

# Frequency-dependent regularization arises from a noisy-channel processing model

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## Abstract

Language often has different ways to express the same or similar meanings. Despite this, however, people seem to have preferences for some ways over others. For example, people overwhelmingly prefer *bread and butter* to *butter and bread*. Previous research has demonstrated that these ordering preferences grow stronger with frequency (i.e., frequency-dependent regularization). In this paper we demonstrate that this frequency-dependent regularization can be accounted for by noisy-channel processing models (e.g., Gibson, Bergen, & Piantadosi, 2013; Levy, 2008). We also show that this regularization can only be accounted for if the listener infers more noise than the speaker produces. Finally, we show that the model can account for the language-wide distribution of binomial ordering preferences.

**Keywords:** Frequency-dependent regularization; Noisy-channel processing; Psycholinguistics.

## Introduction

Speakers are often confronted with many different ways to express the same meaning. A customer might ask whether a store sells “radios and televisions”, but they could have just as naturally asked whether the store sells “televisions and radios.” However, despite conveying the same meaning, speakers sometimes have strong preferences for one choice over competing choices (e.g., preference for *men and women* over *women and men*, Benor & Levy, 2006; Morgan & Levy, 2016a). These preferences are driven to some extent by generative preferences (e.g., preference for short words before long words), however they are sometimes violated by idiosyncratic preferences (e.g., *ladies and gentlemen* preferred despite a general men-before-women generative preference, Morgan & Levy, 2016b).

Interestingly, ordering preferences for certain constructions, such as binomial expressions, are often more extreme for higher frequency items (e.g., *bread and butter*). That is, higher-frequency items typically have more polarized preferences (Liu & Morgan, 2020, 2021; Morgan & Levy, 2015, 2016b, 2016a). This phenomenon is called *frequency-dependent regularization*, and while there is evidence of it in several different constructions, it is still unclear what processes this phenomenon is driven by. For example, it could be a consequence of learning processes or a consequence of sentence processing more broadly. In the present paper we examine whether a noisy-channel processing model (Gibson et al., 2013) combined with transmission across generations (Real

& Griffiths, 2009) can account for frequency-dependent regularization.

## Frequency-dependent regularization

Frequency-dependent regularization has been documented for a variety of different constructions in English (Liu & Morgan, 2020, 2021; Morgan & Levy, 2015, 2016b). For example, Morgan & Levy (2015) demonstrated that more frequent binomial expressions (e.g., *bread and butter*) are more strongly regularized (i.e., are preferred in one order overwhelmingly more than the alternative). These ordering preferences are also not simply a result of abstract ordering preferences (e.g., short words before long words, Morgan & Levy, 2016a).

Additionally, Liu & Morgan (2020) demonstrated this effect holds true for the dative alternation in English (e.g., *give the ball to him* vs *give him the ball*). Specifically, they demonstrated higher frequency verbs have more polarized preferences with respect to the dative alternation. Similarly, Liu & Morgan (2021) showed that Adjective-Adjective-Noun orderings also show frequency-dependent regularization. That is, adjective-adjective-Nouns with higher overall frequencies show stronger ordering preferences, even after taking into account generative preferences of adjective orderings.

How does this polarization for high-frequency items arise? One possibility is that it occurs as a consequence of imperfect transmission between generations. For example, as speakers transmit the language from one generation to the next, it is possible that the next generation may infer the probability of each ordering imperfectly. Indeed, Morgan & Levy (2016b) demonstrated this possibility in an iterated-learning paradigm. They showed that frequency-dependent regularization can arise from an interaction between a frequency-independent bias and transmission across generations. Specifically, they used an iterated learning paradigm (following Real & Griffiths, 2009) and demonstrated that by introducing a frequency-independent regularization bias, after several generations the model predicted frequency-dependent regularization. For example, after hearing *bread and butter* 7 times, and *butter and bread* 3 times, the learner might reproduce *bread and butter* 8 times and *butter and bread* 2 times. They argued that frequency-dependent regularization emerges because for low-frequency items, the regularization bias cannot overcome the prior. In other words,

the model posits that while learners of a language are in general very good at learning the statistical patterns in the language (Saffran, Aslin, & Newport, 1996; Yu & Smith, 2007), they may do so imperfectly. Despite the evidence of frequency-dependent regularization, however, it is unclear what process in language is analogous to the frequency-independent regularization bias.

