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Analysis of power output for piezoelectric energy harvesting systems

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Abstract

Power harvesting refers to the practice of acquiring energy from the environment which would be otherwise wasted and converting it into usable electric energy. Much work has been done on studying the optimal AC power output, while little has considered the AC–DC output. This article investigates the optimal AC–DC power generation for a rectified piezoelectric device. In contrast with estimates based on various degrees of approximation in the recent literature, an analytic expression for the AC–DC power output is derived under steady-state operation. It shows that the harvested power depends on the input vibration characteristics (frequency and acceleration), the mass of the generator, the electrical load, the natural frequency, the mechanical damping ratio and the electromechanical coupling coefficient of the system. An effective power normalization scheme is provided to compare the relative performance and efficiency of devices. The theoretical predictions are validated and found to be in good agreement with both experimental observations and numerical simulations. Finally, several design guidelines are suggested for devices with large coupling coefficient and quality factor.

1. Introduction

The development of wireless sensor and communication node networks has received a great deal of interest in research communities over the past few years. Applications envisioned from these node networks include building structural health monitoring and environmental control systems, smart homes and tracking devices on animals in the wild [23, 32]. However, as the networks increase in number and the devices decrease in size, the proliferation of these autonomous microsensors raises the problem of an effective power supply. The conventional solution is to use electrochemical batteries for power. However, batteries can not only increase the size and weight of microsensors but also suffer from the limitations of a brief service life and the need for constant replacement, which is not acceptable or even possible for many practical applications.

On the other hand, simultaneous advances in low-power electronic design and fabrication have reduced power requirements for individual nodes. It has been predicted that

power consumption could be reduced to tens to hundreds of microwatts depending on the application [3]. This opens the possibility for self-powered sensor nodes, and the need to power remote systems or embedded devices independently has motivated many research efforts focused on harvesting electrical energy from various ambient sources. These include solar power, thermal gradients and vibration [37]. Among these energy scavenging sources, mechanical vibration is a potential power source that is abundant enough to be of use, is easily accessible through microelectromechanical systems (MEMS) technology for conversion to electrical energy, and is ubiquitous in applications from small household appliances to large infrastructures [36, 41].

Vibration energy can be converted into electrical energy through piezoelectric, electromagnetic and capacitive transducers. Among them, piezoelectric vibration-to-electricity converters have received much attention, as they have high electromechanical coupling and no external voltage source requirement, and they are particularly attractive for use in MEMS [13, 30, 39]. As a result, the use of piezoelectric materials for scavenging energy from ambient vibration sources has recently seen a dramatic rise for power

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harvesting. For example, early work at the MIT Media Lab investigated the feasibility of harnessing energy parasitically from various human activities [45]. It was later confirmed that energy generated by walking can be collected using piezoelectric ceramics [40]. Since then, piezoelectric elements used for power harvesting in various forms of structure have been proposed to serve specific purposes. Elvin *et al* [6, 7] and Ng and Liao [27] have used the piezoelectric element simultaneously as a power generator and a sensor. They have evaluated the performance of the piezoelectric sensor to power wireless transmission and validated the feasibility of the self-powered sensor system. Roundy and Wright [38] have analysed and developed a piezoelectric generator based on a two-layer bending element and used it as a basis for generator design optimization. Similar works based on cantilever-based devices using piezoelectric materials to scavenge vibration energy include [4, 25, 26, 51, 53].

Instead of 1-D design, Kim *et al* [16, 17] and Ericka *et al* [8] have modeled and designed piezoelectric plates (membranes) to harvest energy from pulsing pressure sources. Other harvesting schemes include the use of long strips of piezoelectric polymers (energy harvesting eel) in ocean or river-water flows [1, 46], the use of piezoelectric ‘cymbal’ transducers operated in the {3-3} mode [14, 15] and the use of a piezoelectric windmill for generating electric power from wind energy [31]. Jeon *et al* [13] have successfully developed the first PZT MEMS power-generating device. Related works on modeling and design considerations for MEMS-scale piezoelectric-based energy harvesters can be found in [5, 24, 33].

Most published results have reported measurements of output voltage or power, while few have quantified the efficiency of their devices. Umeda *et al* [48, 49] and Goldfarb and Jones [9] have studied the efficiency of electric power generation with piezoelectric elements operated in the {3-1} and {3-3} modes, respectively. Recently, Richards *et al* [34] have provided an analytic formula to predict power conversion efficiency, and showed that it depends on the electromechanical coupling coefficient and quality factor of the device. Roundy [35] has further provided a general theory of the effectiveness of vibration-based energy harvesting which can be applied to electromagnetic, piezoelectric, magnetostrictive and electrostatic transducer technologies. In addition, when a power harvester is applied to a system, it gives rise to an additional damping effect. Lesieutre *et al* [22] have pointed out that the damping added to a vibrating structure is due to the removal of electrical energy from the system. They have shown that the power harvesting system works similarly to a shunt damping system, except that the energy is stored instead of dissipated [20, 44].

The research works cited above focus mainly on developing optimal energy harvesting structures. However, the electrical outputs of these devices in many cases are too small to power electrical devices directly. Thus, the methods of accumulating and storing parasitic energy are also the key to developing self-powered systems. Sodano *et al* [42, 43] have investigated several piezoelectric power harvesting devices and the methods of accumulating energy by utilizing either a capacitor or a rechargeable battery. Ottman *et al* [28, 29] have developed highly efficient electrical circuits to store the

generated charge or present it to the load circuit. They have claimed that at high levels of excitation the power output can be increased by as much as 400%. In contrast to the linear load impedance adaptation by [28, 29], Guyomar *et al* [10] and Lefeuvre *et al* [18, 19] have developed a new power flow optimization principle based on the extraction of the electric charge produced by a piezoelectric element, synchronized with the mechanical vibration operated at the steady state. They have claimed that the harvested electrical power may be increased by as much as 900% over the standard technique. Badel *et al* [2] have extended their work to the case of pulsed excitation.

In this paper, we propose an analysis of AC–DC power output for a rectified piezoelectric harvester. Many published results studying the conversion of energy from the oscillating mass to electricity have adopted a simple model proposed by Williams and Yates [5, 13, 36, 39, 52]. It is based on the assumption that the electrical damping term is linear and proportional to the velocity; however, this hypothesis may not be strictly valid in many cases. In addition, much work has been done on studying the optimal AC power flow, while little has considered the AC–DC power output. The former includes [5, 24, 25, 30, 34, 38, 44], while the latter has been studied recently in [10, 19, 28]. As the electronic load requires a stabilized DC voltage while a vibrating piezoelectric element generates an AC voltage, the desired output needs to be rectified, filtered and regulated to ensure electrical compatibility. Thus, it is of importance to investigate the optimal AC–DC power output to reflect the real electrical performance in many practical applications.

Specifically, we study the steady-state response of a piezoelectric generator connected to an AC–DC rectifier followed by a filtering capacitance and a resistor. This problem has recently been studied by Ottman *et al* [28] and Guyomar *et al* [10]. The former assumed that the vibration amplitude is not affected by the load resistance while the latter hypothesized that the periodic external excitation and the speed of mass are in phase. In contrast with estimates based on these two approaches, we take into account the global behaviour of the electromechanical system and derive a completely new analytic expression of AC–DC power output in section 2. We show that the harvested power depends explicitly on a number of non-dimensionless parameters. With it, an effective power normalization scheme is provided and can be used to compare power harvesting devices of various sizes and with different vibration inputs to estimate efficiencies. In section 3, we derive the criterion for optimal load and power and study the asymptotic behaviour of power output for devices operated at the short and open circuit resonances, respectively. We show that selection of the correct operation frequency is important for achieving the maximal power flow, while this effect has been neglected in many other approaches. We next validate our theoretical predictions by both experimental results and numerical simulations and find good agreement in section 4. In addition, we find that the discrepancies among these approaches become significant when the coupling coefficient and quality factor of the system are large. Finally, several design guidelines are recommended from our predictions. We conclude in section 5 with a discussion.

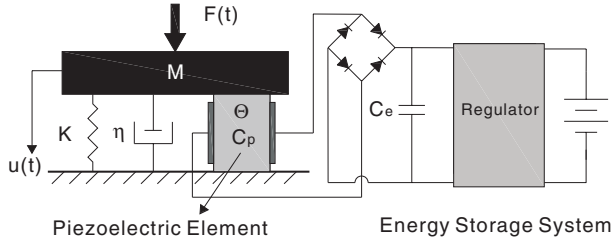


Figure 1. An equivalent model for a piezoelectric vibration energy harvesting system.

