

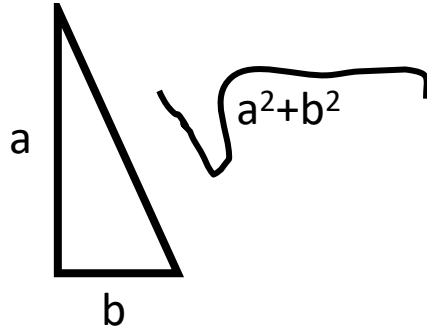
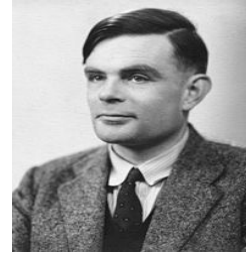
Zero Knowledge Proofs

Introduction to Zero Knowledge Interactive Proofs

Dan Boneh, **Shafi Goldwasser**, Dawn Song, Justin Thaler, Yupeng Zhang



Classical Proofs

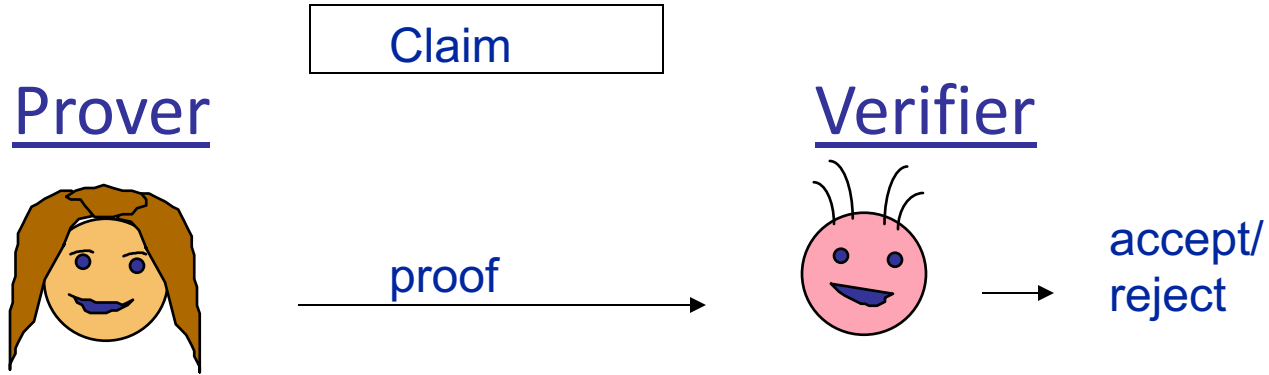


<p>Given: $AC \perp BD$ $BC = EC$ AB is not \cong to ED</p> <p>Prove: $\angle B$ is not \cong to $\angle CED$</p>	
Statements	Reasons
<p>1. Assume: $\angle B \cong \angle CED$</p> <p>2. $AC \perp BD$</p> <p>3. $\angle BCA$ and $\angle DCE$ are right \angles</p> <p>4. $\angle BCA \cong \angle DCE$</p> <p>5. $BC = EC$</p> <p>6. $\triangle BCA \cong \triangle ECD$</p> <p>7. $AB \cong ED$</p> <p>8. AB is not \cong to ED</p>	<p>1. Assumption</p> <p>2. Given</p> <p>3. Defn. of \perp segs</p> <p>4. RAT</p> <p>5. Given</p> <p>6. ASA (1, 5, 4)</p> <p>7. CPCTC</p> <p>8. Given</p>
<p>But statement 7 contradicts statement 8. Consequently, the assumption must be false. $\angle B$ is not \cong to $\angle CED$.</p>	

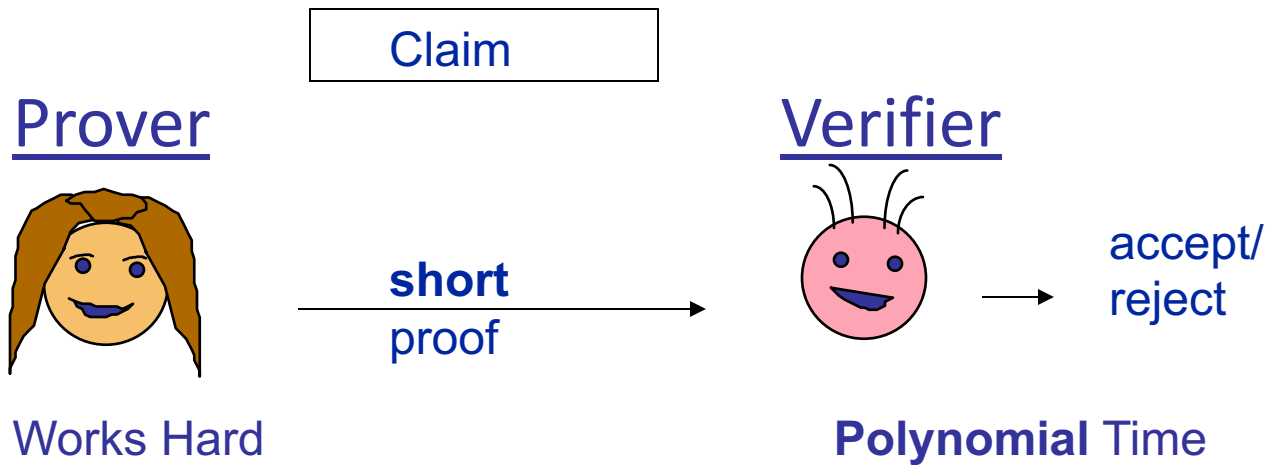
■ ■ ■

Prime-
Number Thm

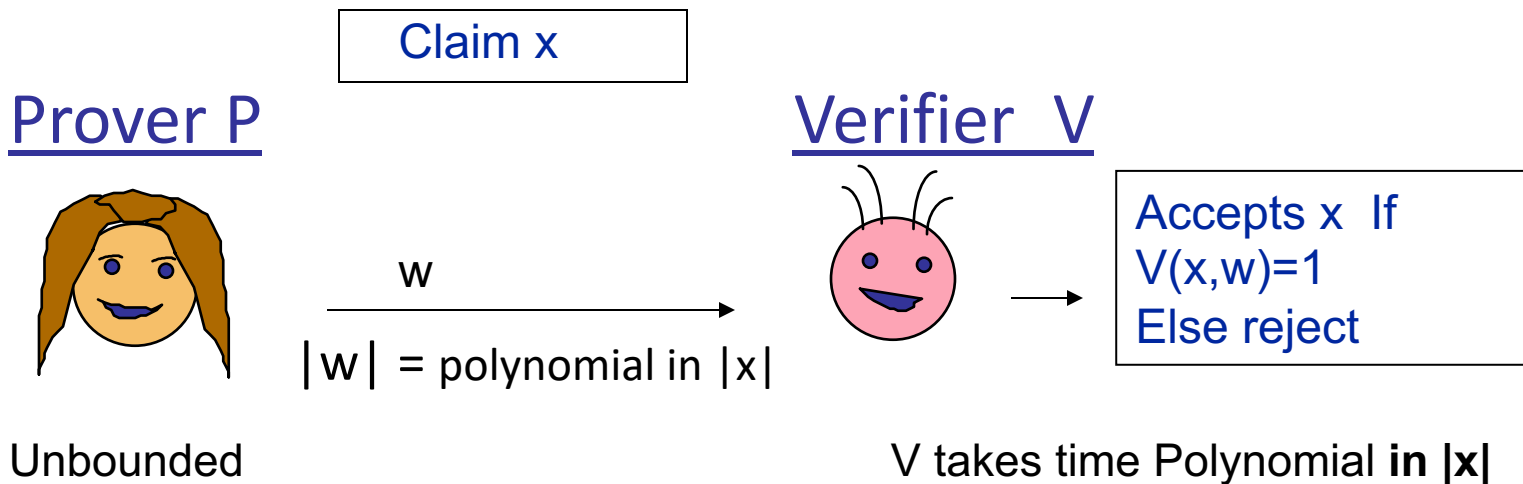
Proofs



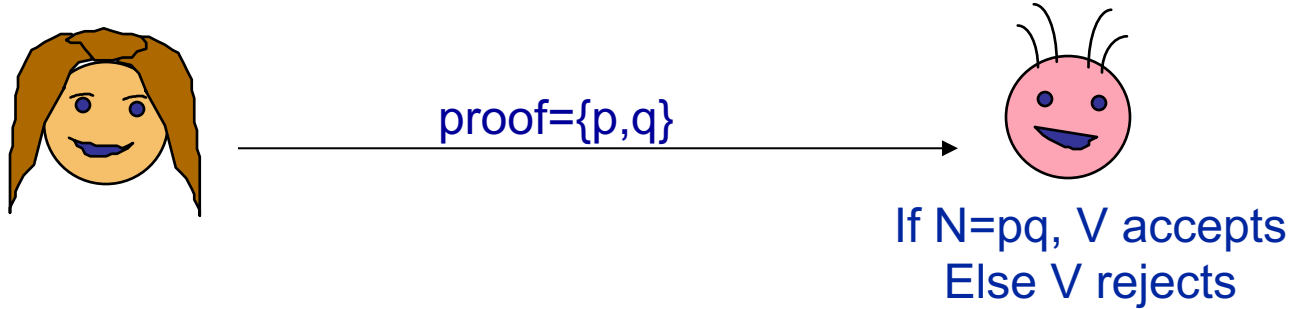
Efficiently Verifiable Proofs (NP-proofs)



