# Note for the tetrahedron method in DFPT and electron-phonon calculations

Mitsuaki Kawamura, ISSP

June 15, 2022

#### 1 Definitions

- $\varepsilon_{kn\sigma}, \varepsilon_{k+qn'\sigma}, \cdots$ : Kohn-Sham eigenvalues
- $\varepsilon_{\rm F}$ : Fermi energy
- $\omega_{q\nu}$ : Phonon frequency
- $g_{nkn'k+q}^{q\nu}$ : electron-phonon vertex
- $N(\varepsilon_{\rm F})$ : Density of states (for both spin) at the Fermi energy

## 2 Equations in DFPT and Electron-Phonon

We employ the tetrahedron method in the following equations in the DFPT with the ultrasoft pseudopotential [1]:

- $\delta(\varepsilon_{\rm F} \varepsilon_{i\sigma})$  in Eqn. (25). It is stored in a variable dfpt\_tetra\_delta(1:nbnd,1:nks) computed in subroutines dfpt\_tetra\_main and dfpt\_tetra\_calc\_delta.
- $\theta(\varepsilon_{\rm F}-\varepsilon_{kv\sigma})$  in Eqn. (B17). It is wg(1:nbnd,ik)/wk(ik).
- Eqn. (B19),

$$w_{kv\sigma,k+qv'\sigma} = \theta(\varepsilon_{F} - \varepsilon_{kv\sigma})\theta(\varepsilon_{kv\sigma} - \varepsilon_{k+qv'\sigma}) + \theta(\varepsilon_{F} - \varepsilon_{k+qv'\sigma})\theta(\varepsilon_{k+qv'\sigma} - \varepsilon_{kv\sigma}).$$
 (1)

It is stored in a variable dfpt\_tetra\_ttheta(1:nbnd,1:nbnd,1:nks) computed in subroutines dfpt\_tetra\_main, dfpt\_tetra\_calc\_beta1, dfpt\_tetra\_calc\_beta2, and dfpt\_tetra\_average\_beta.

• Eqn. (B28),

$$\beta_{kv\sigma,k+qv'\sigma} = \theta(\varepsilon_{F} - \varepsilon_{kv\sigma})\theta(\varepsilon_{kv\sigma} - \varepsilon_{k+qv'\sigma}) + \theta(\varepsilon_{F} - \varepsilon_{k+qv'\sigma})\theta(\varepsilon_{k+qv'\sigma} - \varepsilon_{kv\sigma}) + \alpha_{k+qv'\sigma} \frac{\theta(\varepsilon_{F} - \varepsilon_{kv\sigma}) - \theta(\varepsilon_{F} - \varepsilon_{k+qv'\sigma})}{\varepsilon_{kv\sigma} - \varepsilon_{k+qv'\sigma}}\theta(\varepsilon_{k+qv'\sigma} - \varepsilon_{kv\sigma}).$$
(2)

It is stored in a variable dfpt\_tetra\_beta(1:nbnd,1:nbnd,1:nks) computed in subroutines dfpt\_tetra\_main, dfpt\_tetra\_calc\_beta1, dfpt\_tetra\_calc\_beta2, dfpt\_tetra\_calc\_beta3, and dfpt\_tetra\_average\_beta.

We also employ the tetrahedron method in calculations of the Flöhlich parameter

$$\lambda_{q\nu} = \frac{2}{N(\varepsilon_{\rm F})\omega_{q\nu}} \sum_{knn'} |g_{n'k+qnk}^{\nu}|^2 \delta(\varepsilon_{nk} - \varepsilon_{\rm F}) \delta(\varepsilon_{n'k+q} - \varepsilon_{\rm F})$$
(3)

(when electron\_phonon="lambda\_tetra"), and

$$\lambda_{q\nu} = \frac{2}{N(\varepsilon_{\rm F})\omega_{q\nu}^2} \sum_{kmn'} [\theta(\varepsilon_{\rm F} - \varepsilon_{nk}) - \theta(\varepsilon_{\rm F} - \varepsilon_{n'k+q})] \delta(\varepsilon_{n'k+q} - \varepsilon_{nk} - \omega_{q\nu}) |g_{nkn'k+q}^{q\nu}|^2$$
(4)

(when electron\_phonon="gamma\_tetra").

