



# AN ABSTRACT OF THE DISSERTATION OF

Zhian N. Kamvar for the degree of Doctor of Philosophy in Plant Pathology  
presented on December 6, 2016.

Title: Development and Application of Tools for Analysis of Clonal Population Genetics

Abstract approved: \_\_\_\_\_

Niklaus J. Grünwald

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Development and Application of Tools for Analysis of Clonal Population  
Genetics

by

Zhian N. Kamvar

A DISSERTATION

submitted to

Oregon State University

in partial fulfillment of  
the requirements for the  
degree of

Doctor of Philosophy

Presented December 6, 2016  
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Doctor of Philosophy dissertation of Zhian N. Kamvar presented on  
December 6, 2016.

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I understand that my dissertation will become part of the permanent collection of Oregon State University libraries. My signature below authorizes release of my dissertation to any reader upon request.

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Zhian N. Kamvar, Author

## ACKNOWLEDGEMENTS

I would like to acknowledge... Lorem ipsum dolor sit amet, consectetur adipiscing elit. Maecenas vel eros sed mauris porttitor semper nec a orci. Nullam vestibulum mi nec condimentum posuere. Pellentesque eget diam id sapien aliquet ullamcorper. Pellentesque blandit nec lectus ut mollis. Praesent in facilisis justo. Vestibulum ante ipsum primis in faucibus orci luctus et ultrices posuere cubilia Curae; Sed eget congue leo, sed consequat libero. In rutrum malesuada nisi. Vestibulum ante ipsum primis in faucibus orci luctus et ultrices posuere cubilia Curae; Morbi sollicitudin tortor ut sem facilisis mollis.

## CONTRIBUTION OF AUTHORS

The following people contributed to this dissertation:

### Chapter 2

Javier F. Tabima assisted in writing the code and design, Niklaus J. Grünwald assisted in design, and editing of the manuscript.

### Chapter 3

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### Chapter 4

Jonah C. Brooks assisted in writing and testing the code. Niklaus J. Grünwald assisted in the design, coördination of the collaborative effort, and editing the manuscript.

### Chapter 5

Niklaus J. Grünwald assisted in the design, and editing of the manuscript.

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This is for my mother who paved the way.

## Chapter 1: Introduction

- Plant Pathogens
  - Evolution and Adaptation
  - Understanding clonal population dynamics (*Phytophthora* and *Zymoseptoria* examples)
- Tools for analysis
  - Tools for clonal populations always come after sexual populations
  - We wrote our own tools
- Goals of my work
  1. development of computational tools to characterize populations
  2. application of these tools to address empirical and theoretical questions

### 1.1 Population genetics of clonal organisms

- Population genetics is traditionally centered around HWE
- Clonal populations violate basic statistical inference
  - non-independent samples (clones)
  - excess heterozygosity

- Meselson effect (Butlin 2000; Welch & Meselson 2000, 2001; Balloux *et al.* 2003)

## 1.2 The Genus *Phytophthora*

The genus *Phytophthora*, translating to “plant destroyer” in Greek, contains over 100 species (Kroon *et al.* 2012), many of which have significant impact on US agriculture. *P. sojae* is a major problem on soybean, causing \$1-2 billion in losses each year (Tyler 2007). *P. ramorum* is changing the landscape of the North American West due to its wide host range (Grünwald *et al.* 2008), which results in devastating losses for the US forestry and nursery industries. And *P. infestans*, which was a root cause of over a million deaths during the Irish Potato Famine and continues to be a problem on tomato and potato crops, resulting in losses exceeding \$6 billion, annually (Haas *et al.* 2009). *Phytophthora spp.* are water molds characterized by production of oospores and biflagellate zoospores that place them into the Stramenopiles (Baldauf 2003). They are most closely related to golden brown algae and quite diverged from fungi.

### 1.2.1 life cycle

### 1.2.2 Sex and mating types

### 1.2.3 Heterothallic, clonal: *P. ramorum*

- Sudden Oak Death

- Population genetics in US nurseries (Goss *et al.* 2009)

#### 1.2.4 Homothallic, partially clonal: *P. syringae*

- Abundant in OR Nurseries (found in foliar isolates) (Parke *et al.* 2014)
- Genetic structure uncharacterized

### 1.3 Tools for analysis of clonal population genetics

Recommendations have been made for analysis (Arnaud-Hanod *et al.* 2007)

- MLG diversity
- Genotype Accumulation Curve
- $P_{sex}$  and  $P_{gen}$

#### 1.3.1 Index of Association

- Standardized Version (Agapow & Burt 2001)
- Previous Simulation Analyses (de Meeûs & Balloux 2004)
- What's missing
  - Sympatric clonal lineages
  - Analysis of significance testing
  - HTS markers

### 1.3.2 Software Limitations

Plethora of tools, most designed for sexual populations except:

- GenClone
- GenoDive

Problems with file formatting, time, and reproducibility.

### 1.3.3 poppr

- R
- poppr

## 1.4 Applications of novel tools for analysis of partially-clonal populations

- Simulation analysis of  $\bar{r}_d$
- SSR analysis of *P. ramorum*
- GBS analysis of *P. syringae*

## 1.5 Conclusion

- Open Source Scientific Software Development of Poppr
  - Related tools
- Simulation Analysis

- Pop gen info for two *Phytophthoras*
- Major results of your work in 2-4 sentences ...

Chapter 2: *Poppr*: an R Package For Genetic Analysis of Populations  
With Clonal, Partially Clonal, and/or Sexual Reproduction

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## 2.1 Abstract

Many microbial, fungal, or oomcyete populations violate assumptions for population genetic analysis because these populations are clonal, admixed, partially clonal, and/or sexual. Furthermore, few tools exist that are specifically designed for analyzing data from clonal populations, making analysis difficult and haphazard. We developed the R package `poppr` providing unique tools for analysis of data from admixed, clonal, mixed, and/or sexual populations. Currently, `poppr` can be used for dominant/codominant and haploid/diploid genetic data. Data can be imported from several formats including GenAIEx formatted text files and can be analyzed on a user-defined hierarchy that includes unlimited levels of subpopulation structure and clone censoring. New functions include calculation of Bruvo's distance for microsatellites, batch-analysis of the index of association with several indices of genotypic diversity, and graphing including dendograms with bootstrap support and minimum spanning networks. While functions for genotypic diversity and clone censoring are specific for clonal populations, several functions found in `poppr` are also valuable to analysis of any populations. A manual with documentation and examples is provided. `Poppr` is open source and major releases are available on CRAN: <http://cran.r-project.org/package=poppr>. More supporting documentation and tutorials can be found under 'resources' at: <http://grunwaldlab.cgrb.oregonstate.edu/>.

