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# **Deterministic Finite Automata**

## **(Part 3)**

**Lecture 08**  
**Day 08/31**

**CS 154**  
**Formal Languages and Computability**  
**Spring 2018**



# Agenda of Day 08

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- Solution and Feedback of HW 1
- Solution and Feedback of Quiz 2
- ~~Summary of Lecture 07~~
- Lecture 08: Teaching ...
  - Deterministic Finite Automata (Part 3)

# Solution and Feedback of HW 1 (Out of 30)



Metrics	Section 1	Section 2	Section 3
Average	22	25	25
High Score	28	30	30
Low Score	14	18	10

# Solution and Feedback of Quiz 2 (Out of 27)



Metrics	Section 1	Section 2	Section 3
Average	22	21	21
High Score	25	26	25
Low Score	17	16	14

# Definitions

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# Formal Definition of DFAs

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- Here is the **formal (mathematical)** definition of DFAs:
- A DFA  $M$  is defined by the **quintuple (5-tuple)**:

$$M = (Q, \Sigma, \delta, q_0, F)$$

- Where:
  - $Q$  is a **finite and nonempty set of states** of the transition graph.
  - $\Sigma$  is a **finite and nonempty set of symbols** called **input alphabet**.
  - $\delta$  is called **transition function** and is defined as:

$$\delta: Q \times \Sigma \rightarrow Q$$

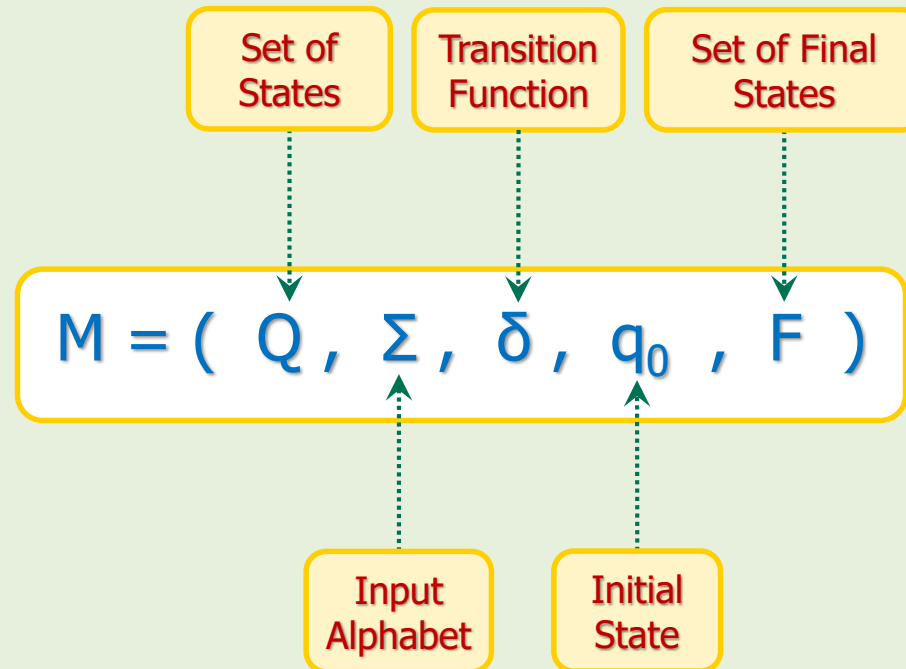


$\delta$  must be total function. Why?

- $q_0 \in Q$  is the **initial state** of the transition graph.
- $F \subseteq Q$  is the set of **accepting states** of the transition graph.

# Formal Definition of DFAs

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# Transition Function $\delta : Q \times \Sigma \rightarrow Q$