### Noisy-channel Processing

One possibility is that frequency-dependent regularization arises as a product of noisy-channel processing (Gibson et al., 2013). Listeners are confronted with a great deal of noise in the form of perception errors (e.g., a noisy environment) and even production errors (speakers don't always say what they intended to, Gibson et al., 2013). In order to overcome these errors, a processing system must take into account the noise of the system, for example by probabilistically determining whether the perceived utterance was in fact intended by the speaker.

Indeed, there is evidence that our processing system does take noise into account. For example, Ganong (1980) found that people will process a non-word as being a word under noisy conditions. Additionally, Albert Felty, Buchwald, Gruenenfelder, & Pisoni (2013) demonstrated that when listeners do misperceive a word, the word that they believe to have heard tends to be higher frequency than the target word. Further, Keshev & Meltzer-Asscher (2021) found that in Arabic, readers will even process ambiguous subject/object relative clauses as the more frequent interpretation, even if this interpretation compromises subject-verb agreement. These results taken together suggest that misperceptions may sometimes actually be a consequence of noisy-channel processing (though see Ferreira & Patson, 2007 for an alternative account).

Further, people will even process *grammatical* utterances, as a more frequent or plausible interpretation (Christianson, Hollingworth, Halliwell, & Ferreira, 2001; Levy, 2008; Poppels & Levy, 2016; **christian?**), even going so far as to process two interpretations that cannot both be consistent with the original sentence. For example, Christianson et al. (2001) demonstrated that when people read the sentence *While the man hunted the deer ran into the woods*, people will answer in the affirmative for both *Did the man hunt the deer?* and *Did the deer run into the woods?*. Levy (2008) argued that this phenomenon was explained by noisy-channel processing, since a single insertion results in plausible, grammatical constructions for both meanings (*While the man hunted it the deer ran into the woods* vs *While the man hunted the deer it ran into the woods*).

In order to account for findings like these, Gibson et al. (2013) developed a computational model that demonstrated how a system might take into account noise (see Levy, 2008 for a similar approach). Specifically, their model operationalizes noisy-channel processing as a Bayesian process where a listener estimates the probability that their perception matches the speaker's intended utterance. Specifically, this is

operationalized as being proportional to the prior probability of the intended utterance multiplied by the probability of the intended utterance being corrupted to the perceived utterance (See Equation 1):

$$P(S_i|S_p) \propto P(S_i)P(S_i \rightarrow S_p) \quad (1)$$

where  $P(S_i|S_p)$  is the probability that the intended utterance was actually the utterance that was perceived,  $P(S_i)$  is the prior probability of the intended utterance, and  $P(S_i \rightarrow S_p)$  is the probability of the perceived utterance ( $S_p$ ) given the intended utterance ( $S_i$ ). For example, if  $S_p = \text{bread and butter}$ , then in order to infer the probability *bread and butter* being the intended utterance of the speaker ( $P(S_i) = \text{bread and butter}$ ), we multiply the prior probability of *bread and butter*,  $P(S_i)$ , by the probability of the perceived utterance being *bread and butter* given the intended utterance being *bread and butter*,  $P(S_p = \text{bread and butter} | S_i = \text{bread and butter})$ . Another way to conceptualize  $P(S_p = \text{bread and butter} | S_i = \text{bread and butter})$  is 1 - the probability that the speaker said something *other* than *bread and butter*, given that they intended to say *bread and butter*.