#### 2.1 Tetrahedron method for DFPT

First, we cut out one or three tetrahedra where  $\theta(\varepsilon_F - \varepsilon_{nk}) = 1$  from tetrahedron T and evaluate  $\varepsilon_{nk}$ ,  $\varepsilon_{n'k+q}$  at the corners of T'' (See Appendix A and B of the previous study[2]). Second, we perform the following integration in each tetrahedra [Eqn. (C3) in that paper]:

$$W_{i} = 6V'' \int_{0}^{1} dx_{1} \int_{0}^{1} dx_{2} \int_{0}^{1} dx_{3} \int_{0}^{1} dx_{4} \frac{x_{i} \delta(x_{1} + x_{2} + x_{3} + x_{4} - 1)}{d_{1}x_{1} + d_{2}x_{2} + d_{3}x_{3} + d_{4}x_{4}}$$

$$= -V'' \sum_{j=1, j \neq i}^{4} \frac{d_{j}^{2} \left(\frac{\ln d_{j} - \ln d_{i}}{d_{j} - d_{i}} d_{j} - 1\right)}{\prod_{k=1, k \neq j}^{4} (d_{j} - d_{k})},$$
(5)

where  $d_i$  is  $\varepsilon_{n'k+q} - \varepsilon_{nk}$  at the corner of the trimmed tetrahedron.

For avoiding a numerical error, we should not use the above formula as is. Practically, we use the following formulae according to the degeneracy of  $d_1, \dots d_4$ .

• When  $d_1, \dots d_4$  are different each other,

$$A_{2} = \left(\frac{\ln(d_{2}) - \ln(d_{1})}{d_{2} - d_{1}}d_{2} - 1\right) \frac{d_{2}}{d_{2} - d_{1}}, \quad A_{3} = \left(\frac{\ln(d_{3}) - \ln(d_{1})}{d_{3} - d_{1}}d_{3} - 1\right) \frac{d_{3}}{d_{3} - d_{1}},$$

$$A_{4} = \left(\frac{\ln(d_{4}) - \ln(d_{1})}{d_{4} - d_{1}}d_{4} - 1\right) \frac{d_{4}}{d_{4} - d_{1}}, \quad B_{2} = \frac{A_{2} - A_{3}}{d_{2} - d_{3}}d_{2}, \quad B_{4} = \frac{A_{4} - A_{3}}{d_{4} - d_{3}}d_{4},$$

$$W_{1} = \frac{B_{4} - B_{2}}{d_{4} - d_{2}}.$$

$$(6)$$

• When  $d_1 = d_4$ ,

$$A_{2} = \left(\frac{\ln(d_{2}) - \ln(d_{1})}{d_{2} - d_{1}} d_{2} - 1\right) \frac{d_{2}^{2}}{d_{2} - d_{1}} - \frac{d_{1}}{2}, \quad B_{2} = \frac{A_{2}}{d_{2} - d_{1}},$$

$$A_{3} = \left(\frac{\ln(d_{3}) - \ln(d_{1})}{d_{3} - d_{1}} d_{3} - 1\right) \frac{d_{3}^{2}}{d_{3} - d_{1}} - \frac{d_{1}}{2}, \quad B_{3} = \frac{A_{3}}{d_{3} - d_{1}},$$

$$W_{1} = \frac{B_{3} - B_{2}}{d_{3} - d_{2}}.$$

$$(7)$$

• When  $d_3 = d_4$ ,

$$A_{2} = \frac{\ln(d_{2}) - \ln(d_{1})}{d_{2} - d_{1}} d_{2} - 1, \quad B_{2} = \frac{d_{2}A_{2}}{d_{2} - d_{1}}, \quad A_{3} = \frac{\ln(d_{3}) - \ln(d_{1})}{d_{3} - d_{1}} d_{3} - 1, \quad B_{3} = \frac{d_{3}A_{3}}{d_{3} - d_{1}},$$

