## Math 217 – Final Exam Solutions

Student ID Number:	Section:
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Question	Points	Score
1	12	
2	16	
3	10	
4	16	
5	13	
6	12	
7	10	
8	11	
Total:	100	

- 1. (12 points) Write complete, precise definitions for, or precise mathematical characterizations of, each of the following (italicized) terms.
  - (a) The dimension of the subspace V of  $\mathbb{R}^n$

**Solution:** The *dimension* of the subspace V of  $\mathbb{R}^n$  is the number of vectors in any basis of V.

(b) The list of vectors  $(\vec{v}_1, \dots, \vec{v}_n)$  in the vector space V is linearly independent

**Solution:** The list of vectors  $(\vec{v}_1, \ldots, \vec{v}_n)$  in the vector space V is linearly independent if for all  $c_1, \ldots, c_n \in \mathbb{R}$ , if  $\sum_{i=1}^n c_i \vec{v}_i = \vec{0}$  then  $c_i = 0$  for each  $1 \leq i \leq n$ .

(c) The rank of the linear transformation  $T: \mathbb{R}^n \to \mathbb{R}^m$ 

**Solution:** The rank of the linear transformation  $T: \mathbb{R}^n \to \mathbb{R}^m$  is the dimension of im(T).

(d) For a subset X of the vector space V, the span of X in V

**Solution:** The span of X in V is the set

$$\left\{ \sum_{i=1}^{n} c_i \vec{v}_i : n \in \mathbb{N}, c_1, \dots, c_n \in \mathbb{R}, \text{ and } \vec{v}_1, \dots, \vec{v}_n \in X \right\}.$$

**Solution:** The span of X in V is the set of all linear combinations of vectors in V.

- 2. State whether each statement is True or False and provide a short proof of your claim.
  - (a) (3 points) For all  $n \in \mathbb{N}$ , the set W of all orthogonal  $n \times n$  matrices is a subspace of the vector space  $\mathbb{R}^{n \times n}$ .

**Solution:** FALSE. For any n, the  $n \times n$  zero matrix is not orthogonal, but every subspace of  $\mathbb{R}^{n \times n}$  contains the  $n \times n$  zero matrix.

(b) (3 points) For all integers  $0 \le k \le n$ , if the  $n \times n$  matrix A has k distinct eigenvalues, then rank  $A \ge k$ .

**Solution:** FALSE. For instance, the  $1 \times 1$  zero matrix has one eigenvalue (namely 0), but its rank is zero.

(c) (3 points) If  $T: \mathbb{R}^{3\times 3} \to \mathbb{R}^{3\times 3}$  is a linear transformation whose image is contained in its kernel, then rank $(T) \leq 4$ .

**Solution:** TRUE. Let  $T: \mathbb{R}^{3\times 3} \to \mathbb{R}^{3\times 3}$  be a linear transformation such that  $\operatorname{im}(T) \subseteq \ker(T)$ , so  $\operatorname{dim}(\operatorname{im}(T)) \le \operatorname{dim}(\ker(T))$ . Then, using the Rank-Nullity Theorem for the second equality below, we have

$$9 = \dim(\mathbb{R}^{3\times 3}) = \dim(\operatorname{im}(T)) + \dim(\ker(T)) \leq 2\dim(\ker(T)),$$

which shows  $\dim(\ker(T)) \geq 5$ . Since  $\operatorname{rank}(T) = 9 - \dim(\ker(T))$  by Rank-Nullity, this implies  $\operatorname{rank}(T) \leq 4$ .

(Problem 2, Continued).

(d) (3 points) For every matrix  $A \in \mathbb{R}^{m \times n}$ , if  $A\vec{x} \cdot A\vec{y} = \vec{x} \cdot \vec{y}$  for all  $\vec{x}, \vec{y} \in \mathbb{R}^n$ , then the columns of A are linearly independent.