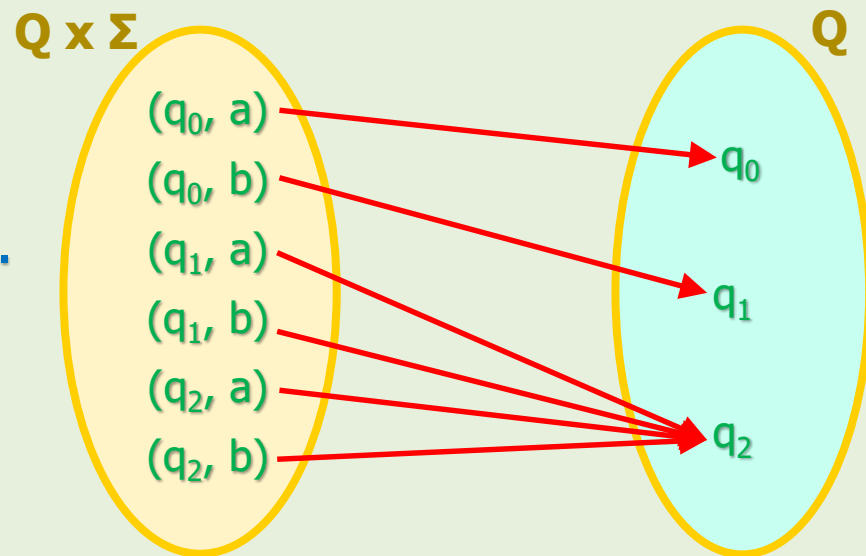
## Example 22

- Let  $Q = \{q_0, q_1, q_2\}$ ,  $\Sigma = \{a, b\}$  ; Domain = ? , Range = ?
- Domain:**  $Q \times \Sigma = \{(q_0, a), (q_0, b), (q_1, a), (q_1, b), (q_2, a), (q_2, b)\}$ 
  - Note that the domain contains all possible combination of states and alphabet.

- Range:**  $Q = \{q_0, q_1, q_2\}$
- Rule:** Let's assume that this figure is the rule of the function.



- Is this a "total function" or "partial function"? Why?

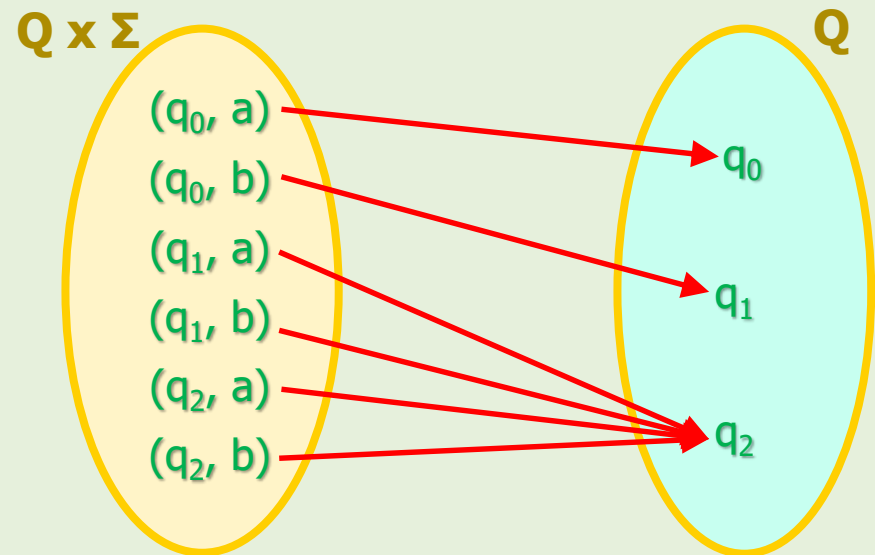


# Transition Function $\delta : Q \times \Sigma \rightarrow Q$

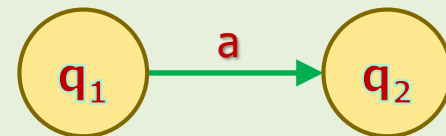
## Example 22 (cont'd)

- Write the rule of  $\delta$  in algebraic notation.

$$\delta: \begin{cases} \delta(q_0, a) = q_0 \\ \delta(q_0, b) = q_1 \\ \delta(q_1, a) = q_2 \\ \delta(q_1, b) = q_2 \\ \delta(q_2, a) = q_2 \\ \delta(q_2, b) = q_2 \end{cases}$$



- How do we show a sub-rule like  $\delta(q_1, a) = q_2$  in transition graph?



