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# Graph Algorithms

## Part 1 BFS & DFS

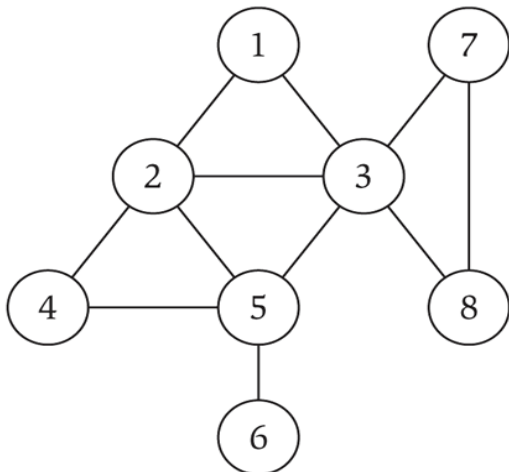
CS 325

# Introduction to graph theory

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**Graph** – mathematical object consisting of a set of:

- Denoted by  $G = (V, E)$ .
- $V = \mathbf{vertices}$  (nodes, points).  $V(G)$  and  $V_G$
- $E = \mathbf{edges}$  (links, arcs) between pairs of vertices. Also denoted by  $E(G)$  and  $E_G$  ;  $E \subseteq V \times V$
- **Graph size** parameters:  $n = |V|$ ,  $m = |E|$ .



$$V = \{ 1, 2, 3, 4, 5, 6, 7, 8 \}$$

$$E = \{ (1,2), (1,3), (2,3), (2,4), (2,5), (3,5), (3,7), (3,8), (4,5), (5,6) \}$$

$$n = 8$$

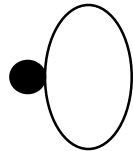
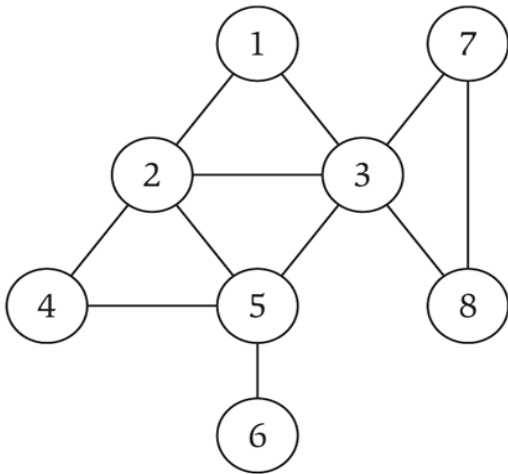
$$m = 11$$

# Introduction to graph theory

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For graph  $G(V,E)$ :

- If edge  $e=(u,v) \in E(G)$ , we say that  $u$  and  $v$  are **adjacent** or **neighbors**
- $u$  and  $v$  are **incident** with  $e$
- $u$  and  $v$  are **end-vertices** of  $e$
- An edge where the two end vertices are the same is called a **loop**, or a **self-loop**



$V = \{ 1, 2, 3, 4, 5, 6, 7, 8 \}$

$E = \{ (1,2), (1,3), (2,3), (2,4), (2,5), (3,5), (3,7), (3,8), (4,5), (5,6) \}$

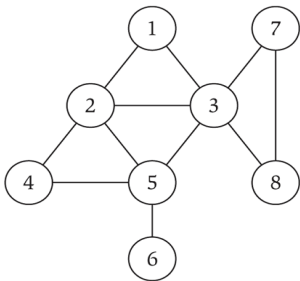
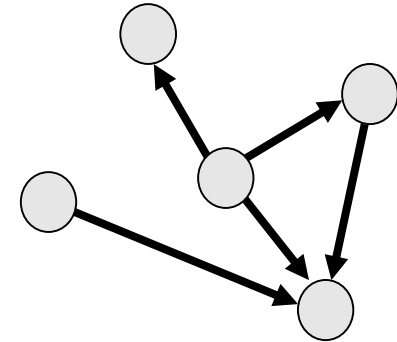
$n = 8$

$m = 11$

# Directed graph (digraph)

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- Directed edge - ordered pair of vertices  $(u,v)$
- A graph with directed edges is called a **directed graph or digraph**

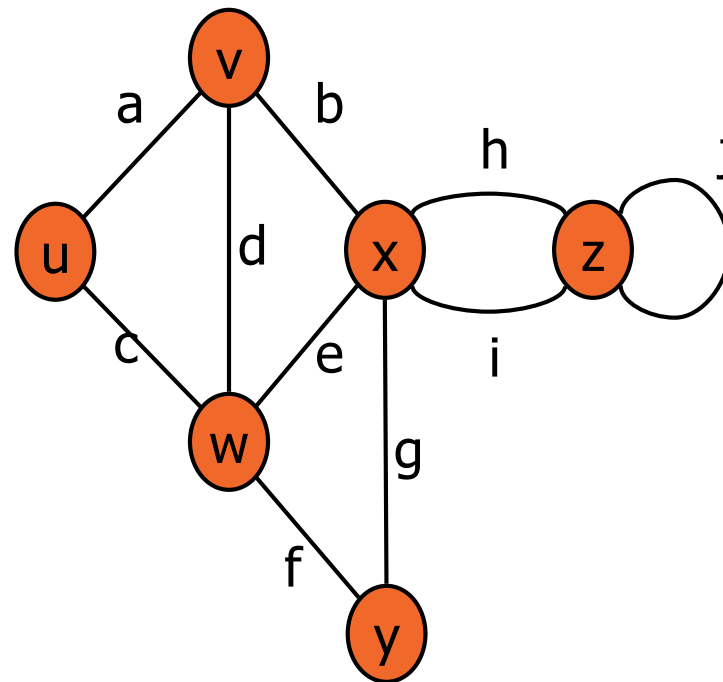


- Undirected edge- unordered pair of vertices  $(u,v)$
- A graph with undirected edges is an **undirected graph** or simply a **graph**

# Terminology

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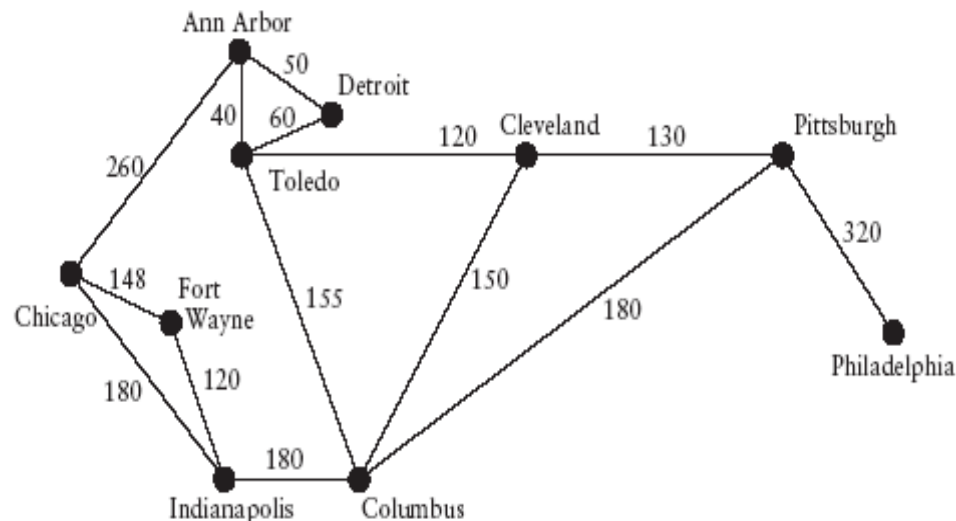
- **End vertices** (or endpoints) of an edge
  - u and v are the endpoints of a
- **Edges incident on a vertex**
  - a, d, and b are incident on v
- **Adjacent vertices**
  - u and v are adjacent
- **Degree of a vertex**
  - x has degree 5
- **Self-loop**
  - j is a self-loop



# Weighted Graphs

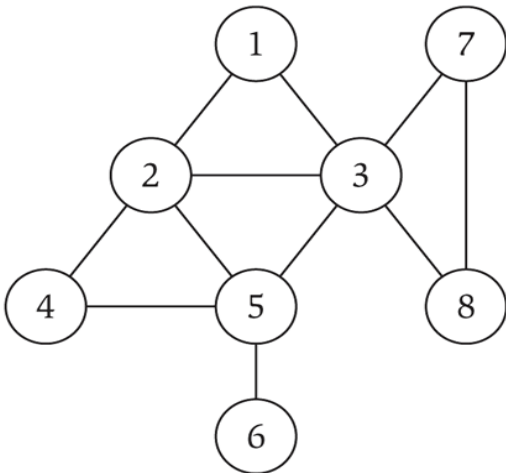
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- The edges in a graph may have values associated with them known as their **weights**
- A graph with weighted edges is known as a **weighted graph**

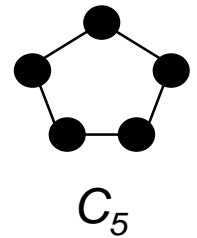
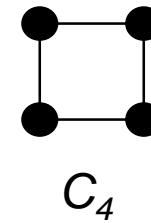
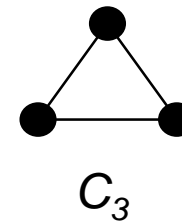


# Terminology

- A **path** in an undirected graph  $G = (V, E)$  is a sequence  $P$  of vertices  $v_1, v_2, \dots, v_{k-1}, v_k$  with the property that each consecutive pair  $v_i, v_{i+1}$  is joined by an edge in  $E$ .
- A path is **simple** if all vertices are distinct.
- A **cycle** is a path in which the first and final vertices are the same
- A **cycle** is **simple** if all the vertices except the first and final are distinct
- Cycles can be denoted by  $C_k$ , where  $k$  is the number of vertices in the cycle



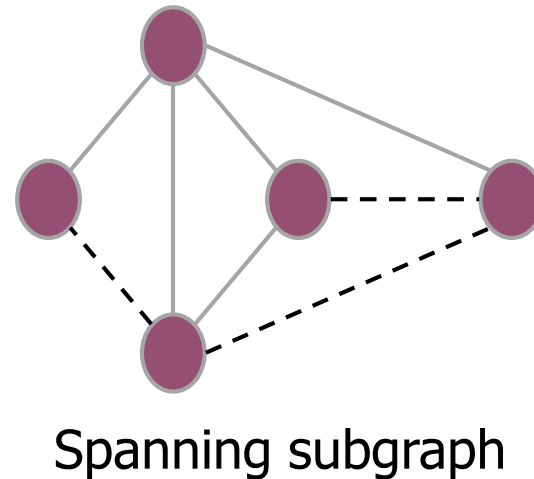
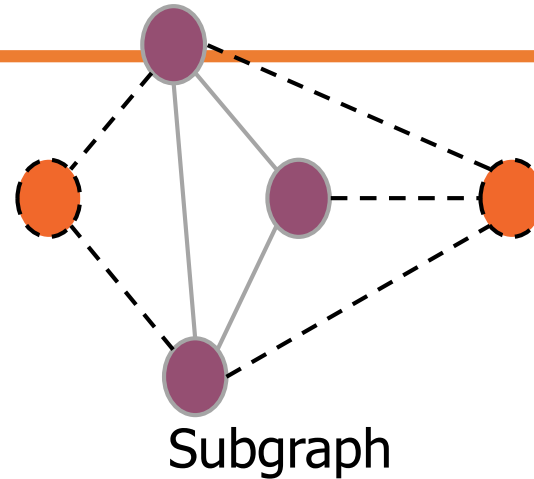
(1, 3, 7, 8, 3, 5) is a path  
(6, 5, 3, 2) is a simple path  
(1, 2, 4, 5, 2, 3, 1) is a cycle  
(1, 2, 3, 1) is a simple cycle



# Subgraphs

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- A **subgraph**  $S$  of a graph  $G$  is a graph such that
  - The vertices of  $S$  are a subset of the vertices of  $G$
  - The edges of  $S$  are a subset of the edges of  $G$
- A **spanning subgraph** of  $G$  is a subgraph that contains all the vertices of  $G$

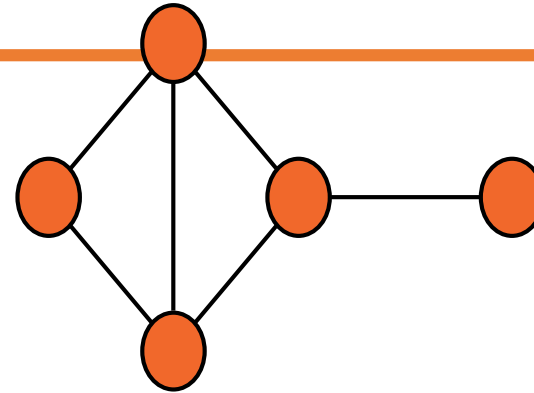




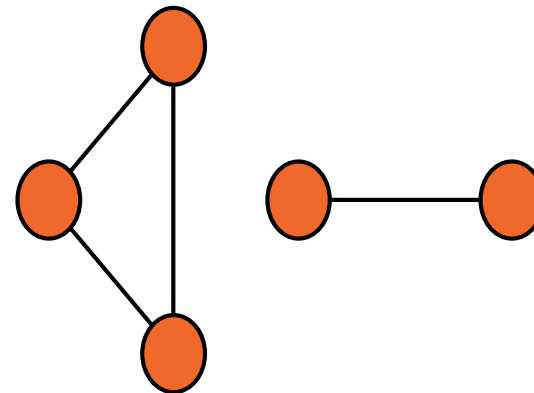
# Connectivity

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- A graph is **connected** if there is a path between every pair of vertices
- A **connected component** of a graph  $G$  is a maximal connected subgraph of  $G$



Connected graph

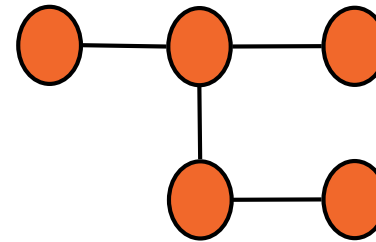


Non connected graph  
with two connected  
components

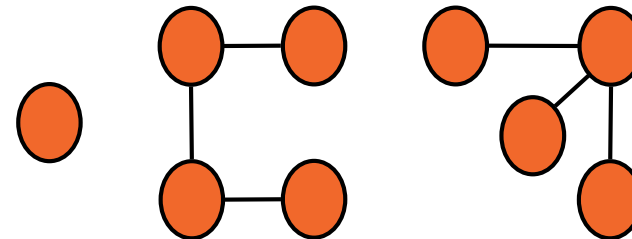
# Trees and Forests

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- A **tree** is an undirected graph  $T$  such that
  - $T$  is connected
  - $T$  has no cycles
- A **forest** is an undirected graph without cycles
- The connected components of a forest are trees



Tree

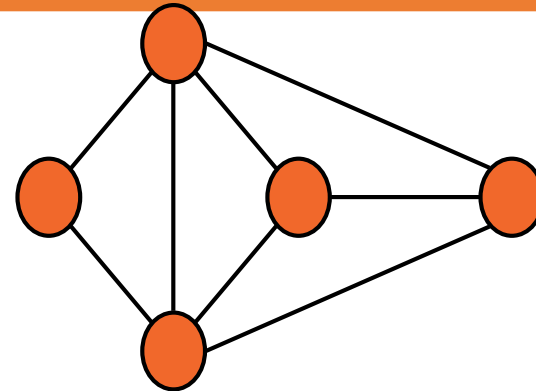


Forest

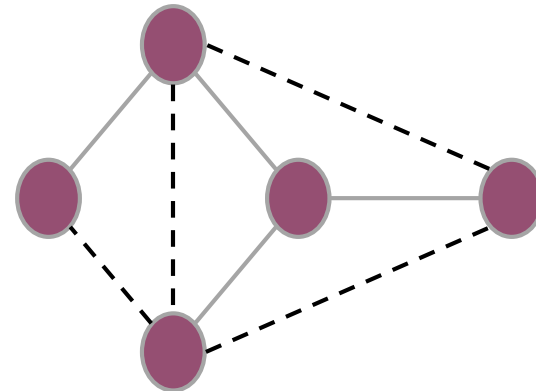
# Spanning Trees and Forests

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- A **spanning tree** of a connected graph is a spanning subgraph that is a tree
- A spanning tree is not unique unless the graph is a tree



Graph

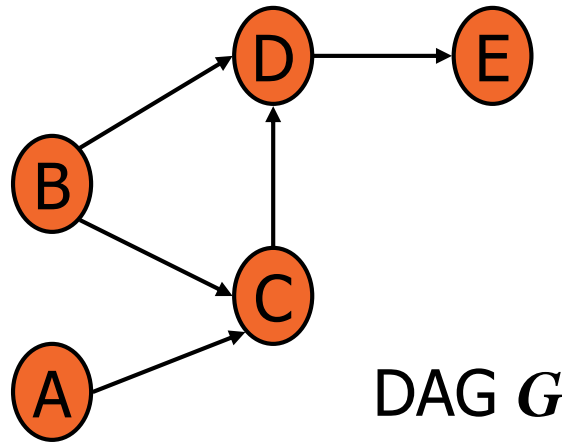


Spanning tree

# DAG

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- A directed acyclic graph (DAG) is a digraph that has no directed cycles



# Representation of Graphs

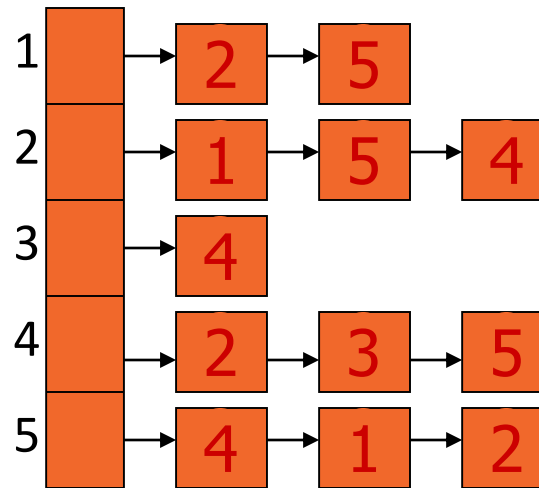
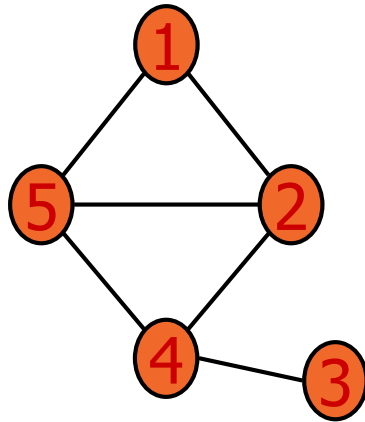
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## Two standard ways:

- Adjacency List
  - preferred for sparse graphs ( $|E|$  is much less than  $|V|^2$ )
  - Unless otherwise specified we will assume this representation
- Adjacency Matrix
  - Preferred for dense graphs

# Adjacency List

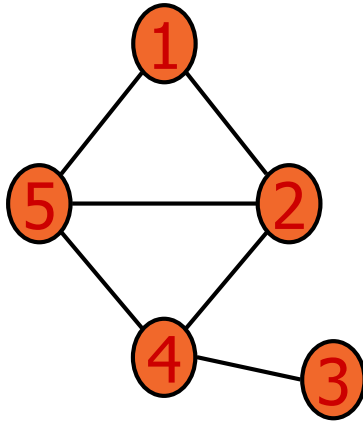
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- An array **Adj** of  $|V|$  lists, one per vertex
- For each vertex  $u$  in  $V$ ,
  - $\text{Adj}[u]$  contains all vertices  $v$  such that there is an edge  $(u,v)$  in  $E$  (i.e. all the vertices adjacent to  $u$ )
- Space required  $\Theta(|V| + |E|)$  (Following CLRS, we will use  $V$  for  $|V|$  and  $E$  for  $|E|$ ) thus  $\Theta(V+E)$

# Adjacency Matrix

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	1	2	3	4	5
1	0	1	0	0	1
2	1	0	0	1	1
3	0	0	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0

# Graph Traversals

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- For solving most problems on graphs
  - Need to systematically visit all the vertices and edges of a graph
- Two major traversals
  - Breadth-First Search (BFS)
  - Depth-First Search (DFS)



# BFS

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- Starts at some source vertex  $s$
- Discover every vertex that is reachable from  $s$
- Also produces a BFS tree with root  $s$  and including all reachable vertices
- Discovers vertices in increasing order of **distance** from  $s$ 
  - Distance between  $v$  and  $s$  is the minimum **number of edges** on a path from  $s$  to  $v$
- i.e. discovers vertices in a series of layers

# BFS : vertex colors stored in color[]

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- Initially all undiscovered: white
- When first discovered: gray
  - They represent the frontier of vertices between discovered and undiscovered
  - Frontier vertices stored in a queue
  - Visits vertices across the entire breadth of this frontier
- When processed: black

# Review: Breadth-First Search

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- “Explore” a graph, turning it into a tree
  - One vertex at a time
  - Expand frontier of explored vertices across the *breadth* of the frontier
- Builds a tree over the graph
  - Pick a *source vertex* to be the root
  - Find (“discover”) its children, then their children, etc.