**Solution:** TRUE. Let  $A \in \mathbb{R}^{m \times n}$ , and assume that  $A\vec{x} \cdot A\vec{y} = \vec{x} \cdot \vec{y}$  for all  $\vec{x}, \vec{y} \in \mathbb{R}^n$ . Then for each  $1 \leq i, j \leq n$ , we have

$$A\vec{e}_i \cdot A\vec{e}_j = \vec{e}_i \cdot \vec{e}_j = \delta_{ij},$$

which shows that the columns of A form an orthonormal list of vectors in  $\mathbb{R}^m$ . But we proved in class that orthonormal lists of vectors are linearly independent.

(e) (4 points) For every matrix  $A \in \mathbb{R}^{n \times n}$ , if  $A^2 = A$  then A is diagonalizable over  $\mathbb{R}$ .

**Solution:** TRUE. Let  $A \in \mathbb{R}^{n \times n}$  and suppose  $A^2 = A$ . Let  $y \in \operatorname{im}(A)$  be arbitrary, and fix  $\vec{x} \in \mathbb{R}^n$  such that  $A\vec{x} = \vec{y}$ . Then  $A\vec{y} = A(A\vec{x}) = A^2\vec{x} = A\vec{x} = \vec{y}$ . Thus  $\operatorname{im}(A)$  consists of eigenvector of A with eigenvalue 1. Furthermore,  $\operatorname{ker}(A)$  consists of eigenvectors of A with eigenvalue 0. Let  $\mathcal{B}$  be a basis of  $\operatorname{ker}(A)$  and  $\mathcal{C}$  a basis of  $\operatorname{im}(A)$ . Then  $\mathcal{B} \cup \mathcal{C}$  consists of eigenvectors of A, has size n by Rank-Nullity, and is linearly independent since  $0 \neq 1$ . So  $\mathcal{B} \cup \mathcal{C}$  is an eigenbasis for A, which means A is diagonalizable.

3. Let  $\mathcal{P}_2$  be the vector space of polynomials of degree at most 2 in the variable x. Let  $T: \mathcal{P}_2 \to \mathcal{P}_2$  be the linear transformation given by

$$T(p)(x) = p'(x) + p''(x)$$
 for all  $x \in \mathbb{R}$ .

- (a) (6 points) (No justification is necessary for this part of the problem.)
  - (i) Find a basis of im(T).

Solution: (1, x)

(ii) Find a basis of ker(T).

Solution: (1)

(iii) Compute det(T).

Solution: det(T) = 0

(b) (4 points) Find a polynomial p that is an eigenvector of T, and find the associated eigenvalue along with the geometric multiplicity of this eigenvalue. Justify your answer.

**Solution:** The constant polynomial p(x) = 1 is an eigenvector of T with corresponding eigenvalue 0. We have  $gemu(0) = dim(E_0) = dim(ker(T)) = 1$  by part (a)(ii).

4. Consider the  $3 \times 3$  matrix  $A = \begin{bmatrix} a & 0 & 1 \\ 0 & b & 0 \\ -1 & 0 & 0 \end{bmatrix}$ , where  $a, b \in \mathbb{R}$ . In parts (a) – (d) below,

find all values of a and b for which the given condition holds, or else write "none" if there are no such values. (No justification is needed for any part of this problem.)

(a) (2 points) A is invertible.

**Solution:** Since det(A) = b, we see that A is invertible iff  $b \neq 0$ .

(b) (2 points) A is orthogonal.

**Solution:** a = 0 and  $b = \pm 1$ .

(c) (2 points) A is orthogonally diagonalizable.

**Solution:** None, since A is not symmetric no matter what a and b are.

(d) (4 points) A has one eigenvalue with algebraic multiplicity 3.

**Solution:** (a = 2 and b = 1) or (a = -2 and b = -1). To see this, note that the characteristic polynomial of A is  $f_A(t) = (t - b)(t^2 - at + 1)$ , so for A to have a single eigenvalue with almu 3, the discriminant of  $t^2 - at + 1$  must be zero, which means  $a = \pm 2$ . If a = 2 then  $f_A(t) = (t - b)(t - 1)^2$ , which gives us b = 1, and if a = -2 then  $f_A(t) = (t - b)(t + 1)^2$ , which gives us b = -1.