Efficiently Verifiable Proofs (NP-proofs)



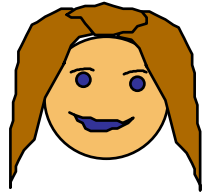
Claim: N is a product of 2 large primes



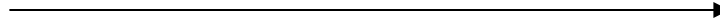
After interaction, V knows:

- 1) N is product of 2 primes
- 2) The two primes p and q

Claim: y is a quadratic residue mod N
(i.e $\exists x$ in \mathbb{Z}_N^* s. t. $y=x^2 \bmod N$)



Proof = x

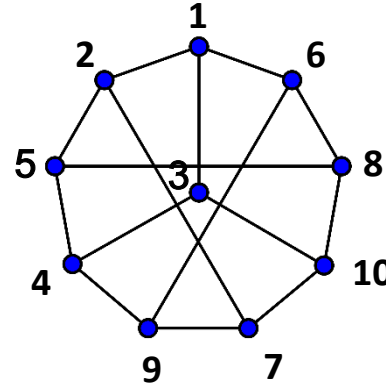
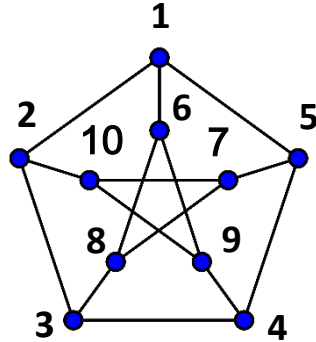


If $y=x^2 \bmod N$, V accepts
Else V rejects

After interaction, V knows:

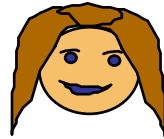
1. y is a quadratic residue mod
2. Square root of y (hard problem equivalent to factoring N)

Claim: the two graphs are isomorphic



After interaction, V knows:

- 1) G_0 is isomorphic to G_1
- 2) The isomorphism π

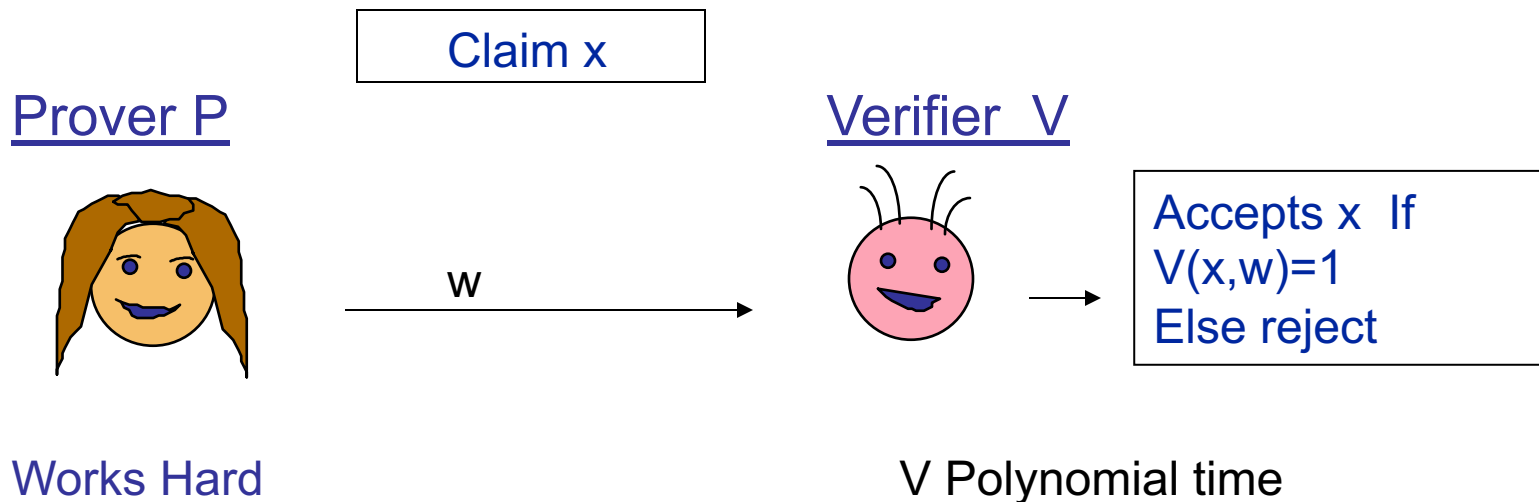


$\pi: [N] \rightarrow [N],$
the isomorphism



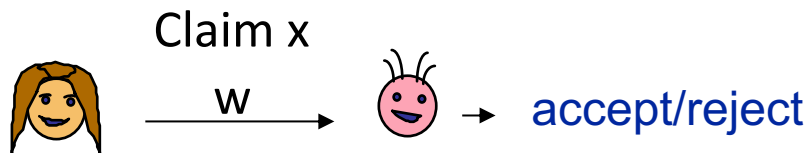
Accept if $\forall i, j:$
 $(\pi(i), \pi(j)) \in E_1$ iff
 $(i, j) \in E_0.$

Efficiently Verifiable Proofs (NP-Languages)



Def: A language L is a set of binary strings x .

Efficiently Verifiable Proofs (NP-Languages)



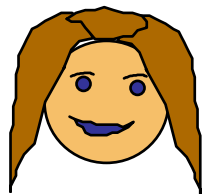
Def: \mathcal{L} is an **NP**-language (or NP-decision problem), if there is a **poly ($|x|$) time** verifier V where

- **Completeness [True claims have (short) proofs].**
if $x \in \mathcal{L}$, there is a **poly($|x|$)-long** witness $w \in \{0,1\}^*$ s.t. $V(x, w) = 1$.
- **Soundness [False theorems have no proofs].**
if $x \notin \mathcal{L}$, there is no witness. That is, for all $w \in \{0,1\}^*$, $V(x, w) = 0$.

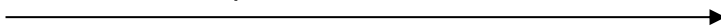
1982-1985: Is there any other way?



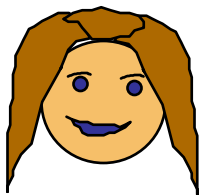
Theorem: y is a quadratic residue mod N



$\text{Proof} = \sqrt{y} \bmod N \in \mathbb{Z}_N^*$



Zero Knowledge Proofs: Yes



Main Idea:

Prove that
I **could** prove it
If I felt like it

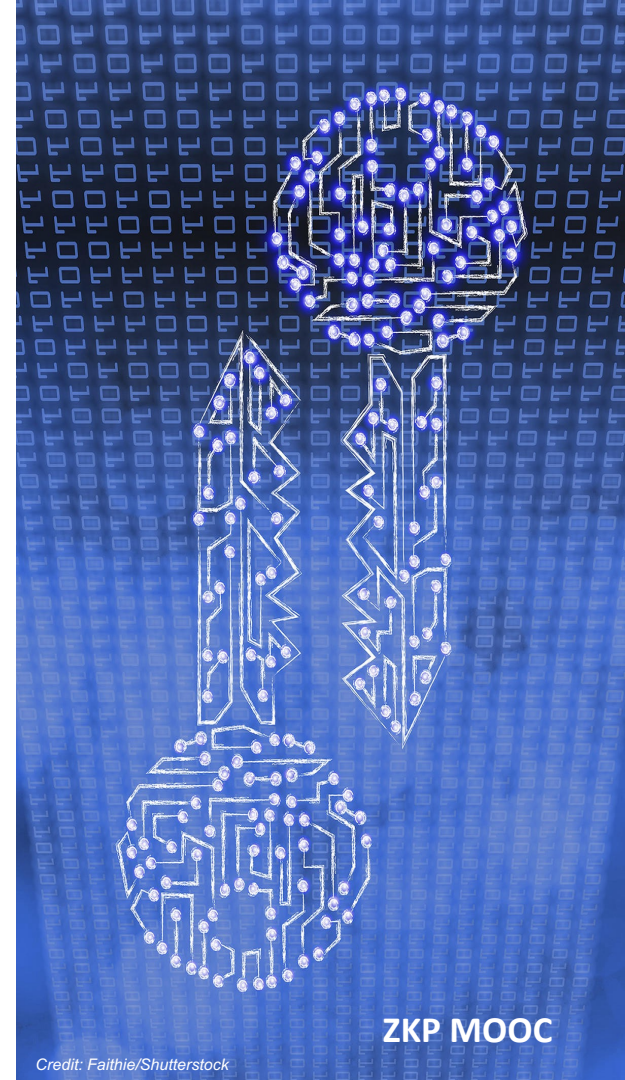


Micali

Goldwasser

Rackoff

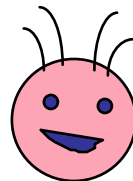
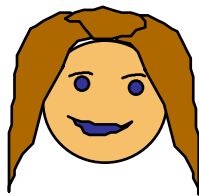
Zero Knowledge Interactive Proofs