# Breadth-First Search

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- Again will associate vertex “colors” to guide the algorithm
  - White vertices have not been discovered
    - All vertices start out white
  - Grey vertices are discovered but not fully explored
    - They may be adjacent to white vertices
  - Black vertices are discovered and fully explored
    - They are adjacent only to black and gray vertices
- Explore vertices by scanning adjacency list of grey vertices

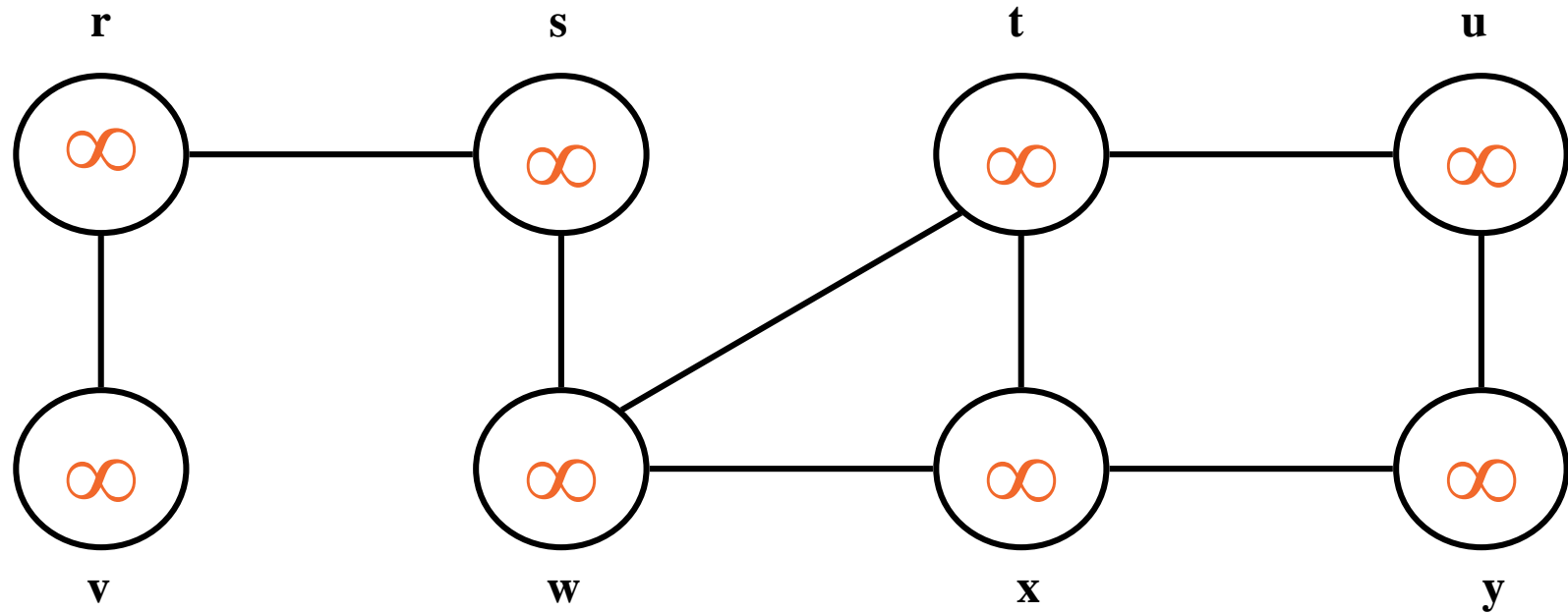
# Review: Breadth-First Search

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```
BFS(G, s) {  
    initialize vertices;  
    Q = {s};           // Q is a queue initialize to s  
    while (Q not empty) {  
        u = DEQUEUE(Q);  
        for each v ∈ G.Adj[u] {  
            if (v.color == WHITE)  
                v.color = GREY;  
                v.d = u.d + 1;  
                v.p = u;  
                ENQUEUE(Q, v);  
        }  
        u.color = BLACK;  
    }  
}
```

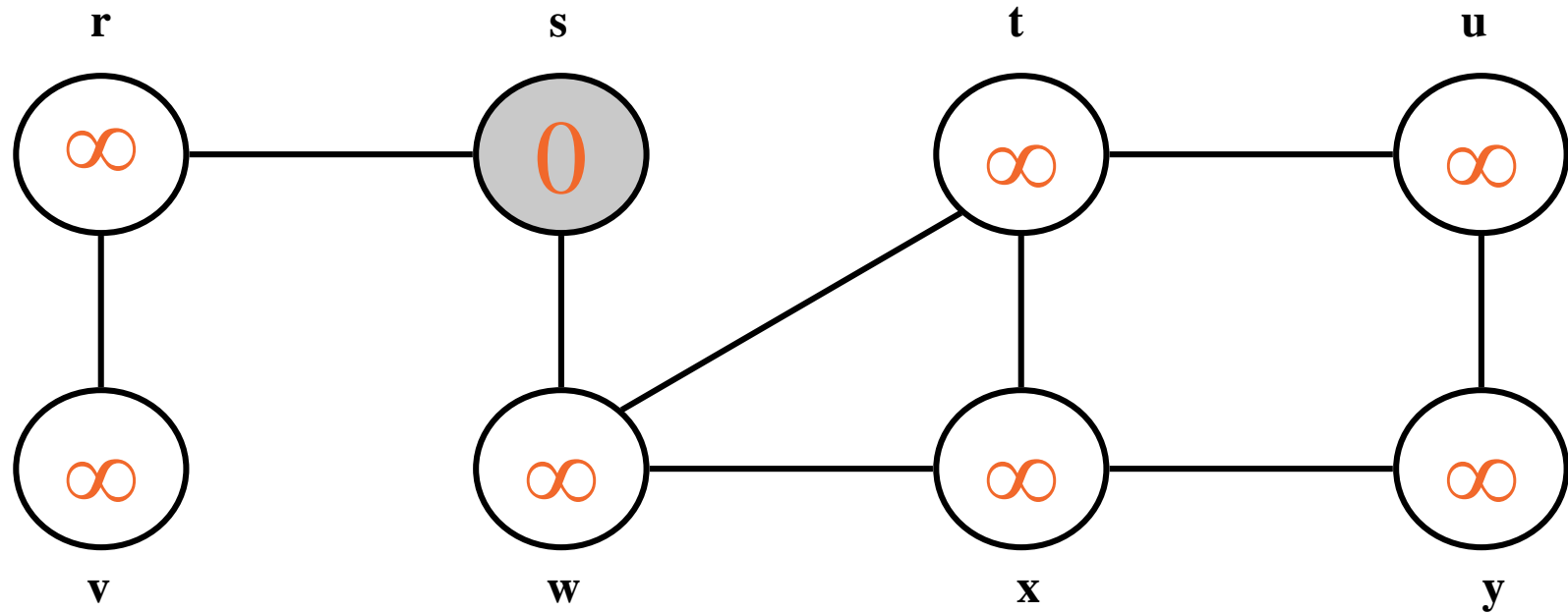
# Breadth-First Search: Example

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# Breadth-First Search: Example

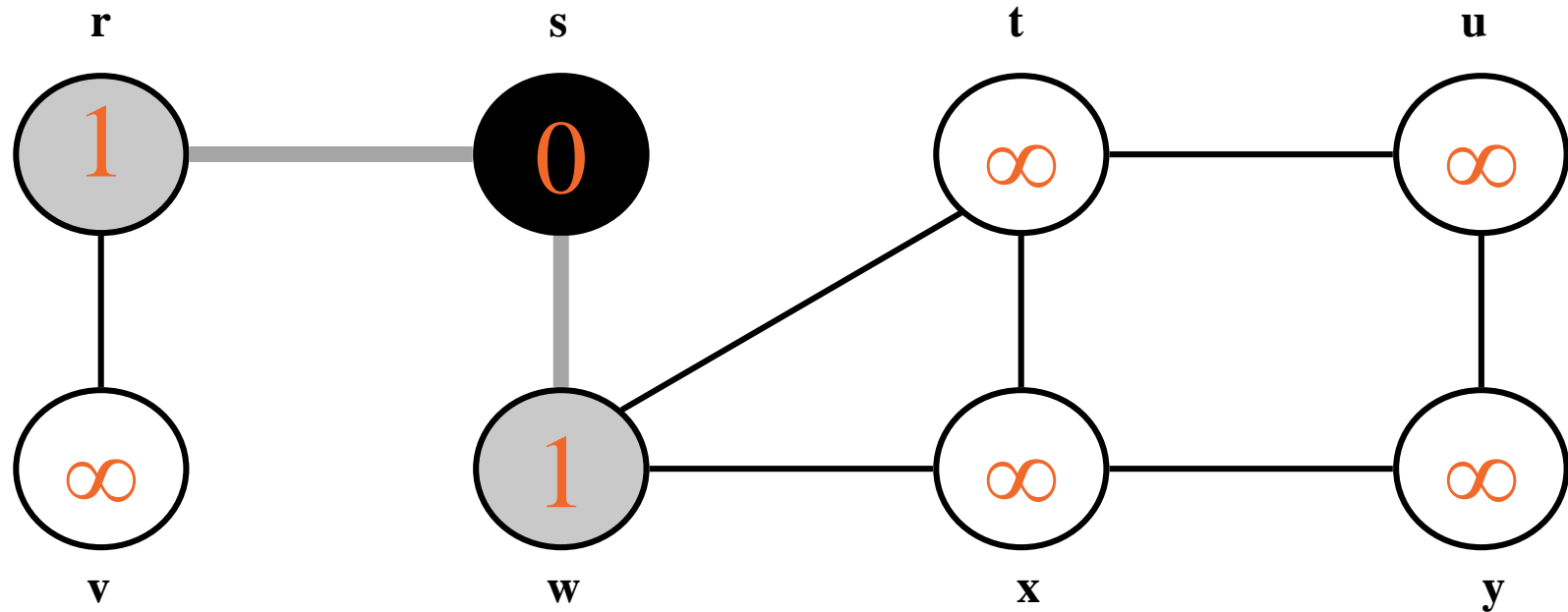
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**Q:** s

# Breadth-First Search: Example

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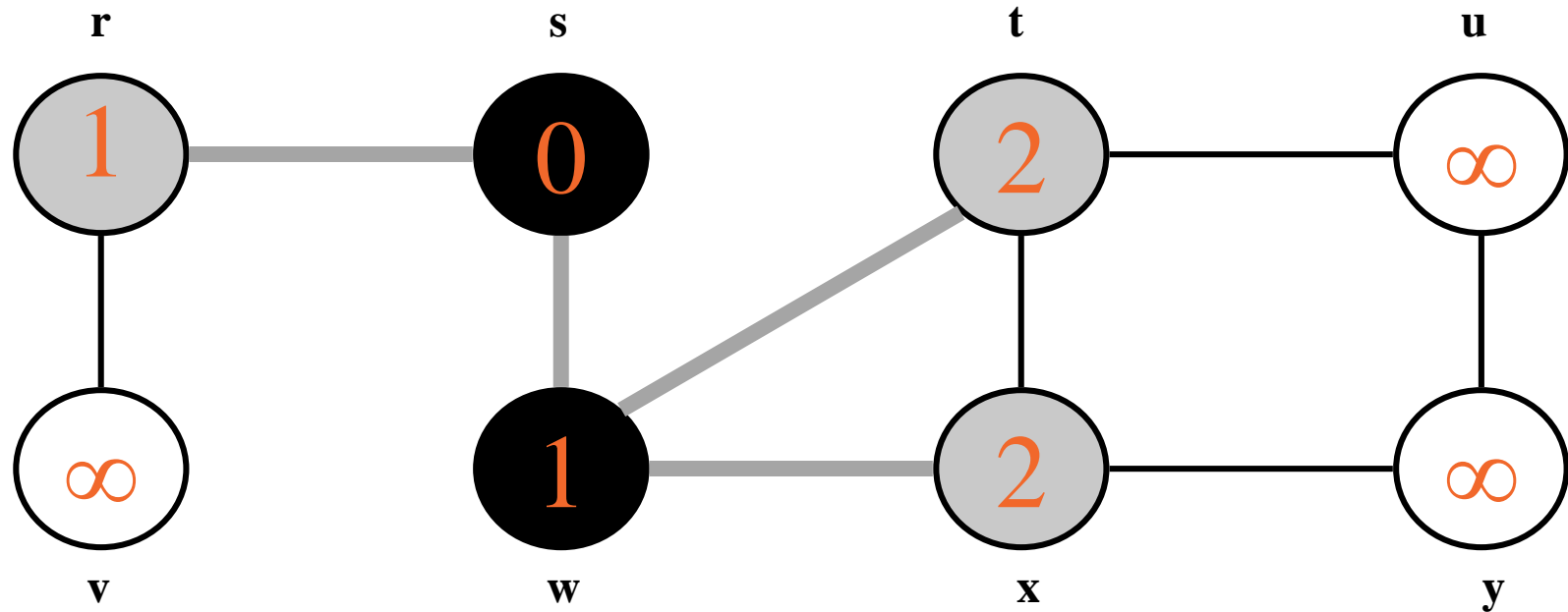
**Q:**

<b>w</b>	<b>r</b>
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# Breadth-First Search: Example

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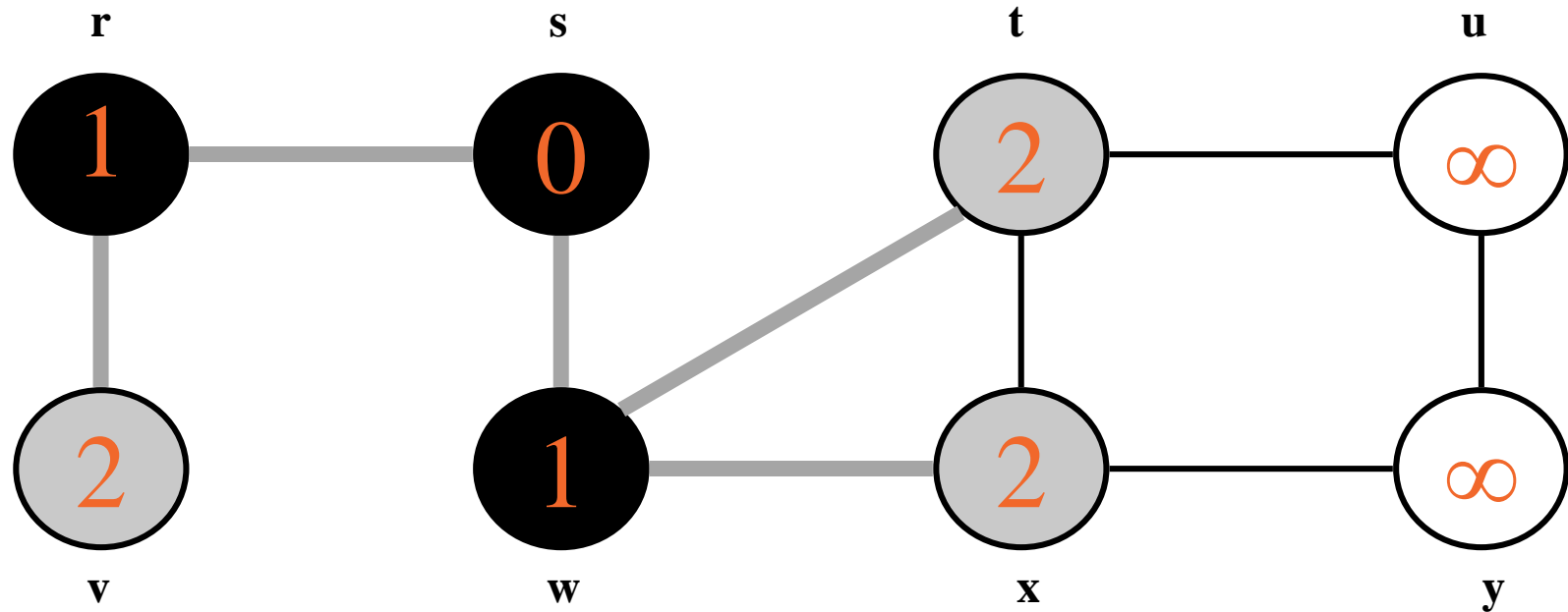


Q: 

r	t	x
---	---	---

# Breadth-First Search: Example

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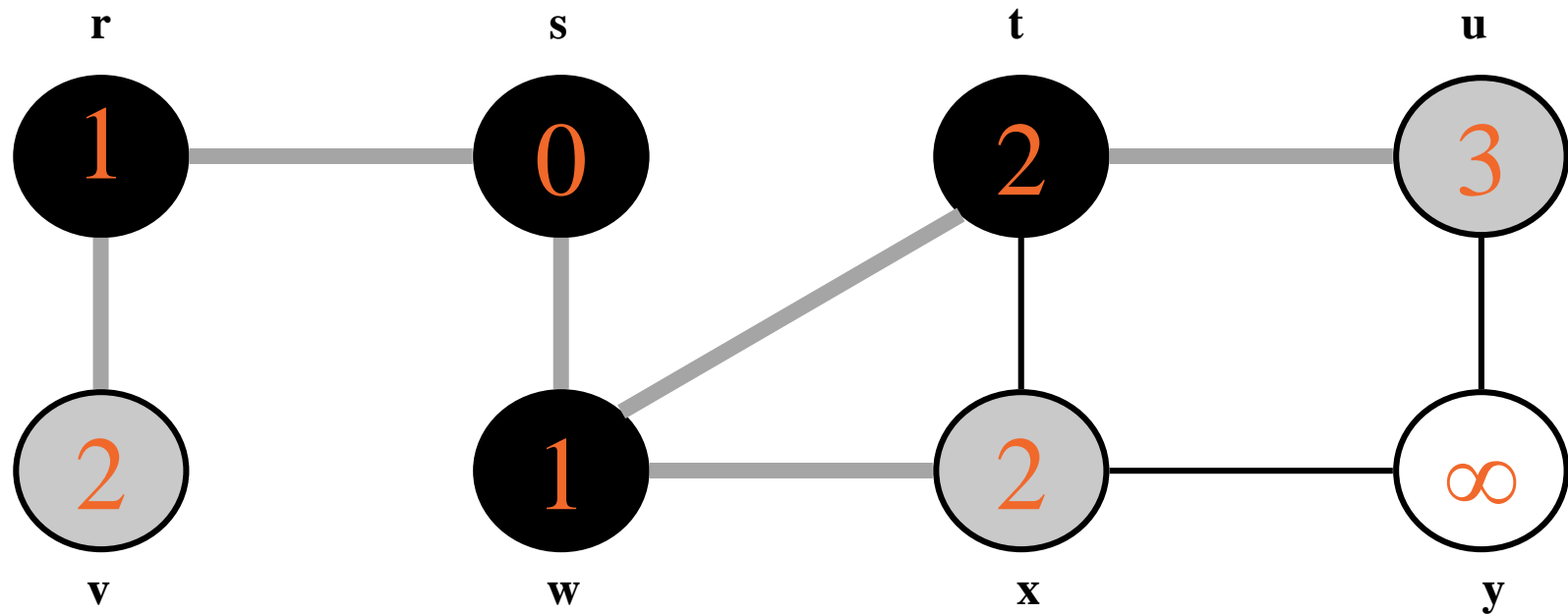


Q: 

t	x	v
---	---	---

# Breadth-First Search: Example

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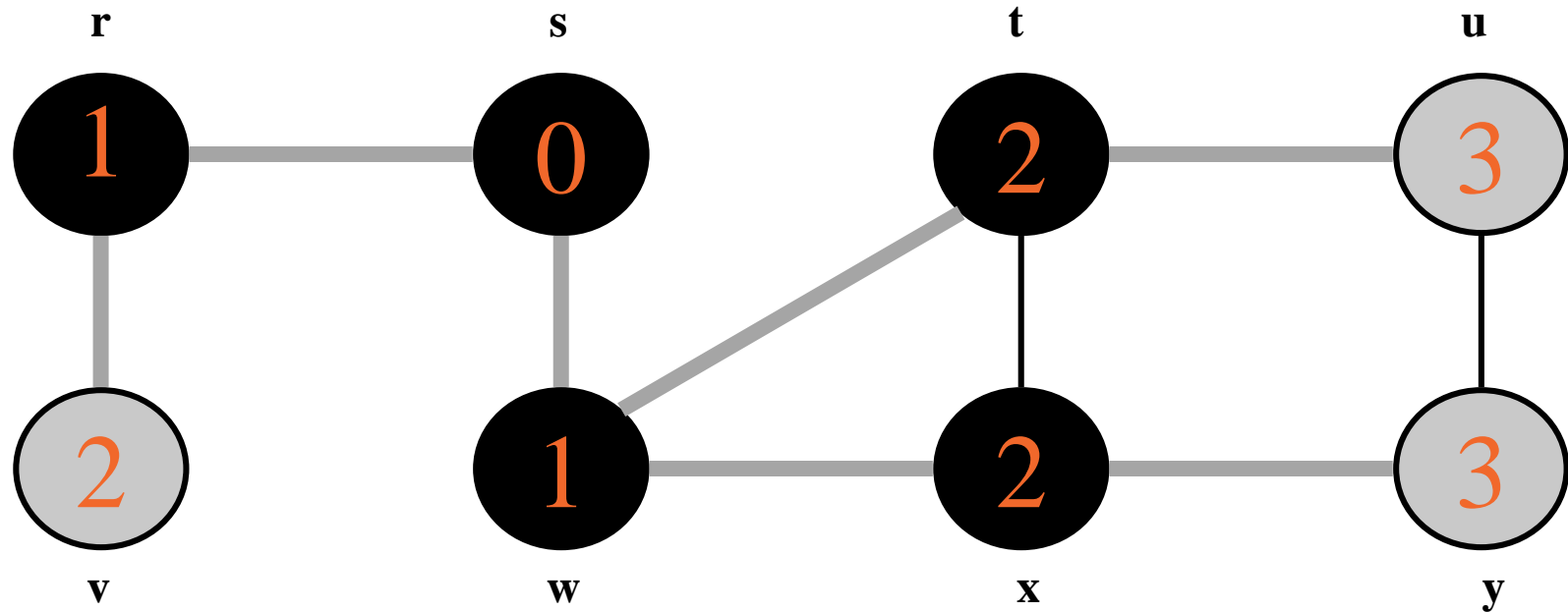


Q: 

x	v	u
---	---	---

# Breadth-First Search: Example

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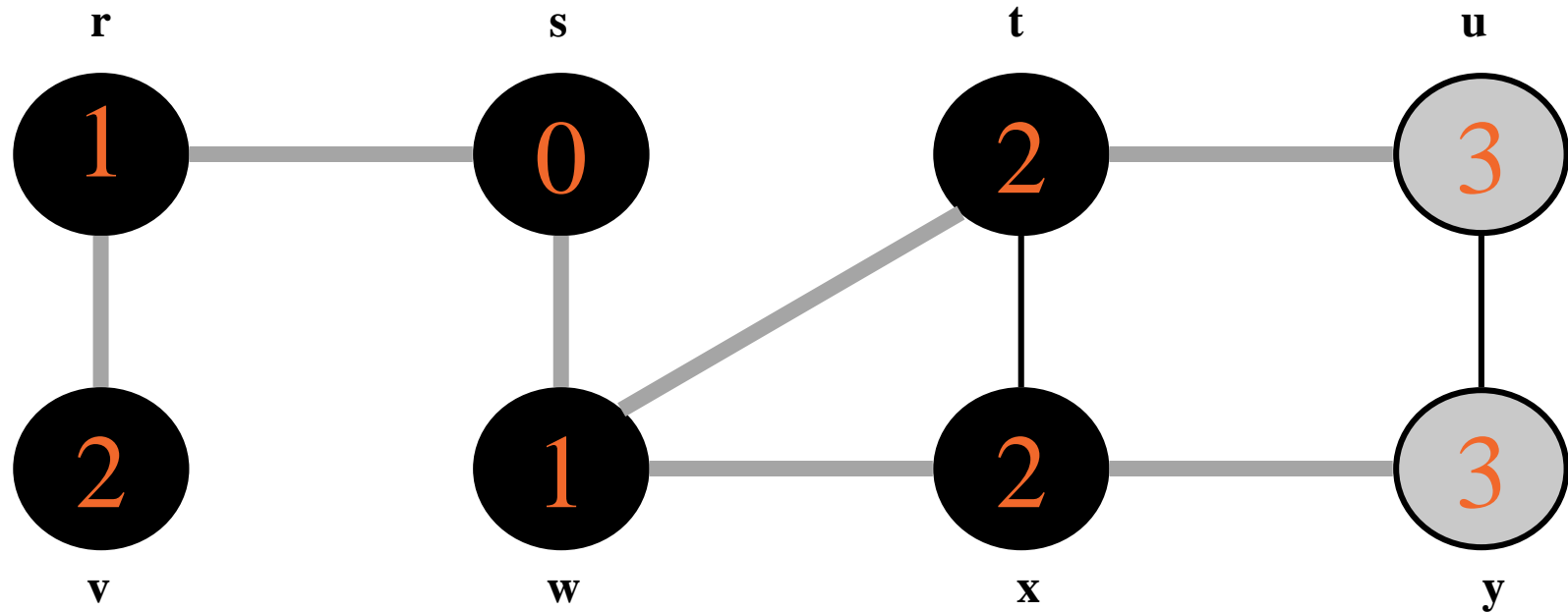


**Q:**

<b>v</b>	<b>u</b>	<b>y</b>
----------	----------	----------

# Breadth-First Search: Example

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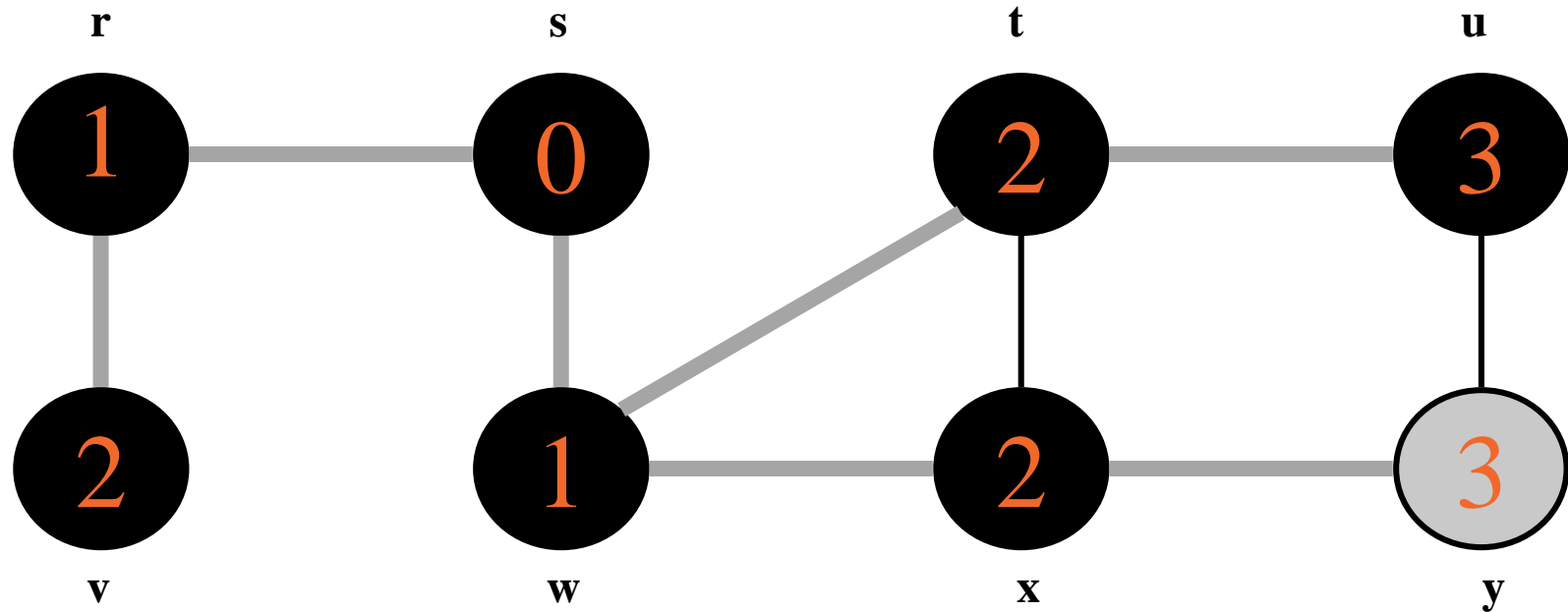


**Q:**

<b>u</b>	<b>y</b>
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# Breadth-First Search: Example

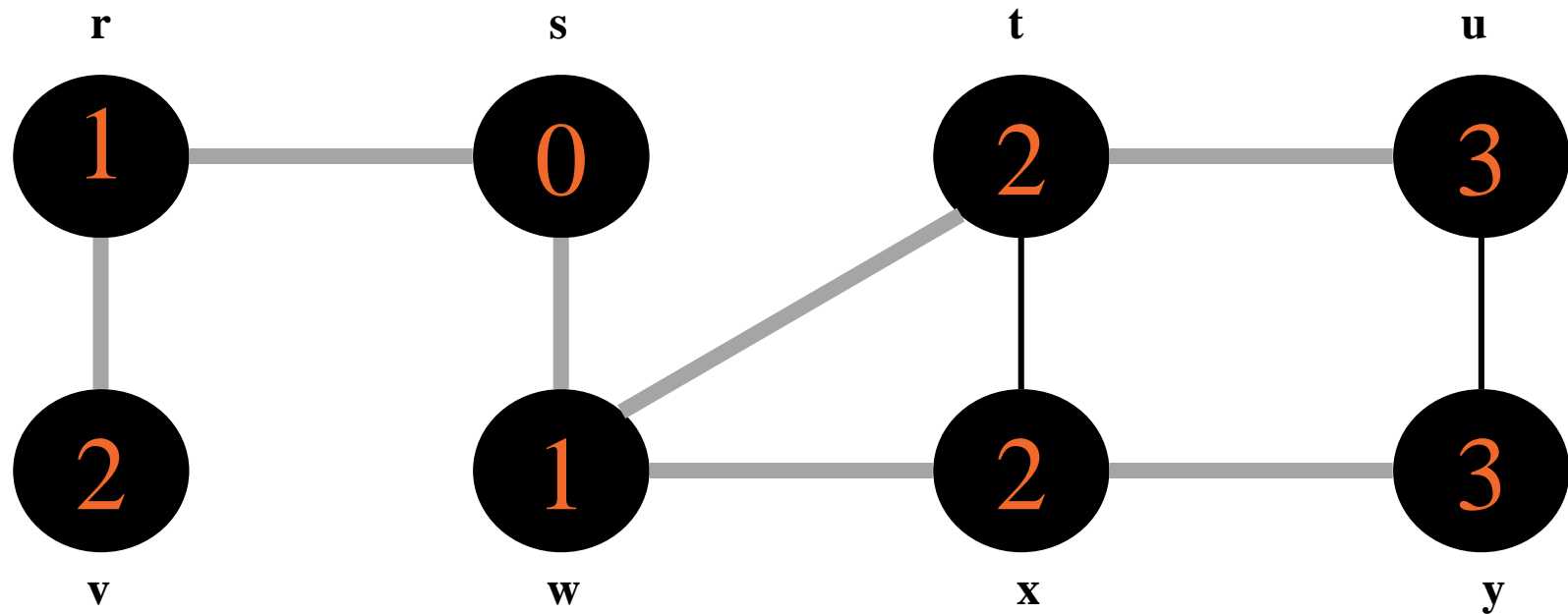
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Q: y

# Breadth-First Search: Example







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**Q:**  $\emptyset$

# BFS: The Code Again

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```
BFS(G, s) {  
    initialize vertices;  Touch every vertex:  $O(V)$   
    Q = {s}; // Q is a queue initialize to s  
    while (Q not empty) {  
        u = DEQUEUE(Q);  
        for each v  $\in$  G.Adj[u] {  u = every vertex, but only once  
            if (v.color == WHITE)  So v = every vertex  
                v.color = GREY;  that appears in  
                v.d = u.d + 1;  some other vert's  
                v.p = u;  adjacency list  
                ENQUEUE(Q, v);  
            }  
        u.color = BLACK;  
    }  
}
```

