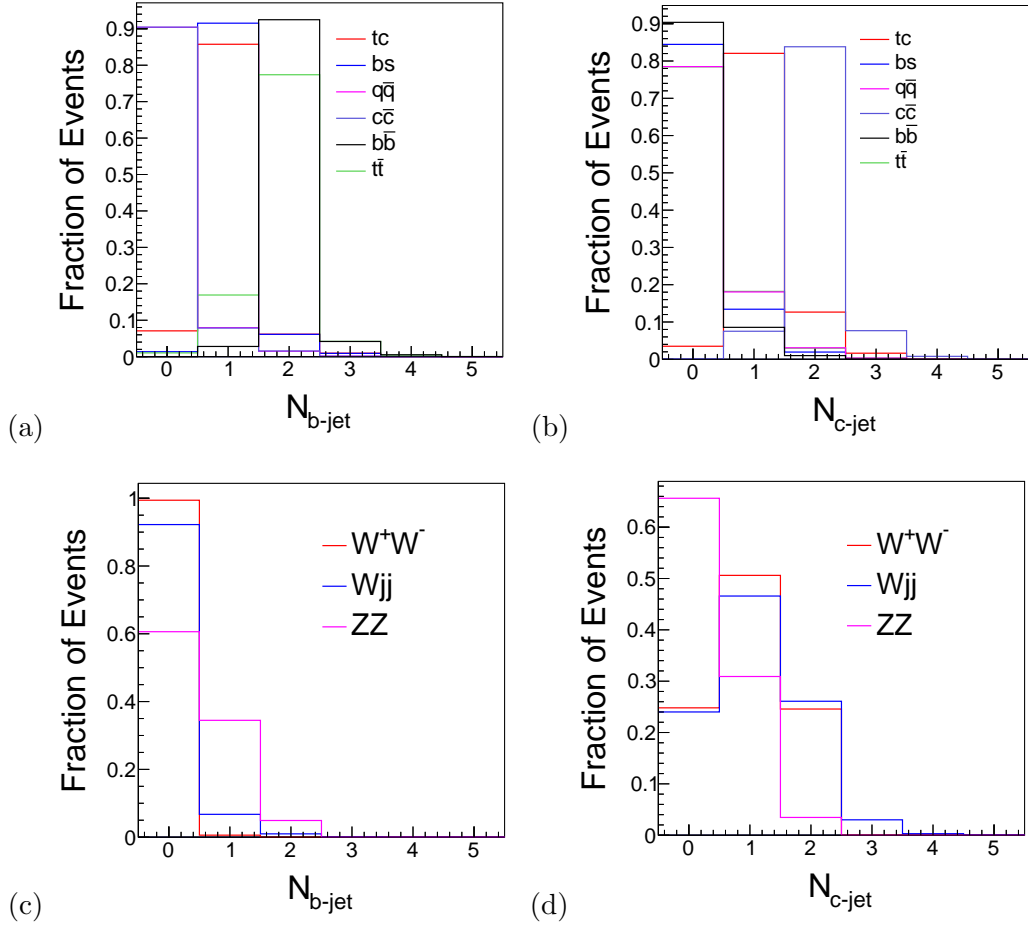


Figure 5. The number of (a) true b -jets and (b) true c -jets in signals and backgrounds with quarks are displayed. As a reference, the number of (c) true b -jet and (d) true c -jet in background with gauge boson are displayed.

	No Cuts	Cut1	Cut2	Cut3	Cut4	S/B	σ
$\mu^+\mu^- \rightarrow tc$	847	389	247	166	101	0.38	5.26
$\mu^+\mu^- \rightarrow t\bar{t}$	7.15×10^3	3323	2534	90	35		
$\mu^+\mu^- \rightarrow q\bar{q}$	3.56×10^4	1.65×10^4	1696	1167	75		
$\mu^+\mu^- \rightarrow c\bar{c}$	1.73×10^4	7663	788	559	70		
$\mu^+\mu^- \rightarrow b\bar{b}$	9137	3744	386	276	53		
$\mu^+\mu^- \rightarrow W^+W^-$	2.51×10^5	2.39×10^5	1181	186	0		
$\mu^+\mu^- \rightarrow Wjj$	3.55×10^5	1.11×10^4	976	603	35		
$\mu^+\mu^- \rightarrow ZZ$	1.52×10^4	1.50×10^4	0	0	0		

Table 2. The number of events before and after each cut are listed, where σ is defined as $\sigma = S/\sqrt{S+B}$. For the signal, we use the BP3 and Model I as theoretical input. We assume the luminosity is 10 ab^{-1} at 10 TeV muon collider. The details of these cuts are described in the text.