Chapter 3: Spatial and Temporal Analysis of Populations of the Sudden  
Oak Death Pathogen in Oregon Forests

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### 3.1 Abstract

Sudden oak death caused by the oomycete *Phytophthora ramorum* was first discovered in California toward the end of the 20th century and subsequently emerged on tanoak forests in Oregon before its first detection in 2001 by aerial surveys. The Oregon Department of Forestry has since monitored the epidemic and sampled symptomatic tanoak trees from 2001 to the present. Populations sampled over this period were genotyped using microsatellites and studied to infer the population genetic history. To date, only the NA1 clonal lineage is established in this region, although three lineages exist on the North American west coast. The original introduction into the Joe Hall area eventually spread to several regions: mostly north but also east and southwest. A new introduction into Hunter Creek appears to correspond to a second introduction not clustering with the early introduction. Our data are best explained by both introductions originating from nursery populations in California or Oregon and resulting from two distinct introduction events. Continued vigilance and eradication of nursery populations of *P. ramorum* are important to avoid further emergence and potential introduction of other clonal lineages.

Chapter 4: Novel R Tools For Analysis of Genome-Wide Population  
Genetic Data With Emphasis on Clonality

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## 4.1 Abstract

To gain a detailed understanding of how plant microbes evolve and adapt to hosts, pesticides, and other factors, knowledge of the population dynamics and evolutionary history of populations is crucial. Plant pathogen populations are often clonal or partially clonal which requires different analytical tools. With the advent of high throughput sequencing technologies, obtaining genome-wide population genetic data has become easier than ever before. We previously contributed the R package *poppr* specifically addressing issues with analysis of clonal populations. In this paper we provide several significant extensions to *poppr* with a focus on large, genome-wide SNP data. Specifically, we provide several new functionalities including the new function `mlg.filter` to define clone boundaries allowing for inspection and definition of what is a clonal lineage, minimum spanning networks with reticulation, a sliding-window analysis of the index of association, modular bootstrapping of any genetic distance, and analyses across any level of hierarchies.

## 4.2 Introduction

To paraphrase Dobzhansky, nothing in the field of plant-microbe interactions makes sense except in the light of population genetics (Dobzhansky 1973). Genetic forces such as selection and drift act on alleles in a population. Thus, a true understanding of how plant pathogens emerge, evolve and adapt to crops, fungicides, or other factors, can only be elucidated in the context of population level phenomena given the demographic history of populations (Milgroom *et al.* 1989; McDonald & Linde 2002;

Grünwald & Goss 2011). The field of population genetics, in the era of whole genome resequencing, provides unprecedented power to describe the evolutionary history and population processes that drive coevolution between pathogens and hosts. This powerful field thus critically enables effective deployment of R genes, design of pathogen informed plant resistance breeding programs, and implementation of fungicide rotations that minimize emergence of resistance.

Most computational tools for population genetics are based on concepts developed for sexual model organisms. Populations that reproduce clonally or are polyploid are thus difficult to characterize using classical population genetic tools because theoretical assumptions underlying the theory are violated. Yet, many plant pathogen populations are at least partially clonal if not completely clonal (Anderson & Kohn 1995; Milgroom 1996). Thus, development of tools for analysis of clonal or polyploid populations is needed.

Genotyping by sequencing and whole genome resequencing provide the unprecedented ability to identify thousands of single nucleotide polymorphisms (SNPs) in populations (Luikart *et al.* 2003; Davey *et al.* 2011; Elshire *et al.* 2011). With traditional marker data (e.g., SSR, AFLP) a clone was typically defined as a unique multilocus genotype (MLG) (Falush *et al.* 2003; Taylor & Fisher 2003; Grünwald & Hoheisel 2006; Goss *et al.* 2009; Cooke *et al.* 2012). Availability of large SNP data sets provides new challenges for data analysis. These data are based on reduced representation libraries and high throughput sequencing with moderate sequencing depth which invariably results in substantial missing data, error in SNP calling due to sequencing error, lack of read depth or other sources of spurious allele calls (Mastretta-Yanes *et al.* 2015). It is

thus not clear what a clone is in large SNP data sets and novel tools are required for definition of clone boundaries.

The research community using the R statistical and computing language (R Core Team 2015) has developed a plethora of new resources for population genetic analysis. R is particularly appealing because all code is open source and functions can be evaluated and modified by any user. Recently, we introduced the R package *poppr* specifically developed for analysis of clonal populations (Kamvar *et al.* 2014b). *Poppr* previously introduced several novel features including the ability to conduct a hierarchical analysis across unlimited hierarchies, test for linkage association, graph minimum spanning networks or provide bootstrap support for Bruvo's distance in resulting trees. *Poppr* has been rapidly adopted and applied to a range of studies including for example horizontal transmission in leukemia of clams (Metzger *et al.* 2015), study of the vector-mediated parent-to-offspring transmission in an avian malaria-like parasite (Chakarov *et al.* 2015), and characterization of the emergence of the invasive forest pathogen *Hymenoscyphus pseudoalbidus* (Gross *et al.* 2014). It has also been used to implement real-time, online R based tools for visualizing relationships among unknown MLGs in reference databases ([\(http://phytophthora-id.org/\)](http://phytophthora-id.org/)) (Grünwald *et al.* 2011).

Here, we introduce *poppr* 2.0, which provides a major update to *poppr* (Kamvar *et al.* 2014b) including novel tools for analysis of clonal populations specifically addressing large SNP data. Significant novel tools include functions for calculating clone boundaries and collapsing individuals into clonal groups based on a user-specified genetic distance threshold, sliding window analyses, genotype accumulation curves, reticula-

tions in minimum spanning networks, and bootstrapping for any genetic distance.

## 4.3 Implementations and Examples

### 4.3.1 Clonal identification

As highlighted in previous work, clone correction is an important component of population genetic analysis of organisms that are known to reproduce asexually (Milgroom 1996; Grünwald *et al.* 2003; Kamvar *et al.* 2014b). This method is a partial correction for bias that affects metrics that rely on allele frequencies assuming panmixia and was initially designed for data with only a handful of markers. With the advent of large-scale sequencing and reduced-representation libraries, it has become easier to sequence tens of thousands of markers from hundreds of individuals (Davey & Blaxter 2010; Davey *et al.* 2011; Elshire *et al.* 2011). With this larger number of markers, the genetic resolution is much greater, but the chance of genotyping error is also greatly increased and missing data is frequent (Mastretta-Yanes *et al.* 2015). Taking this fact and occasional somatic mutations into account, it would be impossible to separate true clones from independent individuals by just comparing what MLGs are different. We introduce a new method for collapsing unique multilocus genotypes determined by naive string comparison into multilocus lineages utilizing any genetic distance given three different clustering algorithms: farthest neighbor, nearest neighbor, and UPGMA (average neighbor) (Sokal 1958).