Gibson et al. (2013)'s model made a variety of interesting predictions. For example, the model predicted that when people are presented with an implausible sentence (e.g., *the mother gave the candle the daughter*), they should be more likely to interpret the plausible version of the sentence (e.g., *the mother gave the candle to the daughter*) if there is increased noise (e.g., by adding syntactic errors to the filler items, such as a deleted function word). Their model also predicted that increasing the likelihood of implausible events (e.g., by adding more filler items that were implausible, such as *the girl was kicked by the ball*) should increase the rate of implausible interpretations of the sentence. Interestingly both of these results were born out in their experimental data. In a follow up study, Poppels & Levy (2016) further demonstrated that word-exchanges (e.g., *The ball kicked the girl* vs *The girl kicked the ball*) are also taken into account by comprehenders. These results taken together suggest that humans do utilize a noisy-channel system in processing.

### Present Study

Given the evidence of noisy-channel processing, it is possible that the frequency-dependent regularization that Morgan & Levy (2016b) saw is a product of listeners' noisy-channel processing. That is, perhaps when learners hear the phrase *butter and bread*, they think the speaker intended *bread and butter*, and thus also activates *bread and butter* even though they didn't hear it. Further, this may compound over time for high frequency items, but not for low frequency items. Thus, the present study examines whether Gibson et al. (2013)'s noisy-channel processing model can also predict frequency-dependent regularization across generations of language transmission.

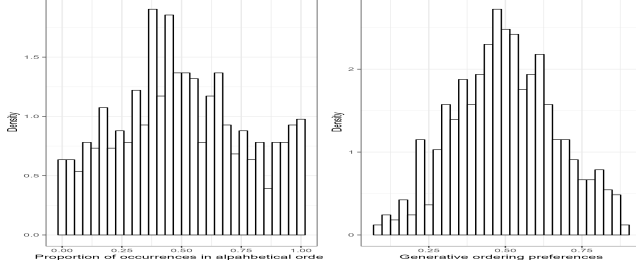


Figure 1: The left plot is a plot of the observed orderings of binomials in the corpus data from Morgan & Levy (2015), the right is the plot of the generative preferences of binomials in the same corpus.

## Dataset

Following Morgan & Levy (2016b), we use Morgan & Levy (2015)’s corpus of 594 binomial expressions. This corpus has been annotated for various phonological, semantic, and lexical constraints that are known to affect binomial ordering preferences. The corpus also includes estimated generative preferences for each binomial, which are the ordering preferences estimated from the above constraints (e.g., preference for short before long). Additionally, it contains the observed binomial orderings preferences (hereafter: observed preferences) which are the proportion of binomial orderings that are in alphabetical order for a given binomial. A visualization of the distribution of observed preferences and compositional preferences is included below in Figure 1.

## Model

Following Morgan & Levy (2016b), we use a 2-alternative iterated learning paradigm. At very step, learners hear  $N$  tokens with some in alphabetical (AandB) and some in nonalphabetical (BandA) order. After hearing a single token, learners compute  $P(S_i = AandB|S_p)$  and update their beliefs about prior probability of the ordering for a given binomial,  $P(S_i)$ . The size of the update is proportional to how probable the learner believes the binomial ordering is.

After hearing a token, learners compute  $P(S_i = AandB|S_p)$  proportional to  $P(S_i) \cdot P(S_i \rightarrow S_p)$ .  $P(S_i \rightarrow S_p)$  is a fixed noise parameter, which we will call  $P(noise)$ .  $P(noise)$  represents the probability of the perceived binomial ordering being swapped by the learner (i.e., AandB being swapped to BandA or vice versa).  $P(S_i)$  represents the learner’s belief of the probability of the intended order of the binomial.

To initialize  $P(S_i)$  before the learner hears any data, we used the mean and concentration parametrization of the beta distribution. The mean ( $\mu$ ) represents the expectation of the distribution (the mean value of draws from the distribution). The concentration parameter ( $\nu$ ) describes how dense the distribution is.