Two New Ingredients

Interactive and Probabilistic Proofs

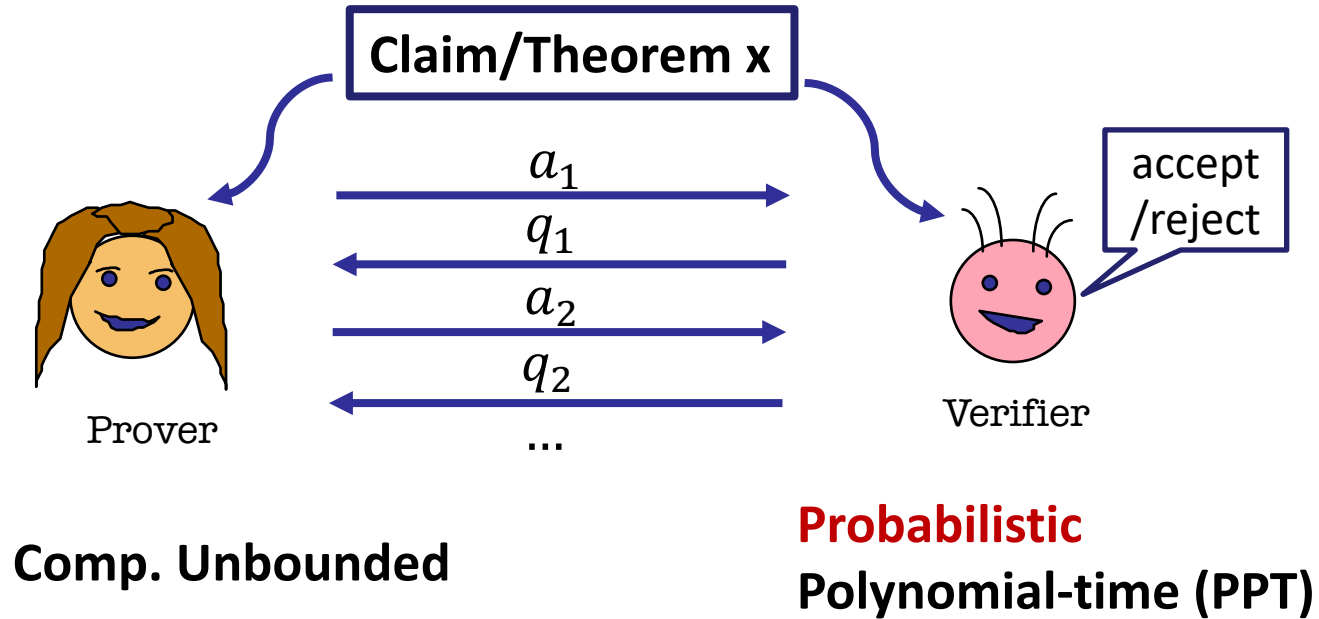
Interaction: rather than passively “reading” proof, verifier engages in a non-trivial **interaction** with the **prover**.



Randomness: verifier is randomized (tosses coins as a primitive operation), and can err in accept/reject with small probability



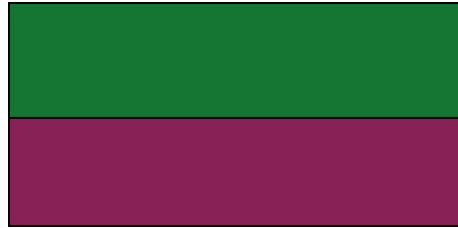
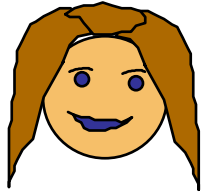
Interactive Proof Model



Here is the idea:

How to prove colors are different to a **blind verifier**

Claim: This page contains 2 colors



Toss **coin** to decide if to
flip page over or not
Heads flip, Tails don't

Sends resulting page

If page is flipped
Set $\text{coin}' = \text{heads}$
Else $\text{coin}' = \text{tails}$

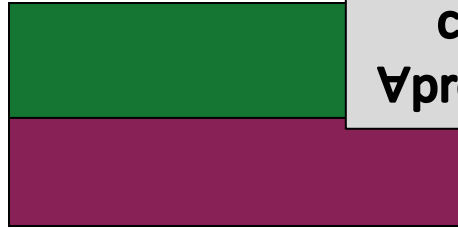
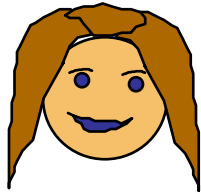
I guess you tossed **coin'**

If $\text{coin} \neq \text{coin}'$,
reject, else accept

Here is the idea:

How to prove colors are different

Claim: This page contains 2 colors



Sends resulting page

- If there are 2 colors, then Verifier will accept
- If there is a single color, \forall provers $\text{Prob}_{\text{coins}}(\text{Verifier accept}) \leq 1/2$
- If repeat $i=1..k$ times and V accept if $\text{coin}_i' = \text{coin}_i$ every repetition, \forall provers $\text{Prob}_{\text{coins}}(\text{Verifier accept}) \leq 1/2^k$

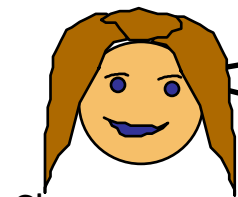
Toss **coin** to decide if to flip page over or not
Heads flip, Tails don't

If page is flipped
Set $\text{coin}' = \text{heads}$
Else $\text{coin}' = \text{tails}$

I guess you tossed **coin'**

If $\text{coin} \neq \text{coin}'$,
reject, else accept

Interactive Proof for $QR = \{(N, y) : \exists x \text{ s.t. } y = x^2 \bmod N\}$



Choose
random
 $1 \leq r \leq N$
s.t.
 $\gcd(r, N) = 1$

Send $s = r^2 \bmod n$ and say

- If I gave you square roots of both s and $sy \bmod N$ you would be convinced that the claim is true (but also know $\sqrt{y} \bmod N$)
- Instead, I will give you a square root of either s or of $sy \bmod N$ but you get to choose which!

Flip a $b =$  to choose

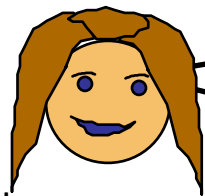
If $b=1$: send $z=r$
If $b=0$: send $z=r\sqrt{y} \bmod N$



Accepts
only if
 $z^2 = sy^{1-b} \bmod N$

Interactive Proof for QR= {

- **Completeness:** If Claim is true, then Verifier will accept
- **Soundness:** If Claim is false, \forall provers $\text{Prob}_{\text{coins}}(\text{Verifier accept}) \leq 1/2$
- **Prover only needs to know** $x = \sqrt{y}$



Choose
random
 $1 \leq r \leq N$
s.t.
 $\text{gcd}(r, N) = 1$

Sends $s = r^2 \bmod n$ and says

- If I gave you square roots, you would be convinced that the claim is true (but also know $\sqrt{y} \bmod N$)
- Instead, I will give you a square root of s or of $sy \bmod N$ but you get to choose which!