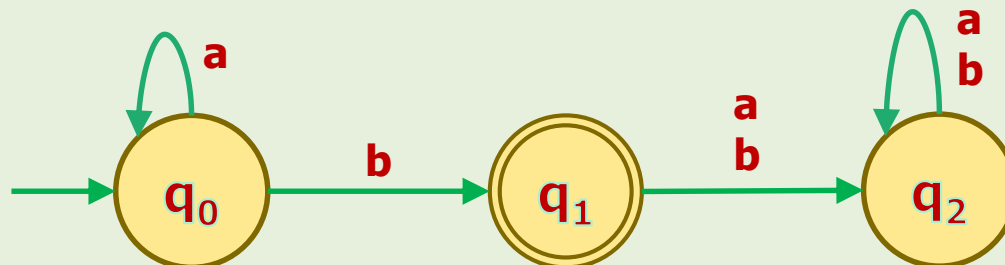
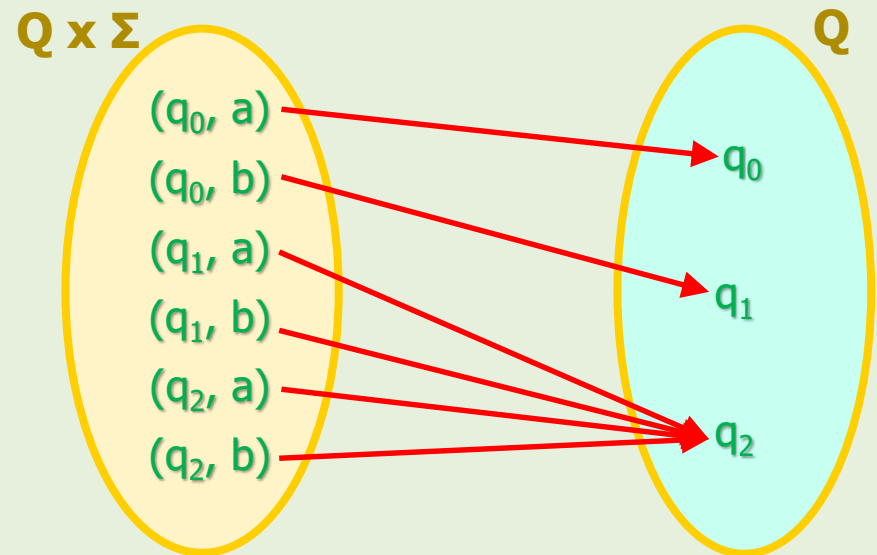
- So, every sub-rule is a transition in transition graph.

# Draw the Transition Graph from DFA Definition

## Example 22 (cont'd)

$$\delta: \begin{cases} \delta(q_0, a) = q_0 \\ \delta(q_0, b) = q_1 \\ \delta(q_1, a) = q_2 \\ \delta(q_1, b) = q_2 \\ \delta(q_2, a) = q_2 \\ \delta(q_2, b) = q_2 \end{cases}, \Sigma = \{a, b\}$$

- Initial state =  $q_0$   
Final state =  $\{q_1\}$
- Draw the transition graph.





# Formal Definition and Transition Graph

## Homework

- Draw a **transition graph** for the DFA M defined as:

- $Q = \{q_0, q_1, q_2, q_3\}$

- $\Sigma = \{a, b\}$

$$\delta: \begin{cases} \delta(q_0, a) = q_1 \\ \delta(q_0, b) = q_3 \\ \delta(q_1, a) = q_3 \\ \delta(q_1, b) = q_2 \\ \delta(q_2, a) = q_2 \\ \delta(q_2, b) = q_2 \\ \delta(q_3, a) = q_3 \\ \delta(q_3, b) = q_3 \end{cases}$$