These clustering algorithms act on a distance matrix that is either provided by

the user or generated via a function that will calculate a distance from genetic data such as `bruvo.dist`, which in particular applies to any level of ploidy (Bruvo *et al.* 2004). All algorithms have been implemented in C and utilize the OpenMP framework for optional parallel processing (Dagum & Menon 1998). Default is the conservative farthest neighbor algorithm (Fig. 4.1A), which will only cluster samples together if all samples in the cluster are at a distance less than the given threshold. By contrast, the nearest neighbor algorithm will have a chaining effect that will cluster samples akin to adding links on a chain where a sample can be included in a cluster if all of the samples have at least one connection below a given threshold (Fig. 4.1C). The UPGMA, or average neighbor clustering algorithm is the one most familiar to biologists as it is often used to generate ultra-metric trees based on genetic distance (Fig. 4.1B). This algorithm will cluster by creating a representative sample per cluster and joining clusters if these representative samples are closer than the given threshold.

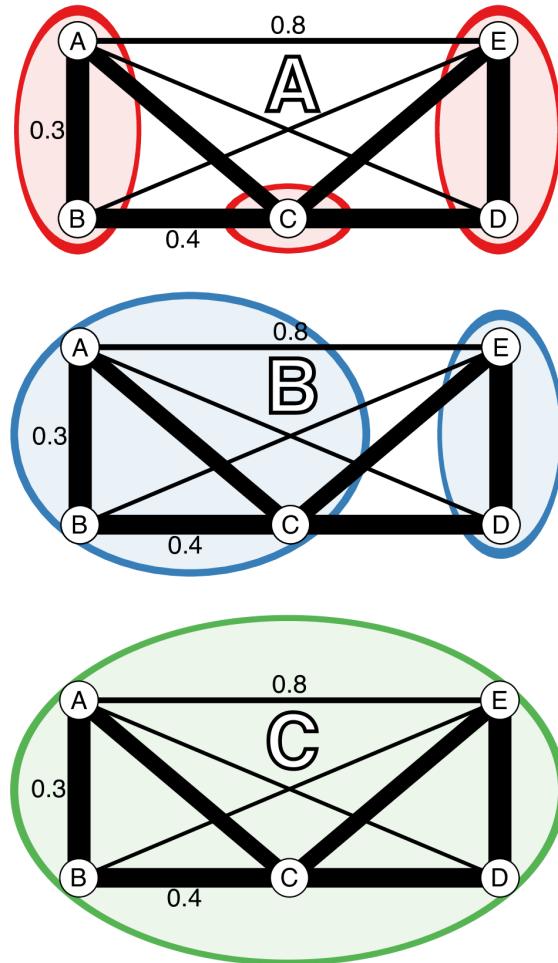


Figure 4.1: Diagrammatic representation of the three clustering algorithms implemented in `mlg.filter`. **(A-C)** Represent different clustering algorithms on the same imaginary network with a threshold of 0.451. Edge weights are represented in arbitrary units noted by the line thickness and numerical values next to the lines. All outer angles are 90 degrees, so the un-labeled edge weights can be obtained with simply geometry. Colored circles represent clusters of genotypes. **(A)** Farthest neighbor clustering does not cluster nodes B and C because nodes A and C are more than a distance of 0.451 apart. **(B)** UPGMA (average neighbor) clustering clusters nodes A, B, and C together because the average distance between them and C is  $< 0.451$ . **(C)** Nearest neighbor clustering clusters all nodes together because the minimum distance between them is always  $< 0.451$ .

We utilize data from the microbe *Phytophthora infestans* to show how the `mlg.filter` function collapses multilocus genotypes with Bruvo's distance assuming a genome addition model (Bruvo *et al.* 2004). *P. infestans* is the causal agent of potato late blight originating from Mexico that spread to Europe in the mid 19th century (Yoshida *et al.* 2013; Goss *et al.* 2014). *P. infestans* reproduces both clonally and sexually. The clonal lineages of *P. infestans* have been formally defined into 18 separate clonal lineages using a combination of various molecular methods including AFLP and microsatellite markers (Lees *et al.* 2006; Li *et al.* 2013). For these data, we used `mlg.filter` to detect all of the distance thresholds at which 18 multilocus lineages would be resolved. We used these thresholds to define multilocus lineages and create contingency tables and dendograms to determine how well the multilocus lineages were detected.

For the *P. infestans* population, the three algorithms were able to detect 18 multilocus lineages at different distance thresholds (Fig. 4.2). Contingency tables between the described multilocus genotypes and the genotypes defined by distance show that most of the 18 lineages were resolved, except for US-8, which is polytomic (Table 4.1).

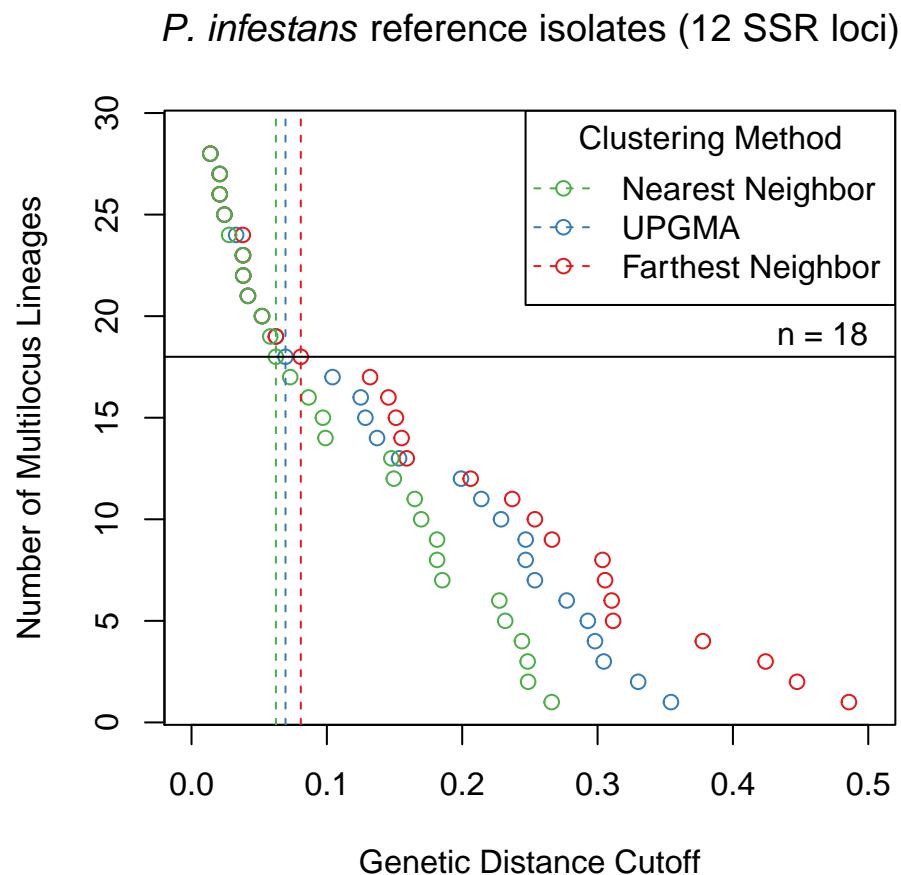


Figure 4.2: Graphical representation of three different clustering algorithms collapsing multilocus genotypes for 12 SSR loci from *Phytophthora infestans* representing 18 clonal lineages. The horizontal axis is Bruvo's genetic distance assuming the genome addition model. The vertical axis represents the number of multilocus lineages observed. Each point shows the threshold at which one would observe a given number of multilocus genotypes. The horizontal black line represents 18 multilocus genotypes and vertical dashed lines mark the thresholds used to collapse the multilocus genotypes into 18 multilocus lineages.