For parts (e) and (f) below, fix b = 1, and find all values of a for which the given condition holds or else write "none" if there are no such values.

(e) (3 points) A is diagonalizable over  $\mathbb{R}$ .

**Solution:** a < -2 or a > 2. (To see this, use the characteristic polynomial  $f_A(t) = (t-1)(t^2 - at + 1)$ , and note that when a = 2 we have 1 = gemu(1) < almu(1) = 3, and when a = -2 we have 1 = gemu(-1) < almu(-1) = 2.)

(f) (3 points) A is diagonalizable over  $\mathbb{C}$ .

**Solution:**  $a \neq \pm 2$ . (If b = 1 and  $a \neq \pm 2$ , then A has three distinct complex eigenvalues and is therefore diagonalizable over  $\mathbb{C}$ . If b = 1 and  $a = \pm 2$ , then A fails to be diagonalizable over  $\mathbb{C}$  for the reasons given in (e) above.)

- 5. Let V be a k-dimensional subspace of  $\mathbb{R}^n$ , where 0 < k < n. Let  $\operatorname{refl}_V \colon \mathbb{R}^n \to \mathbb{R}^n$  be reflection through the subspace V, so  $\operatorname{refl}_V(\vec{v}) = \vec{v}$  for all  $\vec{v} \in V$  and  $\operatorname{refl}_V(\vec{w}) = -\vec{w}$  for all  $\vec{w} \in V^{\perp}$ . Let A be the standard matrix of  $\operatorname{refl}_V$ .
  - (a) (3 points) Find det(A) in terms of n and k. (No justification needed.)

Solution:  $det(A) = (-1)^{n-k}$ .

(b) (4 points) Is A symmetric? Answer yes or no, and briefly justify your answer.

**Solution:** Yes, A is symmetric by the Spectral Theorem since A is orthonorally diagonalizable. (To see this, note that if  $\mathcal{B}$  is an orthonormal basis of  $V = E_1$  and  $\mathcal{C}$  is an orthonormal basis of  $V^{\perp} = E_{-1}$ , then  $\mathcal{B} \cup \mathcal{C}$  is an orthonormal eigenbasis of V.)

(c) (4 points) Assuming  $n \geq 3$ , find the area of the parallelogram P in  $\mathbb{R}^n$  determined by the vectors  $\vec{v}_1 = \vec{e}_1 + \vec{e}_2$  and  $\vec{v}_2 = \vec{e}_1 + \vec{e}_3$  in  $\mathbb{R}^n$ .

**Solution:** Let  $A = [\vec{v}_1 \ \vec{v}_2] \in \mathbb{R}^{n \times 2}$ . Then the area of P is  $\sqrt{\det(A^{\top}A)}$ , where

$$A^{\top}A = \begin{bmatrix} 2 & 1 \\ 1 & 2 \end{bmatrix}.$$

Thus the area of P is  $\sqrt{3}$ .

(d) (2 points) With P as in part (c), find the area of  $\operatorname{refl}_V[P]$ . You may give your answer in terms of the area of P, if you wish. (No justification needed.)

**Solution:** Since refl<sub>V</sub> is an orthogonal transformation, the area of refl<sub>V</sub>[P] is the same as the area of P, namely  $\sqrt{3}$ .

6. Let  $T: \mathbb{R}^3 \to \mathbb{R}^3$  be a linear transformation. Let  $\mathcal{B} = (\vec{x}, \vec{y}, \vec{z})$  be a basis of  $\mathbb{R}^3$ , and assume that

$$T(\vec{x}) = \vec{y}, \qquad T(\vec{y}) = \vec{z}, \qquad T(\vec{z}) = \vec{x}.$$

Let A be the standard matrix of T, so that  $T(\vec{v}) = A\vec{v}$  for all  $\vec{v} \in \mathbb{R}^3$ .