Flip a $b =$  to choose

If $b=1$: send $z=r$
If $b=0$: send $z=r\sqrt{y} \bmod N$



Accepts
only if
 $z^2 = sy^{1-b} \bmod N$

Interactive Proof for C

Repeat 100 times

Send $s=r^2 \bmod n$ and s

- If I gave you square s you would be convinced that the claim is true (but also know $\sqrt{y} \bmod N$)
- Instead, I will give you a square root of s or of $sy \bmod N$ but you get to choose which!
- The fact that I COULD (in principle) do both, should convince you

Choose
random
 $1 \leq r \leq N$
s.t.
 $\gcd(r, N)=1$

Flip a $b =$  to choose

If $b=1$: send $z=r$
If $b=0$: send $z=r\sqrt{y} \bmod N$

- **Completeness:** If Claim is true, then Verifier will accept
- **Soundness:** If Claim is false, \forall provers $\text{Prob}_{\text{coins}}(\text{Verifier accept}) \leq (1/2)^{100}$
- **Prover only needs to know $x = \sqrt{y}$**

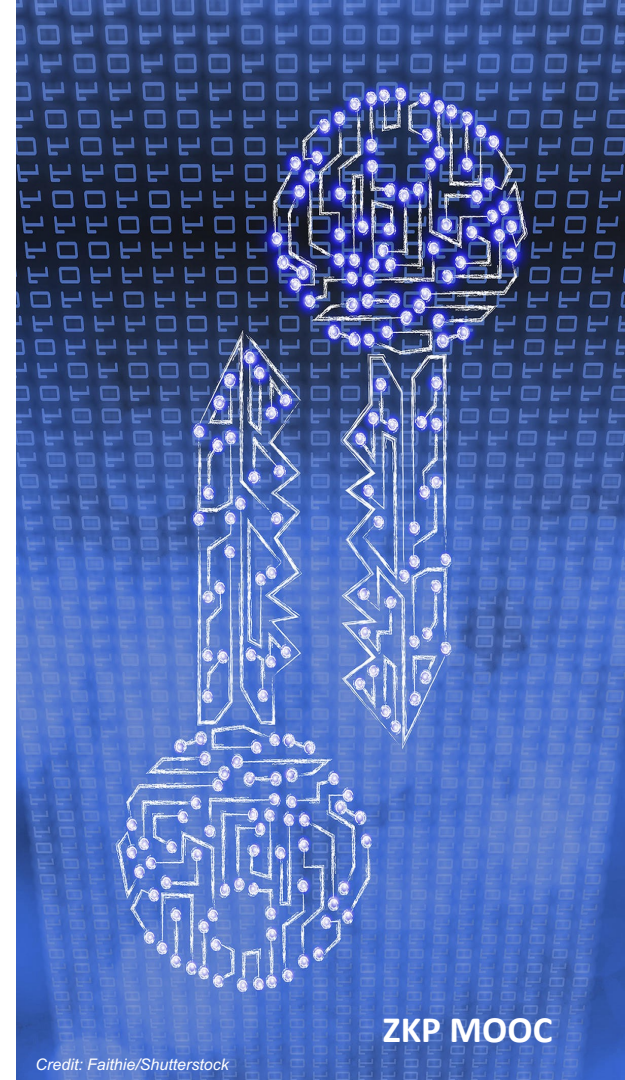


Accepts
only if
 $z^2 = sy^{1-b} \bmod N$

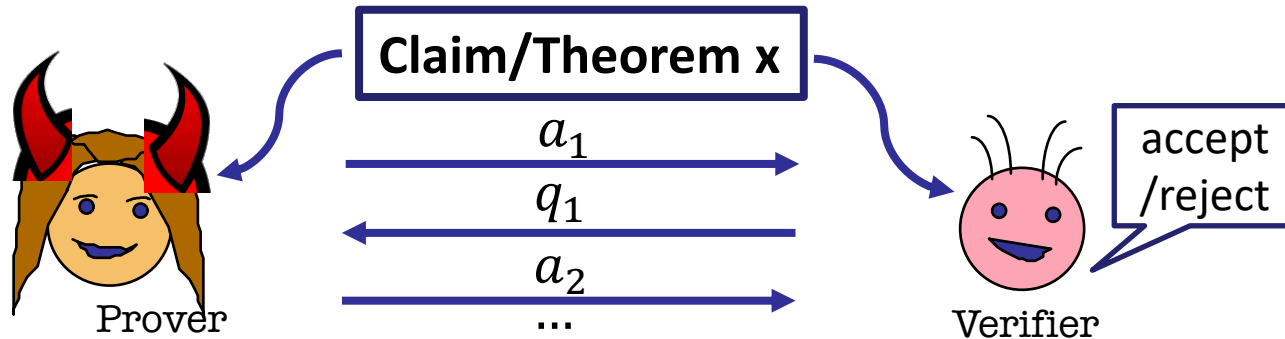
What Made it possible?

- The statement to be proven has **many possible proofs** of which the prover chooses one ***at random***.
- Each such proof is made up of exactly 2 parts: seeing either part on its own gives the verifier no knowledge; seeing both parts imply 100% correctness.
- Verifier chooses **at random** which of the two parts of the proof he wants the prover to give him. The ability of the prover to provide either part, convinces the verifier

Definitions : of Zero Knowledge Interactive Proofs



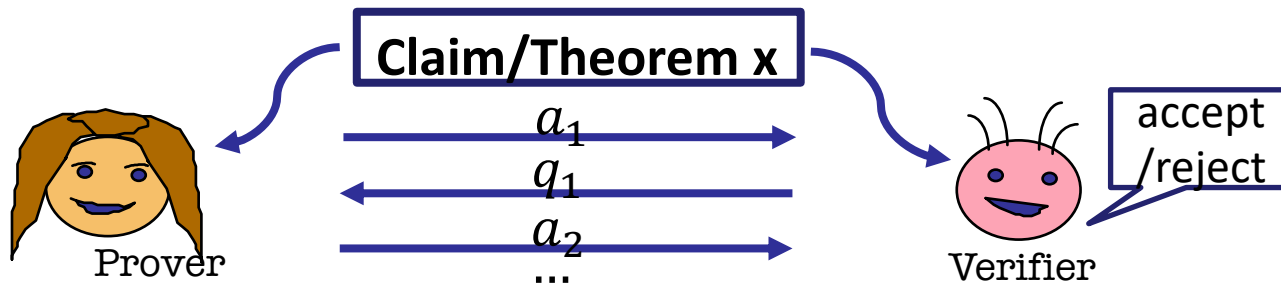
Interactive Proofs for a Language \mathcal{L}



Def: (P, V) is an interactive proof for L , if V is probabilistic poly $(|x|)$ time &

- **Completeness:** If $x \in \mathcal{L}$, V always accepts.
- **Soundness:** If $x \notin \mathcal{L}$, for all **cheating prover strategy**, V will not accept except with negligible probability.

Interactive Proofs: Notation

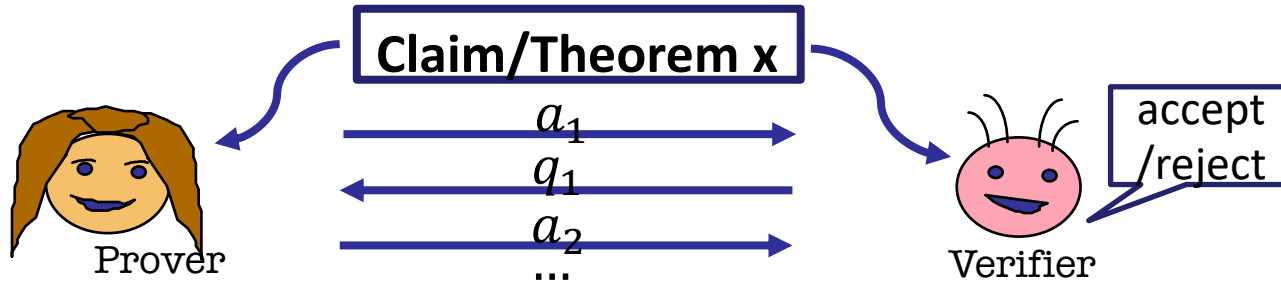


Def: (P, V) is an interactive proof for L , if V is probabilistic poly $(|x|)$ and

- **Completeness:** If $x \in \mathcal{L}$, $\Pr[(P, V)(x) = \text{accept}] = 1$.
- **Soundness:** If $x \notin \mathcal{L}$, for every P^* , $\Pr[(P^*, V)(x) = \text{accept}] = \text{negl}(|x|)$

where $\text{negl}(\lambda) < \frac{1}{\text{polynomial}(\lambda)}$ for all polynomial functions

Interactive Proofs: Notation



Def

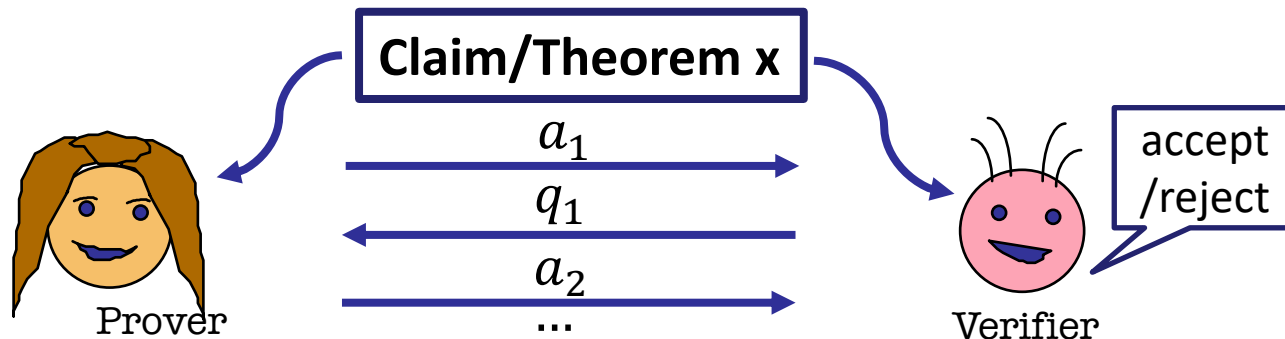
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This is what a proof
ultimately is!