	3	4	5	6	8	10	12	15	16	17	18	20	21	22	24	25	27	28
B	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.
C	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.
D.1	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.
D.2	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.
EU-13	.	.	.	.	.	.	.	.	1	.	.	.	.	.	.	.	.	.
EU-4	.	.	.	.	.	.	.	.	.	1	.	.	.	.	.	.	.	.
EU-5	.	.	.	.	.	.	.	.	.	.	2	.	.	.	.	.	.	.
EU-8	.	.	.	.	.	.	.	.	1	.	.	.	.	.	.	.	.	.
US-11	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	2	.
US-12	.	1	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.
US-14	.	.	.	.	.	.	.	.	1	.	.	.	.	.	.	.	.	.
US-17	.	.	.	.	.	.	.	.	.	.	.	1	.	.	.	.	.	.
US-20	2	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.
US-21	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.	2
US-22	.	.	.	.	.	.	.	.	.	.	.	.	2	.	.	.	.	.
US-23	.	.	.	.	.	.	.	.	3	.	.	.	.	.	.	.	.	.
US-24	.	.	.	.	3	.	.	.	.	.	.	.	.	.	.	.	.	.
US-8	.	1	1	.	2	.	.	.	.	.	.	.	.	.	.	.	.	.

Table 4.1: Contingency table comparing multilocus lineages (MLL) defined in Li *et al.* (2013) and Lees *et al.* (2006) (rows) to MLLs inferred from Bruvo's genetic distance (columns) at a threshold of 0.07 with the average neighbor algorithm (Sokal 1958; Bruvo *et al.* 2004). Values in the table represent the number of times any given inferred MLL matches with a previously defined MLL. For example, in our original data set, there were three genotypes previously defined as the US-24 MLL. All three genotypes were also determined to cluster into a single MLL by filtering. In contrast, US-8 was determined to cluster into three different MLLs by filtering.

We utilized simulated data to evaluate the effect of sequencing error and missing data on MLG calling. We constructed the data using the `glSim` function in `adegenet` (Jombart & Ahmed 2011) to obtain a SNP data set for demonstration. Two diploid data sets were created, each with 10k SNPs (25% structured into two groups) and 200 samples with 10 ancestral populations of even sizes. Clones were created in one data set by marking each sample with a unique identifier and then randomly sampling with replacement. It is well documented that reduced- representation sequencing can introduce several erroneous calls and missing data (Mastretta-Yanes *et al.* 2015). To reflect this, we mutated SNPs at a rate of 10% and inserted an average of 10% missing data for each sample after clones were created, ensuring that no two sequences were alike. The number of mutations and missing data per sample were determined by sampling from a Poisson distribution with  $\lambda = 1000$ . After pooling, 20% of the data set was randomly sampled for analysis. Genetic distance was obtained with the function `bitwise.dist`, which calculates the fraction of different sites between samples equivalent to Provesti's distance, counting missing data as equivalent in comparison (Prevosti *et al.* 1975).

All three filtering algorithms were run with a threshold of 1, returning a numeric vector of length  $n - 1$  where each element represented a threshold at which two samples/clusters would join. Since each data set would have varying distances between samples, the clonal boundary threshold was defined as the midpoint of the largest gap between two thresholds that collapsed less than 50% of the data.

Out of the 100 simulations run, we found that across all methods, detection of duplicated samples had  $\sim 98\%$  true positive fraction and  $\sim 0.8\%$  false positive frac-

tion indicating that this method is robust to simulated populations (supplementary materials<sup>1</sup>).

#### 4.3.2 Minimum Spanning Networks with Reticulation

In its original iteration, *poppr* introduced minimum spanning networks that were based on the *igraph* function `minimum.spanning.tree` (Csardi & Nepusz 2006). This algorithm produces a minimum spanning tree with no reticulations where nodes represent individual MLGs. In other minimum spanning network programs, reticulation is obtained by calculating the minimum spanning tree several times and returning the set of all edges included in the trees. Due to the way *igraph* has implemented Prim's algorithm, it is not possible to utilize this strategy, thus we implemented an internal C function to walk the space of minimum spanning trees based on genetic distance to connect groups of nodes with edges of equal weight.

To demonstrate the utility of minimum spanning networks with reticulation, we used two clonal data sets: the H3N2 flu virus data from the *adegenet* package using years of each epidemic as the population factor, and *Phytophthora ramorum* data from Nurseries and Oregon forests (Jombart *et al.* 2010; Kamvar *et al.* 2014a). Minimum spanning networks were created with and without reticulation using the *poppr* functions `diss.dist` and `bruvo.msn` for the H3N2 and *P. ramorum* data, respectively (Bruvo *et al.* 2004; Kamvar *et al.* 2014b). To detect mlg clusters, the infoMAP community detection algorithm was applied with 10,000 trials as implemented in the R package

---

<sup>1</sup>Supplementary data available at <https://github.com/grunwaldlab/supplementary-poppr-2.0>; DOI: [10.5281/zenodo.17424](https://doi.org/10.5281/zenodo.17424)

*igraph* version 0.7.1 utilizing genetic distance as edge weights and number of samples in each MLG as vertex weights (Csardi & Nepusz 2006; Rosvall & Bergstrom 2008).

To evaluate the results, we compared the number, size, and entropy ( $H$ ) of the resulting communities as we expect a highly clonal organism with low genetic diversity to result in a few, large communities. We also created contingency tables of the community assignments with the defined populations and used those to calculate entropy using Shannon's index with the function *diversity* from the R package *vegan* version 2.2-1 (Shannon 2001; Oksanen *et al.* 2015). A low entropy indicates presence of a few large communities whereas high entropy indicates presence of many small communities.

The infoMAP algorithm revealed 63 communities with a maximum community size of 77 and  $H = 3.56$  for the reticulate network of the H3N2 data and 117 communities with a maximum community size of 26 and  $H = 4.65$  for the minimum spanning tree. The entropy across years was greatly decreased for all populations with the reticulate network compared to the minimum spanning tree (Fig. 4.3). Note that the reticulated network (Fig. 4.3B) showed patterns corresponding with those resulting from a discriminant analysis of principal components (Fig. 4.3D) (Jombart *et al.* 2010).