	No Cuts	Cut1	Cut2	Cut3	S/B	σ
$\mu^+\mu^- \rightarrow bs$	1533	693	309	214	0.08	3.99
$\mu^+\mu^- \rightarrow t\bar{t}$	7152	3323	1	1		
$\mu^+\mu^- \rightarrow q\bar{q}$	3.56×10^4	1.65×10^4	7128	356		
$\mu^+\mu^- \rightarrow c\bar{c}$	1.73×10^4	7663	3368	735		
$\mu^+\mu^- \rightarrow b\bar{b}$	9137	3744	1662	673		
$\mu^+\mu^- \rightarrow W^+W^-$	2.51×10^5	2.39×10^5	4151	462		
$\mu^+\mu^- \rightarrow Wjj$	3.55×10^5	1.11×10^4	3957	373		
$\mu^+\mu^- \rightarrow ZZ$	1.52×10^4	1.50×10^4	149	63		

Table 3. The number of events before and after each cut is listed. For the signal, we use the BP3 and Model I as theoretical input. We assume the luminosity is 10 ab^{-1} at 10 TeV muon collider. The details of these cuts are described in the text.

and ZZ . The remained backgrounds with W boson can be further reduced by applying a b-tagging cut. After these cuts, it is observed that heavy flavor final states will be the dominant background which leads to a small S/B .

In Fig. 6, we demonstrate the 2σ and 3σ bounds on C_{LL}^X from the measurement of total cross sections. Based on our analysis, C_{LL}^{tc} can be constrained to $[-1.8 \times 10^{-2}, +1.8 \times 10^{-2}]$ ($[-2.2 \times 10^{-2}, +2.2 \times 10^{-2}]$) at 2σ (3σ) limit at 10 TeV muon collider with luminosity $\mathcal{L} = 10 \text{ ab}^{-1}$, while C_{LL}^{bs} can be constrained to $[-2.3 \times 10^{-2}, +2.3 \times 10^{-2}]$ ($[-2.8 \times 10^{-2}, +2.8 \times 10^{-2}]$) at 2σ (3σ) limit.

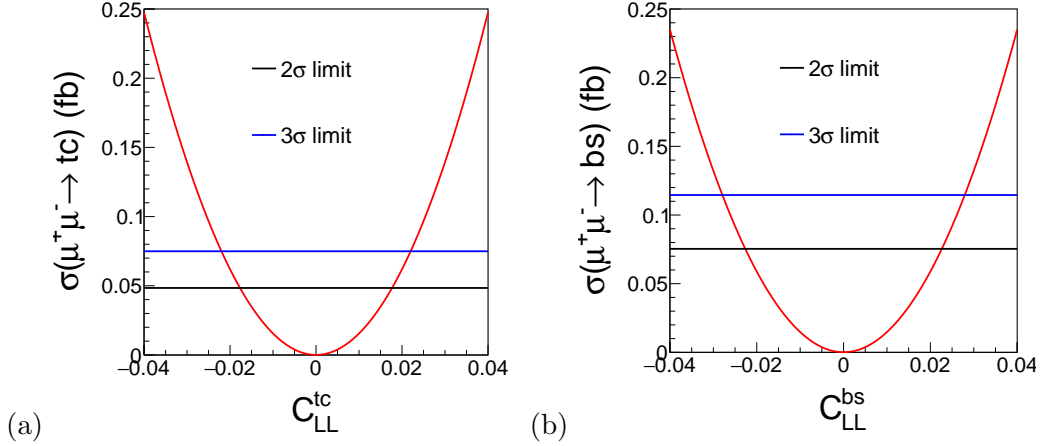


Figure 6. The 2σ and 3σ bounds of (a) C_{LL}^{tc} and (b) C_{LL}^{bs} at 10 TeV muon collider with luminosity $\mathcal{L} = 10 \text{ ab}^{-1}$ are shown.

Similarly, we implement same cuts to analyze the case when only C_{qe} is switched on. In Fig. 7, we show the 2σ and 3σ bounds on C_{qe} . There is no wonder that these bounds are close to that we obtain for C_{LL}^X when only the information of total cross section is utilized.