Before the learner hears any data,  $\mu$  is equal to the generative preference for the binomial (taken from Morgan & Levy, 2016b).  $\nu$  is a free parameter, set to 10 for all simulations in

this paper.<sup>1</sup>

We then use  $P(S_i)$  and  $P(noise)$  to compute  $P(S_i|S_p)$ . If the perceived binomial is alphabetical (AandB), we compute the unnormalized probability of the alphabetical and nonalphabetical orderings according to the below equations.

$$P_{raw}(S_i = AandB|S_p = AandB) = P(S_i = AandB) \cdot (1 - P(noise)) \quad (2)$$

$$P_{raw}(S_i = BandA|AandB) = 1 - P(S_i = AandB) \cdot P(noise) \quad (3)$$

In particular,  $P(S_i = AandB) = \mu$ , where  $\mu = \alpha_1 / (\alpha_1 + \alpha_2)$  in the pseudocount parametrization. In fact, for updating we use the pseudocount parametrization, where  $\alpha_1 = \mu \cdot \nu$  and  $\alpha_2 = 1 - \mu \cdot \nu$ .

After calculating the unnormalized (raw) probabilities, they are then normalized:

$$\hat{P}(\alpha) = \frac{P_{raw}(S_i = AandB|S_p = AandB)}{P_{raw}(S_i = AandB|S_p = AandB) + P_{raw}(S_i = BandA|S_p = AandB)} \quad (4)$$

$$\hat{P}(-\alpha) = 1 - \hat{P}(\alpha) \quad (5)$$

We then update  $\alpha'_1$  and  $\alpha'_2$  to be used as the pseudocount parameters of  $P(S_i)$  when the learner hears the next token. This update is done according to the following equation (note that for the update we use the pseudo count parametrization):

$$\alpha'_1 = \alpha_1 + \hat{P}(\alpha) \quad (6)$$

$$\alpha'_2 = \alpha_2 + \hat{P}(-\alpha) \quad (7)$$

When the learner hears the next token, they use  $\alpha'_1$  and  $\alpha'_2$  to compute  $P(S_i)$ . Note that when learner hear AandB, they update their beliefs about the probability of both the alphabetical and nonalphabetical forms of the binomial.

When the learner is done hearing  $N$  tokens and updating their beliefs of  $P(S_i)$  for a given binomial, they then produce  $N$  tokens for the next generation of learners. These are generated binomially, where  $\theta_1 = P(S_i = AandB)$  is the inferred probability of the alphabetical form of a given binomial:

$$P(x_1|\theta_1) = \binom{N}{x_1} \theta_1^{x_1} (1 - \theta_1)^{N-x_1} \quad (8)$$

When producing each token, there is also a possibility that the speaker makes an error and produces an unintended ordering of the binomial. In order to model this, the speaker produces a token in the unintended order with probability  $P(SpeakerNoise)$ . This is a fixed parameter in the model and remains constant across binomials and generations.

This process continues iteratively for  $ngen$  generations.

<sup>1</sup>Changing  $\nu$  does not qualitatively change the pattern of the results for any simulations in the paper, as long as it’s greater than 2.