(a) (4 points) Compute det(A). Justify your answer.

**Solution:** If 
$$\mathcal{B} = (\vec{x}, \vec{y}, \vec{z})$$
, then  $[T]_{\mathcal{B}} = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$ , so  $\det(A) = \det[T]_{\mathcal{B}} = 1$  since  $[T]_{\mathcal{B}}$  can be converted to  $I_3$  be performing two row swaps.

(b) (4 points) Find an eigenvector of T and the corresponding eigenvalue. Justify your answer.

**Solution:** Let  $\vec{v} = \vec{x} + \vec{y} + \vec{z}$ . Then  $\vec{v} \neq \vec{0}$  since  $\mathcal{B}$  is a basis of  $\mathbb{R}^3$ , and  $T(\vec{v}) = T(\vec{x}) + T(\vec{y}) + T(\vec{z}) = \vec{y} + \vec{z} + \vec{x} = \vec{v}$ . Thus  $\vec{v}$  is an eigenvector of T with corresponding eigenvalue 1.

(c) (4 points) Determine whether T is diagonalizable, and justify your answer.

**Solution:** The characteristic polynomial of T is

$$\det (tI_3 - [T]_{\mathcal{B}}) = \det \begin{bmatrix} t & 0 & -1 \\ -1 & t & 0 \\ 0 & -1 & t \end{bmatrix} = t^3 - 1 = (t - 1)(t^2 + t + 1).$$

Since  $t^2 + t + 1$  has no real roots, it follows that T has just one real eigenvalue (even counting multiplicities), so T is not diagonalizable.

- 7. Fix an  $n \times n$  matrix M, and let  $T: \mathbb{R}^{n \times n} \to \mathbb{R}^{n \times n}$  be the linear transformation defined by T(A) = MA for all  $A \in \mathbb{R}^{n \times n}$ .
  - (a) (4 points) Prove that if  $\vec{v}$  is an eigenvector of M with eigenvalue  $\lambda$ , then the matrix  $A = \begin{bmatrix} | & | \\ \vec{v} & \cdots & \vec{v} \end{bmatrix}$  with all columns equal to  $\vec{v}$  is an eigenvector of T.

**Solution:** Suppose  $\vec{v}$  is an eigenvector of M with eigenvalue  $\lambda$ . Then  $\vec{v} \neq \vec{0}$ , so  $A \neq 0$ , and we have

$$T(A) = MA = M \begin{bmatrix} | & & | \\ \vec{v} & \cdots & \vec{v} \\ | & & | \end{bmatrix} = \begin{bmatrix} | & & | \\ M\vec{v} & \cdots & M\vec{v} \\ | & & | \end{bmatrix} = \begin{bmatrix} | & & | \\ \lambda\vec{v} & \cdots & \lambda\vec{v} \\ | & & | \end{bmatrix} = \lambda A.$$

Thus A is an eigenvector of T with corresponding eigenvalue  $\lambda$ .

(b) (6 points) Prove that if M has n distinct real eigenvalues, then T is diagonalizable.

**Solution:** Suppose that M has n distinct real eigenvalues  $\lambda_1, \ldots \lambda_n$ , with corresponding eigenvectors  $\vec{v}_1, \ldots, \vec{v}_n$ . By part (a), each  $\lambda_i$  is also an eigenvalue of T. Since  $\dim(\mathbb{R}^{n\times n})=n^2$ , in order to show that T is diagonalizable it will suffice to show that  $\sum_{i=1}^n \operatorname{gemu}(\lambda_i)=n^2$ . Fix  $1 \leq i \leq n$ . For each  $1 \leq j \leq n$ , let  $A_{ij}$  be the matrix whose jth column is  $\vec{v}_i$  and whose other columns are all  $\vec{0}$ . Then  $A_{ij} \neq 0$  and  $T(A_{ij})=MA_{ij}=\lambda_i A_{ij}$ , so  $A_{ij}$  is an eigenvector of T. This is true for each  $1 \leq j \leq n$ , so  $\operatorname{gemu}(\lambda_i)=n$ . Thus  $\sum_{i=1}^n \operatorname{gemu}(\lambda_i)=\sum_{i=1}^n j=n^2$ , showing that T is diagonalizable as desired.