10]

Interactive Proofs for a Language \mathcal{L} : Notation

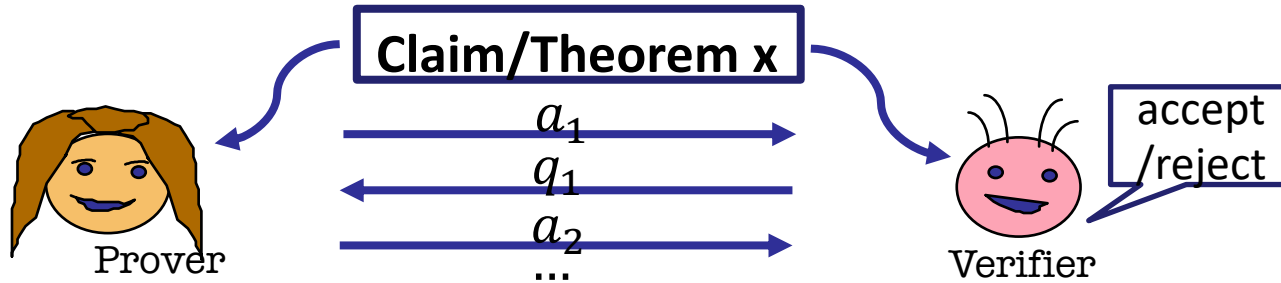


Def: (P, V) is an interactive proof for L , if V is probabilistic poly $(|x|)$ and

- **Completeness:** If $x \in \mathcal{L}$, $\Pr[(P, V)(x) = \text{accept}] \geq c$
- **Soundness:** If $x \notin \mathcal{L}$, for every P^* , $\Pr[(P^*, V)(x) = \text{accept}] \leq s$

Equivalent as long as $c - s \geq 1/\text{poly}(|x|)$

The class of Interactive Proofs (IP)



Def: class of languages $\text{IP} =$
 $\{L \text{ for which there is an interactive proof}\}$

What is zero-knowledge?

For true Statements,

for every verifier

What the verifier can compute

after the interaction =

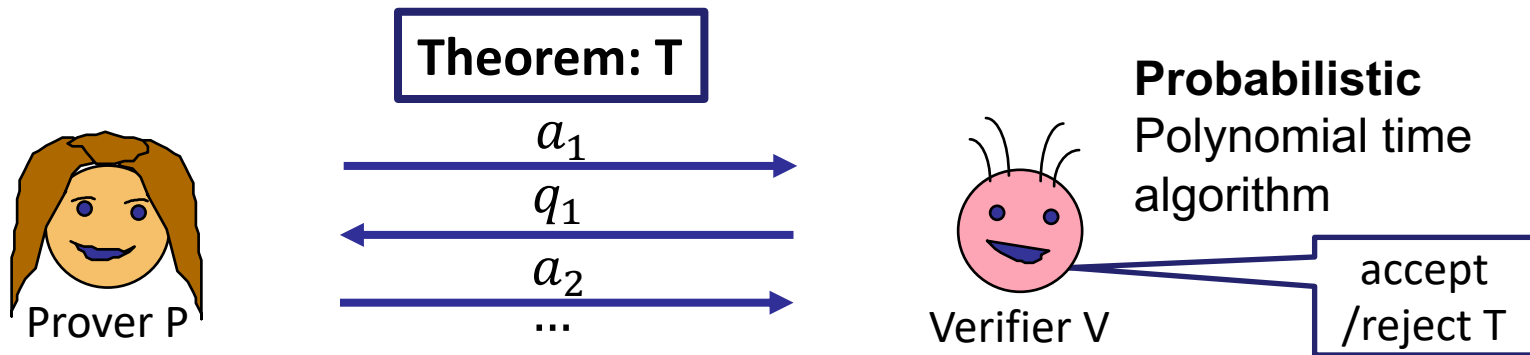
What the verifier could have computed

before interaction



How do we capture this mathematically?

The Verifier's View



- After interactive proof, V “learned”:
 - T is true (or $x \in \mathcal{L}$)
 - A **view** of interaction (= transcript + coins V tossed)

Def: $\text{view}_V(P, V)[x] = \{(q_1, a_1, q_2, a_2, \dots, \text{coins of } V)\}$.
(probability distribution over coins of V and P)

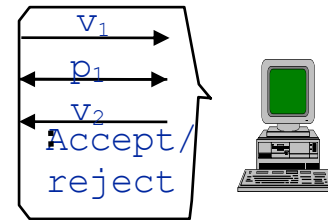
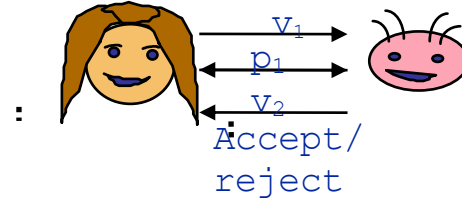
The Simulation Paradigm

V's view gives him nothing new, if he could have simulated it its own s.t
'simulated view' and 'real-view' are **computationally-Indistinguishable**



The poly-time
Distinguisher

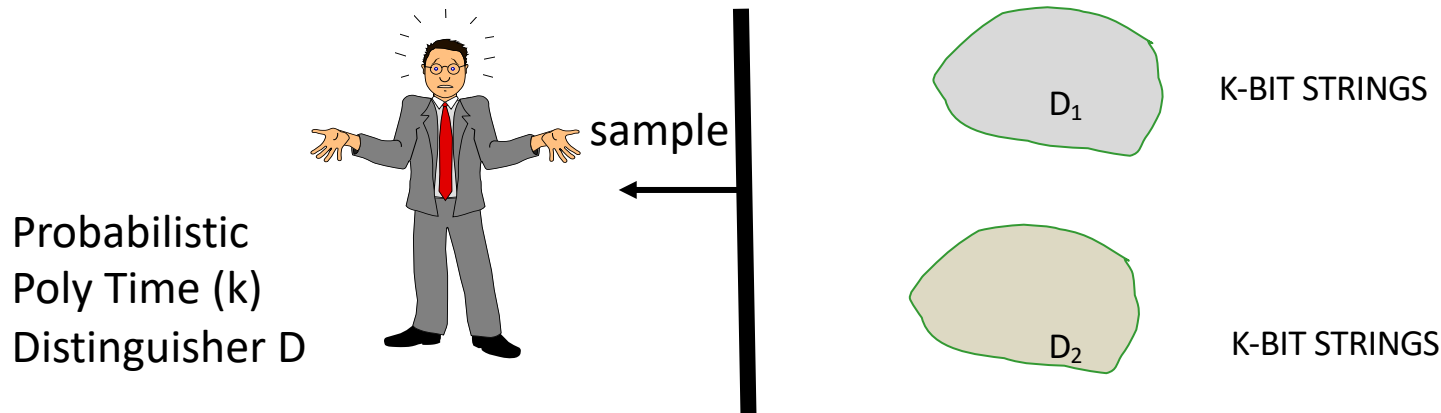
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When
Theorem
is true

Computational Indistinguishability

If no “distinguisher” can tell apart two different probability distributions they are “effectively the same”.



For all distinguisher algorithms D , even after receiving a polynomial number of samples from D_b , $\text{Prob}[D \text{ guesses } b] < 1/2 + \text{negl}(k)$

Zero Knowledge: Definition

An Interactive Protocol (P,V) is zero-knowledge for a language L if there exists a **PPT** algorithm Sim (a simulator) such that **for every $x \in L$** , the following two probability distributions are **poly-time** indistinguishable:

1. $\text{view}_V(P,V)[x] = \{(q_1,a_1,q_2,a_2,\dots,\text{coins of } V)\}$
(over coins of V and P)
2. $\text{Sim}(x)$

Def: (P,V) is a zero-knowledge interactive protocol if it is *complete, sound and zero-knowledge*

Zero Knowledge: Definition

An Interactive Protocol (P,V) is zero-knowledge for a language L if there exists a **PPT** algorithm Sim (a simulator) such that **for every $x \in L$** , the following two probability distributions are **poly-time** indistinguishable:

Allow simulator S
Expected
Poly-time

1. $\text{view}_V(P, V)[x, 1^\lambda] = \{(q_1, a_1, q_2, a_2, \dots, \text{coins of } V)\}$
(over coins of V and P)
2. $\text{Sim}(x, 1^\lambda)$

Def: (P,V) is a zero-knowledge interactive protocol if it is *complete, sound and zero-knowledge*