**What will be the running time?**

**Total running time:  $O(V+E)$**



# Breadth-First Search: Properties

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- BFS calculates the *shortest-path distance* to the source vertex
  - Shortest-path distance  $\delta(s,v)$  = minimum number of edges from  $s$  to  $v$ , or  $\infty$  if  $v$  not reachable from  $s$
- BFS builds *breadth-first tree*, in which paths to root represent shortest paths in  $G$ 
  - Thus can use BFS to calculate shortest path from one vertex to another in  $O(V+E)$  time

# Analysis

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- Each vertex is enqueued once and dequeued once :  $O(V)$
- Each adjacency list is traversed once:
- Total:  $O(V+E)$

$$\sum_{u \in V} \deg(u) = O(E)$$

# BFS and shortest paths

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**Theorem:** Let  $G=(V,E)$  be a directed or undirected graph, and suppose BFS is run on  $G$  starting from vertex  $s$ . During its execution BFS discovers every vertex  $v$  in  $V$  that is reachable from  $s$ . Let  $\delta(s,v)$  denote the number of edges on the shortest path from  $s$  to  $v$ . Upon termination of BFS,  $d[v] = \delta(s,v)$  for all  $v$  in  $V$ .

# Depth-First Search

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***Depth-first search*** is another strategy for exploring a graph

- Explore “deeper” in the graph whenever possible
- Edges are explored out of the most recently discovered vertex  $v$  that still has unexplored edges
- When all of  $v$ 's edges have been explored, backtrack to the vertex from which  $v$  was discovered
- recursive

# Time stamps, $\text{color}[u]$ and $\text{pred}[u]$ as before

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We store two time stamps:

- $d[u]$  or  $u.d$ : the time vertex  $u$  is first discovered (discovery time)
- $f[u]$  or  $u.f$ : the time we finish processing vertex  $u$  (finish time)

$\text{color}[u]$  or  $u.\text{color}$

- Undiscovered: white
- Discovered but not finished processing: gray
- Finished: black