- Initial state =  $q_0$

- $F = \{q_2\}$



# Homework

- Draw a transition graph for

$$M = (\{q_0, q_1, q_2\}, \{0,1\}, \delta, q_0, \{q_1\})$$

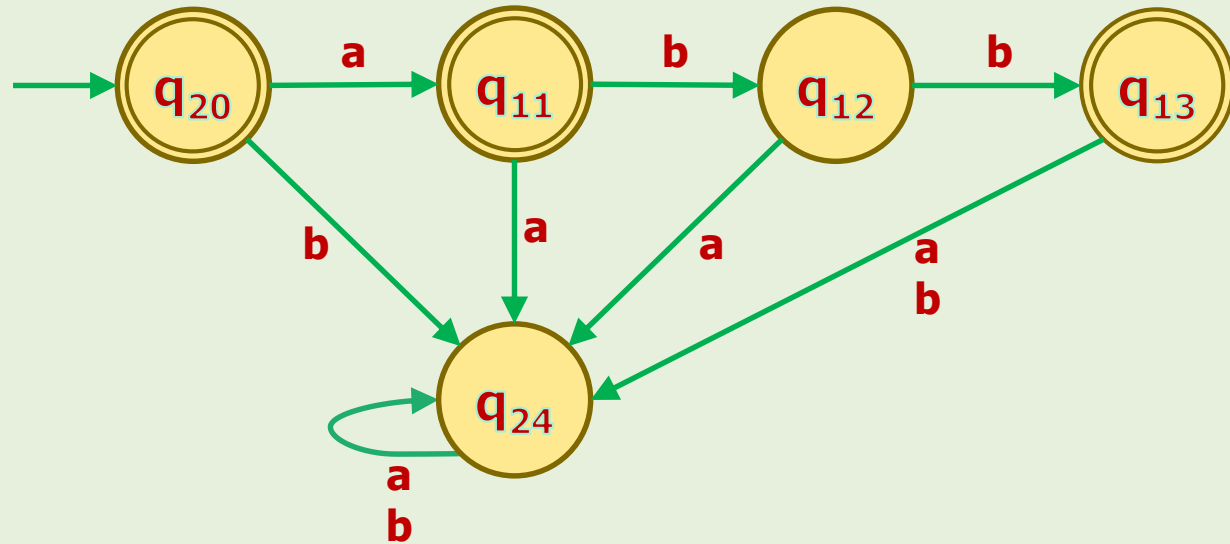
$$\delta: \begin{cases} \delta(q_0, 0) = q_0 \\ \delta(q_1, 0) = q_0 \\ \delta(q_2, 0) = q_2 \\ \delta(q_0, 1) = q_1 \\ \delta(q_1, 1) = q_2 \\ \delta(q_2, 1) = q_1 \end{cases}$$

- Which strings from the following set are accepted?  
 $\{01, 00, 101, 0111, 11001, 100, 1100\}$



# Homework

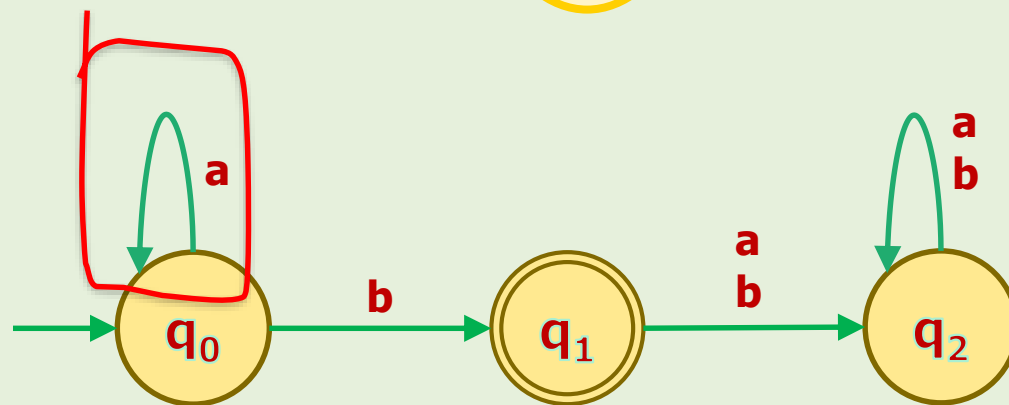
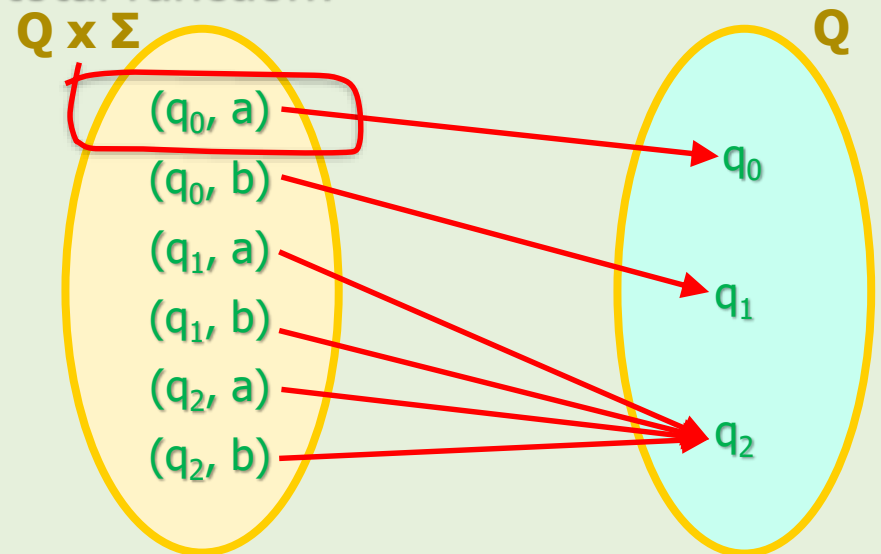
- Write **all elements** of the following transition graph.
- $Q = ?$
- $\Sigma = ?$
- $\delta = ?$
- $q_0 = ?$
- $F = ?$