Technicality:
Allows sufficient
Runtime on small x
 λ - security parameter

What if V is NOT HONEST

OLD DEF

An Interactive Protocol (P,V) is **honest-verifier** zero-knowledge for a language L if there exists a PPT simulator Sim such that for every $x \in L$,

$$\text{view}_V(P, V)[x] \approx \text{Sim}(x, 1^\lambda)$$

REAL DEF

An Interactive Protocol (P,V) is **zero-knowledge** for a language L if **for every PPT V^*** , there exists a poly time simulator Sim s.t. for every $x \in L$,

$$\text{view}_V(P, V)[x] \approx \text{Sim}(x, 1^\lambda)$$



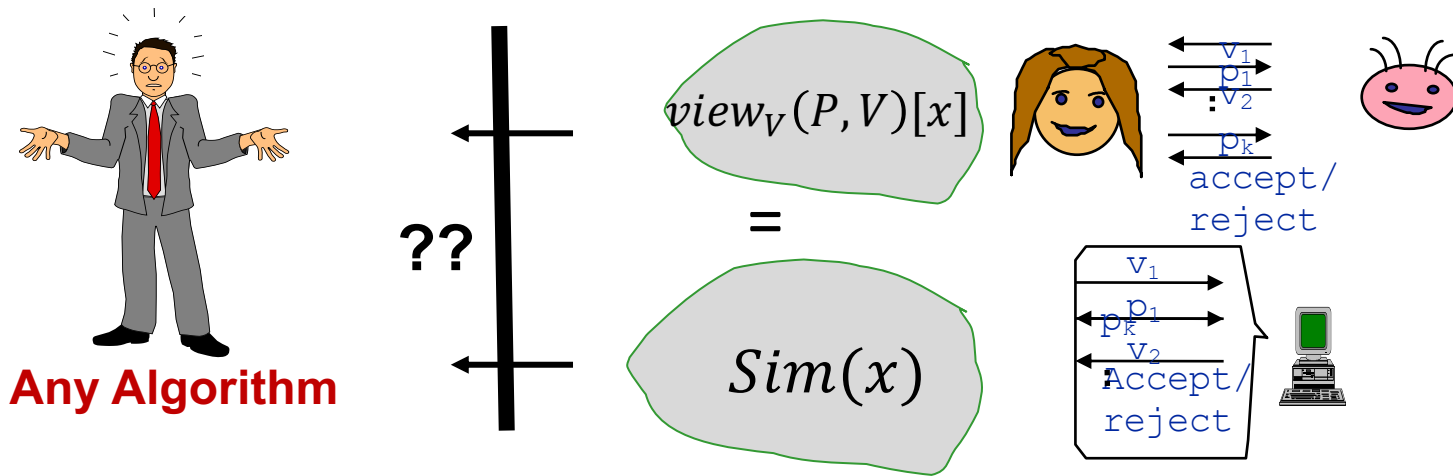
Flavors of Zero Knowledge

$$\begin{array}{ccc} \text{view}_V(P, V)[x] & & \text{Sim}(x, 1^\lambda) \\ \text{REAL} & \approx & \text{SIMULATED} \end{array}$$

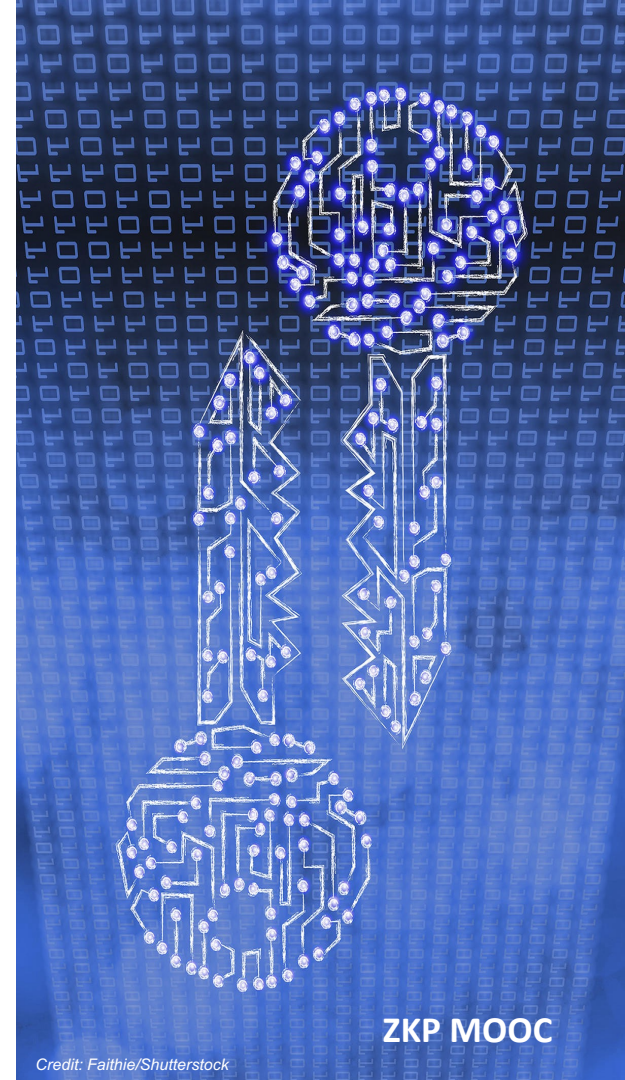
- Computationally indistinguishable distributions = CZK
- Perfectly identical distributions = PZK
- Statistically close distributions = SZK

Special Case: Perfect Zero Knowledge

verifier's view can be exactly efficiently simulated
'Simulated views' = 'real views'

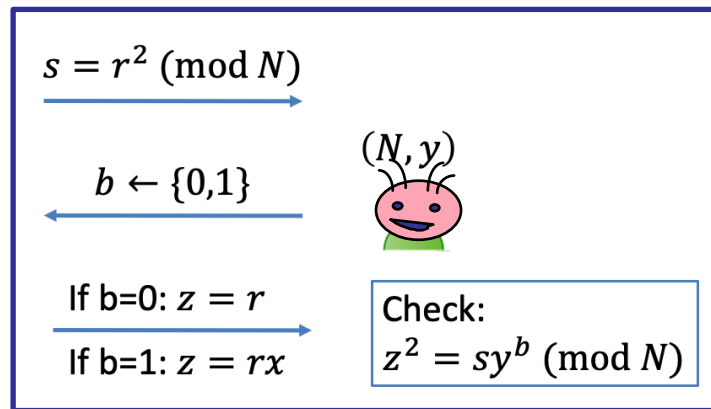


Working through a Simulation for QR Protocol



Recall the Simulation Paradigm

$view_V(P, V):$
Transcript = (s, b, z) ,
Coins = b

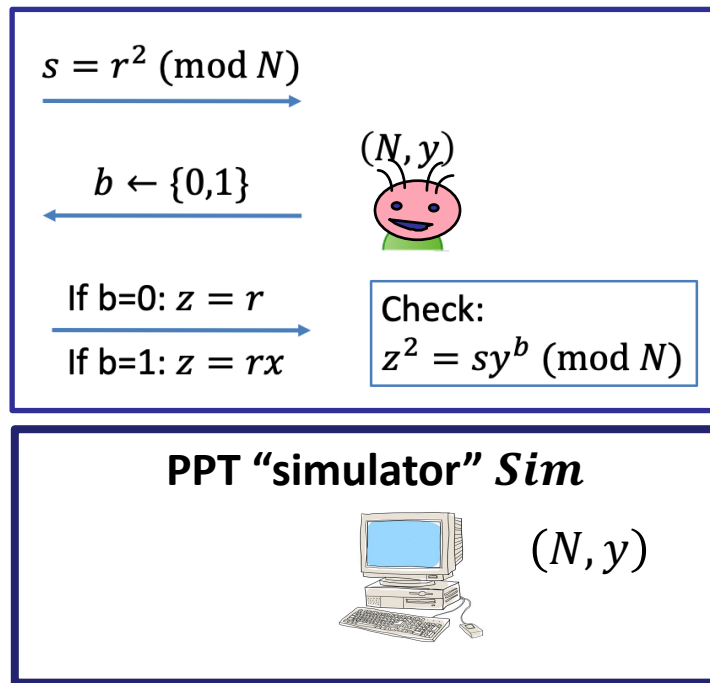


Recall the Simulation Paradigm



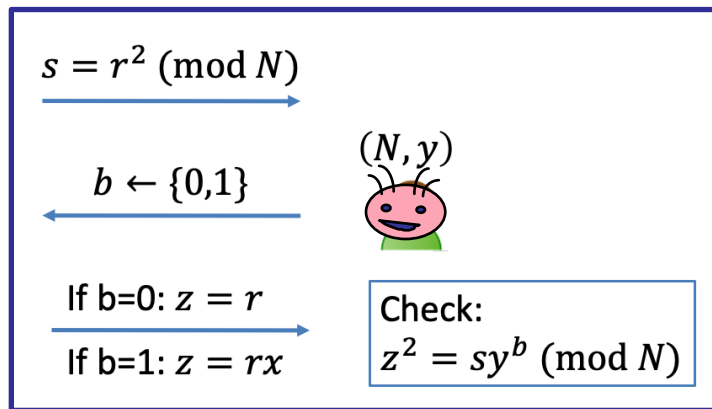
$view_V(P, V):$
 (s, b, z)

$sim :$
 (s, b, z)



(Honest Verifier) Perfect Zero Knowledge

Claim: The QR protocol is perfect zero knowledge.