2. Harvesting model

2.1. Governing equations

A piezoelectric energy harvester is often modeled as a mass + spring + damper + piezo structure together with an energy storage system schematically shown in figure 1 [19, 28, 34]. It consists of a piezoelectric element coupled to a mechanical structure and is connected to a storage circuit system. In this approach, an effective mass M subjected to an applied forcing function $F(t)$ is bounded on a spring of effective stiffness K , on a damper of coefficient η , and on a piezoelectric element characterized by effective piezoelectric coefficient Θ and capacitance C_p . For example, consider a triple-layer bender mounted as a cantilever beam with polarization poled along the thickness direction as shown in figure 2. The electric field is generated through the direction of thickness of the piezoelectric layers while strain is in the axial direction; consequently, the transverse, or {3-1}, mode is utilized. The effective coefficients related to material constants and structural geometry can be derived using the modal analysis [11, 50]

$$M = \beta_M(m_p + m_b) + m_a,$$

$$K = \beta_K S \left\{ \left(\frac{2}{3} \frac{t^3}{L^3} + \frac{ht^2}{L^3} + \frac{1}{2} \frac{th^2}{L^3} \right) C_{p11}^E + \frac{1}{12} \frac{h^3}{L^3} C_{b11}^E \right\},$$

$$\Theta = \beta_\Theta \frac{S(h+t)}{2L} e_{31},$$

$$C_p = \frac{SL}{2t} \varepsilon_{33}^S,$$

where β_M , β_K and β_Θ are constants derived from the Rayleigh-Ritz approximation, e_{31} and ε_{33}^S are the piezoelectric

and clamped dielectric constants, S and L are the width and axial length of the cantilever beam, t and h , C_{p11}^E and C_{b11}^E , m_p and m_b are the thicknesses, elastic moduli and masses of the piezoelectric and central passive layers, and m_a is the attached mass. We have performed a series of experiments on a PZT triple-layer bender with configuration similar to figure 2 to validate our prediction in section 4.2. Another less common piezoelectric power generator operated in the longitudinal or {3-3} mode has been developed recently by [13]. The advantage of utilizing this mode is that the longitudinal piezoelectric effect is usually much larger than the transverse effect ($d_{33} > d_{31}$).

A vibrating piezoelectric element generates an AC voltage while the electrochemical battery needs a stabilized DC voltage. This requires an energy harvesting circuit to ensure electrical compatibility. In figure 1, an AC-DC rectifier followed by a filtering capacitance C_e is added to smooth the DC voltage. A controller placed between the rectifier output and the battery is included to regulate the output voltage. A simplified energy harvesting circuit shown in figure 3 is commonly chosen for design analysis. Note that the regulation circuit and battery are replaced with an equivalent resistor R and V_c is the rectified voltage across it. The rectifying bridge is assumed to be perfect in the following study.

Let u be the displacement of the mass M and V_p the voltage across the piezoelectric element. The governing equations of the vibrator can be obtained by the conventional modal analysis [5, 44]:

$$M\ddot{u}(t) + \eta\dot{u}(t) + Ku(t) + \Theta V_p(t) = F(t), \quad (1)$$

$$-\Theta\dot{u}(t) + C_p\dot{V}_p(t) = -I(t). \quad (2)$$

An AC-DC harvesting circuit is connected to the power generator, as shown in figure 3, $I(t)$ is the current flowing into this circuit and is related to the rectified voltage V_c by

$$I(t) = \begin{cases} C_e\dot{V}_c(t) + \frac{V_c}{R} & \text{if } V_p = V_c, \\ -C_e\dot{V}_c(t) - \frac{V_c}{R} & \text{if } V_p = -V_c, \\ 0 & \text{if } |V_p| < V_c. \end{cases} \quad (3)$$

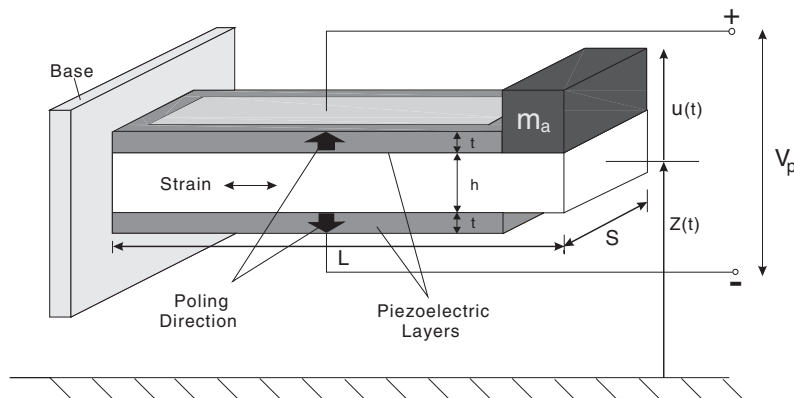


Figure 2. A common piezoelectric-based power generator: a cantilever triple-layer bender operated in the {3-1} mode. The base is excited with acceleration $\ddot{z}(t)$.

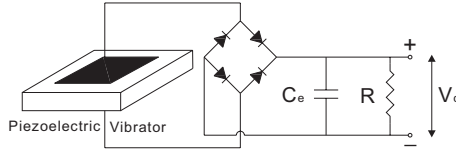


Figure 3. A typical AC-DC harvesting circuit.

A sinusoidal mechanical excitation

$$F(t) = F_0 \sin wt \quad (4)$$

is applied to the system with F_0 the constant magnitude and w (in rad s^{-1}) the angular frequency of vibration. Note that in most vibration-based power harvesting systems the source of $F(t)$ is due to the excitation of the base with acceleration $\ddot{z}(t)$ as shown in figure 2.

Equation (3) is explained as follows. The rectifying bridge is open circuited if the voltage $|V_p|$ is smaller than the rectified voltage V_c . As a result, the current flowing into the circuit vanishes. On the other hand, when $|V_p|$ reaches V_c , the bridge conducts and the piezo voltage is kept equal to the rectified voltage; i.e. $|V_p| = V_c$. Finally, the conduction in the rectifier diodes is blocked again when the absolute value of the piezo voltage $|V_p(t)|$ starts decreasing.

As most applications require the output DC voltage V_c to be stable, the common approach to achieving this is to assume that the filter capacitor C_e is large enough so that the output voltage V_c is essentially constant [28]. Specifically, $V_c(t) = \langle V_c(t) \rangle + V_{\text{ripple}}$ where $\langle V_c(t) \rangle$ and V_{ripple} are the average and ripple of $V_c(t)$, respectively. This average $\langle V_c(t) \rangle$ is independent of C_e provided that the time constant RC_e is much larger than the oscillating period of the generator [10]. The magnitude of V_{ripple} , however, depends on C_e and is negligible for large C_e . Under this hypothesis, $V_c(t) \approx \langle V_c(t) \rangle$, and therefore in the following, we use V_c , instead of $\langle V_c(t) \rangle$, to represent the average of $V_c(t)$ for simplicity of notation.

To solve (1)–(4) under steady-state operation, we first determine the relation between the average value of the rectified voltage and displacement magnitude. From (2) and (3) the piezo voltage $V_p(t)$ varies proportionally with respect to the displacement $u(t)$ if the rectifying bridge is blocked and the outgoing piezoelectric current is zero. Therefore, solutions of $u(t)$ and $V_p(t)$ are assumed to take the following forms:

$$u(t) = u_0 \sin(wt - \theta), \quad V_p(t) = g(wt - \theta), \quad (5)$$

where u_0 is the constant magnitude of displacement and $g(t)$ is a periodic function with period 2π and $|g(t)| \leq V_c$. Let $T = \frac{2\pi}{w}$ be the period of vibration, and a and b be two time instants ($b - a = \frac{T}{2}$), such that the displacement u goes from the minimum $-u_0$ to the maximum u_0 . Assume that $\dot{V}_p \geq 0$ during the semi-period from a to b . It follows that $\int_a^b \dot{V}_p(t) dt = V_c - (-V_c) = 2V_c$. Note that $C_e \dot{V}_c(t) + \frac{V_c}{R} = 0$ for $a < t < t^*$ during which the piezo voltage $|V_p| < V_c$ and the rectifier conducts when $t^* \leq t < b$. This gives from (3)

$$\int_a^b I(t) dt = \frac{T}{2} \frac{V_c}{R} \quad (6)$$

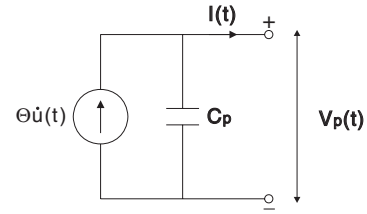


Figure 4. An equivalent circuit for the uncoupled model.

since the average current flowing through the capacitance C_e is zero; i.e. $\int_a^b C_e \dot{V}_c(t) dt = 0$ for steady-state operation. The integration of (2) from time a to b is therefore

$$-2\Theta u_0 + 2C_p V_c = -\frac{T}{2} \frac{V_c}{R},$$

or

$$V_c = \frac{w\Theta R}{wC_p R + \frac{\pi}{2}} u_0. \quad (7)$$

Notice that (7) is identical to that derived by [10, 28].

The average harvested power can also be obtained in terms of the magnitude of displacement

$$P = \frac{V_c^2}{R} = \frac{w^2 \Theta^2 R}{(wC_p R + \frac{\pi}{2})^2} u_0^2. \quad (8)$$

Thus, we need to find out u_0 to determine V_c and P . There are two approaches in the literature for estimating this [10, 28]. We propose here another method for determining u_0 , and show that this new estimation is more accurate than the other two in section 4. Before showing that, we introduce the following non-dimensional parameters which will be used to simplify the analysis

$$w_n = \sqrt{\frac{K}{M}}, \quad k_e^2 = \frac{\Theta^2}{K C_p}, \quad \zeta = \frac{\eta}{2\sqrt{KM}}, \quad (9)$$

$$\Omega = \frac{w}{w_n}, \quad r = C_p w_n R,$$

where w_n is the natural frequency of short circuit, k_e^2 is the alternative electromechanical coupling coefficient², ζ is the damping ratio and Ω and r are the normalized frequency and electric resistance. Finally, there are two resonances for the system since the piezoelectric structure exhibits both short circuit and open circuit stiffness. They are defined by

$$\Omega_{sc} = 1, \quad \Omega_{oc} = \sqrt{1 + k_e^2}, \quad (10)$$

where Ω_{sc} and Ω_{oc} are the frequency ratios of short circuit and open circuit, respectively. Note that the frequency shift is pronounced if the coupling factor k_e^2 is large.