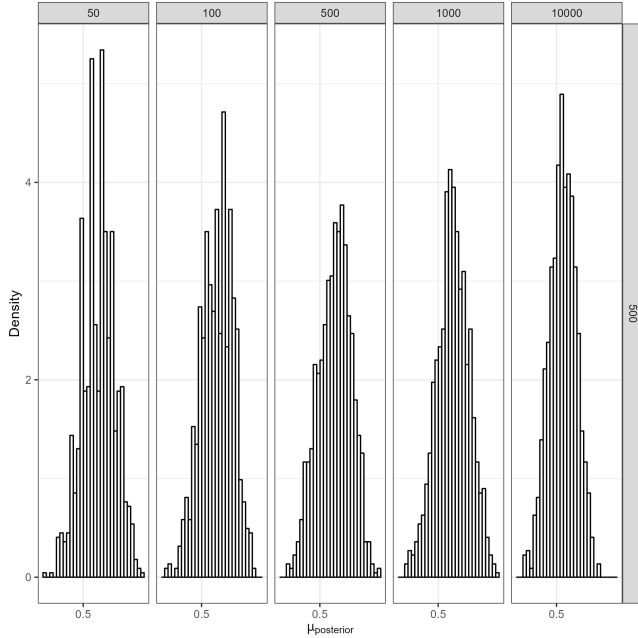


Figure 2: A plot of the distribution of simulated binomials at the 500th generation, varying in frequency. The top value represents  $N$ . On the x-axis is the predicted probability of producing the binomial in alphabetical form. On the y-axis is probability density. Speaker and listener noise was set to 0. The generative preference was 0.6, and  $\nu$  was set to 10. 1000 chains were run. Note that there is no frequency-dependent regularization apparent.

## Results

### Speaker vs Listener Noise

First we demonstrate that frequency-dependent regularization does not arise when there is no listener or speaker noise.<sup>2</sup> Instead we see convergence to the prior, which is expected. That is, Griffiths & Kalish (2007) demonstrated that when learners sample from the posterior, as the number of iterations increases, the stationary distribution converges to the prior. In other words, without any noise, each generation of learners produces data that is more and more similar to the prior, until convergence is reached (Figure 2).

However, when we introduce noise (Figure 3), we see that the model can predict frequency-dependent regularization across generations.

Further, the disparity of the noise affects the rate of regularization. Increased noise results in weaker regularization (i.e., less regularization for lower frequency items, see Figure 5), however a larger relative difference between the speaker and listener noise parameters increases both the strength and the speed of the regularization (see Figure 4).

Interestingly this regularization disappears if the listener's

<sup>2</sup>All code and results can be found publicly available here: <https://github.com/znoughton/Noisy-Channel-Iterated-Learning>

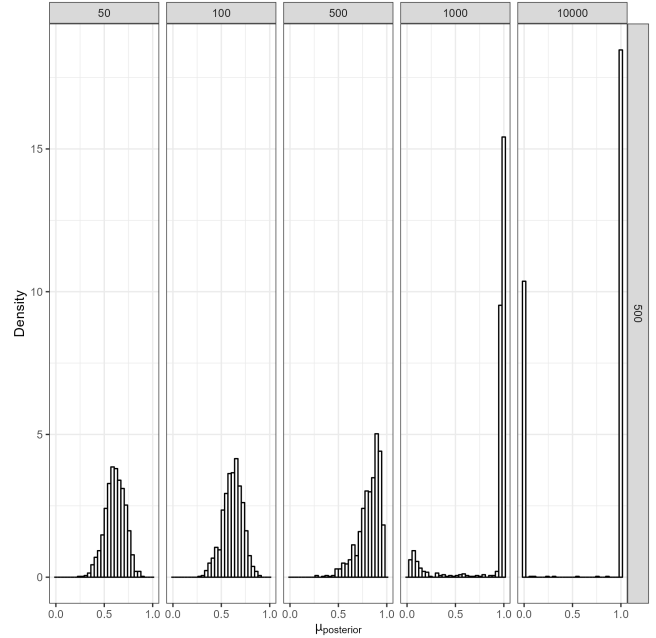


Figure 3: A plot of the distribution of simulated binomials at the 500th generation, varying in frequency. The top value represents  $N$ . On the x-axis is the predicted probability of producing the binomial in alphabetical form. On the y-axis is probability density. Speaker noise was set to 0.001, listener noise was set to 0.01, the generative preference was 0.6, and  $\nu$  was set to 10. 1000 chains were run. Note how for the binomials with large  $N$ , the ordering preferences tend to be more extreme.

noise parameter is less than or equal to the speaker's noise parameter (Figure 6).

It is useful to revisit here what the speaker and listener noise parameters represent. The speaker noise parameter is how often the speaker produces an error and the listener noise parameter is the listeners' belief of how noisy the environment is. Framed this way, it is perhaps unsurprising that we do not see regularization when the parameters equal each other, since they essentially cancel each other out (everytime a speaker makes an error, the listener is accounting for it, thus we get convergence to the prior).