$$C_{2} = \frac{B_{3} - B_{2}}{d_{3} - d_{2}}, \quad C_{3} = \frac{\ln(d_{3}) - \ln(d_{1})}{d_{3} - d_{1}} d_{3} - 1, \quad D_{3} = 1 - \frac{2C_{3}d_{1}}{d_{3} - d_{1}}, \quad E_{3} = \frac{D_{3}}{d_{3} - d_{1}},$$

$$W_{1} = \frac{d_{3}E_{3} - d_{2}C_{2}}{d_{3} - d_{2}}.$$

$$(8)$$

• When  $d_4 = d_1$  and  $d_3 = d_2$ ,

$$A_{1} = 1 - \frac{\ln(d_{2}) - \ln(d_{1})}{d_{2} - d_{1}} d_{1}, \quad B_{1} = -1 + \frac{2d_{2}A_{1}}{d_{2} - d_{1}}, \quad C_{1} = -1 + \frac{3d_{2}B_{1}}{d_{2} - d_{1}},$$

$$W_{1} = \frac{C_{1}}{2(d_{2} - d_{1})}.$$
(9)

• When  $d_4 = d_3 = d_2$ ,

$$A_{1} = 1 - \frac{\ln(d_{2}) - \ln(d_{1})}{d_{2} - d_{1}} d_{1}, \quad B_{1} = -1 + \frac{2d_{2}A_{1}}{d_{2} - d_{1}}, \quad C_{1} = -1 + \frac{3d_{2}B_{1}}{d_{2} - d_{1}},$$

$$W_{1} = \frac{C_{1}}{2(d_{2} - d_{1})}.$$
(10)

• When  $d_4 = d_3 = d_1$ ,

$$A_{1} = -1 + \frac{\ln(d_{2}) - \ln(d_{1})}{d_{2} - d_{1}} d_{2}, \quad B_{1} = -1 + \frac{2d_{2}A_{1}}{d_{2} - d_{1}}, \quad C_{1} = -1 + \frac{3d_{2}B_{1}}{2(d_{2} - d_{1})},$$

$$W_{1} = \frac{C_{1}}{3(d_{2} - d_{1})}.$$
(11)

• When  $d_4 = d_3 = d_2 = d_1$ ,

$$W_1 = \frac{1}{4d_1}. (12)$$

• Other weights are calculated by using the permutation.

### 3 Tetrahedron method for electron-phonon

#### 3.1 Eqn. (3)

First, we cut out one or two triangles where  $\varepsilon_{nk} = \varepsilon_{\rm F}$  from a tetrahedron and evaluate  $\varepsilon_{n'k+q}$  at the corners of each triangles as

$$\varepsilon_i^{\prime k+q} = \sum_{j=1}^4 F_{ij}(\varepsilon_1^k, \cdots, \varepsilon_4^k, \varepsilon_F) \epsilon_j^{k+q}. \tag{13}$$

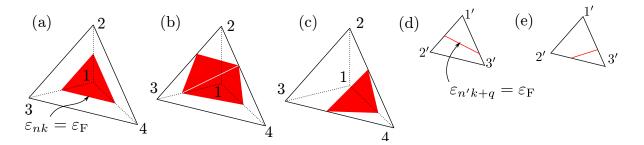


Figure 1: How to divide a tetrahedron in the case of  $\epsilon_1 \leq \varepsilon_F \leq \varepsilon_2$  (a),  $\varepsilon_2 \leq \varepsilon_F \leq \varepsilon_3$  (b), and  $\varepsilon_3 \leq \varepsilon_F \leq \varepsilon_4$  (c).