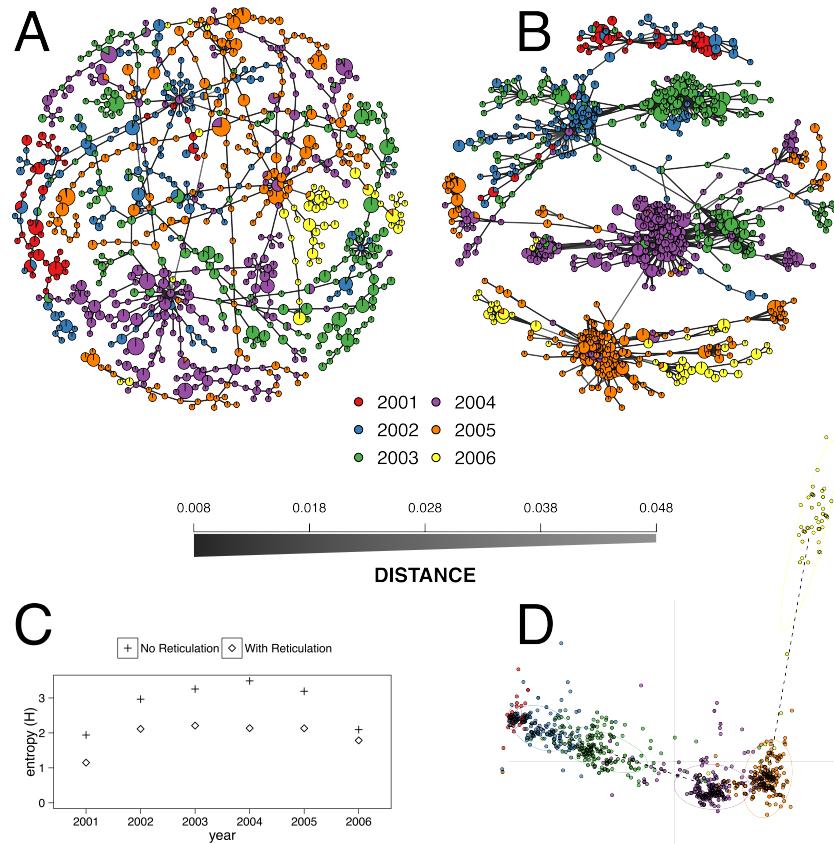


Figure 4.3: **(A-B)** Minimum spanning networks of the hemagglutinin (HA) segment of H3N2 viral DNA from the *adegenet* package representing flu epidemics from 2001 to 2006 without reticulation (**A**) and with reticulation (**B**) (Jombart 2008; Jombart *et al.* 2010). Each node represents a unique multilocus genotype, colors represent epidemic year, and edge color represents absolute genetic distance. **(C)** Shannon entropy values for population assignments compared with communities determined by the infoMAP algorithm on **(A)** and **(B)**. **(D)** Graphic reproduced from Jombart *et al.* (2010) showing that the 2006 epidemic does not cluster neatly with the other years via Discriminant Analysis of Principal Components. Horizontal axis represents the first discriminant component. Vertical axis represents the second discriminant component.

Graph walking of the reticulated minimum spanning network of *P. ramorum* by the infoMAP algorithm revealed 16 communities with a maximum community size of 13 and  $H = 2.60$ . The un-reticulated minimum spanning tree revealed 20 communities with a maximum community size of 7 and  $H = 2.96$ . In the ability to predict Hunter Creek as belonging to a single community, the reticulated network was successful whereas the minimum spanning tree separated one genotype from that community. The entropy for the reticulated network was lower for all populations except for the coast population (supplementary materials<sup>2</sup>).

#### 4.3.3 Bootstrapping

Assessing population differentiation through methods such as  $G_{st}$ , AMOVA, and Mantel tests relies on comparing samples within and across populations (Mantel 1967; Nei 1973; Excoffier *et al.* 1992). Confidence in distance metrics is related to the confidence in the markers to accurately represent the diversity of the data. Especially true with microsatellite markers, a single hyper-diverse locus can make a population appear to have more diversity based on genetic distance. Using a bootstrapping procedure of randomly sampling loci with replacement when calculating a distance matrix provides support for clades in hierarchical clustering.

Data in genind and genpop objects are represented as matrices with individuals in rows and alleles in columns (Jombart 2008). This gives the advantage of being able to use R's matrix algebra capabilities to efficiently calculate genetic distance.

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<sup>2</sup>Supplementary data available at <https://github.com/grunwaldlab/supplementary-poppr-2.0>; DOI: [10.5281/zenodo.17424](https://doi.org/10.5281/zenodo.17424)

Unfortunately, this also means that bootstrapping is a non-trivial task as all alleles at a single locus need to be sampled together. To remedy this, we have created an internal S4 class called “bootgen”, which extends the internal “gen” class from *aedegenet*. This class can be created from any genind, genclose, or genpop object, and allows loci to be sampled with replacement. To further facilitate bootstrapping, a function called *aboot*, which stands for “any boot”, is introduced that will bootstrap any genclose, genind, or genpop object with any genetic distance that can be calculated from it.

To demonstrate calculating a dendrogram with bootstrap support, we used the *poppr* function *aboot* on population allelic frequencies derived from the data set *microbov* in the *aedegenet* package with 1000 bootstrap replicates (Laloë *et al.* 2007; Jombart 2008). The resulting dendrogram shows bootstrap support values > 50% (Fig. 4.4) and used the following code:

```
library("poppr");

data("microbov", package = "aedegenet");

strata(microbov) <- data.frame(other(microbov));

setPop(microbov) <- ~coun/spe/breed;

bov_pop <- genind2genpop(microbov);

set.seed(20150428);

pop_tree <- aboot(bov_pop, sample = 1000, cutoff = 50);
```