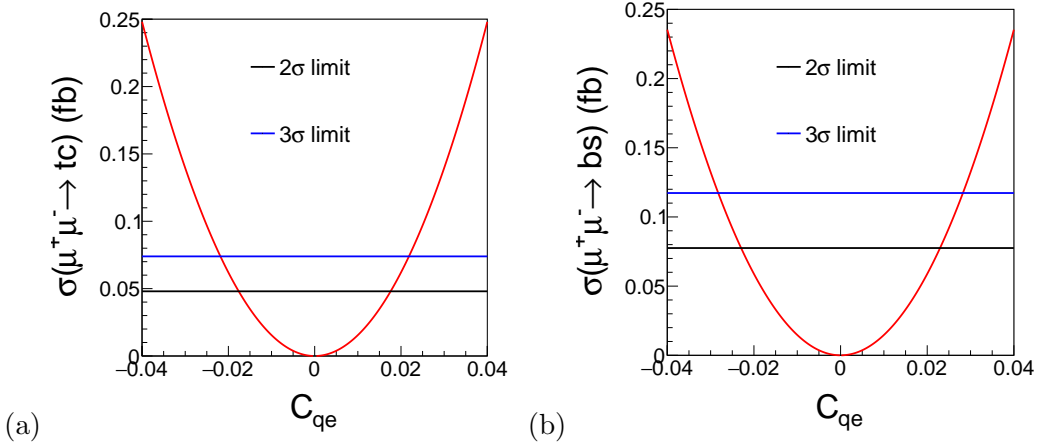


Figure 7. The 2σ and 3σ bounds of C_{qe} obtained by measuring (a) tc and (b) bs final state at 10 TeV muon collider with luminosity $\mathcal{L} = 10 \text{ ab}^{-1}$ are shown.

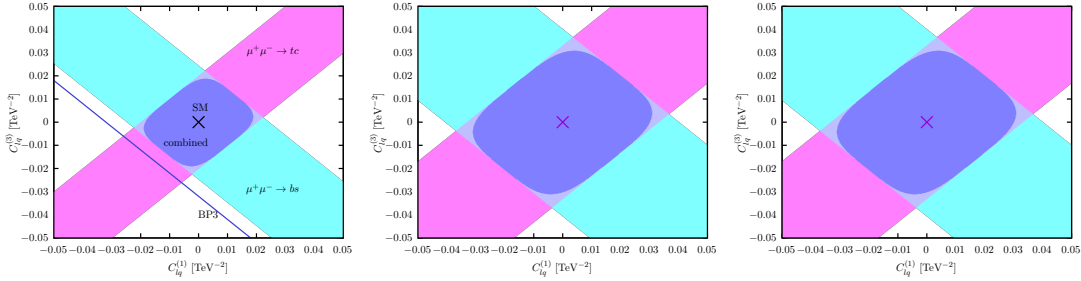


Figure 8. The 2-D 2σ bounds are shown. [X.Z.: *Currently using 0.03 as reference value, need to be updated.*]

5 Summary and Discussion

For the signal processes $\mu^+\mu^- \rightarrow bs$ [38], it has been revealed that b tagging is crucial to reject background events from jj final states. The dominant background events are jj . In order to extract the information on the Wilson coefficients C_9 and C_{10} , it is found that the polarized muon beams and the measurement of forward-backward asymmetry of the final state are needed.

In this work, instead of assuming polarized muon beams and charge tagging of a final state, we propose to measure the signal processes $\mu^+\mu^- \rightarrow tc$. Then it is found that the major task is to reject $t\bar{t}$ and WW events, which might be easier to pick out signal events. It is also found that the weak radiation jjW is large and might be a relevant background.

It is also found that in order to resolve the signal events, detectors with high granularity are needed since top quarks and W bosons in the hadronic final states are around 5 TeV and are highly boosted objects. In order to capture the substructure of these massive jets, the cone parameter should be set as around 0.09 – 0.1 when the collision energy is assumed to be $\sqrt{s} = 10 \text{ TeV}$, which is much smaller compared with the cone parameter adopted as $R = 0.4$ or 0.5 at the LHC. To extract signal events from large background events, a

refined analysis of the jet substructure at TeV region should be applied in order to achieve much better performance. It is expected that a modern top tagger technique can improve the top jet identification and reject the W/Z jets.

To distinguish new physics models, it is found that the measurement of $\mu^+\mu^- \rightarrow tc$ can pinpoint Model I and Model II. Model III can be discovered due to the resonance near a few TeV regions. Model IV could be favored if there is no signal of the process $\mu^+\mu^- \rightarrow tc$ are measured.

Acknowledgments

Z.J. Zhao has been partially supported by a Nikolai Uraltsev Fellowship of the Center for Particle Physics, University of Siegen, and partially supported by the Natural Science Foundation of China under the grant No. 11875260. S.C. Sun is supported by the National Natural Science Foundation of China, No.12105013. Q.S. Yan is supported by the Natural Science Foundation of China under the grant No. 11475180 and No. 11875260. X.R. Zhao is supported by the Italian Ministry of Research (MUR) under grand PRIN 20172LNEEZ.