2.2. Uncoupled analysis

Piezoelectric devices are frequently modeled as the current source in parallel with their internal electrode capacitance C_p as shown in figure 4 [6, 7, 13, 27, 28]. This model is based on

² The definition of k_e^2 here is slightly different from that used by [21].

the assumption that the internal current source of the generator is independent of the impedance of the external load. This is equivalent to assuming that the coupling is very weak and the term ΘV_p can be dropped from (1). As a result, the governing equations (1) and (2) are simplified to be

$$M\ddot{u}(t) + \eta\dot{u}(t) + Ku(t) = F(t), \quad (11)$$

$$-\Theta\dot{u}(t) + C_p\dot{V}_p(t) = -I(t). \quad (12)$$

As the displacement $u(t)$ can be solved independently from (11) using a simple harmonic analysis, $\Theta\dot{u}(t)$ can be treated as the known current source shown schematically in figure 4. The rectified voltage V_c and the average harvested power P are therefore determined by (7) and (8). Finally, the normalized displacement \bar{u}_0 , voltage \bar{V}_c and power \bar{P} in terms of non-dimensionless parameters (9) are described by

$$\bar{u}_0 = \frac{u_0}{\frac{F_0}{K}} = \frac{1}{\{4\zeta^2\Omega^2 + (1 - \Omega^2)^2\}^{\frac{1}{2}}}, \quad (13)$$

$$\bar{V}_c = \frac{V_c}{\frac{F_0}{\Theta}} = \left(\frac{r\Omega}{r\Omega + \frac{\pi}{2}} \right) \frac{k_e^2}{\{4\zeta^2\Omega^2 + (1 - \Omega^2)^2\}^{\frac{1}{2}}}, \quad (14)$$

$$\bar{P} = \frac{P}{\frac{F_0^2}{w_n M}} = \frac{1}{(r\Omega + \frac{\pi}{2})^2} \frac{k_e^2 \Omega^2 r}{\{4\zeta^2\Omega^2 + (1 - \Omega^2)^2\}}. \quad (15)$$

2.3. In-phase analysis

The uncoupled model assumes that the electromechanical coupling is very weak or the vibration amplitude is independent of the equivalent resistive load R . If the coupling is not so weak, Guyomar *et al* [10] have provided a new approach for estimating the average harvested power. Indeed, they have assumed that the external forcing function and the velocity of the mass are in phase. Precisely, (5) is changed to

$$F(t) = F_0 \sin wt, \quad \dot{u}(t) = u_0 w \sin wt. \quad (16)$$

As the derivation of the harvested power can be found in [10], we here only list their main results for future comparison. The normalized displacement \bar{u}_0 , voltage \bar{V}_c and power \bar{P} are summarized in terms of the non-dimensionless system parameters

$$\bar{u}_0 = \frac{u_0}{\frac{F_0}{K}} = \frac{1}{\left\{ 2\zeta + \frac{2k_e^2 r}{(r\Omega + \frac{\pi}{2})^2} \right\} \Omega}, \quad (17)$$

$$\bar{V}_c = \frac{V_c}{\frac{F_0}{\Theta}} = \left(\frac{r}{r\Omega + \frac{\pi}{2}} \right) \frac{k_e^2}{\left\{ 2\zeta + \frac{2k_e^2 r}{(r\Omega + \frac{\pi}{2})^2} \right\}}, \quad (18)$$

$$\bar{P} = \frac{P}{\frac{F_0^2}{w_n M}} = \frac{1}{(r\Omega + \frac{\pi}{2})^2} \frac{k_e^2 r}{\left\{ 2\zeta + \frac{2k_e^2 r}{(r\Omega + \frac{\pi}{2})^2} \right\}^2}. \quad (19)$$

2.4. Analytic analysis

For a non-piezoelectric mechanical structure vibrating around resonance, the in-phase assumption between $F(t)$ and $\dot{u}(t)$ is a fairly reasonable approximation in the case of low damping. However, we are not aware whether this assumption still

holds when non-small electromechanical coupling is taken into account. Hence, it is worth investigating this in detail here.

Let (1) be multiplied by $\dot{u}(t)$ and (2) be multiplied by $V_p(t)$. Integration of the addition of these two equations from time a to b gives the equation of the energy balance

$$\int_a^b F(t)\dot{u}(t) dt = \int_a^b \eta\dot{u}^2(t) dt + \int_a^b V_p(t)I(t) dt + \frac{1}{2}M\dot{u}^2(t)|_a^b + \frac{1}{2}Ku^2(t)|_a^b + \frac{1}{2}C_p V_p^2(t)|_a^b. \quad (20)$$

Suppose that $F(t)$, $u(t)$ and $V_p(t)$ are given by (4) and (5). Let $T = \frac{2\pi}{w}$ and a and b be two time instants ($b - a = \frac{T}{2}$) such that the displacement u goes from the minimum $-u_0$ to the maximum u_0 . The balance of energy (20) in this case becomes

$$\int_a^b F(t)\dot{u}(t) dt = \int_a^b \eta\dot{u}^2(t) dt + \int_a^b V_p(t)I(t) dt. \quad (21)$$

We assume that $\dot{V}_p \geq 0$ during this semi-period from a to b . Note that $C_e\dot{V}_c(t) + \frac{V_c}{R} = 0$ for $a < t < t^*$ during which the piezo voltage $|V_p| < V_c$. This also gives $(C_e\dot{V}_c(t) + \frac{V_c}{R})V_c = 0$ for $a < t < t^*$. The rectifier conducts later when the piezo voltage V_p reaches the rectified voltage V_c , and from (3) $V_p(t)I(t) = V_c(C_e\dot{V}_c(t) + \frac{V_c}{R})$ during the conduction $t^* \leq t < b$. These arguments listed above suggest

$$\int_a^b I(t)V_p(t) dt = \frac{V_c^2 T}{R 2} \quad (22)$$

for steady-state operation. Next, substituting (4) and (5) into the equation of energy balance (21) results in

$$\frac{\pi}{2} \eta w u_0^2 + \frac{\pi}{w} \frac{V_c^2}{R} = \frac{\pi}{2} F_0 u_0 \sin \theta. \quad (23)$$

Right now we have two equations (7) and (23) and three unknowns u_0 , V_c and θ . We need a third one to solve them. From (2), we have

$$\Theta\dot{V}_p(t) = \frac{\Theta}{C_p} [-I(t) + \Theta\dot{u}(t)]. \quad (24)$$

Differentiating (1) with respect to time t and substituting (24) into it, we find

$$M \frac{d}{dt} \ddot{u}(t) + \eta \frac{d}{dt} \dot{u}(t) + \left(K + \frac{\Theta^2}{C_p} \right) \frac{d}{dt} u(t) - \frac{\Theta}{C_p} I(t) = \frac{d}{dt} F(t). \quad (25)$$

Integrating (25) with respect to time t from a to b and using (5) and (6) provides

$$\left(K - Mw^2 + \frac{\Theta^2}{C_p} \right) u_0 - \frac{\pi \Theta}{2C_p w R} V_c = F_0 \cos \theta. \quad (26)$$

Finally, we are in a position to determine u_0 in terms of system parameters. Combining both (23) and (26) gives

$$\left\{ \eta w u_0 + \frac{2}{w R} \frac{V_c^2}{u_0} \right\}^2 + \left\{ \left(K - Mw^2 + \frac{\Theta^2}{C_p} \right) u_0 - \frac{\pi \Theta}{2C_p w R} V_c \right\}^2 = F_0^2. \quad (27)$$

As the magnitude of displacement u_0 is related to the rectified voltage V_c by (7), the above equation (27) can be further simplified to find u_0 . The result is

$$u_0 = \frac{F_0}{\left\{ \left(\eta w + \frac{2w\Theta^2 R}{(C_p w R + \frac{\pi}{2})^2} \right)^2 + \left(K - w^2 M + \frac{w\Theta^2 R}{C_p w R + \frac{\pi}{2}} \right)^2 \right\}^{\frac{1}{2}}}.$$

The following summarizes our main findings:

$$\bar{u} = \frac{u_0}{\frac{F_0}{K}} = \frac{1}{\left\{ \left(2\zeta + \frac{2k_e^2 r}{(r\Omega + \frac{\pi}{2})^2} \right)^2 \Omega^2 + \left(1 - \Omega^2 + \frac{\Omega k_e^2 r}{r\Omega + \frac{\pi}{2}} \right)^2 \right\}^{\frac{1}{2}}}, \quad (28)$$

$$\bar{V}_c = \frac{V_c}{\frac{F_0}{\Theta}} = \left(\frac{r\Omega}{r\Omega + \frac{\pi}{2}} \right) \times \frac{k_e^2}{\left\{ \left(2\zeta + \frac{2k_e^2 r}{(r\Omega + \frac{\pi}{2})^2} \right)^2 \Omega^2 + \left(1 - \Omega^2 + \frac{\Omega k_e^2 r}{r\Omega + \frac{\pi}{2}} \right)^2 \right\}^{\frac{1}{2}}}, \quad (29)$$

$$\bar{P} = \frac{P}{\frac{F_0^2}{w_n M}} = \frac{1}{(r\Omega + \frac{\pi}{2})^2} \times \frac{k_e^2 \Omega^2 r}{\left\{ \left(2\zeta + \frac{2k_e^2 r}{(r\Omega + \frac{\pi}{2})^2} \right)^2 \Omega^2 + \left(1 - \Omega^2 + \frac{\Omega k_e^2 r}{r\Omega + \frac{\pi}{2}} \right)^2 \right\}}, \quad (30)$$

where (30) is interpreted as follows. Suppose the source of the forcing function comes from the vibration of the base of the structure, then this gives $F_0 = MA$ where A is the magnitude of acceleration of the exciting base. It follows that the harvested average power per unit mass is described by

$$\frac{P}{M} = \frac{A^2}{w_n} \bar{P}(r, \Omega, k_e, \zeta).$$

This shows that the harvested average power per unit mass depends on the characteristics of the input vibration (frequency Ω and acceleration A), the normalized electric resistance r , the short circuit resonance w_n , the mechanical damping ratio ζ , and the overall electromechanical coupling coefficient k_e^2 of the system. Thus, the scheme to optimize the power either by tuning the electric resistance, selecting suitable operation points or adjusting the coupling coefficient by optimal structural design can be guided completely by (30).