# Why Total Function

## Example 22 (cont'd)

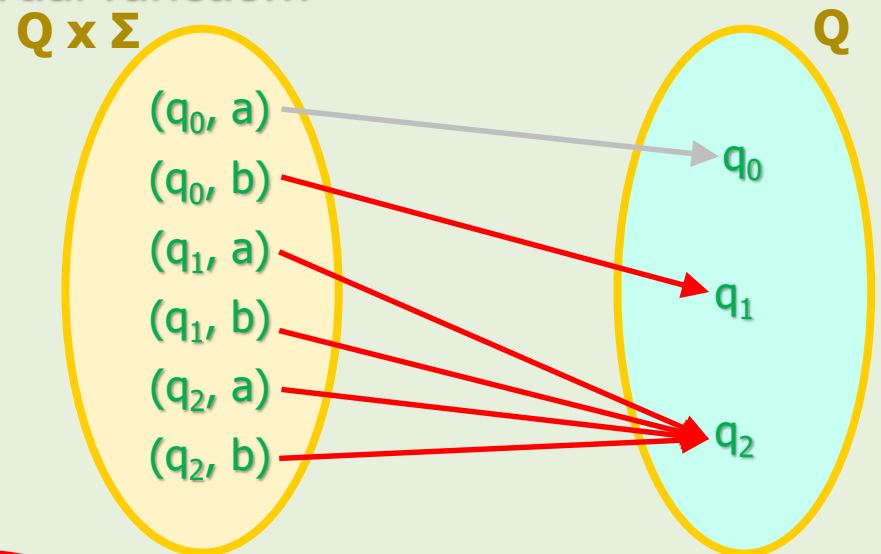
- What would happen if  $\delta$  is NOT total function?
- Then at least one member of domain is undefined!
- To see the effect, let's modify  $\delta$  by making  $(q_0, a)$  undefined.



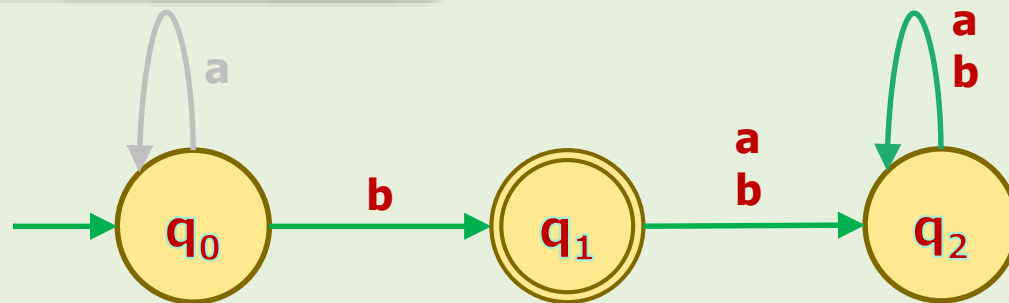
# Why Total Function

## Example 22 (cont'd)

- What is the **effect** of  $\delta$  being **partial function**?
- If the DFA is in  $q_0$  and the input is  $a$ , **it does not know where to go!**
- It **"hangs"**!
- So,  $\delta$  must be total function.