$\text{pred}[u]$  or  $u.\pi$

- Pointer to the vertex that first discovered  $u$

# Depth-First Search: The Code

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```
DFS (G)
{
    for each vertex u ∈ G.V
    {
        u.color = WHITE;
    }
    time = 0;
    for each vertex u ∈ G.V
    {
        if (u.color == WHITE)
            DFS_Visit(G,u);
    }
}
```

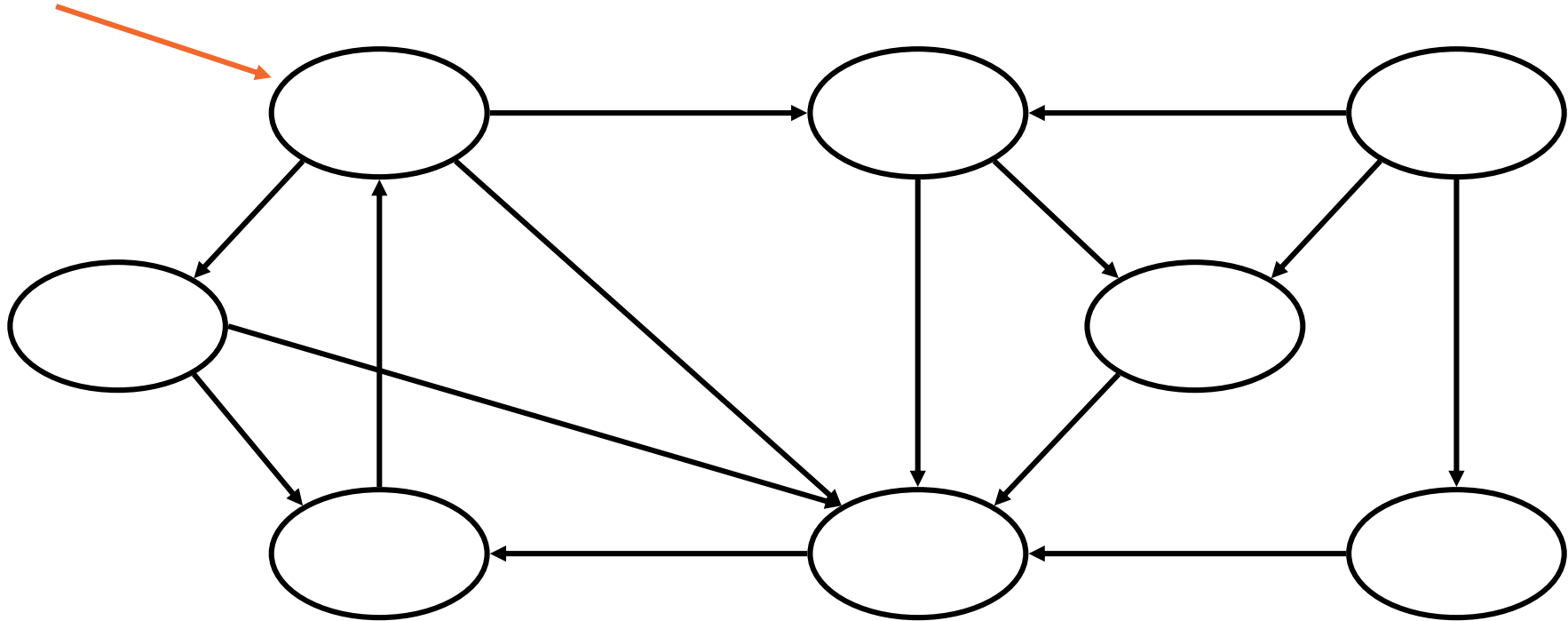
```
DFS_Visit(G, u)
{
    u.color = GREY;
    time = time+1;
    u.d = time;
    for each v ∈ G.Adj[u]
    {
        if (v.color == WHITE)
            DFS_Visit(G,v);
    }
    u.color = BLACK;
    time = time+1;
    u.f = time;
}
```

**Running time:  $\Theta(V+E) = \Theta(V^2)$  because call DFS\_Visit on each vertex, and the loop over Adj[] can run as many as |V| times**

# DFS Example

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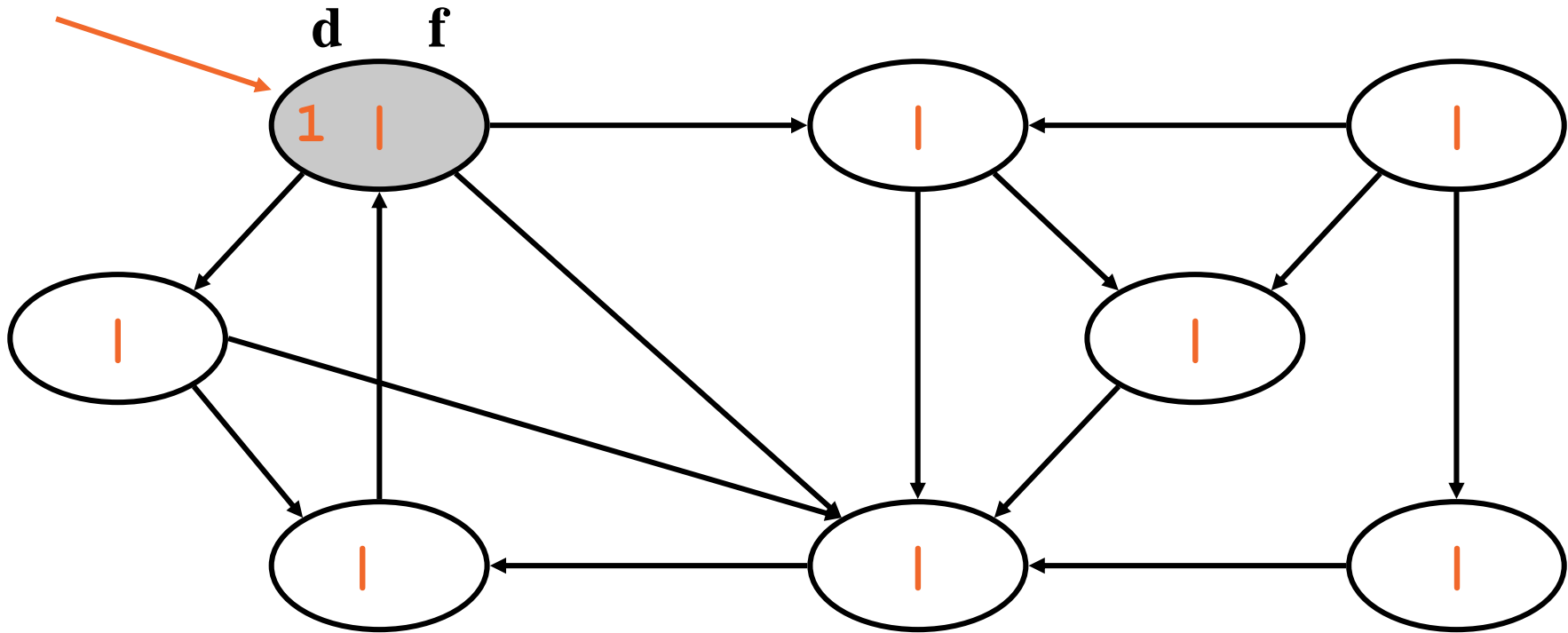
source  
vertex



# DFS Example

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source  
vertex

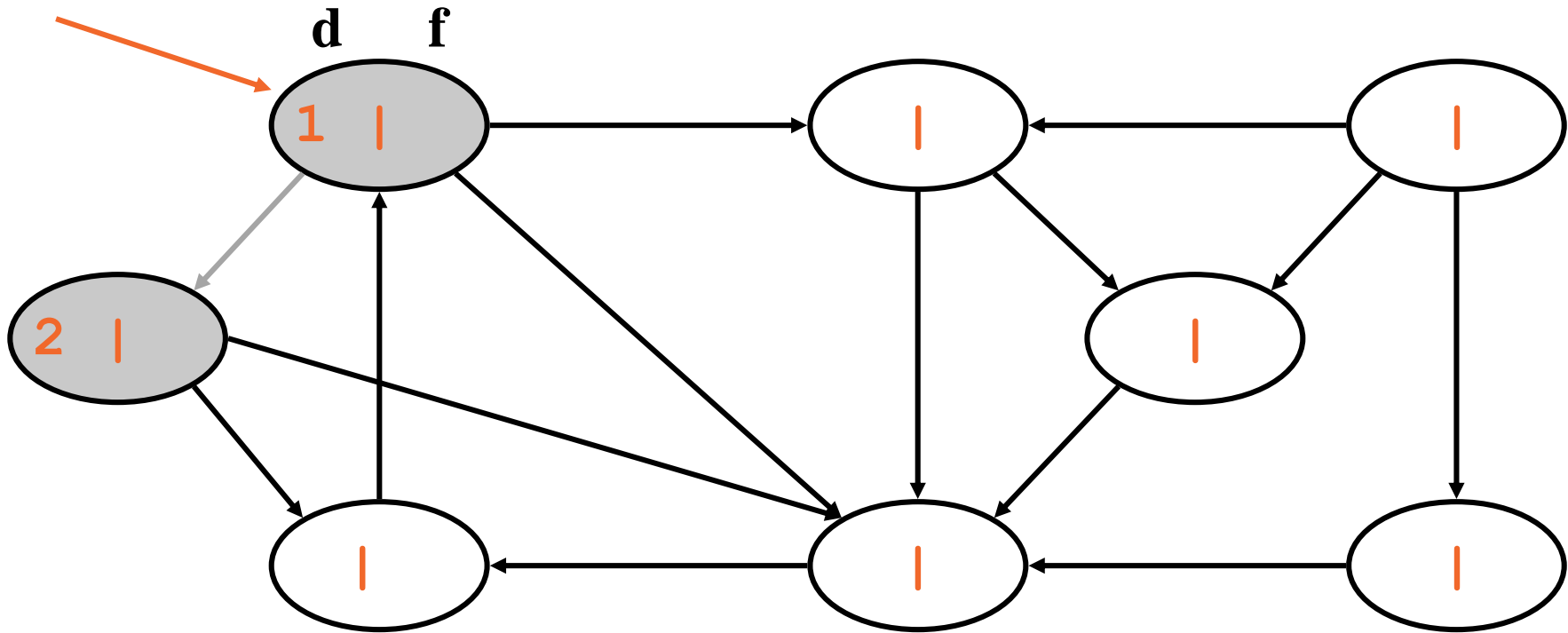




# DFS Example

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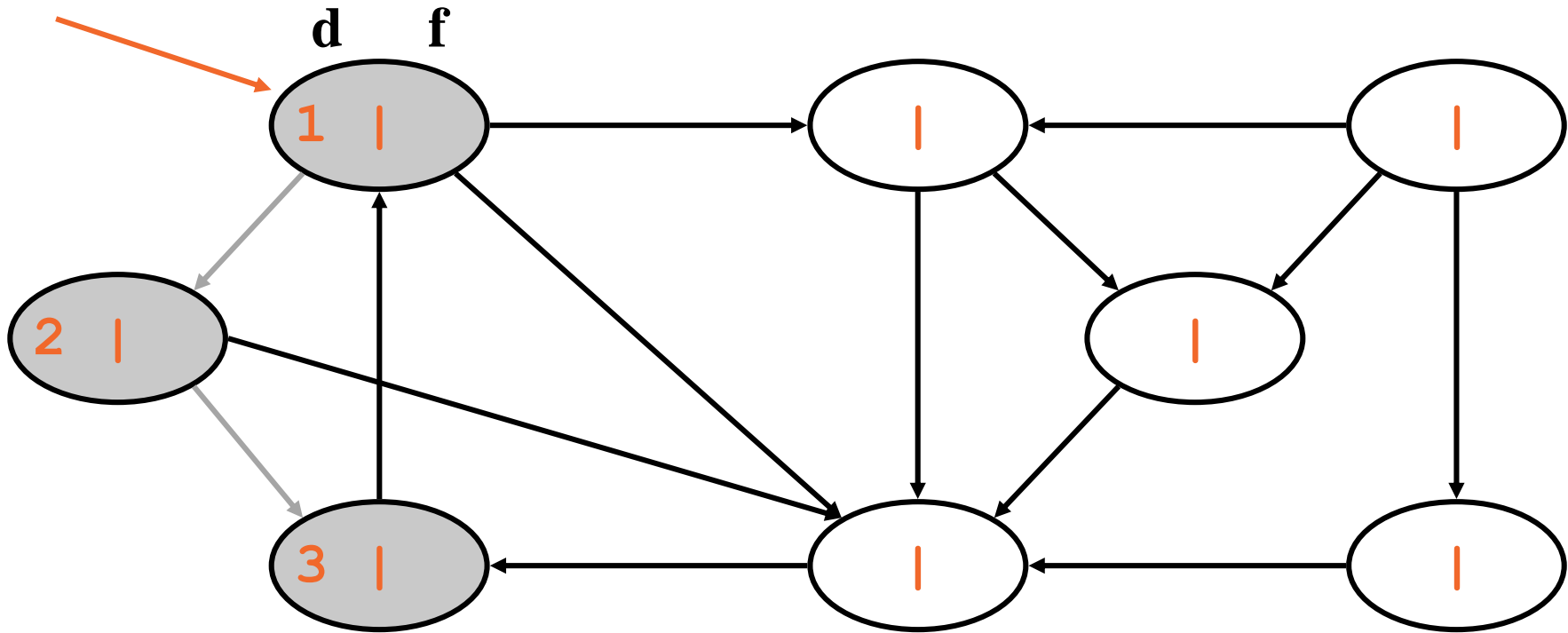
source  
vertex

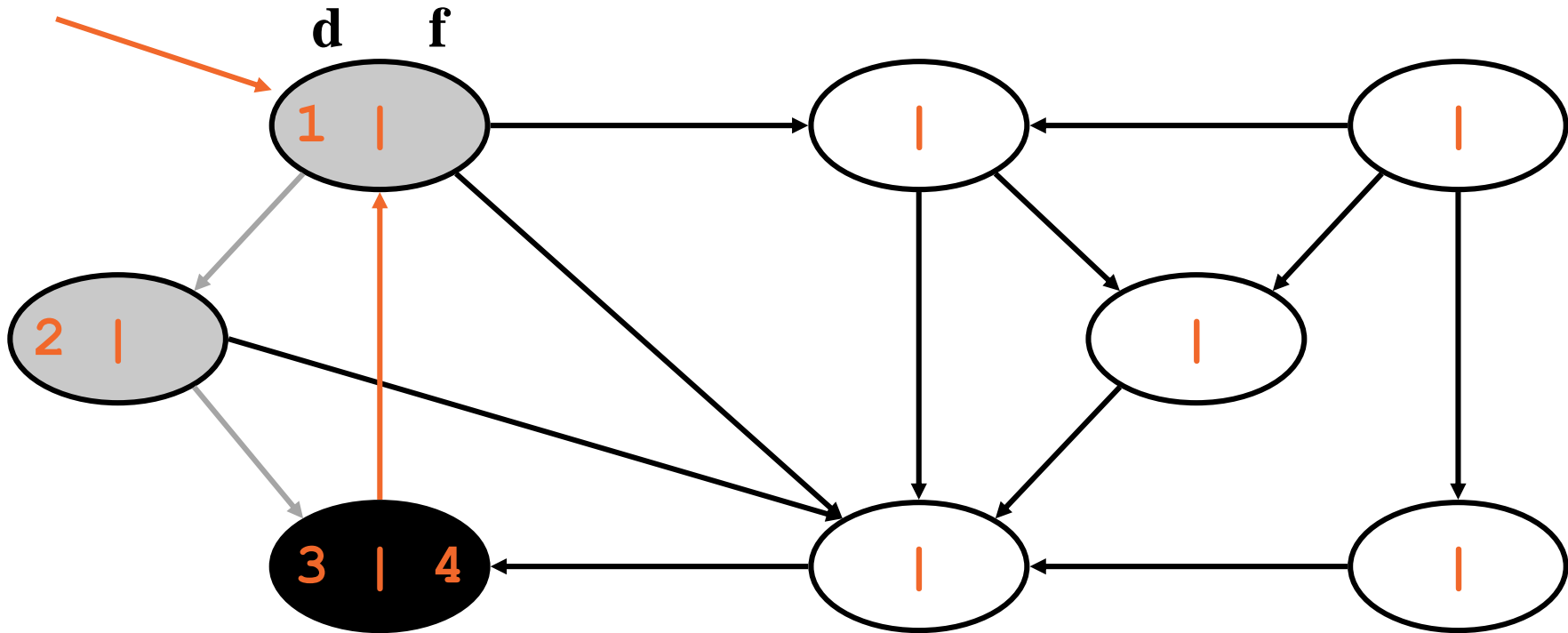


# DFS Example

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source  
vertex

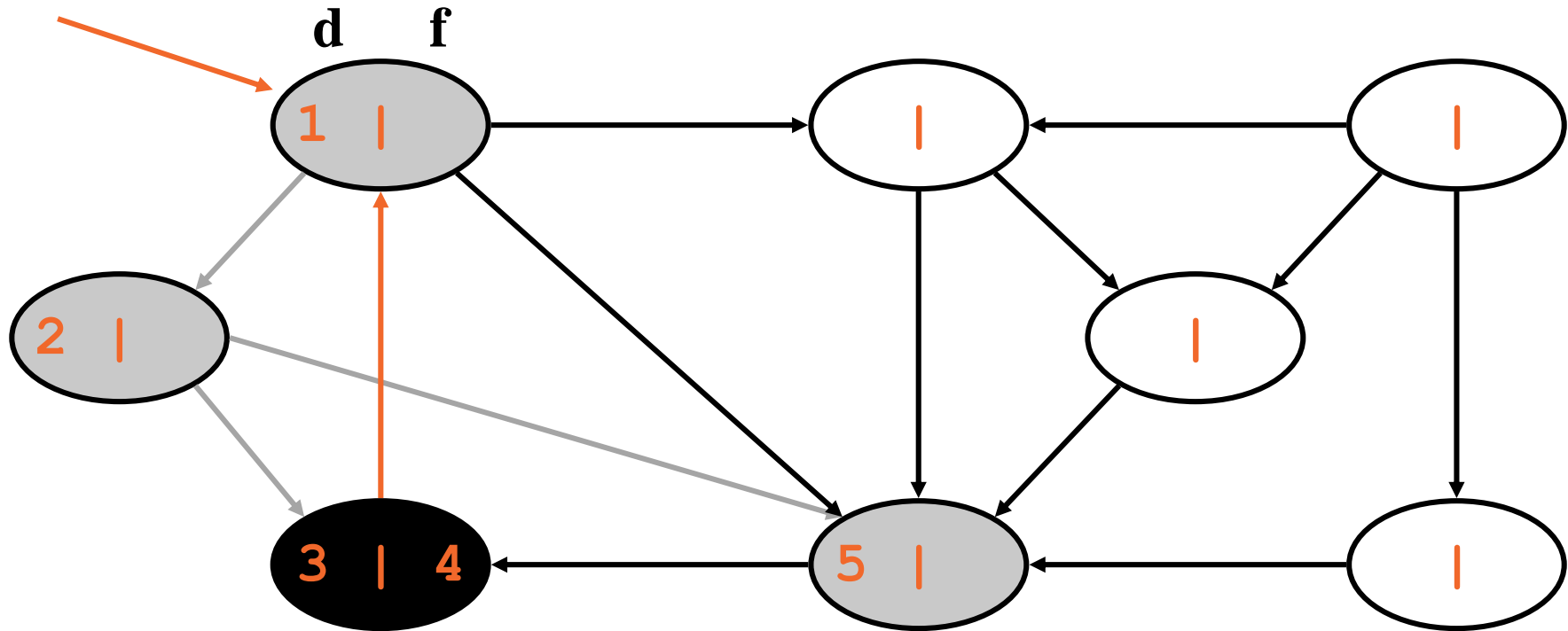




# DFS Example

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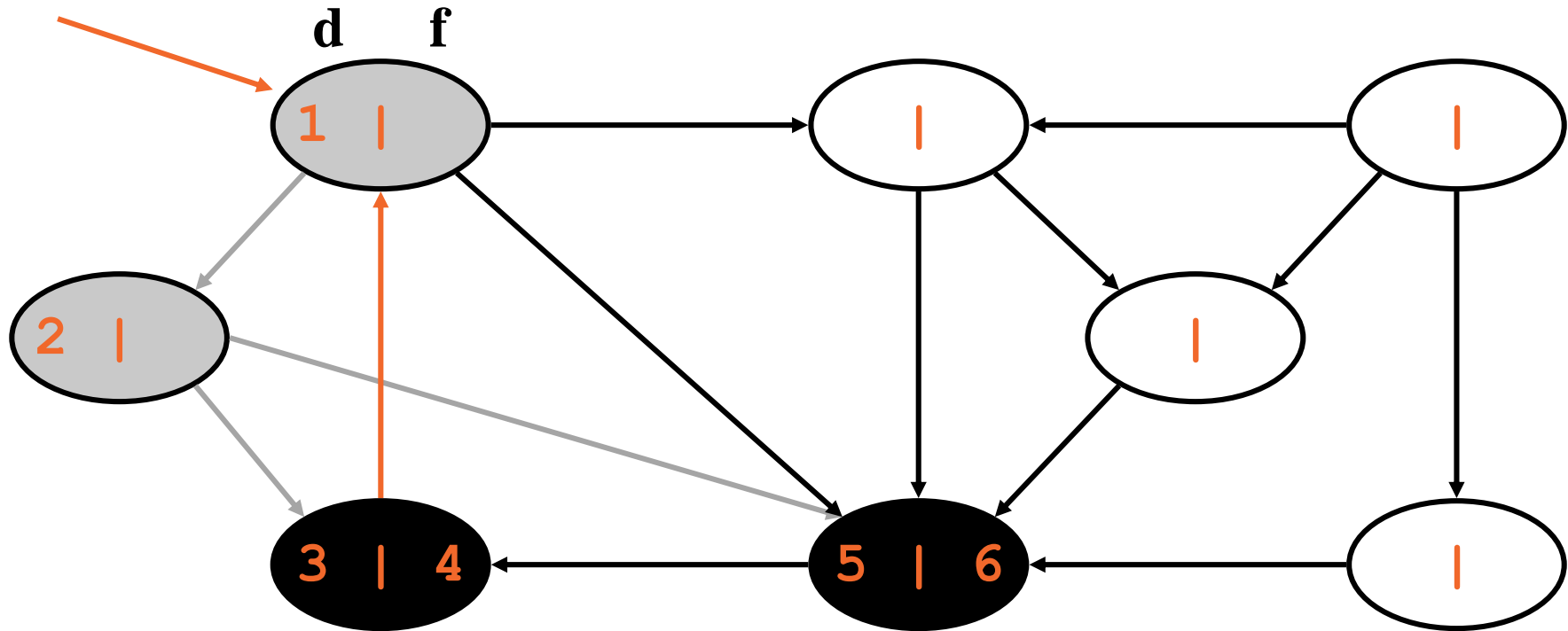
source  
vertex



# DFS Example

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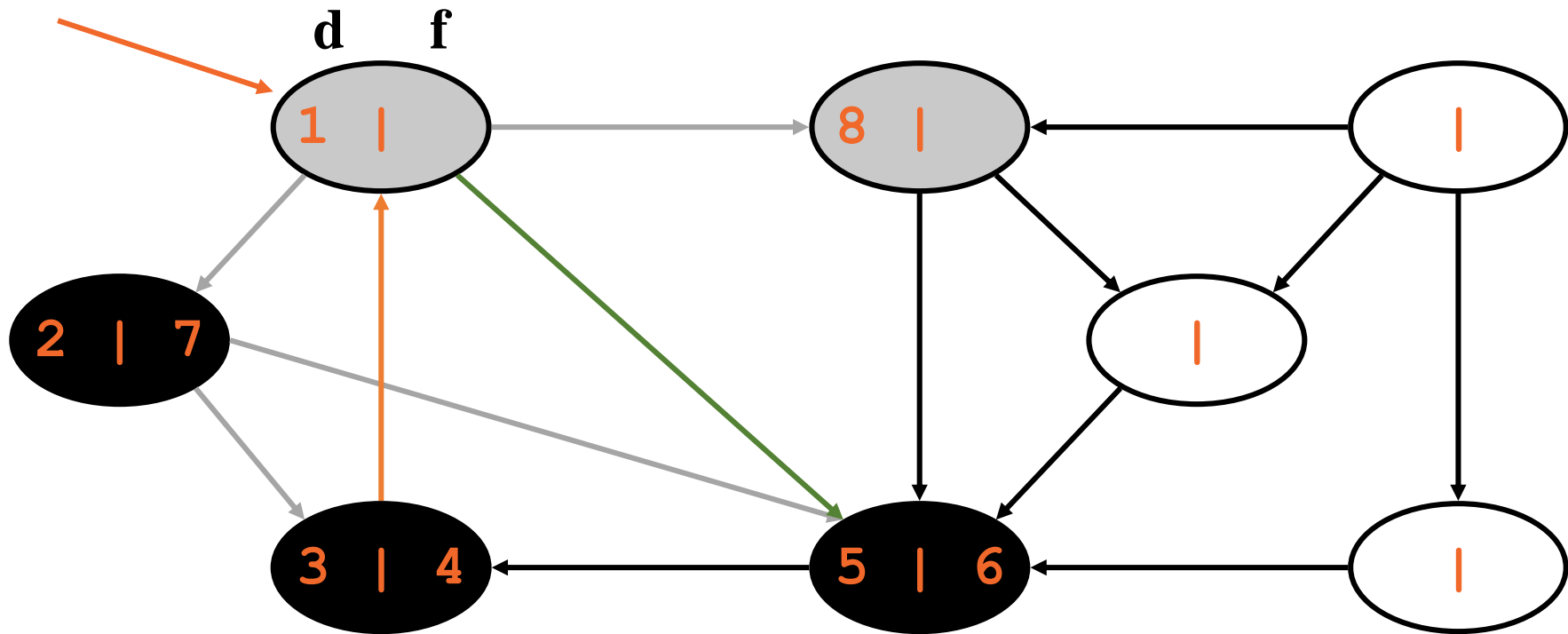
source  
vertex



# DFS Example

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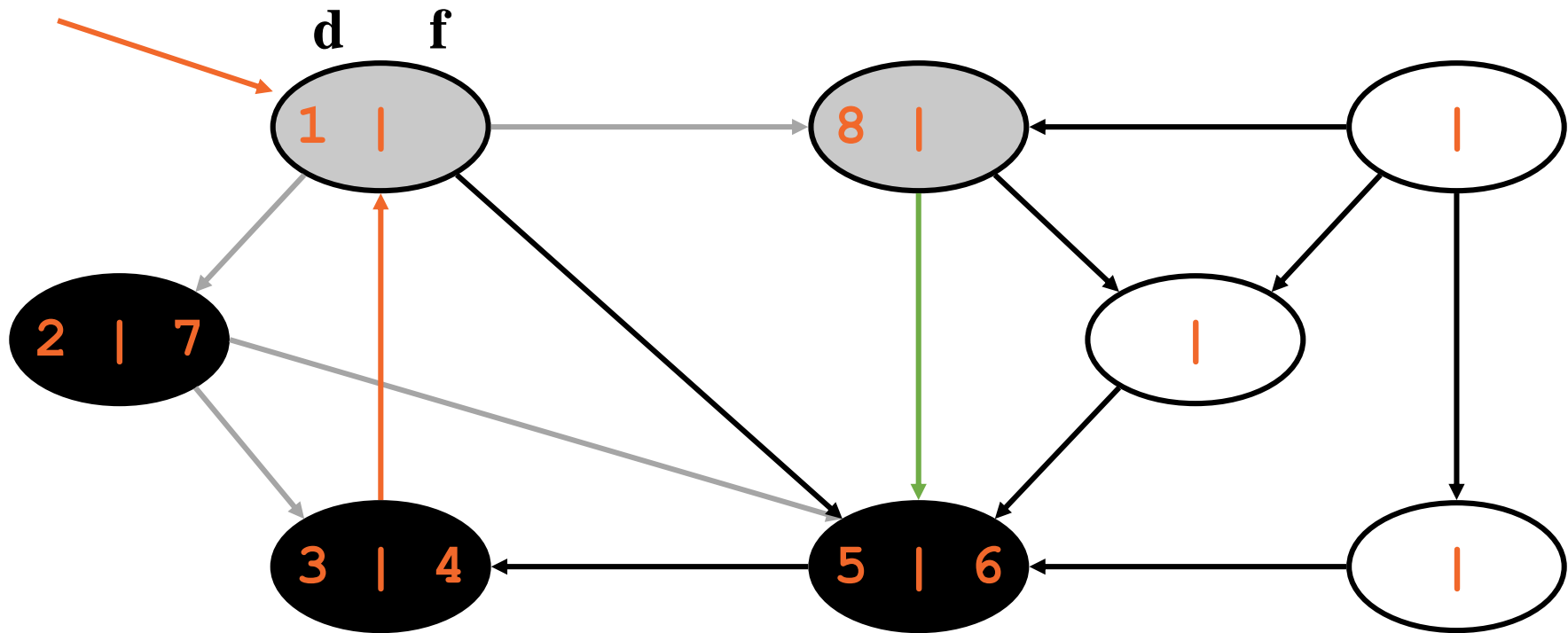
source  
vertex



# DFS Example

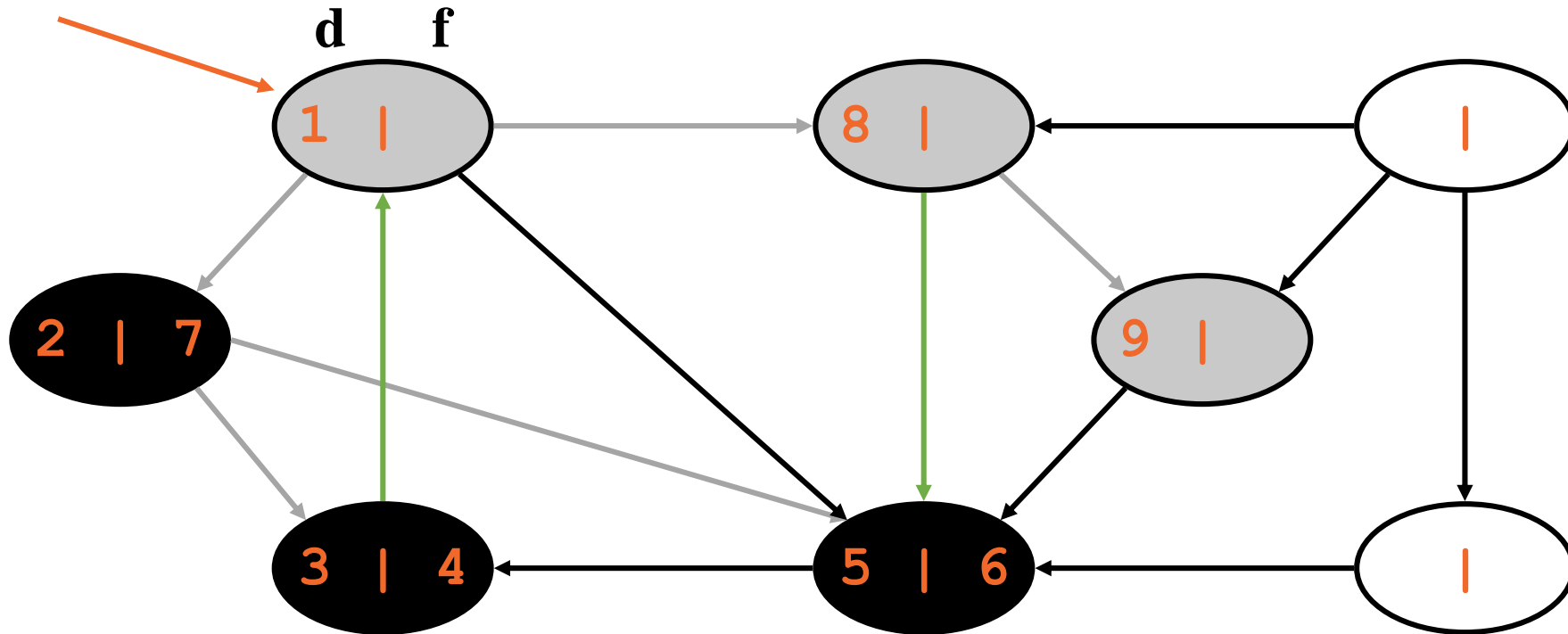
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source  
vertex



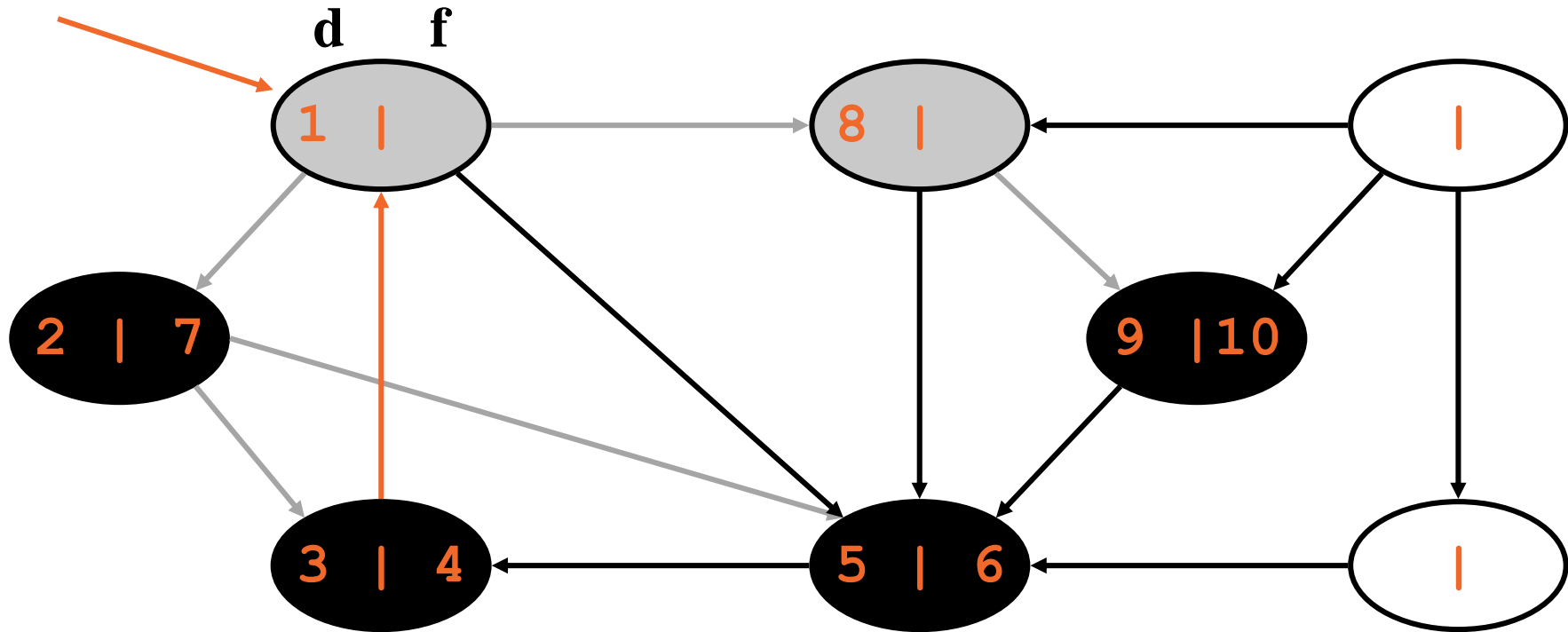