Simulator S works as follows:

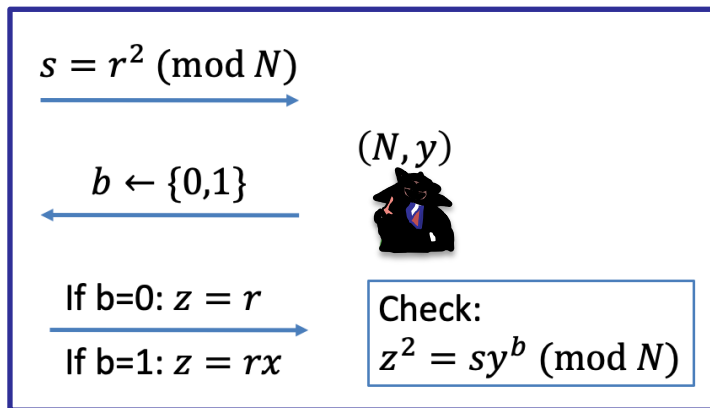
1. First pick a random bit b .
2. pick a random $z \in Z_N^*$.
3. compute $s = z^2 / y^b$.
4. output (s, b, z) .

$view_V(P, V):$
 (s, b, z)

claim: The simulated transcript is identically distributed as the real transcript

Perfect Zero Knowledge: for all V^*

Claim: The QR protocol is perfect zero knowledge.



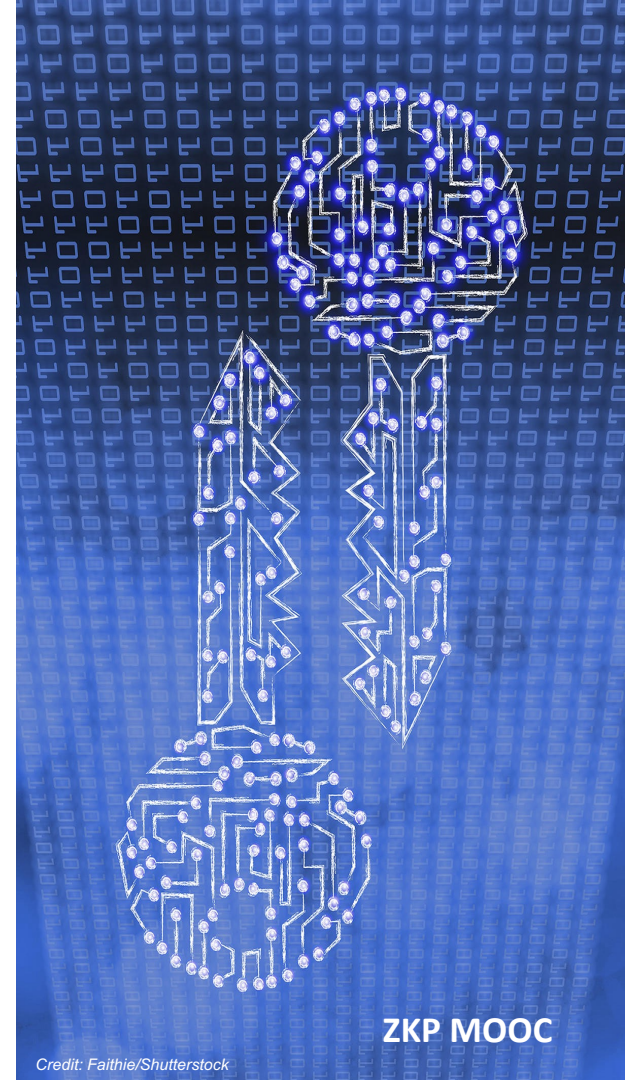
$view_V(P, V):$
 (s, b, z)

Simulator S works as follows:

1. First pick a random bit b .
2. pick a random $z \in Z_N^*$.
3. compute $s = z^2 / y^b$.
4. If $V^*((N, y), s) = b$ output (s, b, z)
if not goto 1 and repeat

Claim: Expected number of repetitions is two

ZK proof of Knowledge



Prover seems to have proved more: theorem is correct and that she “knows” a square root mod N

Consider $L_R = \{x : \exists w \text{ s.t. } R(x, w) = \textit{accept}\}$ for poly-time relation R.

Def: (P,V) is a **proof of knowledge** (POK) for L_R if :

\exists PPT (knowledge) extractor algorithm E s.t. $\forall x$ in L ,
in expected poly-time $E^P(x)$ outputs w s.t. $R(x, w) = \textit{accept}$.

$E^P(x)$ (E may run P repeatedly on the same randomness)
possibly asking different questions in every executions
This is called the rewinding technique



Prover seems to have proved more not only that theorem is correct, but that she “knows” a square root mod N

Consider $L_R = \{x : \exists w \text{ s.t. } R(x, w) = \text{accept}\}$ for poly-time relation R .

Def: (P, V) is a **proof of knowledge** (POK) for L_R if :

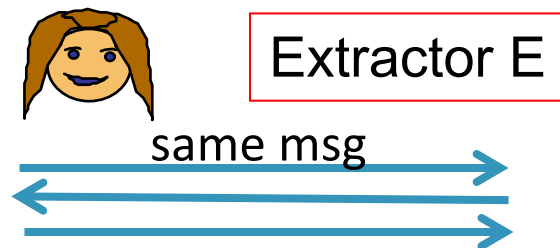
\exists PPT (knowledge) extractor algorithm E s.t. $\forall x$ in L ,
in expected poly-time $E^P(x)$ outputs w s.t. $R(x, w) = \text{accept}$.

[if $\text{Prob}[(P, V)(x) = \text{accept}] > \alpha$, then $E^P(x)$ runs in expected $\text{poly}(|x|, 1/\alpha)$ time]

$E^P(x)$ (may run P repeatedly on the same randomness)

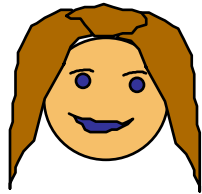
Possibly asking different questions in every executions

This is called the rewinding technique



ZKPOK that Prover knows a square root x of $y \bmod N$

Input: (y, N)



Extractor
Algorithm

$s = r^2 \bmod N$



head



r



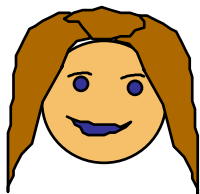
Extractor:

On input (y, N) ,

1. Run prover & receive s
2. Set verifier message to **head**; Store r

The Rewinding Method

Input: (y, N)



Extractor
Algorithm

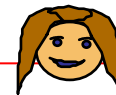
$s = r^2 \bmod N$



tail



$rx \bmod N$

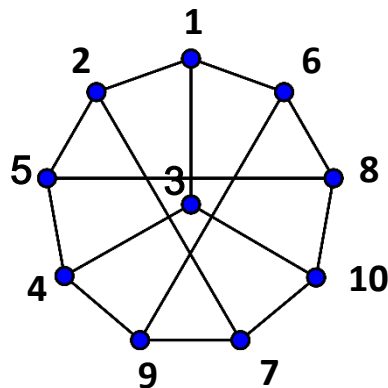
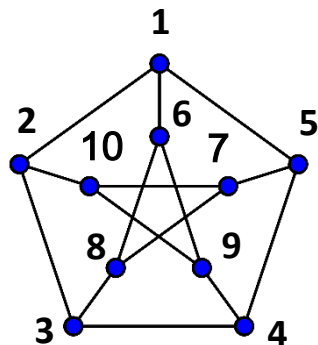


Extractor:

On input (y, N)

1. Run prover & receive s
2. Set verifier message to **head**; receive and store r
3. **Rewind** and 2nd time set verifier message to **tail** receive rx
4. Output $rx/r = x \bmod N$

ZK Proof for Graph Isomorphism

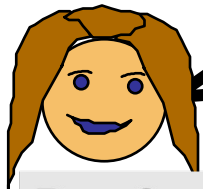


Recall:

G_0 is isomorphic to G_1

If \exists isomorphism $\pi: [N] \rightarrow [N]$, $\forall i, j: (\pi(i), \pi(j)) \in E_1$ iff $(i, j) \in E_0$.