3. Optimal resistance and power

Suppose Ω , k_e and ζ are fixed. The design criterion for reaching the maximal power flow under steady-state operation can be obtained by tuning the load impedance according to

$$\frac{\partial}{\partial r} \bar{P}(r, \Omega, k_e, \zeta)|_{\Omega, k_e, \zeta} = 0. \quad (31)$$

We use the notation r^{opt} to represent the solution of (31), and $r^{\text{opt}} = r^{\text{opt}}(\Omega, k_e, \zeta)$ in general. Besides, the superscript ‘opt’ denotes functions evaluated at the optimal load resistance r^{opt} . For example,

$$\bar{P}^{\text{opt}} = \bar{P}(r^{\text{opt}}, \Omega, k_e, \zeta); \text{ etc.}$$

The selection of the suitable operation frequency is also important to maximize the average harvested power, and we will discuss this in section 3.3.

3.1. Uncoupled analysis

Substituting (15) into (31), we find the optimal load is

$$r^{\text{opt}} = \frac{\pi}{2\Omega} \quad \text{or} \quad R^{\text{opt}} = \frac{\pi}{2C_p w}. \quad (32)$$

It follows from (13), (14) and (15) that the normalized displacement, voltage and power evaluated at the optimal load are

$$\bar{u}_0^{\text{opt}} = \frac{u_0^{\text{opt}}}{\frac{F_0}{K}} = \frac{1}{\{4\zeta^2 \Omega^2 + (1 - \Omega^2)^2\}^{\frac{1}{2}}}, \quad (33)$$

$$\bar{V}_c^{\text{opt}} = \frac{V_c^{\text{opt}}}{\frac{F_0}{\Theta}} = \frac{1}{2} \frac{k_e^2}{\{4\zeta^2 \Omega^2 + (1 - \Omega^2)^2\}^{\frac{1}{2}}}, \quad (34)$$

$$\bar{P}^{\text{opt}} = \frac{P^{\text{opt}}}{\frac{F_0^2}{w_n M}} = \frac{1}{2\pi} \frac{k_e^2 \Omega}{\{4\zeta^2 \Omega^2 + (1 - \Omega^2)^2\}}. \quad (35)$$

In the uncoupled model the optimal harvested power flow can be achieved by tuning the load impedance to match the internal impedance of the piezoelectric generator, i.e. $R^{\text{opt}} = \frac{\pi}{2C_p w}$.

In addition, the rectified voltage $V_c^{\text{opt}} = \frac{1}{2} V_{\text{oc}}$ where V_{oc} is the maximum voltage at the open circuit condition for the uncoupled model [28].

3.2. In-phase analysis

Lefeuve *et al* [19] have questioned the soundness of the uncoupled model and proposed a modified estimation of the optimal load based on the in-phase assumption. The results are classified according to the inequality of $\frac{k_e^2}{\zeta} - 2\pi\Omega$ and are listed below for future comparison.

Case 1: $\frac{k_e^2}{\zeta} - 2\pi\Omega \leq 0$. The optimal normalized load, displacement, voltage and power evaluated at the optimal condition are

$$r_o^{\text{opt}} = \frac{\pi}{2\Omega}, \quad (36)$$

$$\bar{u}_0^{\text{opt}} = \frac{u_0^{\text{opt}}}{\frac{F_0}{K}} = \frac{1}{\{2\zeta\Omega + \frac{k_e^2}{\pi}\}}, \quad (37)$$

$$\bar{V}_c^{\text{opt}} = \frac{V_c^{\text{opt}}}{\frac{F_0}{\Theta}} = \frac{1}{2} \frac{k_e^2}{\{2\zeta\Omega + \frac{k_e^2}{\pi}\}}, \quad (38)$$

$$\bar{P}^{\text{opt}} = \frac{P^{\text{opt}}}{\frac{F_0^2}{w_n M}} = \frac{1}{2\pi} \frac{k_e^2 \Omega}{\{2\zeta\Omega + \frac{k_e^2}{\pi}\}^2}. \quad (39)$$

Note that the optimal resistance (36) is the same as (32), and the optimal power in (39) is close to (35) provided that $\frac{k_e^2}{\zeta} \ll 1$ and $\Omega \approx 1$.

Case 2: $\frac{k_e^2}{\zeta} - 2\pi\Omega \geq 0$. Suppose the electromechanical coupling factor k_e^2 is large and the damping is small. The optimal resistance r_o^{opt} in (36) turns out to be the one minimizing the power flow. There are two new optimal resistances called r_a^{opt} and r_b^{opt} to maximize the power in this case, and the corresponding normalized displacement, voltage and power are

$$r_a^{\text{opt}} = \frac{1}{2\Omega^2} \left\{ \left(\frac{k_e^2}{\zeta} - \pi\Omega \right) - \sqrt{\left(\frac{k_e^2}{\zeta} - \pi\Omega \right)^2 - (\pi\Omega)^2} \right\}, \quad (40)$$

$$r_b^{\text{opt}} = \frac{1}{2\Omega^2} \left\{ \left(\frac{k_e^2}{\zeta} - \pi\Omega \right) + \sqrt{\left(\frac{k_e^2}{\zeta} - \pi\Omega \right)^2 - (\pi\Omega)^2} \right\}. \quad (41)$$

$$\bar{u}_0^{\text{opt}}|_{r=r_a^{\text{opt}}} = \frac{u_0^{\text{opt}}}{K} \bigg|_{r=r_a^{\text{opt}}} = \bar{u}_0^{\text{opt}}|_{r=r_b^{\text{opt}}} = \frac{u_0^{\text{opt}}}{K} \bigg|_{r=r_b^{\text{opt}}} = \frac{1}{4\zeta\Omega}, \quad (42)$$

$$\bar{V}_c^{\text{opt}}|_{r=r_a^{\text{opt}}} = \frac{V_c^{\text{opt}}}{\Theta} \bigg|_{r=r_a^{\text{opt}}} = \frac{k_e^2}{8\zeta\Omega} \left\{ 1 - \sqrt{1 - \frac{2\pi\Omega}{\frac{k_e^2}{\zeta}}} \right\}, \quad (43)$$

$$\bar{V}_c^{\text{opt}}|_{r=r_b^{\text{opt}}} = \frac{V_c^{\text{opt}}}{\Theta} \bigg|_{r=r_b^{\text{opt}}} = \frac{k_e^2}{8\zeta\Omega} \left\{ 1 + \sqrt{1 - \frac{2\pi\Omega}{\frac{k_e^2}{\zeta}}} \right\}, \quad (44)$$

$$\begin{aligned} \bar{P}^{\text{opt}}|_{r=r_a^{\text{opt}}} &= \frac{P^{\text{opt}}}{\frac{F_0^2}{w_n M}} \bigg|_{r=r_a^{\text{opt}}} = \bar{P}^{\text{opt}}|_{r=r_b^{\text{opt}}} = \frac{P^{\text{opt}}}{\frac{F_0^2}{w_n M}} \bigg|_{r=r_b^{\text{opt}}} \\ &= \frac{1}{16\zeta}. \end{aligned} \quad (45)$$

Note that $r_a^{\text{opt}} < r_o^{\text{opt}} < r_b^{\text{opt}}$. It is interesting to see that the harvested average power has two identical maxima and depends only on the internal damping of the generator. Lefeuvre *et al* [19] have interpreted the appearance of two optimal resistances as characteristic of a strongly coupled system. However, this has to be taken with caution since there are always two optimal loads for *each* applied frequency in the in-phase model provided that $\frac{k_e^2}{\zeta} - 2\pi\Omega \geq 0$. We will discuss it in section 3.3.

3.3. Analytic analysis

The power derived from the analytic analysis is given by (30). Although it extensively describes the characteristics of the harvesting system, the complicated nature of (30) makes it difficult to derive the closed form solution of the optimal resistance from (31). Alternatively, we discuss the functional behaviour of (30) according to the different ranges of the parameter $\frac{k_e^2}{\zeta}$. We study the small and medium ranges of $\frac{k_e^2}{\zeta}$ in sections 4.1 and 4.2, respectively. Here we provide an analysis to show that the harvested power can be maximized at two different electrical loads at the respective operating points provided that $\frac{k_e^2}{\zeta} \gg 1$. However, we are not aware under exactly what condition there exist two optimal pairs. But if the AC–DC harvesting circuit shown in figure 3 is changed to

an AC circuit, it can be shown that two optimal pairs appear whenever

$$\frac{k_e^2}{\zeta} \geq 4(\zeta + 1).$$

Returning to the standard AC–DC circuit, our results of numerous numerical simulations suggest

$$\frac{k_e^2}{\zeta} \geq 10 \quad (46)$$

as the rule of thumb for the appearance of two optimal pairs, and we will use it as a criterion for designing a strongly coupled electromechanical system.