# DFS Example

source  
vertex



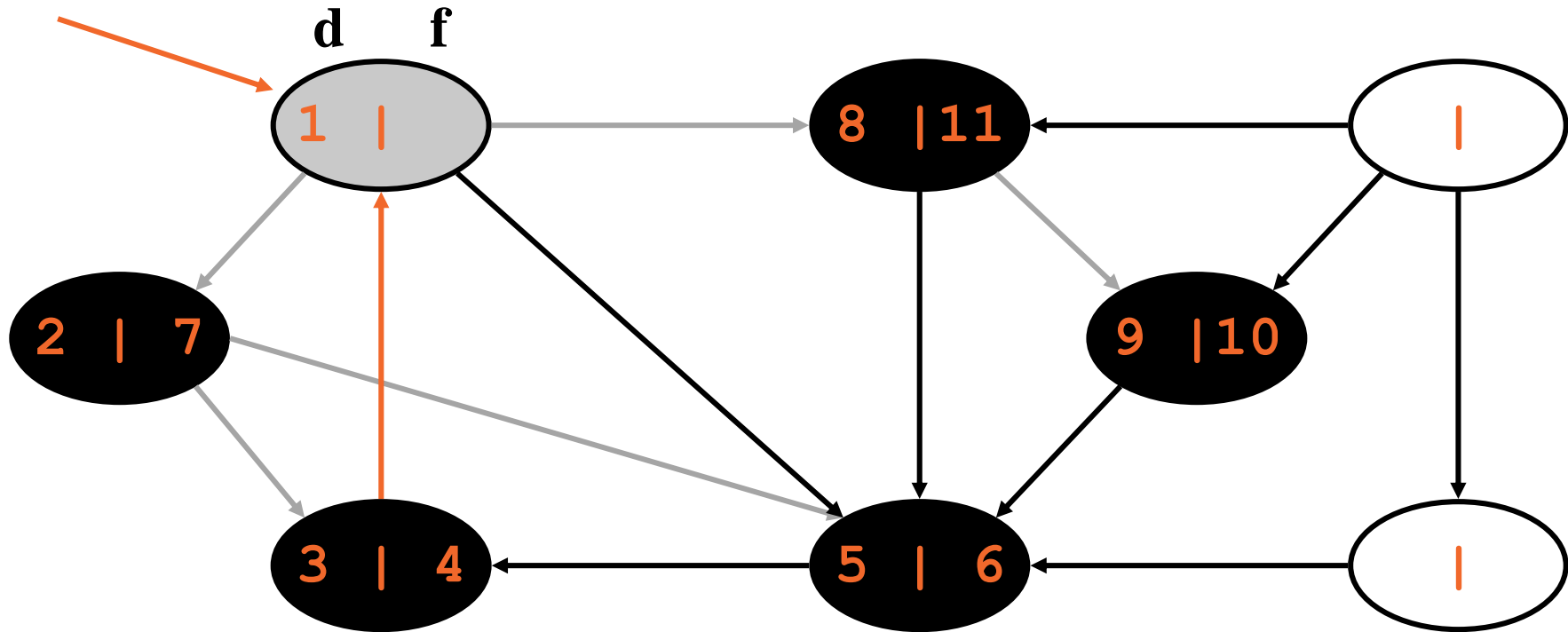
What is the structure of the grey vertices?  
What do they represent?





# DFS Example

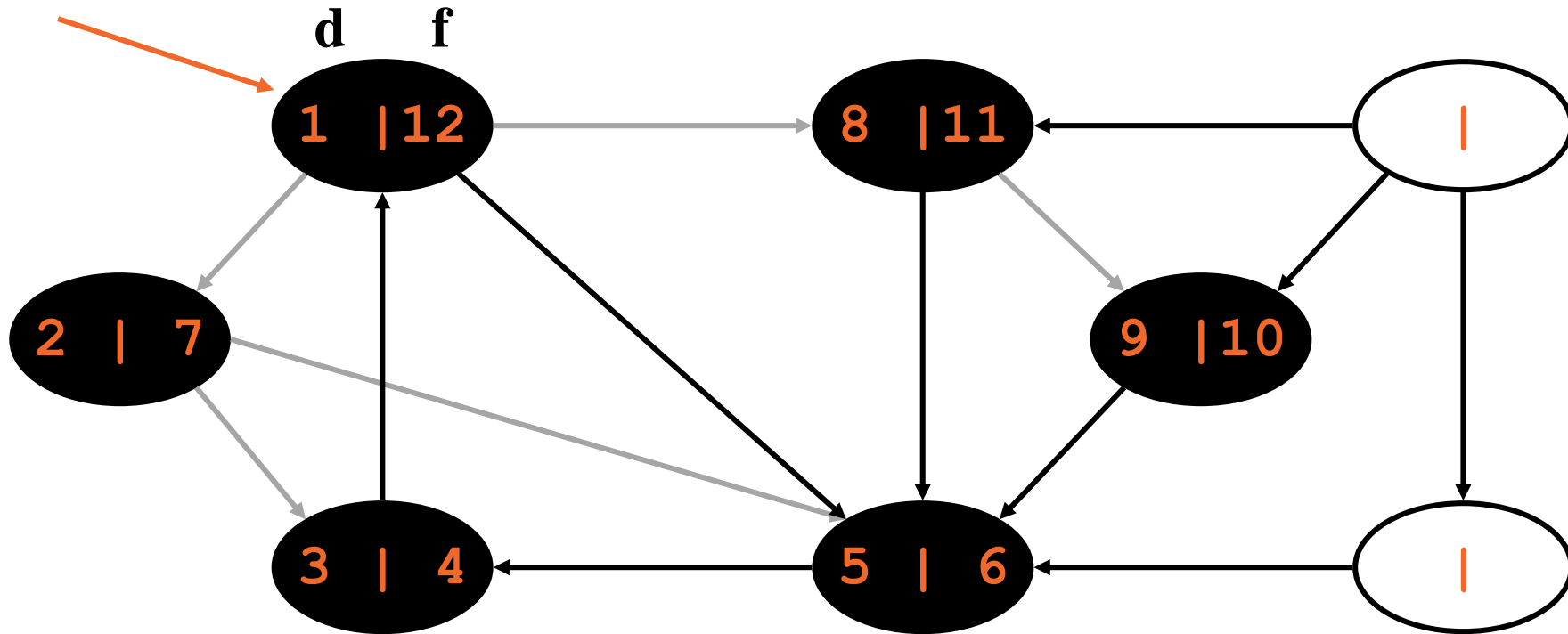
source  
vertex



# DFS Example

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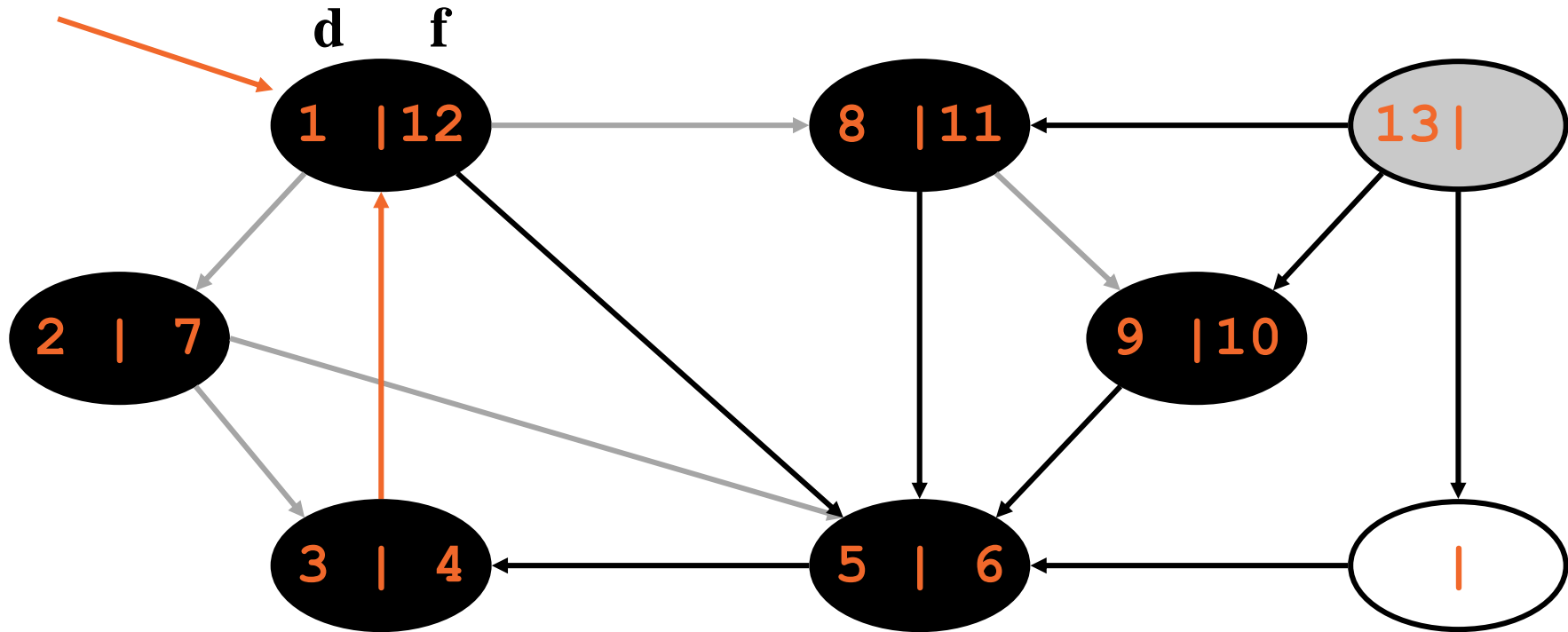
source  
vertex



# DFS Example

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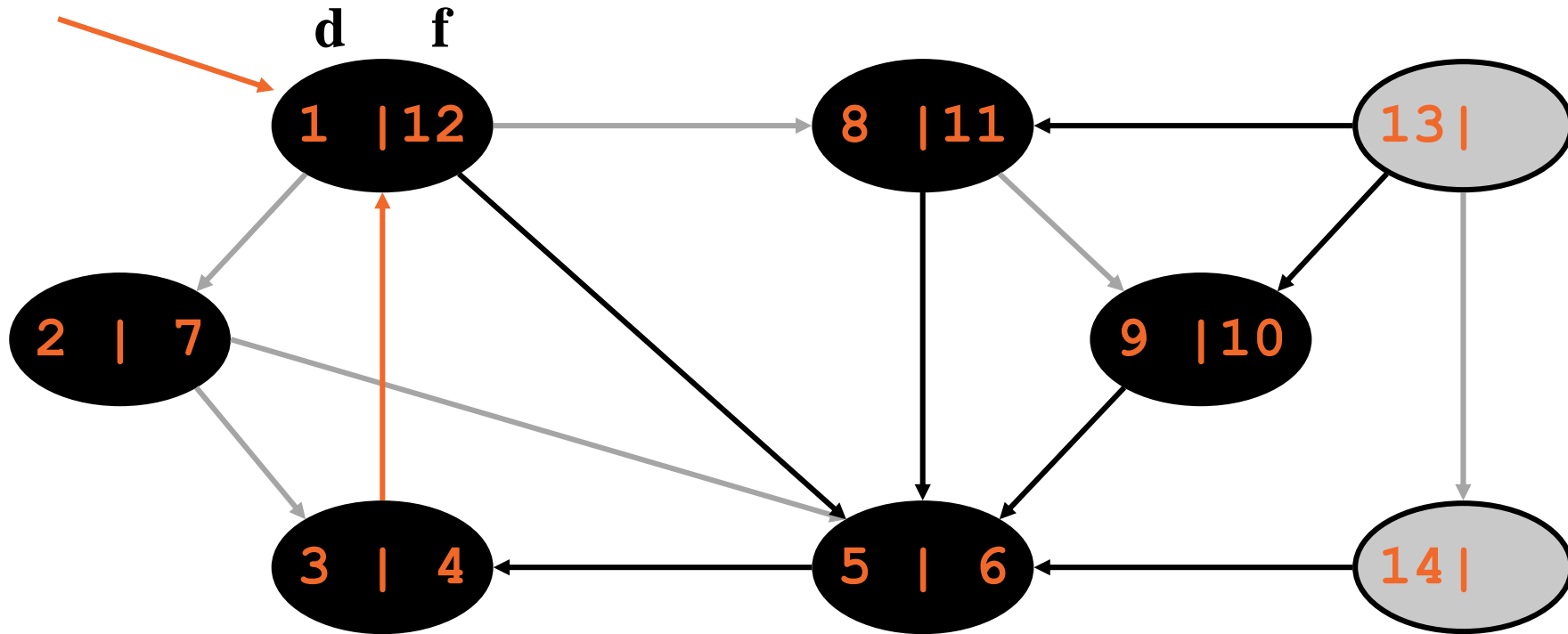
source  
vertex



# DFS Example

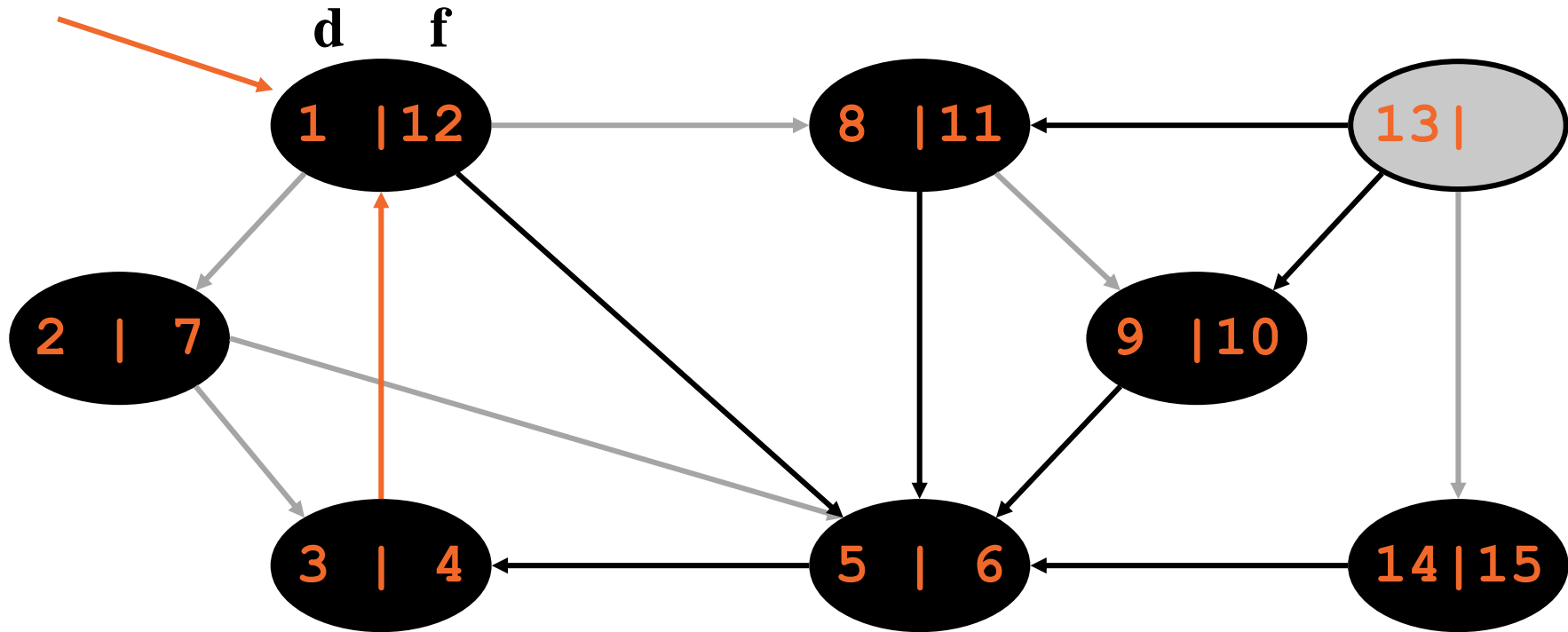
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source  
vertex



# DFS Example

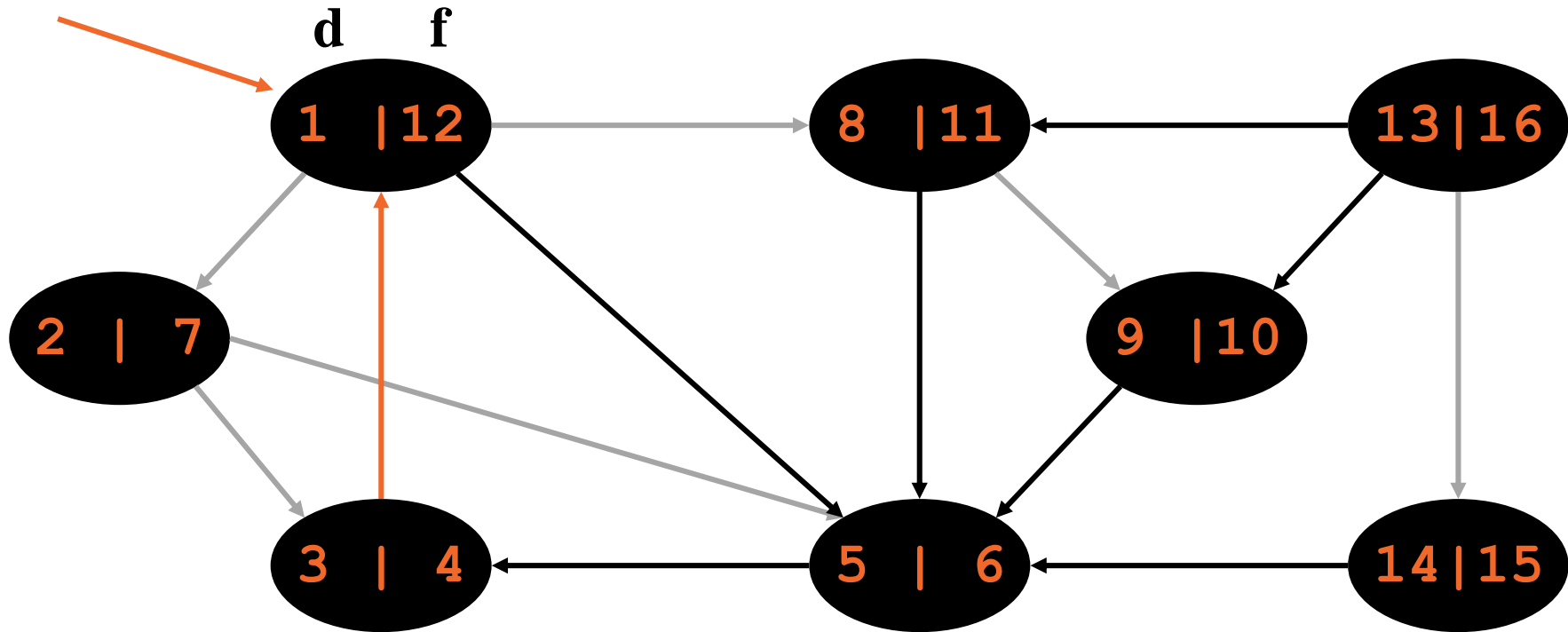
source  
vertex



# DFS Example

---

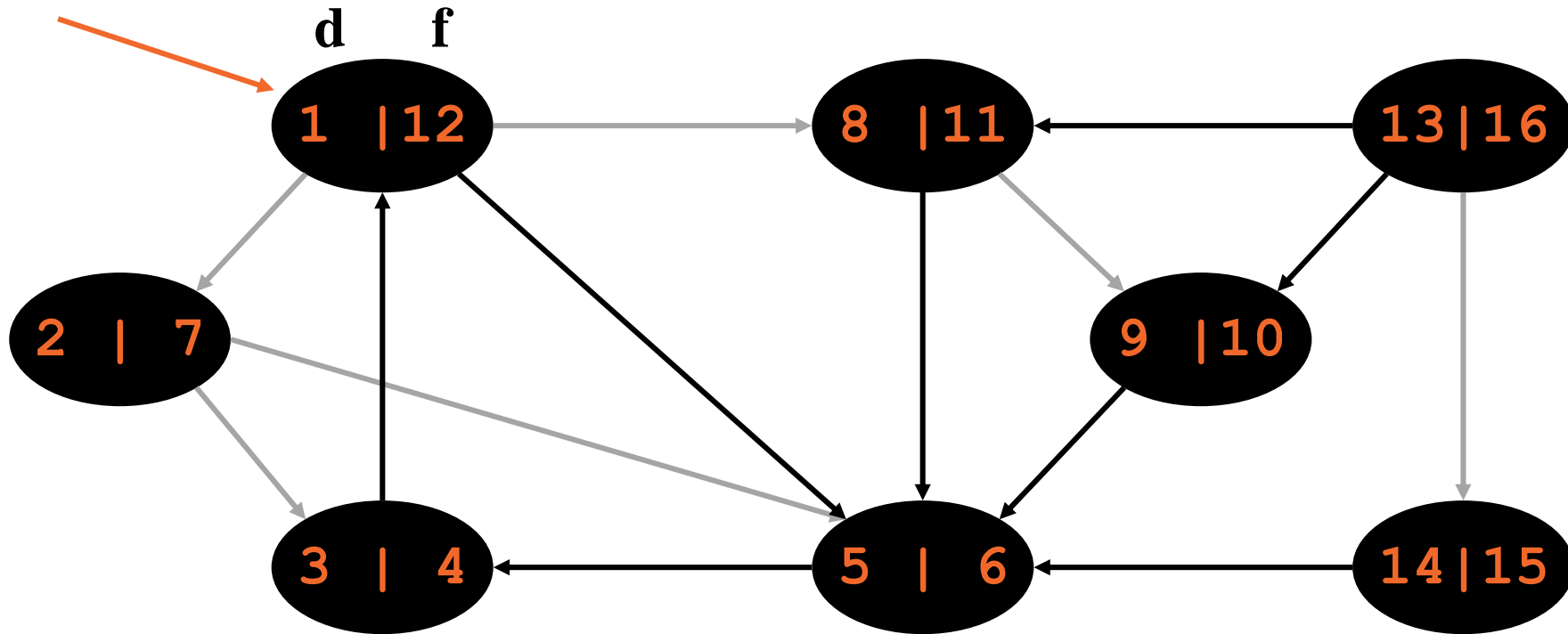
source  
vertex



# DFS Example

---

source  
vertex

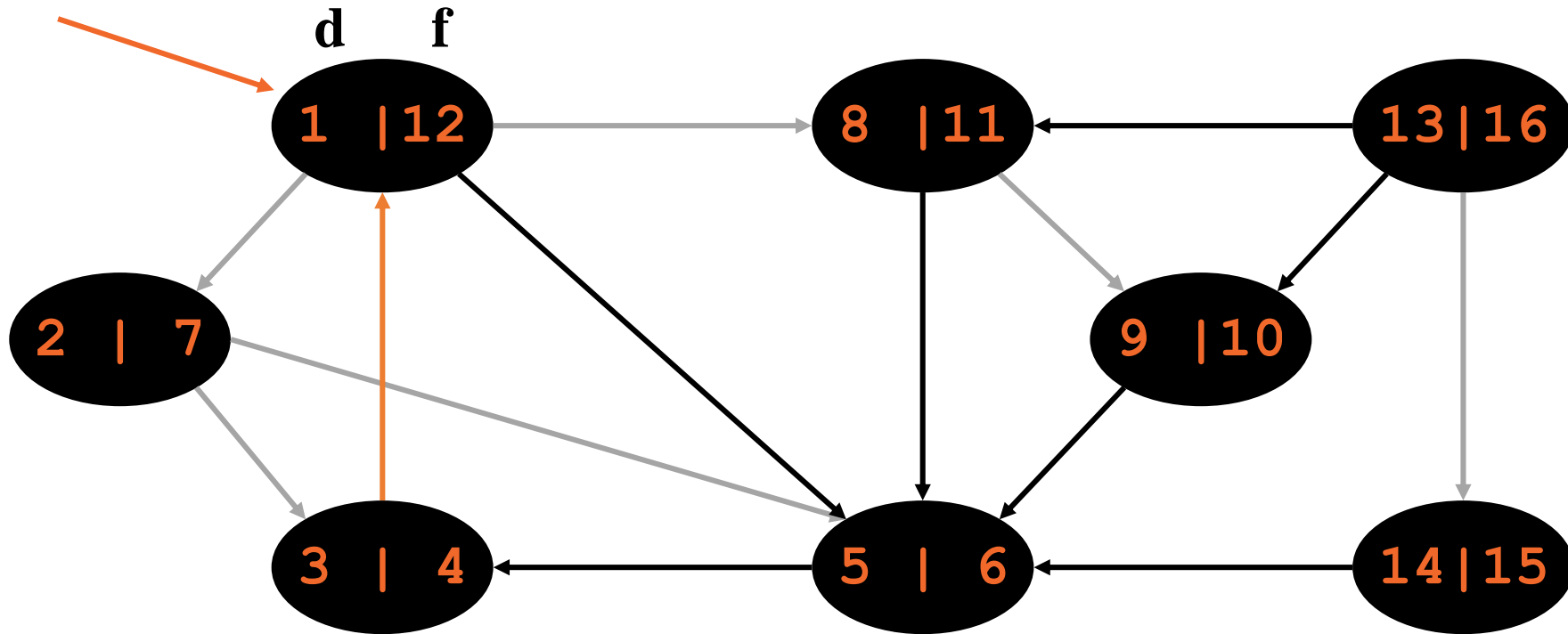


Tree edges



# DFS Example

source  
vertex

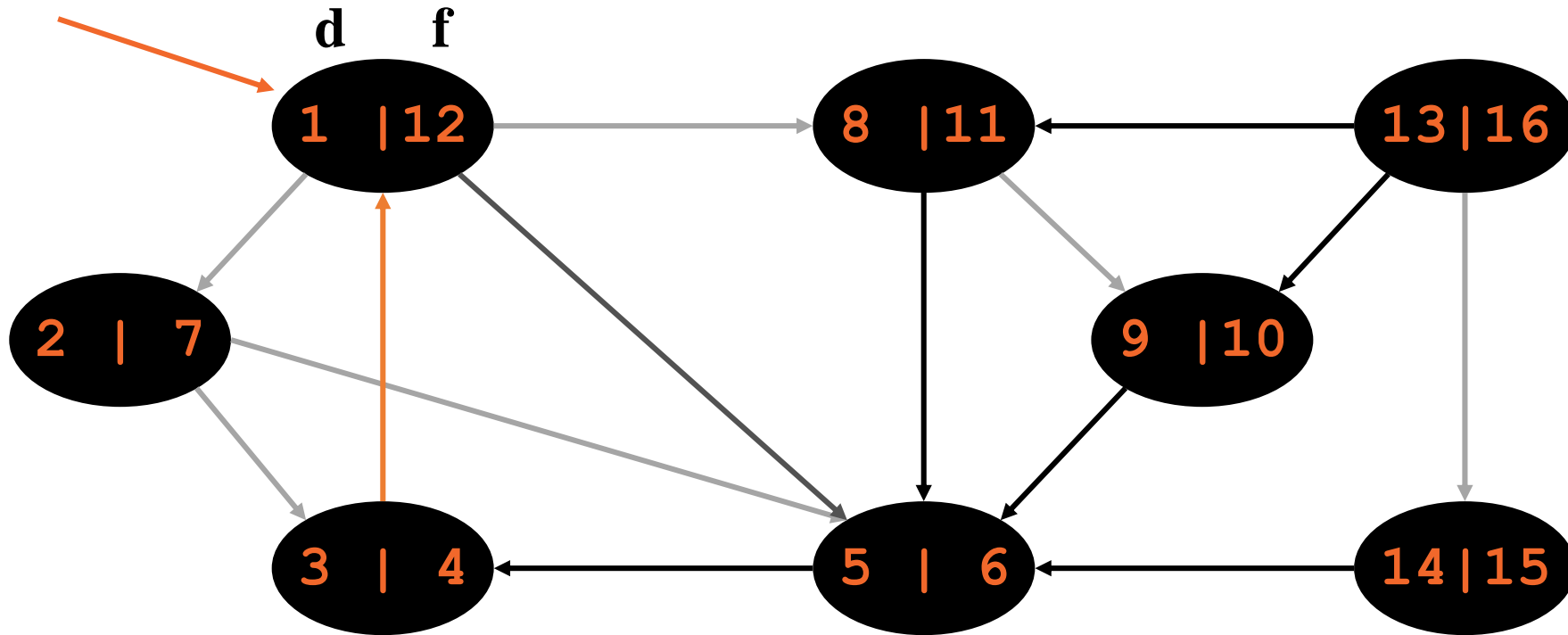


Tree edges

Back edges

# DFS Example

source  
vertex



Tree edges

Back edges

Forward edges

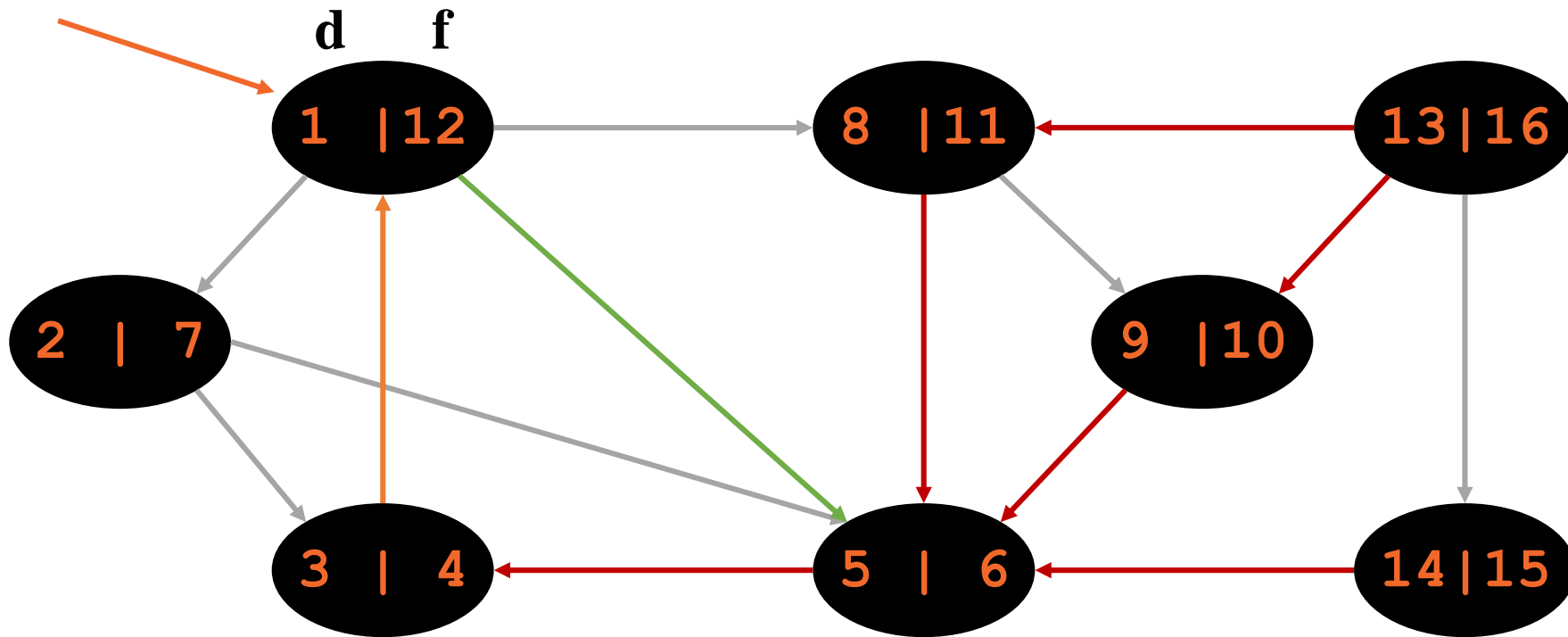
# DFS: Kinds of edges

---

- DFS introduces an important distinction among edges in the original graph:
  - *Tree edge*: encounter new (white) vertex
  - *Back edge*: from descendent to ancestor
  - *Forward edge*: from ancestor to descendent
  - *Cross edge*: between a tree or subtrees
- Note: tree & back edges are important; most algorithms don't distinguish forward & cross

# DFS Example

source  
vertex



Tree edges

Back edges

Forward edges

Cross edges

# DFS And Graph Cycles

---

- Thm: An undirected graph is *acyclic* iff a DFS yields no back edges
  - If acyclic, no back edges (because a back edge implies a cycle)
  - If no back edges, acyclic
    - No back edges implies only tree edges Only tree edges implies we have a tree or a forest
    - Which by definition is acyclic
- Thus, can run DFS to find whether a graph has a cycle