A SMEFT Renormalization Group Equation

The RGE of SMEFT Wilson coefficients can be written as

$$\frac{dC_i}{d\ln\mu} = \frac{1}{16\pi^2}\beta_i. \quad (\text{A.1})$$

All 1-loop β functions of operators in Warsaw basis have been derived in Ref. [63].

The β functions of operators 2.12~2.14 are

$$\begin{aligned} [\beta_{lq}^{(1)}]_{prst} &= \frac{2}{3}g'^2 \left([C_{lq}^{(1)}]_{wvst} + [C_{qe}]_{stvw} \right) \delta_{pr} - g'^2 [C_{lq}^{(1)}]_{prst} \\ &\quad + 9g^2 [C_{lq}^{(3)}]_{prst} + \frac{1}{2}[\Gamma_u^\dagger \Gamma_u]_{vt} [C_{lq}^{(1)}]_{prsv}, \end{aligned} \quad (\text{A.2})$$

$$\begin{aligned} [\beta_{lq}^{(3)}]_{prst} &= \frac{2}{3}g^2 [C_{lq}^{(3)}]_{wvst} \delta_{pr} + 3g^2 [C_{lq}^{(1)}]_{prst} - (6g^2 + g'^2) [C_{lq}^{(3)}]_{prst} \\ &\quad + \frac{1}{2}[\Gamma_u^\dagger \Gamma_u]_{vt} [C_{lq}^{(3)}]_{prsv}, \end{aligned} \quad (\text{A.3})$$

$$\begin{aligned} [\beta_{qe}]_{prst} &= \frac{4}{3}g'^2 \left([C_{lq}^{(1)}]_{wvpr} + [C_{qe}]_{prvw} \right) \delta_{st} + 2g'^2 [C_{qe}]_{prst} \\ &\quad + \frac{1}{2}[\Gamma_u^\dagger \Gamma_u]_{vr} [C_{lq}^{(1)}]_{pvst}, \end{aligned} \quad (\text{A.4})$$

where g and g' are the gauge coupling of $SU(2)$ and $U(1)$, respectively. Γ_u is the 3×3 Yukawa mass matrix of u-type quarks. For simplicity, we only consider the top quark is massive, and only the element $\Gamma_u(3,3) = 1$ is non-zero. Note the Eq. A.2~A.4 only contains the most important contributions and mixing of the corresponding operators. The mixing of the full set of operators is beyond the scope of this work.

The 1-loop RGE running of SM parameters is given by these β functions:

$$\beta_g = -\frac{19}{6}g^3, \quad (\text{A.5})$$

$$\beta_{g'} = \frac{41}{6}g'^3, \quad (\text{A.6})$$

$$\beta_{g_s} = -7g_s^2, \quad (\text{A.7})$$

$$[\beta_{\Gamma_u}]_{33} = \frac{9}{4}g^2\Gamma_u(3,3) - \frac{17}{12}g'^2\Gamma_u(3,3) - 8g_s^2\Gamma_u(3,3) + \frac{9}{2}\Gamma_u^3(3,3). \quad (\text{A.8})$$

Our simplified RGE running has been compared with two tools: DSixTools [63, 64] and Wilson [65]. The differences between our results and these tools are below 1%.

B LEFT Renormalization Group Equation

The definition of RGE of LEFT is the same as Eq. A.1, but C_i are replaced by L_i . The β functions of operator 2.5 and 2.6 are

$$\left[\beta_{ed}^{V,LL}\right]_{prst} = \frac{4}{3}e^2q_eq_d\delta_{pr}\left([L_{ed}^{V,LL}]_{wvst} + [L_{ed}^{V,LL}]_{stvw}\right) + 12e^2q_eq_d[L_{ed}^{V,LL}]_{prst}, \quad (\text{B.1})$$

$$\left[\beta_{de}^{V,LR}\right]_{prst} = \frac{4}{3}e^2q_e^2\delta_{st}\left([L_{ed}^{V,LL}]_{wvpr} + [L_{de}^{V,LR}]_{prvw}\right) - 12e^2q_eq_d[L_{de}^{V,LR}]_{prst}, \quad (\text{B.2})$$

where e is the coupling constant of QED. $q_e = -1$ and $q_d = -1/3$ are the charges of lepton and d-type quark, respectively.

At the low energy scale, the 1-loop running of QCD and QED coupling is given by the following β functions:

$$\beta_{g_s} = -\frac{23}{3}g_s^3, \quad (\text{B.3})$$

$$\beta_e = \frac{80}{9}e^3, \quad (\text{B.4})$$

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