The **gray items** don't exist!





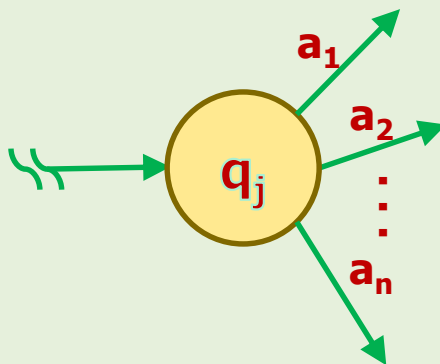
# Total Function from Different Angle

- So, DFAs must know where to go at any moment of their operation.

- This means, in general:



- If  $\Sigma = \{a_1, a_2, \dots, a_n\}$  is the alphabet of a DFA, then ...
- ... every state  $q_j$ , must have an outgoing transition  $a_k$  for  $k = 1, 2, \dots, n$ .



## Conclusion



- $\delta$  being total function is "DFAs' constraint".

# Transition Table

- To represent a transition function, we can also use a table called "transition table".

## Example 23

- Represent the following transition function by using a transition table.

$$\delta: \begin{cases} \delta(q_0, a) = q_0 \\ \delta(q_1, a) = q_0 \\ \delta(q_2, a) = q_2 \\ \delta(q_0, b) = q_1 \\ \delta(q_1, b) = q_2 \\ \delta(q_2, b) = q_1 \end{cases}$$

		Alphabet	
		$\delta$	
States	$q_0$	$q_0$	$q_1$
	$q_1$	$q_0$	$q_2$
	$q_2$	$q_2$	$q_1$
		Range	



## Associated Language to DFAs

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- Every DFA accepts a set of strings.
  - Of course the set can be empty!
- A set of string is called a language.
- Therefore, every DFA accepts a language.

### Definition

- The associated language to a DFA is the set of all strings that it accepts.
- The associated language  $L$  to the machine  $M$  is denoted by  $L(M)$ .
- Note that this definition can be extended to all types of automata.



# Equivalency of Machines



- When are two machines  $M_1$  and  $M_2$  equivalent?

## Definition

- Machine  $M_1$  is equivalent to machine  $M_2$  iff  $L(M_1) = L(M_2)$ .
  - $M_1$  and  $M_2$  are equivalent iff their associated languages are equal.
- Note that this is also a general definition for all types of automata.
- What is wrong with the following definition?  
Two machines are equivalent iff both accept the same language.





# What is Computation?

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- A machine during its operation **transits** (aka **moves**) from one configuration to another.
- Ultimately, when the machine **halts**, it **accepts** or **rejects** a string.
- This **sequence of configurations** is called "**computation**".

## Definition of Computation



- "**Computation**" is the **sequence of configurations** from when the machine starts until it **halts**.

# What is Determinism?

## Etymology



- Merriam-Webster dictionary defines "determinism" as:
  - "the belief that all events are caused by things that happened before them and that people have no real ability to make choices or control what happens"
- This is a philosophical definition.
- If something is deterministic, then it will happen (with 100% certainty) and there won't be any other choices.
- Let's see what does it mean in computer science world.



# What is Determinism?

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## Is DFAs' behavior **predictable**?

- You (an observer) are given a known DFA's configuration at timeframe  $n$ .
- Can you predict its configuration at timeframe  $n+1$ ?
  - Yes, we (an observer) can predict its behavior with 100% certainty.
  - Because during any timeframe, there is one and only one transition.



# What is Determinism?



## Definition



- A machine is called **deterministic** iff during any timeframe, there is **NO MORE THAN ONE** transition.
- This means, the number of transitions can be zero or one.
- In DFAs, it is always one but in other machines, it can be zero as well.
  - Will be covered later.



- How can this definition be **violated**?



# References

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3. Michael Sipser, "Introduction to the Theory of Computation, 3<sup>rd</sup> ed.," CENGAGE Learning, United States, 2013  
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