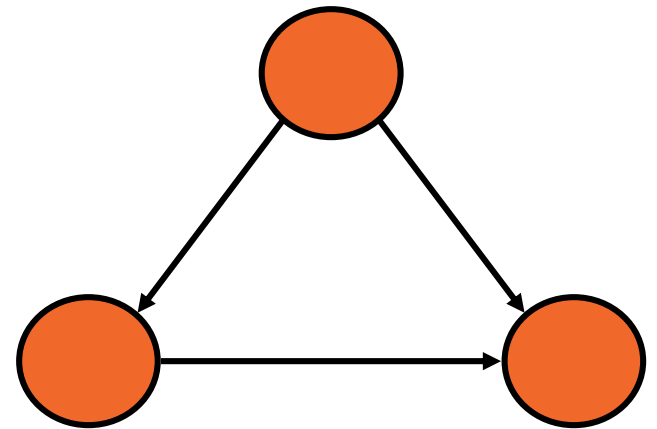
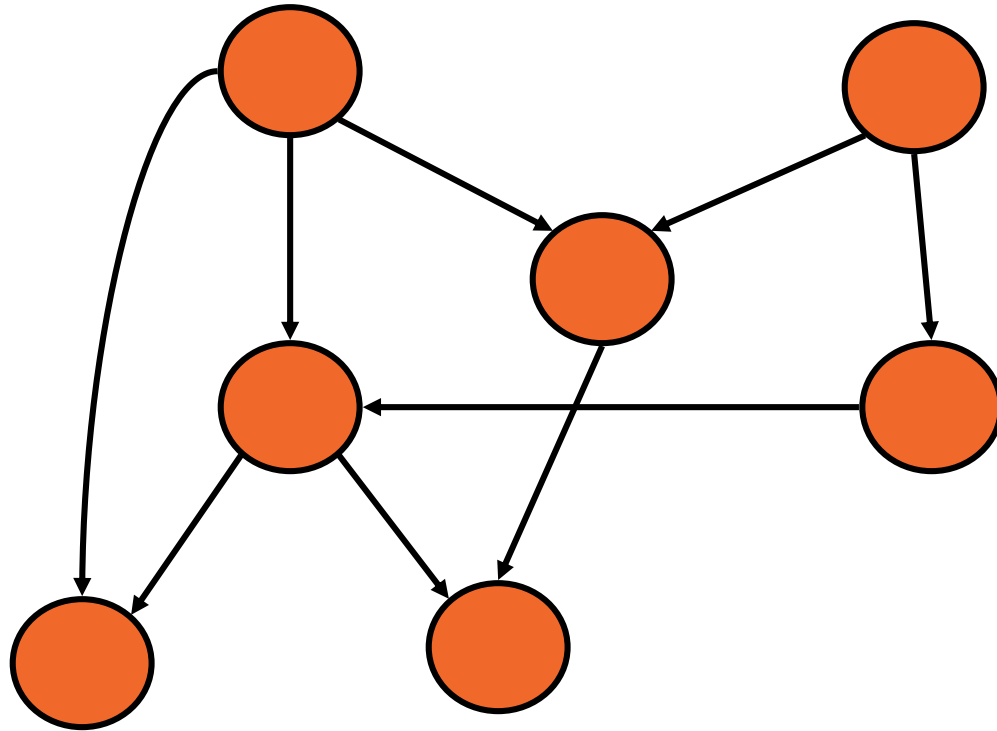
# DFS And Cycles

---

- $\Theta(V+E)$
- We can actually determine if cycles exist in  $\Theta(V)$  time:
  - In an undirected acyclic forest,  $|E| \leq |V| - 1$
  - So count the edges: if ever see  $|V|$  distinct edges, must have seen a back edge along the way

# Directed Acyclic Graphs

- A *directed acyclic graph* or *DAG* is a directed graph with no directed cycles:
- directed graph  $G$  is acyclic iff a DFS of  $G$  yields no back edges:



# Topological Sort

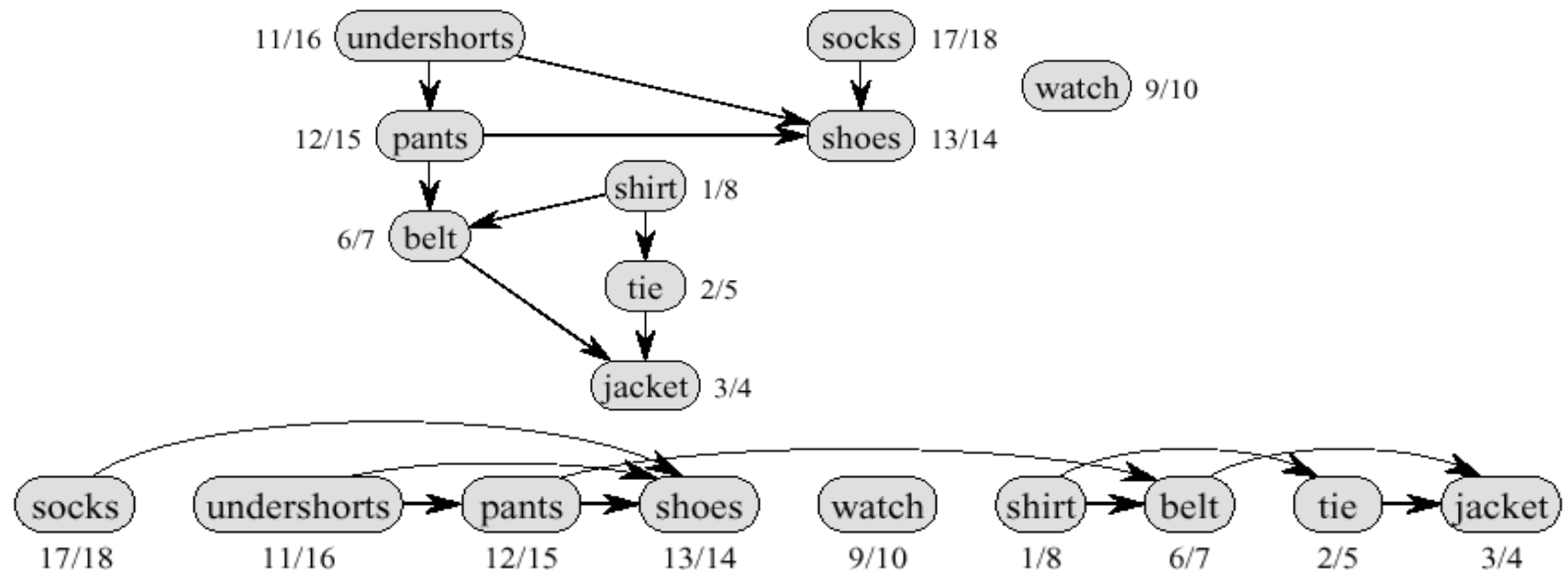
---

- *Topological sort* of a DAG:
  - Linear ordering of all vertices in graph  $G$  such that vertex  $u$  comes before vertex  $v$  if edge  $(u, v) \in G$
- Real-world example: getting dressed



# Topological Sort Example

- Precedence relations: an edge from  $x$  to  $y$  means one must be done with  $x$  before one can do  $y$
- Intuition: can schedule task only when all of its subtasks have been scheduled



# Topological Sort Algorithm

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**Topological-Sort()**

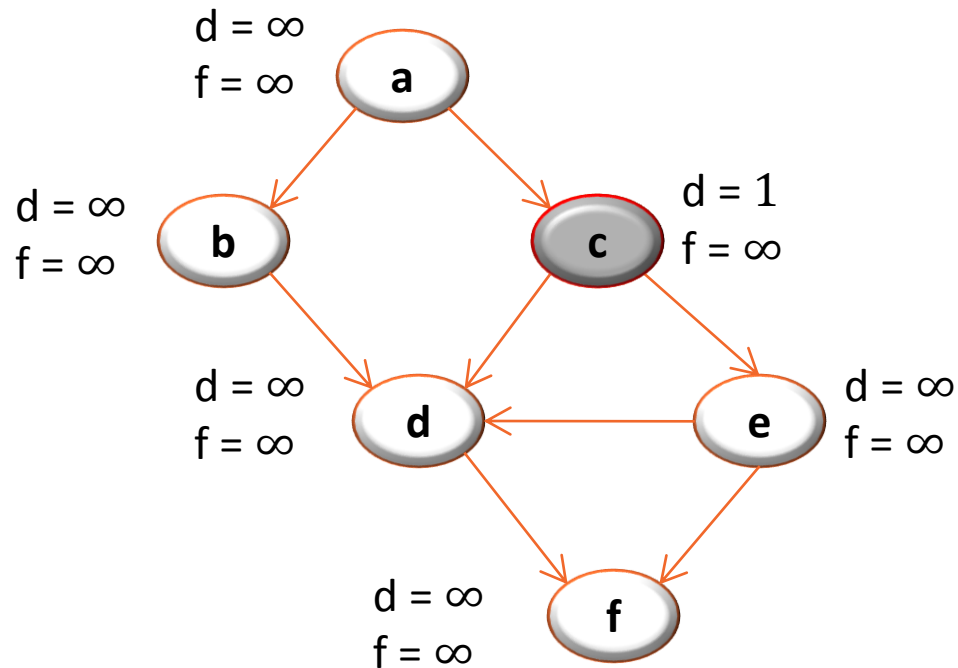
```
{  
    Run DFS  
    When a vertex is finished, output it  
    Vertices are output in reverse topological  
    order  
}
```

- Time:  $\Theta(V+E)$

# Topological Example

1) Call DFS(**G**) to compute the finishing times **f[v]**

Time = 2



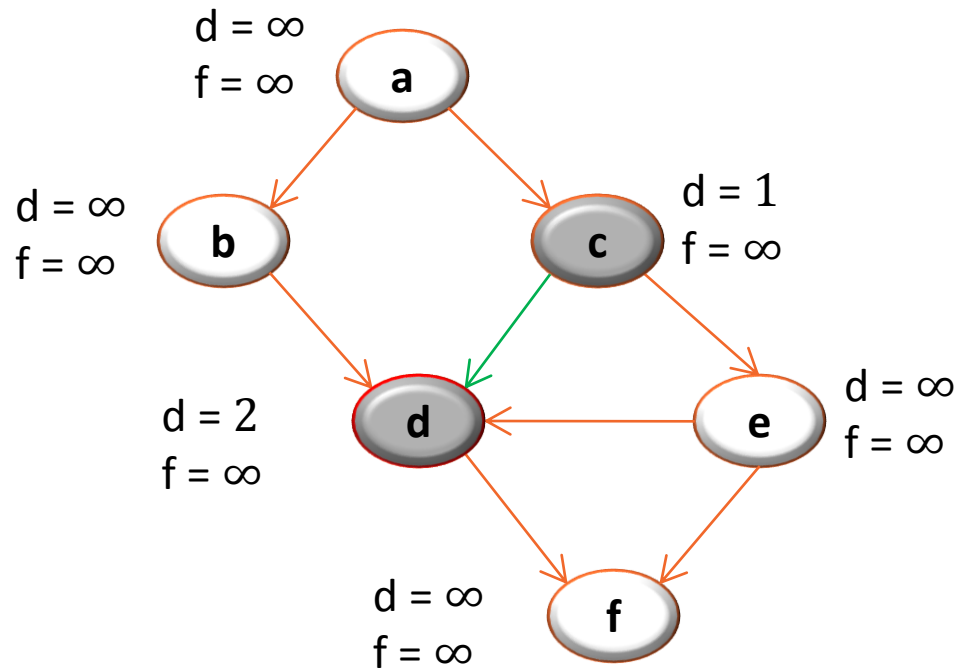
Let's say we start the DFS from the vertex **c**

Next we discover the vertex **d**

# Topological sort

1) Call DFS(**G**) to compute the finishing times **f[v]**

Time = 3

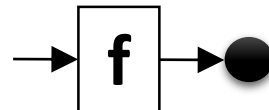
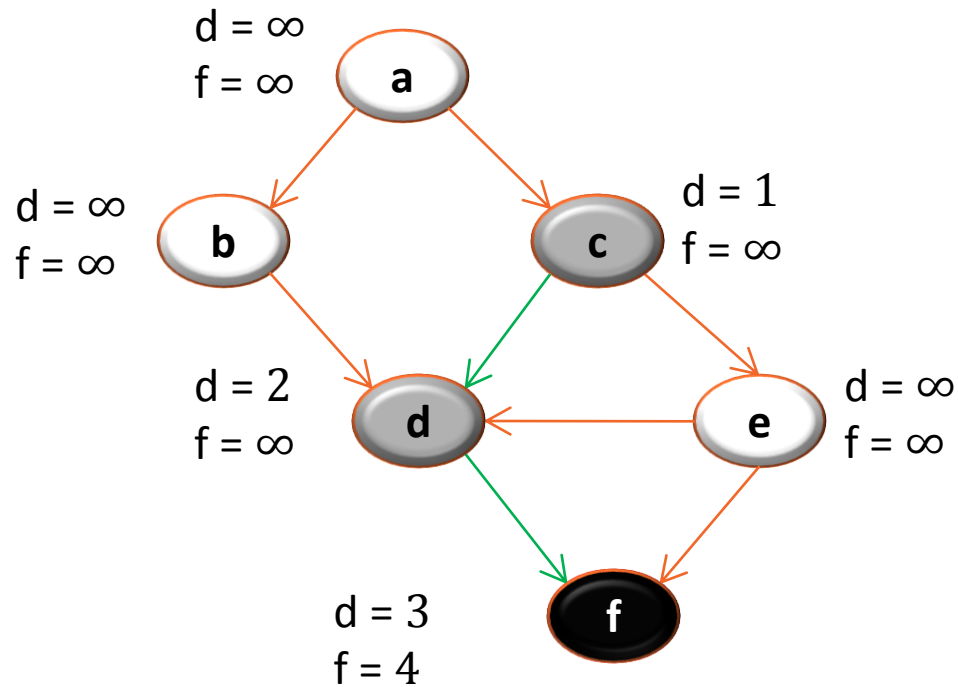


Let's say we start the DFS from the vertex **c**

Next we discover the vertex **d**

# Topological sort

Time = 4



1) Call DFS(**G**) to compute the finishing times **f[v]**

