SWEN430 - Compiler Engineering

Lecture 1 - Introduction

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with thanks to David Pearce

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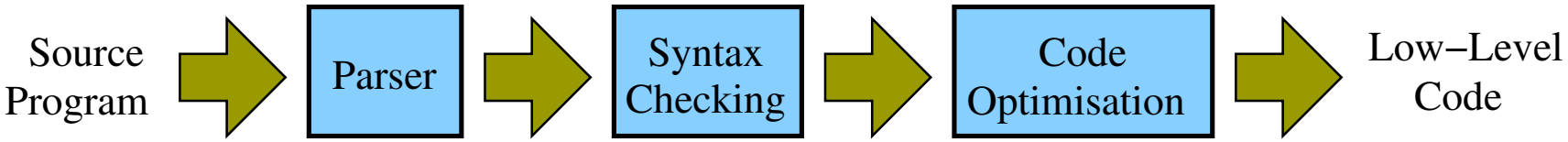
What is a Compiler?



- Compilers translate **source programs** into **low-level code**
  - recognise program structure
  - check for certain errors (e.g. syntax errors, type errors)
  - optimise the program where possible
  - generate “low-level” code (VM code or machine code)
- Examples (all subject to active research and improvement):
  - Javac (translates Java into Java bytecode)
  - Microsoft Visual C#/C++/F#/VB (translates into .NET IL)
  - GCC (e.g. translates C/C++ into x86)
  - GHC (translates Haskell into x86)

Compiling Java

Test.java

```
class Test {
    public static void main(String[] args) {
        System.out.println("Hello World"); } }
```

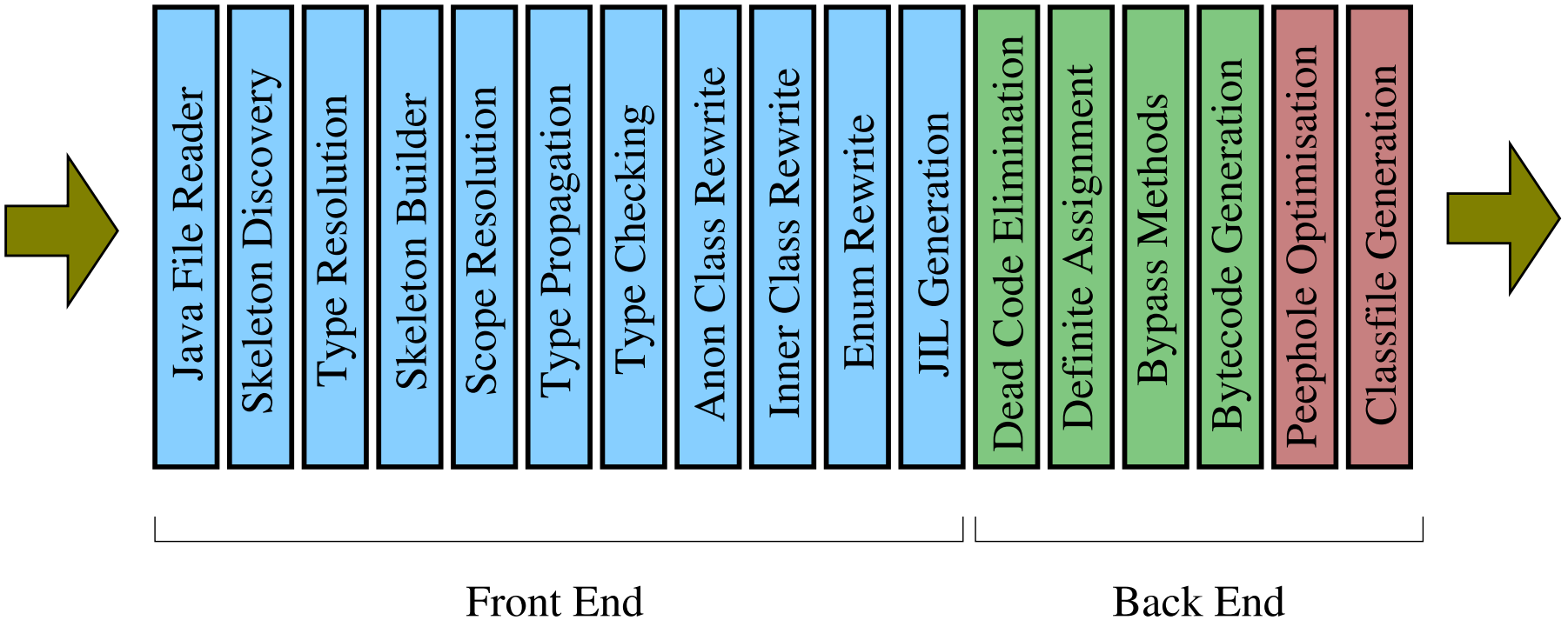
javap -verbose Test

```
Compiled from "Test.java"
class Test extends java.lang.Object
...
public static void main(java.lang.String[]);
 Code:
 Stack=2, Locals=1, Args_size=1
 0: getstatic     #2; //Field java/lang/System.out
 3: ldc           #3; //String Hello World
 5: invokevirtual #4; //Meth java/io/PrintStream.println
 8: return
}
```