Case 1: *Short circuit resonance.* The power P in (30) for $\Omega = \Omega_{\text{sc}} = 1$ can be expressed as

$$\frac{P}{\frac{F_0^2}{w_n M}} = \frac{1}{\zeta} \frac{rx}{\{4[(r + \frac{\pi}{2}) + \frac{rx}{(r + \frac{\pi}{2})}]^2 + r^2 x^2\}}, \quad (47)$$

where $x = \frac{k_e^2}{\zeta}$. Suppose the parameter $x \gg 1$. To optimize the power in (47), r has to be small or proportional to the inverse of x ; otherwise, the power will tend to zero for non-small r while x remains extremely large. This gives

$$\frac{P}{\frac{F_0^2}{w_n M}} \approx \frac{1}{\zeta} \frac{rx}{\{4[\frac{\pi}{2} + \frac{2}{\pi}rx]^2 + r^2 x^2\}} \quad (48)$$

for small r and $x \gg 1$. The optimal power flow can be obtained by differentiating (48) with respect to r . It follows that

$$r_{\text{sc}}^{\text{opt}} \approx \left(\frac{\pi^2}{\sqrt{16 + \pi^2}} \right) \frac{1}{\frac{k_e^2}{\zeta}} \quad \text{if } x = \frac{k_e^2}{\zeta} \gg 1. \quad (49)$$

The corresponding normalized displacement, voltage and power are

$$\bar{u}_0^{\text{opt}} = \frac{u_0^{\text{opt}}}{\frac{F_0}{K}} \approx \sqrt{\frac{16 + \pi^2}{32 + 2\pi^2 + 8\sqrt{16 + \pi^2}}} \frac{1}{2\zeta}, \quad (50)$$

$$\bar{V}_c^{\text{opt}} = \frac{V_c^{\text{opt}}}{\Theta} \approx \frac{\pi}{\sqrt{32 + 2\pi^2 + 8\sqrt{16 + \pi^2}}}, \quad (51)$$

$$\bar{P}^{\text{opt}} = \frac{P_{\text{opt}}}{\frac{F_0^2}{w_n M}} \approx \left(\frac{1}{8 + 2\sqrt{16 + \pi^2}} \right) \frac{1}{\zeta}. \quad (52)$$

Case 2: *Open circuit resonance.* We set $x = \frac{k_e^2}{\zeta}$ again. The power P in (30) for the applied frequency operated at $\Omega_{\text{oc}} = \sqrt{1 + k_e^2}$ can be expressed as

$$\frac{P}{\frac{F_0^2}{w_n M}} = \frac{1}{\zeta} \frac{rx\Omega_{\text{oc}}^2}{\left\{ 4 \left[(r\Omega_{\text{oc}} + \frac{\pi}{2}) + \frac{rx}{(r\Omega_{\text{oc}} + \frac{\pi}{2})} \right]^2 \Omega_{\text{oc}}^2 + \frac{\pi^2}{4} x^2 \right\}}. \quad (53)$$

To estimate the optimal power in (53) for the case of $x \gg 1$, r has to be proportional to x by examining the term rx in the numerator and $\frac{\pi^2}{4} x^2$ in the denominator. This shows that r has to be large to maximize (53). Hence, we may rewrite (53) as

$$\frac{P}{\frac{F_0^2}{w_n M}} \approx \frac{1}{\zeta} \frac{rx\Omega_{\text{oc}}^2}{\left\{ 4 \left[r\Omega_{\text{oc}} + \frac{x}{\Omega_{\text{oc}}} \right]^2 \Omega_{\text{oc}}^2 + \frac{\pi^2}{4} x^2 \right\}} \quad (54)$$

Table 1. The relation between the system parameters k_e^2 and ζ and the normalized electric resistance, displacement, voltage, current and power designed at the maximal power flow operated at the short circuit (Ω_{sc}) and open circuit (Ω_{oc}) resonances. The normalized current is defined as $\bar{I} = \frac{\bar{V}_c}{r}$. Note that the condition $\frac{k_e^2}{\zeta} \gg 1$ is implied in the analysis.

Optimal conditions	Ω_{sc}	Ω_{oc}
Resistance	$r_{sc}^{opt} \propto \frac{1}{k_e^2 \zeta}$	$r_{oc}^{opt} \propto \frac{1}{(1+k_e^2) \zeta}$
Displacement	$\bar{u}_0^{opt} \propto \frac{1}{\zeta}$	$\bar{u}_0^{opt} \propto \frac{1}{\zeta(\sqrt{1+k_e^2})}$
Voltage	$\bar{V}_c^{opt} \propto 1$	$\bar{V}_c^{opt} \propto \frac{1}{\sqrt{1+k_e^2} \zeta}$
Current	$\bar{I}^{opt} \propto \frac{k_e^2}{\zeta}$	$\bar{I}^{opt} \propto \sqrt{1+k_e^2}$
Power	$\bar{P}^{opt} \propto \frac{1}{\zeta}$	$\bar{P}^{opt} \propto \frac{1}{\zeta}$

provided $x \gg 1$. The optimal power flow can be obtained by differentiating (54) with respect to r . This gives

$$r_{oc}^{opt} \approx \frac{\sqrt{16+\pi^2}}{4} \frac{k_e^2}{1+k_e^2} \quad \text{if } x = \frac{k_e^2}{\zeta} \gg 1. \quad (55)$$

The corresponding normalized displacement, voltage and power are

$$\bar{u}_0^{opt} = \frac{u_0^{opt}}{\frac{F_0}{K}} \approx \sqrt{\frac{16+\pi^2}{32+2\pi^2+8\sqrt{16+\pi^2}}} \frac{1}{2\zeta\sqrt{1+k_e^2}}, \quad (56)$$

$$\bar{V}_c^{opt} = \frac{V_c^{opt}}{\frac{F_0}{\Theta}} \approx \sqrt{\frac{16+\pi^2}{32+2\pi^2+8\sqrt{16+\pi^2}}} \frac{k_e^2}{2\zeta\sqrt{1+k_e^2}}, \quad (57)$$

$$\bar{P}^{opt} = \frac{P^{opt}}{\frac{F_0^2}{w_n M}} \approx \left(\frac{1}{8+2\sqrt{16+\pi^2}} \right) \frac{1}{\zeta}. \quad (58)$$

Discussions: In the case of large $\frac{k_e^2}{\zeta}$, we find that for each applied frequency there is only one optimal load to maximize the power output. In addition, we have shown that the harvested power has two identical peaks, but is optimized at different resistances and operation frequencies; i.e.

$$\bar{P}(r_{sc}^{opt}, \Omega_{sc}, k_e, \zeta) = \bar{P}(r_{oc}^{opt}, \Omega_{oc}, k_e, \zeta)$$

provided that $\frac{k_e^2}{\zeta} \gg 1$. These results are in contrast with those obtained by the in-phase assumption. There always exist two optimal resistances given by (40) and (41) for every frequency in the in-phase model whenever $\frac{k_e^2}{\zeta} - 2\pi\Omega \geq 0$ (see also figure 9(f)). Besides, the in-phase model predicts the identical displacement evaluated at two optimal loads (see (42)) while our analytic analysis predicts unequal peaks of displacement; c.f. (50) and (56). This result is crucial in the design of micro-scale power generators [5].

Next, (49) and (55) suggest $r_{sc}^{opt} \propto \frac{1}{(k_e^2/\zeta)} \ll 1$ while $r_{oc}^{opt} \leq \frac{1}{\zeta}$. Therefore, r_{sc}^{opt} can be made as small as possible by increasing the electromechanical coupling coefficient k_e^2 while r_{oc}^{opt} has an upper bound. Finally, table 1 summarizes the relation between the system parameters k_e^2 and ζ and the normalized load, displacement, voltage, current and power designed at the maximal power flow operated either at the short circuit (Ω_{sc}) or open circuit (Ω_{oc}) resonances.

4. Comparisons

We now show that in section 4.1 the various forms of power derived from different approaches are almost the same provided that the parameter $\frac{k_e^2}{\zeta}$ is small, and we will use examples including experimental validation to demonstrate that the discrepancies among these analyses become large when the parameter $\frac{k_e^2}{\zeta}$ is increasing. In section 4.3 we find that the average harvested power is maximized at two optimal loads operated at different frequencies in the case of large $\frac{k_e^2}{\zeta}$.