2) as each vertex is finished, insert it onto the **front** of a linked list

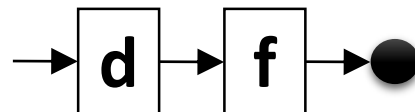
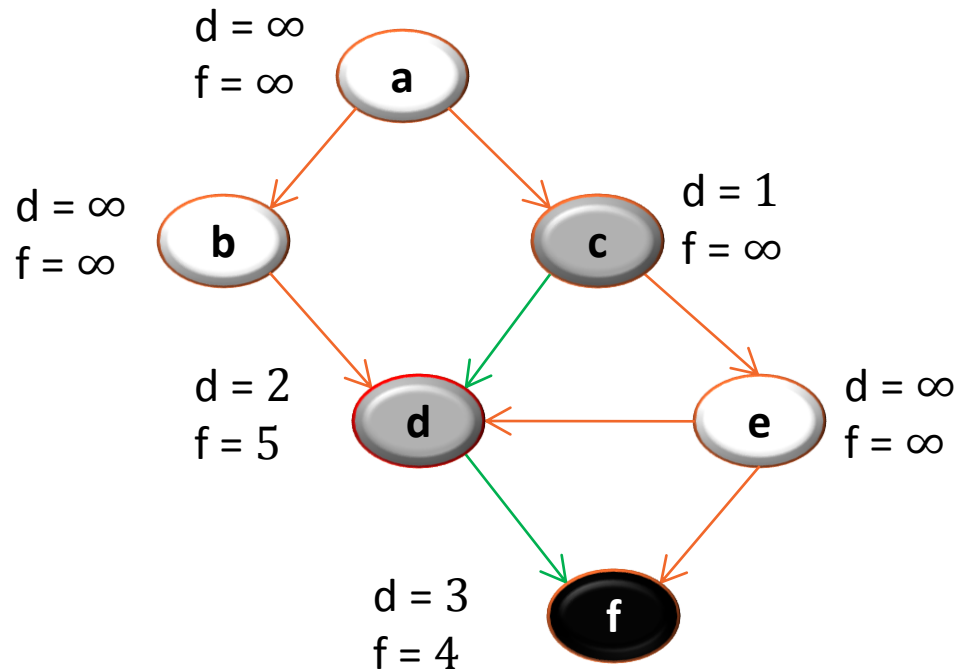
Next we discover the vertex **f**

**f** is done, move back to **d**

# Topological sort

1) Call DFS(**G**) to compute the finishing times **f[v]**

Time = 5



Let's say we start the DFS from the vertex **c**

Next we discover the vertex **d**

Next we discover the vertex **f**

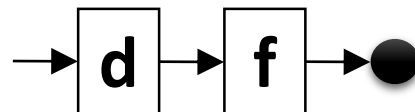
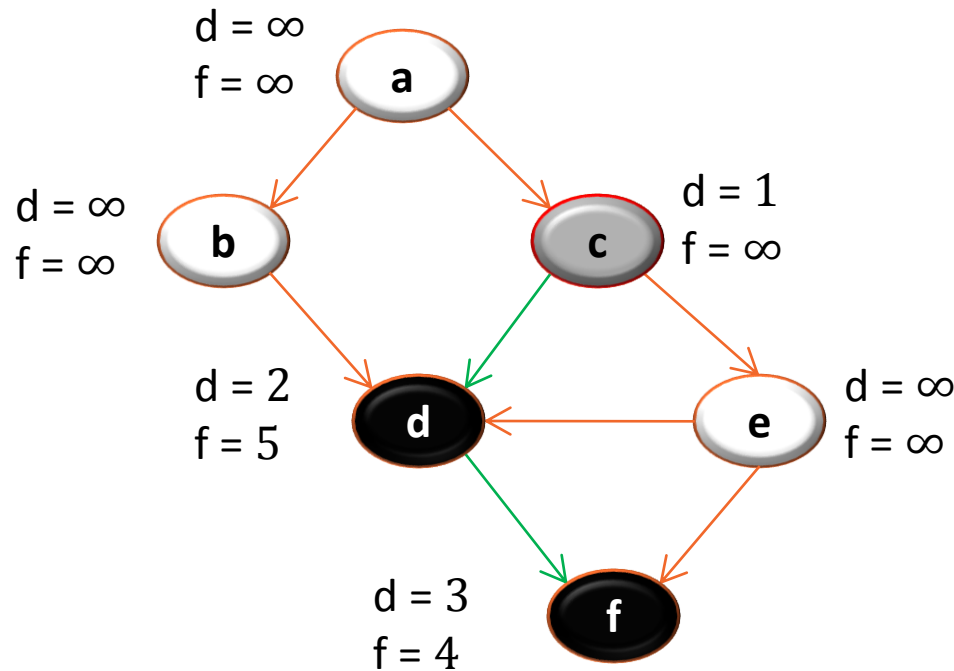
**f** is done, move back to **d**

**d** is done, move back to **c**

# Topological sort

1) Call DFS(**G**) to compute the finishing times **f[v]**

Time = 6



Let's say we start the DFS from the vertex **c**

Next we discover the vertex **d**

Next we discover the vertex **f**

**f** is done, move back to **d**

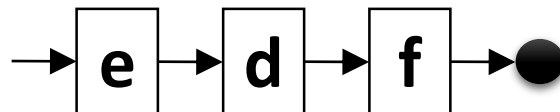
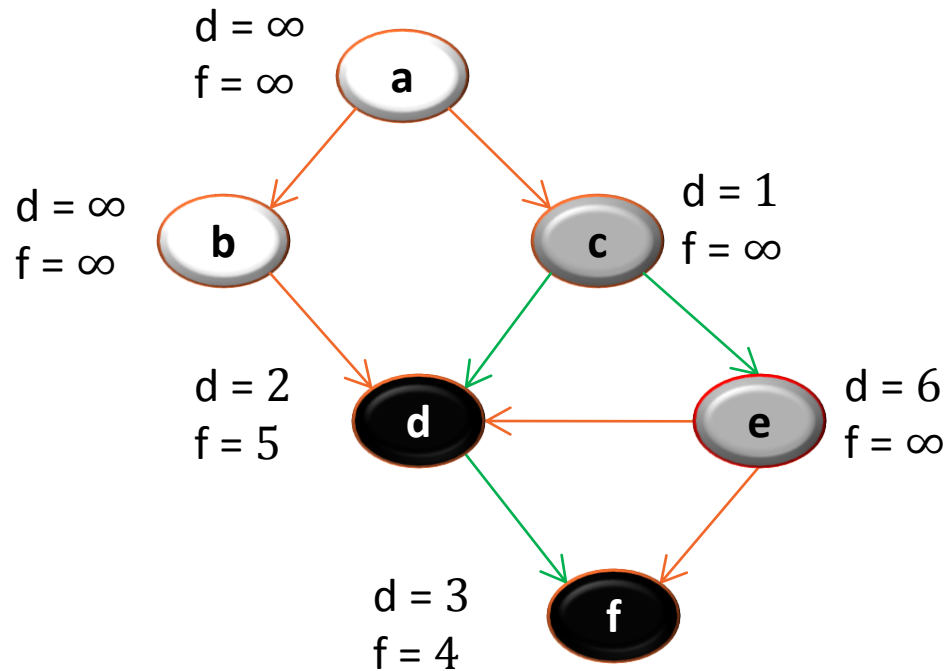
**d** is done, move back to **c**

Next we discover the vertex **e**

# Topological sort

1) Call DFS(**G**) to compute the finishing times **f[v]**

Time = 7



Let's say we start the DFS from the vertex **c**

Next we discover the vertex **d**

Both edges from **e** are **cross edges**

**d** is done, move back to **c**

Next we discover the vertex **e**

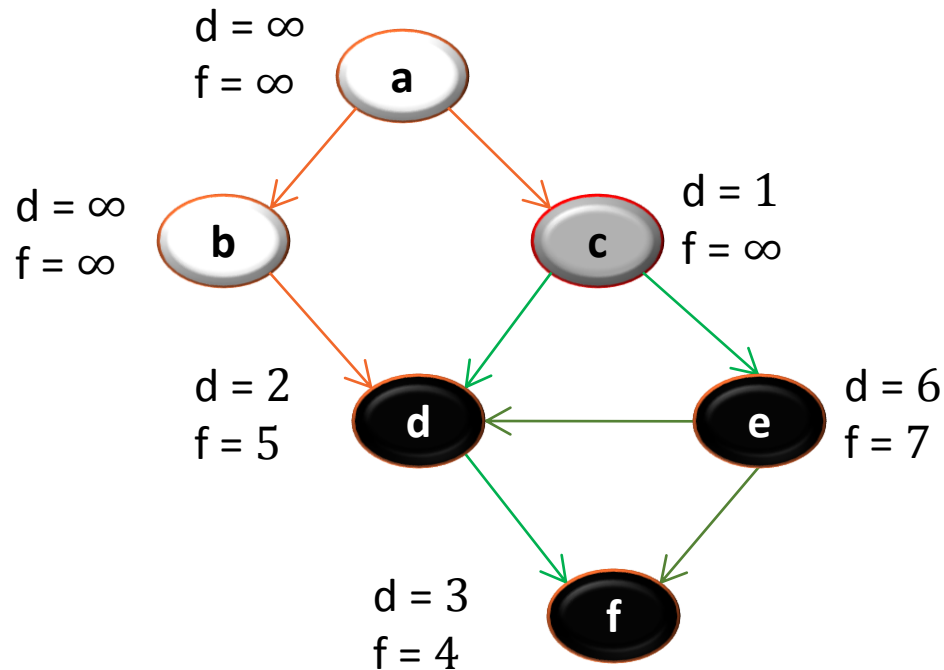
**e** is done, move back to **c**



# Topological sort

1) Call DFS(**G**) to compute the finishing times **f[v]**

Time = 8



Let's say we start the DFS from the vertex **c**

Just a note: If there was **(c,f)** edge in the graph, it would be classified as a **forward edge** (in this particular DFS run)

**d** is done, move back to **c**

Next we discover the vertex **e**

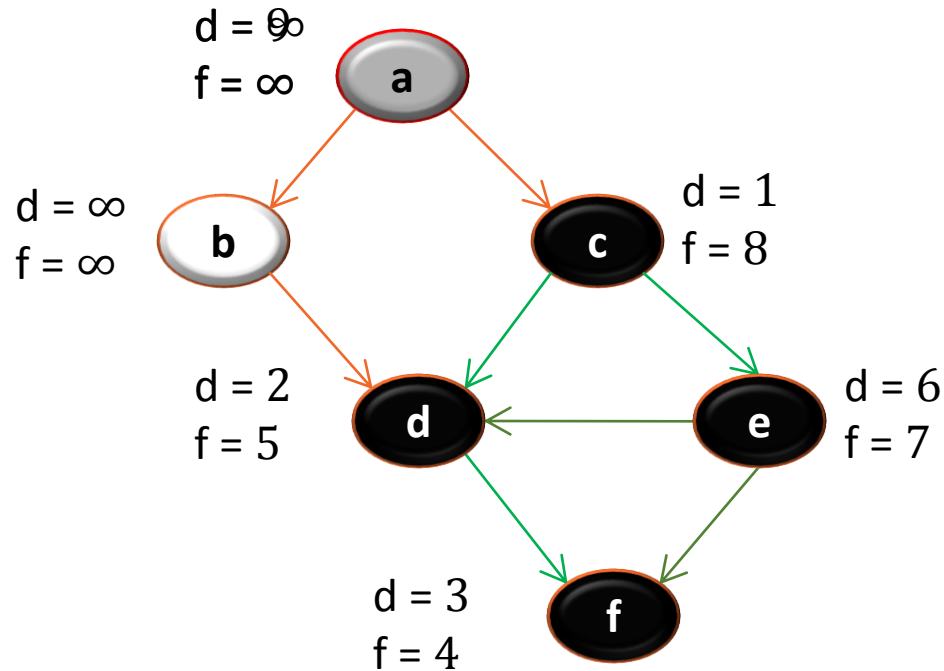
**e** is done, move back to **c**

**c** is done as well

# Topological sort

1) Call DFS(**G**) to compute the finishing times **f[v]**