Compiling Java

- Java Language Specification:
  - Details what is **syntactically correct** Java code
  - Details how Java code **should execute**
  - `http://docs.oracle.com/javase/specs/jls/se7/html/index.html`
- Java Virtual Machine Specification:
  - Details what is **syntactically correct** Java Classfile
  - Details how Java bytecodes **should be executed**
  - `http://docs.oracle.com/javase/specs/jvms/se7/html/index.html`

JKit Java Compiler



- Previously developed at VUW by David J. Pearce
- Used for research, teaching and fun!
- Currently has **90 classes** ($\sim$79 KLOC) and **287 JUnit tests**

Compiling Whiley

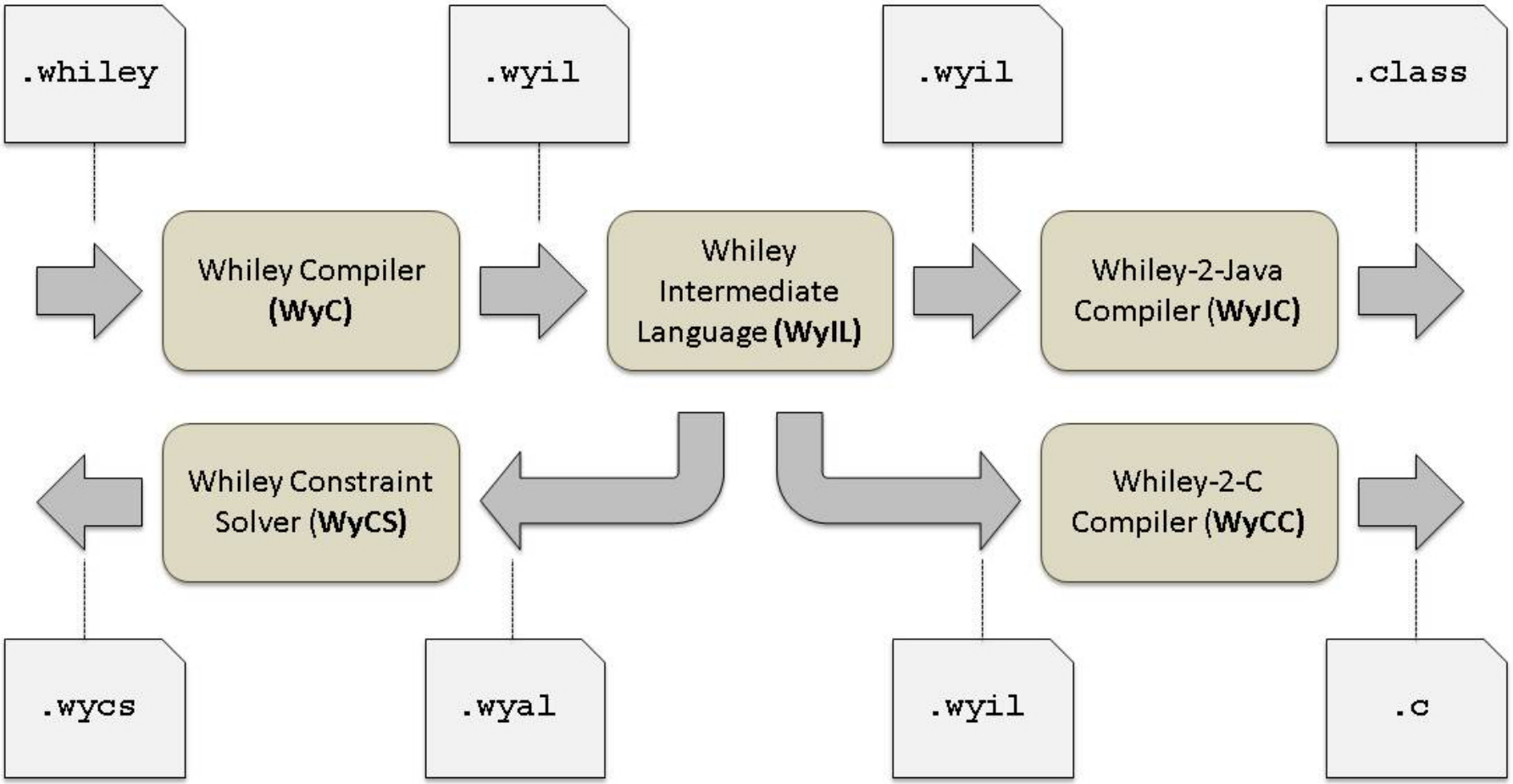
test.whiley

```
type nat is (int n) where n >= 0

function sum(nat[] xs) → nat:
    int r = 0
    int i = 0
    while i < |xs| where i >= 0 && r >= 0:
        r = r + xs[i]
    return r
```