Thus our model makes a novel prediction: In order to account for frequency-dependent regularization, listeners must be inferring more noise than speakers are actually producing (according to our model).

### Corpus Data

Finally, we now demonstrate that our model also predicts the language-wide distribution of binomial preference strengths seen in the corpus data. Specifically, we show that with  $\nu$  set to 10, listener noise set to 0.02, and speaker noise set to 0.005, our model does a pretty good job of approximating the distribution in the corpus data (See Figure 7).

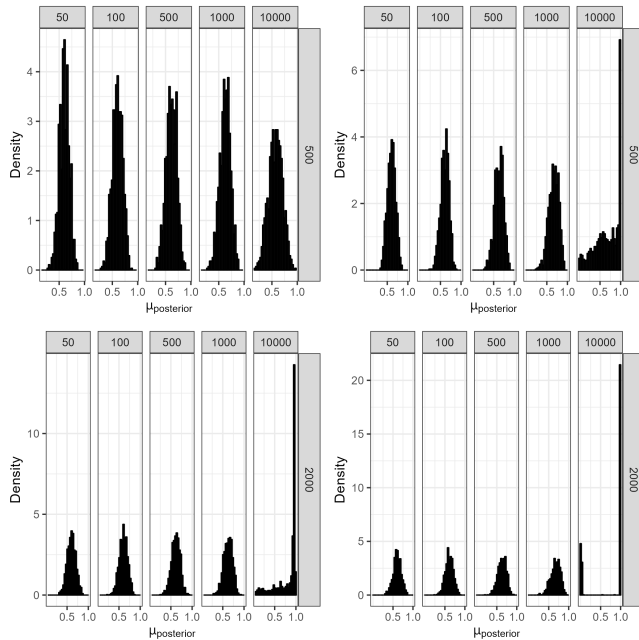


Figure 4: A plot of simulations with different noise parameters at 500 (top plots) and 2000 (bottom plots) generations. For the left plots, the speaker noise was set to 0.009 and the listener noise parameter was set to 0.01. For the right plots, the speaker noise was set to 0.0075 and the listener noise parameter was set to 0.01. For both plots, the generative preference was set to 0.6 and  $\nu$  was set to 10.

## Conclusion

Our results demonstrate the frequency-dependent regularization emerges from a noisy-channel processing model (Gibson et al., 2013) in an iterative-learning paradigm (Morgan & Levy, 2016b; Real & Griffiths, 2009) when listeners assume more noise in the environment than the speakers actually produce.

Further our results suggest that in order to account for frequency-dependent regularization, listeners are encountering more noise than speakers are producing. An interesting avenue for future research is whether this prediction is born out in experimental work.

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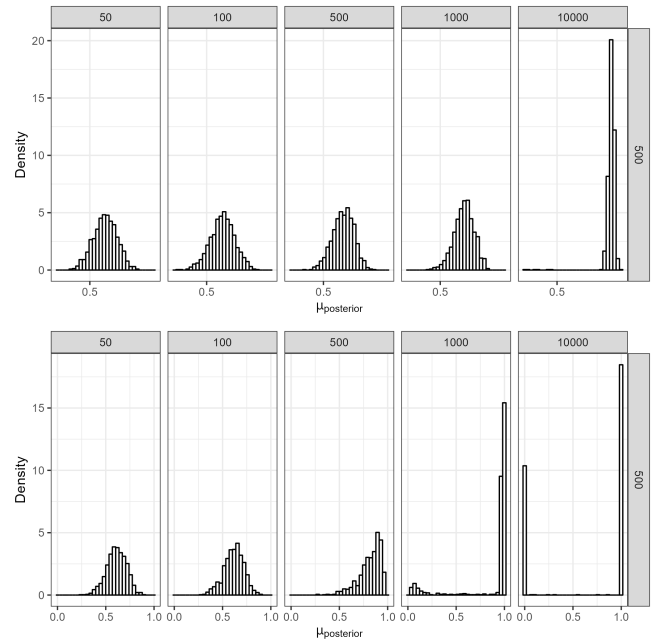


Figure 5: A plot of simulations with different noise parameters, but the same relative difference between the speaker and listener noise parameters. The top plot For the top plot, the speaker noise was set to 0.091 and listener noise was set to 0.1. For the bottom plot, the speaker noise was set to 0.001 and listener noise was set to 0.01. Note that the relative difference between the listener and speaker noise parameters for both plots was the same (0.009).