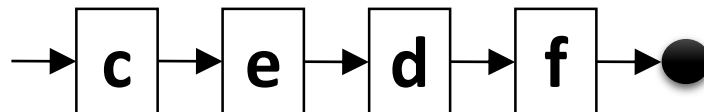
Time = 10



Let's now call DFS visit from the vertex **a**

Next we discover the vertex **c**, but **c** was already processed => (**a,c**) is a cross edge

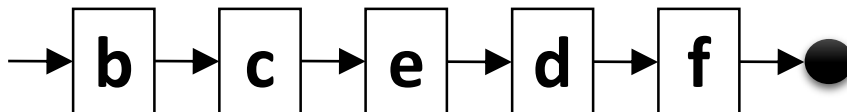
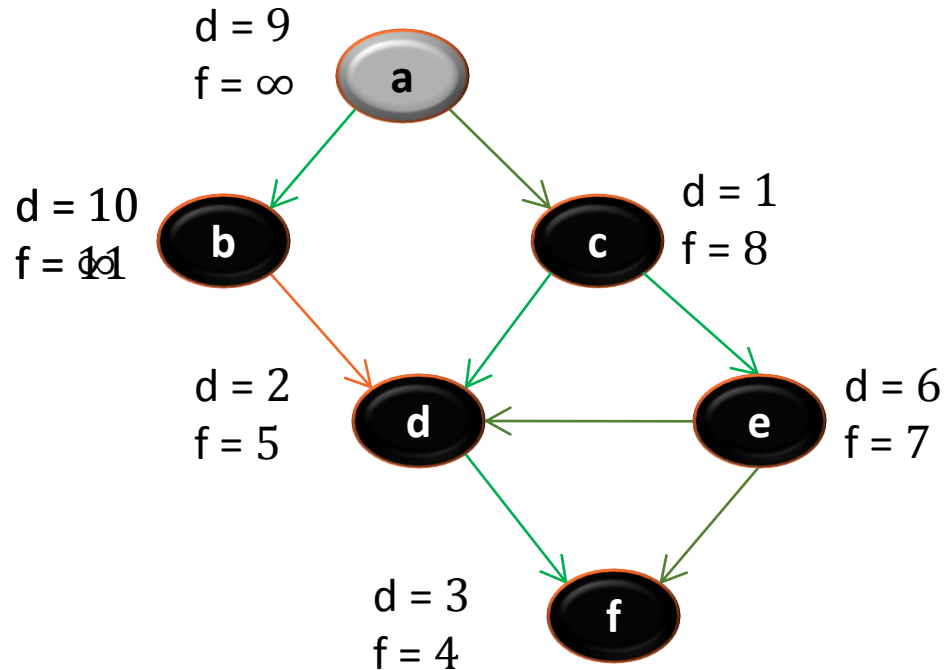
Next we discover the vertex **b**



# Topological sort

1) Call DFS(**G**) to compute the finishing times **f[v]**

Time = 11



Let's now call DFS visit from the vertex **a**

Next we discover the vertex **c**, but **c** was already processed  $\Rightarrow$  (**a,c**) is a cross edge

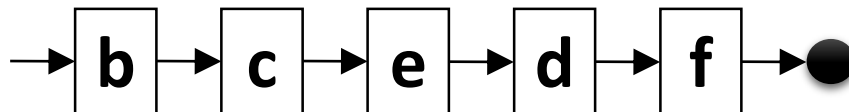
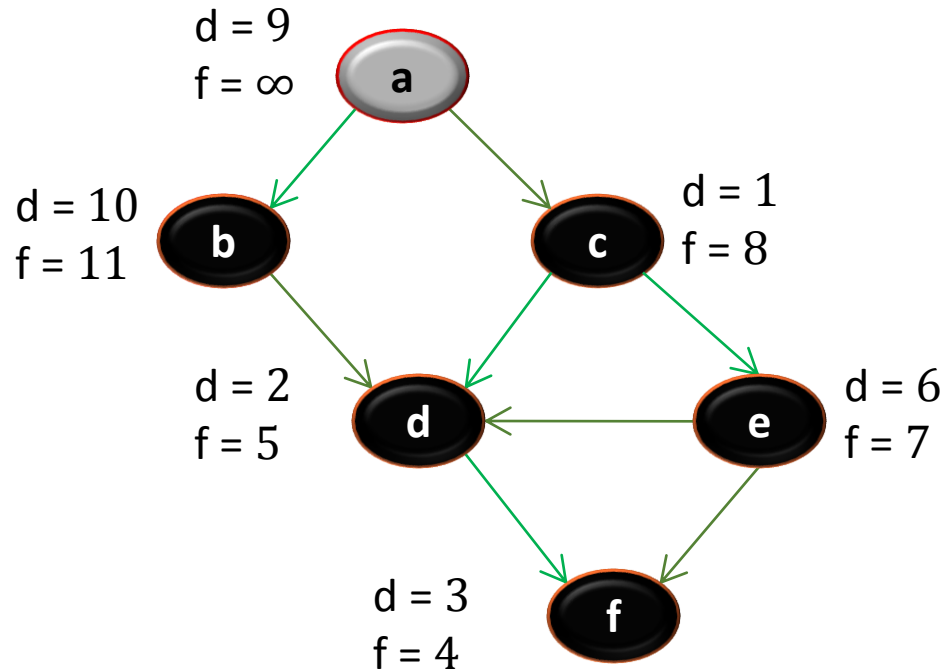
Next we discover the vertex **b**

**b** is done as (**b,d**) is a cross edge  $\Rightarrow$  now move back to **c**

# Topological sort

1) Call DFS(**G**) to compute the finishing times **f[v]**

Time = 12



Let's now call DFS visit from the vertex **a**

Next we discover the vertex **c**, but **c** was already processed => (**a,c**) is a cross edge

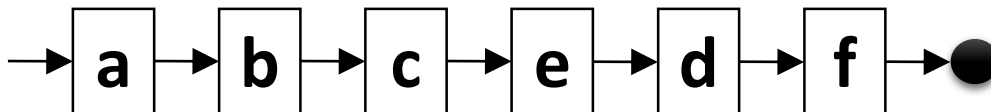
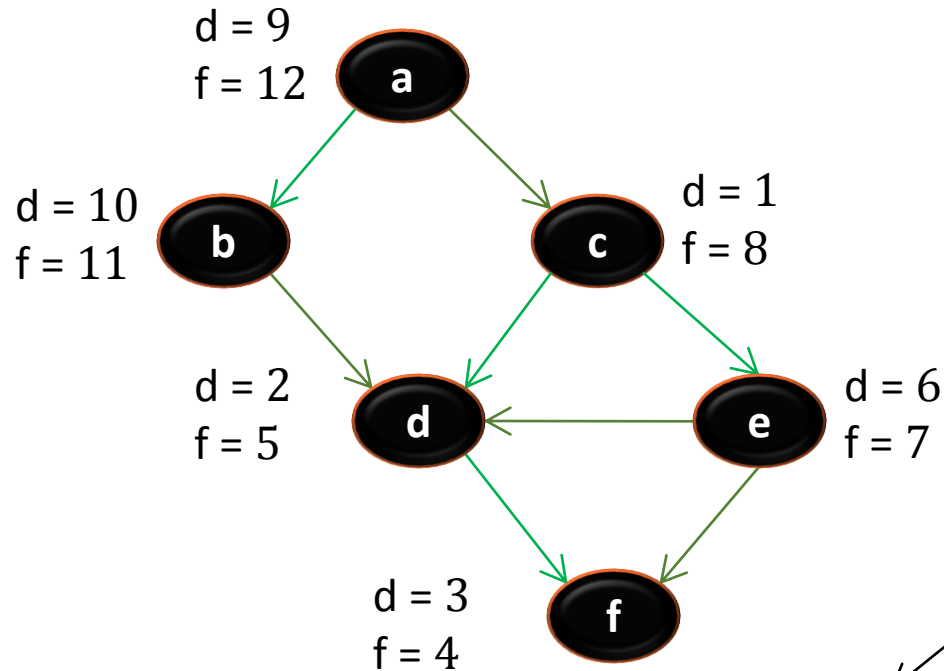
Next we discover the vertex **b**

**b** is done as (**b,d**) is a cross edge  
=> now move back to **c**

**a** is done as well

# Topological sort

Time = 13



1) Call  $\text{DFS}(\mathbf{G})$  to compute the finishing times  $\mathbf{f}[\mathbf{v}]$

**WE HAVE THE RESULT!**

3) return the linked list of vertices

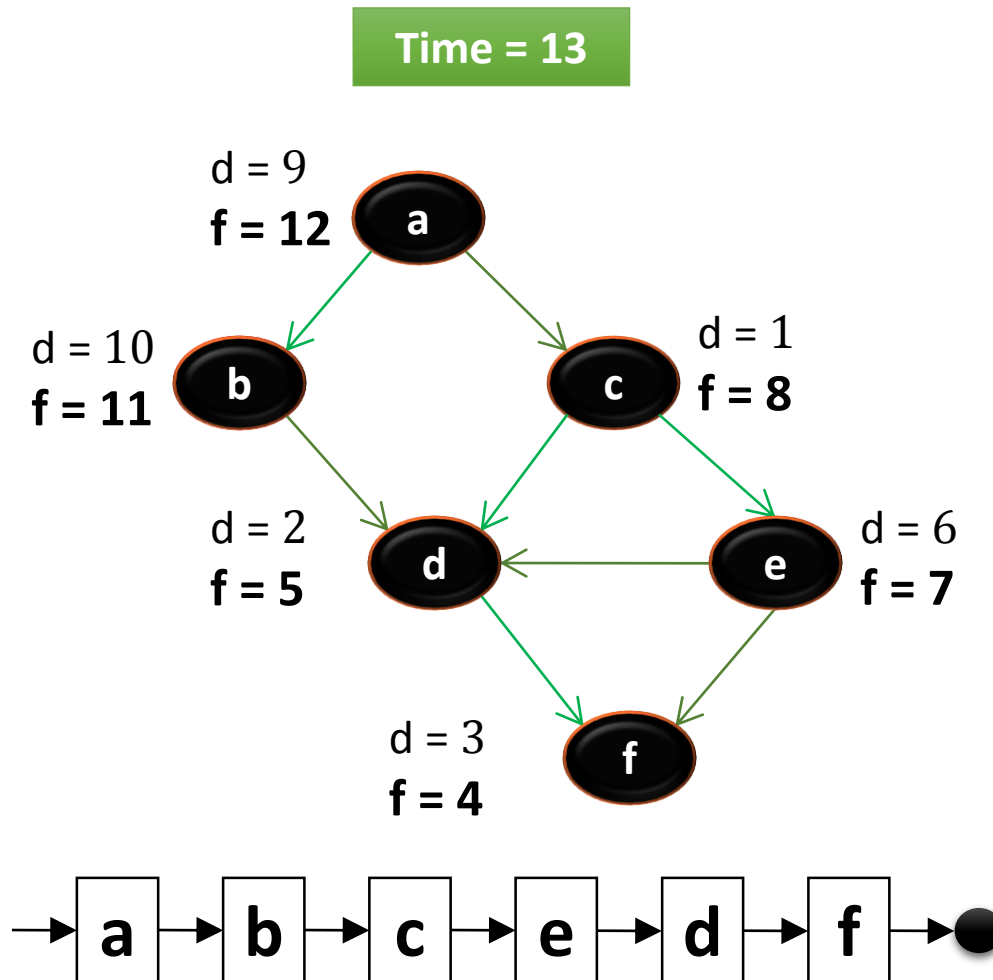
(a,c) is a cross edge

Next we discover the vertex **b**

**b** is done as (b,d) is a cross edge  
 $\Rightarrow$  now move back to **c**

**a** is done as well

# Topological sort



The linked list is sorted in **decreasing** order of finishing times **f[]**

Try yourself with different vertex order for DFS visit

Note: If you redraw the graph so that all vertices are in a line ordered by a valid topological sort, then all edges point „**from left to right**“