8. Let V be an inner product space of dimension n with inner product  $\langle \cdot, \cdot \rangle$ , and let  $\mathcal{B} = (\vec{b}_1, \dots, \vec{b}_n)$  be an orthonormal basis of V with respect to this inner product. Let  $T: V \to V$  be a linear transformation and assume for all  $\vec{x}, \vec{y} \in V$  that

$$\langle T(\vec{x}), \vec{y} \rangle = \langle \vec{x}, T(\vec{y}) \rangle.$$

(a) (5 points) Prove that  $[T]_{\mathcal{B}}$ , the  $\mathcal{B}$ -matrix of T, is a symmetric matrix.

**Solution:** Let  $1 \leq i, j \leq n$ . Then the jth column of  $[T]_{\mathcal{B}}$  is  $[T(\vec{b}_j)]_{\mathcal{B}}$ . Since  $\mathcal{B}$  is orthonormal, we have

$$T(\vec{b}_j) = \sum_{k=1}^n \langle T(\vec{b}_j), \vec{b}_k \rangle \vec{b}_k,$$

so the (i, j)-entry of  $[T]_{\mathcal{B}}$  is the *i*th component of  $[T(\vec{b}_j)]_{\mathcal{B}}$ , which is  $\langle T(\vec{b}_j), \vec{b}_i \rangle$ . By hypothesis we have  $\langle T(\vec{b}_j), \vec{b}_i \rangle = \langle \vec{b}_j, T(\vec{b}_i) \rangle$ , and  $\langle \vec{b}_j, T(\vec{b}_i) \rangle = \langle T(\vec{b}_i), \vec{b}_j \rangle$  is the (j, i)-entry of  $[T]_{\mathcal{B}}$  by the argument above. Thus  $[T]_{\mathcal{B}}$  is symmetric.

(b) (6 points) Prove that there exists an orthonormal basis  $\mathcal{U}$  of V which is an eigenbasis for the linear transformation T.

**Solution:** Using part (a) and the Spectral Theorem, fix an orthogonal matrix  $Q \in \mathbb{R}^{n \times n}$  such that  $Q^{\top}[T]_{\mathcal{B}}Q$  is diagonal. For each  $1 \leq i \leq n$ , let  $\vec{u}_i = L_{\mathcal{B}}^{-1}(Q\vec{e}_i)$ . Then  $Q\vec{e}_i = [\vec{u}_i]_{\mathcal{B}}$  for each i, so  $Q = S_{\mathcal{U} \to \mathcal{B}}$ . We claim that  $\mathcal{U} = (\vec{u}_1, \dots, \vec{u}_n)$  is an orthonormal eigenbasis for T. To see that  $\mathcal{U}$  is orthonormal, observe that

$$\langle \vec{u}_i, \vec{u}_j \rangle \ = \ [\vec{u}_i]_{\mathcal{B}} \cdot [\vec{u}_j]_{\mathcal{B}} \ = \ Q[\vec{u}_i]_{\mathcal{U}} \cdot Q[\vec{u}_j]_{\mathcal{U}} \ = \ \vec{e}_i^\top Q^\top Q \vec{e}_j \ = \ \vec{e}_i \cdot \vec{e}_j \ = \ \delta_{ij}$$

for each  $1 \leq i, j \leq n$ . Finally, note that the matrix

$$[T]_{\mathcal{U}} = S_{\mathcal{B} \to \mathcal{U}}[T]_{\mathcal{B}}S_{\mathcal{U} \to \mathcal{B}} = Q^{\top}[T]_{\mathcal{B}}Q$$

is diagonal, se each  $\vec{u}_i$  is an eigenvector of T.