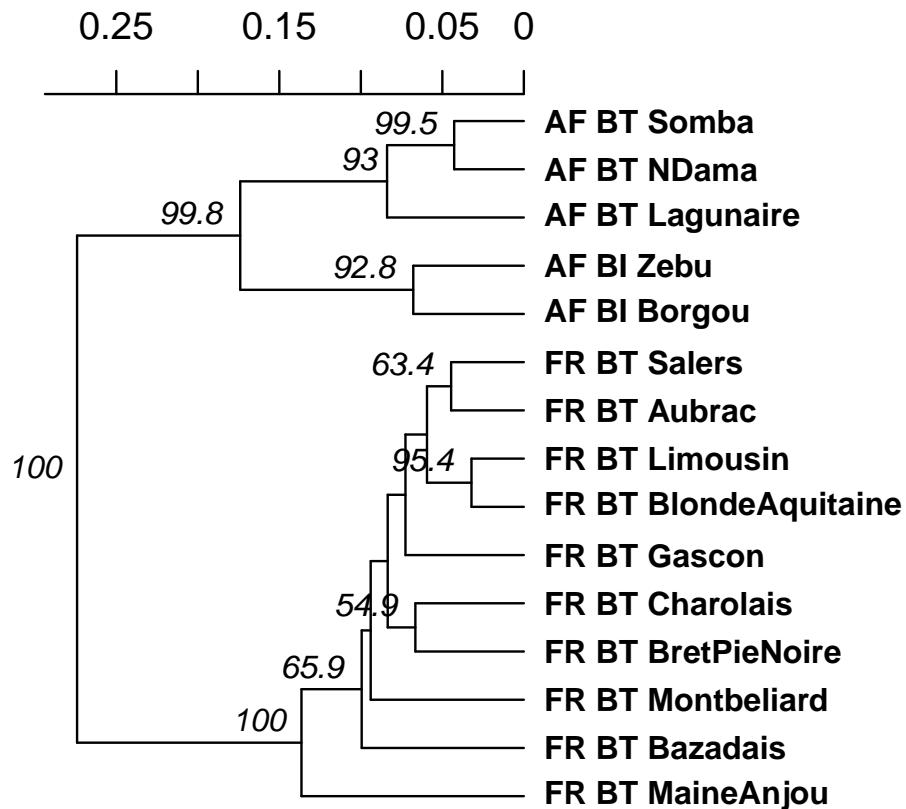


Figure 4.4: UPGMA dendrogram generated from Nei's genetic distance on 15 breeds of *Bos taurus* (BT) or *Bos indicus* (BI) from Africa (AF) or France (FR). These data are from Laloë *et al.* (2007). Node labels represent bootstrap support > 50% out of 1,000 bootstrap replicates.

#### 4.3.4 Genotype Accumulation Curve

Analysis of population genetics of clonal organisms often borrows from ecological methods such as analysis of diversity within populations (Milgroom 1996; Grünwald *et al.* 2003; Arnaud-Hanod *et al.* 2007). When choosing markers for analysis, it is important to make sure that the observed diversity in your sample will not appreciably increase if

an additional marker is added (Arnaud-Hanod *et al.* 2007). This concept is analogous to a species accumulation curve, obtained by rarefaction. The genotype accumulation curve in *poppr* is implemented in the function `genotype_curve`. The curve is constructed by randomly sampling  $x$  loci and counting the number of observed MLGs. This repeated  $r$  times for 1 locus up to  $n - 1$  loci, creating  $n - 1$  distributions of observed MLGs.

The following code example demonstrates the genotype accumulation curve for data from Everhart & Scherm (2015) showing that these data reach a small plateau and have a greatly decreased variance with 12 markers, indicating that there are enough markers such that adding more markers to the analysis will not create very many new genotypes (Fig. 4.5).

```
library("poppr");
library("ggplot2");
data("monpop", package = "poppr");

set.seed(20150428);

genotype_curve(monpop, sample = 1000);

p <- last_plot() + theme_bw();    # get the last plot
p + geom_smooth(aes(group = 1)); # plot with a trendline
```

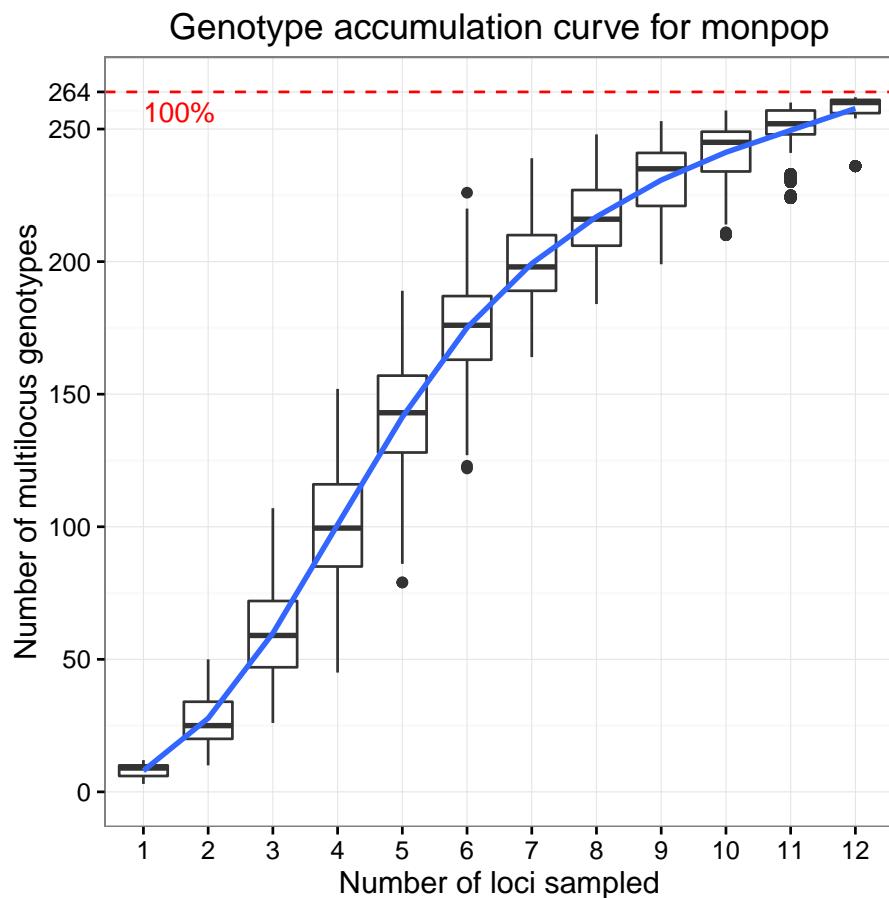


Figure 4.5: Genotype accumulation curve for 694 isolates of the peach brown rot pathogen, *Monilinia fructicola* genotyped over 13 loci from Everhart & Scherm (2015). The horizontal axis represents the number of loci randomly sampled without replacement up to  $n - 1$  loci, the vertical axis shows the number of multilocus genotypes observed, up to 262, the number of unique multilocus genotypes in the data set. The red dashed line represents 90% of the total observed multilocus genotypes. A trendline (blue) has been added using the `ggplot2` function `stat_smooth`.

#### 4.3.5 Index of association

The index of association ( $I_A$ ) is a measure of multilocus linkage disequilibrium that is most often used to detect clonal reproduction within organisms that have the ability to reproduce via sexual or asexual processes (Brown *et al.* 1980; Smith *et al.* 1993; Milgroom 1996). It was standardized in 2001 as  $\bar{r}_d$  by Agapow & Burt (2001) to address the issue of scaling with increasing number of loci. This metric is typically applied to traditional dominant and co-dominant markers such as AFLPs, SNPs, or microsatellite markers. With the advent of high throughput sequencing, SNP data is now available in a genome-wide context and in very large matrices including thousands of SNPs. For this reason, we devised two approaches using the index of association for large numbers of markers typical for population genomic studies. Both functions utilize *adegenet*'s “genlight” object class, which efficiently stores 8 binary alleles in a single byte (Jombart & Ahmed 2011). As calculation of the  $\bar{r}_d$  requires distance matrices of absolute number of differences, we utilize a function that calculates these distances directly from the compressed data called `bitwise.dist`.

The first approach is a sliding window analysis implemented in the function `win.ia`. It utilizes the position of markers in the genome to calculate  $\bar{r}_d$  among any number of SNPs found within a user-specified windowed region. It is important that this calculation utilize  $\bar{r}_d$  as the number of loci will be different within each window (Agapow & Burt 2001). This approach would be suited for a quick calculation of linkage disequilibrium across the genome that can detect potential hotspots of LD that could be investigated further with more computationally intensive methods assuming that the

number of samples << the number of loci.