- Currently developed at VUW by David J. Pearce
- Currently, **106 KLOC**, spread over **270 classes**
- See: `http://whiley.org`, `http://www.ohloh.net/p/whiley`

Compiling Whiley



Other PL/compiler design projects at VUW

- Wyvern
  - Collaboration between CMU (Jonathan Aldrich) and VUW (Alex Potanin)
  - `http://www.cs.cmu.edu/~aldrich/securemobileweb/spec-rationale.html`
  - `https://github.com/wyvernlang`
- Grace
  - Collaboration between VUW (James Noble, Michael Homer), Portland State (Andrew Black) and Pomona College (Kim Bruce)
  - `http://gracelang.org/`

The While Language — simplified version of Whiley

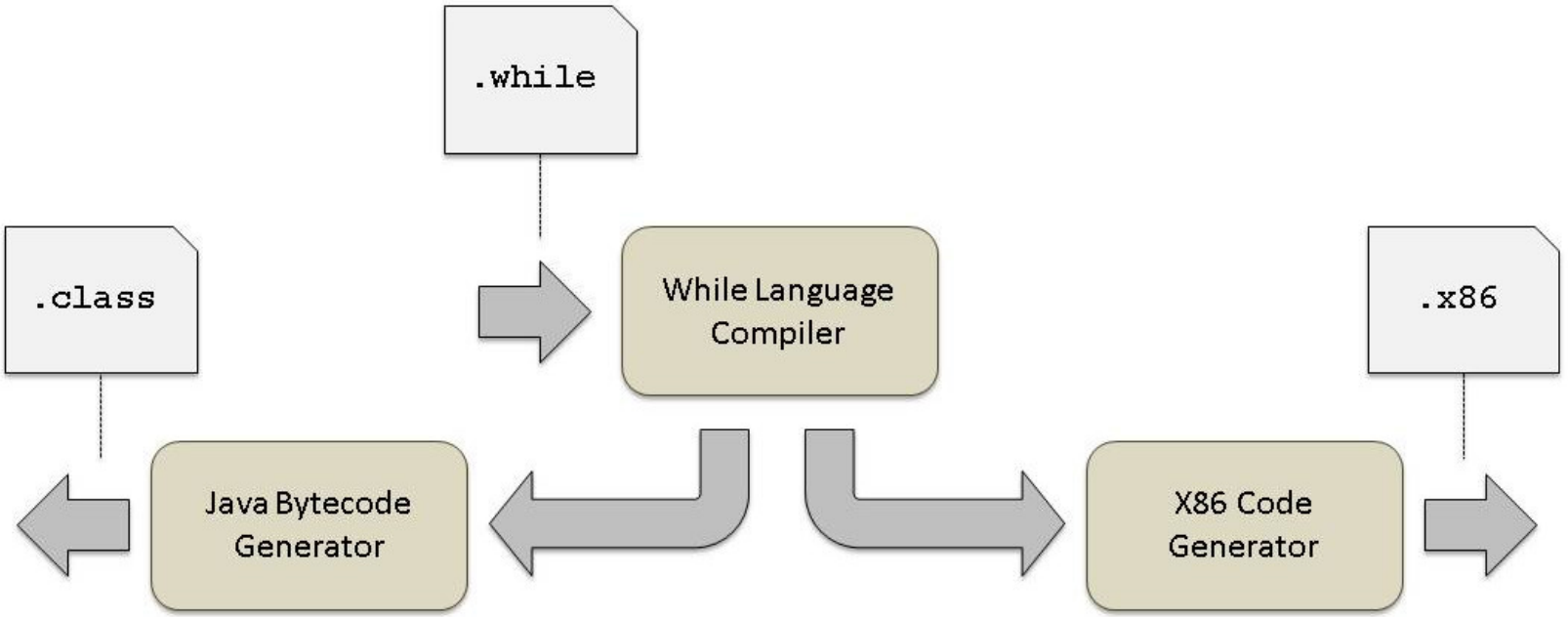
test.while

```
type Point is {int x, int y}

Point move(Point p, int dx, int dy) {
  return {x: p.x + dx, y: p.y + dy};
}
```