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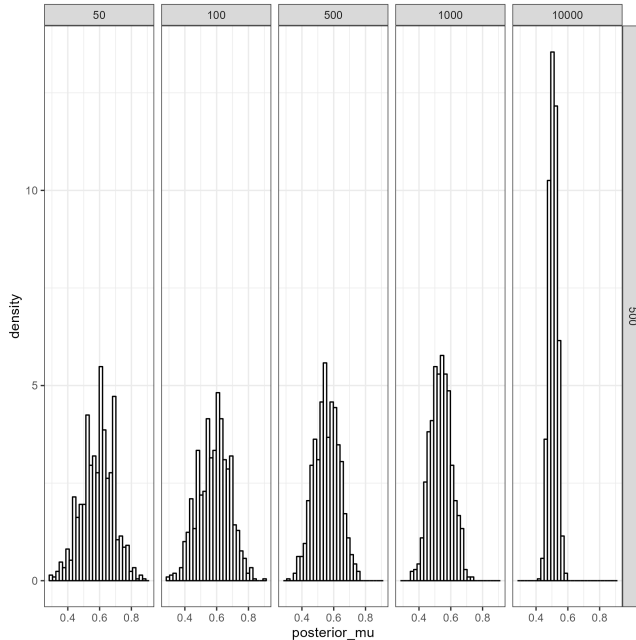


Figure 6: A plot of the distribution of simulated binomials at the 500th generation, varying in frequency. The top value represents  $N$ . On the x-axis is the predicted probability of producing the binomial in alphabetical form. On the y-axis is probability density. Speaker noise was set to 0.01, listener noise was set to 0.001, the generative preference was 0.6, and  $\nu$  was set to 10. 1000 chains were run. Note how regularization does not appear to be present in this graph.

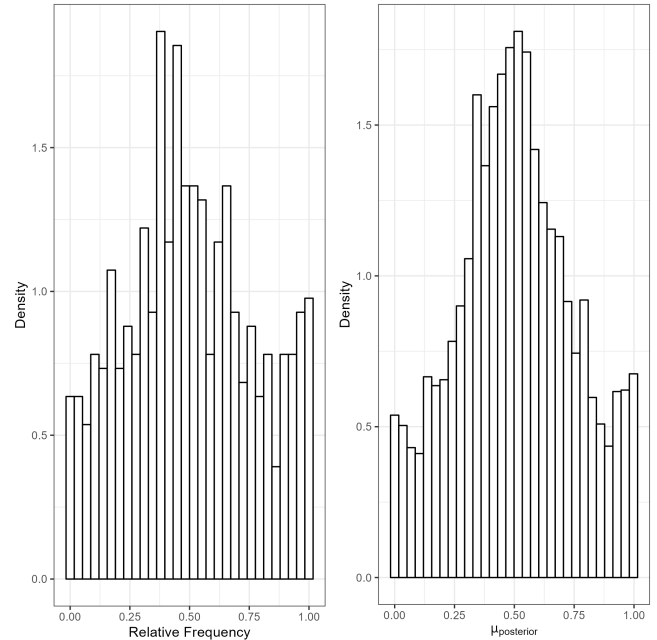


Figure 7: A plot of the distribution of ordering preferences after 500 generations of our iterated learning model (left) and the distribution of ordering preferences in the corpus data from Morgan & Levy (2015). For our simulations, the binomial frequencies and generative preferences were matched with the corpus data.  $u$  was set to 10, listener noise was set to 0.02, and speaker noise was set to 0.005.

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