ZK Interactive Proof for Graph Isomorphism



Proof:

$$H = \gamma_0(G_0),$$

$$H = \gamma_1(G_1),$$

Thus

$$G_1 = \gamma_1^{-1}(\gamma_0(G_0))$$

$$\text{Set } \sigma = \gamma_1^{-1} \gamma_0$$

I will produce a random graph H for which

1: I can give an isomorphism γ_0 from G_0 to H

OR

2: I can give an isomorphism γ_1 from G_1 to H

Thus, \exists isomorphism σ from G_0 to G_1

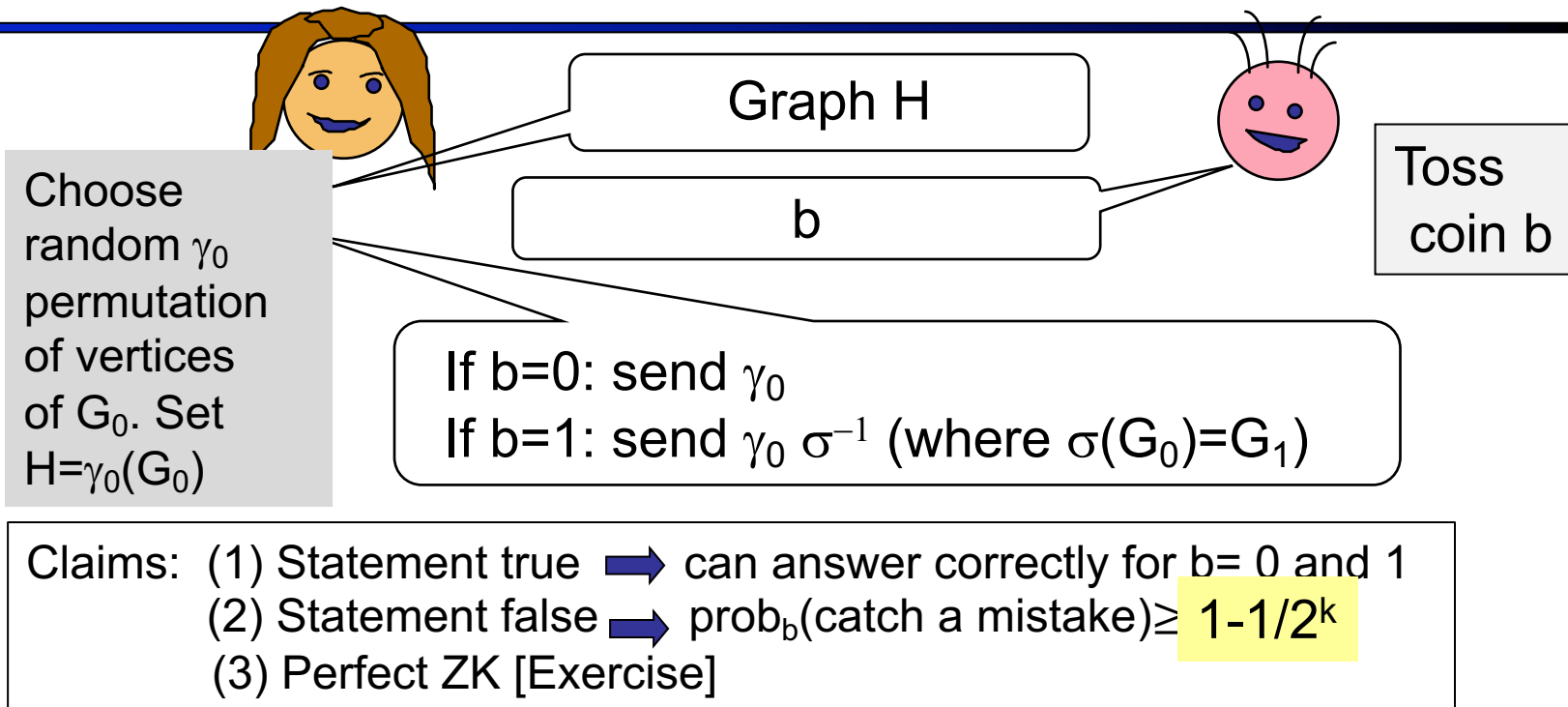
Verifier, please randomly choose if I should demonstrate my ability to do **#1** or **#2**.



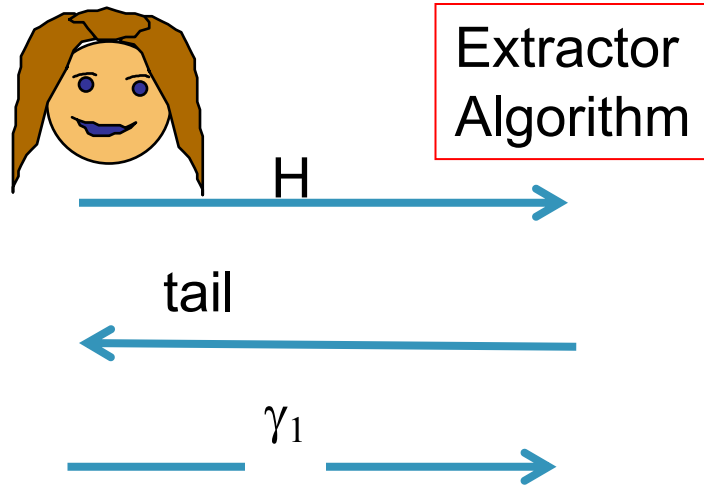
POINT IS: If I can do both,
there exists an isomorphism from G_0 to G_1

REPEAT K
INDEPENDENT TIMES.

Input: (G_0, G_1)



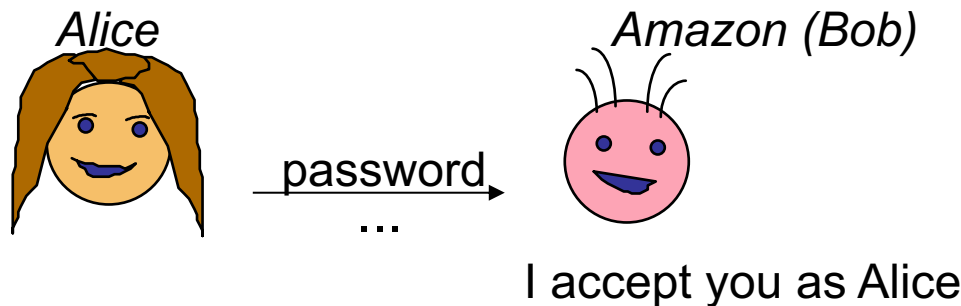
ZKPOK that **Prover** knows an isomorphism from G_1 to G_2



Extractor :

- 1) On input H
set **coin**=head
Store γ_0
- 2) **Rewind** and 2nd time
set **coin**=tail
Store γ_1
- 3) Output $\gamma_1^{-1}(\gamma_0)$

The first application: Identity Theft [FS86]



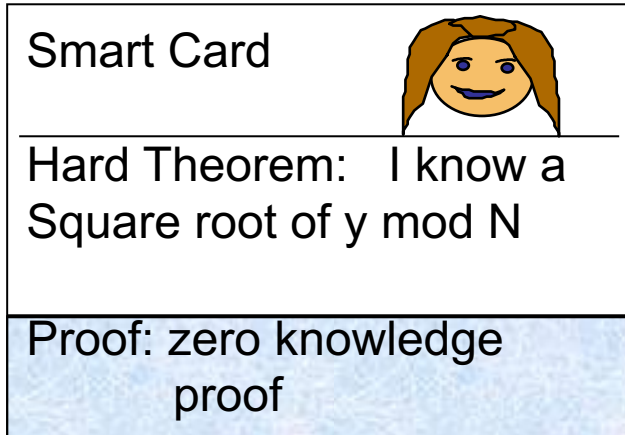
For Settings:

- Alice = Smart Card.
- Over the Net
- Breaking ins at Bob/Amazon are possible

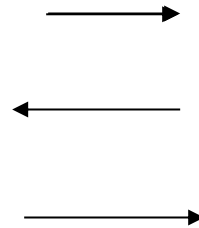
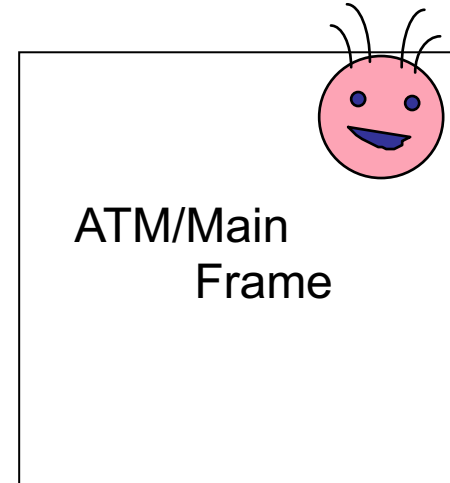
Passwords are no good

Zero Knowledge: Preventing Identity Theft

PROVER



VERIFIER



To identify itself prover proves a hard theorem.

Interesting examples, one
application

But, do all NP Languages
have Zero Knowledge
Interactive Proofs?