- A **simple** imperative language
- **Statements**: for, while, if, switch
- **Expressions**: binary, unary, invocation
- **Types**: bool, int, strings, arrays, records

While Language Compiler



- Lack of modules / imports will **simplify internals**
- **No intermediate language**: code generation directly off AST
- Targets: **Java Bytecode** and **x86 Assembly Language**

Compilers and Interpreters

- A compiler translates programs into machine code that can be executed directly on hardware
- An interpreter executes programs directly without translating into matching code
- Actually, an interpreter usually translates the program into some form of intermediate language, which is then interpreted
- And a compiler may translate programs into a form of virtual machine code, which may then be translated into machine code, executed by an emulator (i.e. interpreted), or executed directly by hardware/firmware.

Compilers and Interpreters

- Both need to parse the program and do some analysis on identifiers, types, etc.
- Both translate to some lower level form — what that is may differ considerably
- Often differ in when/how errors are detected

Course Organisation

- **Lectures**
  - Tuesday and Thursday 1:10pm to 2:00pm in OK524
- **People**
  - A/Prof Lindsay Groves (course coordinator and lecturer)
    Co257, 463 5656, `lindsay@ecs.vuw.ac.nz`
  - Dr David J. Pearce (guest lecturer/adviser)
    Co231, 463 5833, `djp@ecs.vuw.ac.nz`

Lecture Topics (tentative)

- Course introduction (1)
- Compiler structure (1)
- Parsing (2)
- Type checking (3)
- Static analysis (3)
- Code generation: Java bytecode (3)
- Code generation: x86 machine code (4)
- Register allocation and code optimisation (2)
- Miscellaneous topics: readings (3)

Assessment (tentative!)

- **Assignment 1 (10%)** — Parsing and Interpretation
- **Assignment 2 (10%)** — Type Checking
- **Assignment 3 (10%)** — Java Bytecode
- **Assignment 4 (10%)** — x86 Machine Code
- **Exam (60%)** — 2 hours
- Mandatory Requirements
  - *At least 40% average across four assignments*
  - *At least 40% on exam*
- Late Penalties:
  - Late work will be penalised 10% per weekday after the deadline
  - Each student has three "late days"

Recommended Books

- There is **no set text**, but the following are recommended:
  - *Modern Compiler Implementation in Java*, Andrew Appel. (closed reserve, and on-line)
  - *Engineering a Compiler*, Keith D. Cooper and Linda Toczon. See Chapter 8. [1 copy in library]
  - *Compilers: Principles, Techniques and Tools*, Alfred V. Aho, Ravi Sethi and Jeffrey D. Ullman. See Chapter 10. [1 copy in library]
  - *Advanced Compiler Design and Implementation*, Steve S. Muchnick. See Chapter 9.
  - *Optimizing Compilers for Modern Architectures*, Randy Allen and Ken Kennedy. See Chapter 4.4 and 11.
- Other good books available on-line (see course web site)
- Many lecture notes, tutorials etc on-line

Why SWEN430?

Why should I take SWEN430?

- Learn **how compilers work**
- Create **a working compiler for a realistic imperative language**
- Learn **Java Bytecode** and **x86 Assembly**
- Improve your **programming skills**
  - Working with a large complex code base
  - Learn to use programming languages more intelligently
  - Learn techniques you can use, e.g. to implement **DSLs**
- Understand aspects of **programming language design**

Class Representative Election!!

What to do now ...

- Read the Wikipedia article on compilers
- Download Appel's book (or any other compiler book) and read chapter 1.
- Download the While compiler code and compile it
- Review the COMP261 notes on parsing