Then we calculate  $\delta(\varepsilon_{n'k+q} - \varepsilon F)$  in each triangles and obtain weights of corners. This weights of corners are mapped into those of corners of the original tetrahedron as

$$W_i = \sum_{j=1}^{3} \frac{S}{\nabla_k \varepsilon_k} F_{ji}(\varepsilon_1^k, \cdots, \varepsilon_4^k, \varepsilon_F) W_j'.$$
(14)

 $F_{ij}$  and  $\frac{S}{\nabla_k \varepsilon_k}$  are calculated as follows  $(a_{ij} \equiv (\varepsilon_i - \varepsilon_j)/(\varepsilon_F - \varepsilon_j))$ :

• When  $\varepsilon_1 \le \varepsilon_F \le \varepsilon_2 \le \varepsilon_3 \le \varepsilon_4$  [Fig. 1(a)],

$$F = \begin{pmatrix} a_{12} & a_{21} & 0 & 0 \\ a_{13} & 0 & a_{31} & 0 \\ a_{14} & 0 & 0 & a_{41} \end{pmatrix}, \qquad \frac{S}{\nabla_k \varepsilon_k} = \frac{3a_{21}a_{31}a_{41}}{\varepsilon_F - \varepsilon_1}$$
(15)

• When  $\varepsilon_1 \le \varepsilon_2 \le \varepsilon_F \le \varepsilon_3 \le \varepsilon_4$  [Fig. 1(b)],

$$F = \begin{pmatrix} a_{13} & 0 & a_{31} & 0 \\ a_{14} & 0 & 0 & a_{41} \\ 0 & a_{24} & 0 & a_{42} \end{pmatrix}, \qquad \frac{S}{\nabla_k \varepsilon_k} = \frac{3a_{31}a_{41}a_{24}}{\varepsilon_F - \varepsilon_1}$$
(16)

$$F = \begin{pmatrix} a_{13} & 0 & a_{31} & 0 \\ 0 & a_{23} & a_{32} & 0 \\ 0 & a_{24} & 0 & a_{42} \end{pmatrix}, \qquad \frac{S}{\nabla_k \varepsilon_k} = \frac{3a_{23}a_{31}a_{42}}{\varepsilon_F - \varepsilon_1}$$
(17)

• When  $\varepsilon_1 \le \varepsilon_2 \le \varepsilon_3 \le \varepsilon_F \le \varepsilon_4$  [Fig. 1(c)],

$$F = \begin{pmatrix} a_{14} & 0 & 0 & a_{41} \\ a_{13} & a_{24} & 0 & a_{42} \\ a_{12} & 0 & a_{34} & a_{43} \end{pmatrix}, \qquad \frac{S}{\nabla_k \varepsilon_k} = \frac{3a_{14}a_{24}a_{34}}{\varepsilon_1 - \varepsilon_F}$$
(18)

Weights on each corners of the triangle are computed as follows  $[(a'_{ij} \equiv (\varepsilon'_i - \varepsilon'_j)/(\varepsilon_F - \varepsilon'_j))]$ :

• When  $\varepsilon_1' \le \varepsilon_F \le \varepsilon_2' \le \varepsilon_3'$  [Fig. 1(d)],

$$W_1' = L(a_{12}' + a_{13}'), \qquad W_2' = La_{21}', \qquad W_3' = La_{31}', \qquad L \equiv \frac{a_{21}' a_{31}'}{\varepsilon_F - \varepsilon_1'}$$
 (19)

• When  $\varepsilon_1' \le \varepsilon_2' \le \varepsilon_F \le \varepsilon_3'$  [Fig. 1(e)],

$$W_1' = La_{13}', \qquad W_2' = La_{23}', \qquad W_3' = L(a_{31}' + a_{32}'), \qquad L \equiv \frac{a_{13}' a_{23}'}{\varepsilon_3' - \varepsilon_F}$$
 (20)

#### 3.2 Eqn. (4)

In this case, we cut tetrahedra in the same manner to the case of

$$\frac{\theta(\varepsilon_{F} - \varepsilon_{kv\sigma}) - \theta(\varepsilon_{F} - \varepsilon_{k+qv'\sigma})}{\varepsilon_{kv\sigma} - \varepsilon_{k+qv'\sigma}} \theta(\varepsilon_{k+qv'\sigma} - \varepsilon_{kv\sigma})$$
(21)

in the DFPT calculation. Then we evaluate  $\delta(\varepsilon_{n'k+q} - \varepsilon_{nk} - \omega_{q\nu})$  in the trimmed tetrahedra.

## References

- [1] A. Dal Corso, Phys. Rev. B **64**, 235118 (2001).
- [2] M. Kawamura, Phys. Rev. B **89**, 094515 (2014).