4.1. Small $\frac{k_e^2}{\zeta}$

Suppose that $k_e^2 \ll 1$ and $\frac{k_e^2}{\zeta} \ll 1$. Thus, the shift in frequency from Ω_{sc} to Ω_{oc} is not pronounced for small k_e^2 . Let the applied frequency ratio Ω be operated between Ω_{sc} and Ω_{oc} . We may set

$$\Omega^2 = 1 + f k_e^2, \quad 0 \leq f \leq 1.$$

Set $x = \frac{k_e^2}{\zeta}$. The power P derived from the analytic analysis in (30) can be expressed as

$$\begin{aligned} \frac{P}{\frac{F_0^2}{w_n M}} &= \frac{r}{4\zeta (r\Omega + \frac{\pi}{2})^2} \\ &\times \frac{x}{\left\{ \left(1 + \frac{xr}{(r\Omega + \frac{\pi}{2})^2} \right)^2 + \frac{x^2}{4\Omega^2} \left(-f + \frac{\Omega r}{r\Omega + \frac{\pi}{2}} \right)^2 \right\}} \\ &\approx \frac{rx}{4\zeta (r\Omega + \frac{\pi}{2})^2} \{1 + O(x)\} \\ &\approx \frac{k_e^2 r}{4\zeta^2 (r\Omega + \frac{\pi}{2})^2} \end{aligned} \quad (59)$$

provided that $x \ll 1$ and $\Omega \approx \Omega_{sc} \approx \Omega_{oc} \approx 1$. The notation $O(x)$ denotes the higher order terms which tend to zero as x tends to zero. Comparing (59) with the power derived from the uncoupled assumption (15) and that from the in-phase assumption (19) justifies our assertion. Figures 5(a) and (b) are the normalized rectified voltage and average harvested power versus the normalized resistance around resonance in the case of $k_e = 0.05$ and $\zeta = 0.03$. The solid, dashed and long-dashed lines are results derived from the analytic, in-phase and uncoupled solutions. These three lines are almost coincident. We therefore conclude that the conventional uncoupled solution is suitable if $\frac{k_e^2}{\zeta} \ll 1$.

4.2. Medium $\frac{k_e^2}{\zeta}$

The discrepancies among these approaches become significant when the ratio $\frac{k_e^2}{\zeta}$ increases. For piezoelectric generators operated in the {3-1} mode, k_e^2 can approach $\frac{e_{31}^2}{C_{11}^E e_{33}^2}$ if the structure is made up entirely of piezoelectric materials [5]. Most electromechanical structures are made up of both piezoelectric and non-piezoelectric materials. The factor k_e^2 is then usually less than the theoretical value. On the other hand, the coupling coefficient k_e^2 can approach its upper bound for micro-scale devices since the contribution of piezoelectric elements to the overall structural stiffness is significant in this

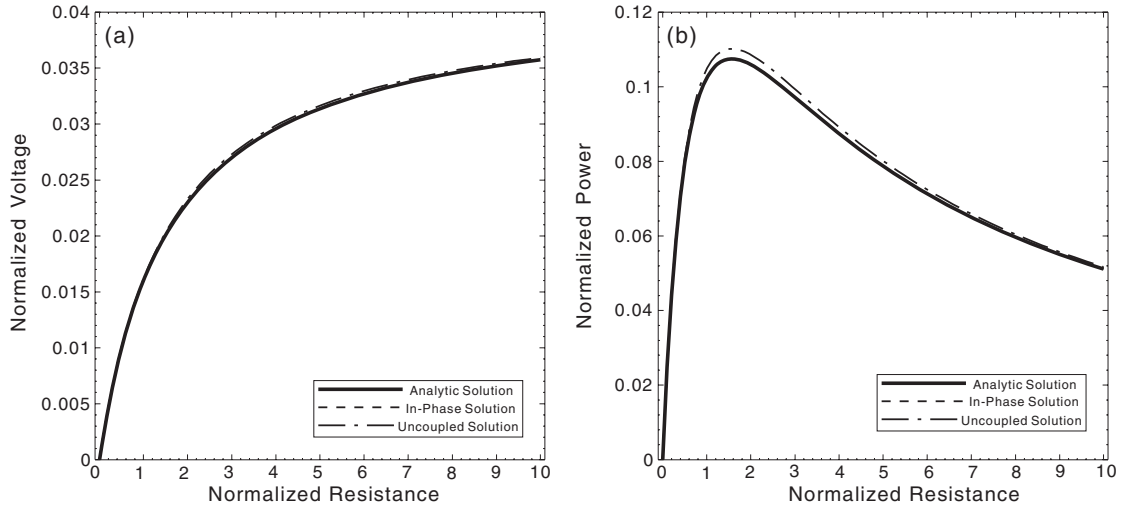


Figure 5. Comparisons in the case of small $\frac{k_e^2}{\zeta}$. We use $k_e = 0.05$ and $\zeta = 0.03$ ($\frac{k_e^2}{\zeta} = 0.083$). (a) Normalized rectified voltage versus normalized resistance. (b) Normalized harvested power versus normalized resistance.

case [5]. We then investigate it in detail here. We assume $k_e = 0.4$ and $\zeta = 0.03$ ($\frac{k_e^2}{\zeta} = 5.33$) in the following analysis.

The normalized displacement, voltage and power against the normalized electric resistance are plotted in figures 6(a)–(c) operated at the short circuit resonance and in figures 6(d)–(f) operated at the open circuit resonance. The long-dashed, dashed and solid lines are results calculated based on the uncoupled, in-phase and analytic solutions. As expected, predictions from the uncoupled analysis are far away from those predicted by either analytic or in-phase solutions since the electromechanical coupling is not small in this case. We then conclude that the uncoupled solution is not suitable for medium or even large $\frac{k_e^2}{\zeta}$.

Figure 6 also reveals substantial differences between our analytic and the in-phase analyses. We therefore use both simulation and experiment to determine which approaches predict more accurate behaviour of the energy harvesting system. Consider the numerical simulation first. Notice that (1) and (2) can be transformed to an equivalent RLC circuit with $R = \frac{\eta}{\Theta^2}$ as resistance, $L = \frac{M}{\Theta^2}$ as inductance and $C = \frac{\Theta^2}{K}$ as capacitance. We use the software **PSpice** to simulate this equivalent circuit connected to the AC–DC harvesting circuit shown in figure 3. The results are illustrated in figure 7 where we plot the normalized power versus electric resistance at short circuit and open circuit resonances. The numerical results are marked in figure 7 using open circles. Apparently, the numerical simulations favor results predicted based on our analytic solutions. In particular, our approach accurately predicts the optimal electric load resistance maximizing the average harvested power. The optimal load r_{sc}^{opt} (analytic) = 0.45 is smaller at Ω_{sc} while r_{oc}^{opt} (analytic) = 4.64 is larger at Ω_{oc} . However, the optimal resistances predicted by the in-phase solutions at Ω_{sc} and Ω_{oc} are very close: r_{sc}^{opt} (in-phase) = 1.57 and r_{oc}^{opt} (in-phase) = 1.46, and therefore are not suitable for the design.

Finally, we validate the analytic solution by our recent experiment. The specimen is a piezoelectric triple-layer bender with the overall dimension 40 mm \times 20 mm \times 0.36 mm ($L \times$

$S \times (h + 2t)$) as shown similarly in figure 2. The overall mass of the beam is $m_p + m_b = 2.2509$ g and an attached mass $m_a = 0.4207$ g is put at the tip. The measured open circuit and short circuit resonances are 52.9 and 53.7 Hz, respectively. This gives a coupling factor k_e around 0.17. The mechanical damping ratio is measured at about 0.01, and therefore $\frac{k_e^2}{\zeta} = 2.89$. The applied acceleration is around 1.856 m s^{-2} and is slightly dependent on electrical resistances. The power harvesting circuit is chosen using the standard AC–DC circuit illustrated in figure 3. The values of rectified voltage V_c are measured at the open circuit resonance for various electrical resistances and are marked in figure 8(a) using dark circles \bullet . The corresponding values of harvested power are also plotted against the various electrical resistances in figure 8(b). The optimal electrical resistance can be determined from figure 8(b) and is around $R_{exp}^{opt} \approx 200 \text{ k}\Omega$. The predicted results from the uncoupled, in-phase and analytic solutions are represented by long-dashed, dashed and solid lines in figure 8. As expected, the uncoupled solutions are not able to reflect the electrical performances of the system. The in-phase solutions also overestimate the measured voltage and power, and underestimate the optimal load ($R_{in-phase}^{opt} \approx 88 \text{ k}\Omega$). On the other hand, the analytic solutions are close to the experimental observations and the predicted optimal load is around $R_{analytic}^{opt} \approx 210 \text{ k}\Omega$ which is pretty close to the measured one. The deviations between the experimental results and the analytic solutions are believed to be due to diode loss which has not been incorporated in the current analysis.

