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## Special Domains: UFDs

During the next few lectures, learn about special classes of domains.

Assumptions:  $R$  is a commutative ring with identity  $1_R$  and  $D$  is an integral domain

- $a$  divides  $b$ , written  $a|b$  if  $b=ac$  for some  $c$
- $a \in R$  is a **unit** if exists  $u \in R$  such that  $au=1$
- $a$  and  $b$  are **associates** if exists a unit  $u$  such that  $a=ub$
- $p$  is **irreducible** if whenever  $p=ab$ ,  $a$  or  $b$  is a unit
- $p$  is a **prime** if whenever  $p|ab$ , then  $p|a$  or  $p|b$

Lemma: If  $p \in D$  is prime, then  $p$  is irreducible.

### Proof

Suppose  $p=ab$ . So  $p|ab$ . Because  $p$  is prime,  $p|a$  or  $p|b$ . If  $p|a$ ,  $a=pc$ . Thus  $p=pcb$ . Can cancel  $p$  since  $D$  a domain. So  $1=cb$ . So  $b$  is a unit. Same result if  $p|b$ .

□

eg. If  $p \in D$  is irreducible, may not be prime.

In  $\mathbb{Q}[x^2, xy, y^2] \leftarrow$  all polynomials in  $x^2, xy, y^2$ . We have  $xy$  is irreducible (can't factor into two degree 1 terms). But  $xy$  is not prime since  $(xy)|(x^2)(y^2)$ , but  $xy \nmid x^2$  and  $xy \nmid y^2$ .

Def<sup>n</sup>: An integral domain  $D$  is a **unique factorization domain (UFD)** if

① every  $0 \neq a \in D$  that is not a unit can be written as

$$a = p_1 p_2 \cdots p_r$$

with  $p_i$  irreducible.

② if  $a = p_1 \cdots p_r$  and  $a = q_1 \cdots q_s$  with  $p_i, q_i$  irreducible, then  $r=s$  and  $p_i, q_i$  are associates (after relabelling)

eg.  $\mathbb{Z}$  is an UFD since every  $a \in \mathbb{Z}$  can be written uniquely as

$$a = (-1)^t p_1^{b_1} \cdots p_s^{b_s} \quad \text{with } p_i \text{ prime}$$

$\uparrow$   
unit in  $\mathbb{Z}$

eg.  $20 = 2 \times 2 \times 5 = (-2) \times (2) \times (-5)$

eg. Not all integral domains are UFDs.

Set  $S = \{f \in \mathbb{R}[x] \mid f = a_0 + a_1x + a_2x^2 + \cdots + a_nx^n\}$   
 $\uparrow$  coefficient of  $x=0$

This is a subring of  $\mathbb{R}[x]$  that is an integral domain. In this ring,  $x^2$  is irreducible (can't factor as a product of two degree 1 polynomials). Also,  $x^3$  is irreducible.

Consider  $x^6 = (x^2)(x^2)(x^2) = (x^3)(x^3) \leftarrow$  two factorizations!

## PIDs

Def<sup>n</sup>: A domain is a **principal ideal domain (PID)** if every ideal of  $D$  is principal.

eg.  $\mathbb{Z}$ ,  $F[x]$

Goal: All PIDs are UFDs.

Lemma: Let  $a, b \in D$ . Then

- ①  $a \mid b$  iff  $\langle b \rangle \subseteq \langle a \rangle$  (to divide is to contain)
- ②  $a$  and  $b$  are associates iff  $\langle a \rangle = \langle b \rangle$
- ③  $a$  is a unit iff  $\langle a \rangle = D$

## Proof

① " $\Rightarrow$ " Given  $a \mid b$ , so  $b = ac$  and  $b \in \langle a \rangle$ . Then  $\langle b \rangle \subseteq \langle a \rangle$ .

" $\Leftarrow$ " Since  $b \in \langle b \rangle \subseteq \langle a \rangle$ ,  $b = ac$  for some  $c$ . So  $a \mid b$ .

② " $\Rightarrow$ " If  $a$  and  $b$  are associates,  $a = ub$  and  $u'a = b$ . So  $b \mid a$  and  $a \mid b$ . By ①,  $\langle b \rangle \subseteq \langle a \rangle \subseteq \langle b \rangle$ . So  $\langle a \rangle = \langle b \rangle$ .

" $\Leftarrow$ " Given  $\langle a \rangle = \langle b \rangle$ , so  $\langle a \rangle \subseteq \langle b \rangle$  and  $\langle b \rangle \subseteq \langle a \rangle$ . So  $b \mid a$  and  $a \mid b$ . So  $a = bc$  and  $b = at$ . So  $a = atc$ . So  $1 = tc$ . So  $c$  is a

unit. So  $a$  and  $b$  are associates.

③ " $\Rightarrow$ "  $a$  is a unit so  $au=1 \Leftrightarrow a=1 \cdot u^{-1}$ . So  $a|1$  and  $1|a$ . Thus,  $\langle a \rangle = \langle 1 \rangle = D$ .

" $\Leftarrow$ " Exercise.

□

Theorem: Let  $D$  be a PID. Then  $p$  is irreducible iff  $\langle p \rangle$  is a maximal ideal.

Proof

" $\Rightarrow$ " Suppose  $p$  irreducible and  $\langle p \rangle \subseteq \langle a \rangle$ . So  $a|p$ . Since  $p$  is irreducible,  $a$  is an associate of  $p$  or a unit. If it is an associate  $\langle p \rangle = \langle a \rangle$ . If  $a$  a unit,  $\langle a \rangle = D$ . So  $\langle p \rangle$  is maximal.

" $\Leftarrow$ " Suppose  $p=ab$ . We have  $\langle p \rangle \subseteq \langle a \rangle$ . Since  $\langle p \rangle$  is maximal,  $\langle p \rangle = \langle a \rangle$  or  $\langle a \rangle = D$ . If  $\langle a \rangle = D$ ,  $a$  is a unit. If  $\langle p \rangle = \langle a \rangle$ ,  $a$  is an associate of  $p$ , so  $b$  is a unit. So  $p$  is irreducible.

□

Corollary: Let  $D$  be a PID. Then  $p$  is prime iff  $p$  is irreducible.

Proof

" $\Rightarrow$ " Always true.

" $\Leftarrow$ " Suppose  $p$  is irreducible. So  $\langle p \rangle$  is a maximal ideal and thus a prime ideal. If  $abe \in \langle p \rangle$  (ie.  $p|ab$ ), then  $ae \in \langle p \rangle$  or  $be \in \langle p \rangle$ . So  $p|a$  or  $p|b$ .

□

Note: In  $\mathbb{Z}$  and  $F[x]$ , prime = irreducible.