As it would necessarily focus on loci within a short section of the genome that may or may not be recombining, a sliding window approach would not be good for utilizing  $\bar{r}_d$  as a test for clonal reproduction. A remedy for this is implemented in the function `samp.ia`, which will randomly sample  $m$  loci, calculate  $\bar{r}_d$ , and repeat  $r$  times, thus creating a distribution of expected values of  $\bar{r}_d$ .

To demonstrate the sliding window and random sampling of  $\bar{r}_d$  with respect to clonal populations, we simulated two populations containing 1,100 neutral SNPs for 100 diploid individuals under the same initial seed. One population had individuals randomly sampled with replacement, representing the clonal population. After sampling, both populations had 5% random error and 1% missing data independently propagated across all samples. On average, we obtained a higher value of  $\bar{r}_d$  for the clonal population compared to the sexual population for both methods (Fig. 4.6).

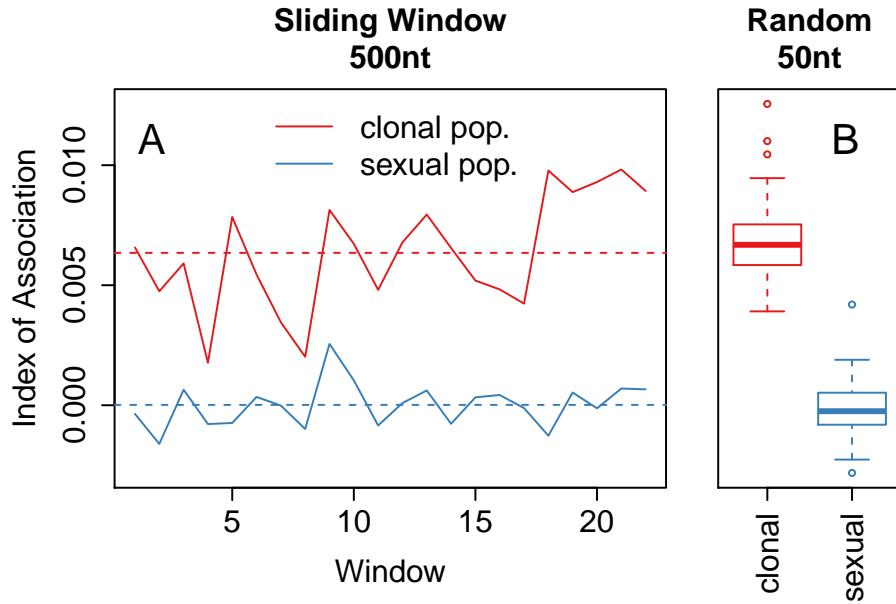


Figure 4.6: **(A)** Sliding window analysis of the standardized index of association ( $\bar{r}_d$ ) across a simulated  $1.1 \times 10^4$  nt chromosome containing 1,100 variants among 100 individuals. Each window analyzed variants within 500nt chunks. The black line refers to the clonal and the blue line to the sexual populations. **(B)** boxplots showing 100 random samples of 50 variants to calculate a distribution of  $\bar{r}_d$  for the clonal (red) and sexual (blue) populations. Each box is centered around the mean, with whiskers extending out to 1.5 times the interquartile range. The median is indicated by the center line. **(A)** and **(B)** are plotted on the same y-axis.

#### 4.3.6 Data format updates: population strata and hierarchies

Assessments of population structure through methods such as hierarchical  $F_{st}$  (Goudet 2005) and AMOVA (Michalakis & Excoffier 1996) require hierarchical sampling of populations across space or time (Linde *et al.* 2002; Grünwald & Hoheisel 2006; Everhart & Scherm 2015). With clonal organisms, basic practice has been to clone-

censor data to avoid downward bias in diversity due to duplicated genotypes that may or may not represent different samples (Milgroom 1996). This correction should be performed with respect to a population hierarchy to accurately reflect the biology of the organism. Traditional data structures for population genetic data in most analysis tools allow for only one level of hierarchical definition. The investigator thus had to provide the data set for analysis at each hierarchical level.

To facilitate handling hierarchical and multilocus genotypic metadata, *poppr* version 1.1 introduced a new S4 data object called “genclone”, extending *adegenet*’s “genind” object (Kamvar and Grünwald, unpublished). The genclone object formalized the definitions of multilocus genotypes and population hierarchies by adding two slots called “mlg” and “hierarchy” that carried a numeric vector and a data frame, respectively. These new slots allow for increased efficiency and ease of use by allowing these metadata to travel with the genetic data. The hierarchy slot in particular contains a data frame where each column represents a separate hierarchical level. This is then used to set the population factor of the data by supplying a hierarchical formula containing one or more column names of the data frame in the hierarchy slot.

The functionality represented by the hierarchy slot has now been migrated from the *poppr* to the *adegenet* package version 2.0 to allow hierarchical analysis in *adegenet*, *poppr*, and other dependent packages. The prior *poppr* hierarchy slot and methods have now been renamed strata in *adegenet*. A short example of the utility of these methods can be seen in the code segment under **Bootstrapping**, above. This migration provides end users with a broader ability to analyze data hierarchically in R across packages.

## 4.4 Availability

As of this writing, the *poppr* R package version 2.0 containing all of the features described here is located at <https://github.com/grunwaldlab/poppr/tree/2.0-rc>. It is necessary to install *adegenet* 2.0 before installing *poppr*. It can be found at <https://github.com/thibautjombart/adegenet>. Both of these can be installed via the R package *devtools* (Wickham & Chang 2015). More information and example code can be found in the supplementary materials<sup>3</sup>.

### 4.4.1 Requirements

- R version 3.0 or better
- A C compiler. For windows, it can be obtained via Rtools (<http://cran.r-project.org/bin/windows/Rtools/>). On OSX, it can be obtained via Xcode.

For parallel support, gcc version 4.6 or better is needed.

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<sup>3</sup>Supplementary data available at <https://github.com/grunwaldlab/supplementary-poppr-2.0>; DOI: [10.5281/zenodo.17424](https://doi.org/10.5281/zenodo.17424)

#### 4.4.2 Installation

From within R, *poppr* can be installed via:

```
install.packages("devtools")

library("devtools")

install_github("thibautjombart/aegenet")

install_github("grunwaldlab/poppr@2.0-rc")
```

Several population genetics packages in R are currently going through a major upgrade following the 2015 R hackathon on population genetics (<https://github.com/NESCent/r-popgen-hackathon>) and have not yet been updated in CRAN. We will upload *poppr* 2.0 to CRAN once all other reverse dependent packages have been updated.

#### 4.5 Discussion

Given low cost and high throughput of current sequencing technologies we are entering a new era of population genetics where large SNP data sets with thousands of markers are becoming available for large populations in a genome-wide context. This data provides new possibilities and challenges for population genetic analyses. We provide novel tools that enable analysis of this data in R with a particular emphasis on clonal organisms.