4.3. Large $\frac{k_e^2}{\zeta}$

The shift in frequency is significant if either the piezoelectric constant or the contribution of the piezoelectric element to the overall stiffness is large; i.e. k_e^2 is large. In particular, a piezoelectric power generator operated in the {3-3} (longitudinal) mode can have high coupling coefficient k_e^2 approaching $\frac{e_{33}^2}{C_{33}^E e_{33}^2}$ if the piezoelectric element constitutes the whole structure [5]. Besides, if the mechanical damping

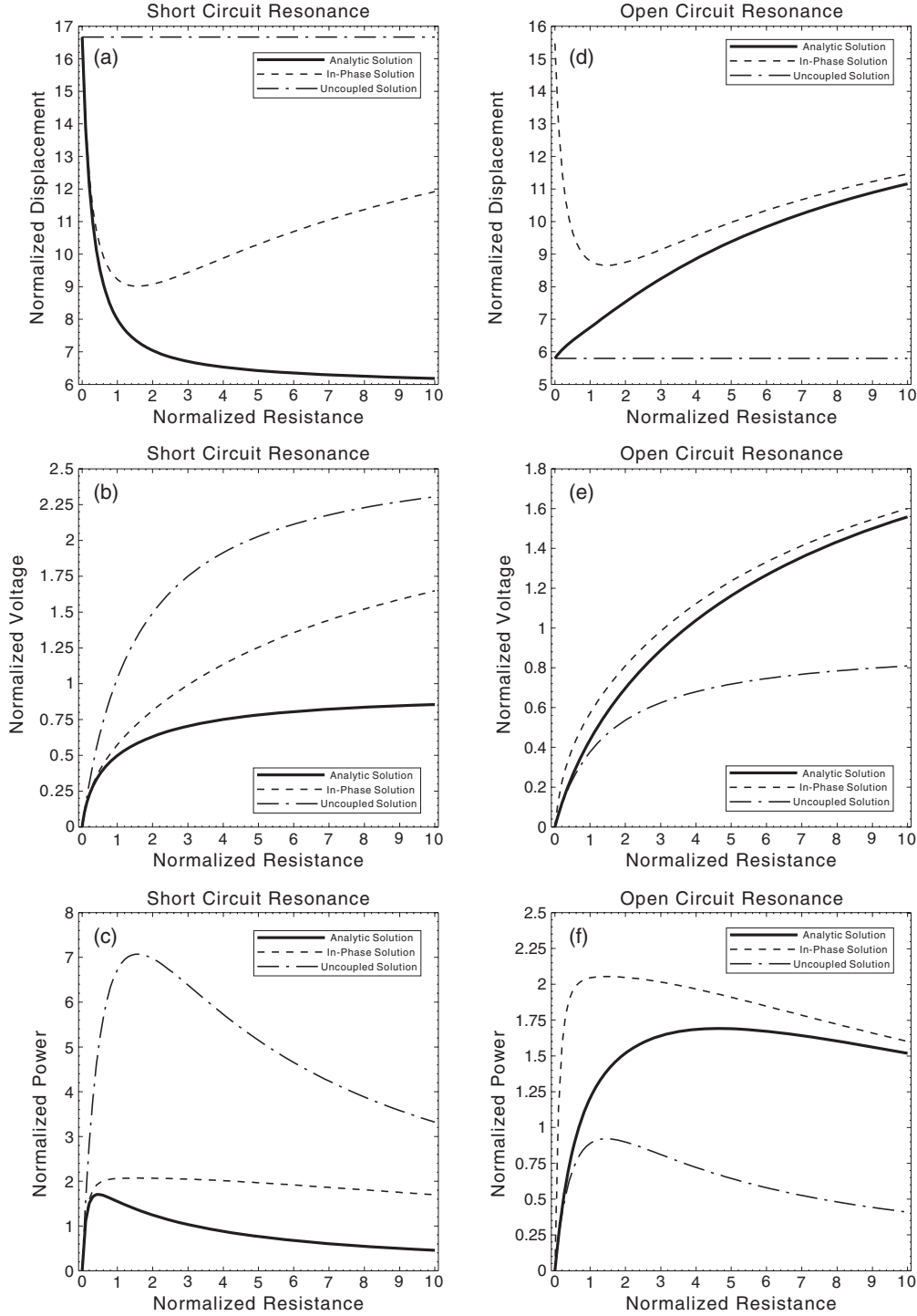


Figure 6. Comparisons for medium $\frac{k_e^2}{\zeta}$. We use $k_e = 0.4$ and $\zeta = 0.03$ ($\frac{k_e^2}{\zeta} = 5.33$): (a)–(c) are the normalized displacement, voltage and power versus normalized resistance operated at Ω_{sc} while (d)–(f) are those operated at Ω_{oc} .

ratio is small, or the factor $\frac{k_e^2}{\zeta}$ is large, the selection of the correct operating frequency is very important for achieving the maximal power. Recently, much experimental effort has been made to fabricate small-scale piezoelectric cantilever beams with interdigitated electrodes on the beam surface to produce the {3-3} mode using various materials [12, 13, 47]. Some of their chosen materials such as PZN-PT and PMN-PT relaxor

ferroelectrics can have even higher piezoelectric constants than conventional PZT. As a result, the shift in resonance is expected to be pronounced due to large k_e^2 in these micro-scale devices, and we study this effect on power harvesting now.

We assume $k_e = 1.14$ and $\zeta = 0.03$. This gives $\Omega_{sc} = 1$, $\Omega_{oc} = 1.52$, $\frac{k_e^2}{\zeta} = 43.3$. As illustrated in section 4.2, results predicted from the uncoupled analysis are

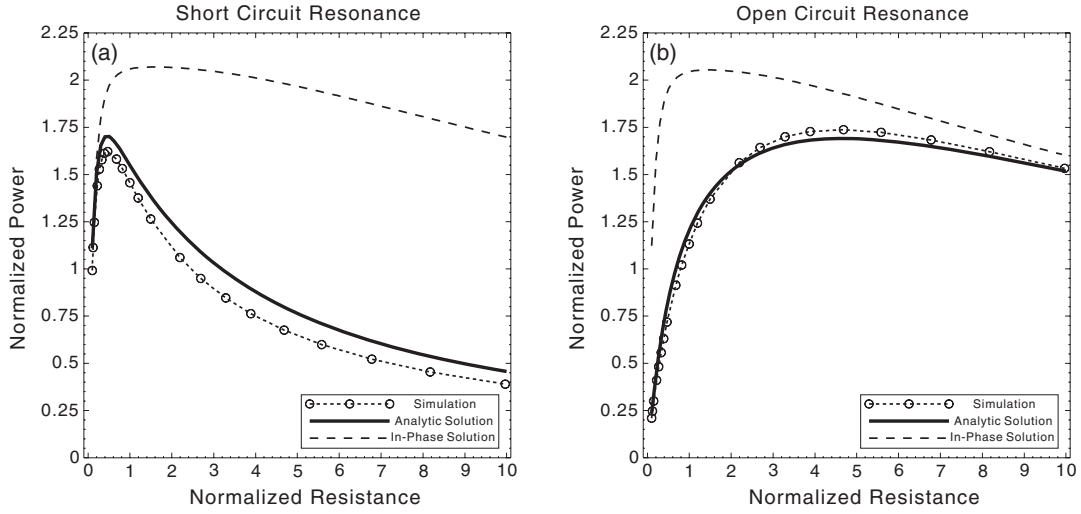


Figure 7. Numerical validation for medium $\frac{k_e^2}{\zeta}$. We use $k_e = 0.4$ and $\zeta = 0.03$ ($\frac{k_e^2}{\zeta} = 5.33$). The normalized power versus normalized resistance is plotted in (a) operated at Ω_{sc} and in (b) operated at Ω_{oc} .

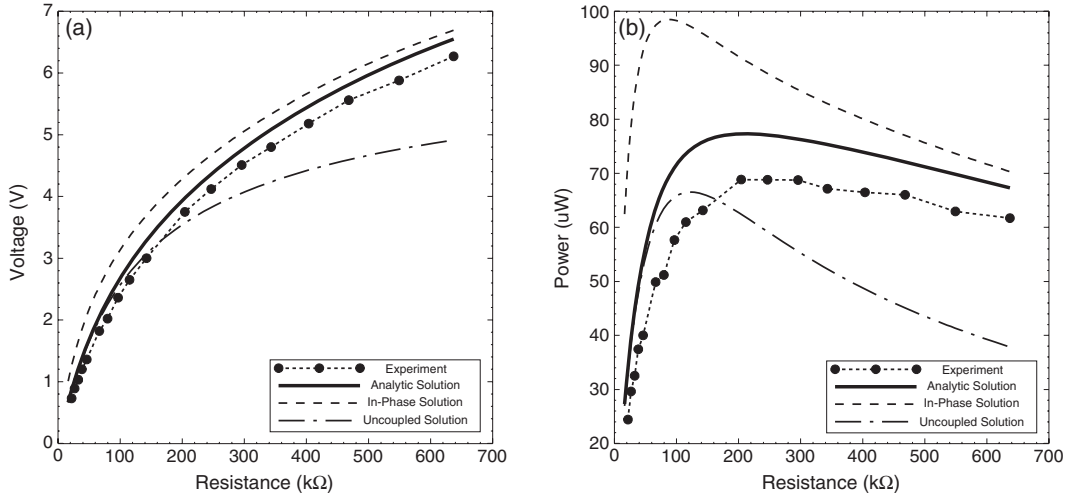


Figure 8. Experimental validation for medium $\frac{k_e^2}{\zeta}$. The structure is excited at its open circuit resonance. The parameters k_e and ζ are measured to be 0.17 and 0.01 ($\frac{k_e^2}{\zeta} = 2.89$). (a) Rectified voltage versus resistance. (b) Harvested power versus resistance.

not realistic if $\frac{k_e^2}{\zeta}$ is not small. We then omit them here. The normalized displacement, voltage and power are plotted against the normalized electric resistance and frequency in figures 9(a)–(c) calculated based on the analytic solutions as well as in figures 9(d)–(f) calculated based on the in-phase solutions. In figure 9(c) we see clearly that the harvested power has two optimal values of equal amount evaluated at two different resistances and frequencies; i.e. \bar{P} is maximized at

$$\begin{aligned} (r_1^{\text{opt}}, \Omega_1^{\text{opt}}) &= (0.062, 1.025), \\ (r_2^{\text{opt}}, \Omega_2^{\text{opt}}) &= (17.299, 1.492). \end{aligned} \quad (60)$$

Note that (60) confirms our theoretical predictions made in section 3.3 which shows that $r_1^{\text{opt}} \ll 1$ and $\Omega_1^{\text{opt}} \approx \Omega_{sc}$ and $r_2^{\text{opt}} \gg 1$ and $\Omega_2^{\text{opt}} \approx \Omega_{oc}$. However, the in-phase approach fails to predict the optimal operating frequencies since there are always two optimal electric loads for *each* applied frequency

as shown in figure 9(f). The effect of the optimal selection of operating frequency in generating the desired properties is neglected in the in-phase analysis, which turns out to be important in the design criterion.