Particularly useful is the implementation of  $\bar{r}_d$  in a genomic context (Agapow & Burt 2001). Random sampling of loci across the genome can give an expected distribution

of  $\bar{r}_d$ , which is expected to have a mean of zero for panmictic populations. This metric is not affected by the number of loci sampled, is model free, and has the ability to detect population structure.  $\bar{r}_d$  is also implemented for sliding window analyses that are useful to detect candidate regions of linkage disequilibrium for further analysis.

Clustering multilocus genotypes into multilocus lineages based on genetic distances is a non-trivial task given large SNP data sets. Moreover, this has not previously been implemented for genomic data for clonal populations. Clonal assignment has previously been available in the programs GENCLONE and GENODIVE for classical markers (Meirmans & Van Tienderen 2004; Arnaud-Hanod *et al.* 2007). Our method with `mlg.filter` builds upon this idea and allows the user to choose between three different approaches for clustering MLGs. The choice of clustering algorithm has an impact on the data (Fig. 4.1, 4.2), where for example a genetic distance cutoff of 0.1 would be the difference between 14 multilocus lineages (MLLs) and 17 MLLs for nearest neighbor and UPGMA clustering, respectively (Fig. 4.2). The option to choose the clustering algorithm gives the user the ability to choose what is biologically relevant to their populations. While there is not one optimal procedure for defining boundaries in clonal lineages, our tool provides a means of exploring the potential MLG or MLL boundary space.

Minimum spanning networks are a useful tool to analyze the relationships between individuals in a population, because it reduces the complexity of a distance matrix to the connections that are strongest. By default, these networks are drawn without reticulations, but for clonal organisms where many of the connections between samples are equivalent, the minimum spanning network appears as a chain and reduces the

information that can be communicated. This is problematic because the ability to detect population structure with one instance of a minimum spanning network is limited. Adding reticulation into the minimum spanning network thus presents all equivalent connections and allows population structure to be more readily detectable. As shown in Fig. 4.3, population structure is apparent both visually and by graph community detection algorithms such as the infoMAP algorithm (Rosvall & Bergstrom 2008). Additionally, the current implementation in *poppr* has been successfully used in analyses such as reconstruction of the *P. ramorum* epidemic in Oregon forests (Kamvar *et al.* 2014a, 2015).

*Poppr* 2.0 is open source and available on GitHub. Members of the community are invited to contribute by raising issues or pull requests on our repository at <https://github.com/grunwaldlab/poppr/issues>.

## 4.6 Acknowledgements

We thank Ignazio Carbone for discussions on the index of association; David Cooke, Sanmohan Baby, and Jens Hansen for beta testing; and Thibaut Jombart for allowing us to incorporate the `strata` slot and related methods in `adegenet`. We also thank all the members of the 2015 R hackathon on population genetics in Durham, NC for their advice and input (<https://github.com/NESCent/r-popgen-hackathon>). This work was supported in part by US Department of Agriculture (USDA) Agricultural Research Service Grant 5358-22000-039-00D, USDA National Institute of Food and Agriculture Grant 2011-68004-30154, USDA APHIS, the USDA-ARS Floriculture Nursery Initiative, and the USDA-Forest Service Forest Health Monitoring Program (to NJG).

Chapter 5: [Tentative Title] Population Dynamics of the Plant Pathogen  
*Phytophthora syringae* in Oregon Nurseries

## 5.1 Abstract

## 5.2 Introduction

*Phytophthora syringae* is the most important species affecting ornamentals produced in the Pacific Northwest. Recent nursery sampling efforts, aimed at characterizing the diversity of *Phytophthoras* within Oregon nurseries, have revealed the species *P. syringae* to be among the most abundant taxa found in the nurseries surveyed (Parke *et al.* 2014). *P. syringae* is adapted to cold weather and grows best in the cool, wet fall, winter and spring and is least active in summer (Erwin *et al.* 1996). Like *P. ramorum*, it has a wide host range including *Rhododendron*, *Camellia*, *Malus*, and many other taxa. It has the capability for outcrossing, self-fertilizing, and reproducing clonally. This pathogen has been found globally since 1881 and is problematic on woody ornamentals such as crabapple (*Malus spp.*), as it causes unsightly cankers that make the plant unsellable (Erwin *et al.* 1996). While the ecology of this pathogen has been studied to some degree, very little is known about the demographic history and population structure on a local and global scale.

## Chapter 6: [Tentative Title] The Effect of Population Dynamics, Sample Size, and Marker Choice on the Index of Association

### 6.1 Abstract

TBD...

### 6.2 Introduction

- Population Genetics of partially clonal organisms
  - This has been studied in the past (Orive 1993; Smith *et al.* 1993; Balloux *et al.* 2003; de Meeûs & Balloux 2004)
  - The index of association (Brown *et al.* 1980; Smith *et al.* 1993; Agapow & Burt 2001)
  - In de Meeûs & Balloux (2004), it was shown that  $\bar{r}_d$  has a high variance in clonal populations and Smith *et al.* (1993) showed that it's affected by population structure.
- Methods for assessing level of clonal reproduction (CloNcaSe) (Ali *et al.* 2016)
  - This only works for populations with discrete generations with an observable sexual stage.

- Limitations of previous studies
  - No HTS markers
  - Significance tests are routinely performed via permutation analysis, but could not be performed due to software limitations (Burt *et al.* 1996; de Meeûs & Balloux 2004)
- Objectives
  1. Analyze mixtures of clonal populations to assess effect of sampling multiple clonal populations
  2. Re-analyze rates of sexual reproduction to confirm previous study
  3. Assess significance tests for  $\bar{r}_d$

## 6.3 Methods

All simulations were performed with the python package simuPOP version 1.1.7 in python version 3.4. For each scenario, 100 simulations with 10 replicates were created with a census size of 10,000 individuals evolved over 10,000 generations. From each replicate, 10, 25, 50, and 100 individuals were sampled without replacement for downstream analysis.

### 6.3.1 Microsatellite Simulation

Each population was simulated with 20 co-dominant loci containing 6 to 10 alleles with frequencies drawn from a uniform distribution and normalized. Before mating,

mutations occurred at each locus at a rate of 1e-5 mutations/generation.

### 6.3.2 Microsatellite Analysis

The standardized index of association ( $\bar{r}_d$ ) was calculated in R version 3.2 with the package *poppr* version 2.2.1 using the function `ia()` within custom scripts (supplementary information). Tests for significance were performed by randomly permuting the alleles at each locus independently and then assessing  $\bar{r}_d$ . This was done 999 times for each replicate population. The p-values reflect the proportion of observations greater than the observed statistic.

### 6.3.3 GBS Simulations

Simulations of 10,000 binary loci at intervals of 1 Mbp over 100 chromosomal fragments were simulated with a mutation rate of 1e-5 mutations per generation and a recombination rate of 1e-5 for sexually recombining populations.

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