Switching between these two peaks can be achieved by varying the electric loads along the curve $r^{\text{opt}}(\Omega, k_e, \zeta)$ obtained from (31). The implication of this result can be applied to enhancing the efficiency of charging a battery. Indeed, Ottman *et al* [28] have shown that the efficiency of direct charging of a battery without a suitable controller is pretty slow. The main reason for this is that the equivalent electrical resistance of a battery is much smaller than the optimal electrical resistance. Turning the load impedance needs a special power converter [29], which in turn may consume additional extracted energy and make the circuitry unrealistic (see the discussion of [43]). Alternatively, if the piezoelectric generator has a pronounced frequency shift and

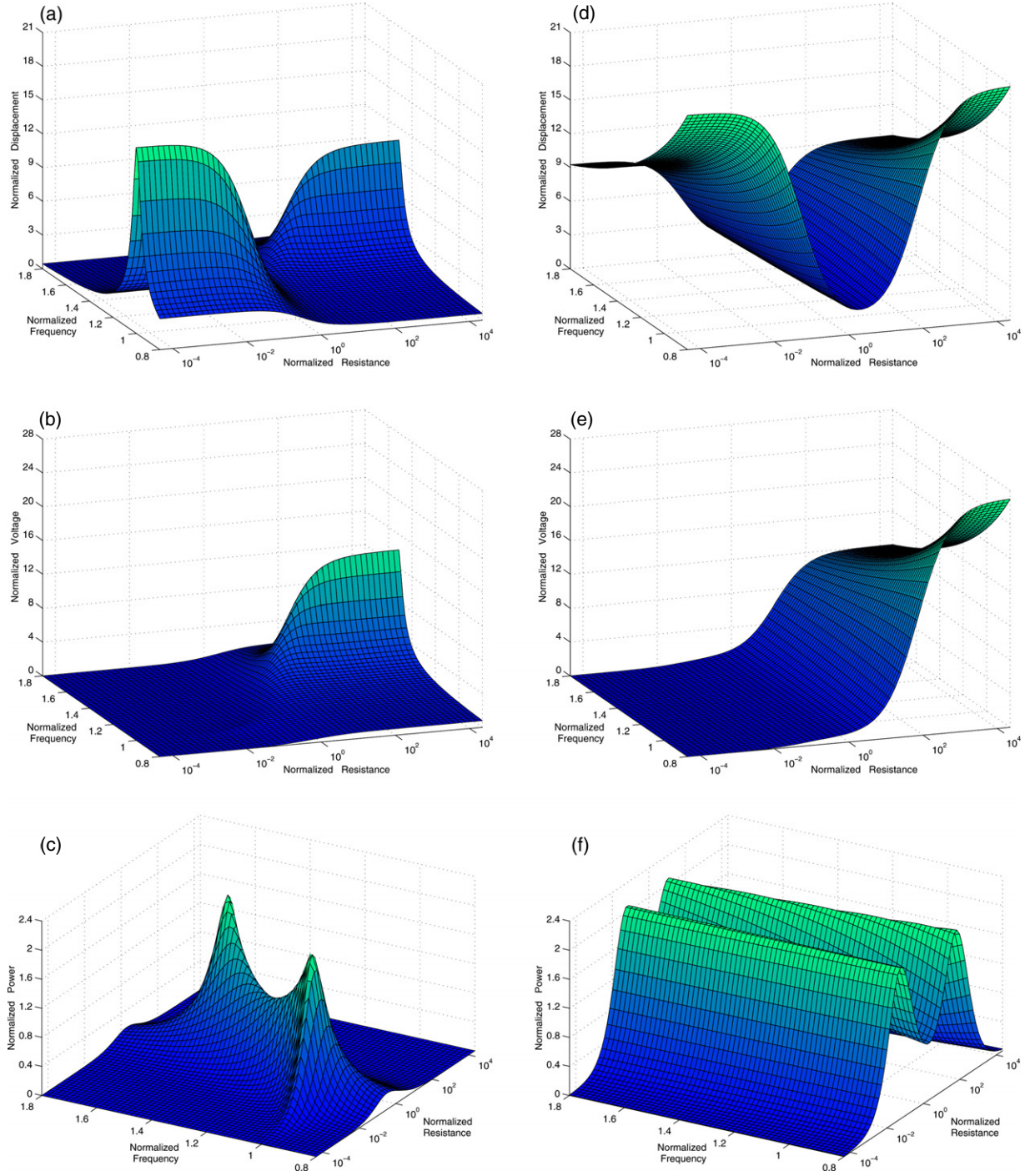


Figure 9. Comparisons for large $\frac{k_e^2}{\zeta}$. We use $k_e = 1.14$ and $\zeta = 0.03$ ($\frac{k_e^2}{\zeta} = 43.3$). The normalized displacement, voltage and power are plotted against the normalized electric resistance and frequency in (a)–(c) calculated based on the analytic solutions as well as in (d)–(f) calculated based on the in-phase solutions.
(This figure is in colour only in the electronic version)

large $\frac{k_e^2}{\zeta}$, the equivalent impedance of a battery can be matched to the optimal load by selecting a suitable operating point close to Ω_{sc} since the harvested power has a peak around there.

Next, unlike the power, the displacement and voltage evaluated at these two optimal conditions (60) differ significantly (compare figures 9(a) and (b) with (c)). The displacement has two hills with one chain concentrated at Ω_{sc} and the other at Ω_{oc} . But unlike the power, it is larger at Ω_{sc}

than at Ω_{oc} since the overall damping of system is higher at the open circuit resonance (see also the predictions in table 1). The advantage of operating at the second peak close to Ω_{oc} is space-saving if the smaller device is preferred.

Finally, figure 9(b) clearly demonstrates that the normalized rectified voltage evaluated at $(r_2^{opt}, \Omega_2^{opt})$ is one order of magnitude higher than that evaluated at $(r_1^{opt}, \Omega_1^{opt})$. It is thus advantageous to operate at the open circuit resonance to

overcome the minimum voltage requirement of the rectifying bridge in the micro-scale device. On the other hand, the steady-state current evaluated at the first peak of power around Ω_{sc} is one order of magnitude higher than that evaluated at the second peak of power around Ω_{oc} , since $P = IV$ and the optimal power is identical at these two peaks. We may apply this result to charging batteries. Indeed, optimizing the power flowing into the battery is equivalent to maximizing the current into it as the battery voltage is essentially constant or only changes slowly. Hence, operating at the short circuit resonance together with the corresponding optimal load could enhance the efficiency of charging the battery directly without adjustable convectors.

5. Conclusions

We study the optimal AC–DC power output for a vibrating piezoelectric generator connected to an energy harvesting circuit. In contrast with estimates proposed by the uncoupled and in-phase approaches [10, 28], we show that the power extraction depends on the input vibration characteristics (frequency and acceleration), the mass of the generator, the electrical load, the natural frequency, the mechanical damping ratio, and the electromechanical coupling coefficient of the system. An expression for average harvested power that incorporates all of these factors is analytically developed by (30). Thus, the scheme to optimize the power either by tuning the electric resistance, selecting suitable operation points, or adjusting the system coupling coefficient by optimal structural design can be guided completely by (30). Further, it is also highly recommended that all these parameters be provided in all future publications to facilitate the relative comparison of various devices.

We compare our approach to others proposed based on the uncoupled and in-phase assumptions. We show that the conventional uncoupled solution is suitable provided that the ratio $\frac{k^2}{\zeta} \ll 1$, while the discrepancies between these distinct approaches become significant when $\frac{k^2}{\zeta}$ increases. Figures 6 and 9 highlight the striking contrast in normalized displacement, voltage and power output calculated based on the uncoupled, in-phase and analytic solutions for non-small ratio $\frac{k^2}{\zeta}$. We perform a series of experiments and numerical simulations to evaluate these approaches. We find our analytic solutions are in good agreement with both experiments and simulations as shown in figures 7 and 8. The in-phase solutions, however, overestimate the measured voltage and power and underestimate the optimal load compared with experimental observations.

We make a particular study of the important case when the shift in device natural frequency is pronounced and the quality factor of the system is large, since this has been neglected by most current optimization schemes, as pointed out by [5]. The effect of this frequency shift is expected to be more pronounced for micro-scale harvesters, since the contribution of piezoelectric elements to the overall structural stiffness is much larger than for bulk generators. In this situation, the harvested power is shown to have two optima evaluated at $(r_1^{\text{opt}}, \Omega_1^{\text{opt}})$ and $(r_2^{\text{opt}}, \Omega_2^{\text{opt}})$, where Ω_1^{opt} is close to Ω_{sc} and the electric load r_1^{opt} is very small, while Ω_2^{opt} is close to Ω_{oc} and

r_2^{opt} is large. Table 1 sheds light on the conspicuous contrast in the normalized displacement, electric resistance, voltage, current and power evaluated at these two power optimal pairs. Finally, for devices with strong electromechanical coupling, several design guidelines including enhancing the efficiency of charging a battery directly are recommended.

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